# Nucleon effective mass in hot dense matter

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Nucleon effective masses are studied in the framework of the Brueckner-Hartree-Fock many-body approach at finite temperature. Self-consistent calculations using the Argonne  $V_{18}$  interaction including a microscopic three-body force are reported for varying temperature and proton fraction up to several times the nuclear saturation density. Our calculations are based on the exact treatment of the center-of-mass momentum instead of the average-momentum approximation employed in previous works. We discuss in detail the effects of the temperature together with those of the three-body force, the density, and the isospin asymmetry. We also provide an analytical fit of the effective mass taking these dependencies into account. The temperature effects on the cooling of neutron stars are briefly discussed based on the results for  $\beta$ -stable matter.

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# I. INTRODUCTION

The nucleon effective mass and its dependence on density and temperature  $m^*(\rho, T)$  serve as important microscopic input for the study of the thermal properties (e.g., thermal conductivity, specific heat, and neutrino reaction rates) of (proto) neutron stars (NSs) [1–12]. For cold dense matter, microscopic nuclear many-body calculations have been performed, for example, starting from a realistic two-body potential plus a three-body force (TBF) within the Brueckner-Hartree-Fock (BHF) formalism [13-17] and within the Dirac-Brueckner-Hartree-Fock (DBHF) method [18,19]. The calculations have been performed up to around  $5\rho_0$  for both asymmetric nuclear matter and  $\beta$ -stable NS matter with  $\rho_0 = 0.17 \text{ fm}^{-3}$  being the nuclear saturation density. The dependence of the nucleon effective mass on both density  $\rho = \rho_n + \rho_p$  and isospin asymmetry  $\beta = (\rho_n - \rho_p)/\rho$ , where  $\rho_n$  and  $\rho_p$  are the neutron and proton number densities, has been included in fitting formulas [15] for easy implementation in astrophysical applications.

Thermal effects are known to be important [20–24] for the study of protoneutron stars (PNSs), core collapse supernovas, binary NS mergers, black-hole accretion disks, etc. There are several attempts to construct a finite-temperature equation of state (EOS) based on a Skyrme nuclear force [25], on relativistic mean-field theory [26,27], or within microscopic models [28–43]. The purpose of this paper is to report a systematic study of the nucleon single-particle (s.p.) properties on a microscopic basis for hot nuclear/NS matter. We will concentrate on the neutron/proton effective mass with

varying temperature and proton fraction for broad use in these dynamical phenomena.

For this purpose, we employ the BHF model [44,45] extended to asymmetric nuclear matter and finite temperature [20,46]. The realistic Argonne  $V_{18}$  two-body nucleon-nucleon (NN) potential [47] is used together with the consistent microscopic TBF [48-51] for correctly reproducing the empirical saturation point of symmetric nuclear matter. Previously, the temperature dependence of the effective mass has been studied within the BHF model with or without the inclusion of the TBF [13,20,28,46,52–54]. In the present paper, we use the exact expression of the angular integration for the centerof-mass (c.m.) momentum to improve the reliability and the convergence of the BHF code. In earlier BHF studies, an average-c.m.-momentum approximation was usually adopted, which could lead to different predictions for high-order contributions in describing the bulk properties for nuclear matter and the EOS [55] and should be improved in the studies of nucleon s.p. properties.

The paper is organized as follows. We provide the BHF formalism for hot asymmetric nuclear matter in Sec. II, including the extension to full evaluation of the c.m. momentum. Section III presents the s.p. effective masses in both nuclear matter and NS matter together with their analytic fitting formulas. Section IV gives a summary of this paper.

#### **II. FORMALISM**

# A. Effective masses in the BHF approach

The calculations for hot asymmetric nuclear matter are based on the Brueckner-Bethe-Goldstone theory [44,45,56–58] and the extension to finite temperature [20,28,46,59].

