

Proposal of a spin-one chain model with competing dimer and trimer interactions

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A new kind of spin-one chain Hamiltonian consisting of competing dimer and trimer projection operators is proposed. As the relative strengths and signs of the interactions are varied, the model exhibits a number of different phases including the gapped dimer phase and the gapless trimer phase with critical correlations described by a conformal field theory with central charge $c = 2$. A symmetry-protected topological phase also exists in this model, even though the microscopic interactions are not the simple adiabatic extensions of the well-known Heisenberg and Affleck-Kennedy-Lieb-Tasaki models and contain both two- and three-particle permutations. A fourth phase is characterized by macroscopically degenerate ground states. While bearing almost a one-to-one resemblance to the phase diagram of the bilinear-biquadratic spin-one chain Hamiltonian, our model is rooted in a very different physical origin, namely, the two competing tendencies of spin-one particles to form singlets through either dimer or trimer formation.

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I. INTRODUCTION

The resonating valence bond (RVB) state has been studied for decades since Anderson proposed it as the ground state of the antiferromagnetic spin-1/2 Heisenberg model on the triangular lattice [1]. After the Rokhsar-Kivelson proposal for a short-range dimer RVB on the square lattice [2] followed by the Moessner-Sondhi proposal to the effect on the triangular lattice [3], the quantum dimer model and the dimer RVB state have been studied thoroughly for various lattices. A good review of our understanding of the short-range dimer RVB can be found in Ref. [4]. As a general consensus, a dimer liquid phase with \mathbb{Z}_2 topological order forms naturally in the nonbipartite lattice, but not so in the bipartite lattice.

Recently, we proposed the trimer version of the RVB state on the square lattice [5]. It was carefully argued that a liquid phase with \mathbb{Z}_3 topological order should be realized by the trimer model despite the bipartite nature of the square lattice. Trimers assumed in Ref. [5] reflect the spin singlet made from three spin-one particles in an appropriately chosen spin Hamiltonian. A natural but challenging question is whether one can write down some microscopic spin-one Hamiltonian supporting the trimer spin liquid phase. To be clear, we refer to the spin singlet formed by three adjacent spin-one objects as the trimer.

In quantum trimer models such as proposed by the present authors [5], as well as in all quantum dimer models [4] or the recently proposed dimer-pentamer model [6], orthogonality of different dimer, trimer, or dimer-pentamer configurations is assumed from the outset. Treated as a real spin singlet, of course, the orthogonality is lost due to the singlet breaking into higher-spin configurations, and this is the main reason that writing down an exact microscopic spin Hamiltonian for resonating dimers becomes very hard [7–9]. We can instead work with a simple enough spin Hamiltonian that embodies a state quite like the quantum dimer or trimer liquid. Due to the

general challenge in writing down two-dimensional spin models and in solving them reliably, we are more likely to address the question effectively in the one-dimensional context first.

Trimerization in the spin-one chain model has had an interesting history. For instance, the issue drew significant attention in the context of the spin-one bilinear-biquadratic (BLBQ) Hamiltonian:

$$H_{\text{BLBQ}} = \sum_{i=1}^N [\cos \theta (\mathbf{S}_i \cdot \mathbf{S}_{i+1}) + \sin \theta (\mathbf{S}_i \cdot \mathbf{S}_{i+1})^2]. \quad (1.1)$$

This model encompasses the spin-one antiferromagnetic Heisenberg model at $\theta = 0$ [10], exactly solvable Affleck-Kennedy-Lieb-Tasaki (AKLT) Hamiltonian at $\tan \theta = \frac{1}{3}$ [11,12], and several integrable models [13–20] as special points. The phase diagram of this model Hamiltonian has been carved out over the past several decades (see Fig. 1) [13–26]. Undoubtedly the most important phase of the BLBQ model is the Haldane phase, realized over $-\pi/4 < \theta < \pi/4$, which is a translationally invariant state with massive excitations. More recently, the Haldane phase came to be identified as an example of the symmetry-protected topological phase, or SPT for short, with an intriguing double degeneracy in the entanglement spectrum protected by discrete symmetries [27,28].

Technically, the phase that took a considerable amount of effort in clarifying its nature exists over the $\pi/4 < \theta < \pi/2$ region of the BLBQ model. The $\theta = \pi/4$ point is the well-known Uimin-Lai-Sutherland (ULS) Hamiltonian [13,29,30], which has the enhanced $SU(3)$ symmetry despite being a spin-one model. It is solvable by the Bethe ansatz and possesses gapless spinon modes. An early pioneering numerical study by Fath and Solyom has seen signatures of period-3 oscillations in various physical observables. Possibilities of the trimerized ground state have been raised by several theorists [21,23]. An exact Hamiltonian for the threefold degenerate trimer solid ground state was proposed by Schmitt *et al.* [25], Solyom and Zittartz [31], and more recently by Rachel and Greiter [32], in a generalization of the Majumdar-Ghosh Hamiltonian of the dimer solid ground state of the spin-1/2 chain [33].

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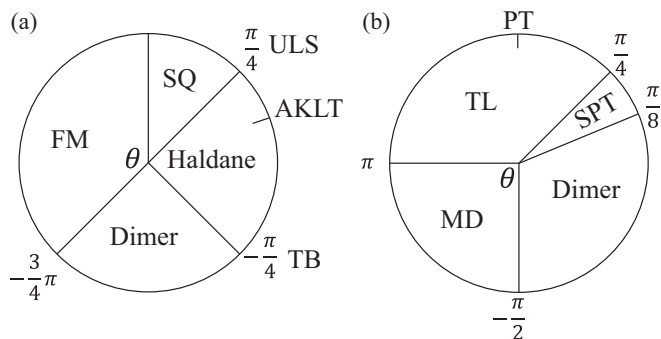


FIG. 1. Phase diagram of the (a) BLBQ model and (b) DT model as a function of the mixing angle θ . FM, ferromagnetic; MD, macroscopically degenerate; SPT, symmetry-protected topological; SQ, spin quadrupolar; TL, trimer liquid. In both phase diagrams, the dimer phase is topologically trivial and gapped, with ground states that break the translation symmetry. The Haldane (SPT) phase is topologically nontrivial and translation invariant. SQ and trimer phases are both critical and carry the central charge $c = 2$. The MD phase has exponentially large ground-state degeneracy. The pure trimer (PT) point on the right phase diagram possesses the full $SU(3)$ symmetry, as does the ULS model on the left.

As the exact model construction for the trimer leaned in favor of the gapped ground state with translational symmetry breaking, the numerics of Fath and Solyom has come down on the side of the gapless phase for the $\pi/4 < \theta < \pi/2$ region of the BLBQ model. These days, this region is best described as the spin quadrupolar (SQ) phase after numerical works such as Refs. [25,26] that tried to identify the dominant correlations in this phase.

In this paper, we propose a new class of spin-one Hamiltonians, motivated by the simple observation that there are two ways in which $S = 1$ spins can form a singlet: one is by dimerizing the two adjacent spins, and the other is by trimerizing the three adjacent spins. Singlet formation over more than three sites is neglected. We thus consider a model that consists of dimer and trimer projection operators as

$$H_{DT} = - \sum_i [\cos \theta D(i) + \sin \theta T(i)]. \quad (1.2)$$

This will be called the dimer-trimer (DT) Hamiltonian throughout the paper. The operators $D(i)$ and $T(i)$ are proportional to the dimer and trimer projection operators, respectively, to be defined precisely in the next section.

