

Gauge-invariant theory of quasiparticle and condensate dynamics in response to terahertz optical pulses in superconducting semiconductor quantum wells. I. *s*-wave superconductivity in the weak spin-orbit coupling limit

T. Yu* and M. W. Wu†

Hefei National Laboratory for Physical Sciences at Microscale, Department of Physics, and CAS Key Laboratory of Strongly-Coupled Quantum Matter Physics, University of Science and Technology of China, Hefei, Anhui, 230026, China

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We investigate the quasiparticle and condensate dynamics in response to the terahertz optical pulses in the weak spin-orbit-coupled *s*-wave superconducting semiconductor quantum wells by using the gauge-invariant optical Bloch equations in the quasiparticle approximation. Specifically, in the Bloch equations, not only can the microscopic description for the quasiparticle dynamics be realized, but also the dynamics of the condensate is included, with the superfluid velocity and the effective chemical potential naturally incorporated. We reveal that the superfluid velocity itself can contribute to the pump of quasiparticles (pump effect), with its rate of change acting as the drive field to drive the quasiparticles (drive effect). We find that the oscillations of the Higgs mode with twice the frequency of the optical field are contributed dominantly by the drive effect but not the pump effect as long as the driven superconducting momentum is less than the Fermi momentum. This is in contrast to the conclusion from the Liouville or Bloch equations in the literature, in which the drive effect on the anomalous correlation is overlooked with only the pump effect considered. Furthermore, in the gauge-invariant optical Bloch equations, the charge neutrality condition is *consistently* considered based on the two-component model for the charge, in which the charge imbalance of quasiparticles can cause the fluctuation of the effective chemical potential for the condensate. It is predicted that during the optical process, the quasiparticle charge imbalance can be induced by both the pump and drive effects, leading to the fluctuation of the chemical potential. This fluctuation of the chemical potential is further demonstrated to directly lead to a relaxation channel for the charge imbalance even with the elastic scattering due to impurities. This is very different from the previous understanding that in the isotropic *s*-wave superconductivity, the impurity scattering cannot cause any charge-imbalance relaxation.

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I. INTRODUCTION

In recent decades, the nonequilibrium property of superconductors has attracted much attention for providing new understandings in superconductivity [1–8] and/or exploring novel phases or regimes [9–14]. Among them, the optical response plays an important role in both linear [15–19] and nonlinear regimes [20–29]. The former has been well established from the understanding of the optical conductivity in the linear response of the superconducting state, which sheds light on the determination of the pairing symmetry of the superconducting order parameter [15–18]. The latter is inspired by the recently developed terahertz (THz) technique, whose frequency lies around the superconducting gap [20–29]. With an intense THz optical field, the superconductor can be even excited to the states far away from the equilibrium, opening a window to reveal the dynamical properties of both the Bogoliubov quasiparticles and the condensate [20–31].

In the linear regime, in the dirty limit at zero temperature, Mattis and Bardeen [15] revealed that the optical absorption is realized by breaking the Cooper pairs into the quasielectron and quasihole when the photon energy is larger than twice the magnitude of the superconducting gap [16,17]. Nevertheless, in the early-stage work [15], a physical optical conductivity is established only for a specific gauge with transverse

vector potential and zero scalar potential [15–17]. A gauge-invariant description with charge conservation for the optical conductivity tensor is later established by Nambu based on the generalized Ward's identity [32,33], in which the collective excitation is revealed to cancel the unphysical longitudinal current [16,17,19]. Furthermore, Ambegaokar and Kadanoff [34] showed that in the long-wave limit, the collective mode can be actually described as a state in which the *superconducting phase* of the order parameter varies periodically in time and space [16,19,34–38]. Actually, without considering the response of the order parameter to the optical field, the absence of the charge conservation naturally arises because the particle number is not a conserved quantity in the mean-field description of the superconductor with a *global* U(1) symmetry spontaneously broken [16,19,32,35,36].

When the photon energy is far below the superconducting gap, a simple physical picture for the optical response can be captured based on the two-fluid model, in which the optical conductivity at *finite* frequency ω reads as [18,20–22,24]

$$\sigma(\omega) = \frac{\rho_n e^2 \tau}{m^*} \frac{1}{1 + \omega^2 \tau^2} + i \left(\frac{\rho_n e^2 \tau}{m^*} \frac{\omega \tau}{1 + \omega^2 \tau^2} + \frac{\rho_s e^2}{m^*} \frac{1}{\omega} \right). \quad (1)$$

Here, ρ_n and ρ_s denote the normal-fluid and superfluid densities in the *equilibrium* state, respectively; m^* is the effective mass of the electron; and τ represents the momentum relaxation time. Based on Eq. (1), the optical absorption can be well understood from the electric current driven by the

*taoyu@ustc.edu.cn

†mwwu@ustc.edu.cn

optical field [15–18]. In the clean limit, the optical conductivity is purely imaginary with the phase difference between the induced current and the optical field being exactly $\pi/2$, and hence no optical absorption is expected. Nevertheless, in the dirty sample, the real part of the optical conductivity arises due to the existence of the normal fluid, which contributes to the electric current in phase to the optical field and, hence, the optical absorption. Thus, in the pump-probe measurement, after strongly excited by the pump field, the nonequilibrium normal-fluid and superfluid densities can be estimated from the optical response to the probe field with photon energy far below the superconducting gap [20–22,24]. However, to the best of our knowledge, a microscopic theoretical description for the evolution of the normal fluid and superfluids from the equilibrium state to the nonequilibrium ones is still lacking.

In the nonlinear regime, in which the superconducting state can be markedly influenced by the optical field, the experimental [25–29] and theoretical [13,14,39–50] studies are still in progress. Very recently, it was reported in several experiments in the film of the conventional superconducting metal that the oscillations of the Higgs mode, i.e., the fluctuation of the order-parameter magnitude, can be excited by the intense THz field [25–29]. It is revealed that the oscillation frequency of the Higgs mode is twice the frequency of the THz field, no matter the photon energy is larger or smaller than twice the magnitude of the superconducting gap [28,29]. Moreover, a large THz third-harmonic generation was reported when the photon energy is tuned to be resonant with the superconducting gap [28,29]. Finally, it was discovered that there exists a plateau for the Higgs mode after the THz pulse in most situations, whose value increases with the increase of the field intensity [26,27]. These observations indicate that there exists strong optical absorption with the quasiparticles considerably excited by the strong optical field [26–29].

These experimental findings have been theoretically clarified based on the Liouville equation [39,40,43] or the Bloch equation [28,29,42,44–47,49] derived in the Anderson pseudospin representation [51] *in the clean limit*. Specifically, the optical absorption in the clean limit is naturally understood by the nonlinear term proportional to \mathbf{A}^2 , with \mathbf{A} standing for the vector potential of the optical field. It is shown that this nonlinear term contributes to the precessions between the quasidelectron and quasihole states [39,40], which directly contribute to the excitation of the quasiparticles (pump effect) [13,14,39,40,42–49]. Thus, the optical absorption is realized in the clean limit due to this pump effect, from which the Cooper pairs are broken into the quasidelectrons and quasiholes [20–29]. Furthermore, because the frequency of \mathbf{A}^2 is 2ω , the pump effect contributes to the oscillation of the Higgs mode with twice the frequency of the optical field [39,40,42–47,49]. Moreover, it is revealed that the Higgs mode can be resonant with the optical field when the photon energy equals to the superconducting gap, which is further shown to contribute to the large third-harmonic generation [28,29,48].

However, there still exist several difficulties inherited in the Liouville [39,40,43] or Bloch [42,44–47,49] equations used in the literature. First, the anomalous correlation is calculated between the two electrons with momenta \mathbf{k} and $-\mathbf{k}$, no matter the optical field is slowly or rapidly varied. This means that it is preconceived that no center-of-mass momentum \mathbf{q} of the

Cooper pairs can be excited [39,40,42–47,49]. Nevertheless, in the nonlinear regime, with a strong electric field applied, a large supercurrent is expected to be induced, which should arise from the center-of-mass momentum of the Cooper pairs. It has been well understood that in the static situation, a large \mathbf{q} contributes to the Doppler shift in the energy spectra of the elementary excitation, which can lead to the formation of the blocking region with the anomalous correlation of the Cooper pairs significantly suppressed [52–59]. Nevertheless, the induction of the center-of-mass momentum for the Cooper pairs and its further influence on the superconducting state are absent in the description of the Liouville equation or the Bloch equation in the Anderson pseudospin representation [39,40,42–47,49]. In fact, in the Liouville equation, the generalized coordinate, i.e., the momentum \mathbf{k} , is treated to be time independent or fixed, whereas the velocity field $\mathbf{v}(\mathbf{k}) = \mathbf{k} - (e/c)\mathbf{A}$ located at the generalized coordinate varies with time. This is similar to the Euler description in the fluid mechanics, in contrast to the Lagrangian description with time-dependent generalized coordinate [60]. Thus, the anomalous correlation is always described between \mathbf{k} and $-\mathbf{k}$ in the Liouville or Bloch equations used in the literature [39,40,42–47,49].

Second, the scattering effect, which is inevitable in the dirty superconducting metal [42,43], cannot be simply included in the Liouville equation in the presence of the optical field [61]. Moreover, a simple inclusion of the elastic scattering with the Boltzmann description [1,5] in the Liouville equation does not influence the calculated results because the pump effect is isotropic in the momentum space [39,40,42–49]. However, this is unphysical because the normal fluid can still be scattered. Finally, the *gauge invariance* [16,32,35] in the Liouville or the Bloch equations used in the literature is not clearly addressed [39,40,42–47,49]. On one hand, two quantities in the vector potential, scalar potential, and superconducting phase are simultaneously taken to be zero [16,32,35]. Specifically, with the vector potential chosen, the resulted physical current is shown to be proportional to \mathbf{A} , which is *not* a gauge-invariant physical quantity unless a transverse gauge for \mathbf{A} is further restricted [15,16]. On the other hand, from different choices of gauge, different forms of the equation can be expected. Specifically, with only the scalar potential, the \mathbf{A}^2 term vanishes and the electric field contributes to the drive field, whereas with only the superconducting phase $\mathbf{q} \cdot \mathbf{r}$, its rate of change can also contribute to a drive field [16,32,35,62].

In fact, as pointed out by Nambu [32], the absence of the gauge invariance in the theoretical description is equivalent to the breaking of the charge conservation [16,19,35,36]. By restoring the gauge invariance, in the linear regime, Nambu revealed a collective excitation stimulated in the optical process [32], which was further shown by Ambegaokar and Kadanoff [34] to be described by a state with the period variations in time and space for the superconducting phase in the long-wave limit [16,19,34–38]. The temporal and spatial variations of the superconducting phase can further contribute to the effective chemical potential and superconducting velocity [16,19,34–38]. Then, it is inspired by this scheme [16,19,32,35,36] that with the gauge invariance retained in the kinetic equation, the collective excitation can also arise naturally [48]. Specifically, by noting that in the

mean-field description based on the Bogoliubov–de Gennes (BdG) Hamiltonian, only the dynamics of the quasiparticle is considered. It has been suggested that the “condensate” can respond to the dynamics of the quasiparticles from the consideration of the gauge structure in superconductor [32], with the charge conservation restored by the fluctuation of the chemical potential [31,63–73].

One way to understand the interplay between the particle charge and chemical potential is based on the two-component model for the charge [1–4,63–66]. In the two-component model, the electron charge is treated to be carried by the quasiparticle and condensate, respectively. This can be easily seen in the electrical injection process. In that process, the injection of one electron with charge e into the *conventional* superconductor can add a quasiparticle with charge $e(u_{\mathbf{k}}^2 - v_{\mathbf{k}}^2)$ and one Cooper pair with charge $2ev_{\mathbf{k}}^2$, respectively. Here, $u_{\mathbf{k}}$ and $v_{\mathbf{k}}$ come from the Bogoliubov transformation with $u_{\mathbf{k}}^2 + v_{\mathbf{k}}^2 = 1$, indicating the charge conservation in the electrical injection process [63–65]. Thus, the fluctuation of the quasiparticle charge is associated with the fluctuation of the condensate density [1–4,63–66]. This is consistent with the conjugacy relationship between the particle number and superconducting phase [32,36].

Furthermore, in the dynamical process, the charges for the quasiparticle and condensate can both be deviated from their equilibrium values. This is referred to as the charge imbalance [1–4,31,63–65,74,75], which has been measured for both the quasiparticle [2,63,64,74,75] and condensate [23]. For the quasiparticle, due to the momentum dependence of the charge, its nonequilibrium distribution can lead to the charge imbalance, whose creation and relaxation are intensively studied in the electrical experiment [1,31,63–65,74,75]. It is so far widely believed that for the isotropic *s*-wave superconductor, the *elastic* scattering due to the impurity cannot cause the relaxation of the charge imbalance [1,2,31,63,64]. This is because there exists the coherence factor ($u_{\mathbf{k}}u_{\mathbf{k}'} - v_{\mathbf{k}}v_{\mathbf{k}'}$) in the scattering potential, where \mathbf{k} and \mathbf{k}' are the initial and final momenta during the scattering, due to which the elastic scattering cannot exchange the electronlike and holelike quasiparticles [1,2,31,63,64]. However, in that relaxation process, the condensate is assumed to be in its equilibrium state, meaning that the charge conservation or neutrality is not explicitly considered in the literature. Moreover, the correlation between the quasielectron and quasihole is often neglected [1–4,31,64,65]. Thus, it is essential to check the influence of the condensate on the charge-imbalance relaxation in the framework of charge neutrality. Furthermore, although the charge imbalance including its creation and relaxation is intensively studied in the electrical experiment [1–4,31,63–65,74,75], it has yet been well investigated in the optical process [23].

Recently, the proximity-induced superconductivity has been realized in InAs [57,76,77] and GaAs [78–80] heterostructures. Thus, based on the well-developed techniques in semiconductor optics [81–83], the superconducting semiconductor quantum wells (QWs) can provide an ideal platform to study the optical response of superconductivity. Compared to the film of the superconducting metal, the QWs can be synthesized to be extremely clean. Furthermore, the material parameters in the QWs, e.g., the electron density, the strength of the spin-orbit coupling (SOC), and the interaction

strengths including the Coulomb, electron-phonon, and electron-impurity interactions, can be easily tuned. Moreover, in the QWs, the simple Fermi surface and exactly known interaction forms can significantly reduce the difficulties in the comparison between the theory and experiment. Finally, the predictions revealed in the superconducting QWs can still shed light on the optical response in the superconducting metal even with complex Fermi surfaces.

In this work, we investigate the quasiparticle and condensate dynamics in response to the THz optical pulses in the weak spin-orbit-coupled *s*-wave superconducting semiconductor QWs. The gauge-invariant optical Bloch equations in the quasiparticle approximation are set up via the gauge-invariant nonequilibrium Green function approach [58,81,84–88], in which the gauge-invariant Green function with the Wilson line [81,86,87,89] is constructed by using the gauge structure revealed by Nambu [32]. In the optical Bloch equations, the structure can be easily captured by a special gauge, in which the superconducting phase is chosen to be zero among the vector potential, scalar potential, and superconducting phase. This gauge is referred to as the \mathbf{p}_s gauge here, with \mathbf{p}_s being the superfluid momentum driven by the optical field. It is noted that this superfluid momentum directly contributes to the center-of-mass momentum of Cooper pairs. Furthermore, in the \mathbf{p}_s gauge, not only can the microscopic description for the quasiparticle dynamics be realized, but also the dynamics of the condensate is included, with the superconducting velocity and the effective chemical potential naturally incorporated. Then, in the derived gauge-invariant optical Bloch equations, this superconducting velocity $\propto \mathbf{p}_s^2$ is shown to directly contribute to the pump of the quasiparticles (pump effect), whose rate of change $\partial_t \mathbf{p}_s$ induces a drive field to drive the quasiparticle (drive effect). We find that both the pump and drive effects contribute to the oscillation of the Higgs mode with twice the frequency of the optical field. However, it is shown that the contribution from the drive effect to the excitation of Higgs mode is dominant as long as the superconducting momentum \mathbf{p}_s is smaller than the Fermi momentum k_F , thanks to the efficient suppression of the pump effect by the Pauli blocking. This is in sharp contrast to the results from the Liouville [39,40,43] or Bloch [42,44–47,49] equations in the literature, where only the pump effect is considered and the effects of the center-of-mass momentum on the superconducting state are overlooked. The influence of the electron-impurity scattering is also addressed, which is shown to further suppress the Cooper pairing on the basis of the drive effect.

The physical picture for the suppression of the anomalous correlation of Cooper pairs by the optical field can be understood as follows. Thanks to the drive of the optical field, the electron states are drifted, obtaining exactly the center-of-mass momentum \mathbf{p}_s in the impurity-free situation. The drift states of electrons are schematically presented in Fig. 1 with the Fermi surface labeled by the red chain curve. In Fig. 1, without loss of generality, the superconducting momentum is taken to be along the \hat{x} direction, i.e., $\mathbf{p}_s = p_s \hat{x}$, with $p_s < 0$. It can be seen that with the drift of the electron states, a blue region labeled by “B” arises, in which the electrons deviate from their equilibrium states. Actually, these electrons are directly excited to be the quasiparticles, whose population can be close to one [52–55,58,59]. By using

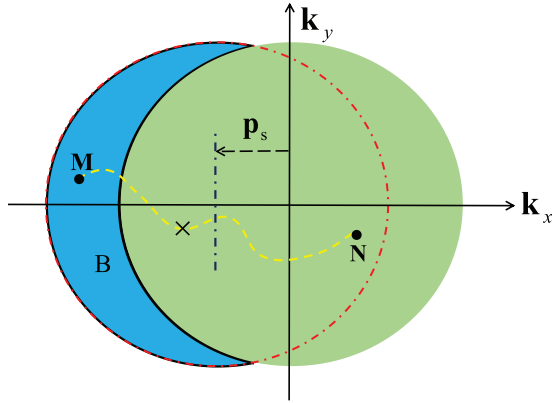


FIG. 1. Schematic of the electron drift states in response to the optical field, with the Fermi surface labeled by the red chain curve. Here, the superconducting momentum $\mathbf{p}_s = p_s \hat{\mathbf{x}}$ with $p_s < 0$. With the drift of the electron states, a blue region labeled by “B” arises, in which the electrons deviate from their equilibrium states. Actually, these electrons are directly excited to be the quasiparticles, whose population can be close to one [52–55,58,59]. By using the terminology in the FFLO state [52–54], this blue region populated by the quasiparticles is referred to as the blocking region. Furthermore, due to the induction of the center-of-mass momentum for the Cooper pairs by the applied optical field, the two electrons with momenta $\mathbf{k} + \mathbf{p}_s$ and $-\mathbf{k} + \mathbf{p}_s$ are paired together. Nevertheless, once the electrons are excited in the blocking region, they no longer participate in the Cooper pairing [52–55,58,59]. For instance, the electron labeled by “N” cannot pair with its corresponding one labeled by “M” in the blocking region, which has been excited to be the quasiparticle. Accordingly, the anomalous correlation is directly suppressed due to the drift of the electron states.

the terminology in the Fulde-Ferrell-Larkin-Ovchinnikov (FFLO) state [52–54], this blue region populated by the quasiparticles is referred to as the blocking region.

