Topological edge states on time-periodically strained armchair graphene nanoribbons

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We report the emergence of electronic edge states in time-periodically driven strained armchair terminated graphene nanoribbons. This is done by considering a short-pulse spatial-periodic strain field. Then, the tightbinding Hamiltonian of the system is mapped into a one-dimensional ladder. The time periodicity is considered within the Floquet formalism. Thus the quasienergy spectrum is found numerically by diagonalizing the evolution operator. For some particular cases, the quasienergy spectrum is found analytically. We found that the system is able to support gapless and gapped phases. Very different edge states emerge for both the gapless and the gapful phases. In the case of the gapped phase, edge states emerge at the gap centered at zero quasienergy, although the Chern number is zero due to the chiral symmetry of the system. For the gapless phase, besides edge states at zero quasienergy, cosinelike edge states which merge and coexist with the bulk band are observed. To confirm the topological nature of these edge states, we analytically obtained the effective Hamiltonian and its spectrum for a particular case, finding that the edge states are topologically weak. Finally, we found analytically the evolution of band edges and their crossings as a function of the driven period. Topological modes arise at such crossings.

DOI: [10.1103/PhysRevB.96.155435](https://doi.org/10.1103/PhysRevB.96.155435)

I. INTRODUCTION

Topological insulators are materials which can support topologically protected low-energy excitations at the edges [\[1\]](#page-8-0). Such low-energy excitations have attracted a lot of attention due to their potential to be used in the field of topological quantum computing $[2,3]$ or in spintronics $[4-6]$. After the experimental observation of topological insulators [\[7,8\]](#page-8-0), many systems exhibiting topologically nontrivial properties have been proposed $[9-27]$ $[9-27]$. Among them, one can mention the remarkable case of periodically driven systems, which have been proven to have very rich and newer interesting topological features when compared with the static topological case [\[11,](#page-8-0)[28,29\]](#page-9-0). For instance, periodically driven systems can give rise to Majorana-like edge states [\[30\]](#page-9-0), chiral and counterpropagating edge states [\[13\]](#page-9-0), among many others [\[31–35\]](#page-9-0). The emergence of edge states is protected by a conservation law or symmetry of the bulk system; this is the so-called bulk-edge correspondence [\[36\]](#page-9-0). The role played by the symmetries is fundamental to correctly describe the topological properties of these kinds of systems, since they shed light about the topological invariant that can be used to describe them [\[37,38\]](#page-9-0). Although great progress has been made in the topological classification of periodically driven gapful systems [\[39,40\]](#page-9-0), the topological classification of gapless systems is yet incomplete. For instance, the topological properties of Dirac semimetals cannot be described by the topological invariants used for gapful systems [\[41\]](#page-9-0). This is precisely the case of the topological modes in graphene. This material is a truly two-dimensional crystal with extraordinary mechanical properties (that have given rise to very interesting phenomena in mechanically deformed graphene [\[42–48\]](#page-9-0)), which is known to have a nontrivial topological behavior not only in the static case [\[46,49–52\]](#page-9-0) but also in the periodically driven case [\[26,53–55\]](#page-9-0). Among these interesting phenomena

for the time-dependent case, we can cite chiral edge states [\[13\]](#page-9-0), flat bands [\[26\]](#page-9-0), Majorana-like edge modes [\[56\]](#page-9-0), and so on.

Motivated by the previous discussion, we decided to study the emergence of edge states in periodically driven uniaxial strained armchair graphene nanoribbons (AGNs) using a tight-binding approach within the Floquet theory. In particular and thinking on the possibility of achieving this system experimentally, we consider a spatial-periodic strain field. It is important to remark that in a previous work we have considered the case of a periodically driven strained zigzag graphene nanoribbon $[26]$ (ZGN). The case studied here is fundamentally different from the zigzag one. The first and maybe most important difference is that the AGN case can support gapless and gapful phases while the ZGN case can only support a gapless phase. This is a consequence of the static properties of strained graphene nanoribbons (see, for example, [\[46,57–59\]](#page-9-0)). Second, the nature of the edge states of periodically driven strained AGNs is completely different from the one observed in the ZGN case (see [\[26\]](#page-9-0)). For instance, as will be seen later on, for periodically driven AGNs in the gapless phase, cosinelike edge states emerge; these states merge and coexist with the bulk bands. On the other hand, for the gapful phase, besides the edge sates that appear in the gap centered at zero quasienergy, other edge states emerge in other gaps. This an interesting fact since it has been proven for periodically driven chiral systems that full gaps around zero and $\pm \pi$ quasienergies are topologically trivial from the Chern number point of view [\[60\]](#page-9-0). The implications of this fact are discussed below.

In addition, it is important to mention that the experimental realization of our model can be hard since the required deformation field time scales are very fast (we need a driving period around femtoseconds to observe the effects here discussed; see [\[26\]](#page-9-0)). However, there are some proposed experiments quite similar to our model. For instance, one can put graphene upon a substrate, say hexagonal boron

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nitride (h-BN); then, since the lattice constants of graphene and h-BN are different, graphene would be deformed. The periodic modulation can be achieved by periodically applying pressure to the complete system (graphene and substrate), as is suggested in [\[22,26\]](#page-9-0). Even if this method fails, our model can be implemented in optical lattices for which the hopping parameters can be tailored at will [\[61\]](#page-9-0).

To finish, the paper is organized as follows. In Sec. II we describe the theoretical model and the notation to be used, while in Sec. [III](#page-2-0) we analyze the quasienergy spectrum and the edge states for both the gapped and the gapless phases of our model. The topological properties of the edge states for both the gapless and the full gapped phase are discussed in Sec. [IV](#page-4-0) via the symmetries of the time evolution operator. In Sec. [V](#page-5-0) we study the topological nature of the edge states that emerge in the gapless phase via an effective Hamiltonian approach for a one-dimensional slide of the whole system. Some conclusions are proposed in Sec. [VI.](#page-6-0) Appendices [A](#page-7-0) and [B](#page-8-0) include some calculations concerning the main text.

II. PERIODICALLY DRIVEN STRAINED GRAPHENE

Consider a pristine armchair graphene nanoribbon as the one shown in Fig. $1(a)$. Suppose that now we apply a spatially

FIG. 1. Schematic representation of the periodically delta driving layout for an armchair graphene nanoribbon. (a) For $t \neq T$, the strain field is turned off. (b) The strain field is turned on for $t = mT$. Here *T* is the period of the delta driving. For uniaxial strain along the *y* direction (in particular we consider a sinusoidal strain with wavelength σ), the strained armchair graphene nanoribbon can be mapped onto a one-dimensional effective system, which is represented by linear ladders on the left of the figure. Solid dots represent the position of carbon atoms. The shaded areas correspond to some unitary cells of pristine graphene, while the red dotted lines correspond to the limits of the unit cell in the *x* direction. *j* labels the rows in graphene, while A and B are used to denote each of the bipartite lattices [\[59\]](#page-9-0). Carbons on each sublattice are indicated by green and blue.

