\tilde{J} -pseudospin states and the crystal field of cubic systems

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The theory of \tilde{J} pseudospin for the f element in a cubic environment is developed. By fulfilling the symmetry requirements and the adiabatic connection to the atomic limit, the crystal-field states are uniquely transformed into \tilde{J} -pseudospin states. In terms of the pseudospin operators, both the total angular momentum and the crystal-field Hamiltonian contain higher-rank tensor terms than the traditional ones do, which means the present framework naturally includes effects such as covalency and J mixing beyond the f-shell model. Combining the developed theory with ab initio calculations, the \tilde{J} -pseudospin states for Nd³⁺ and Np⁴⁺ ions in octahedral sites of insulators are derived.

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I. INTRODUCTION

Crystal-field theory [1] has been widely used for the investigation of the electronic, magnetic, and optical properties of metal ions in complexes and solids [2,3], and it is still intensively used [4–7]. Although the traditional electrostatic approach seems to provide the basic character of the electronic structures, as is well known, it does not take account of various effects such as covalency [8,9], J mixing [3], and shielding [10]. To address accurately the properties of electronic states in metal ions, state-of-the-art ab initio quantum chemistry methodology, including covalency, electron correlation, spin-orbit coupling, and other relativistic effects is nowadays an alternative popular approach. Indeed, recently post-Hartree-Fock methods are starting to be applied to the study of strongly correlated materials containing heavy d elements [11,12]. A common problem of ab initio approaches is that the computed electronic states do not directly provide a clear physical picture. For example, in the case of magnetic systems, they are characterized in terms of a pseudospin Hamiltonian [2]. While the ab initio states must contain all necessary information, it is not a priori clear how to extract the pseudospin Hamiltonians on their basis.

This issue has been recently addressed, and general principles for the derivation of the uniquely defined pseudospin Hamiltonian from ab initio calculated electronic states was proposed [13–15]: the principles consist of (1) symmetry requirements and (2) adiabatic connection to the well-defined limiting cases. N low-energy electronic states are selected for the description of low-energy phenomena, the \tilde{S} -pseudospin states $(N = 2\tilde{S} + 1)$ are derived by a unitary transformation of these electronic states, and then the pseudospin Hamiltonian is derived using the obtained pseudospin states. The unitary matrix should be uniquely determined based on these principles. There is no difficulty for the unique definition of small

pseudospins ($\tilde{S} = 1/2$ and 1). Indeed, when only the small pseudospins are relevant, by combining the theoretical framework with ab initio calculations, various magnetic properties of metal complexes have been explained [16,17] and predicted [18,19]. On the other hand, the derivation of large pseudospin $\tilde{S} \geqslant 3/2$, which is relevant to, e.g., \tilde{J} pseudospin for the crystal-field states of f elements, remains under development [20,21] because a practical algorithm to determine a large number of the unitary matrix elements ($\approx N^2/2$) fulfilling both requirements is not obvious.

In this work, we develop the methodology to uniquely transform the crystal-field states of f elements in cubic environment into the \tilde{J} -pseudospin states satisfying the symmetry requirements and the adiabatic connection between the \tilde{J} pseudospin states and the corresponding atomic J multiplet. The present \tilde{J} -pseudospin states naturally include the effects beyond the traditional crystal-field model based on isolated f orbitals, resulting in the presence of the higher-rank tensor terms in total angular momentum and crystal-field Hamiltonian than in conventional approaches based on the atomic Jmultiplet. The developed theory is applied to Nd³⁺ and Np⁴⁺ ions in a cubic environment.

II. UNIQUE DEFINITION OF PSEUDOSPIN

For a description of the local electronic structure and properties of magnetic ions, phenomenological pseudospin Hamiltonians are often employed [2,22]. The pseudospin Hamiltonian acts on the abstract pseudospin states $|\tilde{S}M\rangle$ $(M = -\tilde{S}, -\tilde{S} + 1, \dots, \tilde{S})$, and its eigenstates describe the low-energy states. On the other hand, if the exact electronic states responsible for the low-energy phenomena of interest are given,

$$\mathcal{H} = \{ |\Psi_i\rangle | i = 1, 2, \dots, N \},$$
 (1)

the pseudospin states $|\tilde{S}M\rangle$ should be obtainable directly from this set of states. However, the relation between them is not a priori evident. This problem has been recently addressed

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TABLE I. The relation between J, its decomposition into Γ irreducible representations of cubic group $\mathcal{G} (= O, O_h, T_d)$, and crystal-field parameters B_k in a cubic environment. f ions (Ln: lanthanide, Ac: actinide [26]) whose ground atomic multiplets are characterized by J are also shown. Parity (g or u) is not shown.

\overline{J}	f^n	Ln	Ac	$J\downarrow \mathcal{G}$	B_k
0				Γ_1	
1				Γ_4	_
2				$\Gamma_3 \oplus \Gamma_5$	B_4
3				$\Gamma_2 \oplus \Gamma_4 \oplus \Gamma_5$	B_4,B_6
4	f^2, f^4	Pr^{3+}, Pm^{3+}	U^{4+} , $Np^{3/5+}$, $Pu^{4/6+}$	$\Gamma_1 \oplus \Gamma_3 \oplus \Gamma_4 \oplus \Gamma_5$	B_4, B_6, B_8
5				$\Gamma_3 \oplus 2\Gamma_4 \oplus \Gamma_5$	B_4, B_6, B_8, B_{10}
6	f^8, f^{12}	Tb^{3+}, Tm^{3+}	Bk^{3+}, Cf^{4+}	$\Gamma_1 \oplus \Gamma_2 \oplus \Gamma_3 \oplus \Gamma_4 \oplus 2\Gamma_5$	$B_4, B_6, B_8, B_{10}, B_{12}$
7				$\Gamma_2 \oplus \Gamma_3 \oplus 2\Gamma_4 \oplus 2\Gamma_5$	$B_4, B_6, B_8, B_{10}, B_{12}, B_{14}$
8	f^{10}	Ho^{3+}	Es^{3+}	$\Gamma_1 \oplus 2\Gamma_3 \oplus 2\Gamma_4 \oplus 2\Gamma_5$	B_4 , B_6 , B_8 , B_{10} , B_{12} , B_{14} , B_{16}
1/2				Γ_6	_
3/2				Γ_8	_
5/2	f^1, f^5	Ce^{3+} , Sm^{3+} , Pr^{4+}	$Pa^{4+}, U^{5+}, Pu^{3+}, Am^{4+}$	$\Gamma_7 \oplus \Gamma_8$	B_4
7/2	f^{13}	Yb^{3+}		$\Gamma_6 \oplus \Gamma_7 \oplus \Gamma_8$	B_4,B_6
9/2	f^3	Nd^{3+}	U^{3+} , Np^{4+} , Pu^{5+}	$\Gamma_6 \oplus 2\Gamma_8$	B_4, B_6, B_8
11/2				$\Gamma_6\oplus\Gamma_7\oplus 2\Gamma_8$	B_4, B_6, B_8, B_{10}
13/2				$\Gamma_6 \oplus 2\Gamma_7 \oplus 2\Gamma_8$	$B_4, B_6, B_8, B_{10}, B_{12}$
15/2	f^9, f^{11}	Dy^{3+} , Er^{3+}	Cf^{3+}, Es^{2+}	$\Gamma_6\oplus\Gamma_7\oplus 3\Gamma_8$	$B_4, B_6, B_8, B_{10}, B_{12}, B_{14}$

by some of us and the methodology to uniquely define the pseudospin states was proposed [13–15].

The pseudospin states may be obtained by unitary transformation of the electronic states $|\Psi_i\rangle$:

$$|\tilde{S}M\rangle = \sum_{i=1}^{N} U_{iM} |\Psi_{i}\rangle,$$
 (2)

where U_{iM} are elements of a unitary matrix U and $N = 2\tilde{S} + 1$. Once pseudospin states are established, the pseudospin operators such as

$$\tilde{S}_z = \sum_{M=-\tilde{S}}^{\tilde{S}} M |\tilde{S}M\rangle \langle \tilde{S}M|$$

and irreducible tensor operators $Y_{kq}(\tilde{S})$ can be assigned in their basis, where k and q indicate the rank and the component of the tensor, respectively. Nevertheless, for an arbitrary choice of U, the obtained operators \tilde{S} would not behave as expected for the phenomenological effective spin under symmetry operations, and the obtained pseudospin Hamiltonian will also differ from the phenomenological one. In order to choose adequate unitary transformation U in Eq. (2), two requirements (principles) are employed [13–15]:

- (1) The pseudospin states $|\tilde{S}M\rangle$ transform as the true spin states $|SM\rangle$ ($S=\tilde{S}$) under the time-reversal and spatial symmetry operations.
- (2) The pseudospin states are adiabatically connected to the well-defined pure-spin/orbital/total angular momentum states.

