

## Nuclear muon-capture sum rules and mean nuclear excitation energies

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A discussion is given of non-energy-weighted and of energy-weighted sum rules in nuclear muon capture. It is argued that the mean nuclear excitation energy in muon capture does not vary appreciably as  $A$  and  $Z$  vary. A combined non-energy-weighted and energy-weighted sum rule which constitutes a three-parameter fit to the experimental data on total muon-capture rates is presented.

### I. INTRODUCTION

Considerable progress has been achieved recently in the study of (a) the isospin structure of the matrix element of the operator which describes the total transition rate induced by an isovector current from an initial nuclear ground state to the various possible final nuclear excited states,<sup>1</sup> and (b) the effect of the internucleon isospin-exchange potentials on the photonuclear sum rules.<sup>2</sup> These developments can be applied to the treatment of the total rates of muon capture by nuclei and it is the aim of the present paper to outline such an application. The first section of the paper describes a closure approximation with respect to the final nuclear states obtained in the muon-capture process; here a suitable average is taken of the energy of the outgoing neutrino and the initial-state expectation value of the absolute square of the muon-capture current is decomposed into its isospin components. A similar investigation of the total muon-capture rate weighted by the mean nuclear excitation energy is carried out in the second section, while a description of the total muon-capture rate through a combination of a non-energy-weighted sum rule (NEWSR) and an energy-weighted sum rule (EWSR) is presented in the third section. Some concluding remarks are set down in the last section.

### II. NON-ENERGY-WEIGHTED SUM RULE (NEWSR)

The total muon-capture rate of the reaction from the ground state  $a$  to all energetically possible

states  $b$ ,

$$\mu^- + [Z, A]_{g.s.a} \rightarrow \nu_\mu + [Z-1, A]_{all:b} \quad (1)$$

is given by<sup>3-5</sup>:

$$\Lambda_a = K Z_{\text{eff}}^4 Z^{-1} \times \sum_{b \leq} \left| \frac{\vec{v}_{ba}}{m_\mu} \right|^2 \int \frac{d\hat{v}}{4\pi} |\langle b | J^{(-)}(|\vec{v}_{ba}|) | a \rangle|^2, \quad (2a)$$

where  $\sum_{b \leq}$  implies summation over all states of  $[Z-1, A]$  with  $(E_b - E_a) \leq (m_\mu - \epsilon_\mu)$  and where

$$\Lambda_a = \Lambda_{a; J_a - \frac{1}{2}} \left( \frac{J_a}{2J_a + 1} \right) + \Lambda_{a; J_a + \frac{1}{2}} \left( \frac{J_a + 1}{2J_a + 1} \right);$$

$$K \equiv (G_V^2 + 3G_A^2 + G_P^2 - 2G_P G_A) \frac{\alpha^3 m_\mu^5}{2\pi^2};$$

$$Z_{\text{eff}}^4 \equiv Z^4 \langle |\varphi_\mu(\vec{x})|^2 \rangle;$$

$$|\vec{v}_{ba}| = (m_\mu - \epsilon_\mu) - (E_b - E_a);$$

$$J^{(\mp)}(|\vec{v}_{ba}|) \equiv \sum_{j=1}^A \tau_j^{(\mp)} e^{\mp i|\vec{v}_{ba}| \cdot \vec{x}_j} \quad (2b)$$

the notation being that of Refs. 3, 4, and 5. In Eqs. (2a) and (2b), for the sake of simplicity, we average the square of the muon wave function over the initial nuclear ground state  $|a\rangle$ , neglect relativistic components of the hadron weak current,<sup>6</sup>

omit all meson-exchange effects,<sup>7</sup> and assume that<sup>4</sup>

$$|M_V|^2 = |M_A|^2 = |M_P|^2$$

$$\left( |M_{V,A,P}|^2 \equiv \sum_{b \leq} \left| \frac{\vec{v}_{ba}}{m_\mu} \right|^2 \int \frac{d\hat{v}}{4\pi} |\langle b | J_{V,A,P}^{(-)}(|\vec{v}_{ba}|) | a \rangle|^2 \right)$$

with

$$J_{V, A, P}^{(\tau)}(|\vec{\nu}_{ba}|) \equiv \sum_{j=1}^A \tau_{j=1}^{(\tau)} |\mathfrak{V}_{V, A, P; j} e^{i\tau_j \vec{\nu}_{ba} \cdot \hat{\nu}_j \cdot \vec{x}_j}; \quad \mathfrak{V}_{V; j} = 1_j, \quad \mathfrak{V}_{A; j} = \vec{\sigma}_j / \sqrt{3}, \quad \mathfrak{V}_{P; j} = \vec{\sigma}_j \cdot \hat{\nu};$$

this last assumption is briefly examined below. Use of closure, i.e., replacement of  $\sum_{b \leq}$  by  $\{\sum_{b \leq} + \sum_{b >}\}$  =  $\sum_{\text{all states } b \text{ of } [Z-1, A]}$  where  $\sum_{b >}$  implies summation over all states of  $[Z-1, A]$  with  $(E_b - E_a) > (m_\mu - \epsilon_\mu)$ , yields

$$\begin{aligned} \Lambda_a &= K Z_{\text{eff}}^4 Z^{-1} \left( \frac{\nu_a}{m_\mu} \right)^2 \langle a | J^{(+)}(\nu_a) | a \rangle; \\ J^{(+)}(\nu_a) &\equiv \int \frac{d\hat{\nu}}{4\pi} J^{(+)}(\nu_a) J^{(-)}(\nu_a) = \frac{1}{2} A + T^{(3)} + AK^{(+)}(\nu_a); \\ \frac{1}{2} A + T^{(3)} &= \sum_{j=1}^A \tau_j^{(+)} \tau_j^{(-)} = \sum_{j=1}^A \frac{1}{2} (1 + \tau_j^{(3)}); \\ K^{(+)}(\nu_a) &\equiv A^{-1} \int \frac{d\hat{\nu}}{4\pi} \sum_{k=1, j=1}^A (1 - \delta_{kj}) \tau_k^{(+)} \tau_j^{(-)} e^{i\nu_a \hat{\nu} \cdot (\vec{x}_k - \vec{x}_j)} \\ &= A^{-1} \sum_{k=1, j=1}^A (1 - \delta_{kj}) \frac{1}{4} \left[ \frac{2}{3} \vec{\tau}_k \cdot \vec{\tau}_j - \frac{1}{3} (3\tau_k^{(3)} \tau_j^{(3)} - \vec{\tau}_k \cdot \vec{\tau}_j) \right] \left[ \frac{\sin(\nu_a |\vec{x}_k - \vec{x}_j|)}{(\nu_a |\vec{x}_k - \vec{x}_j|)} \right], \end{aligned} \quad (3)$$

where  $\nu_a$  is a suitable average of the  $|\vec{\nu}_{ba}|$ ; thus

$$\langle [E_b] - (E_b)_{\text{g.s.}} \rangle = \{ (m_\mu - \epsilon_\mu) - \nu_a - [(E_b)_{\text{g.s.}} - E_a] \} \quad (4)$$

is a mean nuclear excitation energy of  $[Z-1, A]$  characteristic of the muon capture process in Eq. (1). The diagonal matrix element  $\langle a | J^{(+)}(\nu_a) | a \rangle$  can be decomposed into an isoscalar, an isovector, and an isotensor part, as follows:

$$\begin{aligned} \langle a | J^{(+)}(\nu_a) | a \rangle &\equiv \langle a | J_0^{(+)}(\nu_a) \rangle + \left( \frac{T^{(3)}}{A} \right) \langle a | J_1^{(+)}(\nu_a) \rangle + \left[ \frac{3(T^{(3)})^2 - (\vec{T})^2}{A^2} \right] \langle a | J_2^{(+)}(\nu_a) \rangle \\ &= \langle a | J_0^{(+)}(\nu_a) \rangle + \left( \frac{Z - \frac{1}{2}A}{A} \right) \langle a | J_1^{(+)}(\nu_a) \rangle + \left[ \frac{3(Z - \frac{1}{2}A)^2 - T_a(T_a + 1)}{A^2} \right] \langle a | J_2^{(+)}(\nu_a) \rangle \\ &= \frac{1}{2} A + A \langle a | K_0^{(+)}(\nu_a) \rangle + \left( \frac{Z - \frac{1}{2}A}{A} \right) A + \left[ \frac{3(Z - \frac{1}{2}A)^2 - T_a(T_a + 1)}{A^2} \right] A \langle a | K_2^{(+)}(\nu_a) \rangle, \end{aligned} \quad (5a)$$

