

Rigorous wave-optical treatment of photon recycling in thermodynamics of photovoltaics: Perovskite thin-film solar cells

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The establishment of a rigorous theory on the thermodynamics of light management in photovoltaics that accommodates various loss mechanisms as well as wave-optical effects in the absorption and reemission of light is at stake in this contribution. To this end, we propose a theoretical framework to calculate the open-circuit voltage enhancement resulting from photon recycling (ΔV_{oc}^{PR}) with rigorous wave-optical treatment. It can be applied to both planar thin-film and nanostructured single-junction solar cells. We derive an explicit expression for ΔV_{oc}^{PR} , which reveals its dependence on internal quantum luminescence efficiency, parasitic reabsorption, and photon escape probabilities of reemitted photons. While the internal quantum luminescence efficiency is an intrinsic material property, both latter quantities can be determined rigorously for an arbitrary solar cell architecture by three-dimensional electrodynamic dipole emission calculations. We demonstrate the strengths and validity of our framework by determining the impact of photon recycling on the V_{oc} of a conventional planar organometal halide perovskite thin-film solar cell and compare it to established reference cases with perfect antireflection and Lambertian light scattering. Our calculations reveal ΔV_{oc}^{PR} values of up to 80 mV for the considered device stack in the absence of angular restriction and up to 240 mV when the escape cone above the cell is restricted to $\theta_{out} = 2.5^\circ$ around the cell normal. These improvements impose severe constraints on the parasitic absorption as a parasitic reabsorption probability of only 2% reduces the ΔV_{oc}^{PR} to 100 mV for the same angular restriction. Our work here can be used to provide design guidelines.

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I. INTRODUCTION

Photon recycling in solar cells refers to charge-carrier generation in the photovoltaic (PV) active material by reabsorption of photons that originate from radiative recombination within the semiconductor [1–4]. Although radiative recombination itself is inherently present in all PV materials, being the reversible process of light absorption and charge-carrier generation [5,6], photon recycling is only relevant to solar cells employing absorber materials with very low nonradiative recombination losses and high internal quantum luminescence efficiencies (Q_i^{lum}) [1,2,5,7,8]. Next to this, efficient photon recycling in solar cells requires significant reabsorption of radiatively emitted photons, which implies low parasitic absorption losses and light confinement (see Fig. 1). The latter is altered as soon as light-trapping structures are integrated into the PV device that trap the incident light but also enable the reverse process, the out-coupling of photons generated by radiative recombination [9–11]. Due to these strict requirements, for the case of unconcentrated solar irradiation, only planar solar cells based on epitaxially grown crystalline semiconductors

such as GaAs were expected to significantly benefit from an enhanced performance due to photon recycling [12–15]. For a planar GaAs solar cell stack without any angular restriction, Walker *et al.* showed that ignoring photon recycling may lead to an underestimation of the V_{oc} by 1.9% of its value [16]. By utilizing a narrow-band dielectric multilayer angular restrictor, Kosten *et al.* experimentally measured a V_{oc} enhancement due to enhanced photon recycling in a GaAs solar cell reaching 3.6 mV [14].

However, with the recent fast rise of organometal halide perovskite solar cells, another highly efficient solution-processable multicrystalline PV material that could benefit from photon recycling emerged [4,17]. Less than 5 years after the first reported solid-state organometal halide perovskite solar cells, a record power conversion efficiency of 22.7% was reported [18]. The promise of perovskite solar cells is founded in their close-to-optimal combination of optical and electrical material properties, combining high absorption coefficients and long diffusion lengths [19–25]. Very high radiative recombination rates and low nonradiative rates, approaching those measured in GaAs absorbers, were evidenced in organometal halide perovskites such as methylammonium lead triiodide ($\text{CH}_3\text{NH}_3\text{PbI}_3$) [26–28]. According to first estimations, the Q_i^{lum} of organometal halide perovskites can surpass at least

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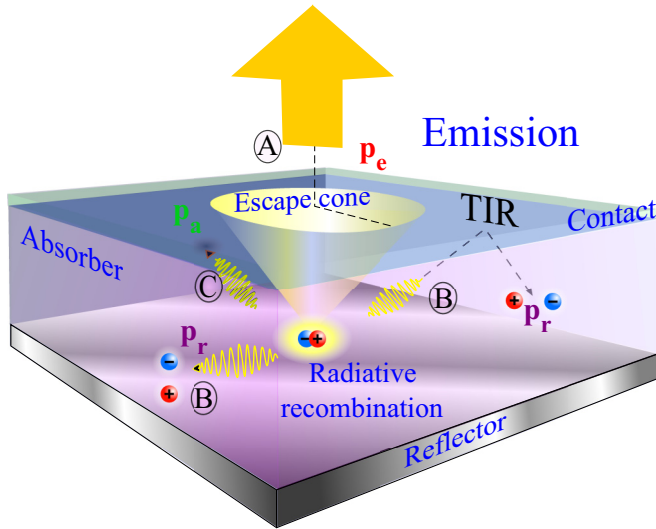


FIG. 1. Possible routes that reemitted photons may take following radiative recombination inside the active material: In case A, a radiatively emitted photon propagates within the escape cone, possibly after multiple passes through the active layer, and escapes into free space without being recycled. In case B, photon recycling occurs due to a possible direct reabsorption or upon total internal reflection (TIR) for photons emitted outside the escape cone. Finally, in case C, a reemitted photon travels in the semiconductor for some distance before it gets parasitically absorbed in another layer without generating charge carriers. The probabilities that case A, B, or C occurs are denoted by p_e , p_r , and p_a , respectively. The probability quantities discussed here fulfill the relation $p_r + p_a + p_e = 1$.

70% at one sun of solar irradiation and reach even larger Q_1^{lum} with stronger irradiation [29]. Moreover, in thin-film perovskite solar cells, radiatively emitted photons are reported to propagate over distances of a few tens of microns experiencing multiple reabsorption and reemission events, which is an order of magnitude longer than the device thickness [30].

The high Q_1^{lum} in perovskite PVs results in an increasing interest in photon recycling in such solar cells [4,31]. In a recent work, Kirchartz *et al.* predicted an approximate maximum possible $\Delta V_{\text{oc}}^{\text{PR}}$ in the radiative limit up to around 50–100 mV for devices with poor out-coupling efficiencies (e.g., planar devices) [4]. For devices with efficient out-coupling (for example, devices with antireflection coatings and light-trapping textures), Kirchartz *et al.* predicted a $\Delta V_{\text{oc}}^{\text{PR}}$ between 10 and 40 mV. While in their calculations they treated light absorption rigorously, the parasitic reabsorption probability remained a parameter and the emission probability was approximated assuming an angle-independent absorption response and studying the system in the framework of ray optics. However, for thin-film planar stacks as well as nanostructure solar cells, coherent effects need to be considered. This immediately prompts for a rigorous treatment in the wave optics regime; thus solutions to Maxwell's equations need to be considered.

In order to render the rigorous treatment of photon recycling in arbitrary device architecture possible, we establish in this contribution a rigorous theory on the thermodynamics of light management in PVs. We derive an explicit expression for

the open-circuit voltage enhancement due to photon recycling ($\Delta V_{\text{oc}}^{\text{PR}}$) under realistic conditions. The derived expression describes the dependence of $\Delta V_{\text{oc}}^{\text{PR}}$ on the intrinsic material property Q_1^{lum} , the parasitic reabsorption probability of radiatively emitted photons (p_a), and the escape probability of radiatively emitted photons (p_e). These device-architecture-specific probabilities are typically calculated with a simplified ray optical model or introduced phenomenologically in current available analysis in the literature [4,10,16,32]. Here, however, we do not just introduce these probabilities on phenomenological grounds but determine them through rigorous numerical three-dimensional electromagnetic dipole emission calculations. We therefore provide a framework for treating the impact of photon recycling on V_{oc} rigorously.

