Determining the average prompt-fission-neutron multiplicity for $^{239}Pu(n, f)$ via a $^{240}Pu(\alpha, \alpha'f)$ surrogate reaction

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The average prompt-fission-neutron multiplicity $\bar{\nu}$ is of significance in the areas of nuclear theory, nuclear nonproliferation, and nuclear energy. In this work, the surrogate-reaction method has been used for the first time to indirectly determine $\bar{\nu}$ for ²³⁹Pu(*n*, *f*) via ²⁴⁰Pu(α , α' *f*) reactions. A ²⁴⁰Pu target was bombarded with a beam of 53.9-MeV α particles. Scattered α particles, fission products, and neutrons were measured with the NeutronSTARS detector array. Values of $\bar{\nu}$ were obtained for a continuous range of equivalent incident neutron energies between 0.25 and 26.25 MeV, and the results agree well with direct neutron measurements.

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I. INTRODUCTION

The average prompt-fission-neutron multiplicity $\bar{\nu}$ following (n, f) reactions is important to both basic and applied physics. In nuclear theory, measurements of $\bar{\nu}$ can be used to validate fission models and provide constraints on the fission process itself [\[1\]](#page-5-0). In the area of international safeguards and verification, nuclear materials are assayed with passive neutron-multiplicity counting, and here, $\bar{\nu}$ is needed to determine the amount of neutron-induced fission (or selfmultiplication) in the sample [\[2,3\]](#page-5-0). For proposed nuclear reactor concepts, such as accelerator-driven systems (ADS) and those based on the thorium-uranium cycle, there is interest in the $\bar{\nu}$ values for short-lived actinides, as the dependence of $\bar{\nu}$ on the incident neutron energy is important for determining the criticality, safety, and lifetime of these reactors [\[4–6\]](#page-5-0). In addition, $\bar{\nu}$ for short-lived actinides is also relevant to transmutation of radioactive waste with ADS [\[4–6\]](#page-5-0).

Directly measuring $\bar{\nu}$ presents a number of experimental challenges, including producing high-flux neutron beams and addressing beam-related backgrounds. For short-lived actinides, $\bar{\nu}$ data are particularly sparse because target fabrication and high target activity are also issues. These challenges can be bypassed with the surrogate-reaction method [\[7\]](#page-5-0), an indirect measurement technique that has typically been used to determine the cross sections of reactions that proceed through a highly excited, statistically equilibrated compound nuclear state. In a surrogate experiment, the desired compound nucleus (CN) is produced using an alternative ("surrogate") reaction with a more experimentally accessible or preferable combination of projectile and target nucleus. The surrogate method has been demonstrated to work well for determining (n, f) reaction cross sections of various actinides $[8-13]$;

the values obtained are within \approx 5–20% of direct neutron measurements. The present work extends the applicability of this technique to determining $\bar{\nu}$. Benchmarking has been performed by using the surrogate reactions $^{240}Pu(\alpha, \alpha' f)$ and ²⁴²Pu(α , α' f) to obtain $\bar{\nu}$ as a function of incident neutron energy for the reactions $^{239}Pu(n, f)$ and $^{241}Pu(n, f)$, respectively, for which direct-measurement data are available. The results for $^{239}Pu(n, f)$ are discussed in this paper, while those for $^{241}Pu(n, f)$ can be found in Ref. [\[14\]](#page-5-0).

II. SURROGATE-REACTION TECHNIQUE

In the present work, the compound nucleus 240 Pu in the desired reaction

$$
n + {}^{239}\text{Pu} \rightarrow {}^{240}\text{Pu}^* \rightarrow \text{LF} + \text{HF} + \nu n \tag{1}
$$

is produced via the surrogate reaction

$$
\alpha + {}^{240}\text{Pu} \rightarrow \alpha' + {}^{240}\text{Pu}^* \rightarrow \alpha' + \text{LF} + \text{HF} + \nu n, \qquad (2)
$$

where LF and HF are the light and heavy fission fragments, respectively, and ν is the prompt-fission-neutron multiplicity. Assuming a statistically equilibrated CN, where the decay is independent of the method of formation $[15]$, the (n, f) cross section for an incident neutron energy E_n is given by the following Hauser-Feshbach [\[16–18\]](#page-5-0) formula:

