Effect of resonant impurity scattering of carriers on the Drude-peak broadening in uniaxially strained graphene

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An explanation is proposed for the recently observed in optical spectra of monolayer graphene giant increase in the Drude-peak width under applied uniaxial strain. We argue that the underlying mechanism of this increase can be based on resonant scattering of carriers from inevitably present impurities such as adsorbed atoms that can be described by the Fano-Anderson model. We demonstrate that the often neglected scalar deformation potential plays the essential role in this process. The conditions necessary for the maximum effect of the giant Drude-peak broadening are determined. It is stressed that the effect is strongly enhanced when the Fermi level gets closer to the Dirac point. Our theoretical analysis provides guidelines for functionalizing graphene samples in a way that would allow to modulate efficiently the Drude-peak width by the applied strain.

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I. INTRODUCTION

It is not surprising that after 14 years from the discovery of graphene there is a shift from the fundamental toward the applied research. Despite the fact that the electronic subsystem of graphene is well understood in the tight-binding approximation, some fundamental issues of its physics still remain unresolved. A curious mixture of fundamental and applied physics that explores possibilities to use strain for controlling physical properties of graphene was recently coined out as *straintronics* [1–4].

Carbon atoms in the monolayer graphene constitute a honeycomb lattice due to sp^2 hybridization of their orbitals. These in-plane hybridized orbitals form σ bonds that are responsible for outstanding mechanical strength and stiffness of graphene, which is able to sustain elastic deformations in excess of 20% [5]. The graphene's electronic, e.g., transport and optical (see the review in Ref. [6]) properties are among the most desired to control for both fundamental physics and technology. These properties are governed by the electrons in the valence and conduction bands. The latter are formed by the remaining $2p_{z}$ orbitals (making π bonds), which are arranged perpendicular to the graphene sheet. Since there is no significant mixing between states belonging to $2p_z$ and $2sp^2$ bands, the electronic properties in vicinity of the Fermi energy can be well described by a tight-binding model with only one orbital per atom. Then, the impact of the deformation on the electronic properties can be modeled by taking into account the strain dependence of the hopping parameters as well as onsite energies of these orbitals.

The uniaxial stretch is the simplest strain configuration for theoretical and experimental study. The optical conductivity of uniaxially strained graphene was studied theoretically in Refs. [7-11]. The main result of these works is the anisotropic renormalization of the interband conductivity as a function

of magnitude and direction of strain. These simple enough predictions seem to be in agreement with the measurements [12] of transparency in the visible range in large-area chemical vapor deposited (CVD) monolayer graphene prestrained on a polyethylene terephthalate (PET) substrate. The uniaxial strain of the order of 0.5% results in a transparency change of 0.1%.

The production of monolayer 30-in graphene films [13] has opened a route to various practical applications. However, CVD graphene exhibits low carrier mobility compared to exfoliated graphene. This indicates that the carrier scattering in the former case is more substantial. A recent experimental study of the far-infrared transmission spectra of large-area CVD monolayer graphene on a PET substrate [14] revealed a new rather strong effect that has not been theoretically expected. It was found that the Drude-peak width increases by more than 10% per 1% of applied uniaxial strain, while the Drude weight and, therefore, the Fermi energy remain unchanged. To exclude the effect of relaxation of wrinkles and folds, directly seen by atomic-force microscopy, the actual strain was measured using the Raman spectroscopy. Possible sources of electron scattering in graphene that may be responsible for the observed effect were theoretically analyzed in Ref. [14]. They include short-range point defects, long-range charged impurities, acoustic phonons, surface phonons in the substrate and grain boundaries. It was suggested that while the effect of surface phonons in the substrate cannot be excluded, the dominant increase of the width is due to scattering from charged impurities related to the reduction of the effective graphene-PET distance.

Another infrared spectroscopy study of carrier scattering of large-area CVD monolayer graphene on SiO_2/Si substrate was recently done in Ref. [15]. The optical carrier scattering rate as a function of the carrier density was studied, although its strain dependence was not investigated.

The purpose of this work is to put more emphasis on the role of short-range impurities in the Drude-peak broadening. For this task, the point defects are assumed to be either chemically substituted carbon atoms, including their absence, i.e., vacancies, or adsorbed atoms or molecules on a graphene sheet. They originate both as by-products of a fabrication method and from exposure to an environment.

A significant amount of work done on the electron transport in graphene had shown that it is strongly affected by the resonance impurity states (see, e.g., Refs. [16,17] and the reviews in Refs. [18,19]). The corresponding partial scattering rate of carriers is significantly enhanced in a vicinity of the impurity resonance energy [20–22]. At that, the resonance energy itself strongly depends on the behavior of the unperturbed density of states (DOS). Thus, we expect that even small variation of the DOS caused by strain may result in a large change of the Drude-peak width.

The paper is organized as follows. In Sec. II we present model Hamiltonians that correspond to graphene with point defects. In Sec. III we describe modification of the basic parameters of the model under mechanical strain, and discuss its main implications. In Sec. IV we discuss the formalism employed for the impurity effect study and present analytical expressions for the main quantities that we consider in this paper. The results are provided in Sec. V. In Sec. V A, we present results for the Lifshitz model. In particular, we discuss the Born approximation as a weak scattering limit. In Sec. V B, we analyse the Fano-Anderson model. In Conclusions, Sec. VI, we give a concise summary of the obtained results.

II. MODELS

We start with the Hamiltonian

$$H = H_{\rm host} + H_{\rm imp},\tag{1}$$

where H_{host} is the Hamiltonian for electrons in strained graphene and H_{imp} is the impurity Hamiltonian. In turn, the Hamiltonian H_{host} of strained graphene consists of the two terms

$$H_{\rm host} = H_{\rm hop} + H_{\rm pot}.$$
 (2)

The conventional tight-binding Hamiltonian H_{hop} for π orbitals of carbon reads as

$$H_{\text{hop}} = -\sum_{\langle i,j \rangle} t_{ij} (\hat{c}_{A,i}^{\dagger} \hat{c}_{B,j} + \hat{c}_{B,j}^{\dagger} \hat{c}_{A,i}), \qquad (3)$$

where *i*, *j* run over *N*/2 lattice cells, indices *A* and *B* enumerate the sublattices, operator $\hat{c}_{\alpha,i}^{\dagger}$ ($\hat{c}_{\alpha,i}$) creates (annihilates) an electron at the corresponding lattice site, $\langle i, j \rangle$ denotes summing over nearest neighbors, t_{ij} is the strain-dependent hopping amplitude. Details on how deformation is included in the Hamiltonian and its expansion in the vicinity of the Dirac point are presented in Sec. III below.

The potential term is

$$H_{\rm pot} = \sum_{i,\alpha} U_{\alpha i} \hat{c}^{\dagger}_{\alpha i} \hat{c}_{\alpha i}, \qquad (4)$$

where $\alpha = A, B$ and $U_{\alpha i}$ is the onsite deformation-dependent potential. $U_{\alpha i}$ consists of the strain-independent part \mathcal{E}_{D} , which determines the energy of the Dirac point in unstrained graphene, and the strain-dependent part, which can be related to the interaction of the electrons with long-wavelength acoustic phonons [23].

In our description we assume that there is no mixing between the spin states, so the spin label can be omitted. The corresponding twofold degeneracy is taken into account when appropriate.

Graphene with point defects, in particular impurities that chemically substitute the carbon atoms or vacancies, can be modeled as a substitutional binary alloy with a diagonal disorder. In this simple model, the hopping integrals for the carbon-defect and defect-defect hoppings do not differ from the clean graphene case. The corresponding impurity Hamiltonian H_{imp} in this description, widely referred to as the Lifshitz model [24], reads as

$$H_L = V_L \sum_{l,\alpha} \eta_{\alpha l} \hat{c}^{\dagger}_{\alpha l} \hat{c}_{\alpha l}, \qquad (5)$$

where l = 1, ..., N/2 runs over the lattice cells with two atoms per cell, V_L is the impurity potential, and $\eta_{\alpha l}$ is unity on the sites occupied by the impurities and zero otherwise. In the model we use, the impurity potential V_L is the same for every site occupied by an impurity.

The impurities are supposed to be distributed between lattice sites without any correlation. Accordingly, $\eta_{\alpha l}$ equals one with the probability *c* and zero with the probability (1 - c). Thus, the probability *c* corresponds to the impurity concentration per site and does not depend on the strain. For a large system with *N* sites, the total amount of impurities goes to cN.

To describe adsorbed atoms or molecules on the graphene sheet, we employ the Fano-Anderson impurity model [25]. It introduces a possibility for an electron to transfer to an additional energy level that belongs to the adsorbed impurity bound to a host atom. The impurity part of the Hamiltonian H_{imp} describing the adatoms and their interaction with the host reads as

$$H_{\rm FA} = \sum_{l,\alpha} \eta_{\alpha l} \left[U_{\alpha l}^{\rm imp} d_{\alpha l}^{\dagger} d_{\alpha l} + (t_{\rm hyb} d_{\alpha l}^{\dagger} c_{\alpha l} + t_{\rm hyb}^{*} c_{\alpha l}^{\dagger} d_{\alpha l}) \right].$$
(6)

Here, index l = 1, ..., N/2 spans over the lattice cells, the parameter $\eta_{\alpha l}$ is used in the same sense as in Eq. (5), t_{hyb} is the hopping integral between the adatom and the host, $U_{\alpha l}^{\text{imp}}$ is the potential on the adatom site, $d_{\alpha,l}^{\dagger}$ and $d_{\alpha l}$ are the creation and annihilation operators for this level.

III. UNIAXIALLY STRAINED GRAPHENE

Let us recapitulate the main results necessary for the description of uniaxially strained graphene and discuss a possible role played by the deformation potential term. We choose the coordinate system so that the zigzag direction of the honeycomb lattice is parallel to the Ox axis (similar to Refs. [1,3,7–9,26]). The tensile stress, $\mathbf{T} = T \cos \theta \mathbf{e}_x + T \sin \theta \mathbf{e}_y$, can be applied at an arbitrary angle θ to the Ox axis [$\mathbf{e}_{x,y}$ are the unit vectors in the Ox(y) directions]. In the following, we will also refer to the principal coordinate system $Ox^{\theta}y^{\theta}$ in which **T** is aligned along the Ox^{θ} axis: $\mathbf{T} = T \mathbf{e}_x^{\theta}$.