periodic strain field along the *y* direction, given by

$$
u(y_j) = \lambda \cos(2\pi \sigma y_j + \phi), \tag{1}
$$

where λ is the amplitude, σ is the wavelength, and ϕ is the phase of the strain field. y_j are the positions of the carbon atoms along the *y* direction inside the unit cell (see Fig. 1, wherein the unit cell of the system is indicated by dotted red lines). The main advantage of uniaxial strain is the symmetry along the *x* axis that allows a good quantum number to be associated with the quasimomentum along the x direction, that we denote by k_x . As a result, it is possible to decouple the two-dimensional system into an effective one-dimensional system [\[45,46,62\]](#page-9-0). In the tight-binding limit, the electronic properties of an armchair graphene nanoribbon under a uniaxial strain field, as the one in Eq. (1), are described by the following one-dimensional effective Hamiltonian [\[46\]](#page-9-0):

$$
H(k_x) = \sum_{j=1}^{N/2-1} \gamma_0 [d(k_x) a_{2j}^{\dagger} b_{2j} + a_{2j-1}^{\dagger} b_{2j-1}] + \sum_{j=1}^{N/2-1} \gamma_j a_j^{\dagger} b_{j+1} + \text{H.c.},
$$
 (2)

where $d(k_x) = \exp(3i k_x a_c)$, k_x is the crystal momentum in the *x* direction, and a_c is the interatomic distance between carbon atoms in unstrained graphene. In what follows, we will set $a_c = 1$ and thus all quasimomenta k_x are measured in units of a_c^{-1} . *N* is the number of atoms per unit cell and a_j (*b_j*) annihilates an electron at the site *j* in the graphene's bipartite sublattice A (B) (see Fig. 1). The hopping parameters are given by

$$
\frac{\gamma_j}{\gamma_0} = \exp\left[\beta(\sqrt{1-\lambda\sqrt{3}f(j,\sigma,\phi)+\lambda^2f^2(j,\sigma,\phi)}-1)\right], \quad (3)
$$

where $\beta \approx 3.37$ is the rate of decay [\[63,64\]](#page-9-0) (the Grüneisen parameter). The parameter $\gamma_0 = 2.7 \text{ eV}$ is the interatomic hopping parameter for pristine graphene that we will set as $\gamma_0 = 1$ in what follows, that is, all energies will be measured in units of γ_0 . Finally the function $f(j,\sigma,\phi)$ is defined as

$$
f(j,\sigma,\phi) = 2\sin(\sqrt{3}\pi\sigma/2)\sin[\sqrt{3}\pi\sigma(j+1/2)+\phi].
$$
 (4)

The main features of this Hamiltonian have been described in a previous work, in the small strain's amplitude limit [\[46\]](#page-9-0). Let us make some remarks about the difference between considering zigzag or armchair graphene nanoribbons. As has been proven before, it is much easier to open a gap applying a strain field along the zigzag direction on an armchair graphene nanoribbon [\[59\]](#page-9-0). Therefore, one expects that gaps emerge for certain parameters' values. In addition, one also expects edge states to be very different from the zigzag case [\[65\]](#page-9-0). Indeed this will be the case, as will be proven in what follows.

Once the Hamiltonian of a uniaxial strained AGN has been presented, we now introduce the time dependence to the model. In particular, we consider the case of a short-time strain impulse that can be approximated as a delta kicking. A graphic description of the driving layout is shown in Fig. 1. Therein, we see that the deformation field is turned on at times $t = mT$ where *T* is the driving period and *m* is an integer number. The strain is turned off whenever $t \neq mT$. That is,

we consider a time-dependent Hamiltonian of the following form:

$$
H(k_x,t) = H_0(k_x) + \sum_m [H_1(k_x) - H_0(k_x)]\delta(t/T - m),
$$
 (5)

with the Hamiltonians H_0 and H_1 given by

$$
H_0(k_x) = \sum_{j=1}^{N/2-1} \gamma_0 [d(k_x) a_{2j}^{\dagger} b_{2j} + a_{2j-1}^{\dagger} b_{2j-1}] + \sum_{j=1}^{N/2-1} \gamma_0 a_j^{\dagger} b_{j+1} + \text{H.c.}
$$
 (6)

and

$$
H_1(k_x) = \sum_{j=1}^{N/2-1} \gamma_0 [d(k_x) a_{2j}^{\dagger} b_{2j} + a_{2j-1}^{\dagger} b_{2j-1}] + \sum_{j=1}^{N/2-1} \gamma_j a_j^{\dagger} b_{j+1} + \text{H.c.}
$$
 (7)

Even though the experimental realization of the proposed driving layout is experimentally challenging, there are some proposed experiments for similar situations [\[22,66\]](#page-9-0), as was discussed in the Introduction.

To study the quasienergy spectrum we construct the oneperiod time evolution operator of the system, defined as [\[67\]](#page-9-0)

$$
U(k_x,T) \, |\psi_{k_x}(t)\rangle = |\psi_{k_x}(t+T)\rangle,\tag{8}
$$

where $|\psi_{k_x}(t)\rangle$ is the time-dependent wave function of the system for a given quasimomentum k_x along the *x* axis. For the considered delta-kicking driving layout, $U(k_x, T)$ can be written in a very simple manner, namely,

$$
U(k_x, \tau) = \mathcal{T} \exp\left[-i \int_0^T H(k_x, t) dt/\hbar\right]
$$

= $\exp\left\{-i \tau [H_1(k_x) - H_0(k_x)]\right\} \exp\left[-i \tau H_0(k_x)\right],$ (9)

where T denotes the time ordering operator and $\tau = T/\hbar$.

Even though the Hamiltonians $H_1(k_x)$ and $H_0(k_x)$ do not commute, we can study the eigenvalue spectrum of $U(k_x, \tau)$ via an effective Hamiltonian defined as

$$
U(k_x, \tau) = \exp[-i\tau H_{\rm eff}(k_x, \tau)].
$$
 (10)

The previous time evolution operator has eigenvalues $\exp(-i\tau\omega)$, where $\tau\omega$ are called the quasienergies of the system. They are defined up to integer multiples of 2π . After introducing the time dependence to the model, there are four free parameters: three owing to the strain field $(λ, σ, and φ)$ and one owing to the driving (*τ*).

One can study the system for a wide range of parameters. Maybe the most important one is σ since it controls the wavelength of the strain field. If this wavelength is incommensurate with respect to the graphene cell, the system is quasiperiodic, resulting in a complex spectrum for the static undriven case [\[45,59\]](#page-9-0). However, since topological states are observed only when translational invariance holds [\[68\]](#page-9-0), here we study only commensurate cases. In particular, we chose two different

values for σ , namely, (1) $\sigma = 1/\sqrt{3}$ and (2) $\sigma = 0.5/\sqrt{3}$, setting $\phi = \pi \sigma$ for each case. We have chosen such σ values setting $\varphi = \pi \sigma$ for each case. We have chosen such σ values
since $\sigma = 1/\sqrt{3}$ gives the smallest spatial period along the *y* axis and the system is on a gapless phase around zero and *y* axis and the system is on a gapless phase around zero and $\pm \pi$ quasienergy in the bulk spectrum, while for $\sigma = 0.5/\sqrt{3}$ we obtain the next size of the spatial period and the system becomes gapped around zero quasienergy in the bulk spectrum.

romes gapped around zero quasienergy in the bulk spectrum.
For $\sigma = 1/\sqrt{3}$ the supercell contains two rows of graphene in the *y* direction or, in other words, four inequivalent carbon atoms in the supercell, since the hopping parameters just take two different vales, which are given by substituting the following expression,

$$
f(j, 1/\sqrt{3}, \phi) = 2\lambda(-1)^{j} \cos(\phi),
$$
 (11)

in Eq. [\(3\)](#page-1-0). On the other hand, for $\sigma = 1/2\sqrt{3}$ the hopping parameters takes four different values, meaning that now the supercell has eight inequivalent atoms. Once again, the hopping parameters are given by substituting the following expression,

$$
f\left(j,\frac{1}{2\sqrt{3}},\phi\right) = 2\lambda \sin\left[\frac{\pi}{2}(j+1/2)\right] \sin\left[\frac{\pi}{4}+(-1)^j\phi\right],\tag{12}
$$

in Eq. [\(3\)](#page-1-0).