Furthermore, it is noted that the applied optical field breaks the time-reversal symmetry. Thus, the paired electrons do not necessarily come from two time-reversal partners with momenta \mathbf{k} and $-\mathbf{k}$. On the contrary, due to the induction of the center-of-mass momentum for the Cooper pairs by the applied optical field, the two electrons with momenta $\mathbf{k} + \mathbf{p}_s$ and $-\mathbf{k} + \mathbf{p}_s$ are paired together. Nevertheless, once the electrons are excited in the blocking region, they no longer participate in the Cooper pairing [52–55,58,59]. One typical example is shown in Fig. 1, in which the electron labeled by “N” cannot pair with its old partner labeled by “M” in the blocking region, which has been excited to be the quasiparticle. Consequently, the anomalous correlation in the blocking region is significantly suppressed, directly leading to the suppression of the magnitude of the order parameter [54,58,59]. This is responsible for the oscillation of the Higgs mode. Nevertheless, at high frequency, this oscillation is suppressed due to the suppression of the drift effect and hence the range of the blocking region. This picture is consistent with the *static* case when the center-of-mass momentum of the Cooper pairs emerges due to either the spontaneous symmetry breaking [52–54] or the supercurrent [55,58,59].

In the derived optical Bloch equations, the charge-neutrality condition is consistently considered based on the two-

component model for the charge, in which the induction of the charge imbalance of quasiparticles can cause the fluctuation of the condensate chemical potential [1–4,31,63–65]. We predict that during the optical process, the charge imbalance can be created by both the pump and drive effects, with the former arising from the ac Stark effect and the latter coming from the breaking of Cooper pairs by the electrical field. The induction of the charge imbalance directly leads to the fluctuation of the chemical potential. This fluctuation is further found to directly provide a relaxation channel for the charge imbalance even with the elastic scattering due to impurities. This is in contrast to the previous understanding that in the isotropic *s*-wave superconductivity, the impurity scattering cannot cause any charge-imbalance relaxation [2,31,63,64]. Specifically, we reveal that when the momentum scattering is weak (strong), the charge-imbalance relaxation is enhanced (suppressed) by the momentum scattering.

We demonstrate that the fluctuation of the condensate chemical potential can *first* induce the quasiparticle correlation between the quasidelectron and quasihole, which *then* provides the charge-imbalance relaxation channel for the quasiparticle populations *in the presence of the elastic momentum scattering*. In the previous works, it was revealed that in the presence of the impurities, the charge-imbalance relaxation is induced by the direct scattering of quasiparticles between the electronlike and holelike branches [2,31,63,64], during which the quasiparticle number is conserved. Nevertheless, this is demonstrated to be forbidden in the isotropic *s*-wave superconductors [2,31,63,64]. Differing from this charge-imbalance relaxation channel [2,31,63,64], in this work, the charge-imbalance relaxation is actually caused by the direct annihilation of the quasiparticles in the quasidelectron and quasihole bands, in which the quasiparticle-number conservation is broken. These two charge-imbalance relaxation channels are schematically shown in Fig. 2, labeled by “①” and “②,” respectively. Specifically, process ① represents the direct scattering of quasiparticles between the electronlike and holelike branches. Whereas in process ②, the quasidelectron and quasihole, labeled by “M” and “N,” become correlated due to the fluctuation of the effective chemical potential, which then annihilate into one Cooper pair due to the momentum scattering.

Actually, it is overlooked in the previous studies [2,31,63,64] that the nonequilibrium effective chemical potential itself can induce the precession between the quasidelectron and quasihole states and hence the quasiparticle correlation [2,31,63,64]. The quasiparticle correlation is crucial to induce the quasiparticle-number fluctuation. As addressed in our previous work [58], the induction of the quasiparticle correlation is related to the process of the condensation with two quasiparticles binding into one Cooper pair in the condensate, or vice versa [64,90,91]. These processes can directly cause *the annihilation of the extra quasiparticles* in the quasidelectron or quasihole bands, inducing the charge-imbalance relaxation for the quasiparticles. Meanwhile, with the condensation or breaking of the Cooper pairs in the condensate, the fluctuation of the effective chemical potential is also induced. If only the induction of the quasiparticle correlation was not influenced by the momentum scattering, the charge-imbalance relaxation rate would be proportional to the electron-impurity scattering strength. Nevertheless, it is further revealed that the

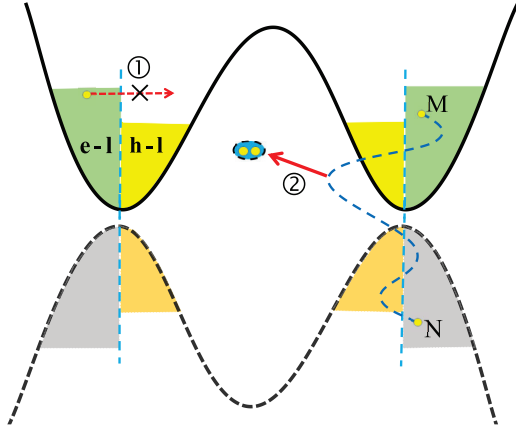


FIG. 2. Schematic of the charge-imbalance relaxation channels. The upper and lower bands, plotted by the black solid and dashed curves, represent the quasi-electron and quasihole bands, respectively. In the quasi-electron (quasihole) band, the green (gray) and yellow (orange) regions denote the electronlike (holelike) and holelike (electronlike) quasi-electrons (quasiholes), respectively. One sees that the quasi-electron number in the electronlike branch is larger than the one in the holelike branch. In this situation, the charge imbalance is created with net negative charges. The two charge-imbalance relaxation channels labeled by “①” and “②” can be understood as follows. Process ① has been addressed in the previous works, representing the direct scattering of quasiparticles between the electronlike and holelike branches, which is actually forbidden in the elastic scattering process in the isotropic *s*-wave superconductor [2,31,63,64]. In process ②, the quasi-electron and quasihole, labeled by “M” and “N,” become correlated due to the fluctuation of the effective chemical potential, which then annihilate into one Cooper pair due to the momentum scattering. Here, one notes that the momenta of the correlated quasi-electron (“M”) and quasihole (“N”) are the same, in consistent with the Bogoliubov transformation [refer to Eq. (28) in the main text]. Thus, the annihilation of extra quasiparticles directly leads to the charge-imbalance relaxation.

induction of the quasiparticle correlation can be suppressed by the impurity scattering. Thus, the competition between the relaxation channels due to the quasiparticle correlation and population leads to the nonmonotonic dependence on the momentum scattering for the charge-imbalance relaxation.

This paper is organized as follows. We first present the framework in the *s*-wave superconducting semiconductor QWs in Sec. II. Specifically, we present the Hamiltonian in Sec. II A; then in Sec. II B, the optical Bloch equations are derived via the gauge-invariant nonequilibrium Green function approach. The numerical results are presented in Sec. III. We conclude and discuss in Sec. IV.

II. MODEL AND OPTICAL BLOCH EQUATIONS

In this section, we investigate the optical response to the THz pulses in the *s*-wave superconducting QWs, which can be realized in the GaAs QWs in proximity to an *s*-wave superconductor. In this work, we focus on the weak SOC limit, i.e., the SOC energy is much smaller than the kinetic energy of the electron, whose influence on the quasiparticle and condensate dynamics is marginal and hence can be neglected.

The situation in the strong SOC limit is studied in another work in this series, in which the role of the SOC on the quasiparticle and condensate dynamics is studied in detail [92]. We first present the Hamiltonian, in which the gauge structure is emphasized (Sec. II A). Then, the optical Bloch equations via the nonequilibrium Green function method with the generalized Kadanoff-Baym (GKB) ansatz are set up, in which the gauge invariance is retained explicitly by using the gauge-invariant Green function (Sec. II B) [58,81,85,86,88].

A. Hamiltonian and gauge structure

In the *s*-wave superconducting QWs with negligible SOC, the Hamiltonian is composed by the free BdG Hamiltonian H_0 and the interaction Hamiltonian including the electron-electron Coulomb, electron-phonon, and electron-impurity interactions H_{ee} , H_{ep} , and H_{ei} . Specifically, H_0 is written as ($\hbar \equiv 1$ throughout this paper)

$$H_0 = \int \frac{d\mathbf{r}}{2} \Psi^\dagger \begin{pmatrix} \zeta_{\mathbf{k}}^-(x) + e\phi(x) & |\Delta|e^{i\zeta(x)} \\ |\Delta|e^{-i\zeta(x)} & -\zeta_{\mathbf{k}}^+(x) - e\phi(x) \end{pmatrix} \Psi, \quad (2)$$

in which $\zeta_{\mathbf{k}}^\pm(x) = [\mathbf{k} \pm \frac{e}{c}\mathbf{A}(x)]^2/(2m^*) - \mu$ with $x \equiv (t, \mathbf{r})$ being the time-space point, $\mathbf{A}(x)$ denoting the vector potential, and μ representing the chemical potential of the system; $\Psi(x) = (\psi_\uparrow(x), \psi_\downarrow(x))^T$ is the particle field operator in the Nambu space; $\phi(x)$ denotes the scalar potential; Δ and $\zeta(x)$ stand for the *s*-wave order parameter and the superconducting phase. The electron-electron, electron-phonon, and electron-impurity interactions are written as

$$H_{ee} = \int \frac{d\mathbf{r} d\mathbf{r}'}{2} U(\mathbf{r} - \mathbf{r}') [\Psi^\dagger(\mathbf{r})\tau_3\Psi(\mathbf{r})][\Psi^\dagger(\mathbf{r}')\tau_3\Psi(\mathbf{r}')], \quad (3)$$

$$H_{ep} = \frac{1}{2} \int d\mathbf{r} d\mathbf{r}' g^\lambda(\mathbf{r} - \mathbf{r}') \Psi^\dagger(\mathbf{r})\tau_3\Psi(\mathbf{r})\chi(\mathbf{r}'), \quad (4)$$

$$H_{ei} = \frac{1}{2} \int d\mathbf{r} \Psi^\dagger(\mathbf{r})V(\mathbf{r})\tau_3\Psi(\mathbf{r}), \quad (5)$$

respectively. Here, $\tau \equiv (\tau_1, \tau_2, \tau_3)$ represent the Pauli matrices in the Nambu space; $U(\mathbf{r})$ and $V(\mathbf{r})$ denote the screened Coulomb potentials whose expressions have been derived in Ref. [93]; $\chi(\mathbf{r})$ is the phonon field operator; and $g^\lambda(\mathbf{r} - \mathbf{r}')$ stand for the electron-phonon interactions due to the deformation potential in the LA branch and piezoelectric coupling including LA and TA branches, with λ denoting the corresponding phonon branch [94,95]. Their Fourier components $g^\lambda(\mathbf{p})$ are explicitly given in Refs. [94,95].

The gauge structure in the *s*-wave superconductivity was first revealed by Nambu [32,35,62]. By performing the gauge transformation, i.e.,

$$\Psi(x) \rightarrow e^{i\tau_3\Lambda(x)/2}\Psi(x), \quad (6)$$

the gauge invariance of the BdG Hamiltonian [Eq. (2)] requires the vector potential, scalar potential, and superconducting phase transforming as [32,35,62]

$$\mathbf{A}(x) \rightarrow \mathbf{A}(x) + (c/2e)\nabla\Lambda(x), \quad (7)$$

$$\phi(x) \rightarrow \phi(x) - (1/2e)\partial_t\Lambda(x), \quad (8)$$

$$\zeta(x) \rightarrow \zeta(x) + \Lambda(x). \quad (9)$$

From Eqs. (7)–(9), one can construct the gauge-invariant physical quantities [32,35,62]

$$\mathbf{p}_s(x) = (1/2)\nabla\zeta(x) - (e/c)\mathbf{A}(x), \quad (10)$$

$$\mu_{\text{eff}}(x) = (1/2)\partial_t\zeta(x) + e\phi(x), \quad (11)$$

which represent the superconducting momentum and effective chemical potential. It is noted that the above two gauge-invariant quantities are related by the acceleration relation [32,35,62]

$$\partial_t\mathbf{p}_s = \nabla\mu_{\text{eff}} + e\mathbf{E}, \quad (12)$$

which is valid under any circumstances. Thus, with an optical field applied to the superconducting system, Eq. (12) shows that in the homogeneous limit, a time-dependent superconducting momentum can be induced, which is always a transverse physical quantity in the presence of the optical field [15,32].

B. Optical Bloch equations

In this section, we derive the optical Bloch equations in the s -wave superconducting QWs via the nonequilibrium Green function method with the GKB ansatz [58,81,85,96]. From Sec. II A, one notices that there exists a nontrivial gauge structure in the BdG Hamiltonian. To account for this gauge structure, the gauge-invariant Green function is used to obtain the gauge-invariant kinetic equations [81,86,88].

1. Gauge-invariant Green function

The optical Bloch equations can be constructed from the “lesser” Green function $G_{12}^< \equiv i\langle\Psi_2^\dagger\Psi_1\rangle$, in which $1 \equiv x_1 = (t_1, \mathbf{r}_1)$ represents the time-space point and $\langle\dots\rangle$ denotes the ensemble average [58,81,96]. With the gauge transformation in Eq. (6), the “lesser” Green function transforms as $G_{12}^< \rightarrow e^{i\tau_3\Lambda(x_1)/2}G_{12}^<e^{-i\tau_3\Lambda(x_2)/2}$. As in the kinetic equations in the quasiparticle approximation [81], only the center-of-mass coordinates are retained, the gauge structure cannot be easily realized in the kinetic equations constructed from $G_{12}^<$ [81,86]. Nevertheless, the gauge invariance can be retained by introducing the Wilson line to construct the gauge-invariant Green function [81,84,86,87,89], which is constructed as

$$\tilde{G}_{12}^< = P e^{-ie\int_{x_1}^R A_j dx^j \tau_3} G_{12}^< e^{-ie\int_R^{x_2} A_j dx^j \tau_3}. \quad (13)$$

In Eq. (13), $A_j dx^j \equiv \phi dt - (1/c)\mathbf{A} \cdot d\mathbf{r}$, $R \equiv (\mathbf{R}, T) = ((\mathbf{r}_1 + \mathbf{r}_2)/2, (t_1 + t_2)/2)$ are the center-of-mass coordinates, and “ P ” indicates that the line integral is path dependent. Then, by the gauge transformation in Eq. (6), the gauge-invariant Green function is transformed as $\tilde{G}_{12}^< \rightarrow e^{i\tau_3\Lambda(R)/2}\tilde{G}_{12}^<e^{-i\tau_3\Lambda(R)/2}$, in which the transformed phase only depends on the center-of-mass coordinates.

Finally, by choosing the path to be the straight line connecting x_1 and x_2 [81,86], the gauge-invariant Green function reads as

$$\begin{aligned} \tilde{G}_{12}^< &= \exp\left[ie\int_0^{\frac{1}{2}} d\lambda A_j(T + \lambda\tau, \mathbf{R} + \lambda\mathbf{r})x^j \tau_3\right] G_{12}^< \\ &\times \exp\left[ie\int_{-\frac{1}{2}}^0 d\lambda A_j(T + \lambda\tau, \mathbf{R} + \lambda\mathbf{r})x^j \tau_3\right], \quad (14) \end{aligned}$$

in which $x = (\tau, \mathbf{r}) = (t_1 - t_2, \mathbf{r}_1 - \mathbf{r}_2)$ are the relative coordinates.

2. Derivation on the optical Bloch equations

In this part, we derive the optical Bloch equations in the s -wave superconducting QWs, with special attention paid to the gauge structure. Accordingly, we do not specify any gauge in the beginning of the derivation, and finally choose a special gauge for the convenience of physical analysis and numerical calculation. Thus, in the derived equations, there exist $\mathbf{A}(\mathbf{r}, t)$, $\phi(\mathbf{r}, t)$, and $\zeta(\mathbf{r}, t)$, which are not physical quantities.