We demonstrate the application and validity of our theoretical framework by determining the impact of photon recycling on the open-circuit voltage V_{oc} of organometal halide perovskite thin-film solar cells and compare it to established reference cases with perfect antireflection and Lambertian light scattering. In order to discriminate the impact of various realistic physical effects on the $\Delta V_{\text{oc}}^{\text{PR}}$, we present stepwise analyses with increasing complexities, considering rigorously imperfect absorption, parasitic reabsorption, angular dependence, and reemitted photon escape probability.

II. THEORY

This work builds upon the comprehensive theory of the thermodynamics of light management in photovoltaics developed by Rau *et al.* [10]. Their theoretical analysis includes a clear discrimination of the various entropic loss processes that reduce the V_{oc} for realistic single-junction solar cells experiencing parasitic absorption, incomplete light absorption, and nonradiative recombination. We extend their formalism to a framework that renders the treatment of angle-dependent absorption responses possible and provides the opportunity to exploit input from rigorous dipole emission calculations to obtain a more accurate prediction of the effect of photon recycling.

A. The open-circuit voltage

In this section, we review the fundamentals of the V_{oc} in the framework of the detailed balance (DB) theory, which serves as a starting point for the deduction of the impact of photon recycling.

First, we inspect the ideal case in the absence of nonradiative recombination and parasitic reabsorption, which is given by the Shockley-Queisser limit and describes the V_{oc} in the radiative limit ($V_{\text{oc}}^{\text{rad}}$) [33]. The open-circuit condition is met when the recombination current density is equal to the short-circuit (photogeneration) current density J_{sun} . Thus, the current balance at open-circuit bias reads as

$$J_{\text{sun}} - J_{0,\text{rad}} \exp \left\{ (qV_{\text{oc}}^{\text{rad}})/(kT_c) \right\} = 0, \quad (1)$$

where $J_{0,\text{rad}}$ is the radiative dark saturation current, k is the Boltzmann constant, q is the electric charge of an electron, and T_c is the cell temperature. Note that Eq. (1) inherently assumes Boltzmann statistics for the carrier densities in the absorber and thus is limited to solar cell architectures with electronically

homogeneous absorbers describable by semiclassical bulk semiconductor physics. Assuming perfect carrier collection, the short-circuit current density J_{sun} is given by

$$J_{\text{sun}} = 2\pi q \int_{E_g}^{\infty} \int_0^{\theta_{\text{in}}} A(E, \theta) \phi_{\text{sun}}(E) \sin(\theta) \cos(\theta) d\theta dE, \quad (2)$$

where $A(E, \theta)$ is the energy- and angle-dependent absorptance, E_g is the band gap of the absorber material, E is the photon energy, θ_{in} is the incoming angle cone of the solar irradiation, which corresponds to the number of incident photons from the sun per unit projected area, time, and solid angle Ω . Here, we consider an absorption response that does not depend on the azimuthal angle. For the case of direct solar illumination, typically $\theta_{\text{in}} = 0.266^\circ$ [34].

At thermal equilibrium and in the absence of nonradiative recombination processes, $J_{0,\text{rad}}$ is equal to the product of elementary charge and the photon flux emitted by the solar cell, J_{em} . Assuming that the solar cell emits as a blackbody and considering a time-symmetric system, where emissivity can be considered to be equal to absorptivity regardless of the spectral dependence [35–37], J_{em} can be written as

$$J_{\text{em}} = 2\pi q \int_{E_g}^{\infty} \int_0^{\theta_{\text{out}}} A(E, \theta) n_a^2 \phi_{\text{bb}}(E) \sin(\theta) \cos(\theta) d\theta dE, \quad (3)$$

where ϕ_{bb} is the blackbody spectral emission profile at the solar cell operating temperature, n_a is the refractive index of the ambient media, and θ_{out} is the angle relative to the surface normal, which defines an escape cone within which photons can leave the solar cell. In this contribution, without limiting the general validity of our approach, we consider the case of air as ambient on the top (cladding refractive index $n_a = 1$). Thus, by rearranging Eq. (1) and utilizing $J_{0,\text{rad}} = J_{\text{em}}$, one obtains an expression for $V_{\text{oc}}^{\text{rad}}$ in the form

$$q V_{\text{oc}}^{\text{rad}} = k T_c \ln \left\{ \frac{J_{\text{sun}}}{J_{\text{em}}} \right\}. \quad (4)$$

As shown in Fig. 2, the surface emits light confined to a certain solid angle element $d\Omega$ at an angle θ relative to its normal.

Second, in considering a realistic device where effects such as nonradiative recombination and parasitic absorption are encountered, the open-circuit voltage is given by [38]

$$q V_{\text{oc}}^{\text{DB}} = q V_{\text{oc}}^{\text{rad}} + k T_c \ln \{ Q_e^{\text{LED}} \}. \quad (5)$$

The superscript DB indicates that detailed balance is assumed in considering $V_{\text{oc}}^{\text{rad}}$, and Q_e^{LED} is the external quantum luminescence efficiency. In discerning the impact of photon recycling on V_{oc} , it is useful to separate the internal parameters that determine Q_e^{LED} . This can be achieved with more ease if one reformulates Eq. (5) in terms of current densities and probability quantities (as detailed in Appendix A) in the form

$$q V_{\text{oc}}^{\text{DB}} = k T_c \ln \left\{ \frac{J_{\text{sun}}}{J_{\text{em}} + J_{\text{re,rad}} \left[\left(\frac{1}{Q_i^{\text{lum}}} - 1 \right) + p_a \right]} \right\}. \quad (6)$$

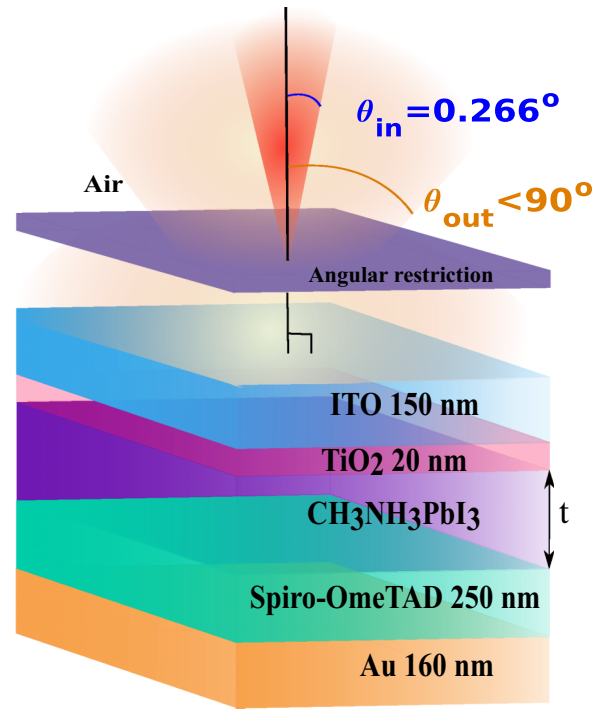


FIG. 2. Schematic illustration of a layer stack organometal halide perovskite solar cell considered in this work. θ_{in} is the incoming angle cone of the solar irradiation and θ_{out} is the angle relative to the surface normal, which defines an escape cone within which photons can leave the solar cell.

Equation (6) is a general expression for the open-circuit voltage of a single-junction cell in terms of the current densities. The accuracy depends on the way the latter are determined provided that current densities are calculated rigorously. An arbitrary absorption response can be considered, if the integrals in Eqs. (2) and (3) are determined numerically. For an optically homogeneous absorber, the total radiative recombination current within the absorber volume ($J_{\text{re,rad}}$) can be described with [5]

$$J_{\text{re,rad}} = q t 4\pi n^2 \int_{E_g}^{\infty} \alpha(E) \phi_{\text{bb}}(E) dE, \quad (7)$$

where t is the thickness of the solar cell absorber, n is the real part of the refractive index of the absorber, and α is the extinction coefficient of the absorber medium. A more rigorous treatment can be done by considering a spatial integration of the local radiative rate as also done in this work. In Sec. III, we consider relevant idealized and extreme absorption cases that are useful for exploring the limiting conditions of a solar cell's performance.