The rest of the paper concerns the analysis of the proposed DT Hamiltonian. Dimer and trimer projection operators are introduced in Sec. 2. In Sec. 3, the phase diagram of the DT model is worked out as a function of θ using the powerful density-matrix renormalization group (DMRG) method of identifying the ground state. Four phases are identified: dimer, SPT, trimer liquid, and macroscopically degenerate, respectively. The dimer phase is gapped and breaks the translational symmetry of the lattice. The trimer liquid phase is critical and shares many physical properties with the spin quadrupolar phase of the BLBQ Hamiltonian. The SPT phase exhibits the even-number degeneracy in the entanglement spectrum that remains robust against perturbations [27]. The macroscopically degenerate phase literally carries the ground-state degeneracy

that grows exponentially with the lattice size. Interesting parallels with, and differences from, the phase diagram of the BLBQ model Hamiltonian are pointed out along the way.

II. DIMER AND TRIMER PROJECTION OPERATORS

Our first task is to give proper definition to dimer and trimer operators. Using the spin-one operator \mathbf{S}_i at each lattice site, $\mathbf{S}_{ij} = \mathbf{S}_i + \mathbf{S}_j$ for a pair of adjacent sites ($j = i + 1$), and $\mathbf{S}_{ijk} = \mathbf{S}_i + \mathbf{S}_j + \mathbf{S}_k$ for a triplet of adjacent sites ($k = i + 2$), the dimer and trimer projection operators can be expressed as

$$\begin{aligned} \mathcal{P}_D(i) &= \frac{1}{12}(\mathbf{S}_{ij}^2 - 2)(\mathbf{S}_{ij}^2 - 6) = \frac{1}{3}(\mathbf{S}_i \cdot \mathbf{S}_j)^2 - \frac{1}{3}, \\ \mathcal{P}_T(i) &= -\frac{1}{144}(\mathbf{S}_{ijk}^2 - 2)(\mathbf{S}_{ijk}^2 - 6)(\mathbf{S}_{ijk}^2 - 12). \end{aligned} \quad (2.1)$$

Each projection operator gives +1 for the spin singlets, and zero for all other spin multiplets. The projectors are related to $D(i)$ and $T(i)$ in the DT Hamiltonian (1.2) by

$$D(i) = 3\mathcal{P}_D(i), \quad T(i) = 6\mathcal{P}_T(i). \quad (2.2)$$

It is not widely appreciated in the literature, and therefore requires some highlighting here, that the dimer projection operator is equivalent to the pure-biquadratic (PBQ) spin interaction up to constants. The PBQ model, corresponding to $\theta = 0$ in the DT Hamiltonian, is known to be exactly solvable and possess a hidden $SU(3)$ symmetry [16–20,34].

The trimer projection operator looks much more complicated by contrast, and involves three-site spin interactions. The trimer singlet state given by the totally antisymmetric combination

$$|\text{trimer}\rangle = \frac{1}{\sqrt{6}} \sum_{a,b,c} \varepsilon_{abc} |a,b,c\rangle, \quad (2.3)$$

where $a,b,c = +1,0,-1$ refers to the S^z eigenvalue, is invariant under the $SU(3)$ transformation, and ε_{abc} is the antisymmetric tensor. This observation prompted us to seek an alternative expression in terms of Gell-Mann matrices, and subsequently use the identity relating the inner product of Gell-Mann matrices to the exchange operator P_{ij} :

$$\Lambda_i \cdot \Lambda_j = 2P_{ij} - \frac{2}{3}, \quad (2.4)$$

where $\Lambda_i = (\Lambda_i^1, \dots, \Lambda_i^8)$ is a collection of eight Gell-Mann operators at site i . The exchange operator P_{ij} swaps the state at site i with the state at site j . By using the exchange operators, we can arrive at an interesting alternative expression of the trimer projector:

$$\mathcal{P}_T(i) = \frac{1}{6}(1 + P_{ijk} + P_{ijk}^{-1} - P_{ij} - P_{jk} - P_{ki}). \quad (2.5)$$

The three-site ring exchange operators [35] are introduced as

$$\begin{aligned} P_{ijk} &= P_{jk}P_{ij} = P_{ik}P_{jk} = P_{ij}P_{ik}, \\ P_{ijk}^{-1} &= P_{ij}P_{jk} = P_{jk}P_{ik} = P_{ik}P_{ij}. \end{aligned} \quad (2.6)$$

The trimer projector is a sum of three-spin exchange among the three adjacent sites, minus the pairwise exchange for adjacent and second-adjacent sites. Recalling the relation $\mathbf{S}_i \cdot \mathbf{S}_j + (\mathbf{S}_i \cdot \mathbf{S}_j)^2 = P_{ij} + 1$, the dimer projection operator can be expressed as $\mathcal{P}_D(i) = \frac{1}{3}(P_{ij} - \mathbf{S}_i \cdot \mathbf{S}_j)$.

The DT model coincides with the BLBQ Hamiltonian at the two points, $\theta = 0, \pi$. Otherwise, the nature of the

ground states for other values of θ remains to be explored. We have carried out the DMRG calculation, keeping 3000 Schmidt states during the iteration and employing an open boundary condition on a chain of length $L = 90$, to work out the phase diagram of the DT model. The ITensor library [36] is employed in the single-site DMRG calculation with the noise algorithm [37]. By varying θ , we can carve out most of the phase diagram for $-\pi/2 < \theta < \pi$. Ground states for $\pi \leq \theta \leq 3\pi/2$ are hard to reach by DMRG due to the large number of ground-state degeneracies. We use analytic arguments and exact diagonalization study of the Hamiltonian to gain understanding of the ground states here.

III. PHASE DIAGRAM

Several order parameters and their correlations were calculated for each θ , in addition to the spin-spin correlation function. They include the dimer average $\langle \mathcal{P}_D(n) \rangle$, the trimer average $\langle \mathcal{P}_T(n) \rangle$, and their connected correlation functions, $\langle \mathcal{P}_D(x)\mathcal{P}_D(x+n) \rangle - \langle \mathcal{P}_D(x) \rangle \langle \mathcal{P}_D(x+n) \rangle$ and $\langle \mathcal{P}_T(x)\mathcal{P}_T(x+n) \rangle - \langle \mathcal{P}_T(x) \rangle \langle \mathcal{P}_T(x+n) \rangle$. Here, we set $x = N/4$, which has sufficient depth that the edge effects died out. Note that we are using the dimer projector \mathcal{P}_D as a measure of the dimer order rather than $\mathbf{S}_i \cdot \mathbf{S}_j$, which is the more commonly used measure of dimer correlations in the literature. Results using both order parameters lead to similar conclusions.

Figure 1 shows the phase diagram of the DT model alongside the well-known one for the BLBQ model. We were able to identify four phases altogether, bearing a close resemblance to the BLBQ model despite very different character of microscopic interactions in the two models.

A. Dimer phase

It is known that the $\theta = 0$ pure biquadratic Hamiltonian has the dimerized ground state with $\langle \mathcal{P}_D(n) \rangle_{\text{PBQ}} \approx D_0 + D_1(-1)^n$, in reflection of the spontaneous translational symmetry breaking in the ground state [17–20]. Numerical data shown in Fig. 2 indeed find such binary oscillations of the physical observables in space, as well as in the entanglement entropy (EE). The trimer average remains zero within the resolution of our calculation.

Evidence of the gap in the energy spectrum comes from exponentially decaying correlation functions shown in Figs. 2(c)–2(d). Starting from the well-known dimer state at $\theta = 0$, we conclude that the extraneous trimer interaction coming from $\theta \neq 0$ is not destroying the dimer order thanks to the protection from the energy gap. The whole region $-\pi/2 < \theta \lesssim \pi/8$ shows nearly identical behavior in the physical quantities calculated, bolstering the claim that this is the same dimer phase as seen at the pure-biquadratic point. As a final indicator of the dimer phase, the lowest level in the entanglement spectrum shows the characteristic alternation between single and triple degeneracy depending on the even and odd position of the cut. The same feature exists in the dimer phase of the BLBQ Hamiltonian [38].