$$
\sigma_{n,f}(E_n) = \sum_{J,\pi} \sigma_n^{\text{CN}}(E_{\text{ex}}, J, \pi) G_f^{\text{CN}}(E_{\text{ex}}, J, \pi), \qquad (3)
$$

where $\sigma_n^{\text{CN}}(E_{\text{ex}}, J, \pi)$ is the cross section for forming a CN with excitation energy E_{ex} , angular momentum *J*, and parity π , and $G_f^{\text{CN}}(E_{\text{ex}}, J, \pi)$ is the probability that the CN will fission. In the Weisskopf-Ewing limit of Hauser-Feshbach theory, where the decay of the CN is independent of *J* and π , Eq. (3) reduces to

$$
\sigma_{n,f}(E_n) = \sigma_n^{\text{CN}}(E_{\text{ex}})G_f^{\text{CN}}(E_{\text{ex}}).
$$
 (4)

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TABLE I. Properties of the ²⁴⁰Pu target used in the experiment. In addition to 240 Pu, a small amount of 238 Pu was also present.

Property	$^{240}P_{11}$	$^{238}P_{11}$
Activity (μCi)	67.374(334)	0.250(3)
Weight percent $(\%)$	99.995(718)	0.00493(6)
Thickness (μ g/cm ²)	104.078(528)	0.00513(6)

Analogously, the $(\alpha, \alpha'f)$ cross section for an incident α particle energy E_α is given by

$$
\sigma_{\alpha,\alpha'f}(E_{\alpha}) = \sigma_{\alpha,\alpha'}^{\text{CN}}(E_{\text{ex}})G_{f}^{\text{CN}}(E_{\text{ex}}).
$$
\n(5)

In Eqs. [\(4\)](#page-0-0) and (5), $\sigma_n^{\text{CN}}(E_{\text{ex}})$ and $\sigma_{\alpha,\alpha'}^{\text{CN}}(E_{\text{ex}})$ are the $J\pi$ independent CN-formation cross sections and $G_f^{\text{CN}}(E_{\text{ex}})$ is the $J\pi$ -independent fission probability of the CN. If the Weisskopf-Ewing approximation applies, then (n, f) and $(\alpha, \alpha' f)$ reactions that generate the same CN with excitation energy E_{ex} will have identical values of $G_f^{\text{CN}}(E_{\text{ex}})$ and yield the same $\bar{\nu}$. The validity of this assumption is tested by comparing the $\bar{\nu}$ values obtained with the surrogate reaction $^{240}Pu(\alpha, \alpha' f)$ to those determined from direct $^{239}Pu(n, f)$ measurements.

III. EXPERIMENT

The experiment was performed in Cave 4 of the Texas A&M University Cyclotron Institute [\[19\]](#page-5-0). A ²⁴⁰Pu target was loaded onto a target wheel [\[20\]](#page-5-0) located at the center of the NeutronSTARS array [\[21\]](#page-5-0) and bombarded with a 100-pA beam of 53.9-MeV α particles from the K150 Cyclotron; 4.75 days' worth of data were collected.

A. Targets

The 240Pu target was 99.995% pure; it was fabricated by first epoxying a 100- μ g/cm²-thick natural-carbon foil to an aluminum frame and then electroplating plutonium onto the foil surface, covering a circular area 1.90 cm in diameter. Properties of the target are given in Table I.

The following calibration targets were included in the experiment: a $208Pb$ foil to determine the beam energy; a natural-carbon foil, Mylar $[(C_{10}H_8O_4)_n]$ foil, and empty aluminum frame to assess backgrounds due to α interactions with carbon, oxygen, and aluminum in the 240 Pu target. Two phosphor targets were also used for beam alignment and observing the beam-spot size.