We begin from the two Dyson equations [58,81,96]

$$i\partial_{t_1}G_{12}^< - H_{\mathbf{k}_1}G_{12}^< = \int d^3(\Sigma_{13}^R G_{32}^< + \Sigma_{13}^< G_{32}^A), \quad (15)$$

$$-i\partial_{t_2}G_{12}^< - G_{12}^< \overleftarrow{H}_{\mathbf{k}_2} = - \int d^3(G_{13}^R \Sigma_{32}^< + G_{13}^< \Sigma_{32}^A), \quad (16)$$

in which “ R ” and “ A ” label the retarded and advanced Green functions, and Σ are the self-energies contributed by the electron-electron, electron-phonon, and electron-impurity interactions [58,81,96]. In Eqs. (15) and (16),

$$H_{\mathbf{k}_1} = \begin{pmatrix} \frac{(\mathbf{k}_1 - \frac{e}{c}\mathbf{A}_1)^2}{2m^*} - \mu + e\phi_1 & |\Delta|e^{i\zeta_1} \\ |\Delta|e^{-i\zeta_1} & -\frac{(\mathbf{k}_1 + \frac{e}{c}\mathbf{A}_1)^2}{2m^*} + \mu - e\phi_1 \end{pmatrix} \quad (17)$$

and

$$H_{\mathbf{k}_2} = \begin{pmatrix} \frac{(\mathbf{k}_2 + \frac{e}{c}\mathbf{A}_2)^2}{2m^*} - \mu + e\phi_2 & |\Delta|e^{i\zeta_2} \\ |\Delta|e^{-i\zeta_2} & -\frac{(\mathbf{k}_2 - \frac{e}{c}\mathbf{A}_2)^2}{2m^*} + \mu - e\phi_2 \end{pmatrix}. \quad (18)$$

We first present the derivation of the free terms in the kinetic equations including the coherent, pump, drive, and diffusion terms, in which the gauge-invariant scheme is used. Specifically, from the left-hand side of Eqs. (15) and (16), one obtains the equations for the gauge-invariant Green function $\tilde{G}_{12}^<$. Then, by using the gradient expansion, the kinetic equations are derived from the Fourier component of the gauge-invariant Green function $\tilde{G}(\mathbf{k}, \omega; \mathbf{R}, T) = \int d\mathbf{r} d\tau e^{i\omega\tau - i\mathbf{k}\cdot\mathbf{r}} \tilde{G}_{12}^<$. Finally, after the integration over the frequency, one obtains the optical Bloch equations for the 2×2 density matrix in the Nambu space

$$\tilde{\rho}_{\mathbf{k}}(\mathbf{R}, T) = \int \frac{d\omega}{2\pi} \tilde{G}(\mathbf{k}, \omega; \mathbf{R}, T), \quad (19)$$

whose diagonal terms represent the distributions of electron and hole, and off-diagonal terms denote the anomalous correlations. Finally, the optical kinetic equations are written as (a more detailed derivation for the kinetic equations can be

found in our previous work [58])

$$\begin{aligned} \frac{\partial \tilde{\rho}_{\mathbf{k}}}{\partial T} + i \left[\left(\frac{\mathbf{k}^2}{2m^*} - \mu + e\phi \right) \tau_3, \tilde{\rho}_{\mathbf{k}} \right] + i \left[\begin{pmatrix} 0 & |\Delta| e^{i\zeta(R)} \\ |\Delta| e^{-i\zeta(R)} & 0 \end{pmatrix}, \tilde{\rho}_{\mathbf{k}} \right] + i \left[\frac{1}{2m^*} \left(\frac{e}{c} \mathbf{A} \right)^2 \tau_3, \tilde{\rho}_{\mathbf{k}} \right] + \frac{1}{2} \left\{ e \mathbf{E} \tau_3, \frac{\partial \tilde{\rho}_{\mathbf{k}}}{\partial \mathbf{k}} \right\} \\ - i \left[\frac{1}{8m^*} \tau_3, \frac{\partial^2 \tilde{\rho}_{\mathbf{k}}}{\partial \mathbf{R}^2} \right] + \frac{1}{2} \left\{ \frac{\mathbf{k}}{m^*} \tau_3, \frac{\partial \tilde{\rho}_{\mathbf{k}}}{\partial \mathbf{R}} \right\} + \left[\frac{e \mathbf{A}}{2m^* c} \tau_3, \frac{\partial \tilde{\rho}_{\mathbf{k}}}{\partial \mathbf{R}} \tau_3 \right] + \left[\frac{e}{4m^* c} \nabla \cdot \mathbf{A} \tau_3, \tilde{\rho}_{\mathbf{k}} \tau_3 \right] = \frac{\partial \tilde{\rho}_{\mathbf{k}}}{\partial t} \Big|_{\text{HF}} + \frac{\partial \tilde{\rho}_{\mathbf{k}}}{\partial t} \Big|_{\text{scat}}, \end{aligned} \quad (20)$$

with $\mathbf{E} = -\nabla_{\mathbf{R}}\phi - (1/c)\partial_T\mathbf{A}$. Here, $[A, B] = AB - BA$ and $\{A, B\} = AB + BA$ represent the commutator and anticommutator, respectively. It is noted that in the equation, the gradient expansion has been performed to the second order in \mathbf{R} , i.e., the sixth term on the left-hand side in Eq. (20), to retain the gauge-invariance structure in the optical kinetic equations.

In Eq. (20), on the left-hand side, the second and third terms represent the coherent terms contributed by the kinetic energy and the order parameter, respectively. The fourth term describes the pump term, as addressed in the Liouville equation in the literature [13,14,39,40,42–49]. One finds that the presence of the anomalous correlation makes it possible for the nonlinear term of the vector potential to induce the precession between the electron and hole in the Nambu space [13,14,39,40,42–49]. The fifth term is the drive term, which can directly induce the center-of-mass momentum of the Cooper pairs [Eq. (12)] [16,32,35]. The existence of the drive term is natural because the electron and hole in the Nambu space can experience opposite electrical field due to the opposite charges carried by them [58]. The diffusion terms are contributed by the sixth to the ninth terms. On the right-hand side of the equation, $\partial_t \tilde{\rho}_{\mathbf{k}}|_{\text{HF}}$ and $\partial_t \tilde{\rho}_{\mathbf{k}}|_{\text{scat}}$ represent the Hartree-Fock (HF) term contributed by the Coulomb interaction and scattering term due to the electron-impurity and electron-phonon interactions, which are derived from the right-hand sides of Eqs. (15) and (16). The gauge-invariant versions of the scattering terms are complex [81,86,88]. Nevertheless, these terms can be approximated by the ones without gauge-invariant treatments as long as the applied field is not very strong with *the driven center-of-mass momentum of the system being much smaller than the Fermi momentum k_F* [62,81,88]. In this situation, the energy spectra are not significantly disturbed. The gauge structure of Eq. (20) is then checked by the gauge transformation $\tilde{\rho}_{\mathbf{k}} \rightarrow e^{i\tau_3 \Lambda(R)/2} \tilde{\rho}_{\mathbf{k}} e^{-i\tau_3 \Lambda(R)/2}$. The same gauge structures as Eqs. (7)–(9) are obtained for the vector potential, scalar potential, and superconducting phase.

For the convenience of the physical analysis and numerical calculation, a specific gauge is chosen. It is noted that generally one cannot choose two quantities in the vector potential, scalar potential, and superconducting phase to be zero. Nevertheless, in the Liouville and Bloch equations used in the literature, both the scalar potential and superconducting phase are taken to be zero [13,14,39,40,42–49]. Here, we choose a special gauge referred to as the \mathbf{p}_s gauge, in which the superconducting phase ζ is zero [35,97]. This can be realized by the gauge transformation $\tilde{\rho}_{\mathbf{k}} \rightarrow e^{-i\tau_3 \zeta(R)/2} \tilde{\rho}_{\mathbf{k}} e^{i\tau_3 \zeta(R)/2} \equiv \rho_{\mathbf{k}}$ in Eq. (20). Then, by using the definition of the superconducting momentum [Eq. (10)] and effective chemical potential [Eq. (11)], the

optical Bloch equations become

$$\begin{aligned} \frac{\partial \rho_{\mathbf{k}}}{\partial T} + i \left[\left(\frac{\mathbf{k}^2}{2m^*} - \Phi \right) \tau_3, \rho_{\mathbf{k}} \right] + i \left[\begin{pmatrix} 0 & |\Delta| \\ |\Delta| & 0 \end{pmatrix}, \rho_{\mathbf{k}} \right] \\ + i \left[\frac{\mathbf{p}_s^2}{2m^*} \tau_3, \rho_{\mathbf{k}} \right] + \frac{1}{2} \left\{ \left(\frac{\partial \mathbf{p}_s}{\partial T} - \nabla_{\mathbf{R}} \mu_{\text{eff}} \right) \tau_3, \frac{\partial \rho_{\mathbf{k}}}{\partial \mathbf{k}} \right\} \\ + \frac{1}{2} \left\{ \frac{\mathbf{k}}{m^*} \tau_3, \frac{\partial \rho_{\mathbf{k}}}{\partial \mathbf{R}} \right\} - i \left[\frac{\tau_3}{8m^*}, \frac{\partial^2 \rho_{\mathbf{k}}}{\partial \mathbf{R}^2} \right] - \left[\frac{\mathbf{p}_s}{2m^*} \tau_3, \frac{\partial \rho_{\mathbf{k}}}{\partial \mathbf{R}} \tau_3 \right] \\ - \left[\frac{1}{4m^*} \nabla_{\mathbf{R}} \cdot \mathbf{p}_s \tau_3, \rho_{\mathbf{k}} \tau_3 \right] = \frac{\partial \rho_{\mathbf{k}}}{\partial t} \Big|_{\text{HF}} + \frac{\partial \rho_{\mathbf{k}}}{\partial t} \Big|_{\text{scat}}, \end{aligned} \quad (21)$$

where $\Phi = \mu - \mu_{\text{eff}}$ is the total chemical potential in the system including the contribution from the rate of change of the superconducting phase.

It is noted that in Eq. (21), the electric force $e\mathbf{E}$ is replaced by $\partial_T \mathbf{p}_s - \nabla_{\mathbf{R}} \mu_{\text{eff}}$ according to the acceleration relation [Eq. (12)]. Accordingly, in Eq. (21), only the gauge-invariant physical quantities \mathbf{p}_s and μ_{eff} appear. In fact, in the gauge-invariant framework, from any specific gauge at the beginning of the derivation, one can obtain Eq. (21) with the existence of both the pump and drive terms [81]. Moreover, in Eq. (21), with \mathbf{p}_s and μ_{eff} describing the kinetics of the condensate, Eq. (21) not only describes the dynamics of the quasiparticle, but also includes the influence of the condensate. This is consistent with the two-component description for the charge, in which there exists interplay between the quasiparticle and condensate [1–4,31,63–65].

When considering the optical excitation by the THz pulses in the superconductor, Eq. (21) can be significantly simplified. Often the spatial dependence in the optical field can be neglected, and hence Eq. (21) can be solved in the homogeneous limit. Specifically, with Φ , \mathbf{p}_s , and $\rho_{\mathbf{k}}$ being independent on \mathbf{R} , the optical Bloch equations [Eq. (21)] are reduced to

$$\begin{aligned} \frac{\partial \rho_{\mathbf{k}}}{\partial T} + i \left[\left(\frac{\mathbf{k}^2}{2m^*} - \Phi \right) \tau_3, \rho_{\mathbf{k}} \right] + i \left[\begin{pmatrix} 0 & |\Delta| \\ |\Delta| & 0 \end{pmatrix}, \rho_{\mathbf{k}} \right] \\ + i \left[\frac{\mathbf{p}_s^2}{2m^*} \tau_3, \rho_{\mathbf{k}} \right] + \frac{1}{2} \left\{ \frac{\partial \mathbf{p}_s}{\partial T} \tau_3, \frac{\partial \rho_{\mathbf{k}}}{\partial \mathbf{k}} \right\} = \frac{\partial \rho_{\mathbf{k}}}{\partial t} \Big|_{\text{HF}} + \frac{\partial \rho_{\mathbf{k}}}{\partial t} \Big|_{\text{scat}}. \end{aligned} \quad (22)$$

It is addressed that Eq. (22) is different from the Liouville [39,40,43] or Bloch [28,29,42,44–47,49] equations used in the literature in several aspects. First, there exists not only the pump term but also the drive term in our derived

optical Bloch equations. This is in contrast to the conclusion from the Liouville [39,40,43] or Bloch [28,29,42,44–47,49] equations in the literature, in which the drive effect on the anomalous correlation is overlooked with only the pump effect considered. Actually, here, the momenta of the two electrons participating in the anomalous correlation are no longer \mathbf{k} and $-\mathbf{k}$ during the evolution. This is because in the optical kinetic equation here, similar to the Boltzmann equation [5,71–73,81,98], the Lagrangian description is used, in which the generalized coordinate evolves with time [60]. Thus, with the anomalous correlation represented by $\langle c_{\mathbf{k}(T)}c_{\mathbf{k}'(T)} \rangle$ in which $c_{\mathbf{k}}$ is the annihilation operator of the electron, the center-of-mass momentum of the Cooper pairs $\mathbf{p}_s = [\mathbf{k}(T) + \mathbf{k}'(T)]/2$. Then, with $\partial_T \mathbf{k}(T) = \partial_T \mathbf{k}'(T) = e\mathbf{E}$, the acceleration relation in the homogeneous limit [Eq. (12)] can be directly recovered. One sees that it is natural to include the contribution of the center-of-mass momentum in the anomalous correlation in our description. Second, in the homogeneous limit, with \mathbf{p}_s and $\partial_T \mathbf{p}_s$ being transverse in the presence of the optical field [Eq. (12)], the obtained electrical current is perpendicular to the propagation direction of the optical field. Moreover, the obtained physical quantities are naturally gauge invariant due to the gauge invariance in \mathbf{p}_s and $\partial_T \mathbf{p}_s$. Furthermore, the effective chemical potential naturally arises from the gauge-invariant treatment in the derivation, which corresponds to the collective excitation, evolving with time in the homogeneous limit [32,34,37,38]. Finally, the scattering term can be simply included in our description which is similar to its setup in the Boltzmann equation [5,71–73,81,98]. However, a simple inclusion of the elastic scattering with the Boltzmann description [1,5] in the Liouville equation does not influence the calculated results because the pump effect is isotropic in the momentum space [39,40,42–49]. The details of the scattering term are addressed as follows.

In Eq. (22), $\partial_t \rho_{\mathbf{k}}|_{\text{HF}}$ and $\partial_t \rho_{\mathbf{k}}|_{\text{scat}}$ are derived in the GKB ansatz [58,93]. For the HF term, it is written as

$$\partial_t \rho_{\mathbf{k}}|_{\text{HF}} = i \sum_{\mathbf{k}'} [U_{\mathbf{k}-\mathbf{k}'} \tau_3 (\rho_{\mathbf{k}'} - \rho_{\mathbf{k}'}^0) \tau_3, \rho_{\mathbf{k}}]. \quad (23)$$

In Eq. (23), it is assumed that the renormalization energy due to the Coulomb interaction has been included in the free BdG Hamiltonian [Eq. (2)], and hence the density matrix in the equilibrium state $\rho_{\mathbf{k}}^0$ appears in the HF self-energy. Accordingly, the fluctuation of the order parameter is represented by

$$\delta \Delta(\mathbf{k}) = \sum_{\mathbf{k}'} U_{\mathbf{k}-\mathbf{k}'} (\rho_{\mathbf{k}',12} - \rho_{\mathbf{k},12}^0), \quad (24)$$

which can be treated as the Higgs mode when the phase fluctuation can be neglected [13,14,39,40,42–49].

For the scattering terms, both the electron-impurity and electron-phonon interactions are considered, which are written as

$$\begin{aligned} \partial_t \rho_{\mathbf{k}}|_{\text{ei}} = & -\pi n_i \sum_{\mathbf{k}'} \sum_{\eta_1 \eta_2 = \pm} |V_{\mathbf{k}-\mathbf{k}'}|^2 \delta(E_{\mathbf{k}'\eta_1} - E_{\mathbf{k}\eta_2}) \\ & \times [\tau_3 \Gamma_{\mathbf{k}'\eta_1} \tau_3 \Gamma_{\mathbf{k}\eta_2} \rho_{\mathbf{k}} - \tau_3 \rho_{\mathbf{k}} \Gamma_{\mathbf{k}'\eta_1} \tau_3 \Gamma_{\mathbf{k}\eta_2} + \text{H.c.}], \end{aligned} \quad (25)$$

$$\begin{aligned} \partial_t \rho_{\mathbf{k}}|_{\text{ep}} = & -\pi \sum_{\mathbf{k}'k_z} \sum_{\eta_1 \eta_2 = \pm} |g_{\mathbf{k}-\mathbf{k}',k_z}^\lambda|^2 \delta(E_{\mathbf{k}'\eta_1} - E_{\mathbf{k}\eta_2} + \omega_{\mathbf{k}-\mathbf{k}'}^\lambda) \\ & \times (1 + n_{\mathbf{k}-\mathbf{k}'}) [\tau_3 \rho_{\mathbf{k}}^\geq \Gamma_{\mathbf{k}'\eta_1} \tau_3 \Gamma_{\mathbf{k}\eta_2} \rho_{\mathbf{k}}^\leq \\ & - \tau_3 \rho_{\mathbf{k}}^\leq \Gamma_{\mathbf{k}'\eta_1} \tau_3 \Gamma_{\mathbf{k}\eta_2} \rho_{\mathbf{k}}^\geq + \text{H.c.}] \\ & + [\omega_{\mathbf{k}-\mathbf{k}'}^\lambda \rightarrow -\omega_{\mathbf{k}-\mathbf{k}'}^\lambda; (1 + n_{\mathbf{k}-\mathbf{k}'}) \rightarrow n_{\mathbf{k}-\mathbf{k}'}]. \end{aligned} \quad (26)$$

In Eq. (25), n_i is the impurity density; $E_{\mathbf{k}\pm} = \pm E_{\mathbf{k}}$ in which $E_{\mathbf{k}} = \sqrt{\zeta_{\mathbf{k}}^2 + |\Delta|^2}$ with $\zeta_{\mathbf{k}} \equiv \varepsilon_{\mathbf{k}} - \mu = \mathbf{k}^2/(2m^*) - \mu$; $\Gamma_{\mathbf{k}\pm} = 1/2 \pm (1/2)\mathcal{U}_{\mathbf{k}}^\dagger \tau_3 \mathcal{U}_{\mathbf{k}}$ represent the projection operators. Here,

$$\mathcal{U}_{\mathbf{k}} = \begin{pmatrix} u_{\mathbf{k}} & v_{\mathbf{k}} \\ -v_{\mathbf{k}} & u_{\mathbf{k}} \end{pmatrix} \quad (27)$$

is the unitary transformation matrix from the particle space to the quasiparticle one with $u_{\mathbf{k}} = \sqrt{1/2 + \zeta_{\mathbf{k}}/(2E_{\mathbf{k}})}$ and $v_{\mathbf{k}} = \sqrt{1/2 - \zeta_{\mathbf{k}}/(2E_{\mathbf{k}})}$. In Eq. (26), $\omega_{\mathbf{k}}^\lambda$ is the λ -branch-phonon energy with momentum \mathbf{k} ; $n_{\mathbf{k}}$ represents the phonon distribution function; $\rho_{\mathbf{k}}^\geq \equiv \rho_{\mathbf{k}} + 1/2 \pm 1/2$.

Finally, we point out that the structures of the pump, drive, and scattering terms in Eq. (22) can be analyzed more clearly in the quasiparticle space, in which the optical Bloch equations are set up by the Bogoliubov transformation $\rho_{\mathbf{k}}^h = \mathcal{U}_{\mathbf{k}} \rho_{\mathbf{k}} \mathcal{U}_{\mathbf{k}}^\dagger$. These detailed analyses are presented in Appendix A.

