

Phase transitions in the binary-alloy Hubbard model: Insights from strong-coupling perturbation theory

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In the binary alloy with composition $A_x B_{1-x}$ of two atoms with ionic energy scales $\pm\Delta$, an apparent Anderson insulator (AI) is obtained as a result of randomness in the position of atoms. Using our recently developed technique that combines the local self-energy from strong-coupling perturbation theory with the transfer matrix method, we are able to address the problem of adding a Hubbard U to the binary-alloy problem for millions of lattice sites on the honeycomb lattice. By adding the Hubbard interaction U , the resulting AI phase will become metallic, which in our formulation can be manifestly attributed to the screening of disorder by Hubbard U . Upon further increase in U , again the AI phase emerges, which can be understood in terms of the suppressed charge fluctuations due to residual Hubbard interaction. The randomness takes advantage of such suppression and localizes the quasiparticles of the metallic phase. The ultimate destiny of the system at very large U is to become a Mott insulator. We construct the phase diagram of this model in the plane of (U, Δ) for various compositions x .

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I. INTRODUCTION

Coulomb interaction between electrons plays a significant role in the electronic structure of real materials. The metal to insulator transition arising from correlations, i.e., Mott transition, has been the subject of intensive research in past decades [1–8]. On the reverse direction, a considerable amount of new theoretical work is devoted to the correlation-driven *insulator to metal* phase transition. In other words, the question is whether the correlations can drive an insulating phase to a metallic phase or not. A well-studied example is to start from a band insulating (BI) phase obtained from a periodic external potential. Adding Hubbard interactions to the periodic potential gives rise to the so-called ionic Hubbard model [7,9–14]. These studies showed that by increasing interaction strength, the half-filled ionic Hubbard model has two transition points. The first transition is from BI to metal. And, of course, by further increase of the interaction strength, the second transition point involves a transition between the metal and Mott insulator (MI).

The other route to the interaction-driven transformation of insulator into metal is provided by the disordered systems. The disorder is introduced through random on-site energies which are distributed according to some probability distribution. Choosing a uniform distribution by self-consistent Hartree-Fock calculations and quantum Monte Carlo (QMC) method, it was found that the two-dimensional system undergoes an Anderson insulator (AI) to the metallic phase transition [15–18]. In these studies, the lattice sizes used in calculations were small. Therefore, the AI to metal transition

cannot be determined with acceptable accuracy. In contrast, the metallic phase induced by correlations was not reported in the phase diagram of Refs. [19,20]. Also, in Ref. [21], we ruled out the possibility of any metallic state between the AI and MI for the uniformly distributed Anderson disorder.

In the present paper, instead of Anderson disorder, we consider binary-alloy disorder $A_x B_{1-x}$ that is composed of two different atoms A and B which are randomly distributed on the lattice. Once the positions of A and B in a random configuration are chosen, each atom A (B) is assigned an on-site potential $+\Delta$ ($-\Delta$). In all sites, a Hubbard term U operates. The resulting model is the so-called binary-alloy Hubbard model. The spatially ordered limit of the binary-alloy system on bipartite lattice corresponds to the ionic Hubbard model. References [22] and [23] studied the binary-alloy Hubbard model by using mean field theory on the three-dimensional lattice and dynamical mean field theory (DMFT) on the Bethe lattice, respectively. They found that at half-filling, i.e., having on average one electron at every lattice site, two metal-insulator transitions occur by increasing the Hubbard interaction strength. The first transition point corresponds to the transition from an uncorrelated BI to a metal, and the second transition is from a metallic phase to MI. Employing DMFT, Byczuk *et al.* found that a new metal to Mott-type insulator transition occurs because of the interplay between band splitting by binary-alloy disorder and correlation effects [24]. The numerical renormalization group study at zero temperature has shown that the system becomes a MI at strong interactions at incommensurate densities $n = x$ or $n = 1 + x$ [25]. In Ref. [26], Paris *et al.* investigated the phase diagram of the Hubbard model with the binary-alloy disorder on the square lattice. They found MI behavior away from half-filling, in agreement with previously mentioned studies. Combining

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QMC simulations and exact diagonalization, they were also able to treat disorder better than the earlier mean field and DMFT studies to explore the possibility of AI phase.

The correlation effects on the honeycomb lattice have been widely investigated both theoretically and experimentally, which has relevance to a number of exotic phenomena in graphene [27,28] and silicene [29–32] as well as topological MIs [33]. Combination of disorder and correlation on the honeycomb lattice gives rise to other interesting phenomena such as the formation of AI phases and possible transitions to metallic behavior driven by interactions [34].

Recently, we have been able to integrate the strong-coupling perturbation theory—which can analytically address the Mott transition—with very efficient numerical methods of disorder problems [21]. The local nature of strong-coupling self-energy allows an efficient embedding into the transfer matrix method, which is essentially free from size limitations and therefore enables us to perform a careful and reliable finite size scaling. Generally speaking, the strong-coupling perturbation theory is based on an exact treatment of the atomic limit, followed by switching on the intersite hopping [35,36]. This combination of the two powerful tools of Mott and Anderson physics allows us to treat correlation and disorder on equal footing. Computation of the Green’s function for very large lattice is the important advantage of this method. By utilizing the Green’s function, the kernel polynomial method (KPM) enables us to compute the density of states (DOS) in real space for a disordered and interacting system with millions of lattice sites. KPM as a highly efficient numerical method offers a high precision determination of DOS without an explicit diagonalization of the Hamiltonian [37–39]. On the other hand, the transfer matrix method which can numerically let the particles propagate on the lattice up to essentially any desirable length scale can be nicely combined with the local self-energy obtained from strong-coupling perturbation theory to immediately give us the localization length of the wave function in a background that includes not only on-site (random) energies, but also includes appropriate local self-energies. This method enables a reliable finite size scaling which in turn furnishes invaluable insight into the localization properties of strongly correlated and disordered system.

In this paper, we set out to study the binary-alloy Hubbard model with our method on the honeycomb lattice. Our key finding is that competition between local binary disorder and electronic correlations leads to a metallic phase. This is in contrast to Anderson disorder (nonbinary alloy) where a metallic phase between MI and AI is ruled out [21]. Besides, we find the AI phase as the ground state of the system in a specific region of parameters whereas some methods such as mean field and DMFT used in Refs. [22,23] failed to identify this phase. Our results are backed by a careful finite size scaling which, due to the exponential growth of the Hilbert space, is not possible in other existing methods that treat the Hubbard part numerically.

The rest of this paper is structured as follows. In Sec. II, we introduce the alloy-disordered Hubbard model followed by brief review of the strong-coupling perturbation approach. After that, we present the results of our calculations in Sec. III. Finally, in Sec. IV, we end up with some concluding remarks. The Appendices provide the self-energy formulas along with

brief description of KPM and transfer matrix method used in present work.

II. STRONG-COUPLING PERTURBATION THEORY

In this section, we extend the strong-coupling perturbation formalism of strongly interacting systems to include the disorder as well [21]. The canonical model of disordered interacting system is the Anderson-Hubbard model, which is given by the following Hamiltonian:

$$\begin{aligned} H &= H_0 + H_1, \\ H_0 &= U \sum_i n_{i\uparrow} n_{i\downarrow} - \mu \sum_{i,\sigma} n_{i\sigma} + \sum_{i,\sigma} \epsilon_i n_{i\sigma}, \\ H_1 &= \sum_{ij,\sigma} V_{ij} (c_{i\sigma}^\dagger c_{j\sigma} + \text{H.c.}), \end{aligned} \quad (1)$$

where H_0 accounts for interaction and disorder energy, and H_1 for kinetic energy. Also $c_{i\sigma}^\dagger$ ($c_{i\sigma}$) is the fermionic creation (annihilation) operator of the particle with spin $\sigma = \pm 1/2$ on the lattice site i , $n_{i\sigma} = c_{i\sigma}^\dagger c_{i\sigma}$ is the local electron number operator, V_{ij} is the hopping matrix element between sites i and j , and $U > 0$ is the on-site Coulomb repulsion. The chemical potential μ determines the average density of the system. The local energies ϵ_i are independent random variables. In the following, we assume a binary probability distribution for ϵ_i , i.e.:

$$P(\epsilon_i) = x \delta(\epsilon_i - \Delta) + (1 - x) \delta(\epsilon_i + \Delta). \quad (2)$$

Here Δ parameterizes the binary-alloy disorder strength. x and $1 - x$ are the concentrations of the two components of the alloy ions with energies Δ and $-\Delta$, respectively. Additionally, $x = 0$ and $x = 1$ correspond to a nondisordered system with shifted on-site energy $\pm\Delta$. The strong-coupling perturbation theory assumes that the hopping parameter is the small energy scale. Therefore, to obtain reliable results, either U or Δ of the on-site terms must be large enough.