Since we consider uniform planar strain, the components of two-dimensional strain tensor $\bar{\boldsymbol{\varepsilon}}$ are position independent. Accordingly, the displacement vector $\mathbf{u}(\mathbf{x})$ reads as $\mathbf{u}(\mathbf{x}) =$ $\bar{\boldsymbol{\varepsilon}} \cdot \mathbf{x}$. Thus, the actual position of an atom $\mathbf{x}' = \mathbf{x} + \mathbf{u}(\mathbf{x})$ can be written as $\mathbf{x}' = (\bar{\mathbf{I}} + \bar{\boldsymbol{\varepsilon}}) \cdot \mathbf{x}$, where $\bar{\mathbf{I}}$ is the unit 2 × 2 tensor.

It turns out that the planar deformation of the hexagonal crystal in the basal plane is determined by two independent stiffness (compliance) tensor components; in other words, it behaves as an isotropic planar solid [27]. Therefore, as we will find, the DOS is independent of the direction of the applied strain.

In the principal coordinate system $Ox^{\theta}y^{\theta}$, the only nonzero deformations are the longitudinal deformation $\varepsilon_{xx}^{\theta} = TS_{xxxx}$, and Poisson's transverse contraction $\varepsilon_{yy}^{\theta} = TS_{xxyy}$. Here, S_{xxxx} and S_{xxyy} are the two independent nonzero components of the compliance tensor. Accordingly, the strain tensor can be expressed in terms of the strain $\varepsilon \equiv \varepsilon_{xx}^{\theta}$ and the Poisson's ratio $\nu = -S_{xxyy}/S_{xxxx}$ as follows:

$$\bar{\boldsymbol{\varepsilon}}^{\theta} = \varepsilon \begin{pmatrix} 1 & 0\\ 0 & -\nu \end{pmatrix}. \tag{7}$$

A positive value of ε means the strain is tensile, while a negative value corresponds to compressive strain. In the original lattice coordinate system the strain tensor reads as

$$\bar{\boldsymbol{\varepsilon}} = \varepsilon \begin{pmatrix} \cos^2 \theta - \nu \sin^2 \theta & (1+\nu) \cos \theta \sin \theta \\ (1+\nu) \cos \theta \sin \theta & \sin^2 \theta - \nu \cos^2 \theta \end{pmatrix}.$$
 (8)

As pointed out in Ref. [26], when the stress is induced on graphene by mechanically acting on the substrate, the relation between strain and stress is given by the material parameters of the substrate, rather than the intrinsic properties of graphene. The relevant tuning parameter in this case is the tensile strain ε . Furthermore, a small Poisson's ratio ($\nu = 0.16$ in graphite [26]) may possibly be even smaller in graphene on substrate [14].

As we stretch the sample, its area increases from NS_0 to NS_{ε} . Here, S_{ε} is the unit-cell area of uniformly strained graphene, which is related to the unit-cell area of the pristine graphene, $S_0 = 3\sqrt{3}a^2/2$, by the relation

$$S_{\varepsilon} = S_0(1 + \operatorname{tr}\bar{\boldsymbol{\varepsilon}}) = S_0[1 + (1 - \nu)\varepsilon].$$
(9)

Specific quantities per unit area, such as carrier density, impurity density, the density of states, etc., are affected by this change, even though the total number of charges or impurities might have remain unchanged under the strain. Thus, it is preferable to count such quantities per number of atoms. For example, we specified the quantity of impurities by means of the impurity concentration *c*, i.e., a ratio of the number of the impure sites to the total number of sites. Obviously, it does not change with the deformation, although the density of point defects per unit area $n_{pd,\varepsilon} = c(2/S_{\varepsilon})$ changes.

A. Hopping Hamiltonian

The Hamiltonian H_{hop} (3) contains the hopping integrals t_{ij} . In the absence of deformation, t_{ij} are independent of position of the neighbors. Thus, $|t_{ij}| = \text{const} = t_0$ for every pair of nearest neighbors $\langle i, j \rangle$. The value $t_0 \approx 2.7 \text{ eV}$ is usually chosen [28] to match the tight-binding band structure to results obtained from the first-principles calculations.

The uniform uniaxial deformation preserves the translational symmetry, but breaks the rotational symmetry of the honeycomb lattice. In the absence of deformation, the nearestneighbor vectors that connect an *A* atom to the three *B* neighbors are $\delta_1^0 = a_0(0, 1)$, $\delta_2^0 = a_0(\sqrt{3}/2, -1/2)$, and $\delta_3^0 =$ $a_0(-\sqrt{3}/2, -1/2)$, where $a_0 = 1.42$ Å is the distance between the nearest carbon atoms in undeformed graphene lattice. Due to the uniform strain, the three nearest *B* sites change their positions with respect to the *A* sites, so that the new vectors are $\delta_n^\varepsilon = (\bar{I} + \bar{\varepsilon})\delta_n^0$. Whereas $|\delta_1^0| = |\delta_2^0| = |\delta_3^0| = a_0$, the vectors δ_n^ε differ in their lengths in a general case. Accordingly, there are three separate hopping integrals t_n (n = 1, 2, 3). Each one can be represented as a function of the distance between the neighbors, i.e., $|\delta_n^\varepsilon|$. For a small deformation, we can use the first-order expansion in strain [3]:

$$t_n \approx t_0 - \frac{\beta t_0}{a_0^2} \boldsymbol{\delta}_n^0 \cdot \bar{\boldsymbol{\varepsilon}} \cdot \boldsymbol{\delta}_n^0.$$
(10)

Here, $\beta = (-\partial \ln t / \partial \ln |\delta_n^{\varepsilon}|)|_{|\delta_n^{\varepsilon}|=a_0}$ is the dimensionless Grüneisen parameter. The values for this parameter vary between 2 and 4 across the literature; we will use $\beta = 3$ for our studies.

The effective Hamiltonian describing uniformly strained graphene in the momentum representation can be obtained similarly to the case of pristine graphene [2,3,9,29,30]. To derive a correct dispersion relation for electronic excitations near the Dirac points, one has to account for their shift in the **k** space [30] from the initial positions $\mathbf{K}_{\pm}^{0} = (\pm 4\pi/(3\sqrt{3}a_{0}), 0)$ in the undeformed graphene to the new positions at $\mathbf{K}_{\pm}^{\mathrm{D}} = (1 - \bar{\boldsymbol{\varepsilon}})\mathbf{K}_{\pm}^{0} \pm \mathbf{A}^{\mathrm{ps}}$ [30]. Here, $\mathbf{A}^{\mathrm{ps}} = (\beta/2a_{0})(\varepsilon_{xx} - \varepsilon_{yy}, -2\varepsilon_{xy})$ is the strain-induced vector potential [23]. Thus, the momentum representation of the hopping Hamiltonian (3) at $\mathbf{K}_{\pm}^{\mathrm{P}}$ point reads as

$$H_{\text{hop}}(\mathbf{q}; \mathbf{K}_{+}^{D}) = \hbar v_0 \boldsymbol{\sigma} \cdot [1 - (\beta - 1)\bar{\boldsymbol{\varepsilon}}] \cdot \mathbf{q}, \quad |\mathbf{q}|a_0 \ll 1 \quad (11)$$

where Pauli matrices $\boldsymbol{\sigma} = (\sigma_1, \sigma_2)$ act in the sublattice space, $v_0 = 3t_0a_0/(2\hbar)$ is the Fermi velocity in the pristine graphene, and **q** is the wave vector measured from the shifted Dirac point \mathbf{K}^D_+ . The corresponding Hamiltonian for \mathbf{K}^D_- point can be written by substituting $\boldsymbol{\sigma} \rightarrow \boldsymbol{\sigma}^* = (\sigma_1, -\sigma_2)$. The effect of strain in Eq. (11) is taken into account both via the β independent term caused by the deformation of the unit cell of graphene lattice, and the β -dependent term caused by the changes in the hopping parameters (10). Both contributions have the same order of magnitude.

In the absence of strain, the conical Dirac spectrum reads as $\mathcal{E}(\mathbf{q}) = \pm \hbar v_0 |\mathbf{q}| + \mathcal{E}_D$. Here, \mathcal{E}_D is the strain-independent part of the potential $U_{\alpha l}$ defined below Eq. (4). The corresponding low-energy DOS per spin and unit area of unstrained graphene is $\rho_0(E) = |\mathcal{E} - \mathcal{E}_D|/(\pi \hbar^2 v_0^2)$.

For the uniaxially strained graphene, the spectrum distorts into elliptical cones $\mathcal{E}(\mathbf{q}) = \pm \hbar \sqrt{v_{\parallel}^2 q_{\parallel}^2 + v_{\perp}^2 q_{\perp}^2} + \mathcal{E}_{\rm D}$, where q_{\parallel} (q_{\perp}) is the wave-vector component parallel (perpendicular) to the direction of applied strain. The corresponding components of the anisotropic Fermi velocity are $v_{\parallel} = v_0[1 - (\beta - 1)\varepsilon]$ and $v_{\perp} = v_0[1 + v(\beta - 1)\varepsilon]$ [3].

The only effect of the Dirac cone distortion on the DOS of the strained graphene (per spin and unit area) $\rho_{\varepsilon}(\mathcal{E})$ is the

renormalization of its slope [3,11,31,32]

$$\rho_{\varepsilon}(\mathcal{E}) = \frac{v_0^2}{v_{\parallel} v_{\perp}} \rho_0(\mathcal{E}) \approx [1 + (\beta - 1)(1 - \nu)\varepsilon] \frac{|\mathcal{E} - \mathcal{E}_{\rm D}|}{\pi \hbar^2 v_0^2}.$$
(12)

It is theoretically predicted that for large strain, $\varepsilon \ge 23\%$, a gap in the quasiparticle spectrum opens [7,26]. Here, we assume that the strain is small enough, and do not consider such qualitative changes in the spectrum.