where

$$\begin{aligned} (\vec{T})^2 | a \rangle &= T_a(T_a + 1) | a \rangle, \quad T^{(3)} | a \rangle = T_a^{(3)} | a \rangle = (Z - \frac{1}{2}A) | a \rangle; \\ \langle a | L | a \rangle &\equiv \langle \xi_a, T_a, T_a^{(3)} = (Z - \frac{1}{2}A) | L | \xi_a, T_a, T_a^{(3)} = (Z - \frac{1}{2}A) \rangle; \\ \langle a | L_{0,1,2} | a \rangle &\equiv \langle \xi_a, T_a, T_a^{(3)} = -T_a | L_{0,1,2} | \xi_a, T_a, T_a^{(3)} = -T_a \rangle; \\ L &= J^{(+)}(\nu_a) \quad \text{or} \quad K^{(+)}(\nu_a), \end{aligned} \quad (5b)$$

and where the  $\xi_a$  are quantum numbers other than isospin which characterize the state  $|a\rangle$ . As an example, if  $|a'\rangle$  and  $|a''\rangle$  are members of the same isomultiplet,

$$\langle a' | J^{(+)}(\nu_{a'}) | a' \rangle - \langle a'' | J^{(+)}(\nu_{a''} \cong \nu_{a'}) | a'' \rangle = (Z' - Z'') + \left[ \frac{3(Z' - \frac{1}{2}A)^2 - 3(Z'' - \frac{1}{2}A)^2}{A^2} \right] A \langle a' | K_2^{(+)}(\nu_{a'}) | a' \rangle,$$

a relation particularly useful for  $|a'\rangle = |{}^3\text{H}\rangle$ ,  $|a''\rangle = |{}^3\text{He}\rangle$  where, in addition,  $Z' - Z'' = -1$ ,  $(Z' - \frac{1}{2}A)^2 = (Z'' - \frac{1}{2}A)^2$ . Thus a knowledge of  $(\Lambda_{3\text{He}})_{\text{exper}}$ , i.e.,  $(\Lambda_{3\text{He}})_{\text{exper}} \cong 2200 \text{ sec}^{-1}$ ,<sup>8</sup> immediately permits the calculation of  $(\Lambda_{3\text{H}})_{\text{theor}}$ , viz.:

$$(\Lambda_{3\text{H}})_{\text{theor}} = \frac{(\Lambda_{3\text{He}})_{\text{exper}}}{2^3} - K \left( \frac{\nu_{3\text{He}}}{m_\mu} \right)^2 \cong 39 \text{ sec}^{-1} \cong 0.22 (\Lambda_{3\text{H}})_{\text{theor}}.$$

We now combine Eqs. (5a) and (5b) with Eq. (3) and assume, in addition, that, for all  $|a\rangle$  of interest,  $T_a = |T_a^{(3)}| = |Z - \frac{1}{2}A|$ ; this gives the non-energy-weighted sum rule for  $\Lambda_a$

$$\begin{aligned}\Lambda_a &= KZ_{\text{eff}}^4 \left(\frac{\nu_a}{m_\mu}\right)^2 \left\{ 1 + \left(\frac{A}{Z}\right) \langle a \| K_0^{(+)}(\nu_a) \| a \rangle + \left[ \frac{2(Z - \frac{1}{2}A)^2 - |Z - \frac{1}{2}A|}{A^2} \right] \left(\frac{A}{Z}\right) \langle a \| K_2^{(+)}(\nu_a) \| a \rangle \right\} \\ &= KZ_{\text{eff}}^4 \left(\frac{\nu_a}{m_\mu}\right)^2 \left\{ 1 + \left(\frac{A}{2Z}\right) \langle a \| 2K_0^{(+)}(\nu_a) + K_2^{(+)}(\nu_a) \| a \rangle - \left(\frac{A-Z}{2A} + \frac{|A-2Z|}{8ZA}\right) \langle a \| 4K_2^{(+)}(\nu_a) \| a \rangle \right\}\end{aligned}\quad (6)$$

which is to be compared with the NEWSR for  $\Lambda_a$  deduced directly from Eq. (3) and approximately valid for  $Z \gg 1$ , viz. [see Eqs. (9)–(14) of Ref. 3]:

$$\begin{aligned}\Lambda_a &\cong KZ_{\text{eff}}^4 \left(\frac{\nu_a}{m_\mu}\right)^2 \left[ 1 - \left(\frac{A}{2Z}\right) \delta'_a - \left(\frac{A-Z}{2A}\right) \delta_a \right]; \\ \frac{\nu_a}{m_\mu} &\cong \frac{3}{4}, \quad \delta_a \cong 3, \quad \delta'_a \ll \delta_a.\end{aligned}\quad (7)$$

The comparison shows that the term proportional to  $(A-Z)/2A$ , which involves only the isotensor part of  $\langle a | K^{(+)}(\nu_a) | a \rangle$ ,<sup>9</sup> arises from the difference between the space-symmetric and the space-antisymmetric nucleon-nucleon correlation functions [see Eqs. (7)–(9) of Ref. 3] and specifically yields

$$\langle a \| 4K_2^{(+)}(\nu_a) \| a \rangle \cong \delta_a \cong 3, \quad (8a)$$

$$-\langle a \| 2K_0^{(+)}(\nu_a) + K_2^{(+)}(\nu_a) \| a \rangle \cong \delta'_a \ll \delta_a \cong 3, \quad (8b)$$

suggesting that a simple relationship, namely,

$\langle a \| K_0^{(+)}(\nu_a) \| a \rangle \cong -\frac{1}{2} \langle a \| K_2^{(+)}(\nu_a) \| a \rangle$ , may be generally valid. The NEWSR for  $\Lambda_a$  in Eq. (7) is in reasonably good agreement with experiment for  $8 \leq Z \leq 92$  (see Ref. 3); an even better agreement can be obtained with the NEWSR of Eq. (6) if

$$\begin{aligned}\langle a \| 2K_0^{(+)}(\nu_a) + K_2^{(+)}(\nu_a) \| a \rangle, \\ \langle a \| 4K_2^{(+)}(\nu_a) \| a \rangle,\end{aligned}$$

and  $(\nu_a/m_\mu)$  are treated as constants independent of  $A$  and  $Z$ , but with numerical values adjusted for optimum fit to the data. Finally, remembering that there exist no systematic *a priori* calculations of  $(\nu_a/m_\mu)$  and that the prediction  $\delta'_a \approx 0$  is based on an assumption regarding the short range character of effective nucleon-nucleon correlations in nuclei, we cannot exclude the possibility that, for example,  $\langle a \| 4K_2^{(+)}(\nu_a) \| a \rangle$  is a constant independent of  $A$  and  $Z$ , while

$$\langle a \| 2K_0^{(+)}(\nu_a) + K_2^{(+)}(\nu_a) \| a \rangle$$

and  $\nu_a/m_\mu$  slowly increase and slowly decrease, respectively, as  $A$  and  $Z$  increase.

### III. ENERGY-WEIGHTED SUM RULE (EWSR)

We next consider an EWSR for  $\Lambda_a$ , viz.

$$\begin{aligned}\langle \langle E_b \rangle - E_a \rangle &= \frac{\sum_{b \leq} (E_b - E_a) |\vec{v}_{ba}/m_\mu|^2 \int (d\hat{v}/4\pi) |\langle b | \mathbf{J}^{(-)}(\vec{v}_{ba}) | a \rangle|^2}{\sum_{b \leq} |\vec{v}_{ba}/m_\mu|^2 \int (d\hat{v}/4\pi) |\langle b | \mathbf{J}^{(-)}(\vec{v}_{ba}) | a \rangle|^2} \\ &= \Lambda_a^{-1} KZ_{\text{eff}}^4 Z^{-1} \sum_{b \leq} (E_b - E_a) \left| \frac{\vec{v}_{ba}}{m_\mu} \right|^2 \int \frac{d\hat{v}}{4\pi} |\langle b | \mathbf{J}^{(-)}(\vec{v}_{ba}) | a \rangle|^2 \\ &= \Lambda_a^{-1} KZ_{\text{eff}}^4 Z^{-1} \left(\frac{\nu_a}{m_\mu}\right)^2 \sum_{b \leq} (E_b - E_a) \int \frac{d\hat{v}}{4\pi} |\langle b | \mathbf{J}^{(-)}(\nu_a) | a \rangle|^2,\end{aligned}\quad (9)$$

the  $\nu_a$  in Eq. (9) being not very different from the  $\nu_a$  in Eq. (3). Thus, using closure,

$$\begin{aligned}\Lambda_a &= KZ_{\text{eff}}^4 Z^{-1} \left(\frac{\nu_a}{m_\mu}\right)^2 \frac{(\nu_a^2/2m_\mu) \langle a | W^{(+)}(\nu_a) | a \rangle}{\langle E_b \rangle - E_a} \\ &= KZ_{\text{eff}}^4 Z^{-1} \left(\frac{\nu_a}{m_\mu}\right)^2 \frac{(\nu_a^2/2m_\mu) \langle a | W^{(+)}(\nu_a) | a \rangle}{(m_\mu - \epsilon_\mu) - \nu_a}\end{aligned}\quad (10)$$