To be self-contained, in the following, we briefly describe the strong-coupling perturbation theory. Considering H_0 and H_1 in Hamiltonian Eqs. (1) as the unperturbed and perturbed Hamiltonian, respectively, the partition function at temperature $T = 1/\beta$ in the path-integral formalism is written as

$$\begin{aligned} Z &= \int [d\gamma^* d\gamma] \exp \left[- \int_0^\beta d\tau \left\{ \sum_{i\sigma} \gamma_{i\sigma}^*(\tau) \partial_\tau \gamma_{i\sigma}(\tau) \right. \right. \\ &\quad \left. \left. + H_0(\gamma_{i\sigma}^*(\tau), \gamma_{i\sigma}(\tau)) + \sum_{ij\sigma} \gamma_{i\sigma}^*(\tau) V_{ij} \gamma_{j\sigma}(\tau) \right\} \right], \end{aligned} \quad (3)$$

where γ and γ^* denote the Grassmann fields in the imaginary time τ .

As can be seen, the the unperturbed part of the Hamiltonian is not quadratic. Therefore, the ordinary Wick theorem cannot be employed to construct a diagrammatic expansion for the correlation functions. To circumvent this, one starts with the

following *fermionic* Hubbard-Stratonovich transformation [36]:

$$\begin{aligned} & \int [d\psi^* d\psi] \exp \left[\int_0^\beta d\tau \sum_{i\sigma} \left\{ \sum_j \psi_{i\sigma}^*(\tau) (V^{-1})_{ij} \psi_{j\sigma}(\tau) + \psi_{i\sigma}^*(\tau) \gamma_{i\sigma}(\tau) + \gamma_{i\sigma}^*(\tau) \psi_{i\sigma}(\tau) \right\} \right] \\ & = \det(V^{-1}) \exp \left[- \int_0^\beta d\tau \sum_{ij\sigma} \gamma_{i\sigma}^*(\tau) V_{ij} \gamma_{j\sigma}(\tau) \right], \end{aligned} \quad (4)$$

In this equation, $\psi_{i\sigma}(\tau)$ and $\psi_{i\sigma}^*(\tau)$ are the auxiliary Grassmann fields. So, by means of this transformation, we can rewrite the partition function up to a normalization factor as

$$Z = \int [d\psi^* d\psi] \exp \left[- \left\{ S_0[\psi^*, \psi] + \sum_{R=1}^{\infty} S_{\text{int}}^R[\psi^*, \psi] \right\} \right]. \quad (5)$$

In the above partition function, $S_0[\psi^*, \psi]$ is the free (unperturbed) auxiliary fermion action which is determined by the inverse of the hopping matrix of original fermions,

$$S_0[\psi^*, \psi] = - \int_0^\beta d\tau \sum_{ij\sigma} \psi_{i\sigma}^*(\tau) (V^{-1})_{ij} \psi_{j\sigma}(\tau), \quad (6)$$

and $S_{\text{int}}^R[\psi^*, \psi]$ includes an infinite number of interaction terms,

$$\begin{aligned} S_{\text{int}}^R[\psi^*, \psi] & = \frac{-1}{(R!)^2} \sum_i \sum_{\{\sigma_1 \sigma'_1\}} \int_0^\beta \prod_{l=1}^R d\tau_l d\tau'_l \psi_{i\sigma_1}^*(\tau_1) \dots \psi_{i\sigma_R}^*(\tau_R) \psi_{i\sigma'_R}(\tau'_R) \dots \psi_{i\sigma'_1}(\tau'_1) \\ & \times \langle \gamma_{i\sigma_1}(\tau_1) \dots \gamma_{i\sigma_R}(\tau_R) \gamma_{i\sigma'_R}^*(\tau'_R) \dots \gamma_{i\sigma'_1}^*(\tau'_1) \rangle_{0,c}. \end{aligned} \quad (7)$$

The $\langle \gamma_{i\sigma_1}(\tau_1) \dots \gamma_{i\sigma_R}(\tau_R) \gamma_{i\sigma'_R}^*(\tau'_R) \dots \gamma_{i\sigma'_1}^*(\tau'_1) \rangle_{0,c}$ represents connected correlation function of the original fermions, which now determines the interaction vertices of the dual theory. In the partition function of the auxiliary fermions Eq. (5), the unperturbed part is quadratic. Hence, the Wick theorem can be applied to take the interaction term Eq. (7) perturbatively into account and calculate the self-energy of the auxiliary fermion (Γ). Finally, the Green's function of the original fermions is expressed as

$$G = (\Gamma^{-1} - V)^{-1}. \quad (8)$$

The diagrammatic details of the strong-coupling approach can be found in Ref. [36].

III. RESULTS AND DISCUSSION

In this section, we present our results obtained by strong-coupling expansion for the Hubbard model with the binary-alloy disorder on the honeycomb lattice. We assume $V_{ij} = -t$ if i, j are nearest-neighbor sites and zero otherwise. Throughout the paper, $t = 1$ sets the unit of the energy. In the absence of disorder, setting $\mu = U/2$ corresponds to half-filling. However, for the system affected by the disorder, this chemical potential does not necessarily correspond to the half-filling. To determine the half-filling in the presence of disorder, we numerically solve the implicit equation, $\bar{n}(\mu) = \int_{-\infty}^{\mu} \bar{\rho}(\varepsilon) d\varepsilon = 1/2$, where bar denotes averaging over realizations of the disorder. The numerical cost involved here is due to the fact that ρ implicitly depends on μ . So μ has to self-consistently satisfy the above equation.

In this paper, to study the disordered interacting electrons on the honeycomb lattice, the perturbative treatment up to order t^2 is considered. We can compute the self-energy of the auxiliary fermions up to second order as depicted in the Feynman diagrams in Fig. 1. Therefore, the self-energy for each spin is expressed as

$$\Gamma_{ij}(i\omega) = \Gamma_{ij}^{(0)}(i\omega) + \Gamma_{ij}^{(2)}(i\omega), \quad (9)$$

where the $\Gamma_{ij}^{(0)}(i\omega)$ and $\Gamma_{ij}^{(2)}(i\omega)$ are the zeroth- and second-order self-energies for the auxiliary fermions, respectively. The Matsubara frequencies are $i\omega = i(2n+1)\pi T$. The algebraic expressions for the $\Gamma_{ij}^{(0)}(i\omega)$ and $\Gamma_{ij}^{(2)}(i\omega)$ at arbitrary temperature and fixed chemical potential are presented in Appendix A. Once the self-energy of the auxiliary fermions is obtained, one can calculate the Green's function by Eq. (8).

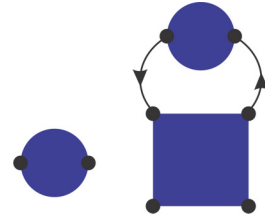


FIG. 1. Diagrams contributing to the self-energy of the auxiliary fermions up to order t^2 where solid lines indicate free propagator V of auxiliary fermions and vertices represent connected correlation functions. Circles are one-particle connected correlation function and the square refers to two-particle connected correlation function.

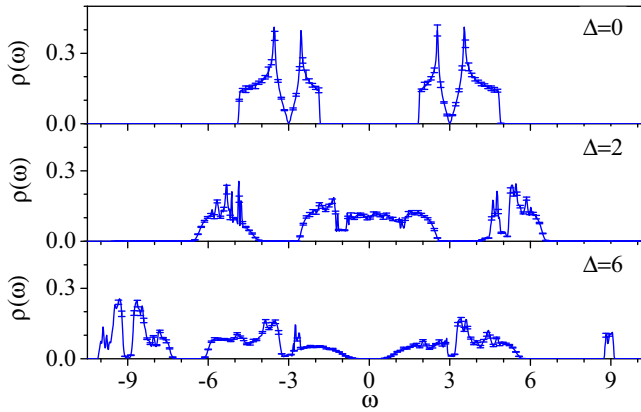


FIG. 2. Disorder-averaged DOS obtained by KPM for different disorder strengths Δ at concentration $x = 0.5$, $\mu = U/2$ and interaction strength $U = 6$. The system size is 500×500 .