B. SPT phase

A very different feature emerges as soon as one moves past $\theta = \pi/8$. While all the calculated quantities for $\theta = \pi/8 =$

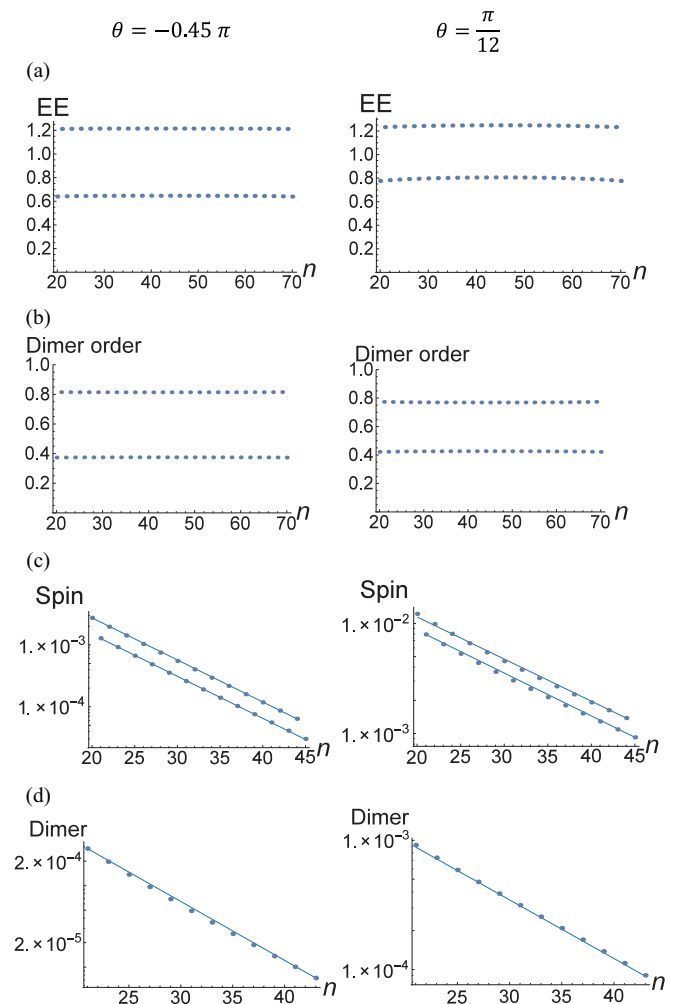


FIG. 2. (a) Entanglement entropy, (b) dimer density $\langle \mathcal{P}_D(n) \rangle$, (c) spin-spin correlation, and (d) dimer-dimer correlation for $\theta = -0.45\pi$ (left column) close to the phase boundary and $\theta = \pi/12$ (right column) deep inside the dimer phase. Period-2 oscillations appear in all the calculated quantities. Correlation functions in log-linear plots (c) and (d) decay exponentially.

$12\pi/96$ have the characteristic properties of the dimer phase—e.g., compare Figs. 2(a) and 3(a)—the same calculation done at $\theta = 13\pi/96$ gives a completely different picture; see Figs. 3(b)–3(d). Even with our choice of finely spaced angles θ , it was hard to detect gradual changes in calculated properties near the phase boundary, suggesting that it is likely the first-order phase transition separating the dimer from the other phase taking place for $\theta \gtrsim \pi/8$, which we came to identify with the SPT (Haldane) phase ¹.

The period-2 oscillation vanishes completely in this phase in restoration of the translation symmetry. At the same time the

¹Our numerical investigation focuses on identifying the various phases of the DT model through calculations of physical and entanglement quantities. An unambiguous identification of the nature of the phase boundaries is delegated to another paper. At this point, we conclude that a continuous phase transition is not ruled out, but very unlikely.

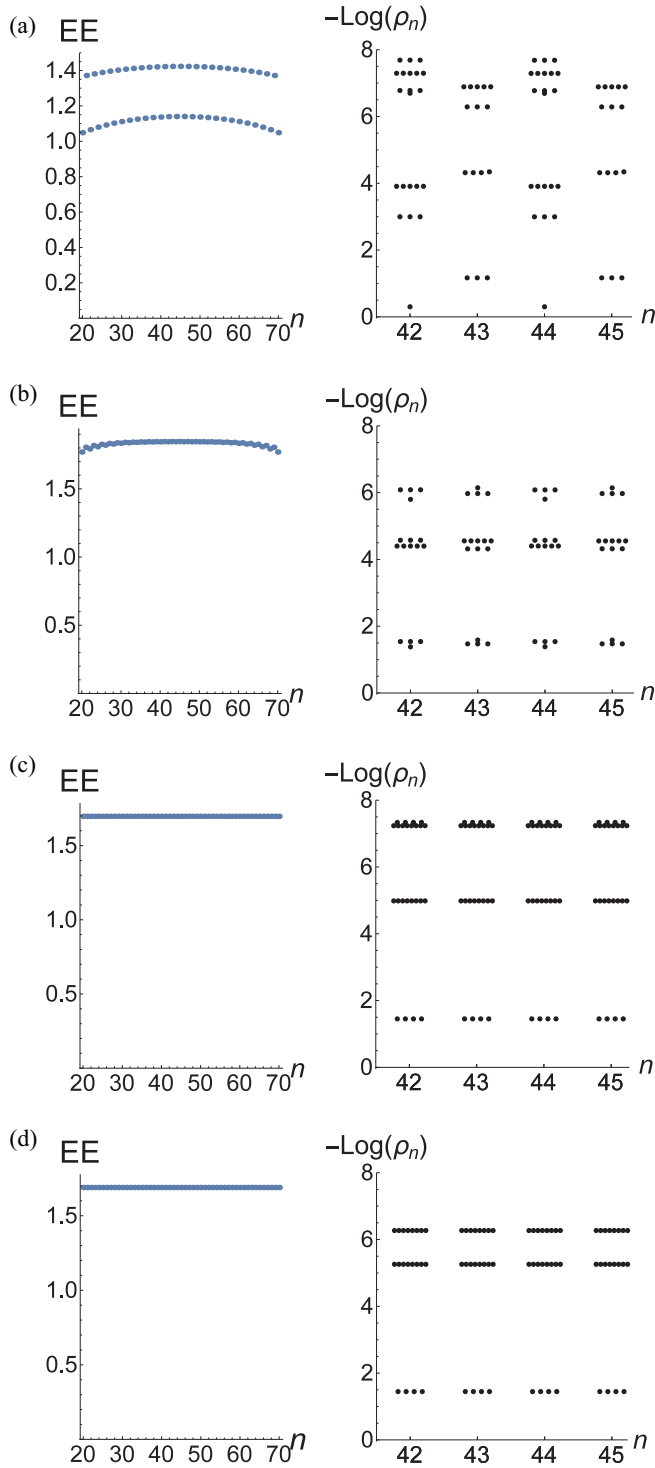


FIG. 3. Entanglement entropy (left column) and entanglement spectrum near the middle of the lattice (right column) in the dimer phase (a) $\theta = \pi/8$, and in the SPT phase (b) $13\pi/96$, (c) $14\pi/96$, and (d) $15\pi/96$. At $\theta = \pi/8$, EE still has the period-2 oscillation and ES shows the alternating $3 - 1 - \dots$ degeneracy of the lowest levels characteristic of the dimer phase. At $\theta = 13\pi/96$, EE loses the period-2 structure and ES shows the fourfold (sometimes eightfold or twelfold) degeneracy in the entire spectra. The trend continues for $\theta = 14\pi/96$ and $15\pi/96$.