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Here, we simply give a brief review for completeness. The starting point in Brueckner theory is the effective reaction matrix G, which satisfies the generalized Bethe-Goldstone (BG) equation ( $\tau = n, p$ ),

$$\begin{aligned} \langle 12|G_{\tau\tau'}(\omega,T)|1'2'\rangle \\ &= \langle 12|V_{\tau\tau'}|1'2'\rangle + \sum_{1''2''} \langle 12|V_{\tau\tau'}|1''2''\rangle \\ &\times \frac{Q_{\tau\tau'}}{\omega - e_{\tau}(1'') - e_{\tau'}(2'')} \langle 1''2''|G_{\tau\tau'}(\omega,T)|1'2'\rangle, \ (1) \end{aligned}$$

where  $\omega$  is the so-called starting energy,  $V = V_{NN} + V_3^{\text{eff}}$  is the employed Argonne  $V_{18}$  NN interaction [47] plus an effective two-body force derived from a microscopic TBF [48–51], and  $1 \equiv (k_1, \sigma_1)$ , etc., denote the momentum and spin *z* components. For non-spin-polarized nuclear matter, the spin-up and spin-down states are degenerate, and hereafter we omit the spin index. The Pauli operator at finite temperature reads

$$Q_{\tau\tau'} = Q_{\tau\tau'}(\boldsymbol{k}_1, \boldsymbol{k}_2, T) = [1 - f_{\tau}(\boldsymbol{k}_1, T)][1 - f_{\tau'}(\boldsymbol{k}_2, T)],$$
(2)

with the Fermi distribution,

$$f_{\tau}(\boldsymbol{k},T) = \left[1 + \exp\left(\frac{e_{\tau}(\boldsymbol{k}) - \tilde{\mu}_{\tau}}{T}\right)\right]^{-1}.$$
 (3)

The auxiliary chemical potential  $\tilde{\mu}_{\tau}$  can be calculated from the following implicit equation for any fixed density and temperature [28]:

$$\rho_{\tau} = \sum_{\boldsymbol{k}} f_{\tau}(\boldsymbol{k}, T). \tag{4}$$

In the BHF approximation, the s.p. energy is given by

$$e_{\tau}(\boldsymbol{k}) \equiv e_{\tau}(\boldsymbol{k},T) = \frac{\boldsymbol{k}^2}{2m} + U_{\tau}(\boldsymbol{k},T), \qquad (5)$$

where the s.p. potential  $U_{\tau}(\mathbf{k}, T)$  is obtained from the real part of the on-shell antisymmetrized G matrix, i.e.,

$$U_{\tau}(\boldsymbol{k},T) = \sum_{\boldsymbol{k}'\tau'} f_{\tau'}(\boldsymbol{k}',T) \operatorname{Re}\langle \boldsymbol{k}\boldsymbol{k}' | G_{\tau\tau'}[e_{\tau}(\boldsymbol{k}) + e_{\tau'}(\boldsymbol{k}'),T] | \boldsymbol{k}\boldsymbol{k}' \rangle_{A}.$$
(6)

Equations (1) and (4)–(6) are then solved self-consistently for given density  $\rho$ , isospin asymmetry  $\beta$ , and temperature *T*. The *G* matrix, the auxiliary chemical potentials  $\tilde{\mu}_{\tau}$ , and the s.p. potential  $U_{\tau}(k)$  are all implicitly dependent on  $\rho$ ,  $\beta$ , and *T*. Regarding the physical observables we will study here, the effective mass  $m_{\tau}^*$  can be calculated from the s.p. energy as

$$\frac{m_{\tau}^*(k)}{m} = \frac{k}{m} \left[ \frac{de_{\tau}(k)}{dk} \right]^{-1},\tag{7}$$

where *m* is the bare nucleon mass. It depends on  $\rho$ ,  $\beta$ , and *T*.

#### **B.** Three-body force

In Refs. [48–51], the TBF is constructed within the meson-exchange-current approach, and we refer to these references for all lengthy technical details. In this model, the contributions due to two-meson exchanges  $(\pi \pi, \pi \rho, \rho \rho, \sigma \sigma, \sigma \omega, \omega \omega)$ , involving  $\Delta$  and Roper resonance excitation and the important Z-diagram ( $N\bar{N}$  excitation) are included. All parameters of the TBF model, i.e., the coupling constants and form factors, are consistently determined to reproduce the Argonne  $V_{18}$  NN interaction, and the values can be found in Refs. [49,50]. Finally, the TBF can be reduced to an equivalent effective two-body force  $V_3^{\text{eff}}$  via a suitable integration over the degrees of freedom of the third nucleon. This procedure can be extended to finite temperature [46], and the effective interaction  $V_3^{\text{eff}}(T)$  in r space reads

$$\langle \mathbf{r}'_{1}, \mathbf{r}'_{2} | V_{3}^{\text{eff}}(T) | \mathbf{r}_{1}, \mathbf{r}_{2} \rangle$$