In what follows, it is more convenient to consider the DOS per one atom:

$$D_{\varepsilon}(\mathcal{E}) = \frac{S_{\varepsilon}}{2} \rho_{\varepsilon}(\mathcal{E}) = \frac{|\mathcal{E} - \mathcal{E}_{\mathrm{D}}|}{W_{\varepsilon}^{2}}, \quad |\mathcal{E} - \mathcal{E}_{\mathrm{D}}| \ll W_{\varepsilon}.$$
(13)

The slope of this function, as follows from the normalization condition for the total number of states $\int_{\mathcal{E}_{\rm D}-W_{\varepsilon}}^{\mathcal{E}_{\rm D}+W_{\varepsilon}} D_{\varepsilon}(\mathcal{E}) d\mathcal{E} = 1$, equals to the reverse square of the strain-dependent effective bandwidth

$$W_{\varepsilon} = W_0 \sqrt{1 - \beta (1 - \nu)\varepsilon}.$$
 (14)

Here, $W_0 = (\sqrt{3}\pi)^{1/2}t_0 \simeq 2.33t_0$ is the effective bandwidth in the absence of strain. It is essential that, in contrast to the Hamiltonian (11) and the DOS per unit area (12), the DOS (13) does not depend on size or shape of the unit cell, and depends only on the values of hopping integrals.

In Fig. 1 we illustrate how the strain modifies the DOS of clean graphene. In particular, Fig. 1(a) shows only the effect of hopping integral modification, whereas Fig. 1(b) adds the effect of the deformation potential. The latter will be discussed in Sec. III B.

We note that the bandwidth $W_0 \approx 6.3 \text{ eV}$ for $t_0 = 2.7 \text{ eV}$ in the model with the triangular DOS is noticeably less than the graphene bandwidth, which is equal to $3t_0 \approx 8.1 \text{ eV}$ in the tight-binding approximation. As it was discussed above, $\nu \leq$ 0.16. However, in what follows we will simply assume that $\nu = 0$. If necessary, actual value of ν can be easily restored by replacing $\varepsilon \rightarrow \varepsilon(1 - \nu)$.

B. Deformation potential

In addition to the outcome of the hopping integral reduction, we have to take into account the effect of strain on the potential term (4) in the host Hamiltonian (2). To fulfill this task, the conventional description by the deformation potential (see, e.g., Ref. [23]) is employed here. For uniaxial strain, the potential energy does not depend on the lattice site. Thus, the Hamiltonian (4) acquires the form

$$H_{\rm pot} = \sum_{i,\alpha} (\alpha \operatorname{tr} \bar{\boldsymbol{\varepsilon}} + \mathcal{E}_{\rm D}) c^{\dagger}_{\alpha i} c_{\alpha i}.$$
(15)

Here, α is the deformation potential. In the momentum representation [cf. Eq. (11)], H_{pot} can be expressed as ($\alpha \varepsilon + \mathcal{E}_{\text{D}}$) multiplied by the 2 × 2 unit matrix σ_0 , which is justified by the symmetry between sublattices. Accordingly, H_{pot} is also referred to as the scalar potential [1,2].

The presence of the uniform deformation potential due to the uniaxial strain shifts the whole distorted conical spectrum along the energy axis, so that the Dirac point energy defined



FIG. 1. The influence of uniaxial strain on the DOS, $D_{\varepsilon}(E)$. (a) Only the hopping term is taken into account. The triangular DOS of unstrained (strained) graphene is shown by the red (blue) lines. The unperturbed bandwidth is W_0 and the position of the Fermi level \mathcal{E}_F corresponds to the hole-doped sample. The tensile $\varepsilon > 0$ strain results in the decrease of effective bandwidth $W_{\varepsilon} < W_0$. Since the number of carriers is fixed, the position of the Fermi level $\mathcal{E}_F^{\varepsilon} > \mathcal{E}_F$ shifts to the right in the hole-doped case. (b) Both the hopping term and deformation potential are included. The latter results in the shift of the Dirac point from \mathcal{E}_D to the position $\mathcal{E}_E^{\varepsilon} = \mathcal{E}_D + \alpha \varepsilon$. The position of the Fermi level shifts to the value $\mathcal{E}_F^{\varepsilon}$ as described by Eq. (19). For a doped strained graphene the work function $\mathcal{W} = \mathcal{W}_D^{\varepsilon} + \mathcal{W}_E^{\varepsilon}$.

as $\mathcal{E}(\mathbf{q}=0)$ moves from \mathcal{E}_{D} to

$$\mathcal{E}_{\mathrm{D}}^{\varepsilon} = \mathcal{E}_{\mathrm{D}} + \alpha \varepsilon, \tag{16}$$

which can be clearly seen in Fig. 1(b). Accordingly, the difference $\mathcal{E} - \mathcal{E}_D$ [see, e.g. the DOS (13)] should be replaced by $\mathcal{E} - \mathcal{E}_D^{\varepsilon}$, where it applies.

Theoretical values of the deformation potential α recited in the review [2] are rather inconsistent between sources and vary in a fairly wide range from 0–20 eV. One can estimate the value of α from the *ab initio* calculations [33], which show that a 12% uniaxial strain results in increase of the work function W_D^{ε} by 0.3 eV. In the undoped graphene, W_D^{ε} is defined as the difference between the local vacuum energy level \mathcal{E}_{vac} and the Dirac point energy $\mathcal{E}_D^{\varepsilon}$, viz., $W_D^{\varepsilon} = \mathcal{E}_{vac} - \mathcal{E}_D^{\varepsilon}$. Thus, $W_D^{\varepsilon} = \mathcal{E}_{vac} - \mathcal{E}_D - \alpha \varepsilon = \mathcal{W}_0 - \alpha \varepsilon$, where \mathcal{W}_0 is the work function for undoped and unstrained graphene. Accordingly, the deformation potential $\alpha = -dW_D^{\varepsilon}/d\varepsilon \approx -2.5 \text{ eV}$.

It was demonstrated in Ref. [34] that the work function varies in one-to-one correspondence to the position of the Fermi level in monolayer graphene. This relation was verified down to the nanometer scale, where due to inhomogeneities of the sample the local Dirac point also changes its position. Such behavior is in a striking contrast with the surface pinning of the Fermi level in the most of three-dimensional semiconductors.

The measurements done in Ref. [35] showed that the work function of uniaxially strained graphene increases by 0.16 eV under a 7% strain, i.e., with the relative rate $dW/d\varepsilon =$ 2.17 eV. For a doped strained graphene the work function can be expressed as $W = W_D^{\varepsilon} + W_F^{\varepsilon}$, viz., a sum of the energy intervals between the local vacuum energy level and the Dirac point W_D^{ε} defined above, and the Dirac point and Fermi level $W_F^{\varepsilon} = \mathcal{E}_D^{\varepsilon} - \mathcal{E}_F^{\varepsilon}$, as shown in Fig. 1(b). Accordingly, the rate of change of the work function is

$$\frac{d\mathcal{W}}{d\varepsilon} = -\alpha - \frac{dE_{\rm F}^{\varepsilon}}{d\varepsilon},\tag{17}$$

where $E_{\rm F}^{\varepsilon} = \mathcal{E}_{\rm F}^{\varepsilon} - \mathcal{E}_{\rm D}^{\varepsilon}$ is the Fermi energy counted with respect to Dirac point $\mathcal{E}_{\rm D}^{\varepsilon}$. At that, the quantity $E_{\rm F}^{\varepsilon}$ is also strain dependent.

Let us estimate the position of the Fermi level E_F^{ε} with respect to the Dirac point in the CVD graphene samples studied in Ref. [35]. Using the DOS of the pristine graphene (13) one can obtain the relationship between the number of carriers per atom N_c ($N_c > 0$ for the electron doped and $N_c < 0$ for the hole doped) and Fermi energy of noninteracting Dirac fermions [36] in uniaxially strained clean graphene

$$N_{\rm c} = \frac{\rm sgn(E_{\rm F}^{\varepsilon})(E_{\rm F}^{\varepsilon})^2}{W_c^2}.$$
(18)

Then, assuming that the number of carriers per atom N_c is fixed, we arrive at the following expression for the straininduced shift of the Fermi energy with respect to the Dirac point:

$$E_{\rm F}^{\varepsilon} = (\mathcal{E}_{\rm F} - \mathcal{E}_{\rm D})\sqrt{1 - \beta\varepsilon},\tag{19}$$

where $\mathcal{E}_{\rm F}$ is the Fermi energy of unstrained graphene. Since the slope of the DOS (12) increases, the Fermi level goes toward the Dirac point to accommodate the same number of carriers. To illustrate this behavior, we show the aforementioned shift in Fig. 1(a). The position of the Dirac point in Fig. 1(a) is assumed to be independent of strain and hole doping, $(\mathcal{E}_{\rm F} - \mathcal{E}_{\rm D}) < 0$, is considered.

Taking the given above estimate $\alpha \approx -2.5 \text{ eV}$, one finds from Eq. (17) the value of the derivative $dE_{\rm F}^{\varepsilon}/d\varepsilon \approx 0.33 \text{ eV}$. Now, using Eq. (19) we obtain that $\mathcal{E}_{\rm F} - \mathcal{E}_{\rm D} = -0.22 \text{ eV}$ (hole doping) for $\beta = 3$. This value is surprisingly close to the absolute value $|\mathcal{E}_{\rm F} - \mathcal{E}_{\rm D}| = 0.23 \text{ eV}$ extracted from the optical spectroscopy measurements in CVD graphene [14].

Thus, when the number of carriers in a graphene sample is fixed, not only the Dirac point moves to the left, but also the interval $|E_F^{\varepsilon}| = |\mathcal{E}_F^{\varepsilon} - \mathcal{E}_D^{\varepsilon}|$ diminishes under the strain, as it is shown in Fig. 1(a). Contrary to that, the spectroscopic measurements [14] indicate that the Drude weight and the corresponding quantity $|E_F^{\varepsilon}|$ (see Sec. IV C below) are essentially strain independent. This observation can be easily understood since for the fixed number of carriers 1% strain yields the decrease of the interval $|E_F^{\varepsilon}|$ by a mere 3.5 meV, which is well within the experimental error of Ref. [14]. Whereas if the position of the Fermi level $\mathcal{E}_{F}^{\varepsilon}$ is assumed to be fixed, the strain-induced shift of the Dirac point would result in 25 meV decrease of the Drude weight for 1% strain and hole doping, which does not show up.