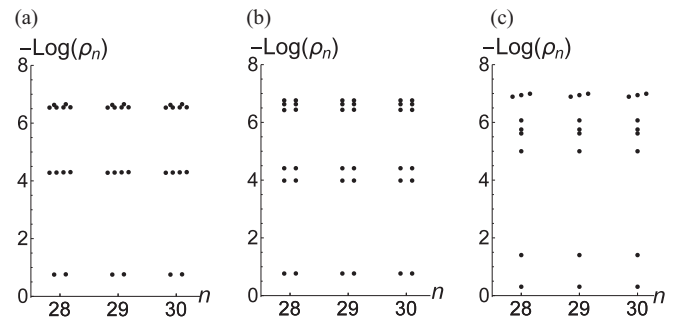


FIG. 4. Entanglement spectrum in the SPT phase at $\theta = 14\pi/96$ and the system size $N = 60$ for the DT model with extra perturbation (3.1). (a) Only $B \neq 0$. (b) Only A and B nonzero. Twofold degeneracy survives throughout the whole spectrum in both cases. (c) Only C nonzero. The even degeneracy is lifted, signaling the destruction of the SPT phase.

trimer average becomes nonzero, $\langle \mathcal{P}_T(i) \rangle \neq 0$, while the dimer average continues to remain finite. A check on the Haldane phase of the BLBQ Hamiltonian confirms that these order parameters are nonzero there, too. It is still a gapped phase, as one can deduce from various exponential correlations and the flatness of the entanglement entropy shown in Fig. 3.

The most important check on the nature of the new phase comes from the entanglement spectrum. As in the Haldane phase of the BLBQ model [27], the entire entanglement spectrum of the DT model over this phase has the degeneracy in multiples of four. For instance in Fig. 3, the fourfold degeneracy is only slightly imperfect at $\theta = 13\pi/96$, presumably due to a finite-size effect, but completely restored at larger θ . The Haldane phase of the BLBQ Hamiltonian has the characteristic degeneracy in multiples of two [27].

To better understand the nature of the degeneracy in the entanglement spectrum, we subject the DT Hamiltonian to various perturbations as suggested in Ref. [27]:

$$\begin{aligned} \delta H = & A \sum_i (S_i^x S_i^y + S_i^y S_i^x) + B \sum_i S_i^z \\ & + C \sum_i (S_i^z - S_{i+1}^z)(S_i^x S_{i+1}^x + S_i^y S_{i+1}^y) \\ & + C \sum_i (S_i^x S_{i+1}^x + S_i^y S_{i+1}^y)(S_i^z - S_{i+1}^z). \end{aligned} \quad (3.1)$$

According to the SPT criterion of Ref. [27], the addition of moderate amounts of A and B terms in the above should not break the even multiplicity of the entanglement spectrum, but the addition of the C term should. As shown in Fig. 4, this is exactly what we find for the DT Hamiltonian in the putative topological phase. Although the fourfold degeneracy is easily lifted by finite A and B , the twofold degeneracy survives the A and B perturbation as long as the symmetry-violating C term is added.

After these stringent checks, we conclude that the region $\pi/8 \lesssim \theta < \pi/4$ is a gapped topological phase which is commonly known as the SPT lately [27,28]. For this range of θ values in the DT model, the two-site permutation, three-site ring exchange, and Heisenberg-type spin interaction all contribute. Although in its appearance this model does not

resemble the AKLT or the Heisenberg Hamiltonian at all, we claim that they all belong to the same SPT phase.

A side remark is in order regarding the possible quantum numbers of the fourfold and the twofold degenerate entanglement levels in this phase. It is possible that the fourfold degenerate levels form an effective spin $S = 3/2$ multiplet, or a pair of $S = 1/2$ multiplets the levels of which were “accidentally” degenerate and immediately lifted by the addition of A and B terms. Unfortunately, it was not possible to compute the quantum numbers of the Schmidt states explicitly using our current numerical implementation of DMRG. In either case, however, we may claim that spin quantum number fractionalization as $S = 1/2$ or $3/2$ is taking place in an integer spin-chain model, in a reflection of the topological nature of this phase.

C. Trimer liquid phase

Another phase appears as $\theta = \pi/4$ is crossed. As with the dimer-SPT phase boundary, our numerics in the SPT phase was not able to identify explicitly a gradual decrease in the energy gap or the divergence of correlation length near the phase boundary. In the BLBQ Hamiltonian, the phase boundary separating the Haldane phase from the gapless spin-quadrupolar phase was the ULS point in possession of exact $SU(3)$ symmetry. The symmetry of the DT model at or around $\theta = \pi/4$ is *not* $SU(3)$. The true $SU(3)$ -symmetric point in the DT model is at $\theta = \pi/2$, lying deep inside this new phase. Based on a number of checks, we conclude the gapless phase is very similar in physical properties to the spin-quadrupolar phase in the BLBQ model realized over $\pi/4 < \theta < \pi$. To be more precise, the phase is critical, with a good fit of the entanglement entropy behavior to the conformal field theory prediction with the central charge equal to 2. The same statement applies to the spin-quadrupolar phase as well [26,38].

As shown in Fig. 5, period-3 oscillations appear in the entanglement entropy and the trimer density $\langle \mathcal{P}_T \rangle$. The dimer order remains very close to zero throughout the trimer phase. The cut-position dependence of the entanglement entropy can be fit to the Calabrese-Cardy formula [39–41]:

$$\begin{aligned} S_n &= S_n^{\text{CFT}} + S_n^{\text{osc}} + c', \\ S_n^{\text{CFT}} &= \frac{c_N}{6} \log \left[\frac{2L}{\pi} \sin \left(\frac{\pi n}{L} \right) \right], \\ S_n^{\text{osc}} &= \sum_a F^a \left(\frac{n}{L} \right) \frac{\cos(2a\pi n/L)}{|L \sin(\pi n/L)|^{\Delta_a}}. \end{aligned} \quad (3.2)$$

Here, S_n is the EE of the subsystem of length n , and $c_N = N - 1$ and Δ_a are the central charge and scaling dimension of the $SU(N)_1$ Wess-Zumino-Witten theory, respectively. Nonuniversal constant c' and the universal scaling function F^a , also adequately approximated as a constant, can be chosen to fit the EE data as well as one can. All the entanglement entropies calculated within the trimer phase fit nicely to the Calabrese-Cardy formula with the same central charge $c_N = 2$ (see Fig. 5). It is well known that the $SU(3)$ -symmetric ULS model has the $SU(3)$ level-1 conformal field theory description of its low-energy excitations [24]. We might speculate the same