It should be noted that Eqs. (4)–(6) already include the contribution of photon recycling if n_a is smaller than the refractive index of the absorber. Considering n_a with values larger than the absorber refractive index can lead to unphysical conditions in which $J_{\text{em}} > J_{\text{re,rad}}$. In Eq. (4), the impact of photon recycling is contained within the fact that $J_{\text{em}} \leq J_{\text{re,rad}}$. Under nonideal conditions described by Eq. (5), the impact of photon recycling is additionally affected by Q_e^{LED} .

B. Photon recycling under realistic conditions

The impact of photon recycling on open-circuit voltage (ΔV_{oc}^{PR}) has been approximated in previous contributions such as by Kirchartz *et al.* [4], by a nonrigorous treatment of p_a and p_e . In this work, we do not make such approximations but rely on rigorous calculation of ΔV_{oc}^{PR} in arbitrary single-junction solar cells in the presence of nonradiative recombination and parasitic photon reabsorption.

Therefore, we first note that the total bulk recombination current density J_{re} may have both radiative ($J_{re,rad}$) and non-radiative components ($J_{re,nrd}$) such that it has to be written as

$$J_{re} = J_{re,rad} + J_{re,nrd}. \quad (8)$$

$J_{re,nrd}$ represents here the sum of all possible nonradiative recombination current densities, given by trap-assisted Shockley-Read-Hall and Auger recombination losses [39]. Rather than considering the detailed semiconductor aspects of nonradiative losses, we directly consider the intrinsic material property Q_i^{lum} , which can be deduced for a particular absorber material from photoluminescence measurements. This pragmatic consideration stems from the difficulty of retrieving exact parameter values from solving nonlinear coupled semiconductor equations. Following the argument in Appendix A for Eq. (A3), $J_{re,nrd}$ can be written as

$$J_{re,nrd} = \frac{(1 - Q_i^{lum})qt4\pi n^2 \int_{E_g}^{\infty} \alpha(E)\phi_{bb}(E) dE}{Q_i^{lum}}. \quad (9)$$

Utilizing Eqs. (7) and (9), Eq. (8) can be expressed as

$$J_{re} = \frac{qt4\pi n^2 \int_{E_g}^{\infty} \alpha(E)\phi_{bb}(E) dE}{Q_i^{lum}}. \quad (10)$$

Keeping in mind the total saturated current density as described by Eq. (10) and making use of Eq. (2), the open-circuit voltage of a solar cell in the absence of photon recycling, V_{oc}^{SD} , can be calculated using the standard diode (SD) model [40] with the expression

$$qV_{oc}^{SD} = kT_c \ln \left\{ \frac{J_{sun}}{J_{re}} \right\}. \quad (11)$$

Equation (11) essentially assumes that the whole radiative portion of the recombination within the volume of the absorber is counted as loss. Therefore, ΔV_{oc}^{PR} can be deduced by using $\Delta V_{oc}^{PR} = V_{oc}^{DB} - V_{oc}^{SD}$. We thus arrive at the key equation of the paper, which is the expression

$$q\Delta V_{oc}^{PR} = kT_c \ln \left\{ \frac{1}{1 - (1 - p_e - p_a)Q_i^{lum}} \right\}, \quad (12)$$

where we utilize the fact that p_e can also be deduced by taking the ratio of the number of photons leaving the cell and what is generated inside the absorber volume and thus

$$p_e = J_{em}/J_{re,rad}. \quad (13)$$

C. Rigorous calculation of parasitic reabsorption and escape probabilities of reemitted photons

In the literature, the probability quantities p_e and p_a are either considered as a phenomenological parameter or deduced

assuming a blackbody emission with no angular dependency [4,10]. We can extend this when evaluating p_e by considering an angle-dependent absorption response in evaluating Eq. (3). Upon inserting Eqs. (3) and (7) into Eq. (13), one obtains the simplified expression for p_e based on blackbody and DB considerations in the form

$$p_{e,bb} = \frac{\int_{E_g}^{\infty} \int_0^{\theta_{out}} A(E, \theta) n_a^2 \phi_{bb}(E) \sin(\theta) \cos(\theta) d\theta dE}{t2n^2 \int_{E_g}^{\infty} \alpha(E)\phi_{bb}(E) dE}. \quad (14)$$

In order to deduce p_a , one requires knowledge of the field distribution in the different layers, which typically requires a full-wave optical treatment. Due to this complexity, p_a is often considered as a parameter in previous thermodynamic analyses for the V_{oc} .

In this work, we go a step further in accuracy by relying on rigorous dipole emission calculations in deducing the probability quantities. More specifically, we deduce the total system Green's tensor $\underline{\mathbf{G}}(\mathbf{r}, \mathbf{r}_0)$, which describes the optical response of the system due to a point source in our thin-film stack [41]. \mathbf{r}_0 is the position of the current dipole point source. Once the Green's tensor of the solar cell system is obtained, one can deduce the portion of the power leaving the device stack and absorption in the different layers and in turn deduce p_e and p_a rigorously. For example, p_e can be calculated through

$$p_{e,di} = \left\langle \frac{\sum_o \int_{A_{out}} \mathbf{S}(\mathbf{r}, \mathbf{r}_0)_o \cdot d\mathbf{A}}{\sum_o P_o^{dip}(\mathbf{r}_0)} \right\rangle_{r_0}, \quad (15)$$

where $\mathbf{S}(\mathbf{r}, \mathbf{r}_0)_o$ is the Poynting vector at position \mathbf{r} due to a point dipole current source with polarization o emitting at position \mathbf{r}_0 . The index o runs through all possible orientations of the dipole emitters. $\langle \rangle_{r_0}$ describes an average across all positions from where emission can happen. P_o^{dip} is the total power emitted by a dipole given by [42]

$$P_o^{dip}(\mathbf{r}_0) = \frac{\omega |\mathbf{j}_o|^2}{2c^2 \epsilon} [\mathbf{n}_o \cdot \text{Im}\{\underline{\mathbf{G}}(\mathbf{r}_0, \mathbf{r}_0)\} \cdot \mathbf{n}_o], \quad (16)$$

where ϵ is the permittivity and \mathbf{n}_o is the unit vector of the current dipole polarization. $\mathbf{S}(\mathbf{r}, \mathbf{r}_0)_o$ is given by

$$\mathbf{S}(\mathbf{r}, \mathbf{r}_0)_o = \text{Re}\{i\omega\mu \underline{\mathbf{G}}(\mathbf{r}, \mathbf{r}_0) \mathbf{j}_o(\mathbf{r}_0) \times [\nabla \times \underline{\mathbf{G}}(\mathbf{r}, \mathbf{r}_0) \mathbf{j}_o(\mathbf{r}_0)]\}, \quad (17)$$

where μ is the magnetic permeability of the media and $\mathbf{j}_o(\mathbf{r}_0)$ is the current dipole point source oscillating at the angular frequency ω .

The nominator in Eq. (15) describes the net power exiting the solar cell structure to the cladding material. The denominator gives the total power emitted by the considered dipole point source. Thus, Eq. (15) refers to the relative portion of the emitted power which escapes the solar cell thereby giving the wave-optical description of p_e . Equation (15) inherently considers the impact of a spatially dependent local density of photonic states, which is not done in Eq. (14). For a more rigorous wave-optical treatment, Eq. (15) must also take the weighted average over the spectral distribution of the emission. In practice, as the linewidth of the emission in our considered perovskite material is relatively narrow, we only evaluate Eq. (15) for the peak emission wavelength

of 770 nm [43]. Equation (15) essentially represents the escaped power portion weighted against the emitted power averaged over all relevant positions and orientations. An even more rigorous treatment, which takes into account the whole spatially resolved information of the electronic occupation and optoelectronic coupling, can be done by incorporating the formalism presented by Aeberhard and Rau [44].