$$= \frac{1}{4} \text{Tr} \sum_{n} f(\mathbf{k}_{n}, T) \int d\mathbf{r}_{3} d\mathbf{r}'_{3}$$

$$\times \phi_{n}^{*}(\mathbf{r}'_{3}) W_{3}(\mathbf{r}'_{1}, \mathbf{r}'_{2}, \mathbf{r}'_{3} | \mathbf{r}_{1}, \mathbf{r}_{2}, \mathbf{r}_{3}) \phi_{n}(\mathbf{r}_{3})$$

$$\times [1 - \eta(r'_{13}, T)] [1 - \eta(r'_{23}, T)]$$

$$\times [1 - \eta(r_{13}, T)] [1 - \eta(r_{23}, T)], \qquad (8)$$

where  $\phi_n$  is the wave function of the single nucleon in free space and the trace is taken with respect to spin and isospin of the third nucleon. The defect function  $\eta(r, T)$  is directly related to the temperature-dependent *G* matrix.  $W_3(\mathbf{r}'_1, \mathbf{r}'_2, \mathbf{r}'_3 | \mathbf{r}_1, \mathbf{r}_2, \mathbf{r}_3)$  represents the TBF, which is given in detail in Ref. [50]. The result is an effective interaction with the operator structure,

$$V_{3}^{\text{eff}}(\boldsymbol{r}) = V_{I}(r) + (\boldsymbol{\sigma}_{1} \cdot \boldsymbol{\sigma}_{2})V_{S}(r) + (\boldsymbol{\tau}_{1} \cdot \boldsymbol{\tau}_{2})(\boldsymbol{\sigma}_{1} \cdot \boldsymbol{\sigma}_{2})V_{C}(r) + S_{12}(\hat{\boldsymbol{r}})[(\boldsymbol{\tau}_{1} \cdot \boldsymbol{\tau}_{2})V_{T}(r) + V_{Q}(r)], \qquad (9)$$

where  $S_{12}(\hat{r}) = 3(\sigma_1 \cdot \hat{r})(\sigma_2 \cdot \hat{r}) - \sigma_1 \cdot \sigma_2$  is the tensor operator and the components  $V_O$ , O = I, S, C, T, Q depend on the nucleon densities  $\rho_{n,p}$  and temperature. They are added to the bare potential  $V_{NN}$  in the Bethe-Goldstone equation for the G matrix.

Note that the method of using an effective *NN* interaction to treat the TBF is an approximation that neglects certain many-body contributions [60]. The averaging procedure avoids the difficult problem of solving the relevant Faddeev equation involving the TBF. It allows to include the direct and some single-exchange TBF diagrams in the ladder summation of the BHF approximation but neglects, in particular, the double-exchange TBF diagrams [48,61–63]. The individual sizes of these missing contributions have been estimated to be of the order of 20% [61]. This approximation has been extensively used and considered reliable in the past. Going beyond it will require a consistent inclusion of the TBF into the hole-line expansion, a considerable effort which might be achieved in the future.

#### C. Treatment of total momentum

Using the total and relative momenta,

$$K = k_1 + k_2, \qquad k = \frac{1}{2}(k_1 - k_2), \qquad (10)$$

the BG equation (1) can be transformed into

$$\delta_{\boldsymbol{K}\boldsymbol{K}'}\langle\boldsymbol{k}|G_{\tau\tau'}(\boldsymbol{K},\omega,T)|\boldsymbol{k}'\rangle = \delta_{\boldsymbol{K}\boldsymbol{K}'}\langle\boldsymbol{k}|V_{\tau\tau'}(T)|\boldsymbol{k}'\rangle + \sum_{\boldsymbol{K}''\boldsymbol{k}''}\delta_{\boldsymbol{K}\boldsymbol{K}''}\langle\boldsymbol{k}|V_{\tau\tau'}(T)|\boldsymbol{k}''\rangle \frac{Q_{\tau\tau'}(\boldsymbol{K}'',\boldsymbol{k}'',T)}{\omega - e_{\tau}\left(\frac{1}{2}\boldsymbol{K}''+\boldsymbol{k}''\right) - e_{\tau'}\left(\frac{1}{2}\boldsymbol{K}''-\boldsymbol{k}''\right)}\delta_{\boldsymbol{K}''\boldsymbol{K}'}\langle\boldsymbol{k}''|G_{\tau\tau'}(\boldsymbol{K}'',\omega,T)|\boldsymbol{k}'\rangle.$$
(11)