B. Apparatus

The NeutronSTARS array is shown in Fig. 1. Charged particles, including inelastically scattered α particles from $^{240}Pu(\alpha, \alpha'f)$ reactions, were detected with a silicon telescope located 19 mm downstream from the target and consisting of two Micron S2-type annular silicon detectors (a $152-\mu$ m-thick ΔE detector and a 994- μ m-thick *E* detector) that were separated by 4 mm. The energy loss in the two detectors was used for particle identification. A 4.44-mg/cm^2 -thick aluminumfoil shield was placed between the target and the telescope

FIG. 1. Cross-sectional views of (a) the NeutronSTARS detector array and (b) the inside of the target chamber (not to scale); the α-particle beam travels from right to left. NeutronSTARS consists of a target chamber that sits at the center of a neutron detector. The latter is a large tank of gadolinium-doped liquid scintillator segmented into six regions: four identical quadrants that make up the central cylinder and two endcaps. Three PMTs are attached to each quadrant and four are attached to each endcap. The target chamber contains a target wheel, a ΔE -*E* telescope to measure scattered α particles, a fission detector to measure fission fragments (FF), and a δ shield to prevent fission fragments and δ electrons from hitting the ΔE detector.

to prevent fission fragments and δ electrons produced in the target from damaging the ΔE detector and degrading detector performance. Fission fragments were detected with a third $146-\mu$ m-thick Micron S2 silicon detector located 19 mm upstream from the target. The silicon detectors are segmented into 48 0.5-mm-wide rings on one side and 16 22.5◦-wide sectors on the other. For this experiment, pairs of adjacent rings and sectors were bussed together to form 24 1-mmwide rings and 8 45[°]-wide sectors. The silicon detectors are also coated with $27 - \mu g/cm^2$ aluminum contacts on the ring side and $500-\mu g/cm^2$ gold contacts on the sector side. The gold can significantly straggle the fission fragments, making energy separation between scattered α particles and fission fragments difficult. To minimize straggling, the fission detector was installed with the ring side facing downstream and the 240 Pu target was mounted with the electroplated surface facing upstream.

The target wheel and silicon detectors were mounted inside a vacuum chamber, which was surrounded by a neutron detector (referred to as "NeutronBall") consisting of a tank filled with 3.5 tons of liquid scintillator. The tank is segmented into six regions: four identical quadrants that make up the central cylinder and two endcaps. Twenty photomultiplier tubes (PMTs), three on each quadrant and four on each endcap, are used to measure scintillation light. At the time of the measurement, the central cylinder was filled with fresh EJ-335 liquid scintillator doped with 0.25-wt% of natural gadolinium [\[22\]](#page-5-0); however, the two endcaps contained degraded liquid scintillator with poor optical transmission. Therefore, in the present work, only events detected by the twelve PMTs on the central cylinder were included in the data analysis.

C. Detector calibrations

For the ΔE and E detectors, the response of each ring and sector was calibrated with a ²²⁶Ra α point source that provided the following α lines: 4784, 5304, 5489, 6002, and 7687 keV [\[23\]](#page-5-0). At 7687 keV, the resulting 1σ energy resolutions for the ΔE detector and *E* detector were approximately 40 and 24 keV, respectively. The fission detector was calibrated with a 252 Cf spontaneous fission source. The light and heavy fissionproduct mass peaks were used to gain match the response of the rings. For NeutronBall, ${}^{60}Co$ and ${}^{228}Th$ γ -ray point sources provided calibration points at 1253 keV (the average energy of the 1173- and 1332-keV γ rays from ⁶⁰Co) and 2615 keV (from 208 Tl in the 228 Th decay chain) [\[23\]](#page-5-0). Another calibration point was provided by the 4440-keV γ rays [\[23\]](#page-5-0) that were emitted following inelastic α scattering with the natural-carbon target that promoted ${}^{12}C$ to its first excited state. The energy resolution of the liquid scintillator at energy *E* (in MeV) was $σ(E)/E = 25\% / \sqrt{E}$ [\[21\]](#page-5-0).

The efficiency for detecting a single neutron with the central cylinder of NeutronBall was determined to be 0.504(5) and was measured by placing a 252Cf fission source at the target position. More details will be given in Sec. [IV C.](#page-4-0)

D. *α***-particle beam**

The α -particle beam-spot size was approximately 3 mm in diameter and was observed with an in-vacuum camera that imaged the phosphor targets. The exact beam energy provided by the K150 Cyclotron was determined from data collected for the ²⁰⁸Pb target. Scattering of α particles to discrete states in 208Pb was used as an *in situ* calibration. The beam energy was determined to be 53.9(1) MeV. This value allowed the excitation energy of the ^{208}Pb nucleus to be properly reconstructed after taking into account the energy deposition in the ΔE -*E* telescope, the energy loss in dead layers (i.e., the target, the aluminum-foil shield, and the gold and aluminum contacts on the surfaces of the silicon detectors), and the recoil energy of the 208 Pb nucleus. The uncertainty in the beam energy was taken to be the 1σ width of the α peak corresponding to elastic scattering.