The first principle simply requires the pseudospin states to be consistent with the symmetries of the system [2,23]. The second principle requires the existence of the one-to-one correspondence between the pseudospin and a well-defined pure spin. This correspondence is established by adiabatically turning on the interaction which only exists in the materials

[13,15]. The latter may include covalency, spin-orbit coupling, and deformation of the environment, depending on the choice of the reference situation. Such an adiabatic connection is used in various fields of condensed matter physics to characterize the systems [24,25].

The proposed principles state the requirements for the unique definition of pseudospins, while they do not provide the practical way to achieve it. In practice, low-dimensional pseudospins ($\tilde{S} = 1/2$, 1) can be uniquely defined by identifying their states with the Zeeman states along one of the principal magnetic axes of the system [14,15]. These pseudospin states automatically obey the symmetry requirements of principle 1. On the other hand, the unique definition of larger pseudospin $\tilde{S} \ge 3/2$ is technically more difficult than that of small pseudospins due to the quadratically increasing number of free parameters ($\propto N^2$) defining the unitary transformation U in Eq. (2) [15]. If as in the small pseudospins, the eigenstates of the magnetic moment $\hat{\mu}_Z$ along the principal magnetic axis Z are taken as pseudospin states [20], the spatial symmetry requirement may not be completely fulfilled. For example, the crystal-field states of a Kramers ion in a cubic environment may contain fourfold-degenerate Γ_8 states (Table I), whereas the eigenstates of $\hat{\mu}_Z$ never do so because they satisfy at most a tetragonal symmetry under Zeeman splitting. Although the definition of the pseudospins via eigenstates of $\hat{\mu}_Z$ is one of the possible choices, the obtained Hamiltonian will not have a priori the expected form for a cubic system. Another issue is the requirement of the adiabatic connection: in principle this can be satisfied by defining the pseudospin by several consecutive ab initio calculations in which some controlling parameters are varied (see Ref. [13] and Sec. VI in Ref. [15]). It is evident that such a brute force approach is far from practical for most systems of interest. Towards the establishment of the practical scheme to determine large pseudospins, the theory of the \tilde{J} pseudospin in a cubic environment is developed below.

III. PSEUDOSPIN IN A CUBIC ENVIRONMENT

The low-energy crystal-field states of f elements mainly originate from the ground atomic J multiplet [2]. Thus, the crystal-field Hamiltonian is described in terms of \tilde{J} -pseudospin operators. Here, the algorithm to derive the \tilde{J} -pseudospin crystal-field Hamiltonian in an octahedral environment from the crystal-field states is shown, taking $\tilde{J}=9/2$ pseudospins as an example because the latter is the simplest nontrivial case where both requirements in Sec. II have to be fully taken into account. Other cases can be done using the formulas in Appendix A. The developed method is applied to derive the crystal-field Hamiltonian of Nd^{3+} (4 f^3) and Np^{4+} (5 f^3) ions in an octahedral environment.

A. Γ pseudospin

In an octahedral (O or O_h) environment, the ground atomic J = 9/2 multiplets split into two sets of fourfold-degenerate Γ_8 multiplets and one Γ_6 Kramers doublet (Table I). Since the Γ_8 and Γ_6 states, respectively, transform as S=3/2 and S = 1/2 spin states under the symmetry operations of the O_h group [27], each of the multiplets can be unambiguously transformed into a Γ -pseudospin state by requirement 1 (\mathcal{H} corresponds to a set of degenerate Γ states) [15,21]. Hereafter, the three C_4 axes of the cubic environment correspond to the x, y, z axes (right-handed coordinate system), the z axis is taken as the quantization axis of the angular momentum, and the basis of the irreducible representations given in Ref. [27] is used. Using the generators of the rotational symmetry operations of the O_h group, for example, $\pi/2$ rotations around the y and z axes (\hat{C}_4^y and \hat{C}_4^z), the Γ multiplets are transformed as, respectively,

$$\hat{C}_{4}^{y}|\Gamma M\rangle = \sum_{M'} d_{M'M}^{\tilde{S}} \left(\frac{\pi}{2}\right) |\Gamma M'\rangle \tag{3}$$

and

$$\hat{C}_{A}^{z}|\Gamma M\rangle = e^{-i\frac{\pi}{2}M}|\Gamma M\rangle. \tag{4}$$

Here, $\tilde{S} = 1/2$ for $\Gamma = \Gamma_6$, $\tilde{S} = 3/2$ for $\Gamma = \Gamma_8$, $M, M' = -\tilde{S}, -\tilde{S} + 1, ..., \tilde{S}$, and $d_{M'M}^{\tilde{S}}$ is the rotation matrix around the y axis (Wigner *D* function) [28]. The relative phase factors between $|\Gamma M\rangle$'s are fixed by using time-reversal symmetry [2,27,29]:

$$\hat{\theta}|\Gamma M\rangle = (-1)^{\tilde{S}-M}|\Gamma, -M\rangle,\tag{5}$$

where $\hat{\theta}$ is the time-reversal operator. A similar consideration holds for a T_d system by replacing C_4 with S_4 .

B. \tilde{J} pseudospin

The \tilde{J} -pseudospin states are described by linear combinations of the Γ -pseudospin states $[\mathcal{H} = \{|\Gamma M\rangle|\Gamma = \Gamma_6, \Gamma_8^{(1)}, \Gamma_8^{(2)}\}]$:

$$|\tilde{J}M\rangle = \sum_{\mu \Gamma M'} U_{\Gamma^{(\mu)}M',\tilde{J}M} |\Gamma^{(\mu)}M'\rangle, \tag{6}$$

where the index μ distinguishes the repeated Γ multiplets (two Γ_8 states in the present case) and $U_{\Gamma^{(\mu)}M,\tilde{J}M}$ are coefficients. The latter are restricted by the first requirement. $|\tilde{J}M\rangle$ with $M=\mp7/2,\pm1/2,\pm9/2$ transforms as $|\Gamma,\pm1/2\rangle$ under the $C_4^{\tilde{z}}$ rotation. The relation between the $|\tilde{J},M\rangle$ states and $|\Gamma_6,\pm1/2\rangle$ states is unambiguously given by taking account of the transformations under $C_4^{\tilde{y}}$ rotation. On the other hand, the relation between the $|\tilde{J}M\rangle$ and two $|\Gamma_8\pm1/2\rangle$ states is given up to the arbitrary mixing (rotation) of the two Γ_8 states described by one angle α . Finally, making use of the components of $|\Gamma_8,\pm3/2\rangle$ appearing in $\hat{C}_4^{\tilde{y}}|\Gamma_8,\pm1/2\rangle$, the unitary matrix U in Eq. (6) is determined up to angle α . The obtained $\tilde{J}=9/2$ pseudospin states are

$$\left| \tilde{J}, \mp \frac{9}{2}(\alpha) \right\rangle = \frac{1}{2} \sqrt{\frac{3}{2}} \left| \Gamma_{6}, \mp \frac{1}{2} \right\rangle \mp \frac{1}{2} \sqrt{\frac{5}{2}} \left[\cos \alpha \left| \Gamma_{8}^{(1)}, \mp \frac{1}{2} \right\rangle - \sin \alpha \left| \Gamma_{8}^{(2)}, \mp \frac{1}{2} \right\rangle \right],$$

$$\left| \tilde{J}, \mp \frac{7}{2}(\alpha) \right\rangle = \frac{1}{2\sqrt{6}} \left| \Gamma_{6}, \pm \frac{1}{2} \right\rangle \pm \frac{1}{2} \sqrt{\frac{23}{6}} \left[\sin(\alpha + \phi_{1}) \left| \Gamma_{8}^{(1)}, \pm \frac{1}{2} \right\rangle - \cos(\alpha + \phi_{1}) \left| \Gamma_{8}^{(2)}, \pm \frac{1}{2} \right\rangle \right],$$

$$\left| \tilde{J}, \mp \frac{5}{2}(\alpha) \right\rangle = \pm \left[\sin(\alpha + \phi_{2}) \left| \Gamma_{8}^{(1)}, \pm \frac{3}{2} \right\rangle + \cos(\alpha + \phi_{2}) \left| \Gamma_{8}^{(2)}, \pm \frac{3}{2} \right\rangle \right],$$

$$\left| \tilde{J}, \mp \frac{3}{2}(\alpha) \right\rangle = \pm \left[-\cos(\alpha + \phi_{2}) \left| \Gamma_{8}^{(1)}, \mp \frac{3}{2} \right\rangle + \sin(\alpha + \phi_{2}) \left| \Gamma_{8}^{(2)}, \mp \frac{3}{2} \right\rangle \right],$$