In what follows, we consider two cases: (i) $|E_F^{\varepsilon}| = \text{const}$ that corresponds to the constant Drude weight observed in the experiment in Ref. [14] and (ii) the isolated sample with fixed number of carriers, taking into account the small drift of the value $|E_F^{\varepsilon}|$ described by Eq. (19).

C. Strain effect on impurities

In addition to the change of the host lattice parameters that takes place under a strain, we have to take into account the analogous change of parameters describing an impurity. In the Lifshitz model, it is natural to assume that the impurity potential $V_{\rm L}$ is also strain dependent. Thus, one has to add a term similar to the scalar potential described in Eq. (15), with a distinct parameter α' signifying the strain effect on impurity sites. In our treatment of the Lifshitz model, we will neglect this discrepancy, and use the same value of the deformation potential for both host and impurity sites as a zero-order approximation.

Nonetheless, we will examine the role of the deformation potential more carefully in our treatment of the Fano-Anderson model (6). To do this consistently, one has to specify how the impurity hopping parameter t_{hyb} and the potential on the impurity $U_{\alpha l}^{imp}$ change under the strain. To our best knowledge, there are no reliable data on strain dependence of these quantities. Thus, we cannot justifiably estimate the rate of change of the impurity parameters t_{hyb} and $U_{\alpha l}^{imp}$ under a strain in the same way as we did for the host atoms.

Still, we intend to consider the strain dependence of the Fano-Anderson model parameters in the following way. We will assume that the hopping parameter t_{hyb} does not change under the strain. To describe the change of the impurity potential, we will use the linear law similar to the one used for the host potential [cf. Eq. (15)]:

$$U_{\alpha l}^{\rm imp} = \mathcal{E}_{\rm D} + E_0 + \alpha^{\rm imp} \varepsilon.$$
 (20)

Here, E_0 is the difference between the host site and impurity site potential for zero strain, and α^{imp} is a deformation potential for impurities which in general differs from α .

IV. METHODS

As we mentioned in Sec. III B below Eq. (17), it is rather convenient to count the Fermi energy from the straindependent Dirac point $\mathcal{E}_{D}^{\varepsilon}$. Thus, we introduce the notation

$$E = \mathcal{E} - \mathcal{E}_{\mathrm{D}}^{\varepsilon} \tag{21}$$

and use it in what follows.

A. Diagonal element of the host Green's function

The diagonal element of the host Green's function (GF)

$$\hat{g}^{\varepsilon}(E) = \left[\hat{I}\left(E + \mathcal{E}_{\mathrm{D}}^{\varepsilon}\right) - \hat{H}_{\mathrm{host}}\right]^{-1}$$
(22)

in the site representation is necessary to proceed with the calculations. Here, \hat{H}_{host} is the Hamiltonian (2) written in

the form of $N \times N$ matrix and $\hat{\mathbf{I}}$ is the unit $N \times N$ matrix, respectively. Since the inversion symmetry is preserved, the diagonal matrix elements of the GF are identical on both sublattices, $\hat{g}_{IAIA}(E) = \hat{g}_{IBIB}(E)$.

The imaginary part of the diagonal element of the retarded GF, $g_0^{\varepsilon}(E) = \hat{g}_{IAIA}(E + i0)$, is related to the DOS per atom as follows:

$$\operatorname{Im}g_0^{\varepsilon}(E) = -\pi D_{\varepsilon}(E). \tag{23}$$

Its real part can be restored using the Kramers-Kronig relation [22]. For low energies E defined by Eq. (21) the final expression for the diagonal part of the host Green's function acquires the following form:

$$g_0^{\varepsilon}(E) = \frac{E}{W_{\varepsilon}^2} \ln\left(\frac{E^2}{W_{\varepsilon}^2}\right) - i\frac{\pi |E|}{W_{\varepsilon}^2}, \quad |E| \ll W_0.$$
(24)

B. Consideration of Lifshitz and Fano-Anderson models

Dealing with the impurity problem, one may rely on the conventional analytic approach developed for a substitutional binary alloy [37,38] (see also review [19] and Ref. [39], where graphene was studied). Let us treat the case of the Lifshitz model first.

A perturbed GF for the Lifshitz model can be defined for each arrangement of impurities as follows:

$$\hat{\mathcal{G}}^{\varepsilon}(E) = \left[\hat{\mathbf{I}}\left(E + \mathcal{E}_{\mathrm{D}}^{\varepsilon}\right) - \hat{H}_{\mathrm{host}} - \hat{H}_{\mathrm{L}}\right]^{-1}.$$
(25)

The averaged over impurity distributions GF of the disordered system $\hat{G}(E) = \langle \hat{\mathcal{G}}(E) \rangle$ is related to the host GF by the Dyson equation

$$\hat{\mathbf{G}}^{\varepsilon} = \hat{\mathbf{g}}^{\varepsilon} + \hat{\mathbf{g}}^{\varepsilon} \hat{\boldsymbol{\Sigma}} \hat{\mathbf{G}}^{\varepsilon}, \qquad (26)$$

where $\hat{\Sigma}$ is the self-energy operator with the index ε suppressed for brevity. We omit scatterings from pairs and larger groups of impurities, i.e., neglect cluster effects. The self-energy is diagonal in this approximation, i.e., $\hat{\Sigma} = \Sigma \hat{I}$. Thus, the solution of the Dyson equation can be expressed as

$$\hat{\mathbf{G}}^{\varepsilon}(E) = \hat{\mathbf{g}}^{\varepsilon}[E - \Sigma(E)], \qquad (27)$$

which corresponds to the renormalization of the host GF.

In this work we employ two approximations for the solution of Eq. (26). The first one is the average T-matrix approximation (ATA) [40]. In this approximation, the selfenergy function acquires the form

$$\Sigma_{\text{ATA}}(E) = \frac{cV_{\text{L}}}{1 - (1 - c)V_{\text{L}}g_0^{\varepsilon}(E)}$$
(28)

with $g_0^{\varepsilon}(E)$ given by Eq. (24).

Apart from the ATA, we employ the coherent-potential approximation (CPA) [37]. In this approximation, the self-energy is expressed as a solution of the following equation:

$$\Sigma_{\rm CPA}(E) = \frac{cV_{\rm L}}{1 - [V_{\rm L} - \Sigma_{\rm CPA}(E)]g_0^{\varepsilon}[E - \Sigma_{\rm CPA}(E)]}.$$
 (29)

It can be shown [41] that for the Fano-Anderson model, the host part of the Green's function has the same form as in the Lifshitz model, with the impurity potential V_L replaced by the energy-dependent effective potential

$$V_{\varepsilon}(E) = \frac{|t_{\rm hyb}|^2}{E - E_0 + \Delta\alpha \,\varepsilon},\tag{30}$$

where $\Delta \alpha = \alpha - \alpha^{\text{imp}}$ is the difference between the deformation potential on host and impurity atoms. To obtain the expressions for the self-energy analogous to (28) and (29) for the Fano-Anderson model, one should substitute the impurity potential $V_{\rm L}$ by the effective potential $V_{\varepsilon}(E)$ defined in Eq. (30).

C. Relationship between the Drude width and the impurity scattering rate

Let us now discuss the link between the self-energy Σ and the parameters extracted from the spectroscopy measurements [14,15]. We assume that the Drude peak in ac conductivity has the Lorentzian shape, viz.,

$$\operatorname{Re}\sigma(\omega) = \frac{\mathcal{D}(E_{\rm F})}{\pi} \frac{\Gamma_{\rm opt}(E_{\rm F})}{\omega^2 + \Gamma_{\rm opt}^2(E_{\rm F})}.$$
 (31)

Here, \mathcal{D} is the Drude spectral weight and $\Gamma_{opt} = 2\Gamma_{tot}$ is the Drude-peak width (with Γ_{tot} being the total single-particle scattering rate). One of the advantages of the infrared spectroscopy, as compared to the dc transport measurements, is that it allows to extract both the Drude spectral weight and the Drude-peak width. The uniaxial strain makes the Drude weight anisotropic [10] and sensitive to the anisotropy of the Fermi velocity [see below Eq. (11)]. However, presence of a substrate does not allow to measure the intrinsic dichroism of graphene [14]. Thus, in Ref. [14], the averaged over strain directions Drude weight is merely proportional to the Fermi energy counted with respect to the Dirac point

$$\mathcal{D}\left(E_{\rm F}^{\varepsilon}\right) = \frac{e^2}{\hbar^2} \left|E_{\rm F}^{\varepsilon}\right| \tag{32}$$

that allows one to find out the absolute value of $E_{\rm F}^{\varepsilon}$ and its strain dependence [14].

In the general case, the simple relationship (32) is violated by the presence of defects. However, when the concentration of defects is small, $|\Sigma(E_F)| \ll |E_F|$, another rather simple equation for the Drude weight, which involves the quasiparticle self-energy, is valid [42]:

$$\mathcal{D}(E_{\rm F}) = \frac{e^2}{\hbar^2} [E_{\rm F} - \operatorname{Re}\Sigma(E_{\rm F})], \quad |\Sigma(E_{\rm F})| \ll |E_{\rm F}|.$$
(33)

As discussed in Sec. V, in this work we restrict ourselves to a small impurity concentration $c \leq 4 \times 10^{-4}$. In this case, $|\Sigma(E_{\rm F})| \leq 10 \,{\rm meV}$, which is indeed much less than the value $|E_{\rm F}| \sim 0.23 \,{\rm eV}$ reported for CVD graphene [14]. Furthermore, the variation of ${\rm Re}\Sigma(E_{\rm F})$ under the strain is of the order of a few millielectronvolts, so that in the case when $E_{\rm F}^{\varepsilon}$ is fixed, one can also assume that the Drude weight also remains practically constant. This situation breaks down for high-impurity concentrations, when Eq. (33) is not applicable (see Ref. [42]) and fixing the value of $E_{\rm F}$ does not imply that the Drude weight remains constant.