3. Charge-neutrality condition

Equation (20) provides the microscopic description for the quasiparticle dynamics. Moreover, in the \mathbf{p}_s gauge, both the superfluid momentum \mathbf{p}_s and the effective chemical potential μ_{eff} which are associated with the dynamics of the condensate appear in Eq. (20), although \mathbf{p}_s and μ_{eff} still need to be determined. Thus, the two-component picture naturally arises in our description, in which there exists the interplay between the quasiparticle and condensate [1–4,31,63–65]. Actually, this can be directly seen from the modified Bogoliubov transformation in which the creation and annihilation of the Cooper-pair operators \hat{S} and \hat{S}^\dagger are added [64,90,91]:

$$\begin{pmatrix} c_{\mathbf{k}\uparrow} \\ \hat{S} c_{-\mathbf{k}\downarrow}^\dagger \end{pmatrix} = \mathcal{U}_{\mathbf{k}} \begin{pmatrix} \alpha_{\mathbf{k}\uparrow}^\dagger \\ \beta_{\mathbf{k}\downarrow}^\dagger \end{pmatrix}. \quad (28)$$

Here, $\alpha_{\mathbf{k}\uparrow}^\dagger$ ($\beta_{\mathbf{k}\downarrow}^\dagger$) is the creation operator for the quasielectron (quasihole). From Eq. (28), one has $\alpha_{\mathbf{k}\uparrow}^\dagger = u_{\mathbf{k}} c_{\mathbf{k}\uparrow}^\dagger - v_{\mathbf{k}} \hat{S}^\dagger c_{-\mathbf{k}\downarrow}$ and $\beta_{\mathbf{k}\downarrow}^\dagger = v_{\mathbf{k}} c_{\mathbf{k}\uparrow} + u_{\mathbf{k}} \hat{S} c_{-\mathbf{k}\downarrow}^\dagger$. By noting that \hat{S} annihilates one Cooper pair with charge $2e$, one obtains that $\alpha_{\mathbf{k}\uparrow}^\dagger$ ($\beta_{\mathbf{k}\downarrow}^\dagger$) corresponds to create a quasielectron (quasihole) with charge e ($-e$). Furthermore, one observes that the creation of one quasielectron and one quasihole is associated with the creation and annihilation of the Cooper pair with probability $v_{\mathbf{k}}^2$ and $u_{\mathbf{k}}^2$, respectively. Thus, the net creation of the Cooper pair is $v_{\mathbf{k}}^2 - u_{\mathbf{k}}^2$, which is positive (negative) when $|\mathbf{k}| < k_F$ ($|\mathbf{k}| > k_F$). Accordingly, when $|\mathbf{k}| < k_F$, both quasiparticles and Cooper pairs are created, whereas when $|\mathbf{k}| > k_F$, the quasiparticles are created by breaking Cooper pairs.

The above physical picture suggests that in the dynamical process, to maintain the charge neutrality or charge conservation, the Cooper pair condensate has to respond to the dynamics of the quasiparticles [65,67–73]. That is to

say, in the dynamical process, once the charge imbalance for the quasiparticle is created, the chemical potential of the condensate reacts to screen the extra charge due to the charge imbalance. Hence, it is suggested that in Eq. (22), the effective chemical potential μ_{eff} is determined from the charge-neutrality condition, which actually has been used in the dynamical problem in superconductivity [65,67–73]. Specifically, in the quasiparticle space, the particle number with momentum \mathbf{k} is expressed as

$$n_{\mathbf{k}} = 2v_{\mathbf{k}}^2 + \frac{\zeta_{\mathbf{k}}}{E_{\mathbf{k}}} [\rho_{11}^h(\mathbf{k}) + \rho_{11}^h(-\mathbf{k})] - \frac{\Delta}{E_{\mathbf{k}}} [\rho_{12}^h(\mathbf{k}) + \rho_{21}^h(\mathbf{k})], \quad (29)$$

with $v_{\mathbf{k}}^2$ treated as the distribution function of the condensate [65,67–73]. When the system is *near zero temperature and the equilibrium state*, to keep charge neutrality, the chemical potential for the condensate is suggested to be varied $\mu \rightarrow \Phi$ [66,71–73]. Then, the time evolution of the effective chemical potential can be obtained by solving the self-consistent equation with the quasiparticle density matrix obtained from Eq. (20) [66,71–73]:

$$\sum_{\mathbf{k}} n_{\mathbf{k}} \equiv n_0 = \sum_{\mathbf{k}} \left\{ 1 - \frac{\varepsilon_{\mathbf{k}} - \Phi}{\sqrt{(\varepsilon_{\mathbf{k}} - \Phi)^2 + \Delta^2}} + \frac{\zeta_{\mathbf{k}}}{E_{\mathbf{k}}} [\rho_{11}^h(\mathbf{k}) + \rho_{11}^h(-\mathbf{k})] - \frac{\Delta}{E_{\mathbf{k}}} [\rho_{12}^h(\mathbf{k}) + \rho_{21}^h(\mathbf{k})] \right\}. \quad (30)$$

Here, n_0 is the total electron density. From Eq. (30), it can be seen that not only the nonequilibrium quasielectron and quasihole distributions, but also the correlation between quasielectron and quasihole states contribute to the charge imbalance.

The superfluid momentum \mathbf{p}_s can be obtained from Eq. (12) in the homogeneous limit with the electrical field in the optical pulse known. With the propagation direction of the optical field assumed to be perpendicular to the QWs, i.e., the \hat{z} direction, the direction of the electrical field is taken to be along the \hat{x} direction without loss of generality. Thus,

$$\mathbf{p}_s = (e/\omega)E_0\hat{x} \sin(\omega t) \exp[-t^2/(2\sigma_t^2)], \quad (31)$$

$$\partial_t \mathbf{p}_s \approx eE_0\hat{x} \cos(\omega t) \exp[-t^2/(2\sigma_t^2)]. \quad (32)$$

Here, E_0 is the strength of the *effective* electrical field in the superconductor [34] and σ_t represents the duration time of the optical pulse. In the numerical calculation, $-2.5\sigma_t \leq t \leq 5\sigma_t$.

Finally, we address that Eqs. (22) and (30)–(32) provide the consistent equations to solve the optical response to the THz pulses. Here, the condensate is assumed to react to the quasiparticles *simultaneously* due to the charge neutrality [64,90,91]. In our previous work in the study of the quasiparticle spin dynamics *with small spin imbalances*, it is assumed that the condensation rate is *slower* than the spin relaxation one and hence the framework with the quasiparticle-number conservation is used [58]. Therefore, different assumptions for the condensate dynamics can lead to different schemes. Nevertheless, for the problem near the equilibrium, the induced charge imbalance is expected to be small and these two schemes can even give similar physical results.

III. NUMERICAL RESULTS

In this section, we present the numerical results by solving the optical Bloch equations [Eqs. (22) and (30)–(32)] in a specific material GaAs QW in proximity to an *s*-wave superconductor. We numerically calculate the optical response of the quasiparticle and condensate including the THz-field-induced oscillations of the Higgs mode (Sec. III A) and THz-field-induced charge imbalance (Sec. III B 1), in which a charge-imbalance relaxation channel due to the elastic momentum scattering is revealed (Sec. III B 2). All parameters used in our computation are listed in Table I [99].

In Table I, for the material parameters, κ_0 stands for the relative dielectric constant; a denotes the well width; and d is the mass density of the crystal. For the parameters associated with the electron-phonon interaction, Ξ denotes the deformation potential; e_{14} represents the piezoelectric constant; v_{sl} and v_{st} are the velocities of LA and TA phonons, respectively [94,95]. Finally, T_e is the environment temperature.

With these parameters, we directly estimate the contribution of the electron-ac-phonon interaction in the scattering term at $T_e = 2$ K, compared to the one of the electron-impurity interaction with the typical impurity density $\tilde{n}_i = 0.1n_0$. In Eq. (26), at low temperature, $n_{\mathbf{k}} \approx 0$. Thus, the electron-ac-phonon interaction is approximately determined by its strength $\sum_{k_z} |g_{\mathbf{k}-\mathbf{k}',k_z}^\lambda|^2$. We explicitly calculate the electron-ac-phonon interaction strength $\sum_{k_z} |g_{\mathbf{k}-\mathbf{k}',k_z}^\lambda|^2$ due to the deformation potential in the LA branch and piezoelectric coupling including LA and TA branches, which are found to be about three orders of magnitude smaller than $\tilde{n}_i |V_{\mathbf{k}-\mathbf{k}'}|^2$. Thus, the electron-ac-phonon interaction is negligible in our computation.

A. Excitations of Higgs mode

Recently, it was reported in several experiments in the conventional superconducting metals that the Higgs mode can be excited by the intense THz field, which oscillates with twice the frequency of the THz field [25–29]. These experiments also show that there exists plateau for the Higgs mode after the THz pulse in most situations, whose value increases with the increase of the field intensity [26,27]. Previously, the oscillation of the Higgs mode has been explained by the pump effect from the Anderson pseudospin picture, in which the drive effect on the superconducting state is absent [13,14,39,40,42–47,49]. Here, we aim to distinguish the contribution of the pump and drive effects to the evolution

TABLE I. Parameters used in the computation for GaAs QWs in proximity to an *s*-wave superconductor [99].

m^*/m_0	0.067	a (nm)	8
κ_0	12.9	n_0 (cm ⁻²)	5×10^{11}
σ_t (ps)	4	T_e (K)	2
d (g/cm ³)	5.31	v_{sl} (m/s)	5290
Ξ (eV)	8.5	v_{st} (m/s)	2480
e_{14} (10 ⁹ V/m)	1.41		

of the Higgs mode in GaAs QW in proximity to an s -wave superconductor.

1. Different pump regimes

Before we present the numerical results, we first analyze the behavior of the pump effect from a simplified model, from which different regimes are divided according to the pump strength. In the pump term in Eq. (22), $\frac{\mathbf{p}_s^2}{2m^*} = \frac{1}{4m^*} \left(\frac{e}{\omega_L} \tilde{E}_0 \right)^2 (1 - \cos 2\omega t)$ with $\tilde{E}_0 \equiv E_0 \exp[-t^2/(2\sigma_t^2)]$ slowly varying with time. The analytical calculation is simplified for high optical frequency ω , with which the rotation-wave approximation [81] can be applied with $\frac{\mathbf{p}_s^2}{2m^*} \approx \frac{1}{4m^*} \left(\frac{e}{\omega_L} \tilde{E}_0 \right)^2 \equiv \eta$. In this situation, in the free situation without the drive and HF terms, the optical Bloch equations in the quasiparticle space read as [refer to Eq. (A1)]

$$\frac{\partial \rho_{\mathbf{k}}^h}{\partial T} + i \left[\begin{pmatrix} E_{\mathbf{k}} + \frac{\zeta_{\mathbf{k}}}{E_{\mathbf{k}}} \eta & -\frac{\Delta}{E_{\mathbf{k}}} \eta \\ -\frac{\Delta}{E_{\mathbf{k}}} \eta & -E_{\mathbf{k}} - \frac{\zeta_{\mathbf{k}}}{E_{\mathbf{k}}} \eta \end{pmatrix}, \rho_{\mathbf{k}}^h \right] = 0. \quad (33)$$

With the initial state being the equilibrium distribution, the population for the quasielectron is

$$\rho_{\mathbf{k},11}^h = f_{\mathbf{k}}^0 + \left[\frac{1}{2} - f_{\mathbf{k}}^0 \right] \left(\frac{\Delta \eta}{E_{\mathbf{k}} \mathcal{E}_{\mathbf{k}}} \right)^2 (1 - \cos 2\mathcal{E}_{\mathbf{k}} T). \quad (34)$$

Here, $f_{\mathbf{k}}^0 = \{\exp[E_{\mathbf{k}}/(k_B T_e)] + 1\}^{-1}$ represents the equilibrium distribution for the quasielectron with k_B being the Boltzmann constant; $\mathcal{E}_{\mathbf{k}} = \sqrt{(\varepsilon_{\mathbf{k}} - \mu + \eta)^2 + \Delta^2}$, from which it can be seen that η directly contributes to the ac Stark effect in the energy spectrum [61,100].

According to the behavior of $(E_{\mathbf{k}} \mathcal{E}_{\mathbf{k}})^2$, which is further expressed as

$$(E_{\mathbf{k}} \mathcal{E}_{\mathbf{k}})^2 \equiv F(\mathbf{k}) = [(\zeta_{\mathbf{k}} + \eta/2)^2 - (\eta^2/4 - \Delta^2)]^2 + \Delta^2 \eta^2, \quad (35)$$

one can separate different pump regimes. When $\eta < 2\Delta$, the minimum value of $F(\mathbf{k})$ lies at $\zeta_{\mathbf{k}} = 0$, indicating that the quasielectron distribution evolves around $|\mathbf{k}| = k_F$. This regime with $\eta < 2\Delta$ is referred to as the weak-pump regime. Whereas when $\eta > 2\Delta$, the minimum values of $F(\mathbf{k})$ are

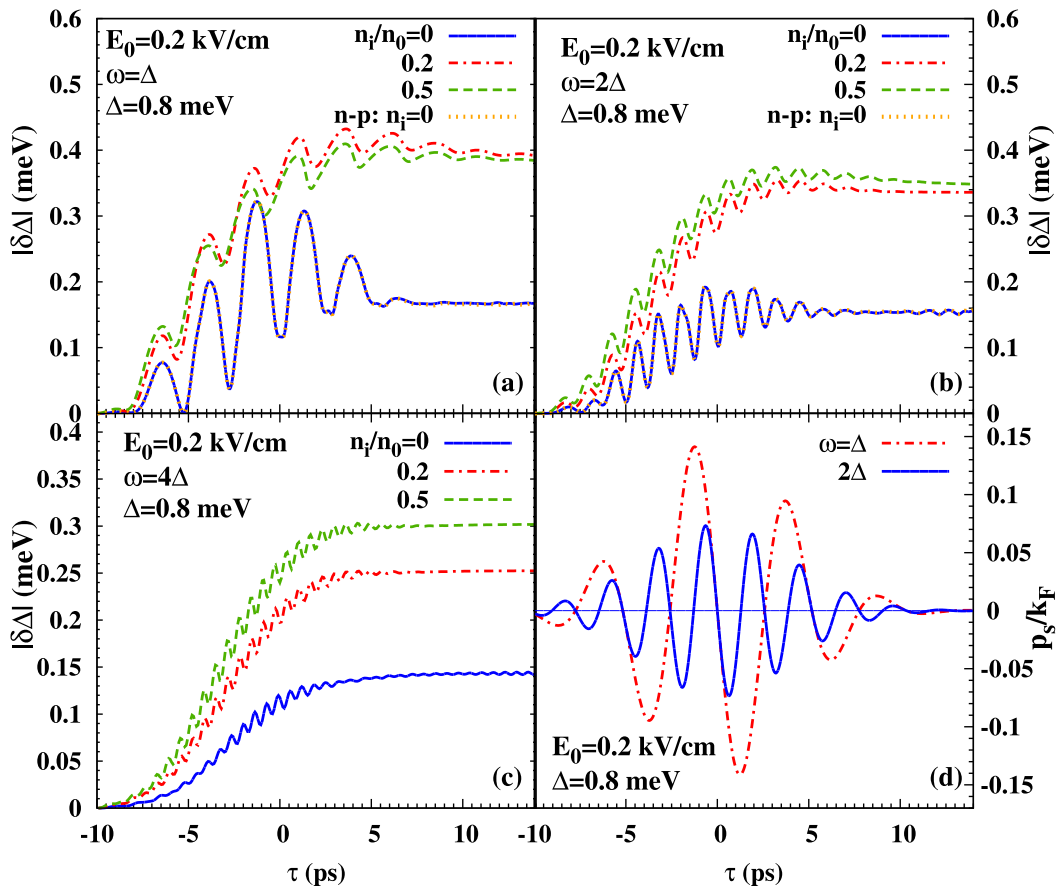


FIG. 3. Temporal evolutions of the Higgs mode $|\delta\Delta|$ with different pump frequencies of the THz pulse $\omega = \Delta$ (a), 2Δ (b), and 4Δ (c), respectively. Here, $\Delta = 0.8$ meV and the electric-field strength $E_0 = 0.2$ kV/cm. With this electric field, the superconducting momentum \mathbf{p}_s is presented in (d) when $\omega = \Delta$ and 2Δ . It can be seen that $|\mathbf{p}_s| < 0.15k_F$ when $\omega > \Delta$. In (a) and (b), it can be seen that without the pump effect, the Higgs modes, plotted by the yellow dotted curves, coincide with the ones with both the pump and drive effects, represented by the blue solid curves. Moreover, in (a), (b), and (c), it is found that there always exist plateaus after the THz pulse, which are suppressed with the increase of the optical-field frequency. Finally, it is shown in (a) [or (b) and (c)] by the blue solid, red chain, and green dashed curves that with the increase of the impurity density, the oscillation amplitude of the Higgs mode is suppressed and the amplitude of the plateau of the Higgs mode increases.

realized when $\zeta_{\mathbf{k}} = -\eta/2 \pm \sqrt{\eta^2/4 - \Delta^2}$, which is smaller than zero. This indicates that during the pump process, the quasielectron population mainly arises at $|\mathbf{k}| < k_F$ and hence the holelike quasielectrons are mainly pumped. This regime with $\eta > 2\Delta$ is referred to as the strong-pump regime. Actually, in the experiments, with $\Delta = 2.6$ meV for the metal NbN and $\omega = 2\Delta$, $\eta \sim 17.6$ meV when the peak electric field is 50 kV/cm, indicating that the experiments lie in the strong-pump regime [26–29].

2. Weak-pump regime

We first focus on the weak-pump regime. In Figs. 3(a)–3(c), the temporal evolutions of the Higgs mode $|\delta\Delta|$ are plotted in the clean (blue solid curves) and dirty (red chain and green dashed curves) samples with different pump frequencies of the optical field $\omega = \Delta$, 2Δ , and 4Δ , respectively ($\Delta = 0.8$ meV ≈ 1.15 THz). The electric-field strength $E_0 = 0.2$ kV/cm. Thus, for $\omega = \Delta$, $\eta = 0.18$ meV is much smaller than 2Δ , indicating that the system lies in the weak-pump regime. With this electric-field strength, the temporal evolutions of the superconducting momentum \mathbf{p}_s , which are driven by the optical field [Eq. (31)], are presented in Fig. 3(d) with $\omega = \Delta$ (the red chain curve) and 2Δ (the blue solid curve), respectively. It can be seen in Fig. 3(d) that when $\omega > \Delta$, the induced supercurrents by the THz pulse are small in magnitude with $|\mathbf{p}_s| < 0.15k_F$. By comparing the oscillation frequencies of the Higgs mode [Figs. 3(a)–3(c)] with the ones of the supercurrent [Fig. 3(d)], one finds that the Higgs mode oscillates with twice the frequency of the THz field when both the pump and drive effects exist. Then, the contributions of

the pump and drive effects to the Higgs mode are compared in Figs. 3(a) and 3(b) in the impurity-free situation. It can be seen that without the pump effect, the Higgs modes, plotted by the yellow dotted curves, coincide with the one with both the pump and drive effects, represented by the blue solid curves. This shows that the pump effect is marginal for the excitation of Higgs mode in the weak-pump regime. Moreover, it is found that there always exist plateaus for the Higgs mode after the THz pulse, which are suppressed with the increase of the optical-field frequency, as shown in Figs. 3(a)–3(c). Finally, the role of the electron-impurity scattering is addressed. It is shown in Fig. 3(a) [or 3(b) and 3(c)] by the blue solid, red chain, and green dashed curves that with the increase of the impurity density, the oscillation amplitude of the Higgs mode is suppressed and the plateau value of the Higgs mode increases. These rich features can be understood as follows.