A. Fixed chemical potential $\mu = U/2$

To investigate the physics of strongly correlated and binary-disordered electrons on the honeycomb lattice, first, we study the DOS, which is given by

$$\rho(\omega) = \frac{1}{N} \sum_{n=1}^N \delta(\omega - E_n), \quad (10)$$

where N is the number of lattice sites and E_n denotes the eigenvalues of the Hamiltonian. The DOS can be determined very efficiently by the KPM [37–39], which is described in Appendix B. In Fig. 2, DOS has been plotted for several disorder strengths at interaction $U = 6$, $\mu = U/2$ and zero temperature for disorder concentration $x = 0.5$ and system with 500×500 lattice sites. In the absence of disorder, i.e., $\Delta = 0$, an insulating phase corresponding to MI can be obtained which is in agreement with Refs. [6,7]. For large enough interaction strengths, we expect the DOS of the clean system to have two main subbands around $-U/2$ and $U/2$ which correspond to upper and lower Hubbard subbands. Moreover, this band splitting is proportional to the interaction strength U , which is a hallmark of Mott-Hubbard bands.

Turning the disorder on, we can discuss how DOS is affected by the disorder. After introducing disorder to the system, DOS will consist of four branches which correspond to Hubbard sub-bands plus an additional band splitting within each Hubbard subband that is originated from ionic energies. This result is in agreement with Ref. [7], which reports similar features in the ionic Hubbard model. Generally, disorder broadens each branch of DOS spectrum and reduces Mott gap as reported in, e.g., Ref. [21]. The Mott gap is robust against weak disorder. By increasing the disorder strength, the level repulsion pushes the two disorder-split subbands of each Hubbard band toward each other which eventually results in gap closing. So, as can be seen in Fig. 2, at $\Delta = 2$ the gap is completely filled. However, here DOS cannot determine the conductive nature of the gapless states emerged at the Fermi level (i.e., at $\omega = 0$) due to competition between the interaction and randomness. Upon further increase of the disorder strength for $\Delta \approx 5$, a gap reopens in the spectrum. Therefore,

as shown in Fig. 2, the DOS exhibits an energy gap in the spectrum at disorder strength $\Delta = 6$.

The DOS in Fig. 2 for $\Delta = 2$ reveals that for this disorder strength, $\mu = U/2$ specifies the half-filling. In contrast for $\Delta = 6$, setting $\mu = U/2$ no longer specifies Fermi energy at half-filling. Furthermore, closure of the Mott gap in the presence of disorder indicates that disorder shifts the Mott transition to larger values of interaction strengths. This behavior is captured by dual fermion approach [40] and DMFT [41] as well.

As can be seen in the DOS profile, disorder suppresses the Mott gap and gives rise to the gapless state first. Given that the system is disordered, the question will be whether the resulting gapless state is a conductor or not. To characterize the nature of the gapless phases arising from the interplay of binary-alloy disorder and interaction, we employ the transfer matrix method which is briefly explained in Appendix C. The transfer matrix method as a powerful technique allows us to determine the localization length of the disordered systems with a large number of atoms. The localization length λ for a lattice with a fixed width M is the characteristic length scale which determines the exponential decay of the single-particle wave function as

$$\psi(x) \propto \exp(-x/\lambda). \quad (11)$$

The length scale λ can be conveniently extracted from the transfer matrix method as discussed in Appendix C. This method offers a reliable finite size scaling which, in turn, will enable us to determine whether the gapless states around the Fermi energy have extended or localized properties.

In Fig. 3, we plot the localization length normalized to the ribbon width, λ/M , in ribbon geometry of the honeycomb lattice at fixed chemical potential $\mu = U/2$, disorder strength $\Delta = 6$, and composition $x = 0.5$ for lattice with length $L = 100000$. In Fig. 3(a), the interaction dependence of normalized localization length for various ribbon widths M is displayed. As can be seen for a given width, at weak interaction strengths the localization length takes very small values which indicate the insulating behavior. This insulating state at large disorder strength $\Delta = 6$ corresponds to BI (gapped), which is perturbatively connected to the noninteracting case $U = 0$, and continued to weak interactions U . Increasing the Hubbard interaction the normalized localization length increases up to the onset of a jump around $U \approx 10.4$. This is followed by another bigger jump at $U \approx 11.2$. According to the DOS, the region between the above two values of U is gapless, but the localization length values are still small to give a conducting phase. The finite size scaling in Fig. 3(b) confirms this observation. Therefore it has to correspond to localized AI phase. Upon further increase of interaction strength, a pronounced plateau appears in the localization length. In this region we still have a gapless phase, but with much larger localization length. The finite size scaling in Fig. 3(b) confirms that it corresponds to the metallic phase. Hence, the competition between on-site Hubbard correlation and binary-alloy form of randomness gives rise to a metallic ground state which passes through an AI phase. Increasing the interaction strength, the localization length shows the localized behavior again before entering the (gapped) MI phase at strong U .

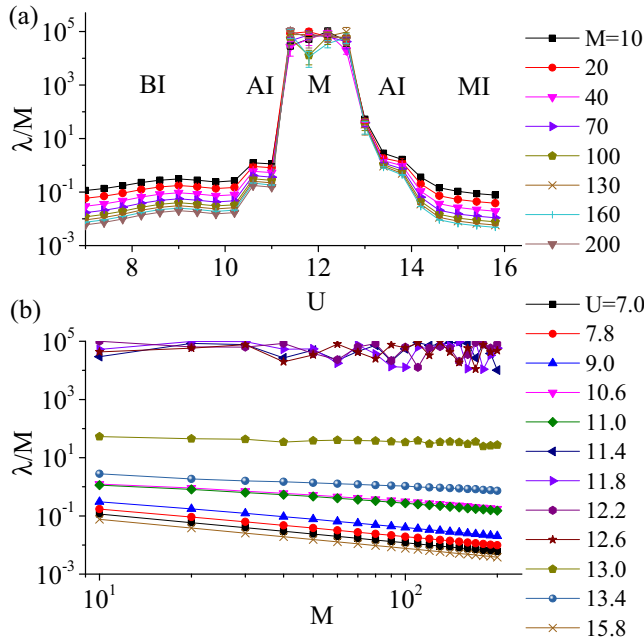


FIG. 3. The localization length normalized to the width in ribbon geometry for honeycomb lattice at Fermi energy ($\omega = 0$) and fixed chemical potential $\mu = U/2$ as a function of (a) interaction strength U for various ribbon widths M indicated in the legend and (b) the ribbon width M for various Hubbard interaction U . In both cases, the disorder strength $\Delta = 6$ and concentration $x = 0.5$ is considered.

Let us explain in more detail the finite size scaling which is necessary to corroborate the phases identified in Fig. 3(a). In Fig. 3(b), we plot the normalized localization length as a function of ribbon width M for different interaction strengths. In general, in Mott/BI (gapped) and Anderson localized (gapless) phases, the localization length decreases with increasing the width. Albeit the localization length for Mott and band insulators is lower compared to neighboring Anderson localized phases. This difference has to do with the fact that MI and BI are gapped, while the AI is gapless.

To interpret the curves, note that increasing behavior of the localization length versus width, M , means that at infinite lattice we have infinite localization length corresponding to the extended state and hence the system will be metal. Such behavior can be seen in Fig. 3(b) for $U = 11.4, 11.8, 12.2, 12.6$, which confirms that the localization length plateau in Fig. 3(a) does indeed correspond to a conducting phase. So, from this point, let us call it the metallic plateau. Fitting an appropriate function for different values of disorder, the normalized localization length indicates the relation $\lambda/M \approx M^{-f(U, \Delta, M)}$, where $f > 0$ ($f \leq 0$) implies that system is localized (extended) [21].

The essential lesson to be learned from Fig. 3 is that the effect of correlations on localization is to initially suppress the localization. This can be interpreted as the “screening”¹ of

¹Note that this screening is not the same as the screening of the long-range Coulomb forces, which requires calculation of the two-particle response function.