Generally, the nucleon interaction V is independent of the total momentum. However, the Pauli operator and the energy

denominator depend on it. Therefore, the BG equation can be written as

$$\langle \boldsymbol{k} | G_{\tau\tau'}(\boldsymbol{K},\omega,T) | \boldsymbol{k}' \rangle = \langle \boldsymbol{k} | V_{\tau\tau'}(T) | \boldsymbol{k}' \rangle + \sum_{\boldsymbol{k}''} \frac{\langle \boldsymbol{k} | V_{\tau\tau'}(T) | \boldsymbol{k}'' \rangle Q_{\tau\tau'}(\boldsymbol{K}'',\boldsymbol{k}'',T) \langle \boldsymbol{k}'' | G_{\tau\tau'}(\boldsymbol{K}'',\omega,T) | \boldsymbol{k}' \rangle}{\omega - e_{\tau} \left(\frac{1}{2}\boldsymbol{K}'' + \boldsymbol{k}''\right) - e_{\tau'} \left(\frac{1}{2}\boldsymbol{K}'' - \boldsymbol{k}''\right)}.$$
(12)

For any given density, isospin asymmetry, and temperature, the calculations of the s.p. potential, Eq. (6), need the full information of *G* at arbitrary values of *K* and  $\omega$ . One, therefore, solves the BG Eq. (12) on a  $N_K \times N_\omega$  grid, where  $N_K (N_\omega)$  is the number of the  $K = |\mathbf{K}| (\omega)$  points. Note that the value of the *G* matrix should be independent of the orientation of *K*.

Such calculations were challenging several decades ago. Also, since the value of the *G* matrix is regarded to be insensitive to the value of the total momentum *K*, in the initial calculations of Brueckner theory [64], an average-c.m.-momentum approximation was used, and the total momentum was approximated by the value,

$$\langle K_{\tau\tau'}^2 \rangle(k) = \frac{\int_0^{k_F^*} d\mathbf{k}_1 \int_0^{k_F^*} d\mathbf{k}_2 \delta(k - \frac{1}{2} |\mathbf{k}_1 - \mathbf{k}_2|) (\mathbf{k}_1 + \mathbf{k}_2)^2}{\int_0^{k_F^*} d\mathbf{k}_1 \int_0^{k_F^*'} d\mathbf{k}_2 \delta(k - \frac{1}{2} |\mathbf{k}_1 - \mathbf{k}_2|)},$$
(13)

at zero temperature. This approximation has been widely adopted in former calculations [20,44–46,49,65]. However, in the recent works of both BHF [3,6,15,32,43,66] and DBHF approaches [55], the exact treatment of the total momentum has been used, and we, thus, follow this way in the present calculations to obtain more accurate results of the effective masses.

### **III. RESULTS**

## A. Equation of state

We first briefly discuss some aspects of the finitetemperature EOS in our approach. The zero- and finitetemperature  $V_{18}$  BHF EOS has been discussed in great detail in several previous publications [36,43,46,66] to which we refer for further information. Here, we only review some essential features.

The total energy density  $\epsilon$  can be calculated from the *G* matrix, and the total entropy density *s* can be evaluated in the approximation of a noninteracting Fermi gas of quasiparticles in the mean-field  $U_{\tau}(\mathbf{k})$  [20,28]. Then, the free-energy density  $f = \epsilon - Ts$ , the chemical potentials  $\mu_i = \partial f / \partial \rho_i$ , and the pressure  $p = \rho^2 d(f/\rho)/d\rho$  can be computed according to the standard thermodynamic relations. The obtained finite-

temperature EOS of symmetric nuclear matter (free energy per nucleon  $F/A = f/\rho$  and pressure p) is reported in Fig. 1. The important role of the TBF, which act increasingly repulsive with density and correct the nuclear saturation point of cold matter, is clearly recognized. With the inclusion of the



FIG. 1. Free energy per nucleon (upper panel) and pressure (lower panel) of symmetric nuclear matter ( $\beta = 0$ ) as function of density at T = 0, 5, 10, 12, 14, 16, 18, and 20 MeV. The solid and dashed curves are the results of including or not the TBF, respectively.



