

Fermion-induced quantum critical points in three-dimensional Weyl semimetals

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Fermion-induced quantum critical points (FIQCPs) were recently discovered at the putatively first-order transitions between two-dimensional (2D) Dirac semimetals and the Kekule valence bond solids on the honeycomb lattice by *sign-free* quantum Monte Carlo simulations [Nat. Commun. **8**, 314 (2017)]. Here, we investigate possible FIQCPs in 3D topological Weyl semimetals at a Z_3 symmetry-breaking transition that is putatively first-order according to the Landau criterion. We construct a lattice model featuring 3D double-Weyl fermions (monopole charges ± 2), and we show that Z_3 nodal-nematic transitions occur under finite Hubbard interaction. Furthermore, using renormalization-group analysis, we identify such a transition as a genuine FIQCP where the cubic terms are irrelevant and an enlarged U(1) symmetry emerges at low energy. We further discuss quantum critical behaviors and experimental signatures of such FIQCPs in 3D double-Weyl semimetals.

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I. INTRODUCTION

The nature of a quantum phase transition is strongly dictated by the symmetry of the order parameters and the spatial dimensions of the systems in question [1]. One textbook criterion according to Landau [2,3] states that if cubic terms of order parameters form a trivial representation of the symmetry group of the systems, the phase transition is necessarily first-order. This is most easily seen from the fact that the order parameter will develop a finite jump through the phase transition if the Landau-Ginzburg (LG) free energy includes cubic terms of order parameters. Previous work showed that this mean-field criterion works well in three dimensions or higher [4–6].

One may wonder whether and where phase transitions that violate the cubic-term criterion discussed above can occur, since deconfined quantum critical points (DQCPs) [7–16] have provided a novel way of realizing quantum phase transitions that violate the Landau criterion of first-order transitions between two symmetry-incompatible phases. One intriguing scenario violating the cubic-term criterion was provided by strong fluctuations in low dimensions: the quantum three-state Potts model in 1+1D (equivalently the classical three-state Potts model in two dimensions) is an exactly solvable model being a well-known example that violates Landau’s cubic-term criterion [17]. Recently, a distinct and higher-dimensional scenario was introduced: quantum phase transitions in fermionic systems [18].

At zero temperature, gapless fermionic degrees of freedom must be retained in quantum LG theory, and their presence at quantum phase transitions may dramatically change the nature of critical behaviors. Although modifications of critical behaviors by gapless fermions have been studied extensively [19–32], it was shown only recently in Ref. [18] by both large-scale Majorana quantum Monte Carlo (QMC) [33,34] simulations and large- N renormalization-group (RG) analysis that gapless Dirac fermions can drive a putatively first-order quantum phase transition between two-dimensional (2D)

Dirac semimetals and the Kekule valence bond solids (Kekule-VBS) into a continuous one, which is called a fermion-induced quantum critical point (FIQCP). Such an FIQCP was also confirmed by a more recent RG analysis using an ε expansion [35].

When symmetry-breaking happens in a system with gapless fermions, they experience different fates. For instance, the Kekule-VBS order breaks translation symmetry and gaps out Dirac fermions in the ordered phase [18,36–41]. A nodal-nematic order, on the other hand, does not gap out nodal fermions but shifts the positions of the nodes in k space [31,32]. Here, we investigate if FIQCP can occur at a Z_3 nodal-nematic phase transition in 3D topological double-Weyl semimetal [42–50], where a Z_3 order parameter cannot gap out the fermions due to nonvanishing monopole charge (± 2) of double-Weyl points. Instead, when nematic orders form, each double-Weyl point splits into two Weyl points with monopole charge ± 1 [51–57], partially breaking the rotational symmetry C_6 to C_2 . At such a transition, cubic terms of the order parameter are allowed in quantum LG free energy; nonetheless, we show that the putative first-order phase transition can be driven into a continuous one, i.e., a FIQCP. A schematic phase diagram for the occurrence of such a FIQCP is shown in Fig. 1.