III. QUASIENERGY SPECTRUM

In this section we study the quasienergy spectrum and the emergence of topological edge states. We start by building the matrix representation of $U(k_x, \tau)$ as described in Eq. (9). Then, their eigenvalues as a function of k_x are obtained by numerical calculations for a finite system. For all numerical cases presented here we will consider a system with $N = 324$. cases presented here we will consider a system with $N = 324$.
It is worthwhile to note that for $\sigma = 1/\sqrt{3}$ or $1/2\sqrt{3}$ the system becomes periodic in the *y* direction. Therefore, by applying cyclic boundary conditions along the *x* and *y* directions, the quasienergy spectrum can be also obtained by Fourier transforming the Hamiltonians $H_0(k_x)$ and $H_1(k_x)$ and then substituting them into Eq. (9). No edge states appear in the quasienergy dispersion relation obtained by using this method, but it allows us to compare numerical with analytical results. To observe edge states, here we needed to perform calculations in real space for the *y* direction.

Let us start by studying the quasienergy spectrum for Let us start by studying the quasienergy spectrum for $\sigma = 1/\sqrt{3}$, in other words, the gapless quasienergy spectrum. In Fig. [2](#page-3-0) we show the quasienergy band structure for $\lambda = 0.2$, *τ* = *π*, *φ* = *πσ*, and *σ* = $1/\sqrt{3}$. In panel (a) we have used cyclic boundary conditions, whereas for panel (b) the boundary conditions were changed to fixed. Observe that the main difference between panels (a) and (b) is that in panel (b) edge states emerge. The colors in the figure represent the logarithm of the normalized inverse participation ratio (IPR), which is defined as in [\[45\]](#page-9-0), namely,

$$
\alpha(E) = \frac{\ln \sum_{j=1}^{N} |\psi(j)|^4}{\ln N},
$$
\n(13)

where $\psi(j)$ is the eigenfunction at site *j* for an energy *E*. The IPR is a measure of the wave-function localization. The closer to zero the IPR (red color in figures) the more localized the

FIG. 2. Gapless quasienergy band structure obtained from the numerical diagonalization of the matrix representation given by **Eq.** [\(9\)](#page-2-0). The parameters used are $\sigma = 1/\sqrt{3}$, $\lambda = 0.2$, $\tau = \pi$, $\phi = \pi \sigma$, and $N = 324$, for (a) cyclic boundary conditions and (b) fixed boundary conditions. In panel (a), note that no edge states appear since cyclic boundary conditions were used. In panel (b), two kinds of edge states emerge. One appears around zero quasienergy. The others are cosinelike edge states that merge and coexist with the bulk bands. The colors represent the inverse participation ratio. For red color the states are highly localized, whereas for blue color they are totally delocalized.

wave function is. Delocalized or extended wave functions are labeled by blue color in the figures, and correspond to $\alpha(E)$ tending to -1 .

It is interesting to note that even though the system is on a gapless phase edge states appear. Moreover, two kinds of edge states are observed in Fig. 2. Edge states of one kind are around zero quasienergy and are degenerated at $k_x = 0$, but they begin to decouple and delocalize as one moves away from that point. Observe that they follow a cosinelike dispersion. Edge states of the other kind also have a cosinelike dispersion and are degenerated at $k_x = 0$ at $\pm \pi$ quasienergy. As k_x moves away from that point, these edge states decouple and, eventually, they merge with the bulk bands without becoming totally delocalized states. We have numerically checked that they are localized near opposite edges of the AGN. Additionally, we stress that the quasienergy spectrum strongly depends upon the phase ϕ of the strain field. To see this point, in Fig. 3 we present the quasienergy band structure for $\phi = 0$ (a) and $\phi = \pi \sigma/2$ (b). In panel (a), it can be seen that edge states are quite similar to the ones shown in Fig. $2(b)$. On the other hand, observe that the edge states in Fig. $3(b)$ do not touch each other either at zero or at $\pm \pi$ quasienergies when $k_x = 0$;

FIG. 3. Gapless quasienergy band structure obtained from the numerical diagonalization of the matrix representation of Eq. [\(9\)](#page-2-0). The numerical diagonalization of the matrix representation of Eq. (9). The parameters used are $\sigma = 1/\sqrt{3}$, $\lambda = 0.2$, $\tau = \pi$, $N = 324$, and using fixed boundary conditions for (a) $\phi = 0$ and (b) $\phi = \pi \sigma/2$. Note that for panels (a) and (b) the edge states deeply penetrate into the bulk bands. Also, for panel (b), edge states around zero quasienergy are decoupled. The same color code as in Fig. 2 was used to display localization of each mode.

instead a gap between them has been opened. Besides that, they decouple into four bands around zero quasienergy. Note how all the edge states in Fig. $3(a)$ deeply penetrate into the bulk bands.

The strong dependence of the quasienergy band structure on *φ* can be explained as follows. Basically, the phase *φ* determines how the strain pattern matches with the edges of the AGN, a fact that has been proven to be crucial in the topological properties of similar systems [\[18,69\]](#page-9-0). Although all the observed edges states are interesting enough, in the next section, we will focus only on the topological characterization of the ones that emerge for $\phi = \pi \sigma$.

Before finishing, let us analyze the quasienergy spectrum for the full gapped phase of our system. Since topological edge states are very robust to small perturbations, we expect edge states not to be destroyed by a full gap in the bulk spectrum. This assertion is confirmed in Fig. [4,](#page-4-0) where we have plotted the quasienergy band structure for a gapped phase of the system, that is, we used the same conditions as in Fig. [4](#page-4-0) but using that is, we used the same conditions as in Fig. 4 but using $\sigma = 1/2\sqrt{3}$ for fixed boundary conditions. As can be seen, four edge states emerge around zero quasienergy closing the bulk gap. Note that they linearly merge in a single point at $k_x = 0$, which is a time-reversal invariant point, similarly to the edge states observed in Fig. 2. Additionally, other edge states emerge always so that a partial gap appears on the quasienergy spectrum [see Fig. $4(b)$]. As will be shown in the

FIG. 4. Gapful quasienergy band structure obtained from the numerical diagonalization of the matrix representation of Eq. [\(9\)](#page-2-0) for $\sigma = 1/2\sqrt{3}$, $\lambda = 0.2$, $\tau = \pi$, $\phi = \pi\sigma$, and $N = 324$ using fixed boundary conditions. (a) An amplification around zero quasienergy to highlight the zero quasienergy edge modes. Observe that edge states also emerge at other gaps. The same color code as in Fig. [2](#page-3-0) was used to display localization of each mode.

next section, our model possesses chiral symmetry and thus edges states that appear in the gap around zero quasienergy are topologically trivial from the point of view of the Chern number [\[60\]](#page-9-0), although they can have a weak topological nature [\[70–72\]](#page-9-0). However, edge states at other gaps (that is, full gaps not centered around zero or $\pm \pi$ quasienergy) can be topologically nontrivial [\[60\]](#page-9-0).