IV. ANALYSIS AND RESULTS

 $A^{240}Pu(\alpha, \alpha'f)$ interaction was indicated by a coincidence between an α particle hitting the silicon telescope and a fission fragment hitting the fission detector. For a 240 Pu CN with excitation energy E_{ex} , corresponding to an equivalent incident neutron energy *En*, the average prompt-fission-neutron multiplicity was determined from

$$
\bar{\nu}(E_n) = \frac{N_n(E_n)}{N_{\alpha - f}(E_n)\epsilon_n},\tag{6}
$$

where $N_{\alpha-f}(E_n)$ is the number of measured ²⁴⁰Pu($\alpha, \alpha' f$) α -fission coincidences at E_n , $N_n(E_n)$ is the number of detected prompt fission neutrons associated with these coincidences, and ϵ_n is the single-neutron detection efficiency for the central cylinder of NeutronBall. The analysis performed to obtain the quantities in Eq. (6) is discussed in this section, and the resulting $\bar{\nu}(E_n)$ distribution is given.

A. Particle identification and event selection

1. Charged particles

For events in the silicon telescope, the energies deposited in the ΔE and *E* detectors ($E_{\Delta E}$ and E_E , respectively) were used for particle identification (PID). Protons, deuterons, tritons, ³He, and α particles were distinguished by plotting the "linearized energy" E_{lin} [\[24\]](#page-6-0) versus the total energy deposition in both the ΔE and E detectors, where

$$
E_{\rm lin} = \left[(E_{\Delta E} + E_E)^{1.75} - E_E^{1.75} \right]^{1/1.75}.
$$
 (7)

 α -particle events were isolated by generating a PID plot for each ΔE -detector ring (e.g., Fig. 2) and gating on the region above 3 He (E_{lin} approximately between 16.5 and 24).

2. Fission

Figure [3](#page-3-0) shows the gain-matched spectrum measured by a single ring on the fission detector for α particles incident on

FIG. 2. Particle-identification plot for 53.9-MeV α particles incident on ²⁴⁰Pu. The linearized energy vs the total energy deposited in both the ΔE and E detectors is shown for events hitting a chosen ring in the ΔE detector. Bands corresponding to protons (p) , deuterons (*d*), tritons (*t*), ³He, and α particles (α) are indicated. The diagonal streaks are due to high-energy charged particles that "punch through" the *E* detector and therefore do not deposit all of their energy in the telescope.

FIG. 3. Gain-matched spectrum measured by a single ring on the fission detector for 53.9-MeV α particles incident on ²⁴⁰Pu. Peaks corresponding to heavy fission fragments (HF), light fission fragments (LF), and light ions are labeled. A vertical line is drawn at the energy cut used to separate fission fragments and light ions.

the 240 Pu target. A double hump is present at higher energies due to heavy and light fission fragments hitting the detector. The large peak at lower energies is primarily due to light ions from ²⁴⁰Pu α decay and α-particle interactions with carbon and oxygen in the 240 Pu target, which was confirmed by analysis of the data collected for the natural-carbon and Mylar targets. For each ring, fission events were selected and lightion events removed by cutting above an energy deposition of 47 (arb. units).

3. **240Pu(***α, α***-** *f* **)** *events*

In Fig. 4, the time difference between coincident ΔE -*E* α -particle and fission-detector events is plotted; the energy deposited in the fission detector is given along the *y* axis. A horizontal line is drawn at the energy cutoff used to isolate fission fragments from light ions. Coincidences above the cutoff with a time difference between −35 and 86 ns ("prompt" region) were tagged as $^{240}Pu(\alpha, \alpha'f)$ events. The small bursts of events present every 121 ns in Fig. 4 coincide with the K150 cyclotron frequency and are due to random coincidences such as an α particle hitting the ΔE -*E* telescope and a fission fragment from a ²⁴⁰Pu(α , *f*) reaction in the target hitting the fission detector.