II. LATTICE MODEL

We first consider an interacting microscopic model of double-Weyl fermions featuring Z_3 nodal-nematic phase transitions. Specifically, we construct an interacting spin-1/2 electron model on a 3D hexagonal lattice with lattice vectors $\vec{a}_1 = (1, 0, 0)$, $\vec{a}_2 = (-\frac{1}{2}, \frac{\sqrt{3}}{2}, 0)$, and $\vec{a}_3 = (0, 0, 1)$, where the lattice constants both in the triangular plane and along the c axis are set to unity. The Hamiltonian is given by

$$H = \sum_{\vec{k}} c_{\vec{k}}^\dagger [d_x \sigma^x + d_y \sigma^y + d_z \sigma^z] c_{\vec{k}} + U \sum_i c_{i\uparrow}^\dagger c_{i\uparrow} c_{i\downarrow}^\dagger c_{i\downarrow}, \quad (1)$$

where $c_{\vec{k}}^\dagger = (c_{\vec{k}\uparrow}^\dagger, c_{\vec{k}\downarrow}^\dagger)$ are creation operators of spin-1/2 electrons in momentum space, $d_x(\vec{k}) = -2t_1(\cos k_1 - \frac{1}{2} \cos k_2 - \frac{1}{2} \cos k_3)$, $d_y(\vec{k}) = -2t_1(\frac{\sqrt{3}}{2} \cos k_2 - \frac{\sqrt{3}}{2} \cos k_3)$, and $d_z(\vec{k}) =$

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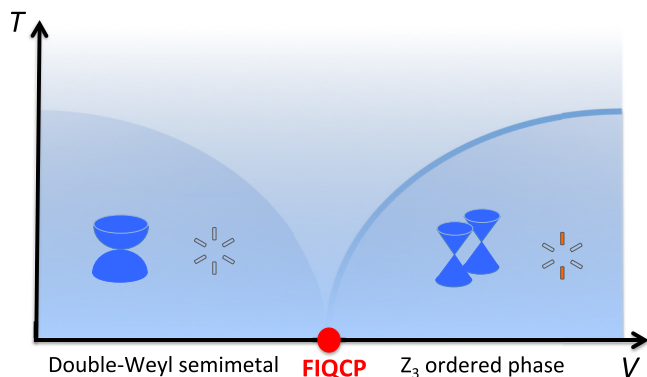


FIG. 1. A schematic phase diagram for a Z_3 nodal-nematic transition from a topological double-Weyl semimetal to a nematic phase where each double-Weyl point splits into two Weyl points. A FIQCP emerges at zero temperature, while the transition at finite temperature is still first-order.

$-2t_2(\cos k_1 + \cos k_2 + \cos k_3) - 2t_3 \cos k_z + m$. Here $k_i = \vec{k} \cdot \vec{a}_i$, t_j are hopping amplitudes, m is a Zeeman coupling, and σ^j are Pauli matrices with spin indices. U is the strength of the on-site Hubbard interactions.

It is clear that the Hamiltonian on the three-dimensional hexagonal lattice above is invariant under the C_6 rotation along the z axis. When $6t_2 - 2t_3 < m < 6t_2 + 2t_3$, there are two double-Weyl points located at $(0, 0, \pm K)$ with $K = \arccos \frac{m-6t_2}{2t_3}$. The double-Weyl points at the noninteracting limit are protected by the C_6 symmetry of the hexagonal lattice. Due to inversion symmetry, these two double-Weyl points are located at the same energy. For the noninteracting part $H_0 = \sum_{\vec{k}} c_{\vec{k}}^\dagger h(\vec{k}) c_{\vec{k}}$, one obtains the following low-energy continuum description by expanding $h(\vec{k})$ around two double-Weyl points:

$$h_0(\vec{k}) = A[(k_x^2 - k_y^2)\sigma^x + 2k_x k_y \sigma^y] + v_{f3} k_z \sigma^z \tau^z, \quad (2)$$

where $A = \frac{3}{4}t_1$, $v_{f3} = \sin K$, and τ is a Pauli matrix acting on a valley basis.