with

$$\begin{aligned}
W^{(+)}(\nu_a) &\equiv \left( \frac{\nu_a^2}{2m_{\mathcal{N}}} \right)^{-1} \int \frac{d\hat{\nu}}{4\pi} \frac{1}{2} \{ [J^{(+)}(\nu_a), H] J^{(-)}(\nu_a) - J^{(+)}(\nu_a) [J^{(-)}(\nu_a), H] \} \\
H &= \sum_{i=1}^A \left[ \left( i^{-1} \frac{\partial}{\partial \vec{x}_i} \right)^2 / 2m_{\mathcal{N}} \right] + \frac{1}{2} \sum_{i=1, m=1}^A (u_{im} + \vec{\tau}_i \cdot \vec{\tau}_m v_{im}); \\
u_{im} &\equiv u(\vec{x}_i - \vec{x}_m, \vec{\sigma}_i, \vec{\sigma}_m), \quad v_{im} \equiv v(\vec{x}_i - \vec{x}_m, \vec{\sigma}_i, \vec{\sigma}_m),
\end{aligned} \tag{11}$$

where  $H$  is the nuclear Hamiltonian,  $\frac{1}{2} \sum_{i=1, m=1}^A u_{im}$  is the internucleon *isospace-nonexchange* potential energy, and  $\frac{1}{2} \sum_{i=1, m=1}^A \vec{\tau}_i \cdot \vec{\tau}_m v_{im}$  is the internucleon *isospace-exchange* potential energy ( $u_{ii} = v_{ii} = 0$ ). Evaluating the commutators, we obtain

$$\begin{aligned}
W^{(+)}(\nu_a) &= \frac{1}{2} A + T^{(3)} + AX^{(+)}(\nu_a) + AY^{(+)}(\nu_a); \\
X^{(+)}(\nu_a) &\equiv \left[ -A^{-1} \left( \frac{\nu_a^2}{2m_{\mathcal{N}}} \right) \int \frac{d\hat{\nu}}{4\pi} \hat{\nu} \cdot \sum_{k=1, j=1}^A \tau_k^{(+)} \tau_j^{(-)} e^{i\nu_a \hat{\nu} \cdot (\vec{x}_k - \vec{x}_j)} \left( i^{-1} \frac{\partial}{\partial \vec{x}_k} + i^{-1} \frac{\partial}{\partial \vec{x}_j} \right) \right] / \left( \frac{\nu_a^2}{2m_{\mathcal{N}}} \right) \\
&= -A^{-1} \sum_{k=1, j=1}^A \frac{1}{4} (\vec{\tau}_k \times \vec{\tau}_j)^{(3)} \left[ \frac{\sin(\nu_a |\vec{x}_k - \vec{x}_j|) - (\nu_a |\vec{x}_k - \vec{x}_j|) \cos(\nu_a |\vec{x}_k - \vec{x}_j|)}{(\nu_a |\vec{x}_k - \vec{x}_j|)^2} \right] \\
&\quad \times \left( \frac{\vec{x}_k - \vec{x}_j}{|\vec{x}_k - \vec{x}_j|} \right) \cdot \left( \frac{i^{-1} \partial / \partial \vec{x}_k + i^{-1} \partial / \partial \vec{x}_j}{\nu_a} \right), \\
Y^{(+)}(\nu_a) &\equiv A^{-1} \left( \frac{\nu_a^2}{2m_{\mathcal{N}}} \right)^{-1} \int \frac{d\hat{\nu}}{4\pi} \frac{1}{2} \left\{ \frac{1}{2} \sum_{k=1, j=1, i=1, m=1}^A \left( [\tau_k^{(+)}, \vec{\tau}_i \cdot \vec{\tau}_m] \tau_j^{(-)} e^{i\nu_a \hat{\nu} \cdot (\vec{x}_k - \vec{x}_j)} v_{im} \right. \right. \\
&\quad \left. \left. - \tau_j^{(+)} [\tau_k^{(-)}, \vec{\tau}_i \cdot \vec{\tau}_m] e^{-i\nu_a \hat{\nu} \cdot (\vec{x}_k - \vec{x}_j)} v_{im} \right) \right\} \\
&= A^{-1} \left( \frac{\nu_a^2}{2m_{\mathcal{N}}} \right)^{-1} \left\{ \sum_{k=1, j=1}^A \left( -\frac{1}{2} \right) \left[ \frac{1}{3} \vec{\tau}_k \cdot \vec{\tau}_j + \frac{1}{3} (3\tau_k^{(3)} \tau_j^{(3)} - \vec{\tau}_k \cdot \vec{\tau}_j) + (\tau_k^{(3)} + \tau_j^{(3)}) \left[ 1 - \frac{\sin(\nu_a |\vec{x}_k - \vec{x}_j|)}{(\nu_a |\vec{x}_k - \vec{x}_j|)} \right] \right] v_{kj} \right. \\
&\quad \left. + \sum_{k=1, j=1, m=1}^A (1 - \delta_{kj})(1 - \delta_{mj}) \frac{1}{2} [(\tau_k^{(3)} \tau_m^{(+)} - \tau_k^{(+)} \tau_m^{(3)}) \tau_j^{(-)} - \tau_j^{(+)} (\tau_m^{(-)} \tau_k^{(3)} - \tau_m^{(3)} \tau_k^{(-)})] \right. \\
&\quad \left. \times \left[ \frac{\sin(\nu_a |\vec{x}_k - \vec{x}_j|)}{(\nu_a |\vec{x}_k - \vec{x}_j|)} \right] v_{km} \right\}. \tag{12}
\end{aligned}$$

Thus, decomposing  $\langle a | W^{(+)}(\nu_a) | a \rangle$  into an isovector, an isoscalar, and an isotensor part, we get (remembering that  $T_a = |T_a^{(3)}| = |Z - \frac{1}{2}A|$ ):

$$\begin{aligned}
\langle a | W^{(+)}(\nu_a) | a \rangle &\equiv \langle a | W_0^{(+)}(\nu_a) + \left( \frac{T^{(3)}}{A} \right) W_1^{(+)}(\nu_a) + \left[ \frac{3(T^{(3)})^2 - (\vec{T})^2}{A^2} \right] W_2^{(+)}(\nu_a) | a \rangle \\
&= \langle a | W_0^{(+)}(\nu_a) | a \rangle + \left( \frac{Z - \frac{1}{2}A}{A} \right) \langle a | W_1^{(+)}(\nu_a) | a \rangle + \left[ \frac{2(Z - \frac{1}{2}A)^2 - |Z - \frac{1}{2}A|}{A^2} \right] \langle a | W_2^{(+)}(\nu_a) | a \rangle \\
&= \frac{1}{2} A + A \langle a | Y_0^{(+)}(\nu_a) | a \rangle + \left( \frac{Z - \frac{1}{2}A}{A} \right) (A + A \langle a | Y_1^{(+)}(\nu_a) | a \rangle) \\
&\quad + \left[ \frac{2(Z - \frac{1}{2}A)^2 - |Z - \frac{1}{2}A|}{A^2} \right] A \langle a | Y_2^{(+)}(\nu_a) | a \rangle, \tag{13}
\end{aligned}$$

where we have omitted a term  $\sim (Z - \frac{1}{2}A)^3$  which arises from the "three-nucleon correlation" term  $\sum_{k=1, j=1, i=1}^A \dots$  in Eq. (12) and where  $\langle a | X^{(+)}(\nu_a) | a \rangle = 0$  essentially because there is no correlation between  $\hat{\nu}$  and the direction of motion of the proton which captures the muon [with neglect of the internucleon

potential energy in  $H$ , one has, in a semiclassical picture

$$\langle\langle E_b \rangle - E_a \rangle = \iint \left\{ \frac{(\hat{\nu}_a - \hat{\mathbf{p}}_{\text{prot}})^2}{2m_{\text{N}}} - \frac{\hat{\mathbf{p}}_{\text{prot}}^2}{2m_{\text{N}}} \right\} \frac{d\hat{\mathbf{p}}_{\text{prot}}}{4\pi} \frac{d\hat{\nu}}{4\pi} = \frac{\nu_a^2}{2m_{\text{N}}} \left[ 1 - \iint \left( \hat{\nu} \cdot \frac{2\hat{\mathbf{p}}_{\text{prot}}}{\nu_a} \right) \frac{d\hat{\nu}}{4\pi} \frac{d\hat{\mathbf{p}}_{\text{prot}}}{4\pi} \right]$$

and

$$\iint \left( \hat{\nu} \cdot \frac{2\hat{\mathbf{p}}_{\text{prot}}}{\nu_a} \right) \frac{d\hat{\nu}}{4\pi} \frac{d\hat{\mathbf{p}}_{\text{prot}}}{4\pi}$$

which vanishes on the above mentioned no-correlation assumption, corresponds to  $A \langle a | X^{(+)}(\nu_a) | a \rangle$ .