4. Neutrons

PMT signals that arrived within a coincidence window of 200 ns were assumed to come from a single event in NeutronBall, e.g., a neutron capture on gadolinium, or an interaction of a room-background γ ray. These signals were first gain matched, as described in Ref. [\[21\]](#page-5-0), then summed together to acquire the total energy deposited by the event. Only events with energy greater than 2 MeV were included in the data analysis to exclude most of the contribution from backgrounds and electronic noise.

FIG. 4. Coincidences between an α particle hitting the ΔE -*E* telescope and an event in the fission detector. The energy deposited in the fission detector is plotted vs the fission-detector event time minus the α -particle event time. A horizontal line is drawn at the energy cutoff used to isolate fission fragments from light ions. Vertical lines indicate the gates used in the data analysis to identify and characterize prompt α -fission coincidences (-35 to 86 ns) and random coincidences (207 to 1901 ns).

For the tagged $^{240}Pu(\alpha, \alpha'f)$ events, a timing gate was opened 50 μ s before and closed 500 μ s after the α-fission coincidence. The time difference between a NeutronBall event occurring within this gate and the α -fission coincidence was plotted (Fig. 5). The sharp peak around 0 μ s in Fig. 5 is from the flash of prompt γ rays following fission and from proton

FIG. 5. Time difference between an event in NeutronBall and an α-fission coincidence tagged as a ²⁴⁰Pu(α , α' f) event. The peak due to prompt fission γ rays and proton recoils is indicated. The time windows used in the analysis to gate on prompt fission neutrons (2 to 44 μ s) and random coincidences (−45 to −3 μ s) are also shown.

FIG. 6. The distribution of equivalent incident neutron energies (and corresponding ²⁴⁰Pu excitation energies) for ²⁴⁰Pu(α , $\alpha' f$) events; 0.5-MeV-wide energy bins are used.

recoils generated during thermalization of the neutron in the liquid scintillator. The broad peak above $0 \mu s$ is attributed to prompt fission neutrons; its width is determined by the moderation time of the neutrons in the scintillator. Both features lie on top of a flat background due to random coincidences.

B. Equivalent neutron energy

The excitation energy E_{ex} of ²⁴⁰Pu following inelastic α-particle scattering was determined from the beam energy E_{α} , the scattered- α -particle energy $E_{\alpha'}$, and the ²⁴⁰Pu recoil energy *Er*:

$$
E_{\rm ex} = E_{\alpha} - E_{\alpha'} - E_r. \tag{8}
$$

FIG. 7. The average prompt-fission-neutron multiplicity $\bar{\nu}$ as a function of incident neutron energy for $^{239}Pu(n, f)$. In the present work, \bar{v} has been determined continuously from 0.25 to 26.25 MeV in 0.5-MeV-wide intervals. The results are compared with direct neutron measurements found in literature [\[30–35\]](#page-6-0). In the present work, the uncertainties are primarily due to counting statistics; for the literature values, most of the uncertainties are smaller than the data markers.

The value of $E_{\alpha'}$ was the total energy deposited in the ΔE -*E* telescope corrected for energy losses in the target, the δ shield, and the inert gold and aluminum contacts on the surfaces of the silicon detectors. The equivalent incident neutron energy *En* was then determined from

$$
E_n = \frac{m_t + m_n}{m_t} (E_{\text{ex}} - S_n),
$$
\n(9)

where m_t is the mass of ²³⁹Pu, m_n is the neutron mass, and S_n is the neutron separation energy for 240 Pu. Figure 6 shows the E_n distribution for ²⁴⁰Pu(α , $\alpha'f$) events. The corresponding 240 Pu excitation energy is also given. Fission of 240 Pu starts to occur at $E_n = -1.61$ MeV (4.9-MeV ²⁴⁰Pu excitation energy) [\[25\]](#page-6-0). The feature at $E_n \sim 5.5$ MeV is due to ²⁴⁰Pu second-chance fission [\[26,27\]](#page-6-0), and above $E_n \sim 18.5$ MeV, the number of events tapers off quickly due to the α -Pu Coulomb barrier.