IV. TOPOLOGICAL PROPERTIES OF EDGE STATES

Before entering into the study of the topological properties of the system, let us write the Fourier-transformed version of the Hamiltonians $H_1(k_x)$ and $H_0(k_x)$ when periodic boundary conditions are used in the *y* direction. For that case, it is possible to fully write the Hamiltonians $H_1(k_x)$ and $H_0(k_x)$ in reciprocal space by taking into account the new periodicity in the *y* direction. This leads to a new quantum number k_y , from which it follows that $H_1(k_x)$ and $H_0(k_x)$ can be simplified using a suitable Fourier transform. In fact, it can be proven that such Hamiltonians are reduced to a 4*Q*×4*Q* matrix dependent on $\mathbf{k} = (k_x, k_y)$, where 4*Q* is the number of inequivalent rows in the *y* direction. Notice that *Q* is related inequivalent rows in the *y* direction. Notice that *Q* is related to σ as $\sigma = P/(\sqrt{3}Q)$, since in Eq. [\(1\)](#page-1-0) the positions y_j are evaluated at graphene's sites along a zigzag path, where atoms evaluated at graphene's sites along a zigzag path, where atoms are separated by distances $\sqrt{(3)a_c/2}$. For the case $\sigma = 1/\sqrt{3}$, the matrices have their lowest size since $Q = 1$, therefore Hamiltonians $H_1(\mathbf{k})$ and $H_0(\mathbf{k})$ are 4×4 matrices. This is the most simple case and can be studied analytically. Such a case deserves special attention and will be studied in the next section.

Yet one can make further progress by writing the Hamiltonian for a general *Q* in the chiral basis, i.e., in a basis such that all sites in the *A* sublattice appear as the first entries in the vector, then followed by its corresponding counterparts in the *B* sublattice [\[59\]](#page-9-0). Then one obtains

$$
\mathbb{H}_{l}(\mathbf{k}) = \begin{bmatrix} 0 & \tilde{\mathbb{H}}_{l}(\mathbf{k}) \\ \tilde{\mathbb{H}}_{l}^{\dagger}(\mathbf{k}) & 0 \end{bmatrix}, \tag{14}
$$

where $l = 0,1$ and the tilde indicates $2Q \times 2Q$ matrices. The explicit form of $\mathbb{H}_{l}(\mathbf{k})$ is given in [A](#page-7-0)ppendix A for the The explicit form of $\mathbb{H}_l(\mathbf{K})$ is given in Appendix A for the particular case of $\sigma = 1/\sqrt{3}$. The perturbation $\delta \mathbb{H}(k_y) =$ $\mathbb{H}_1(\mathbf{k}) - \mathbb{H}_0(\mathbf{k})$ is simply written as

$$
\delta \mathbb{H}(k_{y}) = \begin{bmatrix} 0 & \delta \tilde{\mathbb{H}}(k_{y}) \\ \delta \tilde{\mathbb{H}}^{\dagger}(k_{y}) & 0 \end{bmatrix}, \quad (15)
$$

where $\delta \tilde{H}(k_y) = \tilde{H}_1(\mathbf{k}) - \tilde{H}_0(\mathbf{k})$. The explicit form of these where $\delta \ln(\kappa_y) = \ln(1/\kappa) - \ln(0/\kappa)$. The explicit form of these matrices for $\sigma = 1/\sqrt{3}$ is given in Appendix [A.](#page-7-0) Notice that k_x cancels out as the perturbed and unperturbed Hamiltonians have the same symmetry in the *x* axis.

For studying the topological properties of our model we start by looking at the symmetries of the Hamiltonians $\mathbb{H}_1(\mathbf{k})$ and $\mathbb{H}_0(\mathbf{k})$. Note that such Hamiltonians fulfill the following condition:

$$
\Gamma \mathbb{H}_l(\mathbf{k}) \Gamma = -\mathbb{H}_l(\mathbf{k}),\tag{16}
$$

where $l = 0, 1$ and Γ is the so-called chiral operator. Γ is a unitary operator which can be represented, in the chiral basis, as

$$
\Gamma = \begin{bmatrix} \mathbb{I}_{2Q \times 2Q} & 0 \\ 0 & -\mathbb{I}_{2Q \times 2Q} \end{bmatrix}
$$
 (17)

with the property that $\Gamma^2 = \mathbb{I}_{4Q \times 4Q}$. As a consequence of Eq. (16), the Fourier-transformed version of the timedependent Hamiltonian, Eq. [\(5\)](#page-2-0), possesses the following property:

$$
\Gamma \mathbb{H}(\mathbf{k},t)\Gamma = -\mathbb{H}(\mathbf{k},-t). \tag{18}
$$

From Eq. (18) it follows that the Hamiltonian Eq. (5) is chiral, therefore the time evolution operator Eq. [\(9\)](#page-2-0) must satisfy the following condition:

$$
\Gamma \mathbb{U}(\tau)\Gamma = \mathbb{U}^{-1}(\tau) = \mathbb{U}^{\dagger}(\tau) = \mathbb{U}(-\tau), \tag{19}
$$

where the time evolution operator is now given by

$$
\mathbb{U}(\tau) = e^{-i\tau\delta\mathbb{H}(\mathbf{k})}e^{-i\tau\mathbb{H}_0(\mathbf{k})}.
$$
 (20)

Observe that, by using Eq. (19), $\mathbb{U}(-\tau)$ can be written as

$$
\mathbb{U}(-\tau) = e^{i\tau \mathbb{H}_0(\mathbf{k})} e^{i\tau \delta \mathbb{H}(\mathbf{k})},\tag{21}
$$

which is the same result that one obtains directly from the time ordering operator that appears in Eq. [\(9\)](#page-2-0).

Due to the condition Eq. (19) , the quasienergy spectrum is symmetric with respect to reflections along the k_x axis, as confirmed in Figs. [2–](#page-3-0)4. Moreover, the chiral symmetry

for fully gapped systems in two dimensions imposes the vanishing of the topological invariant at full gaps centered around zero and $\pm \pi$ quasienergy [\[60\]](#page-9-0), although other gaps can be topologically nontrivial $[60]$. Even though this fact sheds light about the topological nature of the edge states observed in the fully gapped phase, it does not give any information of the topology of the edge states that emerge in the gapless phase. In fact, the topological nature of these edge states is not clear [\[60\]](#page-9-0). Therefore, the topological characterization of such states will be done in the next section, in which we study the topological properties of a one-dimensional slide of the whole two-dimensional system; in particular, there we will consider the case $k_x = 0$.