Similarly, p_a can be calculated rigorously by

$$p_a = \left\langle \frac{\sum_o \sum_l \int_{V_l} \nabla \cdot \mathbf{S}(\mathbf{r}, \mathbf{r}_o)_o d^3\mathbf{r}}{\sum_o P_o^{\text{dip}}(\mathbf{r}_o)} \right\rangle_{\mathbf{r}_o}. \quad (18)$$

The index l runs through all layers in the device stack other than the absorber layer. V_l indicates the volume of layer l . The terms within the integral in Eq. (18) are essentially the divergence of the Poynting vector which gives the net power loss per unit volume in the absence of gain in the system. The integration term in Eq. (18) thus gives the absorbed power in the supporting layer l of the solar cell device stack.

It should be noted that one can in principle readily consider possible spatial inhomogeneities of various electrical properties in the device stack by introducing a position-dependent probability coefficient in calculating p_a and p_e . While such additional complexities may be required in modeling the emission properties of certain nanostructured solar cells, this is typically not needed for planar multilayer systems.

Here, for proof-of-principle purposes, we consider an exemplary planar multilayer thin-film solar cell device stack. In such systems, the calculation of the total Green's tensor can be done analytically by working in Fourier space [41]. Further details of the rigorous dipole emission calculations we performed to obtain p_e and p_a are given in Appendix C. To illustrate how wave-optical effects influence p_e and p_a , we show in Appendix C the dependence of p_e and p_a on the emitter's vertical position within the active layer.

D. Internal quantum luminescence efficiency requirements for efficient photon recycling

Having presented the rigorous analysis of $\Delta V_{\text{oc}}^{\text{PR}}$, we discuss here the relevant regimes for photon recycling with respect to Q_i^{lum} . A proper understanding of these regimes is crucial in deciding whether one should care about photon recycling in the design of single-junction solar cells. We therefore proceed to examine the dependence of V_{oc} [Eq. (6)] and $\Delta V_{\text{oc}}^{\text{PR}}$ [Eq. (12)] on Q_i^{lum} for an exemplary organometal halide perovskite solar cell as shown in Fig. 3.

Three regimes are identified. Regime A describes the case of low Q_i^{lum} , where the impact of photon recycling is negligible. As Fig. 3 shows, $\Delta V_{\text{oc}}^{\text{PR}}$ vanishes as Q_i^{lum} approaches zero. The superlinear reduction of the open-circuit voltage as Q_i^{lum} is decreased below 0.1 is due to the strong nonradiative recombination. In regime B, photon recycling starts to have a significant impact on V_{oc} , though mainly through single reemission and reabsorption events due to moderate values of Q_i^{lum} . In regime C, multiple reemission and reabsorption events are possible prior to either carrier extraction or reemitted photons escaping the device. As a consequence, a nonlinear increase of V_{oc} due to photon recycling occurs as Q_i^{lum} approaches 1. This nonlinear increase is considerably stronger when the escape

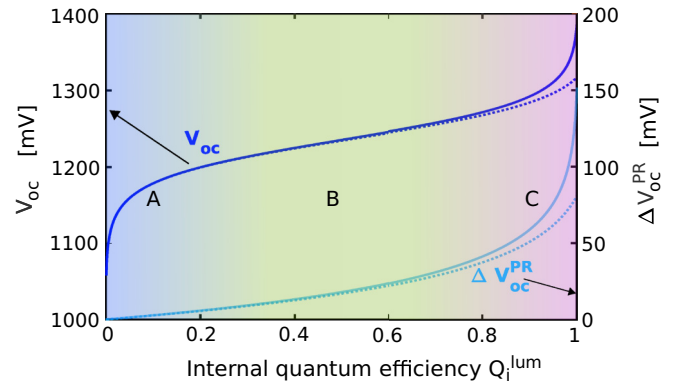


FIG. 3. Three regions (here denoted A, B, and C) can be distinguished when plotting V_{oc} as a function of Q_i^{lum} . The V_{oc} is calculated assuming no parasitic reabsorption ($p_a = 0$) for $\theta_{\text{out}} = 90^\circ$ (dashed lines) and $\theta_{\text{out}} = 15^\circ$ (solid lines).

cone is reduced (here we compare $\theta_{\text{out}} = 90^\circ$ and $\theta_{\text{out}} = 15^\circ$). This makes regime C the most relevant for photon recycling. In the following sections, we demonstrate that the strong dependence of the V_{oc} increase due to photon recycling in regime C will be heavily influenced by the photon escape and parasitic reabsorption probabilities upon reemission within the absorber, which can be additionally influenced through angular restriction.

III. RESULTS

In the following, we apply the theoretical framework developed in the previous sections to introduce the rigorous treatment of the impact of photon recycling on V_{oc} for an exemplary organometal halide perovskite thin-film solar cell (shown in Fig. 2) [21,45]. For all the calculations discussed in this contribution, we utilized refractive index data obtained from measurements detailed in a recent publication [45]. In order to discriminate the impact of various physical effects encountered in real-world PV devices on the photon recycling enhancement, we present analyses of the $\Delta V_{\text{oc}}^{\text{PR}}$ and V_{oc} in a stepwise increase of complexity considering (A) light-trapping schemes, (B) accurate parasitic reabsorption probability obtained from rigorous dipole calculations, (C) angular dependence of the absorption response, (D) accurate escape probability obtained from rigorous dipole calculations, and (E) angular restriction.

In recent literature, the analysis of the impact of photon recycling on the V_{oc} has already considered the absorption response of various light-trapping schemes (step A) for an exemplary perovskite solar cell device architecture [4,10]. In these past contributions, Eq. (14) was used assuming the absorption at different incoming angle to be the same as for normal incident. To some degree, this analysis has also considered parasitic reabsorption probabilities (step B), although they considered p_a as a phenomenological parameter instead of deducing it from rigorous wave-optical calculations. For the sake of clarity, we first follow the footsteps of this contribution prior to going beyond their analysis with more rigorous treatments (steps C–E).

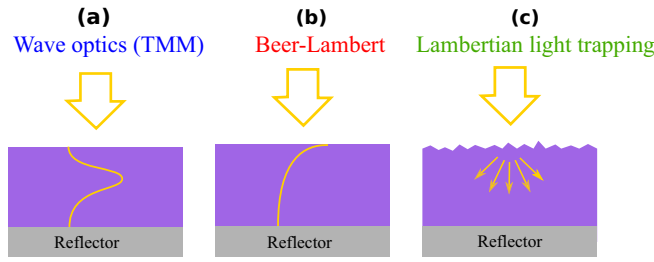


FIG. 4. (a) Rigorous planar stack absorption response including interference deduced from rigorous calculations utilizing the transfer matrix method (TMM). (b) Beer-Lambert absorption response, which assumes zero front-side reflection. (c) Lambertian absorption response to consider optimum light trapping.

A. Impact of light-trapping schemes

The starting point of the rigorous treatment of the impact of photon recycling on V_{oc} is the discussion of the impact of light trapping and absorption response of the perovskite absorber layer in a thin-film stack calculated with wave optics. We first examine V_{oc} [Eq. (6)] and ΔV_{oc}^{PR} [Eq. (12)] under the assumption of no parasitic reabsorption ($p_a = 0$), the absorber emits as a blackbody in an angle-independent manner, and without any angular filtering (results are shown in Fig. 8). More specifically, we calculate p_e with Eq. (14) while assuming $A(E, \theta) = A(E, 0)$ without any angular restriction ($\theta_{out} = 90^\circ$ where emission to the top-side full half space is allowed).

To provide a summary on the impact of different light-trapping conditions, we analyze V_{oc} and ΔV_{oc}^{PR} for three fundamental types of solar cell absorption responses (see Fig. 4).