C. Average prompt-fission-neutron multiplicity

The average prompt-fission-neutron multiplicity was obtained with Eq. [\(6\)](#page-2-0) for equivalent incident neutron energies ranging between 0.25 and 26.25 MeV. The quantity $N_{\alpha-f}(E_n)$ in Eq. [\(6\)](#page-2-0) is the number of α -fission coincidences in the (121-ns-wide) prompt region of Fig. [4,](#page-3-0) corrected for the contribution from random coincidences. This contribution was determined by taking the sum of α -fission coincidences

TABLE II. Equivalent incident neutron energies E_n and corresponding $\bar{\nu}$ values from the present work.

E_n (MeV)	$\bar{\nu}$	E_n (MeV)	$\bar{\nu}$
0.25	2.97(8)	13.75	4.87(15)
0.75	3.08(9)	14.25	4.95(16)
1.25	3.17(9)	14.75	4.92(16)
1.75	3.17(9)	15.25	4.95(16)
2.25	3.23(9)	15.75	5.13(17)
2.75	3.35(10)	16.25	5.24(17)
3.25	3.48(10)	16.75	5.24(17)
3.75	3.48(10)	17.25	5.16(17)
4.25	3.55(11)	17.75	5.26(17)
4.75	3.62(11)	18.25	5.36(17)
5.25	3.78(12)	18.75	5.38(18)
5.75	3.79(12)	19.25	5.67(19)
6.25	3.89(12)	19.75	5.58(19)
6.75	4.00(12)	20.25	5.48(20)
7.25	4.06(12)	20.75	5.80(20)
7.75	4.16(12)	21.25	5.83(21)
8.25	4.36(13)	21.75	6.06(23)
8.75	4.33(13)	22.25	5.96(24)
9.25	4.26(13)	22.75	6.14(25)
9.75	4.36(13)	23.25	6.06(26)
10.25	4.46(14)	23.75	6.07(27)
10.75	4.49(14)	24.25	6.03(29)
11.25	4.49(14)	24.75	6.19(34)
11.75	4.67(15)	25.25	6.53(39)
12.25	4.73(15)	25.75	6.34(44)
12.75	4.93(16)	26.25	6.56(55)
13.25	4.84(15)		

in the region 207–1901 ns and scaling down to a 121-ns-wide time window.

The number of neutrons $N_n(E_n)$ was obtained by taking the difference between the total counts in the time regions 2 to 44 μ s and −45 to −3 μ s in Fig. [5.](#page-3-0) The contribution from random α -fission coincidences was determined from the time-difference spectrum for NeutronBall events associated with the 207- to 1901-ns region in Fig. [4](#page-3-0) (scaled down to correspond to a 121-ns-wide α -fission time window).

A single-neutron detection efficiency of $\epsilon_n = 0.504(5)$ was obtained by first recording the time difference between 252 Cf fission events in the fission detector and events in Neutron-Ball. The total number of prompt neutrons measured was then determined and divided by the number of fission events and \bar{v} for 252Cf (i.e., 3.757) [\[28,29\]](#page-6-0).

The $\bar{\nu}(E_n)$ distribution obtained is given in Fig. [7.](#page-4-0) Each $\bar{\nu}$ value and its uncertainty is also provided in Table [II;](#page-4-0) the uncertainty is dominated by the statistical uncertainties in the number of α -fission coincidences and the number of detected neutrons. Figure [7](#page-4-0) also shows that the results of the present work are consistent with direct neutron measurements for $^{239}Pu(n, f)$ [\[30–35\]](#page-6-0), providing validation that the surrogate-reaction method can be used to determine $\bar{ν}$ for actinides.

V. SUMMARY AND CONCLUSIONS

 240 Pu(α , α' f) was used as a surrogate reaction to determine the $^{239}Pu(n, f)$ prompt-fission-neutron multiplicity as a function of incident neutron energy from 0.25 to 26.25 MeV. This is the first time $\bar{\nu}$ for ²³⁹Pu(*n*, *f*) has been obtained continuously over this neutron energy range in a single measurement. The results of the present work are in good agreement with those from direct neutron measurements [\[30–35\]](#page-6-0). Similar conclusions were drawn in Ref. [14], where the surrogate reaction ²⁴²Pu(α , $\alpha' f$) was used to determine $\bar{\nu}$ for ²⁴¹Pu(*n*, *f*). The success of these two experiments opens the door to using surrogate reactions to obtain $\bar{\nu}(E_n)$ for a whole host of short-lived actinides that are currently inaccessible via direct methods.

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