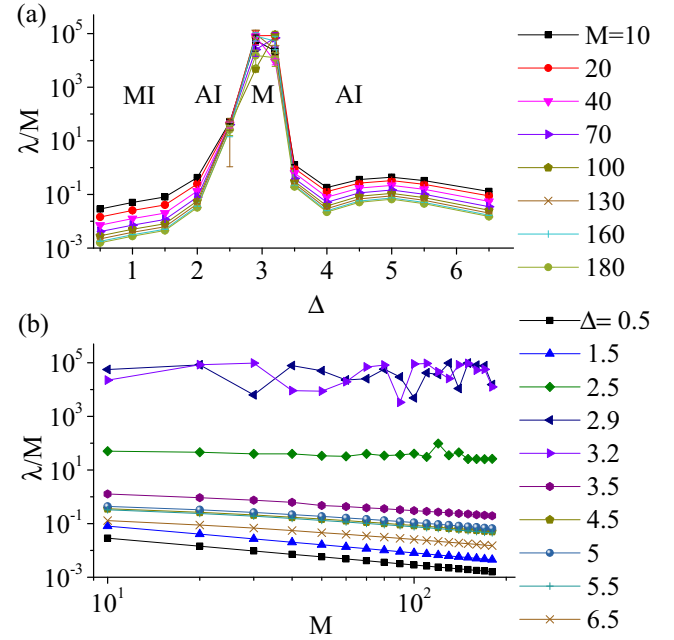


FIG. 4. The localization length normalized to the width in ribbon geometry calculated by transfer matrix method at zero energy for half-filled binary alloy Hubbard model with a fixed interaction strength $U = 6$ and alloy composition $x = 0.5$, as a function of (a) disorder strength Δ for different ribbon widths M indicated in the legend and (b) the ribbon width M for various Δ .

the disorder [19,20] by repulsion U until it reaches a maximum value at the metallic plateau. On the right side of the metallic plateau, there are two players: One is a very strong Hubbard U , which in the conducting background of the metallic plateau region with a residual Hubbard U_{res} will be able to generate the substantial superexchange interaction that wants to drive the system toward the ultimate Mott phase. In the absence of the random $\pm\Delta$ alloy potential, the $U_{\text{res.}}/t$ would be the only player and one would have the Mott phase. However, the randomness in the binary-alloy potential takes advantage of the fact that charge fluctuations are suppressed by residual Hubbard, U_{res} , and will be able to localize them, giving rise to AI phase easily. This AI phase is followed by Mott-Hubbard splitting of the spectral weight, ending the system in MI phase. In this way, the transition from a disordered metal to a Mott phase in the right side of the localization plateau is preceded by an AI phase.

B. Half-filling

Up to this point, we have been focused on fixed chemical potential $\mu = U/2$, but as can be inferred from Fig. 2, the $\mu = U/2$ does not necessarily correspond to the half-filling. In other words, for some Δ_c , when $\Delta > \Delta_c$, the condition $\mu = U/2$ does not specify the half-filling. Considering the half-filled case, the localization length normalized to width in ribbon geometry is plotted in Fig. 4 for a fixed interaction strength $U = 6$ and alloy composition $x = 0.5$. The normalized localization length versus disorder strength Δ for different values of the ribbon width is shown in Fig. 4(a). Vanishing DOS at $U = 6$ and weak disorder strength means

that the state at small Δ is an MI (gapped). Therefore, there are no states near Fermi energy, which consequently leads to very small localization length. Increasing disorder fills in the Mott gap. This is because for the larger Δ , on average half of the sites (since $x = 0.5$) will have a large negative alloy potential which denies the no-double-occupancy rule of the Mott phase and hence substantial charge fluctuations are created which will then fill in the Mott gap. The Mott gap closure results in increasing the localization length. But the states created around the Fermi level are not yet ready for conduction, as the DOS is small, which means that we are dealing with a poor metal that can be localized by moderate disorder in the position of the alloy potential $\pm\Delta$. Finite size scaling in Fig. 4(b) confirms that the state to the right of MI is AI. By further increase in Δ , the system enters a metallic plateau where the localization length is maximal. Eventually, the phase in the right of the metallic plateau is again an AI. *But at half-filling, there is no BI phase in large Δ .*

The metallic plateau in Fig. 4 can be explained as follows: Imagine that the alloy components A and B (at $x = 0.5$) are arranged in a regular bipartite lattice. Then at half-filling, a competition between Δ and U will generate a conducting phase for $\Delta \approx U/2$ within strong-coupling perturbation theory [7]. A similar picture is obtained by DMFT [9,13], continuous unitary transformation [42,43], and Gutzwiller approximation [14]. So, in this case, the metallic phase can be considered a descendant of the conducting phase of the above studies. Adding disorder on top of such a conducting state naturally explains the AI to the right of metallic phase in Fig. 4. The transition from the leftmost MI to AI is similar to the direct transition between MI and AI in other disordered systems [21,40]. However, the transition from the AI in the left of the metallic plateau to conducting phase in the plateau is unusual. Technically, this happens because when Δ approaches $U/2$, the self-energy Γ of auxiliary fermions diverges. By Eq. (8), this divergence implies the Green's function will be similar to those of free fermions. It looks like that by approaching $\Delta \approx U/2$, the interaction and binary-alloy disorder knockout each other, and we are left with a conducting phase.

As pointed out, DOS tells us whether we have gapped state or gapless state. Then by finite size scaling of the localization length, we can determine the nature of the single-particle states around the Fermi surface in the gapless state. Therefore, by computing the DOS and localization length for various Hubbard interaction U and disorder strength Δ , we can generate the phase diagram in (U, Δ) plane for the correlated fermions on honeycomb lattice with the binary-alloy disorder. The phase diagram for disorder concentration $x = 0.5$ in two cases are plotted in Fig. 5: (a) fixed chemical potential $\mu = U/2$ and (b) half-filling. We must stress again that the fixed $\mu = U/2$ corresponds to half-filling only for weak enough $\Delta < \Delta_c$. So the Mott phase which happens in $\Delta < \Delta_c$, is identical in both Figs. 5(a) and 5(b). In the strong-coupling expansion is reliable when either of the on-site terms, U or Δ are large. So in calculating the Mott gap extracted from DOS, first, we compute the single-particle gap for large interaction strengths at a given fixed Δ . Then, by extrapolating the gap to zero, we can find the critical Coulomb repulsion U_c for Mott transition in fixed disorder strength Δ [7,36,44].

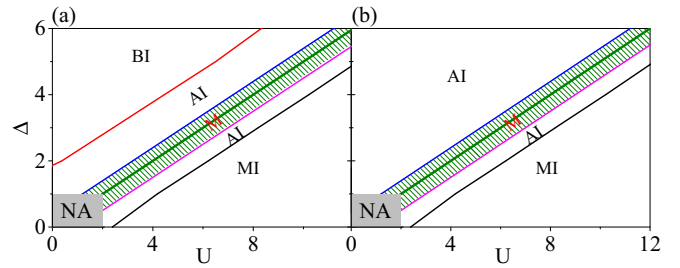


FIG. 5. Ground state phase diagram of Coulomb interaction U versus disorder strength Δ of the Hubbard model with binary-alloy disorder in concentration $x = 0.5$ for (a) fixed chemical potential $\mu = U/2$ and (b) half-filled case. The NA region corresponds to a weak U and Δ where the strong-coupling perturbation expansion is not reliable.

By varying Hubbard U for a fixed disorder strength Δ in Fig. 5(a), we can span phases from weakly correlated phase BI to strongly correlated MI. The no answer (NA) region corresponds to situations where both U and Δ are small, where the strong-coupling expansion is not applicable. We, therefore, discuss the region outside the NA. As can be seen, between these two insulating phases, a metallic phase surrounded by AI phases has emerged. For the half-filled case as presented in Fig. 5(b), the BI phase disappears in comparison with the phase diagram of $\mu = U/2$. Indeed, as pointed out earlier in the limit $\Delta \gg U$, the condition $\mu = U/2$ does not correspond to half-filling. To maintain the half-filling, the spectral weight must be shifted to higher energies to keep the Fermi level at $\omega = 0$. So, if the system was gapped for $\mu = U/2$, after the spectral shift, the DOS becomes nonzero at Fermi level. Therefore, in the half-filled case, the large Δ/U BI phase of Fig. 5(a) is never realized in Fig. 5(b).

It is worth noting that the green shaded area in both Figs. 5(a) and 5(b), which represents the metallic phase, is centered by the green line $U = 2\Delta$ [7] where 2Δ denotes the energy difference of alloy energies. As pointed out earlier, at $\Delta = U/2$ the self-energy $\Gamma(\omega)$ diverges at Fermi level ($\omega = 0$) and consequently, by Eq. (8), interaction completely screens the disorder. Hence, the system does not feel any disorder that results in a robust metallic phase which persists in both situations with fixed chemical potential and fixed density. On one hand, by infinitesimal deviation from $U = 2\Delta$, the self-energy will start to feel the disorder in the system. This can be viewed as the partial screening of the disorder by interaction. On the other hand, small disorder on the honeycomb lattice does not localize the wave functions in the middle of the band and the system remains metal [18,45–47]. However, far away from $U = 2\Delta$, the interaction cannot screen disorder, and metallic phase no longer persists. Note that the AI phase that is sandwiched between metallic and MI phases is narrower than the other AI phase. The former AI takes advantage of the reduced charge fluctuations due to large U . But once this AI phase is established, it will be easier for the Hubbard U to stabilize a Mott phase on an AI background. The other AI, on the other hand, has to compete with the ionic energy scale of the BI phase in Fig. 5(a). Larger Δ means more disorder, and more ionicity which makes both BI and AI

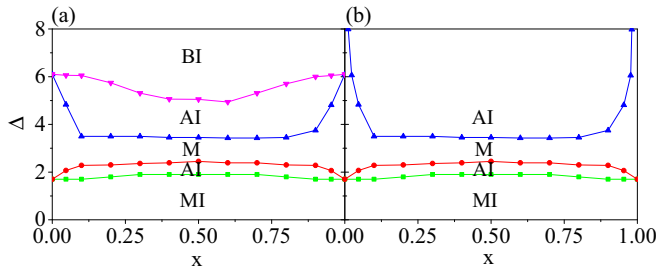


FIG. 6. Phase diagram at fixed interaction strength $U = 6$ as a function of disorder concentrations x for (a) $\mu = U/2$ and (b) half-filling.

phases happy. That is why the AI phase to the left of metallic state spans a larger region.