To finish, let us discuss the the topological properties of the edge states observed in the gapful phase of our system. As was edge states observed in the gaptul phase of our system. As was
mentioned before, for the case $\sigma = 1/2\sqrt{3}$ the topological invariant for the central gap is zero but edge states emerge in the gap centered at zero quasienergy (see Fig. [4\)](#page-4-0). Despite this fact, edge states that close the gap at zero quasienergy emerge. This implies that a different topological invariant is needed to characterize the system or that the edge states are topologically weak [\[70\]](#page-9-0). It is worth mentioning that such edge states exhibit a linear dispersion for low quasienergies and that the edge states cross each other at a time-reversal point, namely, $k_x = 0$. As is well known, such a crossing is required by time-reversal symmetry and is one of the signatures of a topological insulator [\[73\]](#page-9-0); in other words, such states seem to be topologically nontrivial. Nevertheless, to completely determine the topological nature of these states, it is necessary to compute the topological invariant of the system. Since the topological invariant is not yet well defined for time-periodically driven systems and since the calculation of the invariant is beyond the scope of the present paper, we do not compute it. However, we expect that our paper will motivate further studies about these edge states.

V. ANALYTICAL STUDY OF THE TOPOLOGY FOR $\sigma = 1/\sqrt{3}$ AT $k_x = 0$

We begin by studying the emergence of edge states for We begin by studying the emergence of edge states for
the gapless case, obtained for $\sigma = 1/\sqrt{3}$. For doing that, as seen in Fig. 5, note that edge states will emerge for the first time when the lower and upper quasienergy band edges cross each other $[74]$ as τ is increased from zero (keeping the other parameters fixed). Band edges correspond to extremal values of the quasienergy spectrum for the time evolution operator given by Eq. [\(20\)](#page-4-0). It is easy to see that such maximum and minimum values are reached when the Hamiltonians \mathbb{H}_0 and δ ^H commute. Let us denote by k_x^* and k_y^* the points at which this happens. From Eq. [\(A5\)](#page-7-0), we can readily obtain that $k_x^* =$ $3n\pi/2$ and $k_y^* = n\pi/\sqrt{3}$, where *n* is an integer number. Since we are interested in a one-dimensional slice of our system, we first consider $k_x = 0$ and then study the quasienergy spectrum as a function of k_y . As is proven in Appendix **B**, for $k_x = 0$, the time evolution operator becomes block diagonal:

$$
\mathbb{U}'(\tau) = \begin{bmatrix} e^{i\tau \delta \tilde{h}(k_y)} e^{i\tau \tilde{h}_0(k_y)} & 0\\ 0 & e^{-i\tau \delta \tilde{h}(k_y)} e^{-i\tau \tilde{h}_0(k_y)} \end{bmatrix}, \quad (22)
$$

FIG. 5. Quasienergy band spectrum for $k_x = 0$ as a function of τ obtained by numerical diagonalization of Eq. [\(9\)](#page-2-0) using $N = 324$, $\sigma =$ botained by numerical diagonalization of Eq. (9) using $N = 324$, $σ = 1/\sqrt{3}$, $λ = 0.2$, $φ = πσ$, and fixed boundary conditions compared with the band edges obtained from the analytical calculation given by Eqs. [\(30\)](#page-6-0) and [\(32\)](#page-6-0). The quasienergy band edge $\tau \omega^+$ ($\tau \omega^-$) is denoted by solid black (dotted gray) lines. Note that such band edges cross each other for the first time at $\tau = \tau_c^{\pm}$ at quasienergies different from zero or $\pm \pi$. It is interesting that for $\tau = \tau_c^+$ edge states emerge, which is not the case for τ_c^- . This is related with the nature of the crossings. Also, observe that the edge states are not flat bands but they depend linearly on *τ* . See Sec. V.

where $\mathbb{U}'(\tau)$ is the time evolution operator Eq. [\(20\)](#page-4-0) written in the basis where \mathbb{H}_0 is diagonal (see the Appendices for details). $\delta \tilde{h}(k_v)$ and $\tilde{h}_0(k_v)$ can be written as follows:

$$
\delta\tilde{h}(k_{y}) = \delta h(k_{y})\delta\hat{\mathbf{h}} \cdot \boldsymbol{\sigma},
$$

\n
$$
\tilde{h}_{0}(k_{y}) = \mathbb{I}_{2\times 2} + 2\cos(\sqrt{3}k_{y}/2)\sigma_{z},
$$
\n(23)

where $\sigma = (\sigma_x, \sigma_y, \sigma_z)$, σ_i ($i = x, y, z$) is the 2×2 Pauli matrix, $\delta \hat{\bf h} = \delta {\bf h}/\delta h$, and the components of $\delta {\bf h}$ are given by

$$
\delta h^{(y)} = (\gamma_1 - \gamma_2) \sin(\sqrt{3}k_y/2),
$$

\n
$$
\delta h^{(z)} = (\gamma_1 + \gamma_2 - 2) \cos(\sqrt{3}k_y/2),
$$
\n(24)

and we define the norm $\delta h(k_y)$ as

$$
\delta h(k_y) = \sqrt{[\delta h^{(y)}]^2 + [\delta h^{(z)}]^2}.
$$
 (25)

Now, it is possible to analytically obtain the quasienergies of $\mathbb{U}'(\tau)$ by studying only one of the diagonal blocks in Eq. (22). We will do that via an effective Hamiltonian defined as

$$
e^{i\tau\tilde{h}_{\text{eff}}(k_y)} = e^{i\tau\delta\tilde{h}(k_y)}e^{i\tau\tilde{h}_0(k_y)}.
$$
 (26)

For calculating \tilde{h}_{eff} we use the addition rule of SU(2). After some algebraic operations, we have

$$
\tilde{h}_{\text{eff}}(k_y) = \mathbb{I}_{2 \times 2} + \Omega(k_y) \hat{\mathbf{h}}_{\text{eff}} \cdot \sigma,\tag{27}
$$

where $\hat{\mathbf{h}}_{\text{eff}}$ is a unit vector defined in Appendix [B,](#page-8-0) and $\tau\Omega(k_y)$ satisfies

$$
\cos\left[\tau\Omega(k_{y})\right] = \cos\left(\tau\delta h\right)\cos\left[2\tau\cos\left(\sqrt{3}k_{y}/2\right)\right] \n- \hat{e}_{z} \cdot \delta \hat{\mathbf{h}}\sin\left(\tau\delta h\right)\sin\left[2\tau\cos\left(\sqrt{3}k_{y}/2\right)\right].
$$
\n(28)