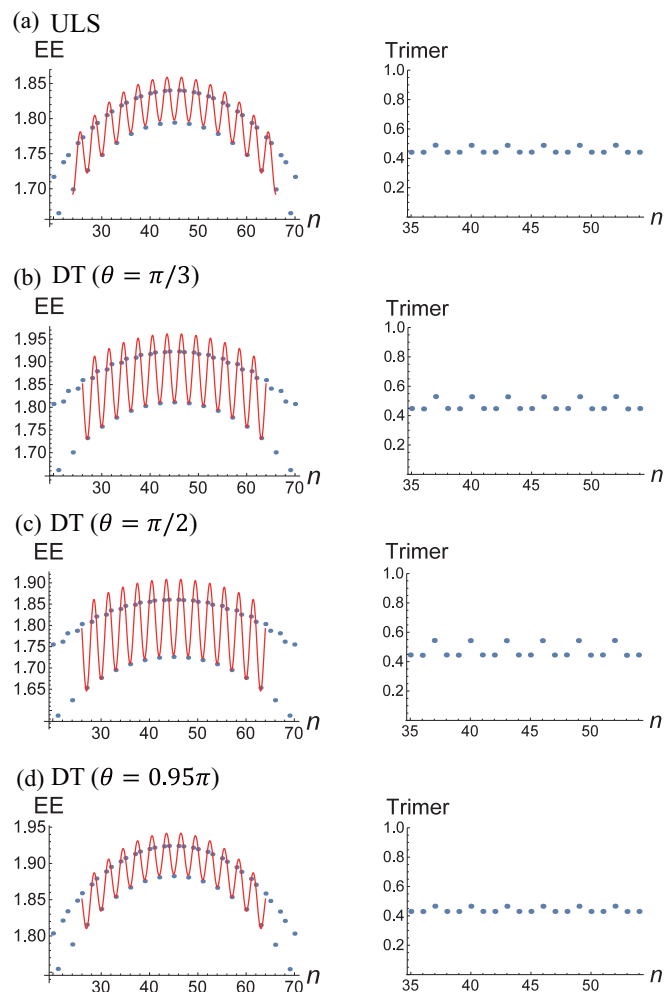


FIG. 5. Entanglement entropy (left column) and trimer average $\langle \mathcal{P}_T(n) \rangle$ for the trimer liquid phase at several θ values. The ULS results are shown in (a) for comparison [39]. The red curves in the entanglement entropy plots indicate the fitting to the Calabrese-Cardy formula.

theory to govern the low-energy behavior in the trimer phase, especially at the exact $SU(3)$ -symmetric point $\theta = \pi/2$.

The similarity of the pure trimer model with the ULS model, both $SU(3)$ symmetric, extends into the entanglement spectrum. The degeneracy structure in the low-lying ES exhibits a perfect agreement between the two models as shown in Fig. 6. As one moves away from the pure trimer point and the symmetry of the Hamiltonian is lowered, some degeneracies get lifted as shown in Fig. 6. Despite the lowered symmetry, the Calabrese-Cardy formula fit of the entanglement entropy continues to work well through the entire trimer region with the same central charge $c = 2$.

The absence of energy gap in both ULS and pure trimer models is guaranteed by the Lieb-Schultz-Mattis-type theorem for $SU(N)$ spin models proven by Affleck and Lieb [42]. A more recent and advanced suggestion that any symmetric representation of the $SU(3)$ spin with a single row of Young tableau boxes of length p not equal to a multiple of 3 should give a gapless spectrum [43,44] is consistent with our observation, since both ULS and pure trimer models

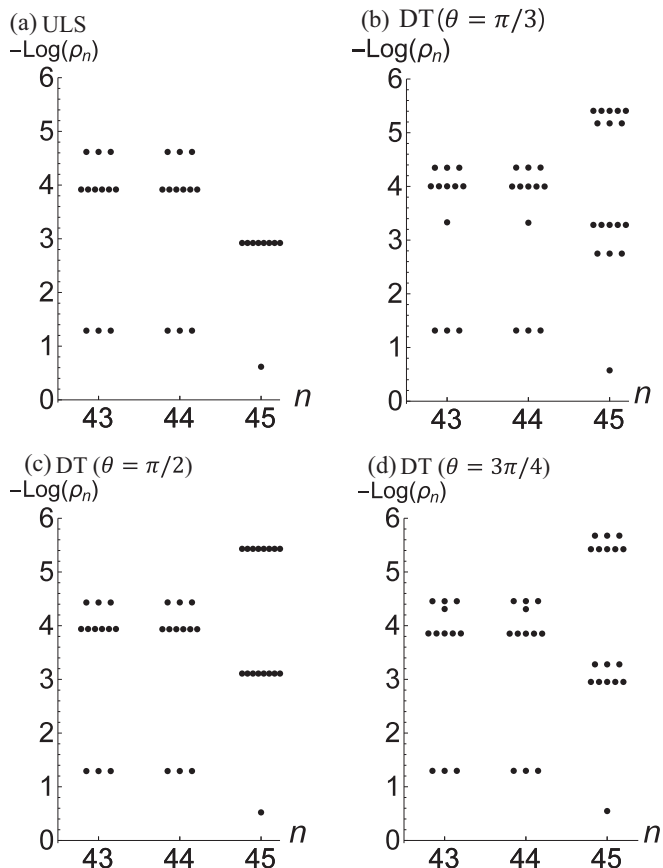


FIG. 6. Entanglement spectrum for the (a) ULS model [39] and (b)–(d) DT model at various angles θ , starting from the leftmost edge cut $n = 1$. For each cut position n , a perfect correspondence in the degeneracy of the low-lying levels of the ULS model with the pure trimer model ($\theta = \pi/2$) exists; compare (a) and (c). Degeneracies get lifted as θ deviates from $\pi/2$; see (b) and (d).

are written in the fundamental representation of $SU(3)$ with $p = 1$. This symmetry is presumably lowered to $U(1) \times U(1)$ or $SU(2) \times U(1)$ as the angle θ moves away from $\pi/2$. In both cases the overall central charge remains at $1 + 1 = 2$. The gapless modes of the ULS model are the spinons carrying the fractionalized spin quantum number [13]. Guided by the identical low-level entanglement spectrum structure we may speculate that the low-energy physical excitations of the pure trimer model are also the spinons [45].

Algebraically decaying spin, spin-quadrupolar, and trimer correlation functions are shown in Fig. 7. For the spin-quadrupolar order we used $(S_i^z)^2$ as the operator. An interesting observation arises from our numerical investigation. For all three correlations, the ULS and the pure trimer models, both $SU(3)$ symmetric, display nearly identical results. As one moves away from either the pure trimer or the ULS point, behavior of the correlation functions also changes, but not by a great deal. The near-perfect identity of the correlation functions at the respective $SU(3)$ -symmetric points, together with their slow variation with the angle θ , suggest that the trimer phase we identify in the DT model is the same phase as the spin-quadrupolar phase of the BLBQ model. A large body of the BLBQ literature refers to this phase as spin

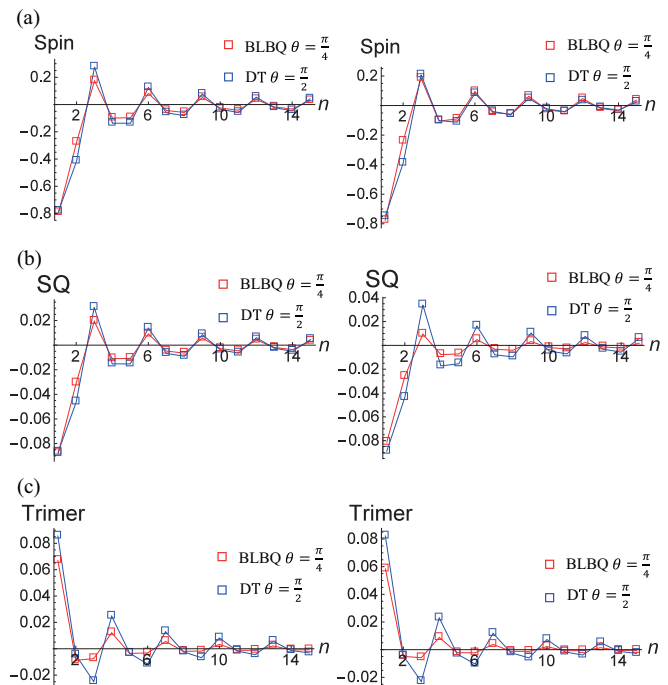


FIG. 7. (a) Spin, (b) spin quadrupole, and (c) trimer correlation functions for BLBQ and DT models. Left columns show overlapping results for all correlation functions at $\theta = \pi/4$ for the BLBQ model and $\theta = \pi/2$ for the DT model. Both models are $SU(3)$ symmetric. The right column shows results away from $SU(3)$ symmetry. Overall behaviors of correlation functions in the two phases are extremely similar.