C. Dependence on composition ratio x

So far, we have fixed the disorder concentration at $x = 0.5$, the regular limit of which corresponds to the ionic Hubbard model. This helps us to (i) identify the gapped phase in weakly correlated phase at the fixed chemical potential with BI and (ii) understand the nature of the middle metallic phase. Let us see how this picture carries on for other composition ratios x , which might be more relevant to realistic materials than $x = 0.5$. So we examine other compositions by varying x . To this end, let us start by construction of the phase diagram of the Anderson-Hubbard model for various concentrations x at fixed $U = 6$ as displayed in Fig. 6 for (a) $\mu = U/2$ and (b) half-filling. Varying the concentration of A atoms with energy Δ from $x = 0.5$ to $x = 0$, B atoms with energy $-\Delta$ are the majority atoms (such that at $x = 0$ only atoms B exist). So the main branch of DOS belongs to atoms B . Assuming $x = 0$ in Fig. 6(a), for a fixed on-site repulsion U , and fixed $\mu = U/2$ and $\Delta = 0$, the system is MI. Upon switching on the Δ , the spectral weight transfers toward $\omega \approx -\Delta$. The rearrangement of the spectral weight is controlled by the random variations in the inverse self-energy Γ^{-1} of the auxiliary fermions. The spectral weight transfer for Δ smaller than the certain limit, say Δ_{c1} (the green square border) is such that still the Fermi level will remain inside the Mott gap. At $\Delta = \Delta_{c1}$, the Fermi level crosses the shifted spectrum at a nonzero DOS and hence the Mott gap will be pushed to energies well above (below) the Fermi level and, therefore, we basically have a gapless phase up to some upper limit $\Delta < \Delta_{c2}$. Δ_{c2} (the pink down-triangle) is the critical strength for which all states are shifted to the right of the Fermi energy, and there are no states in Fermi level anymore. So for $\Delta > \Delta_{c2}$, the system is an empty band and hence will be in the (gapped) BI phase. The same explanation is valid for $x = 1$, because in this limit the system is composed of only A atoms with on-site energy Δ . The difference between $x = 1$ and $x = 0$ cases is that the direction of the spectral weight shift is reversed. Since at $x = 0$ and $x = 1$, the system has no disorder, we do not have any AI phase. That is why, by approaching these two points, the AI phase shrinks to zero. For $x \in (0, 1)$, we have AI phases with a metallic phase sandwiched between them.

In Fig. 6(b), all possible phases in a fixed particle density corresponding to half-filling have been plotted.

Half-filling is obtained by calculating the chemical potential self-consistently for every x and Δ . For $x = 0$ and $x = 1$, which corresponds to ordered arrangements of atoms, as explained in Fig. 6(a), by increasing Δ , the system becomes MI, which is followed by gapless phase. After closing the Mott gap, due to half-filling, the Fermi level always falls in the regions with nonzero DOS, and the system remains metal. If the composition ratio x deviates from $x = 0$ or $x = 1$, depending on the Δ magnitude we start to obtain AI states similar to $x = 0.5$.

Why in the fixed chemical potential case $\mu = U/2$ the large Δ/U region is a BI while in the half-filled case with $n = 1$ no gapped (BI) state at large Δ/U is obtained? Physically fixing the particle density (e.g., here at half-filling) means that we are dealing with a closed system to/from which electrons cannot be added/removed. In this case, in the rightmost AI phase of Fig. 4(a), electrons are localized wherever they are. By increasing Δ , the alloy potential wells become deeper, and every electron stays where it is. So we are dealing with a compressible state where an extra electron can be added to a suitable location at negligible cost. However, when the chemical potential is maintained constant by an external gate, those regions whose alloy potential is $-\Delta$ suck more electrons from the gate, and eventually all the sites with negative alloy potential are filled. Therefore, for large enough Δ , we expect an incompressible (gapped) state adding electrons, which requires finite energy. That is why in the constant chemical potential case the large Δ region is a BI while with fixed particle density, no BI follows the AI phase by increasing Δ .

The special cases $x = 0$ and $x = 1$ correspond to the standard Hubbard model, albeit with a shift $\pm\Delta$ in the chemical potential. In the case of constant chemical potential, if this shift happens to place the Fermi level below the bottom of the lower Hubbard band or above the top of the upper Hubbard band, then we have a “BI,” in the sense that we are dealing with an empty band or completely filled band. If the shifted Fermi level crosses the upper or lower Hubbard band, then we are dealing with a (strongly correlated) metallic state. In this limit, since the lattice is dominated with only one atom, there is no phase space for randomness, and therefore the AI phases are absent. Once the composition x deviates from these two limits, randomness starts to generate AI phases as well.

IV. CONCLUDING REMARKS

We have explored the physical effects caused by the simultaneous presence of on-site Coulomb interaction and disorder which is distributed in a bimodal form (alloy disorder) on honeycomb lattice within strong-coupling perturbation expansion. In this approach, the intersite hopping t is considered as the perturbation parameter. Moreover, the expansion in powers of the hopping t is expressed in terms of local connected correlation functions. In this paper, we have carried out the perturbative expansion of the auxiliary fermions around the atomic limit up to second order in terms of the hopping amplitude. This is already sufficient to capture the Mott transition, and the resulting self-energy being local can be integrated into efficient numerical methods of disordered systems such as the transfer matrix method and KPM [21]. The KPM allows us to

efficiently compute the spectral density. The DOS obtained in this way determines whether the system is gapped or gapless. In a gapless state, the transfer matrix method can be used to calculate the localization length and its scaling behavior for the quasiparticles at the Fermi level. Integration of strong-coupling perturbation theory with transfer matrix provides a very powerful and conclusive tool to determine whether a given state at the Fermi level is an extended (metallic) or (Anderson) localized.

The weakly correlated phases at weak interaction U for fixed chemical potential $\mu = U/2$ is a disordered BI which upon increasing the correlations becomes AI. When the density is fixed, the life at weak interactions U starts in the AI phase. From this point, the qualitative behavior of fixed density and fixed chemical potential cases is similar. By further increasing of the Hubbard U , a remarkable metallic phase around $U \approx 2\Delta$ emerges which can be interpreted as a perfect screening of the disorder by Hubbard interaction. By further increase of the Hubbard U , again an Anderson insulating phase is obtained. Of course, in the absence of the alloy energy scale Δ , the Hubbard U on top of a metallic state would stabilize a Mott phase by substantial superexchange coming from large U . But when the (disordered) alloy potential is present, it can take advantage of the suppressed charge fluctuations and transform the metallic phase around $U \approx 2\Delta$ into AI before ultimately the Hubbard U takes over and the system becomes MI. Note that a metallic phase sandwiched between AI phases has not been obtained by mean field theory nor DMFT studies [22,23].

For $x = 0.5$, the metallic phase sandwiched between the two Anderson insulating states can be understood as follows: At this composition, the ordered limit where atoms A and B belong to two sublattices, our system will become the ionic Hubbard model. Our earlier studies of the ionic Hubbard model indicate a conducting phase between the band and Mott insulating phases [13,42,43]. Strong-coupling perturbation study sheds new light on this conducting state: At $U = 2\Delta$ [7], the divergence of the self-energy Γ of auxiliary fermions is responsible for the formation of gapless state. Randomizing the position of $\pm\Delta$ ionic potentials broadens the $U = 2\Delta$ quantum critical conducting phase of the ionic Hubbard model [7] into a region around $U \approx 2\Delta$ where the self-energy Γ diverges. As a result, disorder Δ and Hubbard U knock out each other in Eq. (8) and hence both lose, which leaves us with almost a noninteracting electrons on the lattice. The above picture holds for any composition ratio x . The width of the AI phase sandwiched between the metallic and MI phase becomes zero for $x = 0$ and $x = 1$, and hence the width near these regions must be some positive power of $x(1-x)$.

The AI phase in the weak U is a standard AI phase which results from interference. However the AI phase to the right (larger U) side of the metallic phase results from the suppression of charge fluctuations by the superexchange

mechanism. In this sense, this AI phase is an unconventional AI. The later AI phase is narrow compared to the former AI phase.