We first address the role of the drive effect on the anomalous correlation. It has been well investigated that in the *static* case when the center-of-mass momentum \mathbf{q} of the Cooper pairs emerges, which can originate from the spontaneous symmetry breaking, e.g., in the FFLO state [52–54,101] or with a supercurrent [55,58,59], a blocking region occupied by the quasiparticles can appear, in which the anomalous correlation for the Cooper pair can be significantly suppressed [52–55,58,59]. Then, it is expected that when the *time-dependent* supercurrent emerges with the excitation of the center-of-mass momentum of Cooper pairs, the blocking region can be dynamically excited, in which the Cooper-pair anomalous correlation is also suppressed. Specifically, in Fig. 1, a comprehensive physical picture has been presented, in which one finds that the driven blocking region, shown

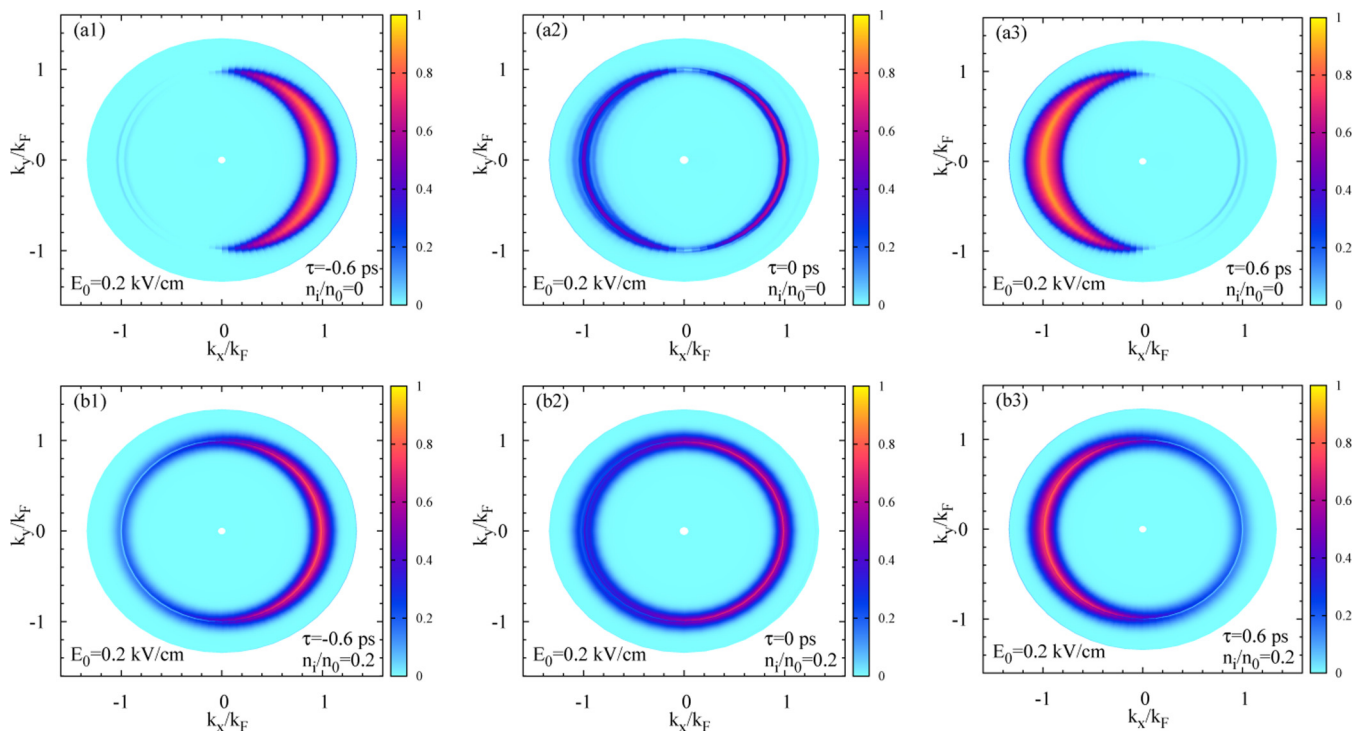


FIG. 4. Quasielectron distributions $\rho_{\mathbf{k},11}^h$ in the momentum space at $\tau = -0.6, 0$, and 0.6 ps in the clean [(a1), (a2), and (a3) with $n_i = 0$] and dirty [(b1), (b2), and (b3) with $n_i = 0.2n_0$] samples. $\omega = 2\Delta$ with $\Delta = 0.8$ meV. The electric-field strength $E_0 = 0.2$ kV/cm, with which $\mathbf{p}_s \approx 0.13k_F, 0$ and $-0.13k_F$ at $\tau = -0.6, 0$, and 0.6 ps, respectively.

by the blue region in crescent form, directly suppresses the anomalous correlation between two electrons (labeled by “M” and “N”). In our calculation, with the drive of the electron and hole (particle space) in the opposite directions [refer to τ_3 in the drive term in Eq. (22)], the blocking region for the quasiparticles surely appears, with typical examples presented in Fig. 4 with $E_0 = 0.2$ kV/cm at different times $\tau = -0.6$, 0, and 0.6 ps, respectively.

In Figs. 4(a1), 4(a2), and 4(a3) when $n_i = 0$, one sees that when $\tau = -0.6$ ps [Fig. 4(a1)] and 0.6 ps [Fig. 4(a3)] with finite $\mathbf{p}_s \approx 0.13k_F\hat{x}$ and $-0.13k_F\hat{x}$ [refer to Fig. 3(d)], the blocking regions in the crescent shape appear, whose positions are consistent with the sign of the center-of-mass momentum \mathbf{p}_s of the Cooper pairs, whereas when $\tau = 0$ ps [Fig. 4(a2)], with zero center-of-mass momentum, the blocking region tends to disappear, but there still exists significant quasiparticle population. Furthermore, it is observed in Figs. 4(b1) and 4(a3) that inside the blocking region, the quasielectron population is close to one. In the blocking region, the anomalous correlation

$$\begin{aligned} C(\mathbf{k}) &= u_{\mathbf{k}}v_{\mathbf{k}}(\rho_{\mathbf{k},11}^h - \rho_{\mathbf{k},22}^h) + u_{\mathbf{k}}^2\rho_{\mathbf{k},12}^h - v_{\mathbf{k}}^2\rho_{\mathbf{k},21}^h \\ &\approx u_{\mathbf{k}}v_{\mathbf{k}}(\rho_{\mathbf{k},11}^h - \rho_{\mathbf{k},22}^h) \end{aligned} \quad (36)$$

is significantly suppressed with $\rho_{\mathbf{k},11}^h \lesssim 1$ and $\rho_{\mathbf{k},22}^h = 1 - \rho_{-\mathbf{k},11}^h \lesssim 1$ [54,58]. Then, due to the suppression of the anomalous correlation, from Eq. (24), the Higgs mode is significantly excited. Furthermore, the suppression of the anomalous correlation does not depend on the sign of the center-of-mass momentum of Cooper pairs. Accordingly, although the center-of-mass momentum of Cooper pairs oscillates with the frequency of the optical field, the Higgs mode originating from the suppression of the anomalous correlation oscillates with twice the frequency of the optical field. It is noted that in the weak-pump regime, the quasielectrons are mainly pumped around the Fermi surface in the absence of the drive effect, whereas the blocking region also arises around the Fermi surface but due to the drive effect. Thus, thanks to the Pauli blocking effect, the emergence of the blocking region can efficiently suppress the pump effect. Consequently, in the weak-pump regime, the pump effect plays a marginal role and the drive effect is dominant in the excitation of the Higgs mode [refer to the blue solid and yellow dotted curves in Figs. 3(a) and 3(b)].

We then focus on the influence of the electron-impurity scattering on the Higgs mode dynamics. In Figs. 4(b1), 4(b2), and 4(b3) with $n_i = 0.2n_0$, by comparing with the impurity-free situation in Figs. 4(a1), 4(a2), and 4(a3), it is observed that the electron-impurity scattering has significant influence on the formation of the blocking region [102]. Specifically, on one hand, the electron-impurity scattering can suppress the range of the blocking region and hence its oscillation. This is because the drift effect of the electron and hole, which contributes to the formation of the blocking region, can be suppressed by the electron-impurity scattering [103–105]. Thus, the suppression of the oscillation of the blocking region tends to suppress the oscillation amplitude of the Higgs mode. On the other hand, the electron-impurity scattering tends to destroy the blocking region by averaging the quasielectron distribution. Accordingly, from Eq. (36), the emergence of the significant quasiparticle population in the unblocking region

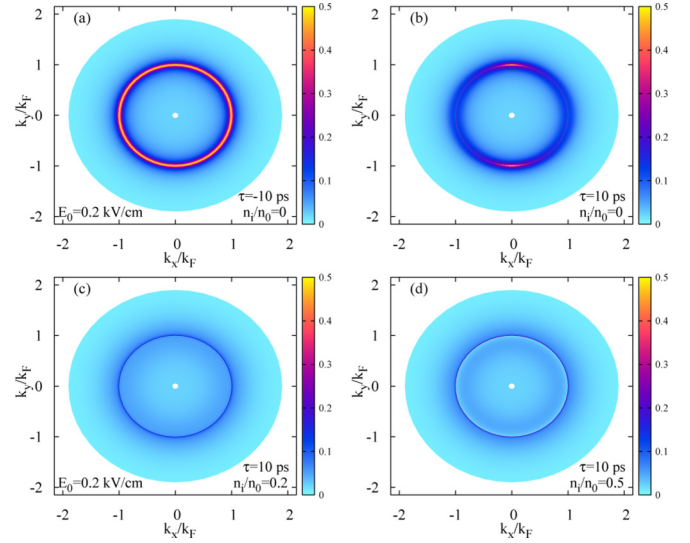


FIG. 5. Anomalous correlations in the momentum space before [$\tau = -10$ ps, (a)] and after [$\tau = 10$ ps, (b), (c), and (d)] the THz pulses with $E_0 = 0.2$ kV/cm and $\omega = 2\Delta \approx 2.3$ THz. In (b), (c), and (d), the impurity densities $n_i = 0, 0.2n_0$, and $0.5n_0$.

further suppresses the anomalous correlation. This tends to enhance the magnitude of the Higgs mode.

To make the above physical picture clearer, in Fig. 5, we further plot the anomalous correlations before [(a), $\tau = -10$ ps] and after [(b), (c), and (d), $\tau = 10$ ps] the THz pulses with $E_0 = 0.2$ kV/cm and $\omega = 2\Delta \approx 2.3$ THz. In Figs. 5(b)–5(d), the impurity densities are set to be $n_i = 0, 0.2n_0$, and $0.5n_0$, respectively. In these figures, it can be seen that the anomalous correlation is significant only around the Fermi surface [54,58,101]. We first address the influence of the THz pulse on the anomalous correlation in the impurity-free situation. By comparing the anomalous correlation in Figs. 5(a) and 5(b), it can be seen that in the impurity-free situation, the anomalous correlation is suppressed by the THz pulse only in the blocking region and the anomalous correlation becomes anisotropic in the momentum space. This is consistent with the previous works in the static situation, in which the anomalous correlation is suppressed only in the blocking region [52,54,58]. Then, the influence of the impurity can be seen by comparing Fig. 5(c) [or 5(d)] with 5(b). It is shown in Fig. 5(c) [5(d)] that the anomalous correlation becomes isotropic due to the momentum scattering with $n_i = 0.2n_0$ ($0.5n_0$). This confirms the conclusion from Eq. (36) that the existence of the impurity tends to average the quasiparticle population and hence the anomalous correlation around the Fermi surface. Furthermore, one observes that in Fig. 5(c) [or 5(d)], the anomalous correlation is further suppressed compared to the free situation in Fig. 5(b), which shows that the electron-impurity scattering can further suppress the superconductivity after the THz pulse. Thus, with the increase of the impurity density, the plateau of the Higgs mode increases [refer to the red and blue solid curves in Figs. 3(a) and 3(c)].

The further suppression of the superconductivity due to the impurity after the THz pulse can be understood from another point of view. We find that with the increase of the impurity density, the quasiparticle density increases during the

temporal evolutions, shown in Fig. 9 in Appendix B. This can be understood from the fact that in the presence of impurities, the optical absorption is significantly enhanced because the driven electrical current is no longer in phase to the driven field [103–105]. The enhancement of the optical absorption by the impurities further suppresses the anomalous correlation [refer to Eq. (36)]. With the increase of the quasiparticle density, the normal-fluid and superfluid densities are expected to deviate from their equilibrium values. Thus, to further understand the nonequilibrium superconducting state after the pulse, the normal-fluid and superfluid densities are also estimated in Appendix B, which are often estimated in the pump-probe experiments [20–22,24]. It is emphasized that this estimation is performed by assuming that the system is *in the Fermi distribution with an effective temperature*, and hence the two-fluid description is expected to be effective [1,18,20–22,24].

3. Strong-pump regime

We then extend our calculation to the strong-pump regime. It is noted that a strong electrical field in the intense THz pulse can destroy the superconductivity (refer to Fig. 10). Here, we take $E_0 = 0.5$ kV/cm and $\Delta = 0.4$ meV. Then, with $\omega = 2\Delta$, it is obtained that $\eta \approx 1.1$ meV, which is larger than 2Δ . With these parameters, we show that in the superconducting GaAs QWs, even in the strong-pump regime, the pump effect still plays a marginal role in the excitation of the Higgs mode. This can be seen in Fig. 6 that in the clean (dirty) sample, the Higgs mode calculated with both the pump and drive effects, represented by the blue dashed (red solid) curve, almost coincides with the one calculated without the pump effect, denoted by the yellow dashed (green chain) curve.

Above, we have shown that in both the weak- and strong-pump regimes, with relatively small superconducting momenta

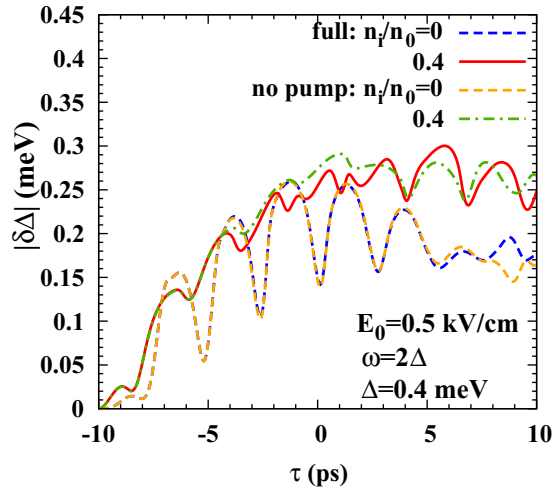


FIG. 6. Temporal evolutions of the Higgs mode in the strong-pump regime. With $E_0 = 0.5$ kV/cm and $\omega = 2\Delta = 0.8$ meV, one obtains that $\eta \approx 1.1$ meV, which is larger than 2Δ . It can be seen that in the clean (dirty) sample, the Higgs mode calculated with both the pump and drive effects, represented by the blue dashed (red solid) curve, almost coincides with the one calculated without the pump effect, denoted by the yellow dashed (green chain) curve.

$|\mathbf{p}_s| \ll k_F$, the pump effect always plays a marginal role in the excitation of the quasiparticle due to the effect of Pauli blocking. Actually, it can be estimated that as long as $|\mathbf{p}_s| \lesssim k_F$, the pump effect cannot be efficient (shown below). This is exactly the situation in the conventional superconducting metals with large Fermi surfaces, although intense THz fields are applied [26–29]. Previously, the explanation of the Higgs-mode oscillation is based on the pump effect [25–29,39,40,42,48]. Our results suggest that it is the drive effect that is really responsible.

Finally, we remark that only when $|\mathbf{p}_s| \gtrsim k_F$, the pump effect can contribute to the excitation of the Higgs mode, estimated as follows. In the strong-pump regime ($\eta \gtrsim 2\Delta$), the holelike quasiparticle is dominantly pumped around some special momenta labeled by \mathbf{k}_0 [refer to Eqs. (34) and (35)], which are determined by

$$\mathbf{k}_0^2/(2m^*) - \mu \approx -\eta. \quad (37)$$

Actually, Eq. (37) is established only when $|\mathbf{p}_s| \lesssim \sqrt{2}k_F$ with $\mathbf{k}_0^2/(2m^*) \approx \mu - \eta > 0$ satisfied. When $|\mathbf{p}_s| \lesssim \sqrt{2}k_F$, \mathbf{k}_0 is away from the Fermi surface by $\Delta k \equiv k_F - |\mathbf{k}_0|$. It is noted that the boundary of the blocking region *in the clean limit* is away from the Fermi surface by about $|\mathbf{p}_s|$. Thus, when $2\Delta k \gtrsim |\mathbf{p}_s|$, the pumped holelike quasiparticles lie out of the blocking region, which cannot be efficiently blocked. This requires that $|\mathbf{p}_s| \gtrsim k_F$. Whereas when $|\mathbf{p}_s| \gtrsim \sqrt{2}k_F$, Eq. (37) is no longer established. In this situation, $\mathbf{p}_s^2/(4m^*) \gtrsim \mu$, i.e., the effective chemical potential contributed by the ac Stark effect can be even larger than the one of the system. In this situation, the pump effect becomes extremely strong and the quasiparticles can be efficiently pumped in the whole momentum space. From the above analysis, it is estimated that when $|\mathbf{p}_s| \gtrsim k_F$, the pump effect can have contribution to the excitation of the Higgs mode. Moreover, one sees that one way to realize the significant pump effect is to efficiently suppress the drive effect and hence the range of the blocking region.