quadrupolar, and intentionally avoids the use of the term “trimer,” which is associated with the translational symmetry breaking by three sites. Here we introduce a more carefully chosen phrase “trimer liquid” to refer to the phase without the loss of translational symmetry, and strongly remind the readers that physical properties of the trimer liquid are identical to those of the spin quadrupolar phase. It comes down to semantics, it seems, to choose the preferred terminology to describe the phase. Alternatively, one can refer to both the spin-quadrupolar and the trimer-liquid phases as the $SU(3)_1$ spin liquid phase, which would be technically the more precise characterization.

The remarkably similar behaviors in the correlation functions of the two $SU(3)$ models, ULS on one hand and pure trimer on the other, are surprising. To refresh our memory, recall that the models are given in terms of pairwise exchange operators P_{ij} as

$$H_{\text{ULS}} = \sum_i P_{ij},$$

$$H_{\text{PT}} = \sum_i (2P_{ij} + P_{ik} - P_{ijk} - P_{ijk}^{-1}). \quad (3.3)$$

For lattice sizes $L = 3, 6, 9$ with periodic boundary conditions, the overlap of the exact ground states of the two models is very good, i.e., 1, 0.985654, and 0.97127, respectively. A more thorough investigation of the remarkable similarity in the ground-state properties of the two models is, however, delegated to future investigation.

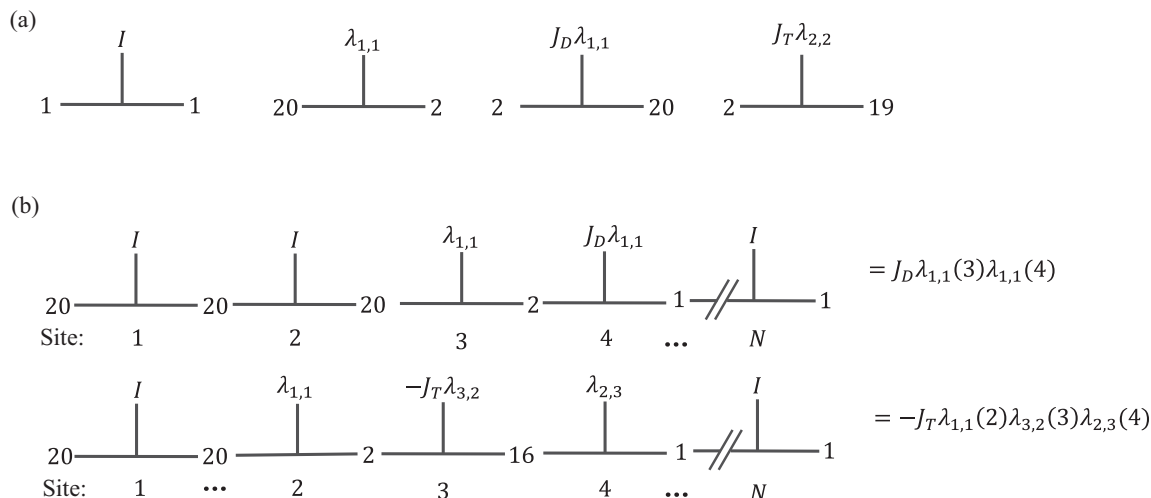


FIG. 8. (a) Examples of the comb representation for the nonzero elements in the MPO matrix A . The left and right ends of each graph correspond to the virtual indices of A . The “tooth” of each graph represents the physical operators such as I and $\lambda_{\alpha,\beta}$. (b) Examples of contracted graphs representing a specific term in the Hamiltonian. The connecting links of the adjacent blocks must have the same virtual index. In the first row, the resulting product is $J_D \lambda_{1,1}(3) \lambda_{1,1}(4)$, which is one of the terms in the dimer operator at site 3, i.e., $D(3)$ in Eq. (A3). The argument of λ denotes the spatial position. In the second row, the product gives $-J_T \lambda_{1,1}(2) \lambda_{3,2}(3) \lambda_{2,3}(4)$, which is one of the terms in the trimer operator $T(2)$ defined in Eq. (A3).

D. Macroscopically degenerate phase

The fourth phase under consideration occurs over $\pi \leq \theta \leq 3\pi/2$, where both coefficients of the dimer and trimer projection operators in the DT model have non-negative values. It is flanked on either side by the pure bi-quadratic and pure trimer Hamiltonians with positive coefficients. The corresponding region in the BLBQ model is called the ferromagnetic (FM) phase, which includes the pure ferromagnetic Heisenberg exchange model. Except at the end points of the FM phase where there are known macroscopic degeneracies in the ground states, the FM ground state is unique up to the global $SO(3)$ rotation. By contrast, as we shall see below, the entire MD phase has finite zero-temperature entropy.

The $\theta = \pi$, the anti-PBQ point is known to possess macroscopically degenerate ground states the number of which grows exponentially with the system size [21]. The number of the ground states is bounded from below by that of the classical (direct-product) states that are annihilated by $\mathcal{P}_D(i)$ for all i . One can count such classical states using a standard transfer-matrix method (see Appendix B for details). A lower bound so obtained grows with the system size N as 2^N for large N . A similar counting yields lower bounds for the number of ground states for other values of θ . For $\theta = 3\pi/2$ (the antitrimer limit) and $\pi < \theta < 3\pi/2$, lower bounds are obtained as $(2.414)^N$ and $2 \times (1.618)^N$, respectively. A detailed derivation is given in Appendix B.

We have also carried out a numerical counting of the ground-state degeneracy by means of exact diagonalization of the Hamiltonian for growing system sizes in both periodic and open chains. Let Z_N be the number of ground states of the chain of length N . The results for Z_N of periodic chains are summarized in Table I. The integer sequence found at $\theta = \pi$ under the periodic boundary condition (first row in Table I) matches A005248 in the On-Line Encyclopedia of Integer Sequences (OEIS) [46], which is called the bisection of Lucas

numbers², and is defined by the recurrence relation

$$Z_N = 3Z_{N-1} - Z_{N-2}, \quad Z_1 = 3, Z_2 = 7. \quad (3.4)$$

It follows from the above relation that Z_N for large N behaves as $Z_N \sim \varphi^{2N} \sim (2.618)^N$, where $\varphi = (1 + \sqrt{5})/2$ is the golden ratio. Therefore, the true ground-state degeneracy grows much faster than the lower bound 2^N since it counts entangled ground states that cannot be written in the form of classical states. The ground-state entropy per site is given by $s = \ln Z_N/N \sim 2 \ln \varphi \sim 0.962$. The other two sequences do not match anything in the OEIS. However, from the fit to the data, we find $Z_N \sim (2.412)^N$ for $\pi < \theta < 3\pi/2$ and $Z_N \sim (2.879)^N$ for $\theta = 3\pi/2$. Again, they grow faster than the lower bounds estimated from the number of classical states.