The double-Weyl points are robust against weak interaction U . However, when the repulsive U is sufficiently strong, the system could be unstable toward nematic order, which breaks the C_6 symmetry down to C_2 and causes each double-Weyl point to split into two Weyl points. This can be heuristically

understood as the density of states around Weyl points, $\rho(\epsilon) \propto \epsilon^2$, is smaller than that around double-Weyl points, $\rho(\epsilon) \propto \epsilon$. The Z_3 nematic order, $\phi_i(x) = \langle c^\dagger(x) \sigma^i c(x) \rangle$, $i = 1, 2$, is a doublet (two-component real boson) in the E_2 representation.

To see if Z_3 nematic order occurs, we perform mean-field calculations of the phase diagram as a function of U , using the parameters $t_1 = t_2 = t_3 = 1$ (see the Appendix A for details). For comparison, we study two cases: $m = 3.9$ and 4.1 . Note that for the former choice of m , the spectra of $h(\vec{k})$ are actually gapped and the system is an insulator, while for the latter there are two double-Weyl points locating on the k_z axis. The Z_3 order parameter as a function of U is shown in Figs. 2(a) and 2(b), respectively. For the insulator case ($m = 3.9$) where there are no gapless fermions affecting the qualitative behavior of the phase transitions, it is clear that there is a finite jump in the order parameter around $U \approx 6.14$, clearly indicating a first-order transition, which is expected according to the cubic-term Landau criterion. On the other hand, for the double-Weyl fermion case ($m = 4.1$), where gapless fermions may qualitatively alter the nature of the phase transitions, the Z_3 nematic order also appears when $U > 6.10$; however, it looks dramatically different from the first-order behavior in Fig. 2(a). Within numerical accuracy, it looks like a continuous transition from the simple mean-field analysis, indicating that the presence of gapless fermions reduces the signature of the first-order transition. Note that the mean-field analysis of the nature of the phase transition may not capture the nature of phase transitions at strong U . For such a Z_3 nodal-nematic transition, since the low-energy physics involves gapless fermions, one should treat quantum fluctuations of fermions and bosons on an equal footing via RG calculations.

III. EFFECTIVE THEORY

The effective Lagrangian near the Z_3 nodal-nematic transition consists of gapless double-Weyl fermions ψ , a fluctuating Z_3 order parameter ϕ , and the coupling terms between them, i.e., $\mathcal{L} = \mathcal{L}_\psi + \mathcal{L}_\phi + \mathcal{L}_{\psi\phi}$. The double-Weyl fermion action is given by

$$\mathcal{L}_\psi = \psi^\dagger [-i\omega + h_0(\vec{k})] \psi, \quad (3)$$

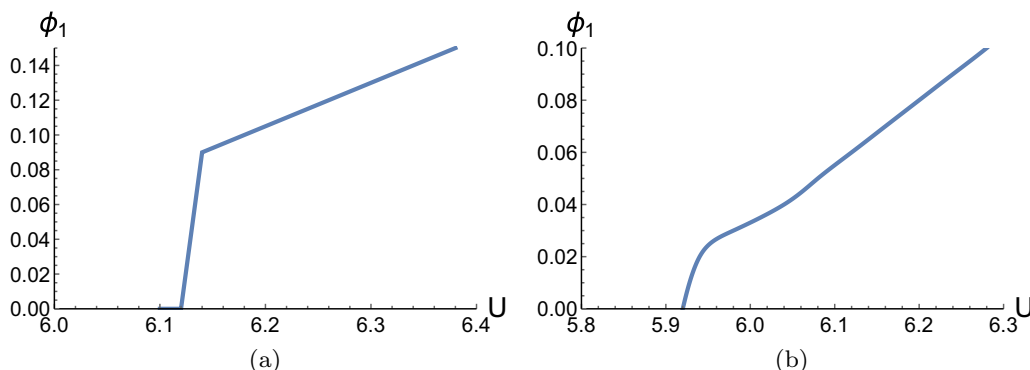


FIG. 2. The Z_3 nematic order is analyzed through mean-field calculations setting $t_1 = t_2 = t_3 = 1$. (a) The order parameter as a function of U for the case of $m = 3.9$ whose dispersion is fully gapped describing an insulator. The Z_3 quantum phase transition is clearly first-order. Part (b) shows that in a gapless system, $m = 4.1$.