The quasienergies are given by the eigenvalues of the time evolution operator, denoted by $\pm \tau \omega(k_v)$, from which

$$
\tau \omega(k_y) = \tau[\pm 1 + \Omega(k_y)]. \tag{29}
$$

The topological information of the system for $\sigma = 1/\sqrt{3}$ and $k_x = 0$ is now contained in the effective Hamiltonian [\(27\)](#page-5-0). It is illustrative to obtain the conditions for having edge states before studying the topological properties of the Hamiltonian [\(27\)](#page-5-0). Since edge states will emerge when the lower and upper band edges cross each other for the first time, we begin by obtaining such band edges. As was mentioned before, the extreme values of the quasienergy spectrum are found at $k_x = k_x^* = 0$ and $k_y = k_y^* = 0$. After substituting such values in Eq. (28) we have

$$
\tau \omega_{\pm} = \tau (\pm 1 + \gamma_1 + \gamma_2). \tag{30}
$$

Now, the condition for having quasienergy band edge crossings at the critical values $\tau = \tau_c$ is given by

$$
\tau_c(\omega_+ \pm \omega_-) = 2\pi. \tag{31}
$$

By using Eq. (30) we obtain

$$
\tau_c^+ = \frac{\pi}{\gamma_1 + \gamma_2}, \quad \tau_c^- = \pi. \tag{32}
$$

All other band crossings are given by $m\tau_c^{\pm}$, where *m* is an integer number. To shed light on the previous analysis, it is meaningful to compare it with numerical results. In Fig. [5,](#page-5-0) we plot the quasienergy spectrum for $k_x = 0$ as a function of *τ* obtained by numerical diagonalization of Eq. [\(9\)](#page-2-0) using *N* = 324, $\sigma = 1/\sqrt{3}$, $\lambda = 0.2$, $\phi = \pi \sigma$, and fixed boundary conditions. Therein, the band edges $\pm \tau \omega^+$ ($\pm \tau \omega^-$) calculated from Eq. (30) are denoted by solid black (dotted gray) lines. The critical values of τ obtained from Eq. (32) are displayed as well. The agreement between the numerical and analytical calculations is excellent.

Notice in Fig. [5](#page-5-0) how the edges $\tau \omega^{\pm}$ start at zero for $\tau =$ 0. As *τ* is increased, *τω*⁺ and *τω*[−] grow linearly but with different slopes. Since $\omega^+ \geq \omega^-, \omega^+$ reaches first the limit of the Floquet zone. Then, as *τ* is further increased, *ω*⁺ and *ω*[−] \csc cross each other at τ_c^+ . By further increasing τ a new band-edge crossing emerges at τ_c^- . Interestingly, the only crossings that produce edge states are the ones where $\tau = m\tau_c^+$. For the other crossings (at $m\tau_c^-$), no gap is opened, hence no edge states emerge. It is important to say that edge states emerge just for $\tau = m\tau_+$ because at these points there is a band inversion, whereas for $\tau = m\tau_+$ the band edges cross each other but they are not inverted. Due to the general mechanism, behind topological edge states' emergence is band inversion [\[73\]](#page-9-0), thus edge states do not appear for $\tau = m\tau_$.

Observe that for τ_c^+ the band-edge crossings do not occur at zero or $\pm \pi$ quasienergy; instead, they cross each other at

$$
\tau_c^+ \omega_\pm = \pi \pm \frac{\pi}{\gamma_1 + \gamma_2}.\tag{33}
$$

Unlike the case of driven uniaxial strained zigzag graphene nanoribbons $[26]$, the quasienergy at which these edge states emerge is different from zero or $\pm \pi$. Moreover, the edge states for the case considered here are not flat bands but unidirectional edge states, meaning that the quasienergy of such states grows linearly with τ (see Fig. [5\)](#page-5-0). Note that as τ is increased edge

FIG. 6. The winding of the vector $\hat{\mathbf{h}}_{\text{eff}}(k_y)$ for $k_x = 0$, $\lambda = 0.2$, *σ* = $1/\sqrt{3}$, and *φ* = $\pi \sigma$ using $\tau = \pi$ (a) and $\tau = 2\pi$ (b). The winding number for panel (a) is 2, whereas for panel (b) it is 4. Observe that by increasing the driving period τ the winding number is also increased.

states start to delocalize. When τ reaches 2π they are almost completely extended, as seen in Fig. [5](#page-5-0) by looking at the colors that represent the normalized inverse participation ratio.

To finish this section, we will show that these edge states for τ and λ fixed are topologically nontrivial. This is done by studying the effective Hamiltonian Eq. [\(27\)](#page-5-0). For having topologically nontrivial properties the winding number of the unitary vector $\hat{\mathbf{h}}_{\text{eff}}(k_y)$ around the origin must be nonvanishing. This is confirmed graphically in Fig. 6, wherein we show the winding of $\hat{\mathbf{h}}_{\text{eff}}(k_y)$ for $k_x = 0$, $\lambda = 0.2$, $\phi = \pi \sigma$, and $\sigma =$ winding of $\mathbf{n}_{\text{eff}}(k_y)$ for $k_x = 0$, $\lambda = 0.2$, $\varphi = \pi \sigma$, and $\sigma = 1/\sqrt{3}$ for (a) $\tau = \pi$ and (b) $\tau = 2\pi$. As can be seen, the winding number for this particular case is 2 for panel (a) and 4 for panel (b). Hence, the system is topologically nontrivial for a one-dimensional slide at $k_x = 0$. This means that the edge states observed in Fig. $2(b)$ have a topologically weak nature.

VI. CONCLUSIONS

We observed the emergence of edge states in periodically driven uniaxial strained AGNs. This was done by finding numerically, and for some cases analytically, the quasienergy spectrum. The system has gapped phases (as, for example, spectrum. The system has gapped phases (as, for example, with a spatial frequency $\sigma = 1/2\sqrt{3}$), and gapless phases with a spatial frequency $\sigma = 1/2\sqrt{3}$, and gapless phases (for example, $\sigma = 1/\sqrt{3}$). To understand the nature of the quasienergy spectrum and edge states, we showed that the system has a chiral symmetry implying a symmetric spectrum around zero quasienergy. For the gapped phase, highly localized edge states were found around zero quasienergy, which, due to the chirality of the system, are topologically trivial from the Chern number point of view, as has been shown recently in a paper by Fruchart [\[60\]](#page-9-0). This means that either a different topological invariant is needed to topologically characterize the system or edge states are weakly topological. Additionally, these states exhibit a linear dispersion around zero quasienergy and cross each other at a time-reversal invariant point $(k_x = 0)$; such a crossing is imposed by the time-reversal symmetry and is one of the signatures of a topological insulator [\[73\]](#page-9-0). Furthermore, this phase also exhibits edge states at secular gaps for quasienergies different from zero or $\pm \pi$ that could be topologically nontrivial, although a more detailed analysis is required.

On the other hand, for the gapless phase of the system, we found the necessary conditions for the emergence of edge states. Additionally, by studying a one-dimensional slide of the case system at $k_x = 0$, we were able to analytically obtain the quasienergy spectrum of such a slide, since for this case the time evolution operator can be effectively described by a block-diagonal 4×4 matrix; in other words, we obtained the effective Hamiltonian for $k_x = 0$. After looking at the winding of the effective Hamiltonian, we found that for this slice the edge states are topological, which means that the whole system is at least topologically weak. However, as for the gapful phase, is at least topologically weak. However, as for the gaptul phase,
a deeper analysis is needed for the case $\sigma = 1/\sqrt{3}$. We hope that our paper motivates further research about the system presented here.