We proceed to combine Eq. (13) with Eq. (10); this yields the EWSR for  $\Lambda_a$ :

$$\begin{aligned} \Lambda_a &= KZ_{\text{eff}}^4 \left( \frac{\nu_a}{m_{\mu}} \right)^2 \left( \frac{\nu_a^2/2m_{\text{N}}}{(m_{\mu} - \epsilon_{\mu}) - \nu_a} \right) \left\{ 1 + \left( \frac{A}{Z} \right) \langle a \| Y_0^{(+)}(\nu_a) \| a \rangle + \left( \frac{Z - \frac{1}{2}A}{A} \right) \left( \frac{A}{Z} \right) \langle a \| Y_1^{(+)}(\nu_a) \| a \rangle \right. \\ &\quad \left. + \left[ \frac{2(Z - \frac{1}{2}A)^2 - |Z - \frac{1}{2}A|}{A^2} \right] \left( \frac{A}{Z} \right) \langle a \| Y_2^{(+)}(\nu_a) \| a \rangle \right\} \\ &= KZ_{\text{eff}}^4 \left( \frac{\nu_a}{m_{\mu}} \right)^2 \left( \frac{\nu_a^2/2m_{\text{N}}}{(m_{\mu} - \epsilon_{\mu}) - \nu_a} \right) \left\{ 1 + \left( \frac{A}{2Z} \right) \langle a \| 2Y_0^{(+)}(\nu_a) + Y_2^{(+)}(\nu_a) \| a \rangle - \left( \frac{A - 2Z}{2Z} \right) \langle a \| Y_1^{(+)}(\nu_a) \| a \rangle \right. \\ &\quad \left. - \left( \frac{A - Z}{2A} + \frac{|A - 2Z|}{8ZA} \right) \langle a \| 4Y_2^{(+)}(\nu_a) \| a \rangle \right\}; \end{aligned}$$

$$\nu_a = \{ (m_{\mu} - \epsilon_{\mu}) - [\langle E_b \rangle - (E_b)_{\text{g.s.}}] - [(E_b)_{\text{g.s.}} - E_a] \}. \quad (14)$$

An expression for  $\Lambda_a$  analogous to that in Eq. (14) but with an incorrect expression for the quantity in the curly bracket has been derived in Ref. 10. The error made in that reference arises from the unjustified replacement of the  $W^{(+)}(\nu_a)$  in Eqs. (10)–(13) by  $\{W^{(+)}(\nu_a)\}'$ , where

$$\begin{aligned} \{W^{(+)}(\nu_a)\}' &\equiv \left( \frac{\nu_a^2}{2m_{\text{N}}} \right)^{-1} \int \frac{d\hat{\nu}}{4\pi} \frac{1}{2} \{ [J^{(3)}(\nu_a), H], J^{(3)}(\nu_a) \}; \\ J^{(3)}(\nu_a) &\equiv \sum_{j=1}^A \frac{1}{2} (1 + \tau_j^{(3)}) e^{-i\nu_a \hat{\nu} \cdot \hat{\mathbf{x}}_j} \end{aligned} \quad (15)$$

which, upon evaluation of the double commutator, becomes

$$\begin{aligned} \{W^{(+)}(\nu_a)\}' &= \frac{1}{2} A + T^{(3)} + A \{ Y^{(+)}(\nu_a) \}' ; \\ \{ Y^{(+)}(\nu_a) \}' &\equiv A^{-1} \left( \frac{\nu_a^2}{m_{\text{N}}} \right)^{-1} \sum_{k=1, j=1}^A \left( -\frac{1}{4} \right) \left[ \frac{2}{3} \hat{\tau}_k \cdot \hat{\tau}_j - \frac{1}{3} (3\tau_k^{(3)}\tau_j^{(3)} - \hat{\tau}_k \cdot \hat{\tau}_j) \right] \left[ 1 - \frac{\sin(\nu_a |\hat{\mathbf{x}}_k - \hat{\mathbf{x}}_j|)}{(\nu_a |\hat{\mathbf{x}}_k - \hat{\mathbf{x}}_j|)} \right] v_{kj}, \end{aligned} \quad (16)$$

so that

$$\begin{aligned} \langle a | \{W^{(+)}(\nu_a)\}' | a \rangle &= \langle a | \{W_0^{(+)}(\nu_a)\}' + \left( \frac{T^{(3)}}{A} \right) \{W_1^{(+)}(\nu_a)\}' + \left[ \frac{3(T^{(3)})^2 - (\hat{\mathbf{T}})^2}{A^2} \right] \{W_2^{(+)}(\nu_a)\}' | a \rangle \\ &= \langle a \| \{W_0^{(+)}(\nu_a)\}' \| a \rangle + \left( \frac{Z - \frac{1}{2}A}{A} \right) \langle a \| \{W_1^{(+)}(\nu_a)\}' \| a \rangle + \left[ \frac{2(Z - \frac{1}{2}A)^2 - |Z - \frac{1}{2}A|}{A^2} \right] \langle a \| \{W_2^{(+)}(\nu_a)\}' \| a \rangle \\ &= \frac{A}{2} + A \langle a \| \{Y_0^{(+)}(\nu_a)\}' \| a \rangle + \left( \frac{Z - \frac{1}{2}A}{A} \right) A + \left[ \frac{2(Z - \frac{1}{2}A)^2 - |Z - \frac{1}{2}A|}{A^2} \right] A \langle a \| \{Y_2^{(+)}(\nu_a)\}' \| a \rangle. \end{aligned} \quad (17)$$

Comparison of Eqs. (16) and (17) with Eqs. (12) and (13) shows that  $\langle a | \{W^{(+)}(\nu_a)\}' | a \rangle$  is *qualitatively* different from  $\langle a | \{W^{(+)}(\nu_a)\} | a \rangle$  since  $\langle a \| \{Y_1^{(+)}(\nu_a)\}' \| a \rangle$  vanishes while  $\langle a \| Y_1^{(+)}(\nu_a) \| a \rangle$  is definitely expected to be nonzero. In addition,

the authors of Ref. 10 in effect replace the curly bracket on the right-hand side of Eq. (14) by 1, i.e., in effect neglect the terms proportional to  $\langle a \| Y_0^{(+)}(\nu_a) \| a \rangle$ ,  $\langle a \| Y_1^{(+)}(\nu_a) \| a \rangle$ , and  $\langle a \| Y_2^{(+)}(\nu_a) \| a \rangle$  in the expression for  $\Lambda_a$ . This neglect, together

with an attempt to fit the resultant (mutilated) expression for  $\Lambda_a$  to experiment, leads to the conclusion that  $\nu_a$  must decrease (i.e.,  $[(E_b) - (E_b)_{g.s.}]$  must increase) as  $A$  and  $Z$  increase. In fact, quite the contrary conclusion is reached regarding the variation of  $\nu_a$  with  $A$  and  $Z$  by considering the EWSR of Eq. (14) for nuclei with  $A = 2Z$ ; for such nuclei Eq. (14) can be written as

$$\frac{\Lambda_a; [Z, A=2Z]}{Z_{\text{eff}}^4} = K \left( \frac{\nu_a}{m_\mu} \right)^2 \left( \frac{\nu_a^2/2m_\mu}{m_\mu - \epsilon_\mu - \nu_a} \right) \times [1 + 2\langle a || Y_0^{(+)}(\nu_a) || a \rangle], \quad (18)$$

with the left-hand side the same to within a few percent for  $[Z, A=2Z] = [8, 16], [10, 20], [12, 24], [14, 28], [16, 32], [20, 40]$ , if one uses the appropriate experimental values of  $\Lambda_a$ .<sup>11</sup> Thus, assuming in addition that  $\langle a || Y_0^{(+)}(\nu_a) || a \rangle$  is a

constant independent of  $A$  and  $Z$ , one sees that, at least up to  $Z=20, A=40$ ,  $\nu_a$  shows no tendency to decrease with increasing  $A$  and  $Z$ —also, the numerical value  $\nu_a = \frac{3}{4}m_\mu$  [Eq. (7)] corresponds to  $\langle a || Y_0^{(+)}(\nu_a) || a \rangle = 0.48$ , which is quite reasonable. It is clear that an essentially identical conclusion can be reached on the basis of the NEWSR for  $\Lambda_a$  in Eq. (6).