where $\psi = (\psi_+, \psi_-)^T$, ψ_{\pm} are two-component double-Weyl fermions at the $\pm K$ valley, respectively, and ω is the Matsubara frequency. The dispersion of double-Weyl fermions is anisotropic and gives rise to monopole charge ± 2 in k space. The Z_3 nematic order (ϕ_1, ϕ_2) can be described by a complex boson $\phi \equiv \phi_1 - i\phi_2$. A C_6 rotation simply takes ϕ to $e^{-i\frac{2\pi}{3}}\phi$ such that its cubic term is allowed in the effective theory. The effective Lagrangian for the Z_3 order-parameter fields is given by

$$\mathcal{L}_{\phi} = |\partial_{\tau}\phi|^2 + v_{b\perp}^2 \sum_{i=1}^2 |\partial_i\phi|^2 + v_{b3}^2 |\partial_z\phi|^2 + r|\phi|^2 + b(\phi^3 + \phi^{*3}) + u|\phi|^4, \quad (4)$$

where $v_{b\perp}$ and v_{b3} denote the boson velocity in the xy plane and along the z axis, respectively. r is a boson mass that tunes the phase transition, and b, u is the strength of the cubic and quartic terms, respectively. Nonvanishing b is allowed in Eq. (4), putatively rendering a first-order transition according to the Landau criterion. [Note that a Z_3 phase transition out of a topologically ordered phase [58] can be driven by condensing fractionalized anyons whose LG theory is qualitatively different from Eq. (4).] Moreover, the double-Weyl fermions and the order parameter fluctuations are coupled. The effective coupling is dictated by symmetries, and it is given by

$$\mathcal{L}_{\psi\phi} = g(\phi\psi^{\dagger}\sigma^+\psi + \phi^*\psi^{\dagger}\sigma^-\psi), \quad (5)$$

where g is a real Yukawa coupling constant and $\sigma^{\pm} = \frac{1}{2}(\sigma^x \pm i\sigma^y)$.

Due to the nonvanishing monopole charge of a double-Weyl point, breaking rotational symmetry does not gap out the fermions. For instance, assuming $\langle\phi\rangle = \frac{m}{gA} > 0$ in the ordered phase, the dispersion of fermions is then given by

$$E_k = \pm\sqrt{A^2[(k_x^2 - k_y^2 + m)^2 + 4k_x^2k_y^2] + v_{f3}^2k_z^2}, \quad (6)$$

from which one can deduce that the double-Weyl point at $(0, 0, K)$ is split into two Weyl points located at $\vec{k} = (0, \pm\sqrt{m}, K)$ and similarly for the other double-Weyl point at $(0, 0, -K)$.

IV. RENORMALIZATION-GROUP ANALYSIS

We now present strong evidence of a FIQCP at the Z_3 nodal-nematic transition in double-Weyl semimetals by performing standard RG analysis in which fermions and bosons are treated on an equal footing. The RG procedure is to integrate out fast modes to generate RG equations [1, 59]. In calculating the RG equation, we generalize the fermion to N flavors (N denotes the number of the four-component double-Weyl fermions).

A subtlety arises due to anisotropic dispersion of double-Weyl fermions, i.e., the scaling properties of orthogonal spatial directions are different [44, 45, 60, 61]. Here, we assume the scaling dimension for the three momenta and the frequency to be $[k_z] = 1$, $[k_{x,y}] = z_1$, and $[\omega] = z$ without loss of generality, where $[\dots]$ denotes the scaling dimension. The values of z , z_1 , as well as anomalous dimensions are determined by

renormalization of the kinetic part of the action, i.e.,

$$\delta S^{(1)} = \int \frac{d^4p}{(2\pi)^4} [\psi^{\dagger}(p)\Sigma(p)\psi(p) + \phi^*(p)\Pi(p)\phi(p)], \quad (7)$$

where Σ and Π are fermion and boson self-energies resulting from integrating out the fast modes in the momentum shells (the momentum shell is chosen to be an ‘‘infinite cylinder’’ with radius Λ_{\perp} ; see the Appendix C for details).