B. Charge imbalance: Creation and relaxation

The charge imbalance created by the electrical method and its relaxation has been intensively studied [1–4,31,63–66]. It is believed that for the isotropic *s*-wave superconductor, the *elastic* scattering due to the impurity cannot cause the relaxation of the charge imbalance [1–4,31,63–65]. This is because the elastic scattering cannot exchange the electronlike and holelike quasiparticles due to coherence factor ($u_{\mathbf{k}}u_{\mathbf{k}'} - v_{\mathbf{k}}v_{\mathbf{k}'}$) in the electron-impurity scattering potential [refer to Eq. (A2)] [1–4,31,63–65]. Nevertheless, in the previous studies [1–4,31,63–65], the charge-neutrality condition is not explicitly considered in the relaxation process of the charge imbalance. In other words, the studies [1–4,31,63–65] are actually performed in the framework of quasiparticle-number conservation [58]. Actually, to maintain the charge neutrality, the Cooper pair condensate has to respond to the dynamics of the quasiparticles [65,67–73]. In this part, we investigate the creation of the charge imbalance by the optical pulse and its relaxation via the optical Bloch equations [Eqs. (22) and (30)–(32)] in the framework of charge neutrality. The physical picture for the charge-neutrality condition has been addressed explicitly in Sec. II B 3.

1. Optical creation of charge imbalance

Although in the excitation of the Higgs mode, the pump effect is shown to play a marginal role (Sec. III A), it is found that both the pump and drive effects can be important in the creation of the charge imbalance. Their contributions can be even distinguished in the time domain. This is presented in Fig. 7, in which the temporal evolution of the effective chemical potential μ_{eff} is plotted by the red solid curve with the typical impurity density $n_i = 0.2n_0$ when $E_0 = 0.2 \text{ kV/cm}$ and $\omega = 2\Delta = 1.6 \text{ meV}$. It can be seen that during the evolution, the effective chemical potential, represented by the red solid curve, is first negative when $\tau < 3 \text{ ps}$, then becomes positive when $\tau > 3 \text{ ps}$ and finally decays to zero after the pulse. From Eq. (30) with $\Phi = \mu - \mu_{\text{eff}}$, one observes that the negative effective chemical potential means the increase of the total chemical potential and hence the condensate density; at the same time, the holelike quasiparticle charge becomes larger than the electronlike one. It is noted that the total density of quasiparticles increases during the pulse (refer to Fig. 9). Thus, with the induction of the negative effective chemical potential, both the condensate and quasiparticle densities are increased to maintain the charge neutrality. This is in contrast to the common belief that the quasiparticle densities increase through the breaking of the Cooper pairs. Whereas with the positive effective chemical potential, the electronlike quasiparticle charge becomes larger than the holelike one in accompany with the decrease of the condensate density.

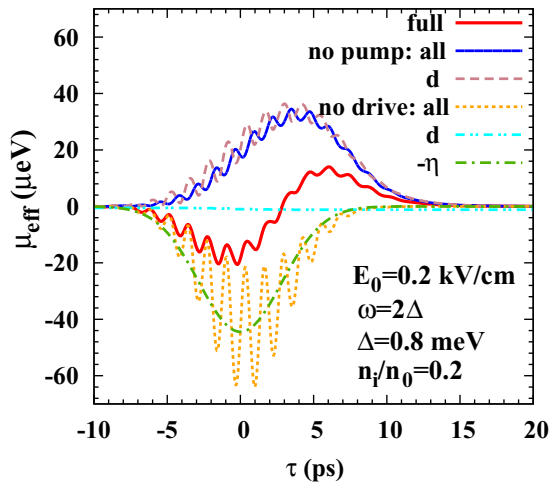


FIG. 7. Temporal evolution of the effective chemical potential in the condensate in the presence of the optical pulse with the typical impurity density $n_i = 0.2n_0$. $E_0 = 0.2 \text{ kV/cm}$ and $\omega = 2\Delta$ with $\Delta = 0.8 \text{ meV}$. The red solid curve shows that during the evolution, the effective chemical potential is first negative when $\tau < 3 \text{ ps}$, then becomes positive when $\tau > 3 \text{ ps}$, and finally decays to zero after the pulse. The blue solid (yellow dotted) curve represents the calculated effective chemical potential when only the drive (pump) effect exists. The cyan double-dotted-dashed (purple dashed) curve is calculated with only the diagonal elements in the quasiparticle density matrix retained when only the pump (drive) effect exists. Finally, the chemical potential induced by the ac Stark effect, i.e., η , is presented by the green chain curve, which depicts the envelope of the yellow dotted curve.

Furthermore, in Fig. 7, when only the drive (pump) effect exists, as shown by the blue solid (yellow dotted) curve, the effective chemical potential is positive (negative). Moreover, one observes that the red solid curve can be treated as the simple summation of the blue solid and yellow dotted ones. This indicates that the positive and negative parts of the effective chemical potential mainly come from the drive and pump effects, respectively. It is noticed that in the physical situation with both the pump and drive effects, for the pump effect, the excitation of quasiparticle population is efficiently suppressed by the drive effect (Sec. III A). Nevertheless, as addressed in Eq. (30), both the quasiparticle population and the correlation between the quasielectron and quasihole can contribute to the charge imbalance. Then, it is speculated that the charge imbalance due to the pump effect mainly comes from the induction of the correlation between the quasielectron and quasihole, which cannot be suppressed by the Pauli blocking. Moreover, the fact that the charge imbalance is the simple superposition of the ones due to the pump and drive effects indicates that the charge imbalance due to the drive effect is contributed by a different channel from the pump effect. Thus, it is further speculated that the charge imbalance contributed by the drive effect comes from the induction of the quasiparticle population. Both speculations are directly confirmed by the numerical calculation. This can be seen in Fig. 7 by the cyan double-dotted-dashed (purple dashed) curve that when only the pump (drive) effect exists, the quasiparticle populations have no (dominant) contribution to the charge imbalance. Thus, the optical excitation of the charge imbalance can be understood by separately studying the charge imbalance due to the pump and drive effects. It is emphasized that the obtained picture can be applied to both the weak- and strong-pump regimes because in both situations, the induction of the quasiparticle due to the pump effect is suppressed (this is confirmed by the numerical calculations directly).

We first analyze the charge imbalance due to the pump effect by analytically calculating its contribution to the effective chemical potential. From Eqs. (33) and (34), one obtains

$$\rho_{\mathbf{k},12}^h + \rho_{\mathbf{k},21}^h = \frac{E_{\mathbf{k}}^2 + \zeta_{\mathbf{k}}\eta}{\Delta\eta} \left(\frac{\Delta\eta}{E_{\mathbf{k}}\mathcal{E}_{\mathbf{k}}} \right)^2 \times (1 - 2f_{\mathbf{k}}^0)(1 - \cos 2\mathcal{E}_{\mathbf{k}}T). \quad (38)$$

Then, the net charge contributed by the correlation between the quasielectron and quasihole is

$$\delta Q_c = - \sum_{\mathbf{k}} \frac{\Delta^2\eta}{E_{\mathbf{k}}\mathcal{E}_{\mathbf{k}}^2} (1 - 2f_{\mathbf{k}}^0)(1 - \cos 2\mathcal{E}_{\mathbf{k}}T) \approx - \sum_{\mathbf{k}} \frac{\Delta^2\eta}{E_{\mathbf{k}}^3}. \quad (39)$$

By further noticing that $2\delta v_{\mathbf{k}}^2 = -(\Delta^2/E_{\mathbf{k}}^3)\delta\mu_{\text{eff}}$ in Eq. (29), the charge-neutrality condition requires that $\delta\mu_{\text{eff}} \approx -\eta$. This relation is directly confirmed by the green chain curve in Fig 7, in which η depicts the envelope of the yellow dotted curve. Actually, this simple relation provides a simple physical picture for the pump-induced charge imbalance, in which the ac Stark effect directly modifies the total chemical potential.

For the drive effect, the induced positive effective chemical potential indicates that the charge carried by the electronlike

quasiparticle is larger than the holelike one. The physics picture is qualitatively analyzed based on the optical Bloch equations in the quasiparticle space [Eq. (A1)] as follows. In the free situation with only the drive term retained, Eq. (A1) is written as

$$\frac{\partial \rho_{\mathbf{k}}^h}{\partial T} + \frac{1}{2} \left\{ e E_x \tilde{\tau}_3, \frac{\partial \rho_{\mathbf{k}}^h}{\partial k_x} \right\} + \frac{1}{2} \left\{ e E_x \tilde{\tau}_3, \left[\rho_{\mathbf{k}}^h, \frac{\partial \mathcal{U}_{\mathbf{k}}}{\partial k_x} \mathcal{U}_{\mathbf{k}}^\dagger \right] \right\} = 0, \quad (40)$$

in which $\tilde{\tau}_3(\mathbf{k}) \equiv \mathcal{U}_{\mathbf{k}} \tau_3 \mathcal{U}_{\mathbf{k}}^\dagger = (v_{\mathbf{k}}^2 - v_{\mathbf{k}}^2) \tau_3 - 2u_{\mathbf{k}} v_{\mathbf{k}} \tau_1$ with both the diagonal and off-diagonal terms retained. In Eq. (40), the second term is the conventional drive term for the quasiparticle in the Boltzmann equation [1,3,5,58], whereas the third term is contributed by the Berry phase [106–108]. By defining $q_{\mathbf{k}}^* = e(\zeta_{\mathbf{k}}/E_{\mathbf{k}})(\rho_{\mathbf{k},11}^h + 1 - \rho_{\mathbf{k},22}^h)$, which is the net charge for the quasiparticle with the momentum \mathbf{k} [1,2,31], and further neglecting the quasiparticle correlation, it is obtained from Eq. (40) that

$$\begin{aligned} \frac{\partial q_{\mathbf{k}}^*}{\partial T} + 2e E_x \left(\frac{\zeta_{\mathbf{k}}}{E_{\mathbf{k}}} \right)^2 \frac{\partial q_{\mathbf{k}}^*}{\partial k_x} - 2e E_x \frac{\zeta_{\mathbf{k}}}{E_{\mathbf{k}}} \frac{k_x}{m^*} \frac{\Delta^2}{E_{\mathbf{k}}^3} q_{\mathbf{k}}^* \\ + e E_x \frac{k_x}{m^*} \frac{\Delta^2}{E_{\mathbf{k}}^3} \frac{q_{\mathbf{k}}^* + q_{-\mathbf{k}}^*}{2} = e^2 E_x \frac{k_x}{m^*} \frac{\zeta_{\mathbf{k}}}{E_{\mathbf{k}}} \frac{\Delta^2}{E_{\mathbf{k}}^3}. \end{aligned} \quad (41)$$

Although Eq. (41) is complex, one important feature is that there exists a source term for $q_{\mathbf{k}}^*$ on the right-hand side of the equation. This source term, which originates from the Berry-phase effect, is proportional to Δ^2 . This indicates that the charge conservation of the *quasiparticle* is absent due to the existence of the superconducting order parameter. This is consistent with the conclusion in the Blonder-Tinkham-Klapwijk model when studying the Andreev reflection, which reveals that the order parameter itself directly breaks the charge conservation of quasiparticles [109]. One notices that in the situation with relatively small impurity density, only the blocking region should be considered. Actually, this source term directly contributes to the formation of the blocking region. From the source term, it can be seen that with $E_x > 0$ ($E_x < 0$), the quasiparticle charges increase when $k_x < 0$ ($k_x > 0$). It is further noted that the source term is proportional to k_x , which is larger for the electronlike quasiparticle than the holelike one. Then, *in the blocking region*, the electronlike quasiparticle charge can be created faster than the holelike one, which directly contributes to the charge imbalance with more electronlike quasiparticles.

We emphasize that the optical excitation of the charge imbalance is a unique feature for the superconductor with nonzero order parameter, which cannot be realized in the normal state. When the order parameter is close to zero, on one hand, the pump term tends to zero and hence there cannot exist significant correlation between the quasidelectron and quasihole states; on the other hand, the source term in Eq. (41) becomes close to zero and, hence, no significant quasiparticles can be created from the condensate. Experimentally, the effective chemical potential induced by the optical field in the charge imbalance can be directly measured either through the voltage between the quasiparticle and condensate measured in the setup of Clarke's works [74,75], or through the effective chemical potential measured in the Josephson effect [23].

2. Charge-imbalance relaxation due to the electron-impurity scattering

In Fig. 7, it is anomalous to observe that after the pulse at $\tau \approx 8$ ps, the induced effective chemical potential relaxes to zero. This indicates that there exist relaxation channels for the charge imbalance even in the presence of the elastic scattering in the isotropic *s*-wave superconductivity, which is in contrast to the previous studies [1,2,31,63,64]. To reveal the mechanism for the charge-imbalance relaxation, a simplified model in the *s*-wave superconducting QWs is set up with a *small* initially given charge imbalance, in which \mathbf{p}_s is set to be zero and the HF self-energy is neglected. Accordingly, Eq. (A1) is simplified into

$$\partial_T \rho_{\mathbf{k}}^h + i[E_{\mathbf{k}} \tau_3, \rho_{\mathbf{k}}^h] + i[\mu_{\text{eff}} \tilde{\tau}_3, \rho_{\mathbf{k}}^h] = \partial_t \rho_{\mathbf{k}}|_{\text{scat}}^{\text{d}} + \partial_t \rho_{\mathbf{k}}|_{\text{scat}}^{\text{off}}. \quad (42)$$

Specifically, in Eq. (42), the off-diagonal terms in $\mu_{\text{eff}} \tilde{\tau}_3$ induce the precession between the quasidelectron and quasihole states and hence the quasiparticle correlation; $\partial_t \rho_{\mathbf{k}}|_{\text{scat}}^{\text{off}}$ directly breaks the conservation of the quasiparticle number [58] (more discussions are referred to Appendix A). The initial state in the quasiparticle space with a small quasiparticle charge imbalance is set to be

$$\rho_{\mathbf{k}}^{h,c} = \begin{pmatrix} f_0(E_{\mathbf{k}}^c) & 0 \\ 0 & 1 - f_0(E_{\mathbf{k}}^c) \end{pmatrix}. \quad (43)$$

In Eq. (43), $E_{\mathbf{k}}^c = \sqrt{(\varepsilon_{\mathbf{k}} - \mu - \delta\mu_c)^2 + |\Delta|^2}$ with $\delta\mu_c = 0.01\mu$ and $f_0(E_{\mathbf{k}}^c) = \{\exp[E_{\mathbf{k}}^c/(k_B T_e)] + 1\}^{-1}$. With $|\delta\mu_c| \ll |\mu|$,

$$\rho_{\mathbf{k}}^{h,c} \approx \begin{pmatrix} f_0(E_{\mathbf{k}}) & 0 \\ 0 & 1 - f_0(E_{\mathbf{k}}) \end{pmatrix} - \frac{\partial f_0}{\partial E_{\mathbf{k}}} \frac{\zeta_{\mathbf{k}}}{E_{\mathbf{k}}} \delta\mu_c \tau_3. \quad (44)$$

With this initial state, the effective chemical potential for the condensate can be induced due to the charge-neutrality condition [Eq. (30)]. Thus, Eqs. (42), (30), and (44) provide the consistent equations to study the charge-imbalance relaxation, which are solved first numerically and then analytically below.

In Fig. 8, the impurity-density dependencies of the charge-imbalance relaxation time (CIRT) τ_C with $\Delta = 0.8$ and 0.4 meV are plotted by the red solid curve with circles and blue dashed curve with squares. It is shown that the CIRT is finite with finite impurity density, indicating that the electron-impurity scattering surely can cause the charge-imbalance relaxation. Specifically, one sees in Fig. 8 that with the increase of the impurity density, the CIRT first decreases and then increases, showing similar features in the spin relaxation time (SRT) in the D'yakanov-Perel' (DP) [110] mechanism [96,111–117]. Furthermore, in the inset of Fig. 8, the temporal evolutions of the normalized effective chemical potential V/V_0 are shown with different impurity densities $n_i = 0$ (red solid curve), $0.02n_0$ (green chain curve), n_0 (blue dashed curve), and $5n_0$ (yellow dashed curve). Specifically, when $n_i = 0$, the effective chemical potential does not relax to zero but to half of its initial value, indicating infinite charge-imbalance lifetime.

Although there exist similarities in the momentum-scattering dependence of the relaxation rates, the DP mechanism [96,111–117] cannot simply explain the features revealed in the charge-imbalance relaxation. In the DP mechanism, the

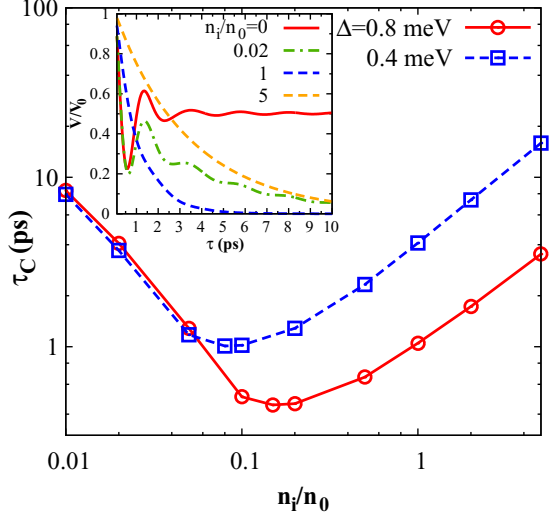


FIG. 8. Impurity-density dependencies of the CIRT with $\Delta = 0.8$ meV (red solid curve with circles) and 0.4 meV (blue dashed curve with squares), respectively. The finite CIRT shows that the electron-impurity scattering surely can cause the charge-imbalance relaxation. In the inset, the temporal evolutions of the normalized effective chemical potential V/V_0 are shown with different impurity densities $n_i = 0$ (red solid curve), $0.02n_0$ (green chain curve), n_0 (blue dashed curve), and $5n_0$ (yellow dashed curve). Especially when $n_i = 0$, the effective chemical potential does not relax to zero but to half of its initial value, indicating infinite charge-imbalance lifetime.

SOC acts as a momentum-dependent effective magnetic field $\Omega(\mathbf{k})$, around which the electron spins with different momenta process with different frequencies, i.e., the inhomogeneous broadening [96,118]. Without the momentum scattering, this inhomogeneous broadening can cause a free-induction decay due to the destructive interference, whereas when there exists momentum scattering, the system can be divided into the weak- and strong-scattering regimes. In the weak-scattering regime with $|\Omega(\mathbf{k})\tau_k \gtrsim 1$, the momentum scattering opens a spin relaxation channel and the electron SRT τ_s is proportional to τ_k . Here, τ_k is the momentum relaxation time. In the strong-scattering regime with $|\Omega(\mathbf{k})\tau_k \ll 1$, the momentum scattering suppresses the inhomogeneous broadening and τ_s is inversely proportional to τ_k [96,111–117]. Nevertheless, when the SOC does not depend on the angle of momentum, the elastic scattering cannot provide the spin relaxation channel [96,119], as long as the SOC is so weak that it can be neglected in the energy spectrum of the electron [120].