We consider first a planar multilayer experimental solar cell device stack depicted in Fig. 2 with absorptance retrieved from transfer matrix method (TMM) calculations [Fig. 4(a)] [46]. We then compare the rigorously calculated absorption response to an ideal double-pass Beer-Lambert law assuming perfect in-coupling of light, which is the ideal case of a perfect antireflection but no light-trapping effects [Fig. 4(b)]. Finally, we consider a cell with a front-side random light-trapping texture at the front of the cell [Fig. 4(c)] [8,36]. The scattering surface is considered to lead to a complete Lambertian randomization of light ray angles and results in an absorptance following the Yablonoich limit. The absorption spectra for each considered case at normal incidence for the case of a perovskite absorber with thickness $t = 300$ nm is given in Appendix B.

The Lambertian response, which leads to the largest photon absorption (Appendix B), can be seen to provide the least open-circuit voltage ($V_{oc} = 1290$ mV at $Q_i^{lum} = 1$) and ΔV_{oc}^{PR} [green line plots in Figs. 5(a) and 5(b)]. This is in line with time-reversal considerations, as strong absorption for a particular incoming direction translates to higher emission probability in that direction. The hypothetical architecture with a Lambertian texture allows for light incoming at all angles to be absorbed at the Lambertian limit. This omnian angle strong absorption translates to a system that supports high emission probability to all angles. This strong omnian angle emissivity translates to higher overall escape probability ($p_{e,bb}^L = 0.178$) for photons reemitted from within the absorber as compared

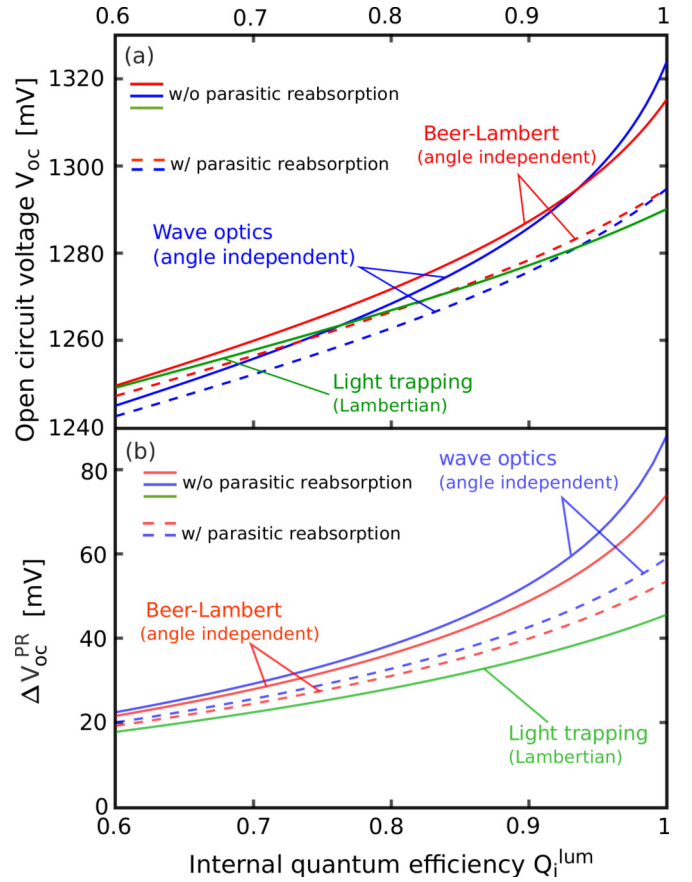


FIG. 5. (a) Calculated V_{oc} and (b) ΔV_{oc}^{PR} as a function of Q_i^{lum} for three different angle-independent absorption responses: Beer-Lambert, TMM (wave optics), and Lambertian light trapping for $\theta_{out} = \pi/2$ with and without parasitic reabsorption being present due to other layers in the solar cell.

to the other two cases ($p_{e,bb}^{BL} = 0.057$ for Beer-Lambert and $p_{e,bb}^{TMM} = 0.0332$ for wave optics). One additional reason why the architecture with the Lambertian scattering front texture has a larger $p_{e,bb}^L$ is the loss of total internal reflection due to the texture.

The V_{oc} deduced with full wave-optics consideration of the device stack (TMM absorption case, blue line plots in Fig. 5) is lower as compared to the Beer-Lambert case (red line plots in Fig. 5) for $Q_i^{lum} < 0.95$. As Q_i^{lum} approaches 1, however, the full wave-optics absorption case leads to a higher V_{oc} (up to $V_{oc} = 1324$ mV at $Q_i^{lum} = 1$) as compared to the perfect-in-coupling case (up to $V_{oc} = 1315$ mV). This is related to the fact that the organometal halide perovskite material has a high absorption coefficient over a major part of the spectral region above the band gap. Having perfect light in-coupling results in a much stronger sunlight absorption than the real full stack response, which in turn corresponds to a higher J_{sun} (Appendix B). In turn, the perfect light in-coupling assumption leads to a higher escape probability for reemitted photons compared to the wave-optics response and, thus, to a smaller ΔV_{oc}^{PR} [Fig. 5(b)]. This leads to the wave-optics absorption case having a larger V_{oc} when $Q_i^{lum} > 0.95$ where the impact of photon recycling is sufficiently large.

The side conclusion that can be drawn here is that one must reduce p_e , and hence the out-coupling efficiency, to really benefit from photon recycling. If the reduction of out-coupling efficiency is not followed by a reduction of short circuit current, which is possible if the absorption response within the acceptance cone for solar irradiation is left unchanged, one would obtain a higher open-circuit voltage.

We note in Fig. 5(a) that the system with the Lambertian absorption response can actually possess a higher V_{oc} at lower Q_i^{lum} where the impact of photon recycling is minimal. This is due to the Lambertian response providing a larger short circuit current, thus contributing to an increase in V_{oc} as can be seen in Eq. (4). Provided that the nonradiative recombination condition is identical for the different cells considered in Fig. 4, a solar cell with a Lambertian response can have a larger V_{oc} in the Q_i^{lum} regime where photon recycling is not significant.

B. Accurate parasitic reabsorption obtained from rigorous dipole calculations

The different light-trapping schemes considered in Sec. III A essentially impact p_e . In order to deduce the impact of photon recycling, one must also determine the value of p_a in the considered system, which we discuss in the following.

From rigorous analytical dipole emission calculations [41] and utilizing Eq. (18), we deduce $p_a = 0.069$ for the layer stack shown in Fig. 2 assuming an absorber thickness of $t = 300$ nm. A major fraction of the parasitic reabsorption occurs in the indium tin oxide (ITO) layer. Though the realistic p_a value is relatively small, it causes a significant reduction of ΔV_{oc}^{PR} and in turn V_{oc} . Such a small percentage of parasitic reabsorption probability leads to an ~ 20 mV reduction of ΔV_{oc}^{PR} at $Q_i^{lum} = 1$ [Fig. 5(b)]. Note that, upon introduction of parasitic reabsorption, the increase of ΔV_{oc}^{PR} as Q_i^{lum} approaches 1 also considerably weakens. This is due to the parasitic reabsorption probability heavily impacting the possibility of multiple reemission and reabsorption events. The negative impact of parasitic absorption on photon recycling is more apparent when there is significant angular filtering, as shown later.

C. Angular dependence of absorption response

Having studied the impact of different light-trapping schemes and realistic parasitic reabsorption probability, in this section we show the impact of considering an actual angle-dependent absorption $A(E, \theta)$. We evaluate Eq. (14) while considering the full wave-optical response of the reference stack and the Beer-Lambert case to deduce the escape probability $p_{e,bb}$ with no angular restriction ($\theta_{out} = \pi/2$). Accounting for angle-dependent absorption response for the full planar stack and Beer-Lambert case leads to a notable reduction in V_{oc} and ΔV_{oc}^{PR} , as apparent from comparing the values in Figs. 5 and 6. Maximum open-circuit voltage values of V_{oc} of 1305 and 1317 mV is predicted for the Beer-Lambert and the full-stack cases, respectively, when considering an angle-dependent absorption in the absence of parasitic reabsorption.