ACKNOWLEDGMENTS

This project was supported by Dirección General de Asuntos del Personal Académico-Programa de Apoyo a Proyectos de Investigación e Innovación Tecnológica (DGAPA-PAPIIT) Project No. 102717. P.R.-T. acknowledges financial support from Consejo Nacional de Ciencia y Tecnología (México). We gratefully thank M. Fruchart for helpful discussions.

APPENDIX A

Let us now compute the unitary operator $U(\tau)$ for $\sigma =$ Let us now compute the unitary operator $\mathbb{U}(\tau)$ for $\sigma = 1/\sqrt{3}$. Before entering into the detailed calculation, we first define the Hamiltonians \mathbb{H}_0 and \mathbb{H}_1 :

$$
\mathbb{H}_{l}(\mathbf{k}) = \begin{bmatrix} 0 & \tilde{\mathbb{H}}_{l}(\mathbf{k}) \\ \tilde{\mathbb{H}}_{l}^{\dagger}(\mathbf{k}) & 0 \end{bmatrix},
$$
(A1)

where the label *l* can take the values $l = 0, 1$, and

$$
\tilde{\mathbb{H}}_0(\mathbf{k}) = \begin{bmatrix} 1 & 1 + e^{-i\sqrt{3}k_y} \\ 1 + e^{i\sqrt{3}k_y} & e^{-i3k_x} \end{bmatrix},
$$
\n
$$
\tilde{\mathbb{H}}_1(\mathbf{k}) = \begin{bmatrix} 1 & \gamma_1 + \gamma_2 e^{-i\sqrt{3}k_y} \\ \gamma_1 + \gamma_2 e^{i\sqrt{3}k_y} & e^{-i3k_x} \end{bmatrix}. \quad (A2)
$$

Thus, the perturbing Hamiltonian to H_0 is defined as $\delta \mathbb{H} =$ $\mathbb{H}_1(\mathbf{k}) - \mathbb{H}_0(\mathbf{k})$, which takes the form

$$
\delta \mathbb{H}(k_{y}) = \begin{bmatrix} 0 & \delta \tilde{\mathbb{H}}(k_{y}) \\ \delta \tilde{\mathbb{H}}(k_{y}) & 0 \end{bmatrix}, \tag{A3}
$$

with

$$
\delta \tilde{\mathbb{H}}(k_{y}) = \begin{bmatrix} 0 & \delta_{1} + \delta_{2} e^{-i\sqrt{3}k_{y}} \\ \delta_{1} + \delta_{2} e^{i\sqrt{3}k_{y}} & 0 \end{bmatrix}, \quad (A4)
$$

where $\delta_{1,2} = \gamma_{1,2} - 1$. Note that the unperturbed and perturbed Hamiltonians do not commute. In fact, we have

$$
[\delta \mathbb{H}(k_{y}), \mathbb{H}(\mathbf{k})] = \begin{bmatrix} \tilde{\mathbb{C}}(\mathbf{k}) & 0 \\ 0 & \tilde{\mathbb{C}}(\mathbf{k}) \end{bmatrix},
$$
 (A5)

where

$$
\tilde{C}(\mathbf{k}) = 2i(\gamma_1 - \gamma_2) \sin(\sqrt{3}k_y)\sigma_z \n+ 2\left[\delta_1 \sin\left(\frac{3k_x}{2}\right) + \delta_2 \sin\left(\frac{3k_x}{2} - \sqrt{3}k_y\right)\right] \n\times \sin\left(\frac{3k_x}{2}\right)\sigma_x \n+ 2\left[\delta_1 \cos\left(\frac{3k_x}{2}\right) + \delta_2 \cos\left(\frac{3k_x}{2} - \sqrt{3}k_y\right)\right] \n\times \sin\left(\frac{3k_x}{2}\right)\sigma_y,
$$
\n(A6)

where $\sigma_{x,y}$ are the 2×2 Pauli matrices and $\delta_{1,2} = \gamma_{1,2} - 1$ as before.

For obtaining the time evolution operator, we start by finding the eigenvalues and eigenvectors of the pristine system described by $\mathbb{H}_0(\mathbf{k})$. These eigenvalues are readily found by renormalizing one of the bipartite sublattices, since it is equivalent to consider the squared matrix $\mathbb{H}^2_0(\mathbf{k})$, as shown in [\[75,76\]](#page-9-0). Thus, the eigenvalues of $\mathbb{H}_0(\mathbf{k})$, denoted by $E_{1,2}(k_x, k_y)$, are

$$
E_1(k_x, k_y)
$$

= $\pm \sqrt{3 + 4 \cos (3k_x/2) \cos (\sqrt{3}k_y/2) + 2 \cos (\sqrt{3}k_y)}$,

$$
E_2(k_x, k_y)
$$

= $\pm \sqrt{3 - 4 \cos (3k_x/2) \cos (\sqrt{3}k_y/2) + 2 \cos (\sqrt{3}k_y)}$. (A7)

To find the unitary transformation that diagonalizes $\mathbb{H}_0(\mathbf{k})$, care must be taken since the eigenvalues of $\mathbb{H}^2_0(\mathbf{k})$ are degenerate and thus are not necessarily eigenvectors of $\mathbb{H}_0(\mathbf{k})$. However, the eigenfunctions of $\mathbb{H}_0(\mathbf{k})$ correspond to pristine graphene, thus one can apply the Bloch theorem for the original Brillouin zone of graphene to get the proper eigenfunctions. Using the well-known solution for graphene and ordering the energies as E_1 , E_2 , $-E_1$, $-E_2$, we obtain the unitary transformation that diagonalizes $\mathbb{H}_0(\mathbf{k})$:

$$
O = \begin{bmatrix} \tilde{M}_a & \tilde{M}_a \\ \tilde{M}_b & -\tilde{M}_b \end{bmatrix},
$$
 (A8)

where

$$
\widetilde{\mathbb{M}}_a(\mathbf{k}) = \frac{1}{2} \begin{bmatrix} 1 & 1 \\ e^{-i\mathbf{k} \cdot \mathbf{a}_2} & -e^{-i\mathbf{k} \cdot \mathbf{a}_2} \end{bmatrix},
$$
\n
$$
\widetilde{\mathbb{M}}_b(\mathbf{k}) = \frac{1}{2} \begin{bmatrix} e^{i\theta_1} & e^{i\theta_2} \\ e^{i\theta_1} e^{i\mathbf{k} \cdot \mathbf{a}_1} & -e^{i\theta_2} e^{i\mathbf{k} \cdot \mathbf{a}_1} \end{bmatrix},
$$
\n(A9)

 $\mathbf{a_1} = (3,\sqrt{3})/2$ and $\mathbf{a_2} = (3,-\sqrt{3})/2$ being the lattice vectors of pristine graphene, and

$$
e^{i\theta_1} = \frac{1}{E_1} [1 + 2e^{-3ik_x/2} \cos(\sqrt{3}k_y/2)],
$$

\n
$$
e^{i\theta_2} = \frac{1}{E_2} [1 - 2e^{-3ik_x/2} \cos(\sqrt{3}k_y/2)].
$$
\n(A10)