From Eq. (7), we can obtain the RG equations of velocities. To simplify the analysis, we assume the velocity difference between v_{b3} and v_{f3} is small, and we let $\frac{v_{b3}}{v_{f3}} = 1 + \lambda$, with $|\lambda| \ll 1$. The RG equation for λ is given by $\frac{d\lambda}{dl} = -\Delta_{\lambda}\lambda$, where $l > 0$ is the flow parameter, and Δ_{λ} is a positive constant independent of λ (see the Appendix C for details). As a result, $\lambda = 0$ is a stable fixed point. In other words, the boson and fermion velocity along the z axis, v_{b3} and v_{f3} , will flow to the same value in low energy for small λ . Thus in the following we set $v_{f3} = v_{b3} = v$ for simplicity. And the RG flow of velocity v is controlled by the dynamical critical exponent z , i.e., $\frac{d \log v}{dl} = z - 1$. Since velocities are physical observables, they must stay finite, and this requires $z = 1$ at the fixed point.

For later simplicity in expressing the RG equations, we introduce four dimensionless coupling constants (not to be confused with critical exponents):

$$\beta = \frac{b^2}{\pi^2 v_{b\perp}^4 v \Lambda_{\perp}^2}, \quad \gamma = \frac{g^2}{24\pi^2 A^2 v \Lambda_{\perp}^2}, \quad \delta = \frac{u}{\pi^2 v_{b\perp}^2 v}, \quad (8)$$

corresponding to three running coupling constants, i.e., b , g , and u in the interacting Lagrangian, respectively, and $\alpha = \frac{A\Lambda_{\perp}}{v_{b\perp}}$. Then the RG equation for boson velocity in the xy plane $v_{b\perp}$ reads (see the Appendix C for details)

$$\frac{d \log v_{b\perp}}{dl} = 1 - z_1 - \frac{3}{8}\beta + N(2\alpha^2 - 1)\gamma. \quad (9)$$

In a similar way, z_1 can also be determined at the fixed point from the RG equation for boson velocity in the xy plane, $z_1 = 1 - \frac{3}{8}\beta + N(2\alpha^2 - 1)\gamma$. Moreover, the anomalous dimensions for fermions and bosons are also obtained from Eq. (7): $\eta_{\psi} = \frac{3(1-\alpha^2 + \alpha^2 \log \alpha^2)}{2(1-\alpha^2)^2} \alpha^2 \gamma$ and $\eta_{\phi} = N\gamma + \frac{3}{16}\beta$.

After getting the expressions of dynamical exponents z 's and anomalous dimensions η 's near a physical fixed point, we are now in a position to analyze the RG equations of dimensionless coupling constants resulting from renormalization of the interaction part in the action, i.e.,

$$\delta S^{(2)} = \int d^4x [\Gamma_{\phi^3}\phi^3 + \Gamma_{\phi^{*3}}\phi^{*3} + \Gamma_{|\phi|^4}|\phi|^4]. \quad (10)$$

These vertices Γ 's are evaluated in the Appendix C. Note that the vertex between the fermion and the boson is not renormalized. After obtaining the RG equations for various coupling constants, such as g , b , and u , we convert the RG equations to that of dimensionless coupling constants.

We state the main results here; those readers who want to know the full RG equations should refer to the Appendix C for details. There are two fixed points with both $\gamma \geq 0$ and $\delta \geq 0$: one is the usual Gaussian fixed point and the other is a nontrivial fixed point given by $(\alpha^*, \beta^*, \gamma^*, \delta^*) = (0, 0, \frac{1}{2N}, 0)$, as shown in Fig. 3, where the RG flows in the (β, γ) plane