#### IV. COMBINATION OF NEWSR AND EWSR

We now proceed to obtain a sum rule for  $\Lambda_a$  which does not contain in any explicit way the average neutrino energy  $\nu_a$ ; it will be recalled that the absence of any reasonably rigorous *a priori* calculation of  $\nu_a$  as a function of  $A$  and  $Z$  constitutes a serious difficulty in the use of the NEWSR for  $\Lambda_a$  in Eq. (6) or Eq. (7). We have,

from Eqs. (2a) and (2b)

$$\Lambda_a = KZ_{\text{eff}}^4 Z^{-1} \left(1 - \frac{\epsilon_\mu}{m_\mu}\right)^2 \sum_{b \leq} \left[1 - \frac{(E_b - E_a)}{(m_\mu - \epsilon_\mu)}\right]^2 \int \frac{d\hat{\nu}}{4\pi} |\langle b || J^{(-)}((m_\mu - \epsilon_\mu) - (E_b - E_a)) || a \rangle|^2, \\ J^{(-)}((m_\mu - \epsilon_\mu) - (E_b - E_a)) = \sum_{j=1}^A \tau_j^{(-)} e^{-i((m_\mu - \epsilon_\mu) - (E_b - E_a))\hat{\nu} \cdot \vec{x}_j}, \quad (19)$$

whence, neglecting terms of higher order than the first in  $(E_b - E_a)/(m_\mu - \epsilon_\mu)$ ,

$$\Lambda_a = KZ_{\text{eff}}^4 Z^{-1} \left(1 - \frac{\epsilon_\mu}{m_\mu}\right)^2 \sum_{b \leq} \int \frac{d\hat{\nu}}{4\pi} \left\{ \left[1 - \frac{(E_b - E_a)}{(m_\mu - \epsilon_\mu)}\right] \left[2 + (m_\mu - \epsilon_\mu) \frac{\partial}{\partial(m_\mu - \epsilon_\mu)}\right] \right\} |\langle b || J^{(-)}(m_\mu - \epsilon_\mu) || a \rangle|^2 \quad (20)$$

so that, using closure, and remembering Eqs. (2a)–(3) and Eqs. (9)–(12),

$$\Lambda_a = KZ_{\text{eff}}^4 Z^{-1} \left(1 - \frac{\epsilon_\mu}{m_\mu}\right)^2 \left\{ \langle a || J^{(+)}(m_\mu - \epsilon_\mu) || a \rangle - \left[ \frac{2}{m_\mu - \epsilon_\mu} + \frac{\partial}{\partial(m_\mu - \epsilon_\mu)} \right] \left[ \frac{(m_\mu - \epsilon_\mu)^2}{2m_\mu} \langle a || W^{(+)}(m_\mu - \epsilon_\mu) || a \rangle \right] \right\} \\ = KZ_{\text{eff}}^4 Z^{-1} \left(1 - \frac{\epsilon_\mu}{m_\mu}\right)^2 \left\{ \langle a || J^{(+)}(m_\mu - \epsilon_\mu) || a \rangle - 2 \left( \frac{m_\mu - \epsilon_\mu}{m_\mu} \right) \langle a || W^{(+)}(m_\mu - \epsilon_\mu) || a \rangle \right. \\ \left. - \left[ \frac{(m_\mu - \epsilon_\mu)^2}{2m_\mu} \right] \left\langle a \left| \frac{\partial}{\partial(m_\mu - \epsilon_\mu)} W^{(+)}(m_\mu - \epsilon_\mu) \right| a \right\rangle \right\} \\ = KZ_{\text{eff}}^4 \left(1 - \frac{\epsilon_\mu}{m_\mu}\right)^2 \left\{ \left[1 - 2 \left( \frac{m_\mu - \epsilon_\mu}{m_\mu} \right) \right] + \left( \frac{A}{Z} \right) \left[ \langle a || K^{(+)}(m_\mu - \epsilon_\mu) || a \rangle - 2 \left( \frac{m_\mu - \epsilon_\mu}{m_\mu} \right) \langle a || Y^{(+)}(m_\mu - \epsilon_\mu) || a \rangle \right] \right. \\ \left. - \left[ \frac{(m_\mu - \epsilon_\mu)^2}{2m_\mu} \right] \left( \frac{A}{Z} \right) \left\langle a \left| \frac{\partial}{\partial(m_\mu - \epsilon_\mu)} Y^{(+)}(m_\mu - \epsilon_\mu) \right| a \right\rangle \right\}, \quad (21)$$

where the last term can be neglected compared to the next to last term

$$\left\{ \text{from Eq. (12), } \left| \frac{2^{-1} \langle a || (m_\mu - \epsilon_\mu) \{ [\partial/\partial(m_\mu - \epsilon_\mu)] Y^{(+)}(m_\mu - \epsilon_\mu) \} || a \rangle}{2 \langle a || Y^{(+)}(m_\mu - \epsilon_\mu) || a \rangle} \right| \approx \frac{1}{40} (m_\mu - \epsilon_\mu)^2 \left( \frac{\langle a || \vec{x}_k - \vec{x}_l ||^4 | a \rangle}{\langle a || \vec{x}_k - \vec{x}_j ||^2 | a \rangle} \right) \ll 1, \right.$$

where  $k$  and  $j$  are nearest neighbors  $\left. \right\}$ .

Thus, introducing Eq. (5a) and Eq. (13) into Eq. (21),

$$\Lambda_a = KZ_{\text{eff}}^4 \left(1 - \frac{\epsilon_\mu}{m_\mu}\right)^2 \left[1 - 2\left(\frac{m_\mu - \epsilon_\mu}{2m_\pi}\right)\right] \left\{1 + \left(\frac{A}{2Z}\right)\beta_{0;a} - \left(\frac{A-2Z}{2Z}\right)\beta_{1;a} - \left(\frac{A-Z}{2A} + \frac{|A-2Z|}{8ZA}\right)\beta_{2;a}\right\};$$

$$\beta_{0;a} \equiv \left[1 - 2\left(\frac{m_\mu - \epsilon_\mu}{m_\pi}\right)\right]^{-1} \left\{\langle a \| \left[2K_0^{(+)}(m_\mu - \epsilon_\mu) + K_2^{(+)}(m_\mu - \epsilon_\mu)\right] - 2\left(\frac{m_\mu - \epsilon_\mu}{m_\pi}\right)\right.$$

$$\times \left. \left[2Y_0^{(+)}(m_\mu - \epsilon_\mu) + Y_2^{(+)}(m_\mu - \epsilon_\mu)\right] \| a \rangle\right\},$$

$$\beta_{1;a} \equiv - \left[1 - 2\left(\frac{m_\mu - \epsilon_\mu}{m_\pi}\right)\right]^{-1} 2\left(\frac{m_\mu - \epsilon_\mu}{m_\pi}\right) \left[\langle a \| Y_1^{(+)}(m_\mu - \epsilon_\mu) \| a \rangle\right],$$

$$\beta_{2;a} \equiv \left[1 - 2\left(\frac{m_\mu - \epsilon_\mu}{m_\pi}\right)\right]^{-1} \left[\langle a \| 4K_2^{(+)}(m_\mu - \epsilon_\mu) - 2\left(\frac{m_\mu - \epsilon_\mu}{m_\pi}\right) 4Y_2^{(+)}(m_\mu - \epsilon_\mu) \| a \rangle\right], \quad (22)$$

which, with  $\beta_{0;a}$ ,  $\beta_{1;a}$ , and  $\beta_{2;a}$  treated as constants independent of  $A$  and  $Z$ , i.e., taken as the same for all initial nuclear ground states  $|a\rangle$ , constitutes a three-parameter fit to the experimental data on the total muon-capture rates.<sup>11</sup> With the values of  $\beta_{0;a}$ ,  $\beta_{1;a}$ , and  $\beta_{2;a}$ :

$$\beta_{0;a} = -0.030, \quad \beta_{1;a} = -0.25, \quad \beta_{2;a} = 3.24, \quad (23)$$

the fit is characterized by the mean absolute deviation (see Table I),

$$\left| \frac{\Lambda_a^{\text{exper}} - \Lambda_a^{\text{fit}}}{\Lambda_a^{\text{exper}}} \right| = 5.6\%, \quad (24)$$

where the right-hand side corresponds to an av-

TABLE I. Values of  $\Lambda_a^{\text{fit}}$ ,  $\Lambda_a^{\text{exper}}$ , and  $|\Lambda_a^{\text{exper}} - \Lambda_a^{\text{fit}}|/\Lambda_a^{\text{exper}}$  for a few representative elements. The number given at the right-hand side of Eq. (24) is the average over the 57 elements ( $[8] \leq [Z] \leq [92]$ ) listed in Ref. 11.

Element	$\Lambda_a^{\text{fit}}$ ( $10^6 \text{ sec}^{-1}$ )	$\Lambda_a^{\text{exper}}$ ( $10^6 \text{ sec}^{-1}$ )	$\left  \frac{\Lambda_a^{\text{exper}} - \Lambda_a^{\text{fit}}}{\Lambda_a^{\text{exper}}} \right $ (%)
$^8\text{O}$	0.1151	$0.0974 \pm 0.0031$	18.2
$^{16}\text{S}$	1.244	$1.338 \pm 0.007$	7.0
$^{20}\text{Ca}$	2.46	$2.45 \pm 0.02$	0.4
$^{24}\text{Cr}$	3.06	$3.29 \pm 0.04$	7.1
$^{30}\text{Zn}$	5.48	$5.74 \pm 0.04$	4.5
$^{42}\text{Mo}$	9.55	$9.22 \pm 0.06$	3.6
$^{48}\text{Cd}$	10.66	$10.62 \pm 0.10$	0.4
$^{54}\text{Ba}$	10.40	$10.18 \pm 0.10$	2.2
$^{64}\text{Gd}$	11.89	$12.09 \pm 0.16$	1.7
$^{73}\text{Ta}$	12.74	$12.86 \pm 0.13$	0.9
$^{82}\text{Pb}$	13.05	$13.02 \pm 0.11$	0.3
$^{92}\text{U}$	11.5	$11.0 \pm 0.5$	4.9

erage over 57 elements:  $[8] \leq [Z] \leq [92]$ .