The next step is to transform Eq. [\(9\)](#page-2-0) onto the basis in which \mathbb{H}_0 is diagonal, i.e., to perform $\mathbb{O}^\dagger \mathbb{U}(\tau) \mathbb{O} = \mathbb{U}'(\tau)$. Before doing that, let us reduce $\mathbb{U}(\tau)$ into a simpler form. To do that note that $(\delta \mathbb{H})^2 = [\delta E(k_y)]^2 \mathbb{I}_{4 \times 4}$, where $\mathbb{I}_{4 \times 4}$ is the 4×4 identity matrix and

$$
\delta E(k_y) = \sqrt{(\gamma_1 - 1)^2 + (\gamma_2 - 1)^2 + 2(\gamma_1 - 1)(\gamma_2 - 1)\cos(\sqrt{3}k_y)}.
$$
\n(A11)

As a result, the exponential of *δ*H can be written as

$$
\exp\left[-i\tau(\delta H)\right] = \cos\left[\tau\delta E(k_y)\right] \mathbb{I} - i(\delta H) \frac{\sin\left[\tau\delta E(k_y)\right]}{\delta E(k_y)},\tag{A12}
$$

and the time evolution operator becomes

$$
\mathbb{U}(\tau) = \left(\cos\left[\tau \delta E(k_y)\right] - i\frac{\sin\left[\tau \delta E(k_y)\right]}{\delta E(k_y)} \delta \mathbb{H}(k_y)\right) e^{-i\tau \mathbb{H}_0}.
$$
\n(A13)

Before transforming Eq. [\(9\)](#page-2-0) into \mathbb{O} , we calculate $\delta \mathbb{H}'(k_y) =$ $\mathbb{O}^{\dagger} \delta \mathbb{H}(k_y) \mathbb{O}$; after some algebraic operations, we have

$$
\delta \mathbb{H}' = \begin{bmatrix} \delta \tilde{\mathbb{H}}_+ & \delta \tilde{\mathbb{H}}_- \\ -\delta \tilde{\mathbb{H}}_- & -\delta \tilde{\mathbb{H}}_+ \end{bmatrix}, \tag{A14}
$$

where

$$
\delta \tilde{\mathbb{H}}_{\pm} = \tilde{\mathbb{M}}_b^{\dagger} \delta \tilde{\mathbb{H}} \tilde{\mathbb{M}}_a \pm \tilde{\mathbb{M}}_a^{\dagger} \delta \tilde{\mathbb{H}} \tilde{\mathbb{M}}_b. \tag{A15}
$$

Finally, the time evolution operator is given by

$$
\mathbb{U}'(\tau) = -i \frac{\sin(\tau \delta E)}{\delta E} \begin{bmatrix} [\cos(\tau \delta E) + \delta \tilde{\mathbb{H}}_+] \tilde{\mathbb{U}}_0(\tau) & \delta \mathbb{H}_- \tilde{\mathbb{U}}_0^*(\tau) \\ -\delta \mathbb{H}_- \tilde{\mathbb{U}}_0(\tau) & [\cos(\tau \delta E) - \delta \tilde{\mathbb{H}}_+] \tilde{\mathbb{U}}_0^*(\tau) \end{bmatrix},
$$
(A16)

where $\mathbb{U}'(\tau) = \mathbb{O}^{\dagger}(\mathbf{k}) \mathbb{U}(\tau) \mathbb{O}(\mathbf{k})$ and

$$
\tilde{\mathbb{U}}_0(\tau) = \begin{bmatrix} e^{i\tau E_1} & 0\\ 0 & e^{i\tau E_2} \end{bmatrix} . \tag{A17}
$$

APPENDIX B: PARTICULAR CASE $k_x = 0$

For $k_x = 0$ the time evolution operator $\mathbb{U}'(\tau)$ becomes block diagonal, each block being a 2×2 matrix. Hence, the time evolution operator, Eq. $(A16)$, can be written as

$$
\mathbb{U}'(\tau) = \begin{bmatrix} e^{i\tau \delta \tilde{h}(k_y)} e^{i\tau \tilde{h}_0(k_y)} & 0 \\ 0 & e^{-i\tau \delta \tilde{h}(k_y)} e^{-i\tau \tilde{h}_0(k_y)} \end{bmatrix}, \quad (B1)
$$

where

$$
\delta\tilde{h}(k_y) = \delta h(k_y) \delta\hat{\mathbf{h}} \cdot \sigma,
$$

\n
$$
\tilde{h}_0(k_y) = \mathbb{I}_{2 \times 2} + 2\cos(\sqrt{3}k_y/2)\sigma_z,
$$
 (B2)

with $\sigma = (\sigma_x, \sigma_y, \sigma_z)$, σ_i (*i* = *x*, *y*, *z*) being the 2×2 Pauli matrices written in the basis at which σ_z is diagonal, $\mathbb{I}_{2\times 2}$ is the 2×2 identity matrix, and the components of *δ***h** are

$$
\delta h^{(y)} = (\gamma_1 - \gamma_2) \sin(\sqrt{3}k_y/2), \n\delta h^{(z)} = (\gamma_1 + \gamma_2 - 2) \cos(\sqrt{3}k_y/2).
$$
\n(B3)

We have also defined the norm $\delta h(k_y) = |\delta \mathbf{h}|$.

By using the addition rule of $SU(2)$, Eq. $(B1)$ can be written as follows:

$$
\mathbb{U}'(\tau) = \begin{bmatrix} e^{i\tau \tilde{h}_{\text{eff}}(k_y)} & 0\\ 0 & e^{-i\tau \tilde{h}_{\text{eff}}(k_y)} \end{bmatrix}, \tag{B4}
$$

where

$$
\tilde{h}_{\text{eff}}(k_{y}) = \mathbb{I}_{2 \times 2} + \Omega(k_{y})\hat{\mathbf{h}}_{\text{eff}} \cdot \sigma,
$$
 (B5)

where $\Omega(k_y)$ is given by

$$
\cos\left[\tau\Omega(k_{y})\right] = \cos\left(\tau\delta h\right)\cos\left[2\tau\cos\left(\sqrt{3}k_{y}/2\right)\right] \\
-\frac{\delta h^{(z)}}{\delta h}\sin\left(\tau\delta h\right)\sin\left[2\tau\cos\left(\sqrt{3}k_{y}/2\right)\right].\n\tag{B6}
$$

Finally, the unit vector $\hat{\mathbf{h}}_{\text{eff}}$ is

$$
\hat{\mathbf{h}}_{eff} = \frac{1}{\sin \left[\tau \Omega(k_y)\right]} \{ \delta \hat{\mathbf{h}} \sin \left(\tau \delta h\right) \cos \left[2\tau \cos \left(\sqrt{3}k_y/2\right)\right] \newline + \hat{e}_z \cos \left(\tau \delta h\right) \sin \left[2\tau \cos \left(\sqrt{3}k_y/2\right)\right] \newline - \delta h^{(y)} \hat{e}_x \sin \left(\tau \delta h\right) \sin \left[2\tau \cos \left(\sqrt{3}k_y/2\right)\right] \}. \tag{B7}
$$

It is noteworthy that the quasienergies of the system are thus given by $\pm \tau \omega(k_y) = \pm \tau [\pm 1 + \Omega(k_y)]$ (see the main text).

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