It is interesting to see that although the effective chemical potential and quasiparticle excitation energy in the coherent term of Eq. (42) act as the effective SOC in the DP mechanism, they actually cannot provide the inhomogeneous broadening in the presence of the elastic scattering because of their momentum-angle independence [96,118,119]. Hence, the DP mechanism cannot explain the calculated charge-imbalance relaxation due to the electron-impurity scattering [96,119,120]. Moreover, one notes that even in the free situation, the CIRT is infinite, which is in contrast to the finite SRT in the DP mechanism [96,111–117]. Actually, a new mechanism is

expected to be responsible for the charge-imbalance relaxation here. The concrete physical picture for the charge-imbalance relaxation can be obtained from the analytical analysis, which is presented as follows.

Due to the absence of the momentum angle in the coherent terms of Eq. (42), the calculation of the charge-imbalance relaxation can be markedly simplified. The density matrix can be expanded by its Fourier components, i.e., $\rho_{\mathbf{k}}^h = \rho_{\mathbf{k}}^h + \sum_{l=1}^{\infty} \rho_{\mathbf{k}}^{h,l} e^{il\theta_{\mathbf{k}}}$. With the initial state (44), only the homogeneous component $\rho_{\mathbf{k}}^h$ involves in the relaxation of the charge imbalance, whose kinetic equations are written as

$$\partial_T \rho_{\mathbf{k}}^h + i[\tilde{E}_{\mathbf{k}}\tau_3, \rho_{\mathbf{k}}^h] + i[\tilde{\mu}_{\text{eff}}\tau_1, \rho_{\mathbf{k}}^h] + (\rho_{\mathbf{k}}^h - \tau_3 \rho_{\mathbf{k}}^h \tau_3)/\tau_{\mathbf{k}}^I - (\tau_1 \tau_2 \rho_{\mathbf{k}}^h - \tau_1 \rho_{\mathbf{k}}^h \tau_3 + \text{H.c.})/\tau_{\mathbf{k}}^{\text{II}} = 0. \quad (45)$$

In Eq. (45), $\tilde{E}_{\mathbf{k}} = E_{\mathbf{k}} + \mu_{\text{eff}}\zeta_{\mathbf{k}}/E_{\mathbf{k}}$, $\tilde{\mu}_{\text{eff}} = -\mu_{\text{eff}}\Delta/E_{\mathbf{k}}$,

$$\frac{1}{\tau_{\mathbf{k}}^I} = \frac{n_i m^*}{2\pi} \int d\theta_{\mathbf{k}'-\mathbf{k}} |V_{\mathbf{k}-\mathbf{k}'}|^2 (u_{\mathbf{k}}^2 - v_{\mathbf{k}}^2)^2 \left| \frac{E_{\mathbf{k}}}{\zeta_{\mathbf{k}}} \right|, \quad (46)$$

$$\frac{1}{\tau_{\mathbf{k}}^{\text{II}}} = \frac{n_i m^*}{2\pi} \int d\theta_{\mathbf{k}'-\mathbf{k}} |V_{\mathbf{k}-\mathbf{k}'}|^2 (u_{\mathbf{k}}^2 - v_{\mathbf{k}}^2) u_{\mathbf{k}} v_{\mathbf{k}} \left| \frac{E_{\mathbf{k}}}{\zeta_{\mathbf{k}}} \right|, \quad (47)$$

with $\theta_{\mathbf{k}}$ being the angle of momentum \mathbf{k} . It is noted that $\tau_{\mathbf{k}}^I$ and $\tau_{\mathbf{k}}^{\text{II}}$ in Eqs. (46) and (47) come from $\partial_t \rho_{\mathbf{k}}^{\text{d}}|_{\text{scat}}$ and $\partial_t \rho_{\mathbf{k}}^{\text{off}}|_{\text{scat}}$ in Eq. (42), respectively. Accordingly, $\tau_{\mathbf{k}}^{\text{II}}$ directly breaks the quasiparticle-number conservation [58]. Furthermore, $\tau_{\mathbf{k}}^I$ and $\tau_{\mathbf{k}}^{\text{II}}$ are different from the conventional momentum-scattering time τ_k [96,120], although the former being in the same order as τ_k . Actually, from Eq. (47), $\tau_{\mathbf{k}}^{\text{II}} > 0$ ($\tau_{\mathbf{k}}^{\text{II}} < 0$) for the electronlike (holelike) quasielectron with $|\mathbf{k}| > k_F$ ($|\mathbf{k}| < k_F$).

By further expanding $\rho_{\mathbf{k}}^h$ by the Pauli matrices in the Nambu space, i.e., $\rho_{\mathbf{k}}^h = \rho_{k,0}^h \tau_0 + \sum_{i=1}^3 \rho_{k,i}^h \tau_i$ with $\tau_0 = \text{diag}\{1, 1\}$, from Eq. (45), the kinetic equations for the components $\rho_{k,i}^h$ ($i = 1, 2, 3$) read as

$$\frac{\partial}{\partial T} \begin{pmatrix} \rho_{k,1}^h \\ \rho_{k,2}^h \\ \rho_{k,3}^h \end{pmatrix} + \begin{pmatrix} 2/\tau_k^I & 2\tilde{E}_k & 0 \\ -2\tilde{E}_k & 2/\tau_k^I & 2\tilde{\mu}_{\text{eff}} \\ 4/\tau_k^{\text{II}} & -2\tilde{\mu}_{\text{eff}} & 0 \end{pmatrix} \begin{pmatrix} \rho_{k,1}^h \\ \rho_{k,2}^h \\ \rho_{k,3}^h \end{pmatrix} = 0. \quad (48)$$

By using the components $\rho_{k,i}^h$ of $\rho_{\mathbf{k}}^h$, the charge-neutrality condition [Eq. (30)] becomes

$$n_0 = \sum_{\mathbf{k}} \left[1 - \frac{\zeta_{\mathbf{k}} + \mu_{\text{eff}}}{\sqrt{(\zeta_{\mathbf{k}} + \mu_{\text{eff}})^2 + \Delta^2}} + \frac{\zeta_{\mathbf{k}}}{E_{\mathbf{k}}} (1 + 2\rho_{k,3}^h) - \frac{2\Delta}{E_{\mathbf{k}}} \rho_{k,1}^h \right]. \quad (49)$$

Equation (48) can be further analyzed in the near-equilibrium situation, in which the density matrix is composed of its equilibrium and deviation parts. By writing $\rho_{k,i}^h = \bar{\rho}_{k,i}^h + \delta\rho_{k,i}^h$ with $\bar{\rho}_{k,i}^h$ and $\delta\rho_{k,i}^h$ being the equilibrium and deviation parts, Eq. (48) is linearized to be

$$\partial_T \delta\rho_{k,1}^h + 2\delta\rho_{k,1}^h/\tau_k^I + 2E_{\mathbf{k}}\delta\rho_{k,2}^h = 0, \quad (50)$$

$$\partial_T \delta\rho_{k,2}^h - 2E_{\mathbf{k}}\delta\rho_{k,1}^h + 2\delta\rho_{k,2}^h/\tau_k^I + \mu_{\text{eff}}\Delta/E_{\mathbf{k}} = 0, \quad (51)$$

$$\partial_T \delta\rho_{k,3}^h + 4\delta\rho_{k,1}^h/\tau_k^{\text{II}} = 0. \quad (52)$$

The features of the charge-imbalance relaxation without and with impurities can be understood based on Eqs. (49) and (50)–(52). We first analyze the impurity-free limit with $1/\tau_k^I = 1/\tau_k^{II} = 0$ in Eqs. (50)–(52). From Eq. (52), one observes that in the impurity-free limit, $\delta\rho_{k,3}^h$ does not evolve with time, which contributes the charge imbalance due to the nonequilibrium quasiparticle population. Furthermore, in the steady state with the effective chemical potential denoted by μ_{eff}^∞ , from Eqs. (50) and (51), one obtains $\delta\rho_{k,2}^h = 0$ and $\delta\rho_{k,1}^h = \mu_{\text{eff}}^\infty \Delta / (2E_k^2)$. Then, from the charge-neutrality condition [Eq. (49)], in the steady state, $\sum_{\mathbf{k}} [-\frac{\Delta^2}{E_k^3} (\mu_{\text{eff}}^0 - 2\mu_{\text{eff}}^\infty)] = 0$ with μ_{eff}^0 being the initial effective chemical potential. Hence, the steady-state effective chemical potential $\mu_{\text{eff}}^\infty = \mu_{\text{eff}}^0 / 2$, which explains the steady state found in the numerical calculation (shown by the red solid curve in the inset of Fig. 7).

When there exists the momentum scattering, we first address the role of τ_k^I in the charge-imbalance relaxation. One notes that in Eq. (51), $\delta\rho_{k,3}^h$ does not directly influence the evolutions of $\delta\rho_{k,1}^h$ and $\delta\rho_{k,2}^h$, but rather influences them through the influence on μ_{eff} . By neglecting $1/\tau_k^{II}$ in Eq. (52), $\delta\rho_{k,3}^h$ still does not evolve with the time. Then, from Eqs. (50) and (51), one obtains that in the steady state, $\delta\rho_{k,1}^h = \frac{\Delta}{2E_k} \mu_{\text{eff}}^\infty / [1 + \frac{1}{(E_k \tau_k^I)^2}]$. Furthermore, from the charge-neutrality condition [Eq. (49)], one obtains $\sum_{\mathbf{k}} \{ -\frac{\Delta^2}{E_k^3} [\mu_{\text{eff}}^0 - \mu_{\text{eff}}^\infty (1 + \frac{(E_k \tau_k^I)^2}{1 + (E_k \tau_k^I)^2})] \} = 0$, which indicates that $\mu_{\text{eff}}^\infty / 2 < \mu_{\text{eff}}^\infty < \mu_{\text{eff}}^0$. Specifically, this further indicates that when $\langle E_k \tau_k^I \rangle \ll 1$, the charge-imbalance relaxation can be suppressed by τ_k^I by suppressing the induction of $\rho_{k,1}^h$, i.e., the correlation between the quasielectron and quasihole. Moreover, from Eq. (52), one finds that in the presence of τ_k^{II} , the induction of the quasiparticle correlation $\delta\rho_{k,1}^h$ directly leads to the fluctuation of the quasiparticle number $\delta\rho_{k,3}^h$. Actually, this directly induces the annihilation of the extra quasiparticles in the quasielectron and quasihole bands into the Cooper pairs [64,90,91,93].

Therefore, τ_k^{II} can directly open a charge-imbalance relaxation channel by relaxing the charge imbalance due to the quasiparticle population, whose rate of change also depends on the value of the correlation between the quasielectron and quasihole. Accordingly, there exists the competition between the scattering terms (46) and (47), leading to the nonmonotonic dependence on the momentum scattering for the CIRT. Specifically, in the weak-scattering limit with $\langle E_k \tau_k^I \rangle \gg 1$, one expects that the momentum scattering due to τ_k^{II} can directly open a charge-imbalance relaxation channel with the CIRT proportional to the momentum-scattering strength. In the strong-scattering regime with $\langle E_k \tau_k^I \rangle \ll 1$, the induction of the quasielectron and quasihole correlation can be directly suppressed by the impurity scattering, which can further suppress the charge-imbalance relaxation through the quasiparticle population. In this situation, the CIRT is enhanced with the increase of the momentum-scattering strength. From this physical picture, $\langle E_k \tau_k^I \rangle \approx \Delta \langle \tau_k^I \rangle = 1$ labels the boundaries between the weak- and strong-scattering regimes. Thus, with $\langle \tau_k^I \rangle$ less influenced by the order parameter, the position of the boundaries between the weak- and strong-scattering regimes

scales according to $1/\Delta$ (refer to the blue dashed and red solid curves in Fig. 8).

Finally, we summarize the physical picture for the charge-imbalance relaxation channels provided by the elastic scattering. It is emphasized that the quasiparticle correlation between the quasielectron and quasihole states, i.e., $\langle \alpha_{\mathbf{k}\uparrow} \beta_{\mathbf{k}\downarrow} \rangle$, is responsible for the charge-imbalance relaxation, which is often overlooked in the previous studies [2,31,63,64]. Here, the existence of the nonequilibrium effective chemical potential itself can cause the precession between the quasielectron and quasihole states, directly inducing the quasiparticle correlation. Once the quasiparticle correlation is induced, in the presence of the electron-impurity scattering, the process involving the annihilation of the quasielectron and quasihole into the Cooper pairs, i.e., $\alpha_{\mathbf{k}\uparrow} \beta_{\mathbf{k}\downarrow} S^\dagger$, is inevitably triggered [refer to Eq. (52)] [58,64,90,91], whose rate of change is directly determined by $|\tau_k^{II}|$ defined in Eq. (47). This process has been schematically presented in Fig. 2. Consequently, the annihilation of the extra quasiparticles in the quasielectron and quasihole bands directly causes the relaxation of charge imbalance for the quasiparticles and contributes to the fluctuation of the effective chemical potential for the condensate. Nevertheless, although the presence of the impurity scattering directly opens a charge-imbalance relaxation channel due to the quasiparticle population, it also suppresses the induction of the quasiparticle correlation. This competition between the relaxation channels due to the quasiparticle correlation and population leads to the nonmonotonic dependence on the momentum scattering for the charge-imbalance relaxation. Accordingly, although there exist the similarities in the momentum-scattering dependence between the CIRT and SRT in the DP mechanism, their relaxation mechanisms are totally different.

IV. CONCLUSION AND DISCUSSION

In conclusion, we have investigated the quasiparticle and condensate dynamics in response to the THz optical pulses in the weak spin-orbit-coupled *s*-wave superconducting semiconductor QWs. We set up the gauge-invariant optical Bloch equations in the quasiparticle approximation via the gauge-invariant nonequilibrium Green function approach [81,85,86], with the gauge structure revealed by Nambu explicitly retained [32]. In the gauge-invariant Green function approach, the gauge-invariant Green function with the Wilson line is constructed [84,87,89]. By choosing the \mathbf{p}_s gauge, in the gauge-invariant optical Bloch equations, not only can the microscopic description for the quasiparticle dynamics be realized, but also the dynamics of the condensate is included, with the superfluid momentum \mathbf{p}_s and the effective chemical potential μ_{eff} naturally incorporated. It is addressed that \mathbf{p}_s directly contributes to the center-of-mass momentum and μ_{eff} corresponds to the collective excitation revealed by Nambu [32,34,37,38], evolving with time in the homogeneous limit. We show that \mathbf{p}_s plays an important role in the dynamics of quasiparticles. Its nonlinear term $\propto \mathbf{p}_s^2$ contributes to the pump of the quasiparticles (pump effect), and its rate of change $\partial_t \mathbf{p}_s$ acts as a drive field to drift the quasiparticles (drive effect). Specifically, the drive effect can contribute to the formation of the blocking region [52–59] for the quasiparticle,

which directly suppresses the anomalous correlation of Cooper pairs (refer to Fig. 1). It is found that both the pump and drive effects contribute to the excitation of the Higgs mode, which oscillates with twice the frequency of the optical field. However, it is shown that the contribution from the drive effect to the excitation of Higgs mode is dominant as long as the driven superconducting momentum is less than the Fermi momentum. This is because in this condition, the pump of the quasiparticle population is efficiently suppressed thanks to the Pauli blocking. This is in sharp contrast to the conclusions obtained from the Liouville [39,40,43] or Bloch [42,44–47,49] equations in the literature, in which the drive effect is overlooked with only the pump effect considered. Actually, in these treatments [39,40,42–47,49], the contribution of the Cooper-pair center-of-mass momentum to the suppression of the anomalous correlation of Cooper pairs is overlooked. In our framework, the role of the electron-impurity scattering on the excitation of the superconducting state is also revealed, which is found to further suppress the Cooper pairing on the basis of the drive effect.

In the gauge-invariant optical Bloch equations, the charge-neutrality condition is self-consistently considered based on the two-component model for the charge. In this model, the deviation from the equilibrium state for the quasiparticle, i.e., the charge imbalance, can cause the fluctuation of the effective chemical potential μ_{eff} for the condensate [1–4,31,63–65]. This consideration is actually consistent with the one in the determination of the collective mode based on the gauge structure and charge conservation for the superconductivity [32,34,37,38]. We predict that during the optical process, the charge imbalance can be created by both the pump and drive effects, with the former arising from the ac Stark effect and the latter coming from the breaking of Cooper pairs by the electrical field. Specifically, when $|\mathbf{p}_s|$ is much smaller than the Fermi momentum, the charge imbalance is contributed by the pump and drive effects separately, through influencing the quasiparticle correlation and quasiparticle population, respectively.

The induction of the charge imbalance of quasiparticles directly causes the fluctuation of the effective chemical potential of the condensate. This fluctuation of the effective chemical potential is found to directly provide a charge-imbalance relaxation channel even with the elastic scattering due to impurities. This is in contrast to the previous understanding in the literature that in the isotropic s -wave superconductivity, the impurity scattering cannot cause any charge-imbalance relaxation [2,31,63,64]. Actually, the previous understanding is based on the framework with quasiparticle-number conservation but not the charge conservation, in which the charge-imbalance relaxation is induced by the direct scattering of quasiparticles between the electronlike and holelike branches in the presence of the impurities (refer to Fig. 2). This interbranch scattering is forbidden for the electron-impurity scattering in the isotropic s -wave superconductivity thanks to the coherence factor $(u_{\mathbf{k}}u_{\mathbf{k}'} - v_{\mathbf{k}}v_{\mathbf{k}'})$ in the scattering potential [2,31,63,64]. Furthermore, the momentum-scattering dependence of the charge-imbalance relaxation is revealed. When the momentum scattering is weak (strong), the charge-imbalance relaxation is enhanced (suppressed) by the momentum scattering.

Although the above momentum-scattering dependencies of the charge-imbalance relaxation seemingly resemble the ones in the DP mechanism [96,110–117], we point out that the DP mechanism cannot explain the charge-imbalance relaxation in the presence of the elastic scattering [96,119,120]. In fact, a new mechanism is revealed to be responsible for the charge-imbalance relaxation here. We demonstrate that the charge-imbalance relaxation here is caused by the direct annihilation of the quasiparticles in the quasidelectron and quasihole bands (refer to Fig. 2), in which the quasiparticle-number conservation is *broken*. The source of the breaking of quasiparticle-number conservation is the quasiparticle correlation between the quasidelectron and quasihole states [58], which is contributed by the quasiparticle precession induced by the nonequilibrium chemical potential of the condensate. Then, due to the electron-impurity scattering, the induction of the quasiparticle correlation further triggers the process of the condensation with two quasiparticles binding into one Cooper pair in the condensate, or vice versa [64,90,91].