The generic effect of the alloy disorder Δ is to increase the critical value required for the Mott phase. It is interesting that a metallic state together with three known insulating states, namely BI, AI, and MI exist in the phase diagram of the same model, albeit with a metallic phase in between the two AI. This calls for the examination of other physical properties of such phases, and comparison of, e.g., the optical absorption spectra to study details of the strongly correlated dynamics resulting from the competition between the Hubbard U , ionic scale Δ , and the randomness.

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APPENDIX A: AUXILIARY FERMION'S SELF-ENERGIES

In this Appendix, we present the self-energies of the auxiliary fermions for zeroth- and second-order diagrams of Fig. 1 at an arbitrary temperature $1/\beta$ and chemical potential $\mu = U/2$. Also, we use the abbreviation $u = U/2$. The mean occupation of each lattice site for a given spin projection at $\mu = u$ is

$$n_i = \frac{e^{\beta(u-\epsilon_i)} + e^{-2\beta\epsilon_i}}{Z_i}, \quad (\text{A1})$$

where Z_i denotes the partition function. For fixed $\mu = u$ the partition function is given by

$$Z_i = 1 + 2 e^{\beta(u-\epsilon_i)} + e^{-2\beta\epsilon_i}. \quad (\text{A2})$$

It should be mentioned that in the absence of magnetic field, the mean occupation for both spins is identical.

The matrix elements of the self-energy in zeroth order $\Gamma^{(0)}$ is given by

$$\Gamma_{ij}^{(0)}(i\omega) = \left(\frac{1-n_i}{i\omega - \epsilon_i + u} + \frac{n_i}{i\omega - \epsilon_i - u} \right) \delta_{ij}, \quad (\text{A3})$$

where δ_{ij} is Kronecker delta and i, j label the lattice sites.

The second-order self-energy of the auxiliary fermions is

$$\Gamma_{ij}^{(2)}(i\omega) = -\delta_{ij} \sum_l V_{il} V_{lj} \Xi_{il}(i\omega), \quad (\text{A4})$$

where i and l are nearest-neighbor lattice sites connected by hopping. In the following, we present the $\Xi_{il}(i\omega)$, which is separately calculated in two different cases $\epsilon_i = \epsilon_l$ and $\epsilon_i \neq \epsilon_l$.

In the $\epsilon_i \neq \epsilon_l$ case, the $\Xi_{il}(i\omega)$ is given by

$$\begin{aligned} \Xi_{il}(i\omega) = & \left\{ \frac{4\beta u^2 n_i (1-n_i)(1-n_l)}{(i\omega - \epsilon_i)^2 - u^2} \left[\frac{n_F(\epsilon_i - u) \delta(i\omega - \epsilon_i + u)}{2u(\epsilon_i - \epsilon_l)} - \frac{n_F(\epsilon_i + u) \delta(i\omega - \epsilon_i - u)}{2u(\epsilon_i - \epsilon_l + 2u)} - \frac{n_F(\epsilon_l - u) \delta(i\omega - \epsilon_l + u)}{(\epsilon_i - \epsilon_l)(\epsilon_i - \epsilon_l + 2u)} \right] \right. \\ & \left. + \frac{4\beta u^2 n_i (1-n_i)n_l}{(i\omega - \epsilon_i)^2 - u^2} \left[\frac{n_F(\epsilon_i - u) \delta(i\omega - \epsilon_i + u)}{2u(\epsilon_i - \epsilon_l - 2u)} - \frac{n_F(\epsilon_i + u) \delta(i\omega - \epsilon_i - u)}{2u(\epsilon_i - \epsilon_l)} - \frac{n_F(\epsilon_l + u) \delta(i\omega - \epsilon_l - u)}{(\epsilon_i - \epsilon_l)(\epsilon_i - \epsilon_l - 2u)} \right] \right\} \end{aligned}$$

$$\begin{aligned}
& - \frac{\beta e^{\beta(u-\epsilon_i)} (1-n_i)}{Z_i (i\omega - \epsilon_i + u)^2 (\epsilon_i - \epsilon_l + 2u)^2} [(i\omega - \epsilon_l + 3u)^2 n_F(\epsilon_l - u) \delta(i\omega - \epsilon_l + u) \\
& - (i\omega - \epsilon_i + u)^2 (\epsilon_i - \epsilon_l + 2u) n_F(\epsilon_i + u) \delta'(i\omega - \epsilon_i - u) + (i\omega - \epsilon_i + u) \delta(i\omega - \epsilon_i - u) \\
& \times ((i\omega - \epsilon_i + u) (\epsilon_i - \epsilon_l + 2u) n'_F(\epsilon_i + u) - (i\omega + \epsilon_i - 2\epsilon_l + 5u) n_F(\epsilon_i + u))] - \frac{\beta e^{\beta(u-\epsilon_i)} n_l}{Z_i (i\omega - \epsilon_i + u)^2 (\epsilon_i - \epsilon_l)^2} \\
& \times [(i\omega - \epsilon_l + u)^2 n_F(\epsilon_l + u) \delta(i\omega - \epsilon_l - u) - (i\omega - \epsilon_i + u)^2 (\epsilon_i - \epsilon_l) n_F(\epsilon_i + u) \delta'(i\omega - \epsilon_i - u) \\
& + (i\omega - \epsilon_i + u) \delta(i\omega - \epsilon_i - u) ((i\omega - \epsilon_i + u) (\epsilon_i - \epsilon_l) n'_F(\epsilon_i + u) - (i\omega + \epsilon_i - 2\epsilon_l + u) n_F(\epsilon_i + u))] \\
& - \frac{2n_F(\epsilon_i - u)}{(i\omega - \epsilon_i)^2 - u^2} \left(\frac{\epsilon_i - \epsilon_l + 2u(n_l - 1)}{(\epsilon_i - \epsilon_l)(\epsilon_i - \epsilon_l - 2u)} \right) \left[\beta u n_i (1 - n_i) + (1 - n_i) + \frac{\beta u (e^{-2\beta\epsilon_i} - e^{2\beta(u-\epsilon_i)})}{Z_i^2} \right] \\
& + \frac{2n_F(\epsilon_i + u)}{(i\omega - \epsilon_i)^2 - u^2} \left(\frac{\epsilon_i - \epsilon_l + 2un_l}{(\epsilon_i - \epsilon_l)(\epsilon_i - \epsilon_l + 2u)} \right) \left[\beta u n_i (1 - n_i) + n_i + \frac{\beta u (e^{-2\beta\epsilon_i} - e^{2\beta(u-\epsilon_i)})}{Z_i^2} \right] \\
& + \frac{4u[\beta u n_i (1 - n_i) + (1 - n_i) + \beta u (e^{-2\beta\epsilon_i} - e^{2\beta(u-\epsilon_i)})/Z_i^2]}{(\epsilon_i - \epsilon_l)((i\omega - \epsilon_i)^2 - u^2)} \left(\frac{(1 - n_l) n_F(\epsilon_l - u)}{\epsilon_i - \epsilon_l + 2u} + \frac{n_l n_F(\epsilon_l + u)}{\epsilon_i - \epsilon_l - 2u} \right) \\
& - \frac{4u^2(2n_i - 1) n_F(-i\omega + 2\epsilon_i) [i\omega - 2\epsilon_i + \epsilon_l + u(1 - 2n_l)]}{((i\omega - \epsilon_i)^2 - u^2)((i\omega - 2\epsilon_i + \epsilon_l)^2 - u^2)} + \frac{(2n_i - 1)(1 - n_l) n_F(\epsilon_l - u)}{(i\omega - 2\epsilon_i + \epsilon_l - u)} \\
& \times \left(\frac{1}{i\omega - \epsilon_i - u} + \frac{1}{\epsilon_l + \epsilon_i - 2u} \right)^2 + \frac{(2n_i - 1) n_l n_F(\epsilon_l + u)}{(i\omega - 2\epsilon_i + \epsilon_l + u)} \left(\frac{1}{i\omega - \epsilon_i - u} + \frac{1}{\epsilon_i + \epsilon_l} \right)^2 \\
& - \frac{n_i n_F(\epsilon_i + u)}{(i\omega - \epsilon_i + u)^2} \left(\frac{(1 - n_l)(i\omega - \epsilon_l + 3u)}{(\epsilon_i - \epsilon_l + 2u)^2} + \frac{n_l(i\omega - \epsilon_l + u)}{(\epsilon_i - \epsilon_l)^2} \right) \\
& + \frac{n_i n_F(\epsilon_i + u)}{(i\omega - \epsilon_i - u)^2} \left(\frac{(1 - n_l)(i\omega - 2\epsilon_i + \epsilon_l - 3u)}{(\epsilon_i - \epsilon_l + 2u)^2} + \frac{n_l(i\omega - 2\epsilon_i + \epsilon_l - u)}{(\epsilon_i - \epsilon_l)^2} \right) \\
& - \frac{2u}{(\epsilon_i - \epsilon_l)((i\omega - \epsilon_i)^2 - u^2)} \left(\frac{n_i(\epsilon_i - \epsilon_l + 2u n_l) n'_F(\epsilon_i + u)}{(\epsilon_i - \epsilon_l + 2u)} + \frac{(1 - n_i)(\epsilon_i - \epsilon_l + 2u(n_l - 1)) n'_F(\epsilon_i - u)}{(\epsilon_i - \epsilon_l - 2u)} \right) \\
& + \frac{(n_i - 1) n_F(\epsilon_i - u)}{(i\omega - \epsilon_i + u)^2} \left(\frac{n_l(i\omega - 2\epsilon_i + \epsilon_l + 3u)}{(\epsilon_i - \epsilon_l - 2u)^2} + \frac{(1 - n_l)(i\omega - 2\epsilon_i + \epsilon_l + u)}{(\epsilon_i - \epsilon_l)^2} \right) \\
& + \frac{(1 - n_i) n_F(\epsilon_i - u)}{(i\omega - \epsilon_i - u)^2} \left(\frac{n_l(i\omega - \epsilon_l - 3u)}{(\epsilon_i - \epsilon_l - 2u)^2} + \frac{(1 - n_l)(i\omega - \epsilon_l - u)}{(\epsilon_i - \epsilon_l)^2} \right) + \frac{(1 - n_i)(1 - n_l) n_F(\epsilon_l - u)}{(i\omega - \epsilon_i + u)^2} \\
& \times \left(\frac{i\omega - \epsilon_l + 3u}{(\epsilon_i - \epsilon_l + 2u)^2} + \frac{i\omega + \epsilon_l + u}{(\epsilon_i - \epsilon_l)^2} \right) + \frac{(n_l - 1) n_F(\epsilon_l - u)}{(i\omega - \epsilon_i - u)^2} \left(\frac{n_i(i\omega - 2\epsilon_i + \epsilon_l - 3u)}{(\epsilon_i - \epsilon_l + 2u)^2} + \frac{(1 - n_i)(i\omega - \epsilon_l - u)}{(\epsilon_i - \epsilon_l)^2} \right) \\
& - \frac{n_l n_F(\epsilon_l + u)}{(i\omega - \epsilon_i - u)^2} \left(\frac{(1 - n_l)(i\omega - \epsilon_l - 3u)}{(\epsilon_i - \epsilon_l - 2u)^2} + \frac{n_i(i\omega - 2\epsilon_i + \epsilon_l - u)}{(\epsilon_i - \epsilon_l)^2} \right) \\
& + \frac{(1 - n_i) n_l n_F(\epsilon_l + u)}{(i\omega - \epsilon_i + u)^2} \left(\frac{i\omega - \epsilon_l + u}{(\epsilon_i - \epsilon_l)^2} + \frac{i\omega - 2\epsilon_i + \epsilon_l + 3u}{(\epsilon_i - \epsilon_l - 2u)^2} \right) \}. \tag{A5}
\end{aligned}$$