FIG. 2. Neutron effective mass as a function of momentum  $m_n^*(k)/m$ , Eq. (7), at temperatures T = 0, 10, 20, 30, 40, and 50 MeV, densities  $\rho = 0.2$ , 0.4 fm<sup>-3</sup>, and asymmetries  $\beta = 0, \pm 0.4, \pm 0.8$ . The adopted nucleon force is the Argonne  $V_{18}$  potential plus the microscopic TBF. The vertical dashed lines indicate the neutron Fermi momenta. For the proton, one has  $m_p^*(\beta) = m_n^*(-\beta)$ .

TBF, the resulting saturation density is 0.186 fm<sup>-3</sup> and the energy per baryon at saturation is -14.5 MeV. They are somewhat different from the values (0.198 fm<sup>-3</sup>, -15.0 MeV) reported in the original papers [48,49], indicating the effects caused by the exact treatment of the c.m. momentum. Regarding finite temperature, similar critical temperatures for the liquid-gas phase transition as in previous calculations [46] are predicted: about 13 MeV (16 MeV) with (without) the inclusion of the TBF. A similar decrease in the critical temperature due to the TBF is obtained using different *NN* interactions or other microscopic approaches [67].

# **B.** Effective masses

We then show, in Fig. 2, the momentum dependence of the neutron effective mass at various temperatures T = 0, 10, 20, 30, 40, and 50 MeV, densities  $\rho = 0.2$ , 0.4 fm<sup>-3</sup>, and isospin asymmetries  $\beta = 0, \pm 0.4, \pm 0.8$ . Due to isospin symmetry, the proton and neutron effective masses are related by  $m_p^*(\beta) = m_n^*(-\beta)$ . The zero-temperature Fermi momenta  $k_F^{n/p} = [3\pi^2(1 \pm \beta)\rho/2]^{1/3}$  are shown by vertical lines. The temperature effects are generally more significant at low momentum and most evident around  $k_F$  where higher temperatures flatten the curves. This is related directly to the smoothing of the sharp Fermi surface and, consequently, of the s.p. potential around the Fermi momentum and is a general feature for different choices of the *NN* potential and the TBF [13,20,28,46,53,54].

In the following Figs. 3–5, we present the detailed results for the effective mass  $m^* \equiv m^*(k_F)$  spanning the whole

asymmetry range in a density domain up to  $0.8 \text{ fm}^{-3}$  and a temperature up to 50 MeV. The calculations are performed with and without the TBF contribution.

One should mention, in this context, that, at low densities (below  $\approx 0.1 \text{ fm}^{-3}$ ), the nuclear matter system can minimize its energy by forming light clusters, such as deuterons, or particularly strongly bound  $\alpha$  particles [68]. In theoretical calculations, such as the extended BHF approach, the inmedium T-matrix method, and the self-consistent Green's function method, the effective interaction including all the ladder-diagram contributions always encounters a singularity leading to unstable results at low densities [69–72], which is related to the onset of the formation of the deuteron bound state. Moreover, since the NN interaction models are fixed at low energy scales, the point-particle picture also becomes unrealistic at high densities (above  $\approx 0.8 \text{ fm}^{-3}$ ) where quark degrees of freedom should be considered. Therefore, both at low and high densities, the BHF calculation should be taken with caution.

In Fig. 3, we compare the density dependence of the effective mass with or without the TBF at different temperatures and asymmetries. As already mentioned in the Introduction and shown in Fig. 1, the inclusion of the TBF is important for reproducing the saturation properties of nuclear matter. We see here that it also changes the behavior of  $m^*(\rho)$  at high densities: After the inclusion of the TBF,  $m^*$  rises with density after reaching a certain minimum at  $\rho_{min}$  as already observed in the works of Refs. [15,16] at zero temperature. This results from the repulsive nature of the TBF [48–50] and resembles the DBHF result [73]. The general effect of



FIG. 3. Neutron effective mass as a function of density for temperatures T = 0, 30, and 50 MeV and asymmetries  $\beta = 0, \pm 0.4, \pm 0.8$ , with and without the TBF contribution. For the proton, one has  $m_n^*(\beta) = m_n^*(-\beta)$ .

temperature is to smooth out the rising of the effective mass caused by the TBF contribution, shifting  $\rho_{\min}$  to higher values. Isospin asymmetry causes the minority component to acquire a lower effective mass than the isospin partner.