According to Matthiessen's rule, the total single-particle scattering rate is $\Gamma_{\text{tot}} = \sum_{i} \Gamma_{i}$, where Γ_{i} are the contributions from different sources of scattering, e.g., short-range point

defects, long-range charged impurities, acoustic phonons, surface phonons in the substrate, and grain boundaries. Each of these contributions may be strain dependent. In this work we restrict ourselves to the effect of resonant impurity scattering on the Drude-peak width

$$\Gamma_{\text{opt},\varepsilon}(E_{\text{F}}) = 2\Gamma_{\varepsilon}(E_{\text{F}}) = -2\text{Im}\,\Sigma(E_{\text{F}}) \tag{34}$$

as a function of strain ε and Fermi energy $E_{\rm F}$. The effect of small strain on the scattering rate and the Drude-peak width can be characterized by the relative gain

$$\varkappa = \frac{\partial \ln \Gamma_{\varepsilon}}{\partial \varepsilon} \Big|_{\varepsilon = 0}.$$
(35)

V. RESULTS

A. Lifshitz model

In this section, we present results obtained for the Lifshitz model. It is instructive to begin our consideration with discussion of the correspondence between our approach and an estimate of the scattering rate from point defects done in Ref. [14].

1. Weak-scattering regime

The Born weak-scattering approximation follows from the ATA equation (28) for small $V_{\rm L}$. For $\Gamma_{\rm Born} = -\text{Im }\Sigma(E_{\rm F})$ it gives

$$\Gamma_{\text{Born}} = -cV_{\text{L}}^2 \text{Im}g_0^{\varepsilon}(E_{\text{F}}) = \pi cV_{\text{L}}^2 D_{\varepsilon}(E_{\text{F}}). \tag{36}$$

Since we assumed that the impurity potential V_L is independent of strain, one obtains that $\varkappa_{Born} = \beta$. This result is substantially larger than the estimate done in Ref. [14], that gave $\varkappa'_{Born} \approx 0.3$. This disagreement can be understood from the fact that the potential used in the continuum descriptions of point defects $V(\mathbf{r}) = V_{pd,\varepsilon}\delta(\mathbf{r})$ contains the constant $V_{pd,\varepsilon}$ that was assumed in Ref. [14] to be independent of strain. This assumption would indeed result in $\varkappa'_{Born} = \beta - 2$. However, since V_L is assumed to be independent of strain, the energy density $V_{pd,\varepsilon}$ depends on the strain as $V_{pd,\varepsilon} \simeq V_{pd,0}(1 + \varepsilon)$, with $V_{pd,0}$ being the corresponding energy density without strain. This strain dependence of $V_{pd,\varepsilon}$ allows to recover our estimate $\varkappa_{Born} = \beta$. As it can be seen from the above, in the weak-scattering limit the self-energy is a slowly varying linear function of energy.

2. Large impurity perturbations

As was shown in Ref. [20], in the Lifshitz model a resonance state appears for large impurity potentials. It is known that in vicinity of the resonance energy scattering from impurities significantly increases. Thus, we examine below the case of strong impurity potentials.

Since we consider the hole-doped regime $E_{\rm F} < 0$, which was studied in the experiments [14,35], we are mostly interested in those impurities that induce resonance states with energies located below the Dirac point. We note that the case of electron-doped sample $E_{\rm F} > 0$ can be treated in the same fashion, but a resonance with the positive energy is necessary to enhance the corresponding scattering rate.

The presence of the impurity resonances was numerically confirmed by *ab initio* calculations [16,17,43] and observed



FIG. 2. The real and imaginary parts of the self-energy function $\Sigma(E)$ in vicinity of the Dirac point in the Lifshitz model. The model parameters are $V_{\rm L} = 6.3t_0$, $c = 4 \times 10^{-4}$. The convex and concave curves correspond to Re $\Sigma(E)$ and Im $\Sigma(E)$, respectively. Blue lines and black lines were computed in the CPA approximation, while gray lines were obtained in the ATA approximation. The dashed and the solid lines correspond to the results for zero strain, while the dotted-dashed and dotted lines are for $\varepsilon = 5\%$.

in experiments [44]. The examples of such impurities are the adsorbed atoms H and F, hydroxyl groups OH^- , etc. The energy of the resonance depends on the sort of the impurity. For example, according to Ref. [43] the resonances formed by H, F, and OH^- are predicted to have energies -0.07, -0.38, and -0.25 eV, respectively. However, there is a significant inconsistency between values of the resonance energy obtained by different methods. For example, the H resonance energy is considered to be in $\pm 0.03 \text{ eV}$ in Ref. [17], at -0.07 eV in Ref. [43], and at 0.20 eV in Ref. [44]. In our analysis, we will not restrict ourselves to a particular sort of impurity. Instead, we will pick a resonance energy to demonstrate the proposed mechanisms of the scattering rate enhancement most vividly, albeit using the mentioned results as a guide.

In Fig. 2 we present results for the real and imaginary parts of the self-energy function $\Sigma(E)$ for 0 and 5% strain. We remind that to compare results for different values of strain, we present them as functions of the relative energy E defined by Eq. (21), so that for arbitrary strain the Dirac point energy is located at the origin E = 0. The impurity perturbation $V_{\rm L} =$ 6.3t₀ is chosen to give a minimum in Im $\Sigma(E)$ approximately at $-0.35 \,\text{eV}$. Such impurity perturbation can be attributed to the fluorine impurities. The impurity concentration is chosen to be $c = 4 \times 10^{-4}$. The self-energy function was calculated both in the ATA [Eq. (28)] and the CPA [Eq. (29)] approximations, respectively. One can see that both approximations give rather similar results for the given choice of the parameters. We observe a peak in the real part and a dip in the imaginary part of the self-energy below the Dirac point E = 0. A more careful examination reveals that the real part has an inflection point at the same energy in which the minimum of $\text{Im}\Sigma(E)$ is located. As the strain is applied, the inflection point and the dip get closer to the Dirac point.

To identify the described above features in the self-energy function, we now investigate the ATA approximation (28) analytically. Neglecting the concentration c near the unity in the denominator, we obtain the imaginary part of the self-energy function in the following form:

$$\operatorname{Im}\Sigma(E) \approx \frac{-cV_{\mathrm{L}}^{2}\pi |E|/W_{\varepsilon}^{2}}{\left[1 - \frac{V_{\mathrm{L}}E}{W_{\varepsilon}^{2}}\ln\left(\frac{E^{2}}{W_{\varepsilon}^{2}}\right)\right]^{2} + \left[\frac{\pi V_{\mathrm{L}}E}{W_{\varepsilon}^{2}}\right]^{2}}.$$
 (37)

This fraction rapidly decreases as the first term in the denominator vanishes at some energy E_r , which is yielded by the Lifshitz equation

$$1 - \frac{V_{\rm L} E_{\rm r}}{W_{\varepsilon}^2} \ln\left(\frac{E_{\rm r}^2}{W_{\varepsilon}^2}\right) = 0.$$
(38)

As the perturbation $|V_L|$ increases, the value E_r converges to the position, where the function Im $\Sigma(E)$ has the minimum that corresponds to the maximum in the full DOS, which includes the effect of impurities. Thus, the energy E_r marks the position of the impurity resonance in the Lifshitz model. We will refer to E_r as the resonance energy, even though for moderate values of V_L it slightly deviates from the minimum in the self-energy.

In the Lifshitz model, the location of the resonance relative to the Dirac point is opposite to the sign of the impurity perturbation: $sgn(E_r) = -sgn(V_L)$. This property is inherent in general spectra consisting of two symmetric bands touching each other in a finite number of points [22].

The resonance energy shifts with varying the impurity perturbation $V_{\rm L}$. To determine the direction of this shift, we took a partial derivative over $V_{\rm L}$ of the Lifshitz equation (38):

$$\frac{\partial E_{\rm r}}{\partial V_{\rm L}} = \frac{1}{2} \left(\frac{W_{\varepsilon}}{V_{\rm L}} \right)^2 \left[\ln \frac{W_{\varepsilon}}{|E_{\rm r}|} - 1 \right]^{-1}.$$
 (39)

This expression is positive for $|E_r| < W_{\varepsilon}/e$, where *e* is the base of the natural logarithm. As long as we consider strong potentials, one can see that as $|V_L|$ increases, the resonance energy shifts toward the Dirac point energy in either $E_r > 0$ or $E_r < 0$ case.

A similar shift of the resonance energy occurs when uniaxial strain is applied. As we stretch the sample, the bandwidth W_{ε} decreases [see Eq. (14)]. The bandwidth acts as a scaling parameter in the solution of the Lifshitz equation (38). Indeed, this solution can be written in the form $E_r/W_{\varepsilon} = f(V_L/W_{\varepsilon})$, where f(x) is a function of the dimensionless potential V_L/W_{ε} . The latter determines the position of the resonance in units of W_{ε} . As discussed below Eq. (39), the resonance is getting closer to the Dirac point for stronger impurity potentials. The decrease of the bandwidth leads to the two consequences, viz., trivial rescaling of E_r and an increase of V_L/W_{ε} . Both of them result in the decrease of the absolute value of the resonance energy E_r .

To verify this result, we have also obtained an exact solution of the Lifshitz equation (38). The solution can be written in terms of the Lambert function which is defined as a root F(z) of the equation $z = F \exp(F)$ [45]. The Lambert function has two branches $F_0(z)$ and $F_{-1}(z)$, which represent two single-valued solutions of the equation. Specifically, we use F_{-1} branch that satisfies the condition $|F_{-1}(x)| > 1$ for x < 0 and corresponds to the resonance inside the band $|E_r| <$

 W_{ε} . Then, the solution of Eq. (38) can be written in the form

$$E_{\rm r} = \frac{W_{\varepsilon}^2}{2V_{\rm L}} \frac{1}{F_{-1} \left(-\frac{W_{\varepsilon}}{2|V_{\rm L}|}\right)}.$$
 (40)

In accordance with the above-mentioned arguments, the resonance energy shifts toward the Dirac point E = 0, as the strain ε increases.

Calculations performed for different values of V_L give results resembling those shown in Fig. 2. For a reference, the values of V_L in the range between 15 and 30 eV produce the resonance energies in the range between -0.40 and -0.20 eV. In addition to the resonance position moving closer to the Dirac point for larger $|V_L|$, the resonance peak also gets narrower. As for the impurity concentration *c*, it mainly acts as a linear scale for absolute value of the self-energy function. Increasing *c* does not affect the positions of the extrema in the ATA approximation, and in the CPA approximation the results indicate a slight shift for high concentrations. In the limit of small impurity concentration $c \rightarrow 0$, both approximations give the same results.