For $\epsilon_i = \epsilon_l$, Ξ_{il} it reduces to

$$\begin{aligned}
\Xi_{il}(i\omega) = \delta_{il} \left\{ \frac{\beta n_i (1 - n_i)}{(i\omega - \epsilon_i)^2 - u^2} [(1 - 2n_i) (n_F(\epsilon_i + u) - n_F(\epsilon_i - u)) + 2u (n_i n'_F(\epsilon_i + u) - (1 - n_i) n'_F(\epsilon_i - u))] \right. \\
+ (1 - 2n_i) (\delta(i\omega - \epsilon_i + u) n_F(\epsilon_i - u) - \delta(i\omega - \epsilon_i - u) n_F(\epsilon_i + u)) + 2u n_i (\delta'(i\omega - \epsilon_i - u) n_F(\epsilon_i + u) \\
- \delta(i\omega - \epsilon_i - u) n'_F(\epsilon_i + u)) + 2u (1 - n_i) (\delta(i\omega - \epsilon_i + u) n'_F(\epsilon_i - u) - \delta'(i\omega - \epsilon_i + u) n_F(\epsilon_i - u))] \\
+ (2n_i - 1)(1 - n_i) \left[\frac{n_F(\epsilon_i - u) - n_F(2\epsilon_i - i\omega)}{(i\omega - \epsilon_i - u)^3} + \frac{(i\omega - \epsilon_i + 3u) n_F(2\epsilon_i - i\omega)}{((i\omega - \epsilon_i)^2 - u^2)^2} + \frac{n_F(\epsilon_i + u)}{u((i\omega - \epsilon_i)^2 - u^2)} \right. \\
- \frac{n_F(\epsilon_i - u)}{u(i\omega - \epsilon_i - u)^2} + \frac{n_F(\epsilon_i - u)}{4u^2(i\omega - \epsilon_i - u)} + \frac{n'_F(\epsilon_i + u)}{2u(i\omega - \epsilon_i + u)} - \frac{(i\omega - \epsilon_i + 3u) n_F(\epsilon_i + u)}{4u^2(i\omega - \epsilon_i + u)^2} \\
\left. + \frac{n_F(\epsilon_i + u) - n_F(\epsilon_i - u)}{2u(i\omega - \epsilon_i + u)^2} \right] + (2n_i - 1) n_i \frac{n_F(\epsilon_i + u) - n_F(2\epsilon_i - i\omega)}{(i\omega - \epsilon_i + u)} \left(\frac{1}{(i\omega - \epsilon_i + u)^2} + \frac{1}{(i\omega - \epsilon_i - u)^2} \right)
\end{aligned}$$

$$\begin{aligned}
 & - \frac{2}{((i\omega - \epsilon_i)^2 - u^2)} \Big) + (2n_i - 1)n_i \left[\frac{2n'_F(\epsilon_i + u)}{((i\omega - \epsilon_i)^2 - u^2)} - \frac{n'_F(\epsilon_i + u)}{(i\omega - \epsilon_i + u)^2} + \frac{n''_F(\epsilon_i + u)}{2(i\omega - \epsilon_i + u)} \right] \\
 & + \frac{\beta(e^{-2\beta\epsilon_i} - e^{2\beta(u-\epsilon_i)})}{Z_i^2((i\omega - \epsilon_i)^2 - u^2)} [(2n_i - 1)(n_F(\epsilon_i - u) - n_F(\epsilon_i + u)) + 2u(n_i n'_F(\epsilon_i + u) - (1 - n_i)n'_F(\epsilon_i - u))] \\
 & + \frac{(1 - n_i)}{u((i\omega - \epsilon_i)^2 - u^2)} [(2n_i - 1)(n_F(\epsilon_i - u) - n_F(\epsilon_i + u)) + 2n_i n'_F(\epsilon_i + u) - 2(1 - n_i)n'_F(\epsilon_i - u)] \\
 & + \frac{n_i(n_i - 1)n'_F(\epsilon_i + u) + (n_i - 1)^2 n'_F(\epsilon_i - u)}{(i\omega - \epsilon_i + u)^2} + \frac{-n_i^2 n'_F(\epsilon_i + u) + (n_i - 1)^2 n'_F(\epsilon_i - u)}{(i\omega - \epsilon_i - u)^2} \\
 & + \frac{n_i(1 - n_i)n''_F(\epsilon_i + u) + (n_i - 1)^2 n''_F(\epsilon_i - u)}{(i\omega - \epsilon_i + u)} - \frac{n_i^2 n''_F(\epsilon_i + u) + (n_i - 1)^2 n''_F(\epsilon_i - u)}{(i\omega - \epsilon_i - u)} \\
 & + \frac{(1 - n_i)}{4u^2} (n_F(\epsilon_i - u) - n_F(\epsilon_i + u) + 2u n'_F(\epsilon_i + u)) \left(\frac{1 - n_i}{i\omega - \epsilon_i + u} - \frac{n_i}{i\omega - \epsilon_i - u} \right) \\
 & + \frac{n_i(1 - n_i)}{4u^2} (n_F(\epsilon_i + u) - n_F(\epsilon_i - u) - 2u n'_F(\epsilon_i - u)) \left(\frac{1}{i\omega - \epsilon_i + u} - \frac{1}{i\omega - \epsilon_i - u} \right) \\
 & - \frac{\beta e^{\beta(u-\epsilon_i)}(1 - n_i)}{4u Z_i (i\omega - \epsilon_i + u)^2} [(i\omega - \epsilon_i + 3u)^2 n_F(\epsilon_i - u) \delta(i\omega - \epsilon_i + u) - (i\omega - \epsilon_i + u)(i\omega - \epsilon_i + 5u) \\
 & \times n_F(\epsilon_i + u) \delta(i\omega - \epsilon_i - u) + 2u(i\omega - \epsilon_i + u)^2 (n'_F(\epsilon_i + u) \delta'(i\omega - \epsilon_i - u) - n_F(\epsilon_i + u) \delta'(i\omega - \epsilon_i - u))] \\
 & - \frac{\beta e^{\beta(u-\epsilon_i)} n_i}{2 Z_i (i\omega - \epsilon_i + u)^2} [2n_F(\epsilon_i + u) \delta(i\omega - \epsilon_i - u) - 2(i\omega - \epsilon_i + u)^2 \delta'(i\omega - \epsilon_i - u) n'_F(\epsilon_i + u) \\
 & + n_F(\epsilon_i + u)(i\omega - \epsilon_i + u)(4\delta'(i\omega - \epsilon_i - u) + (i\omega - \epsilon_i + u)\delta''(i\omega - \epsilon_i - u)) \\
 & + (i\omega - \epsilon_i + u)\delta(i\omega - \epsilon_i - u)((i\omega - \epsilon_i + u)n''_F(\epsilon_i + u) - 4n'_F(\epsilon_i + u))] \Big\}, \tag{A6}
 \end{aligned}$$