$$\left| \tilde{J}, \mp \frac{1}{2}(\alpha) \right\rangle = \frac{1}{2} \sqrt{\frac{7}{3}} \left| \Gamma_{6}, \mp \frac{1}{2} \right\rangle \pm \frac{1}{2} \sqrt{\frac{5}{3}} \left[\sin(\alpha + \phi_{3}) \left| \Gamma_{8}^{(1)}, \mp \frac{1}{2} \right\rangle + \cos(\alpha + \phi_{3}) \left| \Gamma_{8}^{(2)}, \mp \frac{1}{2} \right\rangle \right],$$

$$\left| \tilde{J}, \mp \frac{1}{2}(\alpha) \right\rangle = \frac{1}{2} \sqrt{\frac{7}{3}} \left| \Gamma_{6}, \mp \frac{1}{2} \right\rangle \pm \frac{1}{2} \sqrt{\frac{5}{3}} \left[\sin(\alpha + \phi_{3}) \left| \Gamma_{8}^{(1)}, \mp \frac{1}{2} \right\rangle + \cos(\alpha + \phi_{3}) \left| \Gamma_{8}^{(2)}, \mp \frac{1}{2} \right\rangle \right],$$

where $\phi_1 = \arccos\sqrt{3/115}$, $\phi_2 = \arccos\sqrt{7/10}$, and $\phi_3 = \arccos(2/5)$. The phase factors of the \tilde{J} -pseudospin states are determined to satisfy $\hat{\theta}|\tilde{J}M\rangle = (-1)^{\tilde{J}-M}|\tilde{J}, -M\rangle$ under time inversion as in Eq. (5) for Γ -pseudospin states. (See Ref. [29] for the phase factors and time-reversal symmetry.)

The angle α is explicitly present in the left-hand sides of Eq. (7) because it is not fixed yet. In addition to α , there are two possibilities for the assignment of two Γ_8 states in \mathcal{H} . By similar procedures, all the important cases for f elements can be derived (see Appendix A).

Using the pseudospin states (7), we can define the irreducible tensor operators (Appendix B)

$$\mathcal{Y}_{kq}(\tilde{\boldsymbol{J}}(\alpha)) = \frac{Y_{kq}(\tilde{\boldsymbol{J}}(\alpha))}{Y_{k0}(\tilde{\boldsymbol{J}})}$$

$$= \sum_{M,M'} \frac{\langle (\tilde{\boldsymbol{J}}k)\tilde{\boldsymbol{J}}M'|\tilde{\boldsymbol{J}}Mkq\rangle}{\langle (\tilde{\boldsymbol{J}}k)\tilde{\boldsymbol{J}}\tilde{\boldsymbol{J}}|\tilde{\boldsymbol{J}}\tilde{\boldsymbol{J}}k0\rangle} |\tilde{\boldsymbol{J}}M'(\alpha)\rangle\langle \tilde{\boldsymbol{J}}M(\alpha)|.$$

Here, $\tilde{\boldsymbol{J}}$ is the \tilde{J} -pseudospin operator, $Y_{kq}(\tilde{\boldsymbol{J}})$ is the irreducible tensor operator of rank k ($k=0,1,...,2\tilde{\boldsymbol{J}}$) and argument q (q=-k,-k+1,...,k), $Y_{k0}(\tilde{\boldsymbol{J}})=\langle \tilde{\boldsymbol{J}}\tilde{\boldsymbol{J}}|Y_{k0}(\tilde{\boldsymbol{J}})|\tilde{\boldsymbol{J}}\tilde{\boldsymbol{J}}\rangle$, and $\langle (j_1j_2)jm|j_1m_1j_2m_2\rangle$ are Clebsch-Gordan coefficients [28]. The tensor operator behaves as a pseudospin state $|\tilde{\boldsymbol{J}}=k,M=q\rangle$ under time inversion, $\mathcal{Y}_{kq}\to (-1)^{k-q}\mathcal{Y}_{k,-q}$. Any electronic operators acting on the crystal-field states in \mathcal{H} can be decomposed into \mathcal{Y}_{kq} 's (see Appendix B).

For the unique definition of \tilde{J} pseudospin, the variable α in Eq. (7) has to be fixed. To this end, the second principle is used. The \tilde{J} -pseudospin states (7) and thus \tilde{J} have to converge to the atomic J multiplet and pure total angular momentum \hat{J} , respectively, by adiabatically reducing the interactions with

the environment. This is achieved by choosing α so that the first-rank parameter of \hat{J}_z , $j_{10}(\alpha)$, becomes the largest:

$$\hat{J}_z = \sum_{k=1}^{2\tilde{J}} \sum_{q=-k}^k j_{kq}(\alpha) \mathcal{Y}_{kq}(\tilde{\boldsymbol{J}}(\alpha)). \tag{9}$$

In general, $j_{10} < \tilde{J}$ because the degree of the mixing of the atomic J multiplets $|JM\rangle$ to the crystal-field states $|\Psi_i\rangle$ depends on M owing to, e.g., the covalency and J mixing. Substituting α_0 which maximizes $j_{10}(\alpha)$ into Eq. (7), the \tilde{J} -pseudospin states are uniquely defined. In this procedure, all possible assignments of Γ_8 crystal-field levels to $\Gamma_8^{(1)}$ and $\Gamma_8^{(2)}$ in Eq. (6) also have to be examined. If another angle α such as the one at the other extremum is chosen, \tilde{J} does not converge to \hat{J} in the atomic limit (see Sec. III C 2) because such a choice makes $|\tilde{J}M(\alpha)\rangle$ dissimilar from $|JM\rangle$. The same procedure uniquely defines $\tilde{J} \geqslant 9/2$, whereas the $\tilde{J} < 9/2$ pseudospin states are uniquely defined by symmetry.

With the use of the $\mathcal{Y}_{kq}(\tilde{\boldsymbol{J}}(\alpha_0))$, the crystal-field Hamiltonian $\hat{H}_{\text{cf}} = \sum_{\mu \Gamma M} E_{\Gamma}^{(\mu)} |\Gamma^{(\mu)} M\rangle \langle \Gamma^{(\mu)} M|$ is expressed as (see Appendix C)

$$\hat{H}_{cf} = B_0 + B_4 \left(\mathcal{Y}_{40} + \sum_{q=\pm 4} \sqrt{\frac{5}{14}} \mathcal{Y}_{4q} \right) + B_6 \left(\mathcal{Y}_{60} - \sum_{q=\pm 4} \sqrt{\frac{7}{2}} \mathcal{Y}_{6q} \right) + B_8 \left(\mathcal{Y}_{80} + \sum_{q=\pm 4} \frac{1}{3} \sqrt{\frac{14}{11}} \mathcal{Y}_{8q} + \sum_{q=\pm 8} \frac{1}{3} \sqrt{\frac{65}{22}} \mathcal{Y}_{8q} \right), \quad (10)$$

(8)

where $\mathcal{Y}_{kq}(\tilde{\boldsymbol{J}}(\alpha_0))$ is replaced by \mathcal{Y}_{kq} for simplicity, and B_k are calculated as

$$B_{0} = \frac{1}{10} \Big[2E_{\Gamma_{6}} + 4 \Big(E_{\Gamma_{8}}^{(1)} + E_{\Gamma_{8}}^{(2)} \Big) \Big],$$

$$B_{4} = \frac{3}{1430} \Big[49 \Big(2E_{\Gamma_{6}} - E_{\Gamma_{8}}^{(1)} - E_{\Gamma_{8}}^{(2)} \Big) + (133\cos 2\alpha_{0} - 4\sqrt{21}\sin 2\alpha_{0}) \Big(E_{\Gamma_{8}}^{(1)} - E_{\Gamma_{8}}^{(2)} \Big) \Big],$$

$$B_{6} = \frac{1}{220} \Big[-4 \Big(2E_{\Gamma_{6}} - E_{\Gamma_{8}}^{(1)} - E_{\Gamma_{8}}^{(2)} \Big) + (8\cos 2\alpha_{0} + \sqrt{21}\sin 2\alpha_{0}) \Big(E_{\Gamma_{8}}^{(1)} - E_{\Gamma_{8}}^{(2)} \Big) \Big],$$

$$B_{8} = \frac{1}{1040} \Big[3 \Big(2E_{\Gamma_{6}} - E_{\Gamma_{8}}^{(1)} - E_{\Gamma_{8}}^{(2)} \Big) + (-3\cos 2\alpha_{0} + 4\sqrt{21}\sin 2\alpha_{0}) \Big(E_{\Gamma_{8}}^{(1)} - E_{\Gamma_{8}}^{(2)} \Big) \Big].$$

$$(11)$$

Contrary to the conventional crystal-field Hamiltonian containing only fourth- and sixth-rank terms [30], the present one contains up to eighth-rank terms (in general up to rank $k \leq 2\tilde{J}$). The conventional form is recovered by imposing the constraint that all local crystal-field levels arise from the atomic f shell.