B. Fano-Anderson model

Although the Lifshitz model does reasonably well describe substitutional impurities and is capable of generating a resonance state near the Dirac point for large values of the impurity perturbation V_L , we are going to proceed to the Fano-Anderson model analysis for several reasons.

First, the Lifshitz model has only one adjustable parameter $V_{\rm L}$. The real impurities are expected to alter more than one matrix element of the host Hamiltonian. Such can be the hopping integrals and the potential energies on the lattice sites in the neighborhood of the impurity. Generally, we expect a model with extra parameters to be able to provide for more accurate results. In this regard, the Fano-Anderson model is preferable, as it has two parameters, E_0 and $t_{\rm hyb}$.

Second, we need a model to describe adsorbate impurities. This is the type of point defects we expect to be present in noticeable quantities in graphene samples grown by conventional methods on substrates. It is widely known that the Fano-Anderson model is capable of describing, at least qualitatively, adsorbates deposited on a graphene sheet: atoms, molecules, free radicals, etc.

Third, to obtain the impurity resonance in the Lifshitz model, we had to assign unrealistically large values of the impurity perturbation V_L . In contrast, the Fano-Anderson model is free of this deficiency. It is capable to provide resonances with energy and width nearly identical to that of the Lifshitz model, but the respective values of the parameters t_{hyb} and E_0 are of the same order as t_0 .

Hereby we will describe how Fano-Anderson impurities form a Drude-peak width that is highly sensitive to applied strain. If we want to provide a description for a specific sort of impurities, we have to assign realistic values to the model parameters t_{hyb} and E_0 . Such values can be obtained by fitting to *ab initio* results, as was done in Refs. [16,17,43]. The results vary due to obvious imprecision of the fitting procedure. After all, this model does not describe adsorbate impurities in every respect. In our examples, we will adjust the model parameters



FIG. 3. The real (upper red curves) and imaginary (lower green lines) parts of the self-energy $\Sigma(E)$ for the Fano-Anderson model calculated in the CPA approximation with $E_0 = -0.75t_0$, $t_{hyb} = 2t_0$, $c = 4 \times 10^{-4}$ for two values of strain $\varepsilon = 0$ and $\varepsilon = 5\%$. The solid lines show results for zero strain, the dashed lines show results for $\varepsilon = 5\%$ with the same deformation potential for host and impurity atoms ($\Delta \alpha = 0$), and the dotted lines show results for $\varepsilon = 5\%$ with no impurity deformation potential ($\alpha^{imp} = 0$ or $\Delta \alpha = -2.5 \text{ eV}$). Results obtained with α^{imp} varying between these two limiting values span the shaded areas.

to match a predefined resonance energy, while keeping the *ab initio* fits in mind.

As we have noted previously, little is known on the response of the impurity potential to strain. We overcome this difficulty by sweeping the impurity potential α^{imp} between two limiting values: $\alpha^{imp} = \alpha$ and $\alpha^{imp} = 0$.

In the first limiting case, the potential energy on impurity sites changes in concert with host sites, so that $\alpha^{imp} = \alpha = -2.5 \text{ eV}$. Thus, the relative deformation potential $\Delta \alpha = 0$, and it is not present in the effective impurity potential (30).

In the second limiting case, the potential on impurity sites does not change at all with the strain $\alpha^{imp} = 0$. Therefore, $\Delta \alpha = \alpha = -2.5 \,\text{eV}$ is present in Eq. (30). In Fig. 3, we show real and imaginary parts of the self-energy function, $\operatorname{Re}\Sigma(E)$, and $\operatorname{Im}\Sigma(E)$, calculated in the CPA approximation for 0 and 5% strain. The parameter $t_{hyb} = 2t_0$ is chosen to conform with the general estimate provided in Ref. [17]. While this choice is not the only possible one, as the values for specific impurities may differ significantly (like in Ref. [43]), we consider it as a reasonable compromise that provides a description of strongly bound impurities. The other parameter, $E_0 = -0.75t_0$, is tuned to match with the resonance energy $E_{\rm r} = -0.35 \, {\rm eV}$, in analogy to Fig. 2. This is surprisingly close to the parameters for fluorine obtained in the recent preprint [46]. Whereas these results resemble those presented for the Lifshitz model in Fig. 2, the values of t_{hyb} and E_0 are not as excessively large as $V_{\rm L} = 6.3t_0$ used there.

To demonstrate a possible contrast between the results with and without the impurity deformation potential, in Fig. 3 the areas between the two lines with $\Delta \alpha = 0$ (dashed lines) and $\Delta \alpha = -2.5$ eV (dotted lines) are shaded. The apparent difference suggests that the effect of the impurity deformation



FIG. 4. Imaginary part of the self-energy Im $\Sigma(E)$ calculated for the Lifshitz model (black solid line) and for the Fano-Anderson model (other curves). The impurity concentration $c = 4 \times 10^{-4}$ is the same for all curves. The parameter $V_{\rm L} = 6.3t_0$ for the Lifshitz model. The parameters for the Fano-Anderson model are $t_{\rm hyb} =$ $2t_0, E_0 = -0.75t_0$ (blue dashed line); $t_{\rm hyb} = t_0, E_0 = -0.28t_0$ (green dotted-dashed line); $t_{\rm hyb} = 0.5t_0, E_0 = -0.17t_0$ (red dotted line). The zero strain is considered.

potential could be as significant as the one resulted from variation of the bandwidth.

The obtained results are quite similar to the ones we have seen for the Lifshitz model. Let us clarify how far this comparability extends. In Fig. 4, we present Im Σ calculated both for the Lifshitz model (black solid line) and for the Fano-Anderson model (other curves) at zero strain. To make a proper comparison, the position of the resonance for the Lifshitz model with $V_{\rm L} = 6.3t_0$ has to be matched with the positions of the minima of the self-energy function obtained for the Fano-Anderson model. We fulfill this goal by using the following procedure. To cover a wider range of the model parameters, we select the impurity hopping parameter $t_{\rm hyb}$ as multiples of $0.5t_0$. Then, we adjust the difference between the host site and impurity site potential for zero strain E_0 to achieve the chosen value of the resonance energy. The impurity concentration $c = 4 \times 10^{-4}$ is fixed for all curves.

We observe in Fig. 4 that for weakly bound impurities with $t_{hyb} = 0.5t_0$ (red dotted curve) the dip in Im $\Sigma(E)$ becomes significantly narrower. For strongly bound impurities with $t_{hyb} = 2t_0$ (blue dashed line), the Fano-Anderson model produces the results similar to the Lifshitz model as expected, because both $|t_{hyb}|$ and $|E_0|$ are significantly larger than the energy of the resonance. In this case the function V(E) given by Eq. (30) has a weak dependence on E when the energy is located in the vicinity of the Dirac point.

In what follows, we restrict ourselves to the case $t_{hyb} = 2t_0$ in which the Fano-Anderson model is expected to yield results comparable to the ones obtained in the Lifshitz model, so that the strain dependence of the self-energy function has similar character. Specifically, the resonance energy E_r is determined by Eq. (38), with V_L substituted by $V_{\varepsilon}(E)$:

$$E_{\rm r} = \frac{E_0 - \Delta \alpha \varepsilon}{1 - \frac{|t_{\rm hyb}|^2}{W^2} \ln\left(\frac{E_r^2}{W^2}\right)}.$$
(41)

In the case of strongly bound impurities, we can neglect the first term in the denominator, and this equation acquires the same form as Eq. (38). Thus, we can expect the resonance energy to behave under strain in the same way as in the Lifshitz model. In addition to the strain-induced shrinking of the bandwidth (14), the dimensionless effective potential $-|t_{hyb}|^2/(E_0 - \Delta \alpha \varepsilon)W_{\varepsilon}$ can be further amplified by the relative deformation potential $\Delta \alpha$. Both mechanisms result in a shift of the resonance energy toward the Dirac point.

The contribution of resonant impurity scattering to the Drude-peak width $\Gamma_{\text{opt},\varepsilon}(E_{\text{F}})$ is proportional to Im Σ taken at Fermi energy E_{F} [see Eq. (34)]. The sharp profile of the self-energy function makes Γ_{ε} very sensitive to the position of the Fermi level. The latter is, in fact, determined by all possible sources of excess charges. Those can be induced by electrostatic doping and all sorts of defects. While resonance impurities contribute to the charge imbalance, they do not necessarily determine it.

For instance, let us examine whether resonance impurities alone can be responsible for the value $E_{\rm F} = -0.23 \, {\rm eV}$ reported in Ref. [14]. For simplicity, we will assume that each impurity removes one electron from the valence band. In calculating Figs. 2–4, we had adjusted the impurity concentration c to get the value $\Gamma_{{\rm opt},\varepsilon}(E_{\rm F}) \approx 15 \,{\rm meV}$ as reported in [14]. The resulting value $c = 4 \times 10^{-4}$ should be compared to the carrier imbalance per atom $N_{\rm c}$. If $c > |N_{\rm c}|$, then it means that the number of impurities is greater than the number charge donors.

To estimate the carrier imbalance per atom N_c , one can use Eq. (18). For $E_F = -0.23 \text{ eV}$ and $\varepsilon = 0$, we get the negative imbalance, i.e., holes, with $|N_c| = 1.2 \times 10^{-3}$. This concentration is three times larger than $c = 4 \times 10^{-4}$. It allows us to state that the charge doping in this particular case was caused by some other mechanism.

As discussed in Sec. IV C (see also the end of Sec. III B), the case $|E_F^{\varepsilon}| = \text{const}$ approximately corresponds to the constant Drude weight when impurity concentration is small enough, $c \leq 4 \times 10^{-4}$. In Fig. 5 we present $\Gamma_{\text{opt},\varepsilon}$ calculated in the ATA approximation as the function E_F^{ε} for three increasing values of the strain $\varepsilon = 0\%$, 1%, 2%. The parameters $t_{\text{hyb}} = 2t_0$ and $E_0 = -0.75 t_0$ coincide with those of Fig. 3. The same deformation potential for host and impurity atoms is chosen, $\Delta \alpha = 0$, so that the shift of the resonance energy is solely caused by the decrease of the bandwidth. One can see in Fig. 5 how this shift amplifies the Drude width.