where $n_F(x) = \frac{1}{\exp(\beta x) + 1}$ and $\delta(x)$ denote the Fermi-Dirac distribution and delta function, respectively. Further, we represent the first derivative of these functions with $n'_F(x)$ and $\delta'(x)$ and the second derivatives with $n''_F(x)$ and $\delta''(x)$.

APPENDIX B: KERNEL POLYNOMIAL METHOD

The KPM is a stochastic approach which is based on the expansion of any spectral function into a finite series of Chebyshev (or any other complete set of orthonormal) polynomials [37,39,48]. The expansion coefficients are computed through an efficient recursion relation which involves sparse matrix and vector multiplications with Hamiltonian H , followed by possible regularization [38,45]. On the other hand, the arguments of Chebyshev polynomials do not exceed 1. In consequence, expanding the Hamiltonian H , which its eigenvalues E are in range $[E_{\min}, E_{\max}]$, in Chebyshev polynomials requires us to rescale to $\hat{H}(\varepsilon)$ where $\varepsilon \in [-1, 1]$. Also, $\hat{H}(\varepsilon)$ and ε are defined as $\hat{H} = (H - b)/a$ and $\varepsilon = (E - b)/a$ where $b = (E_{\max} + E_{\min})/2$ and $a = (E_{\max} - E_{\min})/2$.

The DOS can be expanded as follows:

$$\hat{\rho}(\varepsilon) = \frac{1}{\pi \sqrt{1 - \varepsilon^2}} \left(\mu_0 g_0 + 2 \sum_{m=1}^{N_c} \mu_m g_m T_m(\varepsilon) \right), \tag{B1}$$

where $T_m(\varepsilon) = \cos(m \arccos(\varepsilon))$ are the m th Chebyshev polynomials, g_m are attenuation factors which minimize the Gibbs oscillations arising from terminating the expansion in a finite order. N_c denotes the cutoff on the expansion order. μ_m

are Chebyshev moments which expressed as

$$\mu_m = \frac{1}{N_s} \sum_{r=1}^{N_s} \langle \phi_r | T_m(\hat{H}) | \phi_r \rangle, \tag{B2}$$

where ϕ_r are random single-particle states and N_s is the number of random states used in numerical calculations. To calculate matrix elements of $T_m(\hat{H})$, we use the recurrence relation of Chebyshev polynomials, namely, $T_m(\hat{H}) = 2\hat{H}T_{m-1}(\hat{H}) - T_{m-2}(\hat{H})$ with initial conditions $T_1(\hat{H}) = \hat{H}$ and $T_0(\hat{H}) = 1$.

To apply the above procedure for calculating DOS to Green's function Eq. (8), we utilize the following equation:

$$\rho'(\omega) = -\frac{1}{\pi} \lim_{\eta \rightarrow 0} \text{Im} \left[\text{Tr} \frac{1}{E + i\eta + \Gamma^{-1}(i\omega) - V} \Big|_{E=0} \right], \tag{B3}$$

where Tr denotes trace. So the DOS in Eq. (B1) can be rewritten as

$$\hat{\rho}'(\omega') = \frac{1}{\pi \sqrt{1 - \varepsilon'^2}} \left(\mu_0 g_0 + 2 \sum_{m=1}^{N_c} \mu_m(\omega') g_m T_m(\varepsilon) \right) \Big|_{\varepsilon=0}, \tag{B4}$$

where $\mu_m(\omega')$ are the generalized Chebyshev moments in which H is considered as $\Gamma^{-1}(\omega) - V$. Furthermore, ω' and \hat{H} are rescaled ω and H , respectively. To compute $\mu_m(\omega')$, we need to run a separate KPM to evaluate μ_m for every

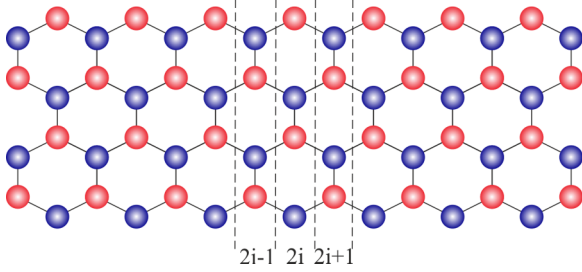


FIG. 7. Honeycomb lattice with zigzag edge as the transport direction and width $M = 4$ and length $L = 15$.

ω' which is computationally expensive. Therefore, MPICH is employed to parallelize our program. Additionally, owing to divergences of $\Gamma^{-1}(\omega)$ for some values in disorder distribution and the spectral broadening, we need to choose large cutoff $N_c = 15\,000$, $N_s = 5$, and calculate its average on 100 configurations of disorder to obtain well converged values of DOS $\rho'(\omega')$ at $E = 0$.

APPENDIX C: TRANSFER MATRIX METHOD

In this section, we describe the transfer matrix method employed for computing the localization length. In this method, we use quasi-one dimensional Schrödinger equation $H\tilde{\Psi}_i = E\tilde{\Psi}_i$ which can be rewritten as

$$\mathbf{V}_{i,i-1}^* \tilde{\Psi}_{i-1} + \mathbf{H}_i \tilde{\Psi}_i + \mathbf{V}_{i,i+1} \tilde{\Psi}_{i+1} = E \tilde{\Psi}_i, \quad (\text{C1})$$

where $\mathbf{V}_{i,i+1}$ denotes hopping matrix from block i to $i + 1$ and \mathbf{H}_i is the on-site matrix for block i . The above wave equation

can be expressed by the following matrix:

$$\begin{pmatrix} \tilde{\Psi}_{i+1} \\ \tilde{\Psi}_i \end{pmatrix} = \mathbf{T}_{i+1,i} \begin{pmatrix} \tilde{\Psi}_i \\ \tilde{\Psi}_{i-1} \end{pmatrix}, \quad (\text{C2})$$

where

$$\mathbf{T}_{i+1,i} = \begin{pmatrix} \mathbf{V}_{i,i+1}^{-1}(E\mathbf{I} - \mathbf{H}_i) & -\mathbf{V}_{i,i+1}^{-1}\mathbf{V}_{i,i-1}^* \\ \mathbf{I} & \mathbf{0} \end{pmatrix}. \quad (\text{C3})$$

Indeed, matrix Eq. (C2) provides us a recursive procedure to calculate the wave function $\tilde{\Psi}_i$ of the i th slice along the transfer direction. In this paper, we used zigzag graphene with periodic boundary condition as depicted in Fig. 7. Assuming M as the width of the system, vector elements are M by M matrices whereas \mathbf{T} is $2M$ by $2M$ matrix.

Oseledets theorem [49] states that by defining the product of the transfer matrices as $\mathbf{\Gamma}_N = \mathbf{T}_{N+1,N} \mathbf{T}_{N,N-1} \cdots \mathbf{T}_{2,1}$ the eigenvalues of $(\mathbf{\Gamma}_N^\dagger \mathbf{\Gamma}_N)^{1/2N}$ in thermodynamic limit converge to fixed values $e^{\pm\gamma_m}$ where γ_m with $m = 1, \dots, M$ are Lyapunov exponents. The localization length λ is computed by minimum Lyapunov exponent as the largest decaying length:

$$\lambda = \frac{1}{\gamma_{\min}}. \quad (\text{C4})$$

The numerical details to compute the smallest positive Lyapunov exponent is presented in Refs. [50–52]. In employing the transfer matrix method, N is chosen in such a way that localization length converges.

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