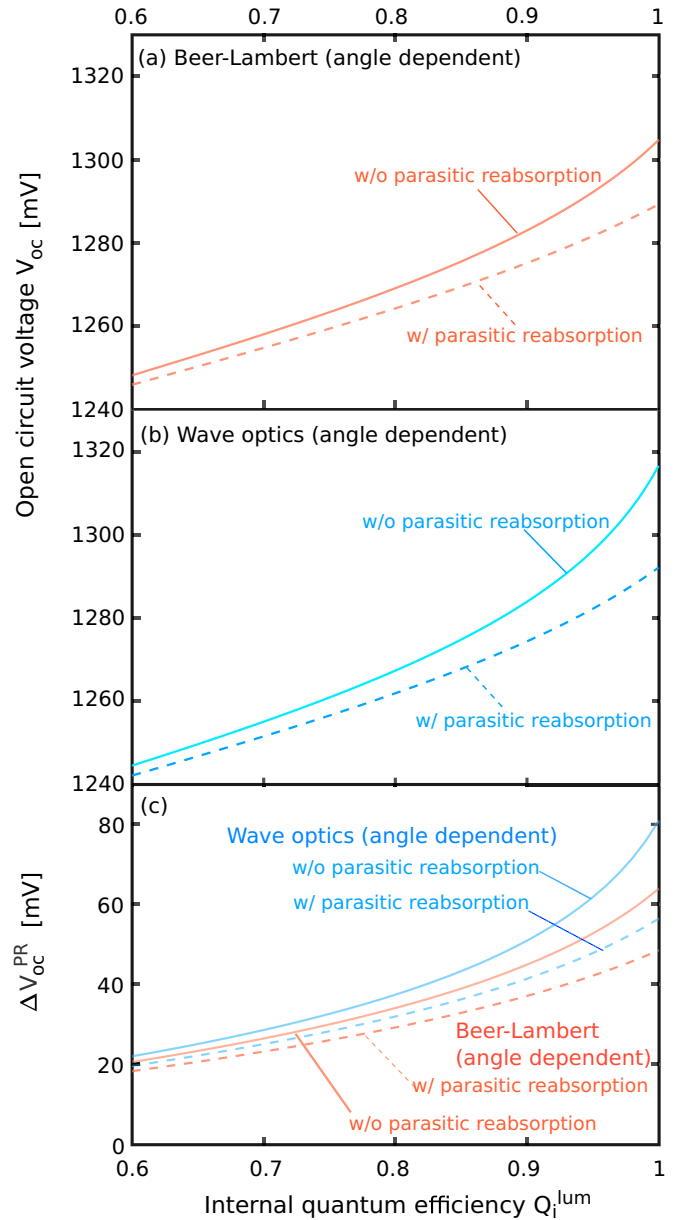


FIG. 6. V_{oc} as a function Q_i^{lum} for (a) Beer-Lambert and (b) wave-optics angle-dependent absorption responses. (c) The corresponding ΔV_{oc}^{PR} for both absorption cases. All plots are calculated assuming no angular restriction, with and without parasitic reabsorption being present due to other layers in the solar cell.

The reduction of V_{oc} [Fig. 6(a)] is due to a reduction of the photon recycling impact ΔV_{oc}^{PR} [Fig. 6(b)]. A significant reduction in ΔV_{oc}^{PR} by ~ 10 – 15 mV relative to the angle-independent response at $Q_i^{lum} = 1$ is apparent for both absorption cases. This is mainly due to the increase of absorption at large incidence angles, which translates to stronger emission in these angles as well. One should note that a stronger discrepancy in ΔV_{oc}^{PR} values between angle-dependent and angle-independent absorption cases can occur if one considers a certain periodic light trapping or concentrating optics at the solar cell. There the absorption also greatly changes with angle of incidence.

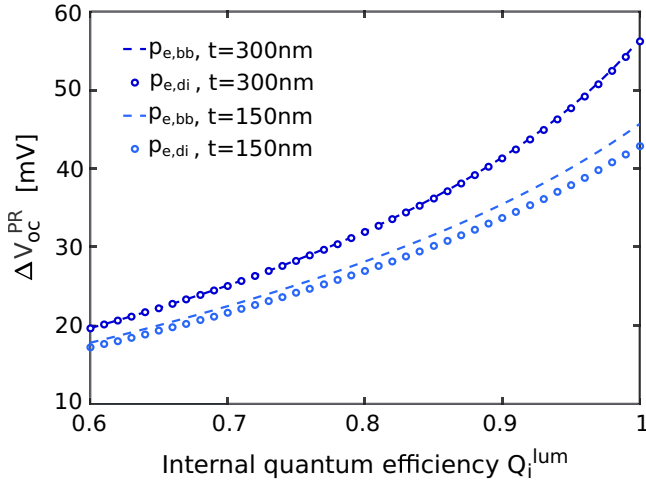


FIG. 7. ΔV_{oc}^{PR} for the case of perovskite absorber thickness of $t = 300$ nm and $t = 150$ nm in the presence of parasitic absorption ($p_a^{300nm} = 0.0690$ and $p_a^{150nm} = 0.1282$). We compare the obtained result when considering the escape probability calculated assuming a blackbody emission ($p_{e,bb}^{300nm} = 0.0437$ and $p_{e,bb}^{150nm} = 0.0426$) as compared to a rigorous analytical dipole calculation of the planar stack ($p_{e,di}^{300nm} = 0.0448$ and $p_{e,di}^{150nm} = 0.0623$).

D. Accurate escape probability obtained from rigorous dipole calculations

In Sec. III C, we considered the impact of light trapping, accurate parasitic reabsorption, and angle-dependent absorption in calculating Eq. (14) to deduce $p_{e,bb}$. Here, we go a step further in accuracy by utilizing Eq. (15) to deduce the reemitted photon escape probability ($p_{e,di}$) for the planar multilayer stack. The rigorous dipole emission calculation [Eq. (15)] is found to be in good agreement with the blackbody emission theory in predicting the escape probabilities [Eq. (14)]. For perovskite absorber thickness of $t = 300$ nm, we obtain $p_{e,bb} = 0.0437$ from blackbody calculations considering angle-dependent absorption [Eq. (14)]. The rigorous dipole calculation [Eq. (15)] gives $p_{e,di} = 0.0448$. As there is close agreement on the value of p_e for both approaches, also the deduced ΔV_{oc}^{PR} are in close agreement (Fig. 7).

For other perovskite absorber thicknesses such as $t = 150$ nm, a notable difference may occur where $p_{e,bb} = 0.0426$ and $p_{e,di} = 0.0623$. However, this only leads to a difference in ΔV_{oc}^{PR} up to a maximum of ~ 2 mV between both cases at $Q_i^{lum} = 1$ (Fig. 7) due to the stronger parasitic reabsorption for $t = 150$ nm.

We wish to stress that only planar solar cells were considered herein. If one employs nanostructured solar cell architectures that comprise either ordered or disordered scattering structures, the discrepancy of the escape probability values deduced with Eqs. (14) and (15) can be even larger.

E. Angular restriction

Having considered the most accurate description of photon recycling for our considered solar cell device stack, we now examine photon recycling under “idealized” angular restriction where the escape cone θ_{out} is changed without impacting other

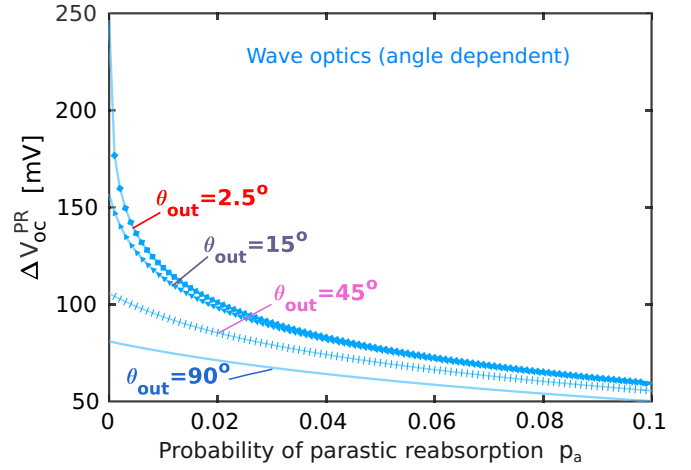


FIG. 8. ΔV_{oc}^{PR} as a function of p_a at $Q_i^{lum} = 1$ for different angular filtering conditions ($\theta_{out} = 2.5^\circ - 90^\circ$).

quantities such as the absorption response. Due to the nature of the angular restriction assumption, we evaluate the photon escape probability utilizing Eq. (14) because the rigorous dipole treatment of Eq. (15) would require details of the angular restricting structure.