While the relative change of the resonance energy due to the strain is small compared to its absolute value in the absence of strain, the increase in $\Gamma_{\text{opt},\varepsilon}$ can be quite substantial. This is a consequence of the acute steepness of $-2 \operatorname{Im}\Sigma(E)$ function that is reached on the half-width of the peak. The large slope is intrinsic to the impurity resonance. This feature is absent in the weak-scattering case, in which the resonance cannot be seen, and the resulting expression for the Drudewidth dependence on the Fermi energy is a slowly varying function with a nearly uniform moderate slope [see Eq. (36)].

The extracted from the experimental data [14] value $E_{\rm F} = -0.23 \, {\rm eV}$ is shown as the vertical line. The points at intersection of this line by the curves $\Gamma_{{\rm opt},\varepsilon}$ give values of the Drude width that correspond to different values of strain ε . The inset in Fig. 5 zooms in the area with the three mentioned



FIG. 5. The Drude-peak width $\Gamma_{\text{opt},\varepsilon} = -2 \operatorname{Im} \Sigma(E_{\rm F})$, as a function of the Fermi energy $E_{\rm F}$, calculated for the strain $\varepsilon = 0\%$, 1%, 2% in the Fano-Anderson model. Inset: zoom-in the vicinity of $E_{\rm F} = -0.23 \,\mathrm{eV}$ which is shown as the vertical line. The model parameters are the following: $c = 4 \times 10^{-4}$, $E_0 = -0.75t_0$, $t_{\rm hyb} = 2t_0$, $\Delta \alpha = 0$.

intersections for $\varepsilon = 0\%$, 1%, 2%. One can see that the dependence of $\Gamma_{\text{opt},\varepsilon}$ on strain is linear because the intersection points are equally spaced for equal increments of the relative strain ε . The linear regime holds when the values of $E_{\rm F}$ fell on the half-width of the resonance peak. It persists for values of the relative strain up to ~10%.

Since the Drude width scales linearly with the impurity concentration c, it is convenient to consider its relative gain, which per 1% of the strain reads as

$$\varkappa = \left. \frac{\Gamma_{\varepsilon} - \Gamma_0}{\Gamma_0 \varepsilon} \right|_{\epsilon = 1\%}.\tag{42}$$

Figure 6 shows \varkappa as a function of $E_{\rm F}$ calculated for the Fano-Anderson model in the CPA approximation. Here, it is assumed that the Fermi energy itself is independent of strain, $|E_{\rm F}^{\varepsilon}| = |E_{\rm F}| = \text{const.}$ As was already discussed, this case approximately corresponds to the strain-independent Drude weight. The results are shown for the four sets of the model parameters, with $c = 5 \times 10^{-5}$ and $t_{hyb} = 2t_0$ for each set and $E_0 = -1.25t_0$ (first set, purple lines); $E_0 = -t_0$ (second set, blue lines); $E_0 = -0.75t_0$ (third set, green lines); $E_0 = -0.5t_0$ (fourth set, orange lines). Note that the third set (green lines) corresponds to the values of t_{hvb} and E_0 already used in Figs. 3 and 5. For each set of parameters, we calculated the gain \varkappa with the same deformation potential for host and impurity atoms ($\alpha^{imp} = \alpha$ or $\Delta \alpha = 0$) shown by the dotteddashed lines and with $\alpha^{imp} = 0$ ($\Delta \alpha = -2.5 \,\text{eV}$) plotted by the double-dotted-dashed lines. The distances between these pairs of lines signify the contribution of the relative deformation potential to the effect. The results for intermediate values of the relative deformation potential $(-2.5 \text{ eV} < \Delta \alpha < 0)$ fall in the shaded areas between the lines.

The four sets shown in Fig. 6 differ from each other by the values of the resonance energy E_r . It gets closer to the Dirac point as $|E_0|$ diminishes and passes from the first to the fourth set. Respectively, the relative gain function $\varkappa(E_F)$ becomes sharper, as the resonance widths are getting narrower. This



FIG. 6. The relative gain \varkappa of the Drude width per 1% of strain as a function of $E_{\rm F}$ for the Fano-Anderson model. The calculation is done assuming a constant strain independent $E_{\rm F}$. All curves are for $t_{\rm hyb} = 2t_0$ and $c = 5 \times 10^{-5}$. Four sets of the curves differ by the value of E_0 : 1, $E_0 = -1.25t_0$ (purple lines); 2, $E_0 = -t_0$ (blue lines); 3, $E_0 = -0.75t_0$ (green lines); 4, $E_0 = -0.5t_0$ (orange lines). The dashed lines show the results with the same deformation potential for host and impurity atoms ($\Delta \alpha = 0$) and and the dotted lines are for no impurity deformation potential ($\alpha^{\rm imp} = 0$ or $\Delta \alpha = -2.5 \, {\rm eV}$). The areas between each pair of curves are shaded in same color tone as the lines. The dotted-dashed and double-dotted-dashed gray lines are obtained on the base of analytical estimates for the upper and lower bounds of the gain function for the cases $\alpha^{\rm imp} = \alpha$ and $\alpha^{\rm imp} = 0$, respectively (see the main text for the explanation).

is true at least for $|t_{hyb}| \gtrsim t_0$ case, in which the width of the resonance is proportional to $|E_r|$, like in the Lifshitz model.

To study the relative gain $\varkappa(E_{\rm F})$ we have chosen the same impurity concentration $c = 5 \times 10^{-5}$ for all curves. It is lower than the value 4×10^{-4} that was used for computing Figs. 3–5. This choice ensures that for all values of E_0 the results presented in Fig. 6 will not change for any lower impurity concentration.

With a significant increase in the concentration of impurities, the ATA and CPA approximations start to deviate from each other. More importantly, these approximations fail to give a valid description of the electronic spectrum at all energies under consideration because at higher concentrations of impurities the spectrum undergoes a qualitative transformation. It is estimated [22] that for a given energy of the resonance $E_{\rm r}$, the spectrum transformation occurs at the concentration $c_{\text{ST}} = 2(E_r/W_0)^2 \ln(W_0/|E_r|)$. One can expect that the presented results are applicable for the impurity concentration c substantially lower than c_{ST} . We note that for the first and second sets the presented results are still valid even for higher concentration $c = 4 \times 10^{-4}$. However, the imposed restriction on the impurity concentration is fully justified for the fourth set for which the last concentration is too high.

As can be seen from Fig. 6, the presented curves for $\varkappa(E_F)$ functions have a number of common features. Moving from the Dirac energy toward increasing $|E_F|$, we observe a sharp maximum followed by a steep descent into negative values of \varkappa . The zero of $\varkappa(E_F)$ function roughly corresponds to the

resonance energy. After crossing the resonance energy, we reach the maximum decrease of the Drude weight. Both the maximum and the minimum lie roughly on the half-width of the peak in $\Gamma(E_F)$. Subsequently, we find a relatively small negative gain that vanishes slowly as $|E_F|$ moves away from the resonance energy and further increases.

Let us stress that in the case $\alpha^{imp} = 0$ ($\Delta \alpha = -2.5 \text{ eV}$) the relative gain can be almost twice as large compared with the case $\alpha^{imp} = \alpha$ ($\Delta \alpha = 0$). Thus, we cannot neglect this mechanism, and credit the hopping integral variation as the exclusive source of the partial Drude-width increase. Moreover, we cannot exclude the possibility that the relative deformation potential $\Delta \alpha$ is even bigger in absolute value, in which case it gives even larger contribution to the effect.

We have made an analytical estimate for the upper and lower bounds of the gain function. A function $\varkappa_m(E_F)$ describes the envelope function that goes through extrema of $\varkappa(E_F)$ functions plotted for different values of E_0 . We distinguish four functions that connect the maxima or the minima for the cases $\alpha^{imp} = \alpha$ and $\alpha^{imp} = 0$. The corresponding $\varkappa_m(E_F)$ functions are shown in Fig. 6 by the dotted-dashed and double-dotted-dashed gray lines.

Since in the general case not only the bandwidth W_{ε} is strain dependent, but also the energy-dependent effective potential $V_{\varepsilon}(E)$, the relative gain $\varkappa(E_{\rm F})$ consists of the two terms

$$\varkappa(E_{\rm F}) = \varkappa^{(W)}(E_{\rm F}) + \varkappa^{(\alpha)}(E_{\rm F}). \tag{43}$$

Here, the first term originates from the strain dependence of W_{ε}

$$\varkappa^{(W)}(E_{\rm F}) = \frac{1}{\Gamma_0} \frac{\partial \Gamma}{\partial W_{\varepsilon}} \frac{\partial W_{\varepsilon}}{\partial \varepsilon} \bigg|_{\varepsilon=0},\tag{44}$$

and the second is caused by the strain dependence of the potential $V_{\varepsilon}(E)$

$$\varkappa^{(\alpha)}(E_{\rm F}) = \left. \frac{1}{\Gamma_0} \frac{\partial \Gamma}{\partial V_{\varepsilon}} \frac{\partial V_{\varepsilon}}{\partial \varepsilon} \right|_{\varepsilon=0}.$$
 (45)

It is obvious that in the $\Delta \alpha = 0$ case, only the former term $\varkappa^{(W)}(E_{\rm F})$ contributes to the gain.

To find the maximal value for this term, it is sufficient to consider the imaginary part of the self-energy for the Lifshitz model in the ATA approximation (37), at least for the strongly bound impurities. To do this, one has to differentiate the expression for $\varkappa^{(W)}(E_{\rm F})$ with respect to the impurity perturbation $V_{\rm L}$. Alternatively, we can reasonably assume that this maximum occurs when the Fermi energy $E_{\rm F}$ lies at the resonance half-width from the energy $E_{\rm r}$. In this case, the two terms in the denominator of Eq. (37) are approximately equal. Using this property and taking the derivative over ε , we arrive the following rather simple estimate:

$$\varkappa_{\rm m}^{(W)}(E_{\rm F}) \approx \frac{2}{\pi} \beta \, \ln\left(\frac{W_0}{|E_{\rm F}|}\right). \tag{46}$$

One can see that Eq. (46) does not depend on the impurity perturbation $V_{\rm L}$. This expression is plotted in Fig. 6 as the upper dotted-dashed gray line. Taken with the opposite sign, it also provides a good estimate for the lower bound of the gain for the same $\Delta \alpha = 0$ case. It is shown as the lower dotted-dashed gray line.