To see more clearly the interplay between the temperature effect and the TBF contribution, we show, in Fig. 4, a comparison at different temperatures and asymmetries for both neutron and proton effective masses. We see again the



FIG. 4. Neutron/proton effective mass as a function of density at different temperatures T = 0, 10, 20, 30, 40, and 50 MeV and asymmetries  $\beta = 0, 0.4, 0.8$ . The calculations are performed including the TBF contribution.



FIG. 5. Nucleon effective mass of symmetric nuclear matter ( $\beta = 0$ ) with the inclusion of the TBF as a function of temperature for fixed densities of  $\rho = 0.2$ , 0.4, 0.6, and 0.8 fm<sup>-3</sup>.

flattening effect of temperature at high densities. At low densities, the temperature will first reduce (removal of the s.p. "wiggle") and then increase the effective mass, see Fig. 2. This is the case for both neutrons and protons and different asymmetries.

Figure 5 is devoted to the comparison of the density and temperature dependence of the effective mass. We present the results with the TBF and for symmetric nuclear matter. The curves are plotted for a set of densities ranging from 0.2 to 0.8 fm<sup>-3</sup> and temperatures from 0 to 50 MeV. Comparing with the left panel of Fig. 4, one concludes that the effective mass is generally more sensitive to density than to temperature. The temperature dependence tends to be pronounced at low densities, and the density dependence tends to be pronounced at low temperatures. The behavior of  $m^*$  with increasing density is very similar at different temperatures:  $m^*$ first decreases and then increases with density. This is mainly due to the increasingly dominating role of the TBF, which has a repulsive nature. The behavior of  $m^*$  with increasing temperature is, however, not straightforward for different densities. Due to the competitive effect between the density and the temperature, at intermediate densities, such as  $\rho =$ 0.4,  $0.6 \text{ fm}^{-3}$ , the temperature dependence is very limited. At low densities, such as  $\rho = 0.2 \text{ fm}^{-3}$ ,  $m^*$  first decreases and then increases with temperature as also observed in Fig. 4. At high densities, such as  $\rho = 0.8 \text{ fm}^{-3}$ , the flattening effect of temperature dominates, and  $m^*$  decreases monotonically with temperature.

We conclude this section by commenting that compared to our BHF results, different many-body approximations may predict somewhat different effective masses, resulting from changes in the interaction models and/or the many-body frameworks, but the qualitative results are usually similar [30,31]. It appears that an overall larger pressure yields a larger effective mass, which reduces the increase with temperature of the free energy and, therefore, leads, in turn, to a lower critical temperature. In particular, recent investigations employing chiral *NN* and *NNN* forces [41,42,67] require much stronger TBF in order to compensate the too strong attraction of the soft-core chiral potentials.

## C. Fit formula

One of the main goals of the present paper is to provide easy-to-use microscopic nuclear input for various astrophysical systems. We, therefore, fit the numerical results of the effective mass by an analytic representation (with the three independent variables density  $\rho$ , asymmetry  $\beta$ , and temperature *T*), extending the zero-temperature formulas [15]. We choose the following empirical form:

$$\frac{m_n^*}{m}(\rho,\beta,T) = a_1 + b_1\beta + c_1\beta^2 + (a_2 + b_2\beta + c_2\beta^2)\rho + [a_3 + b_3\beta + c_3\beta^2 + (a_4 + b_4\beta + c_4\beta^2)\rho]t + (d_1 + d_2t + d_3t^2)/\rho + (d_4 + d_5t + d_6t^2)\ln\rho$$
(14)

valid for the domain 0.1 fm<sup>-3</sup>  $\leq \rho \leq 0.8$  fm<sup>-3</sup>,  $-1 \leq \beta \leq 1$ , and 0 MeV  $\leq T \leq 50$  MeV, where t = T/(100 MeV) and  $\rho$  is given in fm<sup>-3</sup>. The parameters of the fit are listed in Table I with and without the TBF. The standard deviations are 0.010/0.008, respectively. The results for protons are obtained as  $m_p^*(\beta) = m_n^*(-\beta)$ . We remark that these fits should only be employed in the regime of homogeneous nuclear matter modeled by the BHF theory  $\rho \gtrsim 0.1$  fm<sup>-3</sup>. Lower densities are characterized by the appearance of cluster structures where other theoretical approaches must be used, see also the comments in Sec. IIIB.

#### D. $\beta$ -stable matter

Finally, we report, in Fig. 6, the calculations of hot  $\beta$ -stable NS matter at different temperatures. The proton fraction  $x_p$  and the effective masses  $m_{n,p}^*$  are plotted as functions of density for temperatures from 0 to 50 MeV.