In order to strongly benefit from photon recycling through angular restriction, we find that one must maintain a very low p_a . This is depicted in Fig. 8, where we examine the dependency of ΔV_{oc}^{PR} on p_a at $Q_i^{lum} = 1$ and varying θ_{out} .

In the absence of parasitic reabsorption, one can potentially increase ΔV_{oc}^{PR} from mV to 240 mV as one reduces the escape angle through angular filtering from $\theta_{out} = 90^\circ$ to $\theta_{out} = 2.5^\circ$. The increase in ΔV_{oc}^{PR} here is purely due to reemitted photons having less probability of escaping due to the smaller escape cone. Fully restricting the escape cone to only $\theta_{out} = \theta_{sun}$ in the normal direction would lead to $\Delta V_{oc}^{PR} = 277$ mV for $p_a = 0$. This value corresponds to the etendue loss one expects in the absence of angular restriction as discussed by Rau *et al.* [10]. This potential high ΔV_{oc}^{PR} at smaller θ_{out} disappears with a small increase of p_a . With just an increase of p_a from 0 to 0.02, one only gains ~ 25 mV instead of ~ 160 mV by reducing $\theta_{out} = 90^\circ$ to $\theta_{out} = 2.5^\circ$. Thus, to enhance photon recycling from angular restriction, one must maintain an extremely low parasitic photon reabsorption probability below 2% ($p_a \leq 0.02$). Reaching such low values of p_a is indeed challenging as our rigorous calculation for the stack of Fig. 2 already leads to $p_a = 0.069$ for a commonly chosen perovskite layer thickness of $t = 300$ nm. The thickness of the absorber layer greatly influences p_a as it determines the available optical modes in the system to which reemitted photons can couple. Additionally, thicker devices can naturally support a longer optical path within the absorber layer and in turn offer lower values of p_a . In Fig. 10, we give the spatially resolved p_a for two planar perovskite solar cells with different thicknesses (t); p_a strongly oscillates along the device thickness due to wave-optical effects. For the solar cell architecture we consider, p_a is larger for thinner absorbers, purely due to the fact that there is less absorber material. The thinner device also exhibits

a stronger spatial dependence due to resonant wave-optical effects.

The harsh requirement on p_a is connected to the fact that the voltage enhancement one obtains through severe angular restriction relies on the possibility of having a high number of reemission and reabsorption events before carrier extraction or a photon-escaping event. If recombination is dominantly radiative and reemission dominantly leads to reabsorption in the absorber, one naturally increases the open-circuit voltage and in turn the maximum power point voltage. With the introduction of a small parasitic reabsorption probability, one can greatly reduce the probability of having multiple reemission events prior to carrier collection and thereby severely limiting the impact of photon recycling.

IV. SUMMARY

In this work, we extend the theoretical framework on the thermodynamics of photon management in solar cells to rigorously incorporate wave-optical effects. Our framework is valid for all single-junction solar cells electronically describable with semiclassical bulk semiconductor physics, encompassing thin films and architectures with light-trapping nanostructures, but applied here for the case of an organometal halide thin-film perovskite cell. It allows us to analyze open-circuit voltage (V_{oc}) and the open-circuit voltage gain due to photon recycling ΔV_{oc}^{PR} of different accuracy levels, from rough estimations based on ray optics to a fully rigorous treatment based on wave optics. A key result is provided by Eq. (12), which shows the dependence of ΔV_{oc}^{PR} on internal quantum luminescence efficiency (Q_i^{lum}) and probabilities of parasitic reabsorption probability (p_a) and escape probability (p_e) of reemitted photons. Exploiting our analytical expressions, we depict different regimes of Q_i^{lum} with varying impact of photon recycling on V_{oc} . A Q_i^{lum} of near unity is paramount along with an extremely small probability for parasitic reabsorption.

For the exemplary case of a conventional perovskite thin-film solar cell, in the absence of angular restriction and parasitic reabsorption, a voltage enhancement ΔV_{oc}^{PR} of 80 mV is determined with our fully rigorous analysis, confirming the ΔV_{oc}^{PR} determined in previous reports based on nonrigorous analyses. There can be discrepancy of the photon escape probability (p_e) calculated by the nonrigorous ray-optics-based approach [Eq. (14)] and our rigorous full wave-optical calculation [Eq. (15)] depending on the thickness of the considered cell, which in turn leads to a significant difference in the predicted V_{oc} and ΔV_{oc}^{PR} should parasitic reabsorption be small enough. The need for rigorous treatment of photon recycling would be more paramount in solar cell architectures which are heavily impacted by wave optics, such as nanowires or other nanostructured solar cells. We also show that under angular restriction of $\theta_{out} = 2.5^\circ$, one could potentially obtain ΔV_{oc}^{PR} of 240 mV with the considered perovskite layer stack. The high ΔV_{oc}^{PR} reduces by more than half to ~ 100 mV by just an increase of parasitic reabsorption probability to only 2%.

The rigorous optical treatment of photon recycling in nanopatterned solar cells (exploiting, e.g., front-side diffraction grating) is the object of a future study, which will account

for the exact and spatially averaged emission and parasitic reabsorption probabilities.

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APPENDIX A: OPEN-CIRCUIT VOLTAGE DERIVATION DETAILS

We begin with the DB open-circuit voltage expression in its extended form accounting for nonradiative recombination [5,10]:

$$qV_{oc} = qV_{oc}^{rad} + kT_c \ln \{ Q_e^{LED} \}. \quad (A1)$$

Q_e^{LED} is described by

$$Q_e^{LED} = p_e R_{int}^{rad} / (R^{total}), \quad (A2)$$

where $R^{total} = (1 - p_r)R_{int}^{rad} + R^{nrd}$ is the total recombination rate with p_r the probability of reemitted photons being reabsorbed in the absorber layer; thus $p_r + p_a + p_e = 1$. R^{nrd} is the nonradiative recombination rate, which results in the nonradiative saturation current responsible for loss ($J_{re,nrd} = qR^{nrd}$). R_{int}^{rad} is the internal radiative recombination rate.

Q_i^{lum} is a pure internal property which describes what portion of the recombination is radiative. We make the simplifying assumption here that the recombination rates, therefore, at operating and open-circuit bias voltage are approximately equivalent. This is applicable for low injection regimes in semiclassical semiconductor bulks. Q_i^{lum} is therefore describable by $Q_i^{lum} = R_{int}^{rad} / (R_{int}^{rad} + R^{nrd})$. One can therefore reformulate the nonradiative recombination rate as

$$R^{nrd} = R_{int}^{rad} \left[\frac{1}{Q_i^{lum}} - 1 \right]. \quad (A3)$$

Utilizing $p_e + p_a + p_r = 1$, one can therefore write Q_e^{LED} as

$$Q_e^{LED} = \frac{p_e}{p_e + p_a + \left(\frac{1}{Q_i^{lum}} - 1 \right)}. \quad (A4)$$

Utilizing $p_e = J_{em} / J_{re,rad}$ together with Eqs. (4) and (A4), one can reformulate Eq. (A1) to write the open-circuit voltage in the form of Eq. (6) as shown in the main text.