The proposed algorithm for the unique definition of \hat{J} -pseudospin states in a cubic environment is summarized as follows:

- (1) Express \tilde{J} -pseudospin states $|\tilde{J}M\rangle$ using Eq. (7) or the corresponding formulas in Appendix A.
- (2) Maximize the first-rank parameter j_{10} of \hat{J}_z (9) with respect to the free parameters.

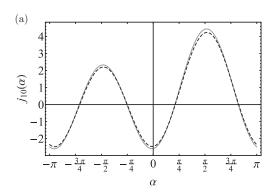
These two procedures satisfy the principles 1 and 2 (Sec. II), respectively. With the obtained \tilde{J} -pseudospin states with the fixed angles, any operators acting on the same Hilbert space \mathcal{H} can be decomposed into the irreducible tensor operators \mathcal{Y}_{kq} 's (see Appendix B). In the next section, this algorithm is applied to two systems.

C. Ab initio derivation of $\tilde{J} = 9/2$ pseudospin states

Combining the developed theory and *ab initio* calculations, the $\tilde{J}=9/2$ pseudospin states of Nd^{3+} ion in the octahedral site of $Cs_2NaNdCl_6$ [31] and Np^{4+} impurity ion in the octahedral Zr site of Cs_2ZrCl_6 [32,33] are derived. It is also shown that the present approach fulfills requirement 2.

1. Ab initio method

In order to obtain the electronic structure, embedded cluster calculations were performed with a post–Hartree-Fock method. For the Cs₂NaNdCl₆ cluster, one Nd³⁺ ion and the nearest eight Cl⁻ ions are treated *ab initio*, and the distant atoms are replaced by point charges. The electronic structure was calculated using a complete active space self-consistent field (CASSCF), extended multistate complete active space second-order perturbation theory (XMS-CASPT2) [34,35], and spin-orbit restricted active space state interaction (SO-RASSI) methods



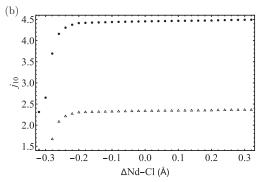


FIG. 1. (a) $j_{10}(\alpha)$ for Nd (solid line) and Np (dashed line) clusters. (b) j_{10} of the Nd cluster with respect to the totally symmetric deformation from the equilibrium Nd-Cl bond length, Δ Nd-Cl (Å). The filled circles and open triangles indicate j_{10} with α at the global maximum ($\alpha \approx \pi/2$) and the local maximum ($\alpha \approx -\pi/2$), respectively.

with atomic-natural-orbital relativistic-correlation consistent-minimal basis (ANO-RCC-MB). In the CASSCF calculations, 14 orbitals were included in the active space: 4*f* of the Nd³⁺ ion alongside with an additional set of seven *f* functions (of the 5*f* kind of the metal site). The dynamical electron correlation for these orbitals was taken into account within the XMS-CASPT2 approach. The spin-orbit coupling was taken into account with SO-RASSI method, and the scalar relativistic effects were included in the basis set. The crystal-field states of Nd³⁺ were calculated using two approaches: (a) CASSCF/SO-RASSI and (b) CASSCF/XMS-CASPT2/SO-RASSI. All calculations were performed using the MOLCAS 8 suite of programs [36]. The crystal-field states of the Cs₂ZrCl₆:Np⁴⁺ cluster within the same computational level were taken from the previous work [21].

2. J pseudospins of Cs2NaNdCl6 and Cs2ZrCl6:Np4+

The calculated crystal-field levels of $Cs_2NaNdCl_6$ and $Cs_2ZrCl_6:Np^{4+}$ clusters are given in Table II. In both cases, the irreducible representations of the crystal-field levels are Γ_8 , Γ_6 , Γ_8 in the order of increasing energy. The obtained levels of $Cs_2NaNdCl_6$ are in good agreement with experimental data [31], and the dynamical electron correlation makes the agreement better. The *ab initio* Γ multiplets were assigned by comparing the *ab initio* magnetic moment $\hat{\mu}$ matrices and the structure of symmetry adapted model of $\hat{\mu}$, which also enabled us to fix the relative phase factors.

TABLE II. Crystal-field levels of $Cs_2NaNdCl_6$ and $Cs_2ZrCl_6:Np^{4+}$ (cm⁻¹)^a. (a) and (b) indicate the *ab initio* methodology (Sec. III C 1) and "Exp." the experimental data [31]. The ground Γ_8 energy is set to zero.

		Energy				
		Cs ₂ NaNdCl ₆				
Γ	(a)	(b)	Exp. [31]	(a)		
Γ_6	90.225	95.318	97	506.834		
Γ_8	267.562	315.578	335	1352.775		

 $[^]a\Gamma_8$ levels within the method (b) are slightly split: 0.2 cm $^{-1}$ and 0.4 cm $^{-1}$ for the ground and excited Γ_8 levels, respectively. In this work, the averaged values of the slightly split Γ_8 levels were used.

Following the method in Sec. III B, $\tilde{J} = 9/2$ pseudospin states were defined. Figure 1(a) shows the plot of $j_{10}(\alpha)$ as a function of α , and the obtained α_0 , $j_{kq}(\alpha_0)$, and B_k are listed in Table III. The $\Gamma_8^{(1)}$ and $\Gamma_8^{(2)}$ states in Eq. (6) correspond to the excited and the ground Γ_8 multiplets, respectively. In order to check principle 2, we consider j_{10} of the Nd cluster with respect to the strength of the crystal field which is controlled by the totally symmetric displacements of ligand atoms. Figure 1(b) shows j_{10} using two different α : one at the maximum point ($\alpha = \alpha_0 \approx \pi/2$) and the other at the second highest point ($\alpha \approx -\pi/2$) in Fig. 1(a). The first one (filled circle) continues to approach the atomic limit: $j_{10} = 4.494$ at the largest Nd-Cl. On the contrary, the second one (open triangle) remains a much smaller value than the atomic one. This demonstrates that the pseudospin states defined by the proposed algorithm indeed fulfill the two principles outlined in Sec. II.

The coefficients j_{kq} in Table III show that the first-rank term in \hat{J}_z is dominant, whereas the higher-order terms are not negligible. The discrepancy would be mainly explained by the covalency effect [20]. The effect of covalency is seen by comparing Nd³⁺ and Np⁴⁺ ions: due to the stronger

TABLE III. α_0 (rad), the total angular momentum j_{kq} and crystal-field parameters B_k (cm⁻¹) of Cs₂NaNdCl₆ and Cs₂ZrCl₆:Np⁴⁺. (a) and (b) indicate the *ab initio* methodology (Sec. III C 1).

			Cs ₂ Na	$Cs_2ZrCl_6:Np^{4+}$	
k	q		(a)	(b)	(a)
α_0			1.620	1.614	1.631
j_{kq}	1	0	4.455	4.452	4.242
- 4	3	0	6.88×10^{-3}	9.28×10^{-3}	2.68×10^{-2}
	5	0	-3.15×10^{-3}	-4.34×10^{-3}	-1.10×10^{-2}
		± 4	1.70×10^{-4}	2.41×10^{-3}	-4.54×10^{-4}
	7	0	6.03×10^{-4}	6.80×10^{-4}	1.25×10^{-3}
		± 4	-3.23×10^{-4}	2.15×10^{-4}	-6.15×10^{-4}
	9	0	-3.76×10^{-5}	-4.71×10^{-5}	-1.12×10^{-5}
		± 4	4.56×10^{-6}	1.09×10^{-5}	1.44×10^{-5}
		± 8	3.55×10^{-6}	8.31×10^{-6}	1.12×10^{-5}
B_k	4		-82.24	-99.53	-370.65
	6		-8.65	-9.74	-39.73
	8		0.05	0.07	-0.22

delocalization of the 5f orbital in comparison with the 4f orbital, the bonding to the ligand becomes more important in the former, which results in a stronger reduction of j_{10} in Np⁴⁺ than in Nd³⁺. The discrepancy between the traditional crystal-field approach [30] and the *ab initio* wave-function-based treatment described here also arises in the form of the crystal-field Hamiltonian, which involves eighth-rank terms in the latter case. We stress that the \tilde{J} -pseudospin Hamiltonian is more exact because, being derived directly from the *ab initio* electronic states, it reproduces by definition not only their energies but also all their electronic properties.