The DU process, corresponding to neutron  $\beta$  decay and its inverse reaction  $n \rightarrow p + e + \bar{v}_e$ ,  $p + e \rightarrow n + v_e$ , is the most efficient neutrino cooling process [74]. It only occurs in cold NSs if the proton fraction is larger than a critical threshold ( $x_p \approx 0.138$ , slightly dependent on the muon fraction) such that energy and momentum can both be conserved at sufficiently high densities for these two persistent reactions.

TABLE I. Fit parameters of the neutron effective masses in the functional form of Eq. (14).

	$a_1$	$b_1$	$c_1$	$a_2$	$b_2$	<i>c</i> <sub>2</sub>	<i>a</i> <sub>3</sub>	$b_3$	<i>c</i> <sub>3</sub>	$a_4$	$b_4$	С4	$d_1$	$d_2$	$d_3$	$d_4$	$d_5$	$d_6$
V18	0.607	-0.070	0.0687	-0.037	0.0477	-0.0156	0.256	-0.0797	0.0177	-0.372	0.157	-0.0590	0.0051	-0.126	0.253	-0.097	-0.0273	0.447
+TBF	0.102	-0.094	0.0680	0.699	0.0354	0.0133	0.750	-0.0662	0.0349	-0.941	0.235	-0.0747	-0.0135	-0.181	0.421	-0.367	-0.0150	1.010



FIG. 6. Proton fraction (upper panel) and neutron/proton effective masses (middle and lower panels) for  $\beta$ -stable NS matter as functions of density at different temperatures T = 0, 10, 20, 30, 40, and 50 MeV. The calculations are performed with the TBF contribution. The horizontal line indicates the threshold proton fraction of the direct Urca (DU) neutrino emission process.

We see, in the upper panel, that the BHF EOS with the  $V_{18}$  + TBF predicts a relatively low threshold density for the DU process, close to  $\rho_{\rm DU} \approx 0.38$  fm<sup>-3</sup> at zero temperature, ensuring fast cooling being active in nearly all NSs (see the discussion in Refs. [3,6,12], for example). Finite temperature increases the proton fraction due to the presence of thermal leptons and, therefore, decreases  $\rho_{\rm DU}$ . The temperature mainly affects the low-density domain of the proton fraction

as already observed in our previous works [35–40]. In the middle panel, we see that the direct and indirect (decrease of the neutron partial density) effects of increasing temperature lead to a decrease of the neutron effective mass at nearly all densities. The values are somewhat higher than in symmetric matter, see Fig. 4. The proton effective mass, displayed in the bottom panel, shows a similar flattening behavior with increasing temperature with a value of about 0.7 and a weak dependence on the temperature and density for  $\rho \gtrsim 0.4$  fm<sup>-3</sup>.

# **IV. SUMMARY**

The nucleon effective mass at finite temperature is of fundamental importance for nuclear astrophysics, but an evaluation of the s.p. properties is usually not easy and model dependent. Previous works on the temperature dependence of the effective mass showed nontrivial behavior for the required ranges of nucleon density and isospin asymmetry in dynamical astrophysical systems of interest. So we performed the calculation of  $m^*(\rho, \beta, T)$  from realistic nucleon forces within a microscopic model. We used the BHF method extended to asymmetric nuclear matter and finite temperature, employing the realistic Argonne  $V_{18}$  force together with a consistent microscopic TBF.

We studied the interplay of the  $\rho$ ,  $\beta$ , T dependence of the effective mass with and without the TBF contribution. Finite temperature, in general, lowers the effective mass, in particular, at low and high densities. The TBF increases the effective mass at high densities due to their repulsive character, but finite temperature weakens this effect. Altogether, the temperature dependence is modest in comparison to the density dependence, but the specific behavior can be different in different density domains.

The dependence  $m^*(\rho, \beta, T)$  has been accurately parametrized by a carefully chosen analytical formula to be conveniently used for the study of NS cooling, merger simulations, core collapse supernovas, heavy-ion collisions, etc. We have also discussed the temperature dependence of the proton fraction, the nucleon effective mass in  $\beta$ -stable NS matter, and the influence on the DU process in a hot star. The present results might be used for the study of the thermal evolution of a PNS or a NS merger event, which we will explore in a future work.

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