Viewed as a sum rule, the expression for  $\Lambda_a$  in Eq. (22) does not have any explicit dependence on  $\nu_a$  and is for this reason preferable to the NEWSR sum rule for  $\Lambda_a$  in Eq. (6) and the EWSR sum rule for  $\Lambda_a$  in Eq. (14); in addition, the good quality of the fit given by Eqs. (23) and (24) is a compelling indication that  $\beta_{0;a}$ ,  $\beta_{1;a}$ , and  $\beta_{2;a}$  are, at least approximately, constants independent of  $A$  and  $Z$ .<sup>12</sup> Further, the sum rule for  $\Lambda_a$  in Eq. (22) must be viewed as a combined NEWSR and EWSR since not only the quantities  $\langle a \| K_{0,2}^{(+)}(m_\mu - \epsilon_\mu) \| a \rangle$  [Eqs. (5a)–(6)], but also the quantities

$$\langle a \| Y_{0,1,2}^{(+)}(m_\mu - \epsilon_\mu) \| a \rangle$$

[Eqs. (12)–(14)] enter into the parameters  $\beta_{0;a}$ ,  $\beta_{1;a}$ , and  $\beta_{2;a}$ . As a general comment, we emphasize again that the  $\langle a \| K_{0,2}^{(+)}(m_\mu - \epsilon_\mu) \| a \rangle$  and the  $\langle a \| Y_{0,1,2}^{(+)}(m_\mu - \epsilon_\mu) \| a \rangle$  depend on nucleon-nucleon correlations in the state  $|a\rangle$  as conditioned by the internucleon forces and the exclusion principle [see Eqs. (3)–(5b) and Eqs. (11)–(13)].

Of course, the *a priori* calculation of the  $\langle a \| K_{0,2}^{(+)}(m_\mu - \epsilon_\mu) \| a \rangle$  and the  $\langle a \| Y_{0,1,2}^{(+)}(m_\mu - \epsilon_\mu) \| a \rangle$ ,<sup>13</sup> and so of the  $\beta_{0;a}$ ,  $\beta_{1;a}$ , and  $\beta_{2;a}$  [using Eqs. (3)–(5b), (11)–(13), and (22)] is a task of great difficulty but may nevertheless prove feasible in the present state of nuclear dynamics.<sup>14</sup>

We proceed to discuss the validity of the approximation  $|M_V|^2 = |M_A|^2 = |M_P|^2$  used in Eqs. (2a) and (2b). This approximation becomes exact only in the limit of SU4 invariance of the internucleon forces but may still hold with reasonably good accuracy even if the internucleon forces break SU4 to an appreciable extent.<sup>14a</sup> Without the  $|M_V|^2 = |M_A|^2 = |M_P|^2$  approximation, the combined NEWSR-EWSR sum rule for  $\Lambda_a$  in Eqs. (21)

and (22) is replaced by

$$\begin{aligned}
\Lambda_a &= KZ_{\text{eff}}^4 \left(1 - \frac{\epsilon_\mu}{m_\mu}\right)^2 \left\{ \left[1 - 2 \left(\frac{m_\mu - \epsilon_\mu}{m_{\text{gr}}}\right)\right] + \left(\frac{A}{Z}\right) \left[ \sum_{i=V, A, P} b_i \langle a | K_i^{(+)}(m_\mu - \epsilon_\mu) | a \rangle - 2 \left(\frac{m_\mu - \epsilon_\mu}{m_{\text{gr}}}\right) \right. \right. \\
&\quad \left. \left. \times \sum_{i=V, A, P} b_i \langle a | Y_i^{(+)}(m_\mu - \epsilon_\mu) | a \rangle \right] \right\} \\
&= KZ_{\text{eff}}^4 \left(1 - \frac{\epsilon_\mu}{m_\mu}\right)^2 \left[1 - 2 \left(\frac{m_\mu - \epsilon_\mu}{m_{\text{gr}}}\right)\right] \left\{ 1 + \left(\frac{A}{2Z}\right) \beta'_{0;a} - \left(\frac{A-2Z}{2Z}\right) \beta'_{1;a} - \left(\frac{A-Z}{2A} + \frac{|A-2Z|}{8ZA}\right) \beta'_{2;a} \right\}; \\
\beta'_{0;a} &\equiv \left[1 - 2 \left(\frac{m_\mu - \epsilon_\mu}{m_{\text{gr}}}\right)\right]^{-1} \left( \sum_{i=V, A, P} b_i \langle a | \{2[K_0^{(+)}(m_\mu - \epsilon_\mu)]_i + [K_2^{(+)}(m_\mu - \epsilon_\mu)]_i\} \right. \\
&\quad \left. - 2 \left(\frac{m_\mu - \epsilon_\mu}{m_{\text{gr}}}\right) \{2[Y_0^{(+)}(m_\mu - \epsilon_\mu)]_i + [Y_2^{(+)}(m_\mu - \epsilon_\mu)]_i\} | a \rangle \right), \\
\beta'_{1;a} &\equiv - \left[1 - 2 \left(\frac{m_\mu - \epsilon_\mu}{m_{\text{gr}}}\right)\right]^{-1} 2 \left(\frac{m_\mu - \epsilon_\mu}{m_{\text{gr}}}\right) \left( \sum_{i=V, A, P} b_i \langle a | [Y_1^{(+)}(m_\mu - \epsilon_\mu)]_i | a \rangle \right), \\
\beta'_{2;a} &\equiv \left[1 - 2 \left(\frac{m_\mu - \epsilon_\mu}{m_{\text{gr}}}\right)\right]^{-1} \left( \sum_{i=V, A, P} b_i \langle a | 4[K_2^{(+)}(m_\mu - \epsilon_\mu)]_i - 2 \left(\frac{m_\mu - \epsilon_\mu}{m_{\text{gr}}}\right) 4[Y_2^{(+)}(m_\mu - \epsilon_\mu)]_i | a \rangle \right); \\
b_V &\equiv \frac{G_V^2}{G_V^2 + 3G_A^2 + G_P^2 - 2G_P G_A}, \quad b_A \equiv \frac{G_A^2}{G_V^2 + 3G_A^2 + G_P^2 - 2G_P G_A}, \quad b_P \equiv \frac{G_P^2 - 2G_P G_A}{G_V^2 + 3G_A^2 + G_P^2 - 2G_P G_A}, \quad (25)
\end{aligned}$$

where  $\langle a | [K_{0,2}^{(+)}(m_\mu - \epsilon_\mu)]_V | a \rangle$  and  $\langle a | [Y_{0,1,2}^{(+)}(m_\mu - \epsilon_\mu)]_V | a \rangle$  are our previous  $\langle a | [K_{0,2}^{(+)}(m_\mu - \epsilon_\mu)]_V | a \rangle$  and  $\langle a | [Y_{0,1,2}^{(+)}(m_\mu - \epsilon_\mu)]_V | a \rangle$  and where  $\langle a | [K_{0,2}^{(+)}(m_\mu - \epsilon_\mu)]_{A,P} | a \rangle$  and  $\langle a | [Y_{0,1,2}^{(+)}(m_\mu - \epsilon_\mu)]_{A,P} | a \rangle$  are related to our previous  $\langle a | [K_{0,2}^{(+)}(m_\mu - \epsilon_\mu)]_A | a \rangle$  and  $\langle a | [Y_{0,1,2}^{(+)}(m_\mu - \epsilon_\mu)]_A | a \rangle$  in the sense of the replacement of

$$\langle b | J^{(\mp)}(m_\mu - \epsilon_\mu) | a \rangle = \langle b | J_V^{(\mp)}(m_\mu - \epsilon_\mu) | a \rangle = \left\langle b \left| \sum_{j=1}^A \tau_j^{(\mp)} e^{\mp i(m_\mu - \epsilon_\mu) \hat{v} \cdot \vec{x}_j} \right| a \right\rangle$$

by

$$\langle b | \tilde{J}^{(\mp)}(m_\mu - \epsilon_\mu) | a \rangle = \left\langle b \left| \sum_{j=1}^A \tau_j^{(\mp)} \frac{\tilde{\sigma}_j}{\sqrt{3}} e^{\mp i(m_\mu - \epsilon_\mu) \hat{v} \cdot \vec{x}_j} \right| a \right\rangle$$

and

$$\langle b | J_P^{(\mp)}(m_\mu - \epsilon_\mu) | a \rangle = \left\langle b \left| \sum_{j=1}^A \tau_j^{(\mp)} \tilde{\sigma}_j \cdot \hat{v} e^{\mp i(m_\mu - \epsilon_\mu) \hat{v} \cdot \vec{x}_j} \right| a \right\rangle.$$

Thus, if the  $\beta'_{0;a}$ ,  $\beta'_{1;a}$ , and  $\beta'_{2;a}$  are treated as constants independent of  $A$  and  $Z$ , a three-parameter fit to the experimental data on the total muon-capture rates<sup>11</sup> is provided by Eq. (25) [just as such a fit is provided by Eq. (22)] with the values of  $\beta'_{0;a}$ ,  $\beta'_{1;a}$ , and  $\beta'_{2;a}$  required for the fit being identical with the values of  $\beta_{0;a}$ ,  $\beta_{1;a}$ , and  $\beta_{2;a}$  given by Eq. (23). The general comments made above with regard to the  $\beta_{0;a}$ ,  $\beta_{1;a}$ , and  $\beta_{2;a}$  can also be made with regard to the  $\beta'_{0;a}$ ,  $\beta'_{1;a}$ , and  $\beta'_{2;a}$ .