IV. DISCUSSION

The present \tilde{J} -pseudospin states fulfill both requirements presented in Sec. II. The same methodology will apply to other cases. For $\tilde{J} < 9/2$, the pseudospin states are uniquely defined by using the first principle as shown in Appendix A, whereas there are a few arbitrary parameters in the case of $\tilde{J} \geqslant 9/2$. The mixing parameters have to be introduced because some Γ representations of the cubic group appear more than once under the descent of symmetry, $\tilde{J} \downarrow O_h$ (see Table I and Appendix A).

One also should note that the present definition is one of many equivalent definitions. In the case of octahedral systems, the eigenstates of $\hat{\mu}_z$ cannot be used as the pseudospin states which satisfy the symmetry requirements. This is explained by the fact that the applied magnetic field (Zeeman interaction) lowers the symmetry to and the eigenstates fulfill at most tetragonal symmetry. A similar situation arises in all systems of cubic or icosahedral symmetry. In such cases, the idea of the approach proposed here should be applied. On the other hand, if the system has a low symmetry which in practice cannot be adiabatically changed into the cubic or higher one and the Zeeman interaction does not lower the symmetry, the conventional definition using the eigenstates of $\hat{\mu}_Z$ [20] will be reasonable.

In Sec. III C, to check the adiabatic connection between the obtained \tilde{J} -pseudospin states in cubic symmetry and atomic J multiplets, *ab initio* calculations were performed at many cubic structures. However, this procedure could be signifi-

cantly simplified by applying the indicator function approach proposed in Ref. [15]. With this method, the information of the atomic limit will be extracted from the wave function of the embedded system.

V. CONCLUSIONS

In this work, the theory of \tilde{J} pseudospin for cubic systems is developed. Using the symmetry, we derived the analytical expressions for all important \tilde{J} -pseudospin states. Despite the high spatial and time-reversal symmetries, the large- \tilde{J} pseudospin states cannot be completely determined due to the presence of several arbitrary parameters. These free parameters are fixed by using the requirement of adiabatic connection. In the case of \tilde{J} pseudospin for the crystal-field model of felements, the free parameter is determined by maximizing the first-rank term of total angular momentum because this definition allows the \tilde{J} pseudospin to converge to pure total angular momentum in the atomic limit. Although the original idea to fulfill the second requirement of the adiabatic connection is by performing many consecutive ab initio calculations, varying the strength of interaction, the present algorithm enables us to determine the \tilde{J} pseudospin based only on one calculation. With the derived \tilde{J} -pseudospin states, the total angular momentum and the crystal-field Hamiltonian contain terms of higher rank than fourth and sixth, which do not exist in the conventional model based on f shells. The discrepancy can arise due to effects which are not contained within the atomic shell model. Combining the developed approach and ab initio calculations, the crystal-field Hamiltonian of the Nd³⁺ and Np⁴⁺ ions in a cubic environment were successfully derived. Finally, we emphasize that the current methodology is not specific to the method for the calculations of wave functions and is applicable to any multiplet states. Thus, with the increase of the accuracy of the ab initio calculations, an accurate definition of pseudospins can be achieved.

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APPENDIX A: \tilde{J} -PSEUDOSPIN STATES

The relation between the \tilde{J} -pseudospin states and Γ crystal-field states,

$$(|\Gamma\gamma\rangle, |\Gamma'\gamma'\rangle, ...) = (|M\rangle, |M'\rangle, ...)U, \tag{A1}$$

is derived up to J=8, where $(|\Gamma\gamma\rangle,...)$ and $(|M\rangle,...)$ are indices of crystal-field states and \tilde{J} -pseudospin states, respectively, U is an orthogonal matrix, and $|M\rangle$ stands for $|\tilde{J}M\rangle$. The basis of the irreducible representations of cubic symmetry are taken from Ref. [27], and $|\tilde{J}M\rangle$ transform as spherical harmonics [28]. The procedure of the derivation is similar to that of $\tilde{J}=9/2$ pseudospin states (Sec. III). The transformation coefficients U between the nonrepeating Γ states (Table I) and the \tilde{J} -pseudospin states are unambiguously determined by symmetry. The other Γ states are determined up to their linear combinations, which are described by using the rotational matrices [28],

$$R^{(2)}(\alpha) = \begin{pmatrix} \cos \alpha & -\sin \alpha \\ \sin \alpha & \cos \alpha \end{pmatrix},\tag{A2}$$

and

$$R^{(3)}(\Omega) = \begin{pmatrix} \cos \alpha \cos \beta \cos \gamma - \sin \alpha \sin \gamma & -\cos \alpha \cos \beta \sin \gamma - \sin \alpha \cos \gamma & \cos \alpha \sin \beta \\ \sin \alpha \cos \beta \cos \gamma + \cos \alpha \sin \gamma & -\sin \alpha \cos \beta \sin \gamma + \cos \alpha \cos \gamma & \sin \alpha \sin \beta \\ -\sin \beta \cos \gamma & \sin \beta \sin \gamma & \cos \beta \end{pmatrix}, \tag{A3}$$

where α , β , γ are angles, and $\Omega = (\alpha, \beta, \gamma)$. For the description of the \tilde{J} -pseudospin states of non-Kramers systems, symmetric and antisymmetric states are sometimes used: for positive M = m ($m \leq \tilde{J}$),

$$|m_{\pm}\rangle = \frac{1}{\sqrt{2}}(|-m\rangle \pm |+m\rangle).$$
 (A4)

1. Non-Kramers ion

a.
$$\tilde{J}=2$$

$$|\Gamma_3\theta\rangle = |0\rangle, \quad |\Gamma_3\epsilon\rangle = |2_+\rangle, \quad |\Gamma_5,0\rangle = |2_-\rangle, \quad |\Gamma_5,\mp 1\rangle = \pm |\pm 1\rangle.$$
 (A5)

The crystal-field parameter B_4 is given by

$$B_4 = \frac{E_{\Gamma_3} - E_{\Gamma_5}}{10}.$$

$$b. \ \tilde{I} = 3$$
(A6)

$$(|\Gamma_{2}\rangle, |\Gamma_{4}, 0\rangle, |\Gamma_{4}, -1\rangle, |\Gamma_{4}, +1\rangle, |\Gamma_{5}, 0\rangle, |\Gamma_{5}, -1\rangle, |\Gamma_{5}, +1\rangle) = (|2_{-}\rangle, |0\rangle, |2_{+}\rangle, |-1\rangle, |+3\rangle, |+1\rangle, |-3\rangle)$$

$$\times \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & M_{1} & 0 & 0 & 0 & M_{2} \\ 0 & 0 & 0 & M_{2} & 0 \end{pmatrix}, \quad (A7)$$

where

$$M_1 = \begin{pmatrix} -\frac{1}{2}\sqrt{\frac{3}{2}} \\ -\frac{1}{2}\sqrt{\frac{5}{2}} \end{pmatrix}, \quad M_2 = \begin{pmatrix} \frac{1}{2}\sqrt{\frac{5}{2}} \\ -\frac{1}{2}\sqrt{\frac{3}{2}} \end{pmatrix}. \tag{A8}$$

The crystal-field parameters are

$$B_4 = \frac{-6E_{\Gamma_2} + 9E_{\Gamma_4} - 3E_{\Gamma_5}}{44}, \quad B_6 = \frac{-4E_{\Gamma_2} - 5E_{\Gamma_4} + 9E_{\Gamma_5}}{616}.$$

$$c. \ \tilde{J} = 4$$
(A9)

$$(|\Gamma_1\rangle, |\Gamma_3\theta\rangle, |\Gamma_3\epsilon\rangle, |\Gamma_4, 0\rangle, |\Gamma_4, -1\rangle, |\Gamma_4, +1\rangle, |\Gamma_5, 0\rangle, |\Gamma_5, -1\rangle, |\Gamma_5, +1\rangle)$$

$$M_1 = \frac{1}{2\sqrt{2}} {\sqrt{7} \choose 1}, \quad M_2 = \frac{1}{2\sqrt{2}} {-1 \choose \sqrt{7}}.$$
 (A11)