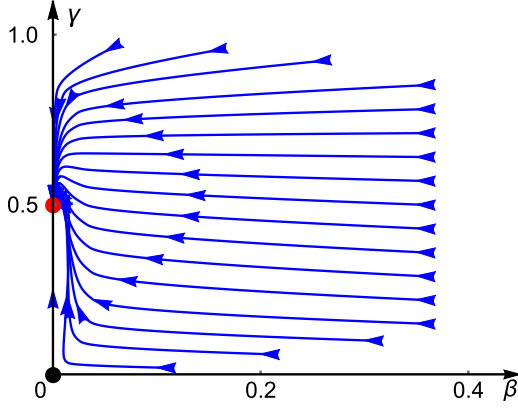


FIG. 3. The flow diagram β - γ for the Z_3 transition in double-Weyl semimetals for the $N = 1$ case. The arrowed curves indicate the running coupling constants as a function of energy. The red and black circles located at $(0, 1/2)$ and $(0, 0)$ indicate a stable fixed point and a Gaussian fixed point, respectively. The red one is identified as a fermion-induced quantum critical point. At these fixed point, one gets $\alpha^* = \delta^* = 0$.

are drawn. N is the number of four-component double-Weyl fermions, and $N = 1$ corresponds to the lattice system we introduced before. As indicated in Fig. 3, the Gaussian fixed point at the origin is unstable, while the fixed point at $(\beta^*, \gamma^*) = (0, \frac{1}{2N})$ is stable.

Note that α approximately captures the ratio of kinetic energy between fermions and bosons in the xy plane. When the system approaches the nematic transition from the disordered phase, fermion dispersion along the splitting direction becomes soft, and one can approximate the RG equations to the lowest order of α [31,32]. To further justify this, the RG equations near $\alpha = 0$ at the stable fixed point read

$$\frac{d\alpha}{dl} = -\left(2 + \frac{3}{2N}\right)\alpha^3, \quad (11)$$

which shows that α is irrelevant at this fixed point. Under this approximation, one gets simplified RG equations to the lowest order of α near the fixed point,

$$\frac{d\beta}{dl} = (2 - 4N\gamma)\beta - \frac{3}{8}\beta^2, \quad (12)$$

$$\frac{d\gamma}{dl} = (2 - 4N\gamma)\gamma, \quad (13)$$

$$\frac{d\delta}{dl} = -\left(2N\gamma + \frac{5}{4}\delta\right)\delta. \quad (14)$$

Apparently, a Gaussian fixed point is one solution of the RG equations shown above. However, it is unstable against the perturbations along the β and γ directions. There is a stable fixed point, as already indicated in the flow diagram in Fig. 3, at $(\gamma^*, \beta^*, \delta^*) = (\frac{1}{2N}, 0, 0)$. The eigenvalues of the stability matrix are $(0, -2, -1)$, where the zero eigenvalue indicates a marginal direction. Indeed, one finds that the deviation $\Delta\beta$ along the β direction is marginally irrelevant, i.e., $\frac{d\Delta\beta}{dl} = -\frac{3}{8}(\Delta\beta)^2$. Note that $\beta \geq 0$ by definition. Thus, the nontrivial fixed point is irrelevant under perturbations along the γ and α directions and marginally irrelevant under

perturbations along β direction. A stable fixed point at the critical surface corresponds to a genuine continuous critical point. At this nontrivial stable fixed point, one finds that $b^2 \propto \beta = 0$, i.e., the cubic terms of the Z_3 order parameter are irrelevant. Consequently, this fixed point corresponds to a continuous phase transition, namely a FIQCP. Moreover, the system has an emergent $U(1)$ symmetry (the rotation of the system along the z axis) at the FIQCP.

The anomalous dimensions for fermions and bosons at the nontrivial fixed point are given by $\eta_\psi = 0$ and $\eta_\phi = \frac{1}{2}$, yielding the critical exponent $\nu = 2\eta_\phi = 1$. Though the FIQCP is distinguished with the Gaussian fixed point, the vanishing fermion anomalous dimension implies that the quasiparticle picture is still valid, in contrast to the FIQCP in two-dimensional Dirac fermions [18]. Due to the validation of the quasiparticle, one expects that the critical exponent ν is given by the naive scaling argument $\nu^{-1} = 2 + 2z_1 - 2[\phi] = 1$, where $z_1 = 1 - \frac{3}{8}\beta^* + N(2\alpha^{*2} - 1)\gamma^* = \frac{1}{2}$, and $[\phi] = \frac{1}{2} + \eta_\phi = 1$ is the scaling dimension of a boson field at this fixed point.