We wish to further clarify a confusion in the community concerning the belief that V_{oc} can be enhanced by increasing out-coupling efficiency, which essentially implies increasing p_e and thereby Q_e^{LED} . Enhancement of Q_e^{LED} , however, does not necessarily lead to enhancement of V_{oc} . To show this clearly, we note that V_{oc}^{rad} can also be expressed

in the form

$$qV_{oc}^{rad} = kT_c \ln \left\{ \frac{J_{sun}}{p_e J_{re,rad}} \right\}. \quad (A5)$$

By comparing Eqs. (A5) and (A4), one can see that the V_{oc}^{rad} is not independent of Q_e^{LED} . In particular, the photon escape probability p_e , which depends on the absorption of the solar cell, impacts both. One cannot therefore expect that increasing out-coupling efficiency always leads to V_{oc} enhancement. In fact, an increase in p_e without a compensating increase in J_{sun} can greatly reduce V_{oc} as well.

APPENDIX B: ABSORPTION RESPONSE

We give in Fig. 9 a plot of the three considered absorption responses at normal incidence for the case of a 300-nm-thick organometal halide perovskite absorber layer.

APPENDIX C: DIPOLE EMISSION CALCULATION DETAILS

The rigorous plane-wave expansion of the Green's tensor was done for the case of a semi-infinite planar multilayer organometal halide Perovskite solar cell device stack of Fig. 2 for the wavelength of 770 nm, which is the peak emission wavelength for the organometal halide perovskite layer we consider [43]. We calculated for both dipole orientations parallel and perpendicular to the layer stack and for different positions along the thickness of the perovskite layer.

We wish to note that classical dipole emission calculations in a dissipative medium, as what is needed here, requires the emitter to be enclosed in a small nonabsorbing cavity to ensure a well-defined radiated power [47]. This is fundamentally tied to the introduction of additional nonradiative decay channels when the emitter is embedded or is extremely close to absorbing media, which thus requires extra care to properly treat it [48–50]. Calculations utilizing a small nonabsorbing cavity

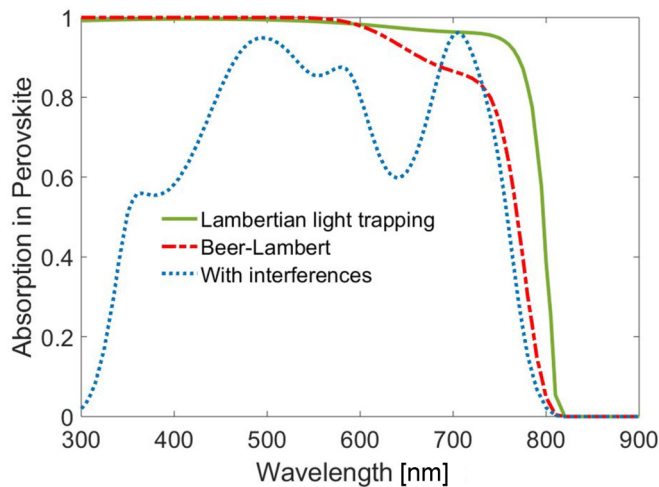


FIG. 9. Absorption spectra of an organometal halide perovskite cell with a Lambertian front texture, a perfect antireflection only, and for a full device stack as depicted in Fig. 2. All absorption calculations are for the perovskite layer thickness of 300 nm.

have been shown to correspond to experimental observation [51,52]. Considering dipole emission directly within the perovskite absorber leads to numerical artifacts where energy is not conserved. To avoid this problem, we introduce a thin nonabsorbing layer with the same real-part refractive index as the perovskite layer which surrounds the dipole emitter. The introduction of this nonabsorbing layer results in a slight overestimation of p_a as reabsorption within the perovskite layer will be underestimated.

In calculating the parasitically reabsorbed portion of the power, we calculate the difference of power flux through the top and bottom boundaries of each layer and then perform a sum for all considered layers and normalizing the result to P_o^{dip} . Calculating the power flux at the interfaces of each layer, as we described, is essentially equivalent to calculating the integral in Eq. (18) and allows ease in ensuring numerical accuracy. $A_o^{parasitic}$ can be mathematically expressed by

$$A_o^{parasitic} = \frac{\sum_l P_{o,l}^{top} - P_{o,l}^{bottom}}{P_o^{dip}}, \quad (C1)$$

where the index l labels the different layers considered, and $P_{top,l}$ and $P_{bottom,l}$ are the power fluxes at the top and bottom interface of each layer, respectively.

Calculating the parasitic absorption in this manner would require us to consider a large computational domain in order to accurately calculate $P_{top,l}$ and $P_{bottom,l}$, especially when modes with long propagation lengths are involved. It is therefore important to also calculate the portion of the emitted power absorbed in the perovskite ($A^{perovskite}$) and the portion of emitted power that leaves the layer stack (\tilde{P}^{esc}). In our calculations, we ensured the computational domain to be wide enough such that all powers are accounted for within the computational domain $A^{parasitic} + A^{perovskite} + \tilde{P}^{esc} = 1$.

The nonabsorbing layer surrounding the dipole emitter is chosen in our calculations to be sufficiently thick to avoid the interaction of the dipole near-field components with the

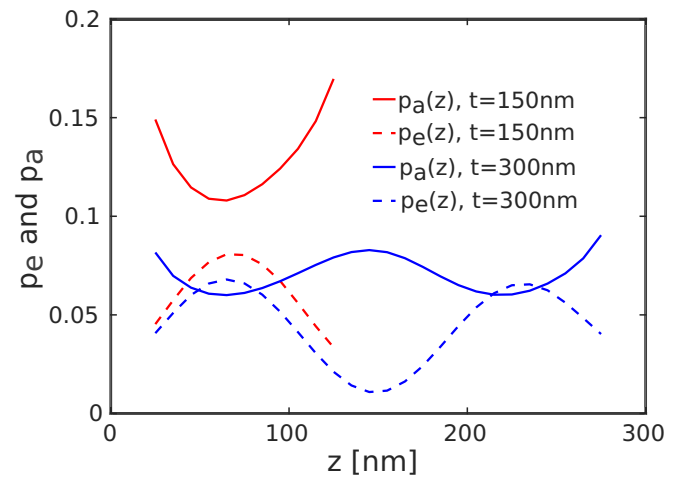


FIG. 10. Spatial dependence of the parasitic photon reabsorption and the escape photon probabilities along the thickness. Both probability values are weighted average quantities for all possible dipole emission orientations as calculated by Eq. (C2).

surrounding absorbing media, which leads to an incorrect quantification of the dipole emitted power with our classical approach. From numerical experiments, we found that energy conservation is maintained when we utilize a nonabsorbing layer with thickness ≥ 40 nm in which the dipole emitter is placed at the center. We thus employ a nonabsorbing layer with a total thickness of 40 nm for all our dipole emission calculations. Increasing or reducing the nonabsorbing layer thickness by ± 4 nm ($\pm 10\%$) leads to a change in parasitic absorption by $\Delta p_a = \mp 0.005$ for perovskite thickness $t = 300$ nm and $\Delta p_a = \mp 0.01$ for $t = 150$ nm. The escape probability, on the other hand, changes by $\Delta p_e = \mp 0.003$ for perovskite thickness $t = 300$ nm and $\Delta p_e = \mp 0.004$ for $t = 150$ nm. As the change of the values of p_a and p_e is not overly sensitive to the change of the nonabsorbing layer thickness, we thus

believe our dipole calculations provide a sufficiently accurate calculation of the values of p_a and p_e for the perovskite absorber thicknesses we consider.

After obtaining $A_{\text{parasitic},o}$ for every dipole orientation at a certain position, we then calculate the averaged parasitic reabsorption probability at a particular height z along the thickness $p_a(z_0)$ with

$$p_a(z) = \frac{\sum_o P_{\text{dip},o}(z_0) A_{\text{parasitic},o}}{\sum_o P_{\text{dip},o}(z)}, \quad (\text{C2})$$

where the index o indicates the Cartesian directions xyz . Equation (C2) is essentially equivalent to the right-hand side of Eq. (18), without the spatial average. A plot of the spatially resolved $p_a(z)$ and $p_a(e)$ for the case of the layer stack in Fig. 2 is given in Fig. 10 assuming $t = 300$ nm and $t = 150$ nm.

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