The crystal-field parameters are given by

$$B_{4} = \frac{7(14E_{\Gamma_{1}} + 4E_{\Gamma_{3}} + 21E_{\Gamma_{4}} - 39E_{\Gamma_{5}})}{858}, \quad B_{6} = \frac{-20E_{\Gamma_{1}} + 32E_{\Gamma_{3}} + 3(E_{\Gamma_{4}} - 5E_{\Gamma_{5}})}{990}, \quad B_{8} = \frac{5E_{\Gamma_{1}} + 7E_{\Gamma_{3}} - 12E_{\Gamma_{4}}}{1560}.$$
(A12)

$$d$$
. $\tilde{I} = 5$

$$\begin{split} \left(|\Gamma_{3}\theta\rangle, |\Gamma_{3}\epsilon\rangle, \left|\Gamma_{4}^{(1)}, 0\rangle, \left|\Gamma_{4}^{(2)}, 0\rangle, \left|\Gamma_{4}^{(1)}, -1\rangle, \left|\Gamma_{4}^{(2)}, -1\rangle, \left|\Gamma_{4}^{(1)}, +1\rangle, \left|\Gamma_{4}^{(2)}, +1\rangle, \left|\Gamma_{5}, 0\rangle, \left|\Gamma_{5}, -1\rangle, \left|\Gamma_{5}, +1\rangle\right)\right. \\ &= (|4_{-}\rangle, |2_{-}\rangle, |4_{+}\rangle, |0\rangle, |2_{+}\rangle, |-5\rangle, |-1\rangle, |+3\rangle, |+5\rangle, |+1\rangle, |-3\rangle) \\ &\times \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & R^{(2)}(\alpha) & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & M_{1}R^{(2)}(\alpha) & 0 & 0 & M_{2} \\ 0 & 0 & 0 & 0 & M_{1}R^{(2)}(\alpha) & 0 & M_{2} & 0 \end{pmatrix}, \end{split}$$

$$(A13)$$

where M_1 and M_2 are defined by

$$M_1 = \frac{1}{8\sqrt{2}} \begin{pmatrix} \sqrt{5} & 3\sqrt{7} \\ \sqrt{42} & \sqrt{30} \\ -9 & \sqrt{35} \end{pmatrix}, \quad M_2 = \frac{1}{4\sqrt{2}} \begin{pmatrix} \sqrt{15} \\ -\sqrt{14} \\ -\sqrt{3} \end{pmatrix}. \tag{A14}$$

$$e$$
. $\tilde{J} = 6$

where

$$M_1 = \frac{1}{4\sqrt{2}} \begin{pmatrix} -\sqrt{11} \\ \sqrt{6} \\ -\sqrt{15} \end{pmatrix}, \quad M_2 = \frac{1}{16} \begin{pmatrix} \sqrt{3} & \sqrt{165} \\ 3\sqrt{22} & \sqrt{10} \\ \sqrt{55} & -9 \end{pmatrix}. \tag{A16}$$

f.
$$\tilde{J} = 7$$

$$M_{1} = \frac{1}{32} \begin{pmatrix} 25 & -\sqrt{231} \\ -3\sqrt{33} & -5\sqrt{7} \\ \sqrt{11} & -3\sqrt{21} \\ -\sqrt{91} & -\sqrt{429} \end{pmatrix}, \quad M_{2} = \frac{1}{32\sqrt{2}} \begin{pmatrix} 5\sqrt{13} & \sqrt{11} \\ \sqrt{429} & 15\sqrt{3} \\ -3\sqrt{143} & 19 \\ -\sqrt{7} & -\sqrt{1001} \end{pmatrix}.$$
(A18)

g.
$$\tilde{J} = 8$$

where

$$M_{1} = \frac{1}{8\sqrt{3}} \begin{pmatrix} \sqrt{65} \\ 2\sqrt{7} \\ 3\sqrt{11} \end{pmatrix}, \quad M_{2} = \frac{1}{8\sqrt{93}} \begin{pmatrix} \sqrt{2145} & -16\sqrt{7} \\ 2\sqrt{231} & 8\sqrt{65} \\ -31\sqrt{3} & 0 \end{pmatrix}, \quad M_{3} = \frac{1}{96} \begin{pmatrix} 3\sqrt{10} & 6\sqrt{182} & -3\sqrt{286} \\ \sqrt{6006} & -2\sqrt{330} & -3\sqrt{210} \end{pmatrix},$$

$$M_{4} = \frac{1}{32} \begin{pmatrix} \sqrt{35} & 3\sqrt{13} \\ \sqrt{715} & \sqrt{77} \\ \sqrt{273} & -5\sqrt{15} \\ 1 & \sqrt{455} \end{pmatrix}, \quad M_{5} = \frac{1}{32\sqrt{2}} \begin{pmatrix} 7\sqrt{21} & -\sqrt{715} \\ -\sqrt{429} & -\sqrt{35} \\ \sqrt{455} & 3\sqrt{33} \\ 3\sqrt{15} & \sqrt{1001} \end{pmatrix}.$$
(A20)

2. Kramers ion

a.
$$\tilde{J} = 5/2$$

$$\left(\left|\Gamma_{7}, -\frac{1}{2}\right\rangle, \left|\Gamma_{7}, +\frac{1}{2}\right\rangle, \left|\Gamma_{8}, -\frac{3}{2}\right\rangle, \left|\Gamma_{8}, +\frac{3}{2}\right\rangle, \left|\Gamma_{8}, -\frac{1}{2}\right\rangle, \left|\Gamma_{8}, +\frac{1}{2}\right\rangle\right) = \left(\left|-\frac{5}{2}\right\rangle, \left|+\frac{3}{2}\right\rangle, \left|+\frac{5}{2}\right\rangle, \left|-\frac{3}{2}\right\rangle, \left|-\frac{1}{2}\right\rangle, \left|+\frac{1}{2}\right\rangle\right) \\
\times \begin{pmatrix} M_{1} & 0 & 0 & M_{2} & 0 & 0 \\ 0 & M_{1} & M_{2} & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix}, \tag{A21}$$

where

$$M_1 = \begin{pmatrix} \frac{1}{\sqrt{6}} \\ -\sqrt{\frac{5}{6}} \end{pmatrix}, \quad M_2 = \begin{pmatrix} \sqrt{\frac{5}{6}} \\ \frac{1}{\sqrt{6}} \end{pmatrix}. \tag{A22}$$

The crystal-field parameter is given by

$$B_4 = -\frac{E_{\Gamma_7} - E_{\Gamma_8}}{6}. (A23)$$

b.
$$\tilde{J} = 7/2$$

$$\left(\left|\Gamma_{6}, -\frac{1}{2}\right\rangle, \left|\Gamma_{6}, +\frac{1}{2}\right\rangle, \left|\Gamma_{7}, -\frac{1}{2}\right\rangle, \left|\Gamma_{7}, +\frac{1}{2}\right\rangle, \left|\Gamma_{8}, -\frac{1}{2}\right\rangle, \left|\Gamma_{8}, +\frac{1}{2}\right\rangle, \left|\Gamma_{8}, -\frac{3}{2}\right\rangle, \left|\Gamma_{8}, +\frac{3}{2}\right\rangle\right) \\
= \left(\left|+\frac{7}{2}\right\rangle, \left|-\frac{1}{2}\right\rangle, \left|-\frac{7}{2}\right\rangle, \left|+\frac{1}{2}\right\rangle, \left|-\frac{5}{2}\right\rangle, \left|+\frac{3}{2}\right\rangle, \left|+\frac{5}{2}\right\rangle, \left|-\frac{3}{2}\right\rangle\right) \\
\times \begin{pmatrix} M_{1} & 0 & 0 & 0 & M_{3} & 0 & 0 & 0 \\ 0 & -M_{1} & 0 & 0 & 0 & M_{3} & 0 & 0 \\ 0 & 0 & M_{2} & 0 & 0 & 0 & M_{4} \\ 0 & 0 & 0 & -M_{2} & 0 & 0 & M_{4} & 0 \end{pmatrix}, \tag{A24}$$

where

$$M_{1} = \begin{pmatrix} \frac{1}{2}\sqrt{\frac{5}{3}} \\ \frac{1}{2}\sqrt{\frac{7}{3}} \end{pmatrix}, \quad M_{2} = \begin{pmatrix} -\frac{\sqrt{3}}{2} \\ \frac{1}{2} \end{pmatrix}, \quad M_{3} = \begin{pmatrix} -\frac{1}{2}\sqrt{\frac{7}{3}} \\ \frac{1}{2}\sqrt{\frac{5}{3}} \end{pmatrix}, \quad M_{4} = \begin{pmatrix} -\frac{1}{2} \\ -\frac{\sqrt{3}}{2} \end{pmatrix}.$$
 (A25)