These processes can directly cause the annihilation of the extra quasiparticles in the quasidelectron or quasihole bands, due to which the charge-imbalance relaxation for the quasiparticles is induced. Meanwhile, with the condensation or breaking of the Cooper pairs in the condensate, the fluctuation of the effective chemical potential is also induced. Thus, through the quasiparticle correlation, the electron-impurity scattering opens a charge-imbalance relaxation channel due to the fluctuation of the quasiparticle population. Based on this picture, it is emphasized that the creation and relaxation of charge imbalance is a unique feature for the superconductivity with nonzero order parameter, in which the particle-number or quasiparticle-number fluctuation inherently exists due to the breaking of the global $U(1)$ symmetry. It is further found that the induction of the quasiparticle correlation by μ_{eff} is directly suppressed by the impurity scattering. Consequently, the competition between the relaxation channels due to the quasiparticle correlation and population leads to the nonmonotonic dependence on the momentum scattering for the charge-imbalance relaxation.

Although our calculations are performed in the two-dimensional superconducting semiconductor QWs in particular materials with small and simple Fermi surfaces, the obtained predictions can still shed light on the optical response in the film of the superconducting metal, even with complex Fermi surfaces. In our setup, the optical field and the correspondingly induced superconducting velocity are treated to be homogeneous in the whole material. This is because with our material parameters, the London penetration depth $\lambda_L \approx \sqrt{m^*/(\rho_s e^2)}$ for the magnetic field [16] is in the order of micrometer, much larger than the well width of the QWs. In this situation, the Meissner effect can be neglected and hence the optical field can efficiently penetrate into the material. Actually, even in the superconducting film of metal, the efficient penetration of the optical field is often considered to be satisfied [20–29], to which the framework used in this work can be extended.

From the experimental point of view, we remark the possible experimental detections for our predictions, including the Higgs mode induced by the drive effect, the induction

of the charge imbalance by the optical method, and the relaxation channel for the charge imbalance due to the elastic scattering. Specifically, for the Higgs mode induced by the drive effect, our calculation shows that its oscillation amplitude is suppressed and plateau value after the pulse is enhanced by the electron-impurity scattering. Particularly, the latter feature is in contrast to the ones in the influence of the impurity on the pump effect [121]. Thus, the experimental observation on the impurity-density dependence of the Higgs-mode oscillation can help to distinguish the contribution to the Higgs mode from the drive and pump effects. For the charge imbalance induced by the optical method, it can be directly detected either through the voltage between the quasiparticle and condensate measured in the setup of Clarke's works [74,75] or through the effective chemical potential measured in the Josephson effect [23]. These techniques with time resolution can also be used to measure the charge-imbalance relaxation due to the impurity scattering, which should be performed at low temperature with significant impurity density.

Finally, we remark the physical origin of the effective chemical potential from another point of view, which has been presented based on the consideration of the charge conservation in the two-component model for the charge [1–4,31,63–66]. From the gauge structure in the superconductivity, the effective chemical potential originates from the rate of change of the superconducting phase. Actually, based on the work of Ambegaokar and Kadanoff [34], in the long-wave limit, the excited superconducting phase in the optical process is exactly the collective mode revealed by Nambu with the consideration of the gauge invariance in the superconductivity [32], which is referred to as the Nambu-Goldstone mode in the quantum field theory [31,33,89]. In both the experiment [122,123] and theory [124], the Nambu-Goldstone mode was reported to directly contribute to the optical absorption, especially when the photon energy is below the superconducting gap. Based on this understanding, we conjecture that the effective chemical potential is contributed by the temporal variations of the Nambu-Goldstone mode, which is excited by the optical pulse. Therefore, the study on the effective chemical potential not only helps to reveal the dynamics of the charge imbalance, but also can shed light on the understanding of the optical excitation for the Nambu-Goldstone mode.

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APPENDIX A: OPTICAL BLOCH EQUATIONS IN QUASIPARTICLE SPACE

It is convenient to perform the analytical analysis for the dynamical process of the *quasiparticle* by the optical Bloch equations in the quasiparticle space. Here, we transform the optical Bloch equations in the particle space, i.e., Eq. (22), into the ones in the quasiparticle space by the unitary

transformation (27), which are written as

$$\begin{aligned} & \frac{\partial \rho_{\mathbf{k}}^h}{\partial T} + i[E_{\mathbf{k}} \tau_3, \rho_{\mathbf{k}}^h] + i[\mu_{\text{eff}} \tilde{\tau}_3, \rho_{\mathbf{k}}^h] + i\left[\frac{\mathbf{p}_s^2}{2m^*} \tilde{\tau}_3, \rho_{\mathbf{k}}^h\right] \\ & + \frac{1}{2}\left\{\frac{\partial \mathbf{p}_s}{\partial T} \tilde{\tau}_3, \frac{\partial \rho_{\mathbf{k}}^h}{\partial \mathbf{k}}\right\} + \frac{1}{2}\left\{\frac{\partial \mathbf{p}_s}{\partial T} \tilde{\tau}_3, \left[\rho_{\mathbf{k}}^h, \frac{\partial \mathcal{U}_{\mathbf{k}}}{\partial \mathbf{k}} \mathcal{U}_{\mathbf{k}}^\dagger\right]\right\} \\ & = i \sum_{\mathbf{k}'} U_{\mathbf{k}-\mathbf{k}'} [(\mathcal{U}_{\mathbf{k}} \tau_3 \mathcal{U}_{\mathbf{k}'}^\dagger)(\rho_{\mathbf{k}'}^h - \rho_{\mathbf{k}'}^{h,0})(\mathcal{U}_{\mathbf{k}} \tau_3 \mathcal{U}_{\mathbf{k}'}^\dagger), \rho_{\mathbf{k}}^h] - \pi n_i \\ & \times \sum_{\mathbf{k}'\eta=\pm} |V_{\mathbf{k}-\mathbf{k}'}|^2 \delta(E_{\mathbf{k}\eta} - E_{\mathbf{k}'\eta}) [(\mathcal{U}_{\mathbf{k}} \tau_3 \mathcal{U}_{\mathbf{k}'}^\dagger) Q_\eta (\mathcal{U}_{\mathbf{k}} \tau_3 \mathcal{U}_{\mathbf{k}'}^\dagger) \\ & \times Q_\eta \rho_{\mathbf{k}}^h - (\mathcal{U}_{\mathbf{k}} \tau_3 \mathcal{U}_{\mathbf{k}'}^\dagger) \rho_{\mathbf{k}'}^h Q_\eta (\mathcal{U}_{\mathbf{k}} \tau_3 \mathcal{U}_{\mathbf{k}'}^\dagger) Q_\eta + \text{H.c.}], \end{aligned} \quad (\text{A1})$$

whose structure is analyzed as follows.

In the second and third terms in Eq. (A1), the diagonal terms in $\tilde{\tau}_3$ renormalize the quasiparticle excitation energy, whereas the off-diagonal terms cause the precession between the quasidelectron and quasihole states, which act as the pump term similar to the interband optical excitation in the semiconductor [81–83]. Specifically, it can be seen that the fluctuation of the condensate, i.e., μ_{eff} , can also contribute to the pump term, which definitely influences the dynamics of the quasiparticle. Moreover, in the quasiparticle space, the drive term is contributed by the fourth and fifth terms, with the latter originating from the Berry-phase effect [106–108]. Finally, in the scattering term, only the electron-impurity scattering is presented here with the electron-phonon one [Eq. (26)] negligible at the low temperature. $Q_\pm = 1/2 \pm \tau_3/2$ are the projection operators in the quasiparticle space. It is noted that the derived scattering term here is different from the one used in the Boltzmann equation for the Bogoliubov quasiparticle, in which the contribution from the off-diagonal terms in $\mathcal{U}_{\mathbf{k}} \tau_3 \mathcal{U}_{\mathbf{k}'}^\dagger = (u_{\mathbf{k}} u_{\mathbf{k}'} - v_{\mathbf{k}} v_{\mathbf{k}'}) \tau_3 - (u_{\mathbf{k}} v_{\mathbf{k}'} + v_{\mathbf{k}} u_{\mathbf{k}'}) \tau_1$ is neglected by neglecting the correlation between the quasidelectron and quasihole states [2,5,58,64].

Specifically, in the scattering term, the contributions from the diagonal and off-diagonal terms in $\mathcal{U}_{\mathbf{k}} \tau_3 \mathcal{U}_{\mathbf{k}'}^\dagger$ can be separated, which are represented by $\partial_t \rho_{\mathbf{k}}^{\text{d}}|_{\text{scat}}$ and $\partial_t \rho_{\mathbf{k}}^{\text{off}}|_{\text{scat}}$, respectively. For the diagonal contribution,

$$\begin{aligned} \partial_t \rho_{\mathbf{k}}^{\text{d}}|_{\text{scat}} & = -2\pi n_i \sum_{\mathbf{k}'} |V_{\mathbf{k}-\mathbf{k}'}|^2 (u_{\mathbf{k}} u_{\mathbf{k}'} - v_{\mathbf{k}} v_{\mathbf{k}'})^2 \\ & \times \delta(E_{\mathbf{k}'} - E_{\mathbf{k}}) (\rho_{\mathbf{k}}^h - \tau_3 \rho_{\mathbf{k}'}^h \tau_3), \end{aligned} \quad (\text{A2})$$

which recovers to the scattering term used in the Boltzmann equation for the Bogoliubov quasiparticle when the off-diagonal term in $\rho_{\mathbf{k}}^h$ is neglected [2,5,58,64]. For the off-diagonal contribution,

$$\begin{aligned} \partial_t \rho_{\mathbf{k}}^{\text{off}}|_{\text{scat}} & = \pi n_i \sum_{\mathbf{k}'} |V_{\mathbf{k}-\mathbf{k}'}|^2 (u_{\mathbf{k}} u_{\mathbf{k}'} - v_{\mathbf{k}} v_{\mathbf{k}'}) (u_{\mathbf{k}} v_{\mathbf{k}'} + u_{\mathbf{k}'} v_{\mathbf{k}}) \\ & \times \delta(E_{\mathbf{k}'} - E_{\mathbf{k}}) (\tau_1 \tau_3 \rho_{\mathbf{k}}^h - \tau_1 \rho_{\mathbf{k}'}^h \tau_3 + \text{H.c.}). \end{aligned} \quad (\text{A3})$$

Obviously, for the equilibrium distribution for the quasiparticle $\rho_{\mathbf{k},0}^h = 1/2 + [f_0(E_{\mathbf{k}}) - 1/2] \tau_3$, Eqs. (A2) and (A3) are exactly zero.

APPENDIX B: QUASIPARTICLE AND SUPERFLUID DENSITIES

In this appendix, we present the calculated quasiparticle and superfluid densities under the optical THz pulse in the s -wave superconducting GaAs QWs. In Fig. 9, the temporal evolutions of the quasiparticle density ρ_q are plotted with different impurity densities $n_i = 0$ (blue dashed curve), $0.2n_0$ (red solid curve), and $0.5n_0$ (green chain curve). It is shown that after the pulse $\tau \gtrsim 5$ ps, there exist plateaus in the quasiparticle density, whose values increase with the increase of the impurity density. This is because the existence of the impurity density can enhance the optical absorption. These populations of the hot quasiparticles can efficiently suppress the Cooper pairing.

Then, the normal-fluid and superfluid densities ρ_n and ρ_s after the pulse are *estimated* based on the two-fluid model in the equilibrium state [16,97]. Specifically, for the order parameter $\Delta = |\Delta|e^{i\mathbf{q}\cdot\mathbf{r}}$ with the center-of-mass momentum $\mathbf{q} = 2m^*\mathbf{v}_s$ along the $\hat{\mathbf{x}}$ direction, the momentum supercurrent is calculated to be [16,58]

$$\mathbf{J}_s = 2m^*\mathbf{v}_s \sum_{\mathbf{k}} [v_{\mathbf{k}}^2 + (u_{\mathbf{k}}^2 - v_{\mathbf{k}}^2)f_0(\mathbf{k} \cdot \mathbf{v}_s + \sqrt{\Gamma_{\mathbf{k}}^2 + |\Delta|^2})] + 2 \sum_{\mathbf{k}} \mathbf{k} f_0(\mathbf{k} \cdot \mathbf{v}_s + \sqrt{\Gamma_{\mathbf{k}}^2 + |\Delta|^2}), \quad (\text{B1})$$

with $\Gamma_{\mathbf{k}} = k^2/(2m^*) - \mu + m^*v_s^2/2$. For the linear response, \mathbf{q} is small, hence,

$$\mathbf{J}_s \approx 2\mathbf{v}_s \sum_{\mathbf{k}} \left[k_x^2 \frac{\partial f_0(E_{\mathbf{k}})}{\partial E_{\mathbf{k}}} + m^*v_{\mathbf{k}}^2 + m^*(u_{\mathbf{k}}^2 - v_{\mathbf{k}}^2)f_0(E_{\mathbf{k}}) \right]. \quad (\text{B2})$$

Thus, with $\mathbf{J}_s \equiv \mathbf{v}_s m^* \rho_s$, one obtains

$$\rho_s = 2 \sum_{\mathbf{k}} \left[\frac{k_x^2}{m^*} \frac{\partial f_0(E_{\mathbf{k}})}{\partial E_{\mathbf{k}}} + v_{\mathbf{k}}^2 + (u_{\mathbf{k}}^2 - v_{\mathbf{k}}^2)f_0(E_{\mathbf{k}}) \right]. \quad (\text{B3})$$

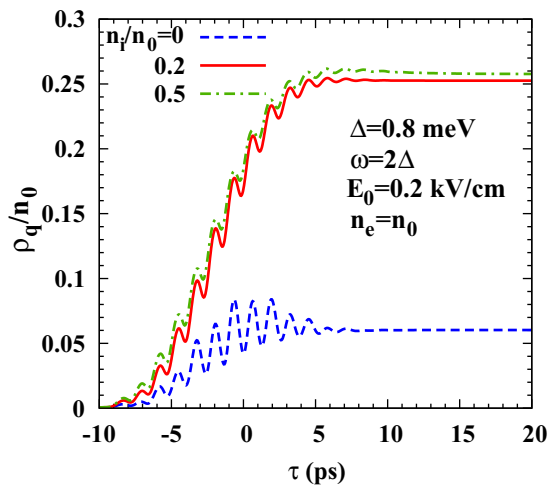


FIG. 9. Temporal evolutions of the quasiparticle density ρ_q in the s -wave superconducting GaAs QWs under the optical THz pulse with different impurity densities $n_i = 0$ (blue dashed curve), $0.2n_0$ (red solid curve), and $0.5n_0$ (green chain curve). $E_0 = 0.2$ kV/cm and $\omega = 2\Delta \approx 2.3$ THz.

For the normal parts, by assuming the drift distribution $f_0(E_{\mathbf{k}} - \mathbf{k} \cdot \mathbf{v}_n)$ with $\mathbf{v}_n = v_n \hat{\mathbf{x}}$ [97], the momentum normal-current reads as

$$\mathbf{J}_n = 2 \sum_{\mathbf{k}} \mathbf{k} f_0(E_{\mathbf{k}} - \mathbf{k} \cdot \mathbf{v}_n) \approx 2v_n \hat{\mathbf{x}} \left[- \sum_{\mathbf{k}} k_x^2 \frac{\partial f_0(E_{\mathbf{k}})}{\partial E_{\mathbf{k}}} \right].$$

Consequently, for the linear response with $\mathbf{J}_n = \mathbf{v}_n m^* \rho_n$, one has

$$\rho_n = -2 \sum_{\mathbf{k}} \frac{k_x^2}{m^*} \frac{\partial f_0(E_{\mathbf{k}})}{\partial E_{\mathbf{k}}}. \quad (\text{B4})$$

Obviously, from Eqs. (B3) and (B4), $\rho_s + \rho_n = 2 \sum_{\mathbf{k}} [v_{\mathbf{k}}^2 + (u_{\mathbf{k}}^2 - v_{\mathbf{k}}^2)f_0(E_{\mathbf{k}})]$, which is exactly the total particle density conserved due to the charge neutrality [Eq. (30)].

It is noticed that Eqs. (B3) and (B4) are established for the equilibrium state with $f_0(E_{\mathbf{k}})$ representing the equilibrium quasiparticle distribution [16,97]. To estimate the superfluid and normal-fluid densities at the nonequilibrium state, Eqs. (B3) and (B4) are extended with $f_0(E_{\mathbf{k}})$ replaced by the nonequilibrium quasiparticle distribution calculated by optical Bloch equations [Eq. (22)], which is isotropic in the momentum space after the pulse [20–22,24]. This extension is based on the fact that after the pulse, the quasiparticle distribution can be effectively described by an effective temperature [103–105].

In Fig. 10, the impurity density dependencies of superfluid density after the pulse are plotted with different electrical fields $E_0 = 0.05$ kV/cm (blue dashed curve with squares), 0.1 kV/cm (red solid curve with squares), and 0.2 kV/cm (green chain curve with squares). It is shown that with the increase of the impurity density, the superfluid density decreases. This is consistent with the fact that with the optical pulse, the presence of the impurity can further suppress the Cooper pairing [refer to Fig. 4(c)]. Specifically, one sees that although there exists a significant order parameter after the pulse, the superfluid density can be extremely small at the nonequilibrium state.

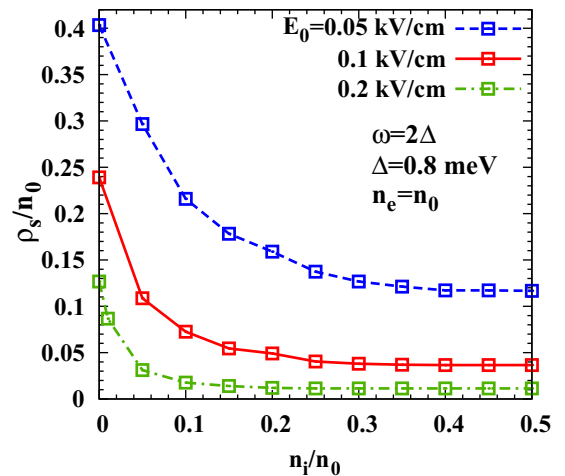


FIG. 10. Impurity-density dependence of the superfluid density ρ_s after the pulse, estimated from Eq. (B3), with different electrical fields $E_0 = 0.05$ kV/cm (blue dashed curve with squares), 0.1 kV/cm (red solid curve with squares), and 0.2 kV/cm (green chain curve with squares).

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