A few remarks should now be set down regarding the circumstances under which one may expect appreciable differences among the  $|M_V|^2$ ,  $|M_A|^2$ , and  $|M_P|^2$  and hence among the  $\langle a | [K_{0,2}^{(+)}(m_\mu - \epsilon_\mu)]_i | a \rangle$ . Such differences are related to the magnitude of the quantities [see Eqs. (7a)–(8) in Ref. 3]

$$\begin{aligned}
\alpha' &\equiv \langle a | \{b_V[(\vec{T})^2 - (T^{(3)})^2] + (b_A + b_P)[(\vec{Y}^{(1)})^2 + (\vec{Y}^{(2)})^2] - (b_V + b_A + b_P)[(\vec{T})^2 - (T^{(3)})^2]\} | a \rangle / Z \\
&= \frac{b_A + b_P}{Z} \langle a | [(\vec{Y}^{(1)})^2 + (\vec{Y}^{(2)})^2] - [(T^{(1)})^2 + (T^{(2)})^2] | a \rangle, \\
T^{(1),(2),(3)} &= \sum_{j=1}^A \frac{1}{2} \tau_j^{(1),(2),(3)}, \quad \vec{Y}^{(1),(2),(3)} = \sum_{j=1}^A \frac{1}{2} \tau_j^{(1),(2),(3)} (\tilde{\sigma}_j / \sqrt{3}), \quad (26)
\end{aligned}$$



and

$$\begin{aligned}\alpha'' &\equiv \langle a | \{ b_V - \frac{1}{3}(b_A + b_P) \} (-2\vec{S}_{\text{prot}} \cdot \vec{S}_{\text{neut}}) - (b_V + b_A + b_P) (-2\vec{S}_{\text{prot}} \cdot \vec{S}_{\text{neut}}) | a \rangle / Z \\ &= \frac{8}{3} \frac{b_A + b_P}{Z} \langle a | \vec{S}_{\text{prot}} \cdot \vec{S}_{\text{neut}} | a \rangle, \\ \vec{S}_{\text{prot}} &= \sum_{j=1}^A \left( \frac{1 + \tau_j^{(3)}}{2} \right) \left( \frac{\vec{\sigma}_j}{2} \right), \quad \vec{S}_{\text{neut}} = \sum_{j=1}^A \left( \frac{1 - \tau_j^{(3)}}{2} \right) \left( \frac{\vec{\sigma}_j}{2} \right),\end{aligned}\quad (27)$$

in the sense that large (small)  $\alpha'$  and  $\alpha''$  compared to  $1/Z$  imply large (small) relative differences among the  $\langle a | [K_{0,2}^{(+,-)}(m_\mu - \epsilon_\mu)]_i | a \rangle$ . The quantities  $\alpha'$  and  $\alpha''$  vanish in the ground state  $|a\rangle$  of an even-even, or an even-odd, or an odd-even nucleus in the approximation in which  $|a\rangle$  is a member of a SU4 multiplet, and tend to be significant in light even-even, even-odd, and odd-even nuclei with unfilled outer proton and outer neutron shells,<sup>5</sup> e.g.,  $^{12}_6\text{C}$  where

$$\langle a | (\vec{Y}^{(1)})^2 + (\vec{Y}^{(2)})^2 | a \rangle \neq 0, \quad \langle a | (T^{(1)})^2 + (T^{(2)})^2 | a \rangle = 0,$$

and in light odd-odd nuclei with  $Z = A - Z$ , e.g.,  $^{14}_7\text{N}$  where<sup>14a</sup>

$$\langle a | (\vec{Y}^{(1)})^2 + (\vec{Y}^{(2)})^2 | a \rangle = 2, \quad \langle a | (T^{(1)})^2 + (T^{(2)})^2 | a \rangle = 0, \quad \langle a | \vec{S}_{\text{prot}} \cdot \vec{S}_{\text{neut}} | a \rangle = \frac{1}{4}.$$

In these light nuclei, monopole transitions which violate  $|M_V|^2 = |M_A|^2 = |M_P|^2$  occur with appreciable probability; thus, in  $\mu^- + ({}^{12}_6\text{C})_{g.s.;a} \rightarrow \nu_\mu + ({}^{12}_6\text{B})_{g.s.;b}$ , we have

$$\begin{aligned}\langle b | \{ J_V^{(-)}(m_\mu - \epsilon_\mu) \}_{i=0} | a \rangle &= \langle b | \sum_{j=1}^A \tau_j^{(-)} \frac{\sin[(m_\mu - \epsilon_\mu) |\vec{x}_j|]}{[(m_\mu - \epsilon_\mu) |\vec{x}_j|]} | a \rangle = 0, \\ \langle b | \{ \vec{J}_A^{(-)}(m_\mu - \epsilon_\mu) \}_{i=0} | a \rangle &= \langle b | \sum_{j=1}^A \tau_j^{(-)} \frac{\vec{\sigma}_j}{\sqrt{3}} \left( \frac{\sin[(m_\mu - \epsilon_\mu) |\vec{x}_j|]}{[(m_\mu - \epsilon_\mu) |\vec{x}_j|]} \right) | a \rangle \\ &\cong \langle b | \sum_{j=1}^A \tau_j^{(-)} \frac{\vec{\sigma}_j}{\sqrt{3}} | a \rangle \approx 1,\end{aligned}$$

$$\langle b | \{ J_P^{(-)}(m_\mu - \epsilon_\mu) \}_{i=0} | a \rangle = \sqrt{3} \nu \cdot \langle b | \{ \vec{J}_A^{(-)}(m_\mu - \epsilon_\mu) \}_{i=0} | a \rangle.$$

We also note that, in general, the differences among the  $\langle a | [Y_{0,1,2}^{(+,-)}(m_\mu - \epsilon_\mu)]_i | a \rangle$  will be greater than the differences among the

$$\langle a | [K_{0,2}^{(+,-)}(m_\mu - \epsilon_\mu)]_i | a \rangle$$

since, according to Eqs. (11)–(13), the spin dependent part of  $u_{im}$  will contribute to

$$\langle a | [Y_{0,1,2}^{(+,-)}(m_\mu - \epsilon_\mu)]_A | a \rangle$$

and

$$\langle a | [Y_{0,1,2}^{(+,-)}(m_\mu - \epsilon_\mu)]_P | a \rangle$$

but not to

$$\langle a | [Y_{0,1,2}^{(+,-)}(m_\mu - \epsilon_\mu)]_V | a \rangle.$$

Our combined NEWSR-EWSR expression for  $\Lambda_a$  in Eqs. (21) and (22) or in Eq. (25) bears some resemblance to the expression for  $\Lambda_a$  investigated

by Bernabeu,<sup>15</sup> but differs from the latter in that  $[\Lambda_a]_{\text{ours}}$  results from an expansion in  $(E_b - E_a)/(m_\mu - \epsilon_\mu)$  while  $[\Lambda_a]_{\text{Bernabeu}}$  results from an expansion in

$$\begin{aligned}\frac{(E_b - E_a) - \langle E_b \rangle - E_a}{(m_\mu - \epsilon_\mu)} &= \frac{(E_b - E_a) - (m_\mu - \epsilon_\mu - \nu_a)}{(m_\mu - \epsilon_\mu)} \\ &\cong \frac{(E_b - E_a) - \frac{1}{4}(m_\mu - \epsilon_\mu)}{m_\mu - \epsilon_\mu},\end{aligned}$$

thus, as emphasized above, the  $\Lambda_a$  of Eqs. (21) and (22) or of Eq. (25) does not contain in any explicit way the average neutrino energy  $\nu_a$ . We should also mention that if one expands the quantity

$$\frac{\sin[(m_\mu - \epsilon_\mu) |\vec{x}_k - \vec{x}_j|]}{(m_\mu - \epsilon_\mu) |\vec{x}_k - \vec{x}_j|}$$

entering into  $K^{(+)}(m_\mu - \epsilon_\mu)$  and  $Y^{(+)}(m_\mu - \epsilon_\mu)$  in a power series in  $[(m_\mu - \epsilon_\mu) |\vec{x}_k - \vec{x}_j|]$  [which expansion corresponds after a suitable rearrangement of terms to a multipole expansion of the neutrino plane wave in  $J^{(-)}(m_\mu - \epsilon_\mu)$ ] one again finds<sup>5</sup> (albeit somewhat model dependently) that, while the higher powers of  $[(m_\mu - \epsilon_\mu) |\vec{x}_k - \vec{x}_j|]$  contribute relatively more to  $\langle a | K^{(+)}(m_\mu - \epsilon_\mu) | a \rangle$  and  $\langle a | Y^{(+)}(m_\mu - \epsilon_\mu) | a \rangle$  for large  $Z$  and  $A$  than for small  $Z$  and  $A$ , the mean nuclear excitation energy shows no general tendency to increase with increasing  $Z$  and  $A$ .<sup>16</sup>