The crystal-field parameters are calculated as

$$B_4 = \frac{49E_{\Gamma_6} - 63E_{\Gamma_7} + 14E_{\Gamma_8}}{264}, \quad B_6 = \frac{-5E_{\Gamma_6} - 3E_{\Gamma_7} + 8E_{\Gamma_8}}{264}.$$
 (A26)

c.
$$J = 9/2$$

$$\left(\left|\Gamma_{6}, -\frac{1}{2}\right\rangle, \left|\Gamma_{6}, +\frac{1}{2}\right\rangle, \left|\Gamma_{8}^{(1)}, -\frac{1}{2}\right\rangle, \left|\Gamma_{8}^{(2)}, -\frac{1}{2}\right\rangle, \left|\Gamma_{8}^{(1)}, +\frac{1}{2}\right\rangle, \left|\Gamma_{8}^{(2)}, +\frac{1}{2}\right\rangle, \left|\Gamma_{8}^{(1)}, -\frac{3}{2}\right\rangle, \left|\Gamma_{8}^{(2)}, -\frac{3}{2}\right\rangle, \left|\Gamma_{8}^{(1)}, +\frac{3}{2}\right\rangle, \left|\Gamma_{8}^{(2)}, +\frac{3}{2}\right\rangle\right) \\
= \left(\left|+\frac{7}{2}\right\rangle, \left|-\frac{1}{2}\right\rangle, \left|-\frac{9}{2}\right\rangle, \left|-\frac{7}{2}\right\rangle, \left|+\frac{1}{2}\right\rangle, \left|+\frac{9}{2}\right\rangle, \left|-\frac{5}{2}\right\rangle, \left|+\frac{3}{2}\right\rangle, \left|+\frac{5}{2}\right\rangle, \left|-\frac{3}{2}\right\rangle\right) \\
\times \begin{pmatrix} M_{1} & 0 & M_{2}R^{(2)}(\alpha) & 0 & 0 & 0 \\ 0 & M_{1} & 0 & -M_{2}R^{(2)}(\alpha) & 0 & 0 \\ 0 & 0 & 0 & 0 & M_{3}M_{2}R^{(2)}(\alpha) \\ 0 & 0 & 0 & 0 & -M_{3}M_{2}R^{(2)}(\alpha) & 0 \end{pmatrix}, \tag{A27}$$

$$M_{1} = \frac{1}{2\sqrt{6}} \begin{pmatrix} 1\\\sqrt{14}\\3 \end{pmatrix}, \quad M_{2} = \frac{1}{2\sqrt{30}} \begin{pmatrix} \sqrt{3} & -4\sqrt{7}\\\sqrt{42} & 2\sqrt{2}\\-5\sqrt{3} & 0 \end{pmatrix}, \quad M_{3} = \frac{1}{4\sqrt{6}} \begin{pmatrix} -5\sqrt{2} & 2\sqrt{7} & -3\sqrt{2}\\\sqrt{42} & 2\sqrt{3} & -\sqrt{42} \end{pmatrix}. \tag{A28}$$

$$\left(\left|\Gamma_{6}, -\frac{1}{2}\right\rangle, \left|\Gamma_{6}, +\frac{1}{2}\right\rangle, \left|\Gamma_{7}, -\frac{1}{2}\right\rangle, \left|\Gamma_{7}, +\frac{1}{2}\right\rangle, \left|\Gamma_{8}^{(1)}, -\frac{1}{2}\right\rangle, \left|\Gamma_{8}^{(2)}, -\frac{1}{2}\right\rangle, \left|\Gamma_{8}^{(2)}, +\frac{1}{2}\right\rangle, \left|\Gamma_{8}^{(2)}, +\frac{1}{2}\right\rangle, \left|\Gamma_{8}^{(1)}, -\frac{3}{2}\right\rangle, \\
\left|\Gamma_{8}^{(2)}, -\frac{3}{2}\right\rangle, \left|\Gamma_{8}^{(1)}, +\frac{3}{2}\right\rangle, \left|\Gamma_{8}^{(2)}, +\frac{3}{2}\right\rangle\right) \\
= \left(\left|+\frac{7}{2}\right\rangle, \left|-\frac{1}{2}\right\rangle, \left|-\frac{9}{2}\right\rangle, \left|-\frac{7}{2}\right\rangle, \left|+\frac{1}{2}\right\rangle, \left|+\frac{9}{2}\right\rangle, \left|-\frac{5}{2}\right\rangle, \left|+\frac{3}{2}\right\rangle, \left|+\frac{11}{2}\right\rangle, \left|+\frac{5}{2}\right\rangle, \left|-\frac{3}{2}\right\rangle, \left|-\frac{11}{2}\right\rangle\right) \\
\times \begin{pmatrix} M_{1} & 0 & 0 & 0 & M_{3}R^{(2)}(\beta) & 0 & 0 & 0 \\ 0 & -M_{1} & 0 & 0 & 0 & M_{3}R^{(2)}(\beta) & 0 & 0 \\ 0 & 0 & M_{2} & 0 & 0 & 0 & M_{4}M_{3}R^{(2)}(\beta) \\ 0 & 0 & 0 & -M_{2} & 0 & 0 & M_{4}M_{3}R^{(2)}(\beta) & 0 \end{pmatrix}, \tag{A29}$$

where

$$M_{1} = \frac{1}{4\sqrt{3}} \begin{pmatrix} \sqrt{35} \\ -\sqrt{6} \\ \sqrt{7} \end{pmatrix}, \quad M_{2} = \frac{1}{4\sqrt{3}} \begin{pmatrix} \sqrt{11} \\ \sqrt{22} \\ -\sqrt{15} \end{pmatrix}, \quad M_{3} = \frac{1}{4\sqrt{123}} \begin{pmatrix} 7\sqrt{5} & 12\sqrt{2} \\ -\sqrt{42} & 4\sqrt{105} \\ -41 & 0 \end{pmatrix},$$

$$M_{4} = \frac{1}{16\sqrt{3}} \begin{pmatrix} -7\sqrt{3} & -\sqrt{70} & 5\sqrt{15} \\ \sqrt{6} & -2\sqrt{35} & -3\sqrt{30} \\ -\sqrt{55} & -\sqrt{462} & -\sqrt{11} \end{pmatrix}.$$
(A30)

e.
$$J = 13/2$$

$$\left(\left|\Gamma_{6}, -\frac{1}{2}\right\rangle, \left|\Gamma_{6}, +\frac{1}{2}\right\rangle, \left|\Gamma_{7}^{(1)}, -\frac{1}{2}\right\rangle, \left|\Gamma_{7}^{(2)}, -\frac{1}{2}\right\rangle, \left|\Gamma_{7}^{(1)}, +\frac{1}{2}\right\rangle, \left|\Gamma_{7}^{(2)}, +\frac{1}{2}\right\rangle, \left|\Gamma_{8}^{(1)}, -\frac{1}{2}\right\rangle, \left|\Gamma_{8}^{(2)}, -\frac{1}{2}\right\rangle, \\
\left|\Gamma_{8}^{(1)}, +\frac{1}{2}\right\rangle, \left|\Gamma_{8}^{(2)}, +\frac{1}{2}\right\rangle, \left|\Gamma_{8}^{(1)}, -\frac{3}{2}\right\rangle, \left|\Gamma_{8}^{(2)}, -\frac{3}{2}\right\rangle, \left|\Gamma_{8}^{(1)}, +\frac{3}{2}\right\rangle, \left|\Gamma_{8}^{(2)}, +\frac{3}{2}\right\rangle\right) \\
= \left(\left|+\frac{7}{2}\right\rangle, \left|-\frac{1}{2}\right\rangle, \left|-\frac{9}{2}\right\rangle, \left|-\frac{7}{2}\right\rangle, \left|+\frac{1}{2}\right\rangle, \left|+\frac{9}{2}\right\rangle, \left|-\frac{13}{2}\right\rangle, \left|-\frac{5}{2}\right\rangle, \left|+\frac{3}{2}\right\rangle, \left|+\frac{11}{2}\right\rangle, \left|+\frac{13}{2}\right\rangle, \left|+\frac{5}{2}\right\rangle, \left|-\frac{3}{2}\right\rangle, \left|-\frac{11}{2}\right\rangle\right) \\
\times \begin{pmatrix} M_{1} & 0 & 0 & 0 & M_{3}R^{(2)}(\beta) & 0 & 0 & 0 \\ 0 & M_{1} & 0 & 0 & 0 & -M_{3}R^{(2)}(\beta) & 0 & 0 \\ 0 & 0 & M_{2}R^{(2)}(\alpha) & 0 & 0 & 0 & -M_{4}M_{3}R^{(2)}(\beta) \\ 0 & 0 & 0 & M_{2}R^{(2)}(\alpha) & 0 & 0 & M_{4}M_{3}R^{(2)}(\beta) & 0 \end{pmatrix}, (A31)$$

where

$$M_{1} = \frac{1}{4} \begin{pmatrix} \sqrt{3} \\ -\sqrt{2} \\ \sqrt{11} \end{pmatrix}, \quad M_{2} = \frac{1}{4\sqrt{114}} \begin{pmatrix} 4\sqrt{10} & -\sqrt{429} \\ -2\sqrt{286} & \sqrt{15} \\ 0 & 19\sqrt{3} \\ 2\sqrt{130} & 3\sqrt{33} \end{pmatrix}, \quad M_{3} = \frac{1}{4\sqrt{5}} \begin{pmatrix} \sqrt{33} & 4\sqrt{2} \\ -\sqrt{22} & 4\sqrt{3} \\ -5 & 0 \end{pmatrix},$$