We would like to emphasize that it is the presence of gapless fermions that dramatically changes the nature of the Z_3 nematic phase transition. If we naively turn off fermions, i.e., set $N = 0$, then γ disappears from the RG equations of β and γ . Now the fixed point with $\beta = 0$ is strongly relevant along β , which would render a first-order transition, as expected from the Landau criterion. Consequently, we expect that there should exist a critical value N_c such that a FIQCP occurs for $N > N_c$ and a first-order transition for $N < N_c$. The current one-loop RG calculation shows that FIQCP occurs for any finite value of N , and a more accurate value of N_c may be obtained from higher loop RG analysis in the future.

There is a heuristic argument for the occurrence of such FIQCP at large N . Integrating out gapless fermions can result in a nonanalytic term, e.g., $|\phi|^3$, of the order parameter, and this term may overwhelm the original cubic terms at the phase transition and drive the first-order transition into a continuous one. To show this explicitly, we implement a simplified method by integrating out fermions all at once and then expanding the effective free energy as a function of the order parameter. We find that the effective free energy includes a nonanalytic term [62] (see the Appendix B for details): $F_{\text{non}}[\phi] = Nb'|\phi|^3$, where N is the flavor of four-component double-Weyl fermions and b' is a positive constant depending on the momentum cutoff. If $N > \frac{2|b|}{b'}$, the cubic term in the free energy has a bound $Nb'|\phi|^3 + b(\phi^3 + \phi^{*3}) \geq (Nb' + 2b)|\phi|^3$, and the minimal energy is achieved from $\phi = 0$ to nonzero continuously through phase transition. A continuous phase transition can occur at a putative first-order transition as long as the flavors of fermions N is sufficiently large, consistent with RG calculations. Note that the mean-field analysis predicted a wrong critical exponent $\nu = 1/2$, which is quite different from the RG result of $\nu = 1$ because the former cannot fully capture quantum fluctuations.

V. CONCLUSIONS AND DISCUSSIONS

It is worth pointing out again that the fluctuations of fermions at zero temperature play an essential role in a FIQCP. The three-state Potts model is a neat example featuring a Z_3 transition without gapless fermion modes, where the transition

is shown to be first-order in 2+1D and higher dimensions [5,63]. However, if the transition involves large enough gapless fermions, a FIQCP may occur. The scaling dimension of the order-parameter field is often enhanced by fermions. Indeed, $[\phi] = 1$ at the stable fixed point corresponding to the Z_3 nodal-nematic transition in double-Weyl semimetals is larger than the nominal scaling dimension of the order-parameter assigned for the first-order Z_3 transitions [64]. Moreover, it is consistent with the rigorous lower bound of scaling dimension of order-parameter fields, $[\phi] > 0.565$, required to induce an emergent $U(1)$ symmetry from the Z_3 symmetry based on recent conformal bootstrap calculations [65]. Large anomalous dimension is also a typical feature of DQCP [7,8,66], where the deconfined spinons play a similar role to that of gapless electrons here.

In conclusion, we construct a 3D lattice model hosting topological double-Weyl semimetal. By tuning on-site Hubbard interactions, the system undergoes a quantum phase transition into a Z_3 nodal nematic phase. The phase transition is analyzed through a mean-field calculation: it is first-order without gapless fermions in the system, while weakly first-order or continuous with the presence of gapless fermions. To distinguish the nature of the transition in the presence of gapless fermions, we further present a RG study of the Z_3 nodal-nematic transition, where the low-energy effective-field theory contains cubic terms of order parameters. A marginal stable nontrivial fixed point is identified as a FIQCP, at which a marginal Fermi liquid theory is expected. This novel FIQCP may be observed in the future in candidate double-Weyl materials such as the one synthesized by stacking Chern insulators [67], and it could lead to a united understanding of quantum critical phenomena.

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APPENDIX A: THE MEAN-FIELD ANALYSIS

The order parameters for a nematic phase are given by $\phi_i(x) = \langle c^\dagger(x) \sigma^i c(x) \rangle$. To explore the phase diagram, we set $t_1 = t_2 = t_3 = 1$ for $m = 3.9$ and 4.1 . To check that the two-dimensional order parameter serves as an E_2 representation, $(\phi_1, \phi_2) = (|\phi| \cos \theta, |\phi| \sin \theta)$, we fix the magnitude of nematic order ϕ and plot the ground-state energy as a function of θ , as shown in Fig. 4. There are three degenerate ground states consistent with the transformation law of the E_2 representation, $\phi \rightarrow e^{i2\pi/3} \phi$.