### V. CONCLUSION

Aside from the arguments which (a) exhibit a connection between the  $(A-Z)/2A$  term in  $\Lambda_a$  and the isotensor part of the initial-state expectation value of the absolute square of the muon-capture current, and (b) which indicate that the mean nuclear excitation energy in muon capture does not vary appreciably as  $A$  and  $Z$  vary, the main re-

sult of the present paper is contained in the combined NEWSR-EWSR expression for  $\Lambda_a$  given in Eq. (25) [or Eq. (22)]; this expression provides a three-parameter fit to the experimental data on the total muon-capture rates [see Eqs. (23) and (24)]. The three parameters which enter the fit depend on the isoscalar, isovector, and isotensor parts of the expectation values over the initial nuclear ground states of certain nucleon-nucleon correlation functions. The *a priori* calculation of these parameters is, obviously, a task of great difficulty, but, if carried out successfully, would offer considerable insight into the interplay of the internucleon forces and the Pauli exclusion principle in determining the nucleon-nucleon correlations.

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<sup>1</sup>See, e.g., S. Fallieros, in *Proceedings of the International Conference on Photonuclear Reactions and Applications, Asilomar, 1973*, edited by B. L. Berman (Lawrence Livermore Laboratory, Univ. of California, Livermore, 1973).

<sup>2</sup>A. M. Lane and H. Mekjian, *Phys. Rev. C* **8**, 1981 (1973).

<sup>3</sup>H. Primakoff, *Rev. Mod. Phys.* **31**, 802 (1959).

<sup>4</sup>L. L. Foldy and J. D. Walecka, *Nuovo Cimento* **34**, 1026 (1964).

<sup>5</sup>J. Joseph, F. Ledoyen, and B. Goulard, *Phys. Rev. C* **6**, 1742 (1972); B. Goulard, J. Joseph, and F. Ledoyen, *Phys. Rev. Lett.* **27**, 1238, 1550 (1971).

<sup>6</sup>B. Goulard, G. Goulard, and H. Primakoff, *Phys. Rev.* **133**, B186 (1964).

<sup>7</sup>See, e.g., R. J. Blin-Stoyle, *Fundamental Interactions and the Nucleus* (North-Holland, Amsterdam, 1973). It is possible that meson exchange becomes sufficiently important for heavy nuclei to modify significantly the effective value of  $G_A$ . See M. Ericson, A. Figureau, and C. Thévenet, *Phys. Lett.* **45B**, 19 (1973); A. Figureau, private communication; M. Rho, to be published.

<sup>8</sup>L. B. Auerbach, R. J. Esterling, R. E. Hill, D. A. Jenkins, J. T. Loch, and N. A. Pinian, *Phys. Rev.* **138**, B127 (1965); D. R. Clay, J. W. Keuffel, R. L. Wagner, Jr., and J. M. Edelstein, *Phys. Rev.* **140**, B587 (1965).

<sup>9</sup>According to Ref. 2, the isospin splitting of the energy of the electromagnetic giant dipole resonance of a nucleus  $[Z, A]$ ,  $(\Delta E/E)_a$ , is also linked to an isotensor part of a diagonal matrix element, viz. [see Eq. (13b) of Ref. 2]:

$$(\Delta E/E)_a \cong \{[3T_a(2T_a-1)K_{2;1-} - [T_a J_{2;1-}]]\}; \quad T_a \gg 1,$$

where  $K_{2;1-}$  and  $J_{2;1-}$  are related to the  $K_{\frac{1}{2}}^{(+)}(\nu_a)$  and  $J_{\frac{1}{2}}^{(+)}(\nu_a)$  above by the replacement of  $\tau_j^{(\mp)} e^{i\vec{v}_a \cdot \vec{x}_j} \vec{x}_j$  by  $[(1 + \tau_j^{(3)})/2] (\vec{x}_j - A^{-1} \sum_{m=1}^A \vec{x}_m)$ .

<sup>10</sup>P. Christillin, A. Dellafiore, and M. Rosa-Clot, *Phys. Rev. Lett.* **31**, 1012 (1973). A critical comment on the conclusions reached in this reference has been given by F. Cannata and N. C. Mukhopadhyay, *Phys. Rev. C* **10**, 379 (1974), and this comment has been further critically commented on by P. Christillin, A. Dellafiore, and M. Rosa-Clot in a University of Pisa Report (unpublished).

<sup>11</sup>M. Eckhause, R. T. Siegel, R. E. Welsh, and T. A. Filipas, *Nucl. Phys.* **81**, 575 (1966); V. L. Telegdi, *Phys. Rev. Lett.* **8**, 327 (1962); J. C. Sens, *Phys. Rev.* **113**, 679 (1959). See also K. W. Ford and J. G. Wills, *Nucl. Phys.* **35**, 295 (1962).

<sup>12</sup>The three parameters  $\beta_{0;a}$ ,  $\beta_{1;a}$ , and  $\beta_{2;a}$  are expected to exhibit larger fluctuations with varying  $Z$  and  $A$  for light nuclei than for heavy nuclei. Indeed, the mean deviation given in Eq. (24) is reduced to 5.0% if the nine elements with  $8 \leq [Z] < [20]$  are omitted from the set of 57 elements over which  $|\langle \Lambda_a^{\text{exper}} - \Lambda_a^{\text{th}} \rangle / \Lambda_a^{\text{exper}}|$  is averaged.

<sup>13</sup>We note, since the  $\nu_{kj}$  appear explicitly in Eq. (12) but not in Eq. (3), that the ultimate dependence of the  $\langle a | Y_{0,1,2}^{(+)}(m_\mu - \epsilon_\mu) | a \rangle$  on the internucleon forces is both explicit and implicit while that of the  $\langle a | K_{0,2}^{(+)}(m_\mu - \epsilon_\mu) | a \rangle$  is only implicit.

<sup>14</sup>W. T. Weng, T. T. S. Kuo, and G. E. Brown, *Phys. Lett.* **46B**, 329 (1973); M. Fink, M. Gari, and H. Hebach, *ibid.* **49B**, 20 (1974); W. T. Weng and T. T. S. Kuo, *Bull. Am. Phys. Soc.* **19**, 427 (1974).

<sup>14a</sup>For odd-odd nuclei with  $Z = A - Z$ ,  $|M_V|^2 \neq |M_A|^2 \neq |M_P|^2$  even in the SU(4) limit. See B. Goulard and H. Primakoff, *Phys. Rev.* **135**, B1139 (1964).

<sup>15</sup>J. Bernabeu, *Nucl. Phys.* **A201**, 41 (1973); **A215**, 411 (1973); J. Bernabeu and F. Cannata, *Phys. Lett.* **45B**, 445 (1973); *Nucl. Phys.* **A215**, 424 (1973). See also G. Do Dang, *Phys. Lett.* **38B**, 397 (1972).

<sup>16</sup>This last result can be understood if one recalls that  $\langle E_b \rangle - E_a = \langle E_{b\text{-anal}} \rangle - E_a - E_C$  where  $E_{b\text{-anal}}$  is the state in  $[Z, A]$  which is the isoanalog of the state  $|b\rangle$  in  $[Z-1, A]$  and  $E_C$  is the corresponding Coulomb-energy difference. In heavier nuclei, the larger relative contribution of higher multipole excitations is associated with transitions to higher isoanalog states and so

with a tendency to greater  $\langle E_{b\text{-anal}} \rangle - E_a$  and hence greater  $\langle E_b \rangle - E_a$ . This tendency, however, is compensated by an opposing tendency arising from the shrinkage of the nuclear level spacing ( $\sim A^{-1/3}$ ) and the increase of  $E_C$  ( $\sim Z$ ) so that  $\langle E_b \rangle - E_a$ , and therefore  $[\langle E_b \rangle - \langle E_b \rangle_{g.s.}]$ , actually remain more or less constant as  $A$  and  $Z$  increase.