$$M_{4} = \frac{1}{16\sqrt{6}} \begin{pmatrix} -\sqrt{143} & -\sqrt{858} & -\sqrt{39} \\ 5\sqrt{5} & -3\sqrt{30} & -\sqrt{165} \\ -21 & -5\sqrt{6} & \sqrt{33} \\ 7\sqrt{11} & -\sqrt{66} & -9\sqrt{3} \end{pmatrix}.$$
(A32)

$$f. \ \tilde{J} = 15/2$$

$$\left(\left|\Gamma_{6}, -\frac{1}{2}\right\rangle, \left|\Gamma_{6}, +\frac{1}{2}\right\rangle, \left|\Gamma_{7}, -\frac{1}{2}\right\rangle, \left|\Gamma_{7}, +\frac{1}{2}\right\rangle, \left|\Gamma_{8}^{(1)}, -\frac{1}{2}\right\rangle, \left|\Gamma_{8}^{(2)}, -\frac{1}{2}\right\rangle, \left|\Gamma_{8}^{(3)}, -\frac{1}{2}\right\rangle, \left|\Gamma_{8}^{(1)}, +\frac{1}{2}\right\rangle, \\
\left|\Gamma_{8}^{(2)}, +\frac{1}{2}\right\rangle, \left|\Gamma_{8}^{(3)}, +\frac{1}{2}\right\rangle, \left|\Gamma_{8}^{(1)}, -\frac{3}{2}\right\rangle, \left|\Gamma_{8}^{(2)}, -\frac{3}{2}\right\rangle, \left|\Gamma_{8}^{(3)}, -\frac{3}{2}\right\rangle, \left|\Gamma_{8}^{(1)}, +\frac{3}{2}\right\rangle, \left|\Gamma_{8}^{(2)}, +\frac{3}{2}\right\rangle, \left|\Gamma_{8}^{(3)}, +\frac{3}{2}\right\rangle\right) \\
= \left(\left|+\frac{15}{2}\right\rangle, \left|+\frac{7}{2}\right\rangle, \left|-\frac{1}{2}\right\rangle, \left|-\frac{9}{2}\right\rangle, \left|-\frac{15}{2}\right\rangle, \left|-\frac{7}{2}\right\rangle, \left|+\frac{1}{2}\right\rangle, \left|+\frac{9}{2}\right\rangle, \left|-\frac{13}{2}\right\rangle, \left|-\frac{5}{2}\right\rangle, \left|+\frac{3}{2}\right\rangle, \left|+\frac{11}{2}\right\rangle, \left|+\frac{13}{2}\right\rangle, \left|+\frac{5}{2}\right\rangle, \left|-\frac{3}{2}\right\rangle, \left|-\frac{11}{2}\right\rangle\right) \\
\times \begin{pmatrix} M_{1} & 0 & 0 & 0 & M_{3}R^{(3)}(\Omega) & 0 & 0 & 0 \\ 0 & -M_{1} & 0 & 0 & 0 & M_{3}R^{(3)}(\Omega) & 0 & 0 \\ 0 & 0 & M_{2} & 0 & 0 & 0 & M_{4}M_{3}R^{(3)}(\Omega) \\ 0 & 0 & 0 & -M_{2} & 0 & 0 & M_{4}M_{3}R^{(3)}(\Omega) & 0 \end{pmatrix}, \tag{A33}$$

$$M_{1} = -\frac{1}{8\sqrt{3}} \begin{pmatrix} \sqrt{65} \\ \sqrt{21} \\ \sqrt{99} \\ \sqrt{7} \end{pmatrix}, \quad M_{2} = \frac{1}{8\sqrt{3}} \begin{pmatrix} \sqrt{77} \\ \sqrt{65} \\ -\sqrt{39} \\ -\sqrt{11} \end{pmatrix}, \quad M_{3} = \frac{1}{24\sqrt{19}} \begin{pmatrix} -2\sqrt{266} & -2\sqrt{1430} & -\sqrt{455} \\ 0 & 0 & 57\sqrt{3} \\ 0 & 48\sqrt{2} & -3\sqrt{77} \\ 2\sqrt{2470} & -2\sqrt{154} & -7 \end{pmatrix},$$

$$M_{4} = \frac{1}{64\sqrt{3}} \begin{pmatrix} \sqrt{15} & 7\sqrt{91} & -\sqrt{429} & -3\sqrt{273} \\ \sqrt{3003} & -7\sqrt{55} & -3\sqrt{105} & 3\sqrt{165} \\ \sqrt{5005} & -\sqrt{33} & -15\sqrt{7} & -17\sqrt{11} \\ \sqrt{105} & 17\sqrt{13} & -\sqrt{3003} & 11\sqrt{39} \end{pmatrix}.$$

$$(A34)$$

APPENDIX B: DECOMPOSITION OF OPERATOR

An operator \hat{A} acting on the electronic states from \mathcal{H} (1) is decomposed into the irreducible tensor operators (8):

$$\hat{A} = \sum_{kq} a_{kq} \mathcal{Y}_{kq}. \tag{B1}$$

Here, \tilde{J} is omitted for simplicity from the argument of \mathcal{Y}_{kq} , and the coefficients a_{kq} are calculated as

$$a_{kq} = (-1)^q \frac{[k]}{[\tilde{J}]} [\langle (\tilde{J}k)\tilde{J}\tilde{J}|\tilde{J}\tilde{J}k0\rangle]^2 \text{Tr}[\mathcal{Y}_{k,-q}\hat{A}], \quad (B2)$$

where [x] = 2x + 1, and Tr is the trace over \mathcal{H} . The irreducible tensor operators \mathcal{Y}_{kq} are written in slightly different form compared to conventional Stevens operators [37]. The advantages of the current form are that (a) the explicit form of the Stevens operators is not necessary (only easily obtainable Clebsch-Gordan coefficients are necessary), (b) it is suitable form for the use of group theoretical techniques, and (c) the coefficients a_{kq} directly indicate the strength of the contribution because the magnitude of \mathcal{Y}_{kq} is expected to be of the order of unity.

APPENDIX C: THE FORM OF THE CRYSTAL FIELD

The totally symmetric *k*th-rank tensor of the cubic group is expressed as

$$\hat{V}_{k} = v_{k,0} \mathcal{Y}_{k0} + \sum_{q=\pm 4} v_{k,4} \mathcal{Y}_{kq} + \sum_{q=\pm 8} v_{k,8} \mathcal{Y}_{kq} + \sum_{q=\pm 12} v_{k,12} \mathcal{Y}_{kq} + \sum_{q=\pm 16} v_{k,16} \mathcal{Y}_{kq}.$$
(C1)

TABLE IV. Coefficients $v_{k,|q|}$ in Eq. (C1).

k	$v_{k,0}$	$v_{k,4}$	$v_{k,8}$	$v_{k,12}$	$v_{k,16}$
4	1	$\sqrt{\frac{5}{14}}$			
6	1	$-\sqrt{\frac{7}{2}}$			
8	1	$\frac{1}{3}\sqrt{\frac{14}{11}}$	$\frac{1}{3}\sqrt{\frac{65}{22}}$		
10	1	$-\sqrt{\frac{66}{65}}$	$-\sqrt{\frac{187}{130}}$		
12	1	0	$\sqrt{\frac{429}{646}}$	$4\sqrt{\frac{91}{7429}}$	
	0	1	$-4\sqrt{\frac{42}{323}}$	$9\sqrt{\frac{11}{7429}}$	
14	1	$-\frac{3}{2}\sqrt{\frac{143}{595}}$	$-\sqrt{\frac{741}{1190}}$	$-\frac{1}{2}\sqrt{\frac{437}{119}}$	
16	1	0	$\sqrt{\frac{442}{2185}}$	$\frac{16}{5}\sqrt{\frac{17}{437}}$	$7\sqrt{\frac{493}{13547}}$
	0	1	$-6\sqrt{\frac{6}{805}}$	$-\frac{31}{5}\sqrt{\frac{13}{483}}$	$4\sqrt{\frac{754}{74865}}$

The coefficients $v_{k,|q|}$ listed in Table IV are determined by making use of the fact that Eq. (C1) is invariant under C_4^y and C_4^z rotations. The 12th- and 16th-order operators contain two independent sets of coefficients which are shown in different lines in Table IV. The crystal-field Hamiltonian is a linear combination of Eq. (C1):

$$\hat{H}_{\rm cf} = \sum_{k} B_k \hat{V}_k. \tag{C2}$$

 B_0 is the average of the crystal-field energies. There is one B_k for each rank k = 4, 6, 8, 10, 14 and there are two B_k for each k = 12, 16.

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