The ground-state degeneracies in the open chains are summarized in Table II. The sequence for $\theta = \pi$ coincides with the bisection of the Fibonacci sequence (A001906 in OEIS). The recurrence relation for the sequence is

$$Z_N = 3Z_{N-1} - Z_{N-2}, \quad Z_1 = 3, Z_2 = 8, \quad (3.5)$$

²Lucas numbers are defined by the recurrence relation, $L_N = L_{N-1} + L_{N-2}$ with the initial conditions, $L_0 = 2, L_1 = 1$.

TABLE I. Ground-state degeneracy of the periodic chain up to $N = 10$ sites. The rightmost column shows the corresponding integer sequences in the On-Line Encyclopedia of Integer Sequences (OEIS).

N	3	4	5	6	7	8	9	10	OEIS
$\theta = \pi$	18	47	123	322	843	2207	5778	15127	A005248
$\pi < \theta < 3\pi/2$	17	41	83	209	479	1169	2787	6745	
$\theta = 3\pi/2$	26	72	198	570	1641	4725	13605	39174	

TABLE II. Ground-state degeneracy of the open chain up to $N = 10$ sites. The rightmost column shows the corresponding integer sequences in the OEIS.

N	3	4	5	6	7	8	9	10	OEIS
$\theta = \pi$	21	55	144	377	987	2584	6765	17711	A001906
$\pi < \theta < 3\pi/2$	20	49	119	288	696	1681	4059	9800	A048739
$\theta = 3\pi/2$	26	75	216	622	1791	5157	14849	42756	A076264

which is the same as Eq. (3.4) with different initial conditions. Therefore, the ground-state entropy per site s is also the same in the thermodynamic limit. The sequence for $\pi < \theta < 3\pi/2$ matches with the partial sums of Pell numbers (A048739 in OEIS), defined by the recurrence relation

$$Z_N = 3Z_{N-1} - Z_{N-2} - Z_{N-3}, \quad Z_1 = 3, Z_2 = 8, Z_3 = 20, \quad (3.6)$$

from which it follows that $Z_N \sim (1 + \sqrt{2})^N \sim (2.414)^N$ for large N . The sequence for $\theta = 3\pi/2$ matches with the number of ternary $(0, 1, 2)$ sequences without a consecutive 012 (A076264 in OEIS). It is generated by the following rule:

$$Z_N = 3Z_{N-1} - Z_{N-3}, \quad Z_1 = 3, Z_2 = 9, Z_3 = 26, \quad (3.7)$$

from which it follows that $Z_N \sim (x^*)^N$, where $x^* \sim 2.874$ is the largest root of the cubic equation $x^3 + 3x^2 + 1 = 0$.

To summarize, we conjecture that the exact value of the ground-state entropy per site in the thermodynamic limit is

$$s = \begin{cases} 2 \ln \varphi & \sim 0.962 & \theta = \pi \\ \ln(1 + \sqrt{2}) & \sim 0.881 & \pi < \theta < 3\pi/2 \\ \ln x^* & \sim 1.06 & \theta = 3\pi/2 \end{cases}, \quad (3.8)$$

where $\varphi = (1 + \sqrt{5})/2$ and $x^* \sim 2.874$. A rigorous proof of this conjecture is, however, beyond the scope of the present paper and is left for future work.

IV. DISCUSSION

Motivated by the two competing tendencies in the spin-one chain to form a singlet as either a dimer or a trimer, we proposed a dimer-trimer Hamiltonian with relative interaction strengths parametrized by the angle θ . Dimer and trimer phases are

realized, respectively, as one interaction becomes dominant over the other. The dimer phase is adiabatically connected to the phase of the same name in the well-known bilinear-biquadratic spin-one Hamiltonian. The trimer liquid phase is gapless and critical, with central charge $c = 2$, and is likely the same phase as the spin quadrupolar phase of the BLBQ model. The dimer and trimer phases are separated by the SPT phase on one side and the macroscopically degenerate phase on the other. Our paper has primarily focused on carving out various phases of the dimer-trimer model. Although considerable further effort is required to clarify the nature of each phase transition, our current numerics seems to be consistent with all phase boundaries being first order.

Various extensions of the BLBQ Hamiltonian that include the second-neighbor and three-site exchange have been studied numerically and using field-theoretic ideas in recent years [47,48]. These models are adiabatic extensions of the BLBQ model in the sense that turning off certain interaction parameters gives back the BLBQ Hamiltonian. The DT model, on the other hand, certainly is not such an adiabatic extension in the microscopic sense of the Hamiltonian, and yet its phase diagram turns out to be extremely similar to that of the BLBQ model. All aspects of our investigation indicate that the nature of dimer, trimer liquid, and SPT phases are identical in the two models. Significant overlaps found in the ground-state wave functions and correlation functions of the SU(3) ULS model and the pure trimer model render further support to the deep connection bridging the two models. How to understand this connection is an interesting future challenge.

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APPENDIX A: MATRIX-PRODUCT-OPERATOR EXPRESSION FOR THE TRIMER OPERATOR

This appendix is devoted to the description of the matrix-product-operator (MPO) expression for the three-body trimer operator. Compared to the MPO expressions for the two-body operators, the three-body interaction MPO is not as well known. We hope that our expression is helpful for future research in models involving such many-body operators.

We introduce a block representation to understand the interacting MPO's over many sites. Indeed, with this method, one can write down the MPO for the interactions of any range. The DT Hamiltonian is given by the contraction of blocks of MPO A 's:

$$H = \sum_{\{s_i, s'_i\}} \sum_{\{\alpha_i\}} A_{20\alpha_1}^{s_1 s'_1} A_{\alpha_2 \alpha_3}^{s_2 s'_2} \cdots A_{\alpha_{N-1} 1}^{s_N s'_N} |s_1 \cdots\rangle \langle s'_1 \cdots|, \quad (A1)$$

where all the virtual indices $\{\alpha_i\}$ run from 1 to 20. The virtual indices are traced out except for the first index of A at the far left of the MPO product, and the second index at the far right, as shown in the above formula with $\alpha_0 = 20$ and $\alpha_N = 1$. The physical spins at the site i appear as upper indices $\{s_i\}$. The matrix representation for the MPO A is given by the sum of two separate

20×20 matrices A_1 and A_2 as $A = A_1 + A_2$:

$$A_1 = \begin{pmatrix}
 I & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
 J_D \lambda_{1,1} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
 J_D \lambda_{1,2} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
 J_D \lambda_{1,3} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
 J_D \lambda_{2,1} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
 J_D \lambda_{2,2} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
 J_D \lambda_{2,3} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
 J_D \lambda_{3,1} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
 J_D \lambda_{3,2} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
 J_D \lambda_{3,3} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
 0 & \lambda_{1,1} & \lambda_{1,2} & \lambda_{1,3} & \lambda_{2,1} & \lambda_{2,2} & \lambda_{2,3} & \lambda_{3,1} & \lambda_{3,2} & \lambda_{3,3} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & I
 \end{pmatrix},$$