To derive a similar estimate for the term $\varkappa^{(\alpha)}(E_F)$ defined by Eq. (45), one has to use the Fano-Anderson model with the impurity perturbation given by Eq. (30). Using the same simplifications as previously, we arrive at the following expression:

$$\varkappa_{\rm m}^{(\alpha)}(E_{\rm F}) \approx -\frac{W_0^2}{\pi t_{\rm hyb}^2} \frac{\Delta \alpha}{|E_{\rm F}|}.$$
(47)

Evidently, this term is nonzero only for $\Delta \alpha \neq 0$. In this case the sum $\varkappa_{\rm m}^{(W)}(E_{\rm F}) + \varkappa_{\rm m}^{(\alpha)}(E_{\rm F})$ of the two contributions, Eqs. (46) and (47), describes the upper bound of the gain for $\Delta \alpha = -2.5 \,\text{eV}$. The same sum but taken with the negative sign $-[\varkappa_{\rm m}^{(W)}(E_{\rm F}) + \varkappa_{\rm m}^{(\alpha)}(E_{\rm F})]$ provides the lower bound of the gain at particular value of $E_{\rm F}$. Both limits are shown in Fig. 6 by the double-dotted-dashed gray lines.

So far, we have analyzed the case of the strain-independent Fermi energy $|E_F^{\varepsilon}| = \text{const}$, that for the considered concentration of impurities corresponds to the constant Drude weight. Now, we turn to the case of a sample with fixed number of carriers.

C. Case of fixed number of carriers

For the isolated sample with fixed number of carriers, one has to take into account the small drift of the Fermi energy $|E_{\rm F}^{\varepsilon}|$, described by Eq. (19). As discussed in Sec. III B, 1% strain results in the decrease of the interval $|E_{\rm F}^{\varepsilon}|$ by only 3.5 meV. Note that for the impurity concentrations considered in this work, one can neglect deviations of the DOS from the clean graphene case [Eq. (13) caused by the resonance impurities]. Thus, we can use Eq. (19) to obtain a value of the Fermi energy $E_{\rm F}^{\varepsilon}$ in the strained sample.

Nevertheless, the obtained shift of the resonance energy is $E_r^{\varepsilon} - E_r \sim 10 \text{ meV}$ for $\varepsilon = 1\%$ and $E_r = -0.35 \text{ eV}$. Although this is three times larger than the corresponding shift of the Fermi energy, it is worth to take into account the strain dependence of E_E^{ε} .

In Fig. 7 we plot the relative gain \varkappa as a function of the zero-strain Fermi energy $E_{\rm F}^0 = W_0 \sqrt{N_{\rm c}}$. It is assumed that the number of carriers $N_{\rm c}$ is fixed, so that the value $E_{\rm F}^{\varepsilon}$ changes under the strain. Accordingly, the Drude-width change is determined by both the resonance energy shift and the strain dependence of the Fermi energy. We chose the same parameters and notations as in Fig. 6.

We find that the relative gain depends on the Fermi energy similarly to the previous section, but the magnitude of the effect is noticeably smaller. While the results without accounting for the Fermi energy shift (see Fig. 6) feature the values of the relative gain up to 15% per 1% of strain, in Fig. 7 we can see only a 8% maximum increase for the same parameters. It is still large in comparison to the Born approximation, which yields \sim 3% regardless of the impurity parameters.

Qualitatively, one can understand the obtained results by the fact that the Fermi energy shifts in the same direction as the resonance, albeit with a different strain rate. This shift partially cancels the increase in the Drude width. To account for this change in the calculation of the maximum



FIG. 7. The relative gain \varkappa of the Drude width per 1% of strain as a function of the zero-strain Fermi energy $E_{\rm F}^0 = W_0 \sqrt{N_{\rm c}}$ for the Fano-Anderson model. The calculation is done assuming a constant strainindependent carrier imbalance $N_{\rm c}$. All parameters and notations are the same as in Fig. 6. The black dotted-dashed and double-dotteddashed lines are obtained on the base of analytical estimates for the upper and lower bounds of the gain function for the cases $\alpha^{\rm imp} = \alpha$ and 0, respectively (see the main text for the explanation). The gray dotted-dashed and double-dotted-dashed gray lines are the same as in Fig. 6.

gain $\varkappa_{\rm m}(E_{\rm F})$, we have to include an extra term:

$$\varkappa_{\rm m}^{(E_{\rm F})}(E_{\rm F}) = \frac{1}{\Gamma_0} \left(\frac{\partial \Gamma}{\partial E_{\rm F}^e} \frac{\partial E_{\rm F}^e}{\partial \varepsilon} \right) \Big|_{\varepsilon=0}$$
$$\approx \frac{\beta}{\pi} \left[-\ln\left(\frac{W_0}{|E_{\rm F}|}\right) + \frac{1}{2} \right]. \tag{48}$$

The sums $\pm [\varkappa_m^{(W)}(E_F) + \varkappa_m^{(E_F)}(E_F) + \varkappa_m^{(\alpha)}(E_F)]$ are shown in Fig. 7 as the dotted-dashed and double-dotted-dashed black lines for the cases $\alpha^{imp} = \alpha$ and 0, respectively. For comparison, we also reproduced the corresponding dotted-dashed and double-dotted-dashed gray lines from Fig. 6 that do not include the term (48).

VI. CONCLUSIONS

Our investigation of the properties of the Drude width in uniaxially strained graphene was partly motivated by the experimental work [14]. As pointed out in [14], the strong effect of strain on optical absorption of graphene at terahertz and lower frequencies may have important implications for graphene-based optoelectronic devices, e.g., photodetectors, touch screens, and microelectromechanical systems. The observation that these properties can be controlled mechanically opens new possibilities for the future applications of graphene.

We have thoroughly investigated a possible contribution of the point defects in the observed strong strain dependence of the Drude width. A comparison with other mechanisms of scattering of charge carriers, which can overshadow the described effects, was not performed. Instead, we focused on in-depth study of impurities that can be described by the Lifshitz and the Fano-Anderson models within the framework of the ATA and the CPA approximations. These approximations allow to take into account the resonant character of these impurities that cannot be properly addressed in the weak-scattering regime analyzed in Ref. [14].

Another important issue considered in this work is the underlying mechanism of the strain influence on the electronic spectrum of graphene. The first effect considered in the vast majority of the literature focuses on strain effect on hopping integrals. The tensile strain results in the increase of the lattice bond lengths, so the corresponding hopping parameters decrease. This in turn causes the deformation of the electronic spectrum and the effective bandwidth W_{ε} , given by Eq. (14), decreases. In the weak-scattering regime, the impurity scattering rate is merely proportional to the DOS [see Eq. (13)]. The mentioned above decrease of the effective bandwidth W_{ε} causes a moderate monotonic increase in the DOS and corresponding scattering rate.

Discussed in this work effects are associated with the resonant impurity perturbation. We show that it may cause a drastic increase in the impurity scattering rate when the corresponding resonance is located in the vicinity of the Fermi level. For the hole-doped sample shown in Fig. 1, the resonance is assumed to be on the left side from the Fermi level. As the strain is applied, the interaction between the impurity state and host results both in the increase of the DOS and shift of the impurity resonance toward the Dirac point and thus closer to the Fermi level. In the case when the Drude weight is assumed to remain constant, this shift of the resonance energy produces a significant increase in the Drude-peak width.

In the case when the carrier number in the sample is fixed, the Fermi level also shifts toward the Dirac point. Nevertheless, the increase in the impurity scattering rate still occurs because the Fermi level goes to the Dirac point slower than the resonance energy as the strain is applied.

Yet, as we discussed, there is a second effect caused by the onsite deformation potential. When this potential is the same both on the host and impurity sites, the whole picture described above remains valid except that the Dirac point, the Fermi level, and the resonance energy are synchronously shifted by the same magnitude. On the other hand, if we assume that the deformation potential changes the onsite energies of the host atoms, while the energies of the the adatoms do not change, the resonant impurity scattering rate is enhanced even more strongly under strain.

The effect of the strain on the impurity scattering rate is characterized by the dimensionless gain parameter (35), or by its discrete analog (42), which is convenient to use in

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numerical calculations when the dependence of the scattering rate on strain is linear. Our main results that describe the gain for 1% strain can be summarized as follows.

(1) In the weak-scattering limit we obtain that the gain is around 3%, which is larger than the estimate done in Ref. [14]. The reason of the discrepancy is explained in Sec. V A 1.

(2) For the fixed Drude weight $|E_F^{\varepsilon}| = |\mathcal{E}_F^{\varepsilon} - \mathcal{E}_D^{\varepsilon}| = \text{const}$, the maximal gain is 8% in the case when the potential energy on impurity sites changes in concert with host sites. The gain reaches 15% when the potential on adatoms in the Fano-Anderson model does not change at all with the strain.

(3) As the absolute value of the Fermi energy increases, the gain steeply diminishes and reaches zero when $|E_F^{\varepsilon}|$ is approximately equal to the resonance energy. Further increase of the Fermi energy results in the negative values of the gain and then one reaches the minimal negative gain, i.e., the maximum decrease of the Drude weight. The predicted characteristic nonmonotonic behavior of the gain as the function of the Fermi energy can be used to identify the contribution of the resonant impurities in the Drude width in the experiments with controllable carrier density [15].

(4) For the fixed carrier number, when the difference $|E_{\rm F}^{\varepsilon}|$ becomes strain dependent, the value of the maximal gain diminishes to 8%.

We emphasize that these values of the gain can be achieved when the impurity resonance is located in the vicinity of the Fermi level that in the experiment [14] has the specific value $E_{\rm F} = \mathcal{E}_{\rm F} - \mathcal{E}_{\rm D} \approx -0.23$ eV. To conclude, we note that even if the resonant impurities are not responsible for the effects observed in Ref. [14], this work allows one to speculate that the resonant impurities added on graphene's sheet should allow one to control the corresponding electronic properties of graphene. This provides guidelines for functionalizing graphene samples in a way that would permit to modulate efficiently the Drude-peak width by the applied strain.

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