$$A_2 = \begin{pmatrix}
 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & J_T \lambda_{3,3} & -J_T \lambda_{3,2} & 0 & -J_T \lambda_{2,3} & J_T \lambda_{2,2} & 0 & 0 & 0 & 0 & 0 \\
 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & -J_T \lambda_{3,3} & 0 & J_T \lambda_{3,1} & J_T \lambda_{2,3} & 0 & -J_T \lambda_{2,1} & 0 & 0 & 0 & 0 & 0 \\
 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & J_T \lambda_{3,2} & -J_T \lambda_{3,1} & 0 & -J_T \lambda_{2,2} & J_T \lambda_{2,1} & 0 & 0 & 0 & 0 & 0 & 0 \\
 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & -J_T \lambda_{3,3} & J_T \lambda_{3,2} & 0 & 0 & 0 & J_T \lambda_{1,3} & -J_T \lambda_{1,2} & 0 & 0 & 0 & 0 \\
 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & -J_T \lambda_{3,3} & J_T \lambda_{3,2} & 0 & 0 & 0 & J_T \lambda_{1,3} & -J_T \lambda_{1,2} & 0 & 0 & 0 & 0 \\
 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & -J_T \lambda_{3,2} & J_T \lambda_{3,1} & 0 & 0 & 0 & -J_T \lambda_{1,2} & J_T \lambda_{1,1} & 0 & 0 & 0 & 0 \\
 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & J_T \lambda_{2,3} & -J_T \lambda_{2,2} & 0 & -J_T \lambda_{1,3} & J_T \lambda_{1,2} & 0 & 0 & 0 & 0 & 0 & 0 \\
 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & -J_T \lambda_{2,3} & 0 & J_T \lambda_{2,1} & J_T \lambda_{1,3} & 0 & -J_T \lambda_{1,1} & 0 & 0 & 0 & 0 & 0 \\
 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & J_T \lambda_{2,2} & -J_T \lambda_{2,1} & 0 & -J_T \lambda_{1,2} & J_T \lambda_{1,1} & 0 & 0 & 0 & 0 & 0 & 0 \\
 \lambda_{1,1} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
 \lambda_{1,2} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
 \lambda_{1,3} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
 \lambda_{2,1} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
 \lambda_{2,2} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
 \lambda_{2,3} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
 \lambda_{3,1} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
 \lambda_{3,2} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
 \lambda_{3,3} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0
 \end{pmatrix}.$$

(A2)

Here, $J_D = -\cos \theta$ and $J_T = -\sin \theta$ are coefficients of the DT model (1.2). All elements of the above matrices are themselves 3×3 matrices, the indices of which correspond to the physical spin s_i and s'_i at the local site i , with the identity matrix I and $\lambda_{\alpha,\beta}^{s_i s'_i} = \delta_{\alpha s_i} \delta_{\beta s'_i}$. The representation of the spin operators $S_i^{jk} = -i \varepsilon_{ijk}$ is employed, where ε_{ijk} is the antisymmetric tensor. In this representation, the dimer operator D and the trimer operator T are given by

$$D(n) = \sum_{x,y} \lambda_{x,y}(n) \lambda_{x,y}(n+1), \quad T(n) = \sum_{x,y,z,l,m,n} \varepsilon_{xyz} \varepsilon_{lmn} \lambda_{x,l}(n) \lambda_{y,m}(n+1) \lambda_{z,n}(n+2),$$

(A3)

where the argument of λ represents the local site index n . One can check that the product of A_1 in the matrix representation of A over the whole lattice yields the pure-biquadratic model. In order to generate the trimer interaction, we must add the A_2 term in the MPO A , and multiply $A = A_1 + A_2$ through the entire lattice.

It is instructive to work with the ‘‘comb representation’’ to guide one’s understanding of the structure of A . In Fig. 8(a), we show how to make a graphical representation of the nonzero elements in the matrix A . By contracting the graphs with the same virtual indices as shown in Fig. 8(b), we can obtain the comb-shaped graph representation for all the terms in the DT Hamiltonian.

APPENDIX B: CLASSICAL STATES IN THE MD PHASE

In this appendix, we present a transfer-matrix analysis for the counting of classical ground states annihilated by dimer and trimer projection operators. At $\theta = \pi$, any state which is annihilated by all dimer projection operators $\mathcal{P}_D(i)$ ($i = 1, \dots, N$) is a zero-energy ground state of the Hamiltonian. Such states are not hard to construct. In the basis in which S^z is diagonal, $\mathcal{P}_D(i)$ annihilates the state if spins at sites i and $i + 1$ do not add up to zero:

$$\mathcal{P}_D(i)|m_i, m_{i+1}\rangle = 0 \quad \text{if} \quad m_i + m_{i+1} \neq 0, \quad (\text{B1})$$

where $m_i = +1, 0$, or -1 is an eigenvalue of S_i^z . Therefore, a product state which does not contain any of the configurations 00 , $+-$, and $-+$ in any neighboring sites is a ground state at $\theta = \pi$. It is then straightforward to construct a transfer matrix and count the number of such classical states exactly. The number of classical states in the periodic chain of length N is obtained as $Z_N^{(\text{cl})} = \text{Tr}[T^N]$, where

$$T = \begin{pmatrix} 1 & 1 & 0 \\ 1 & 0 & 1 \\ 0 & 1 & 1 \end{pmatrix} \quad (\text{B2})$$

with the order of the basis states $\{|+\rangle, |0\rangle, |-\rangle\}$. Since the eigenvalues of T are $\{2, 1, -1\}$, we have $Z_N^{(\text{cl})} = 2^N + 1 + (-1)^N$.

Let us next consider the antitrimer limit $\theta = 3\pi/2$. At this point, any state which is annihilated by all trimer projections $\mathcal{P}_T(i)$ ($i = 1, \dots, N$) is a zero-energy ground state of the Hamiltonian. A single trimer wave function (2.3) has all the basis states different from one another over the three consecutive sites. Therefore, a product state which does not contain a permutation of $+0-$ in any three consecutive sites is

a ground state at $\theta = 3\pi/2$. One can again count the number of such states by constructing a transfer matrix:

$$T = \begin{pmatrix} 1 & 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 1 \\ 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 \\ 1 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 1 \end{pmatrix}, \quad (\text{B3})$$

where the order of the basis states is $\{|+\rangle, |+\rangle, |+\rangle, |0\rangle, |0\rangle, |0\rangle, |-\rangle, |-\rangle, |-\rangle\}$. The largest eigenvalue of T , in modulus, can be obtained analytically, and is given by $\lambda_{\text{max}} = 1 + \sqrt{2}$. Therefore, we have $Z_N^{(\text{cl})} \sim (\lambda_{\text{max}})^N \sim (2.414)^N$ for large N .

Finally, we derive a lower bound for the number of ground states for $\pi < \theta < 3\pi/2$. In this region, a ground state must be annihilated by both $\mathcal{P}_D(i)$ and $\mathcal{P}_T(i)$ for all $i = 1, \dots, N$. This happens when the spin configuration of any three consecutive sites is one of the following: $\{|+\rangle, |+\rangle, |+\rangle, |+\rangle, |0\rangle, |0\rangle, |0\rangle, |0\rangle, |-\rangle, |-\rangle, |-\rangle, |-\rangle, |-\rangle, |-\rangle\}$. This three-site rule determines the transfer matrix

$$T = \begin{pmatrix} 1 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 1 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 1 \end{pmatrix}, \quad (\text{B4})$$

where the order of the basis states is $\{|+\rangle, |+\rangle, |0\rangle, |0\rangle, |0\rangle, |-\rangle, |-\rangle, |-\rangle\}$. The eigenvalues of T are given by

$$\lambda = \frac{1 \pm \sqrt{5}}{2}, 0, \quad (\text{B5})$$

each of which is twofold degenerate. Therefore, the number of classical states in the periodic chain is obtained as

$$Z_N^{(\text{cl})} = \text{Tr}[T^N] = 2\varphi^N + 2(-1)^N \varphi^{-N}, \quad (\text{B6})$$

where $\varphi = (1 + \sqrt{5})/2$ is the golden ratio. The sequence of $Z_N^{(\text{cl})}$ coincides with the Fibonacci sequence starting from 2 and 6 (A022112 in OEIS). For large N , we have $Z_N^{(\text{cl})} \sim 2\varphi^N \sim 2 \times (1.618)^N$.

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