We also plot the ground-state energy as a function of order parameter across the transition. For the insulating system $m = 3.9$, the transition from an insulator, in which two double-Weyl points were annihilated, to a nematic insulator is first-order. As shown in Figs. 5(a) and 5(b), the ground-state energy as a function of the order parameter shows a typical feature, i.e., the presence of cubic terms in free energy, consistent with the Landau criterion. For a semimetallic system $m = 4.1$, the

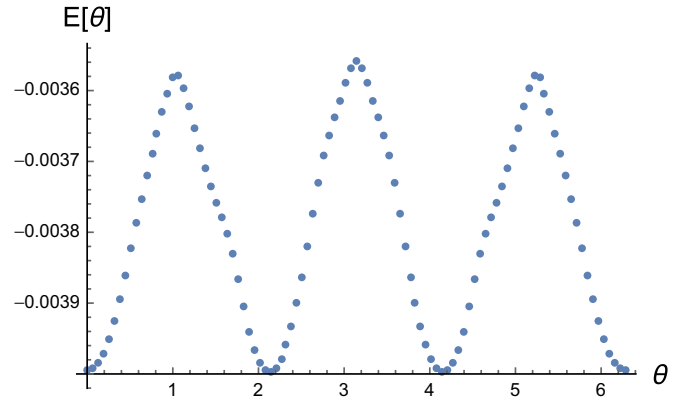


FIG. 4. The ground-state energy in an ordered phase for $m = 6$ and $U = 7.8$ as a function of θ , where the magnitude is fixed, $|\phi| = 0.2$.

signature of the first-order transition is strongly reduced by gapless fermions, as shown in Figs. 5(c) and 5(d). Note that the energy plotted in Figs. 5(c) and 5(d) is one order of magnitude smaller than that of Figs. 5(a) and 5(b). Though it looks like a first-order transition, we show via RG calculations that it should be continuous.

APPENDIX B: NONANALYTIC TERMS OF ORDER PARAMETER IN DOUBLE-WEYL SEMIMETALS

Here we show the nonanalytic terms arising by integrating out fermions explicitly. The zero temperature free energy in the presence of a nonzero order parameter reads

$$F[\phi] \propto -2N \int d^3 p \sqrt{(p_x^2 - p_y^2 + \phi)^2 + 4p_x^2 p_y^2 + p_z^2}. \quad (\text{B1})$$

First we make a coordinate transformation, $p_x = \sqrt{q} \sin \theta \cos \varphi$, $p_y = \sqrt{q} \sin \theta \sin \varphi$, and $p_z = q \cos \theta$, where q is a positive variable. This transformation results in a nontrivial Jacobian, $|\frac{\partial p}{\partial q}| = \frac{q}{2}$. After that, we get

$$F[\phi] = -\frac{1}{(2\pi)^3} \int_0^\pi d\theta \int_0^{2\pi} d\varphi \times \int_0^\pi dq \frac{q}{2} \sqrt{q^2 + 2q\phi \sin \theta \cos 2\varphi + \phi^2}. \quad (\text{B2})$$

The integration over q is evaluated first, and this results in a complicated integral. By expanding in the order of ϕ , we get the nonanalytic terms

$$F[\phi] = \frac{1}{(2\pi)^3} \int d\theta d\varphi \left[\frac{5 + 3 \cos 2\theta - 6 \cos 4\varphi \sin^2 \theta}{48} |\phi|^3 - \frac{\cos 2\varphi (3 + \cos 2\theta - 2 \cos 4\varphi \sin^2 \theta)}{16} \times \log(|\phi| + \cos 2\varphi \sin \theta \phi) \phi^3 \right]. \quad (\text{B3})$$

The integration can be evaluated directly, $F[\phi] = \frac{1}{18\pi^2} |\phi|^3$.

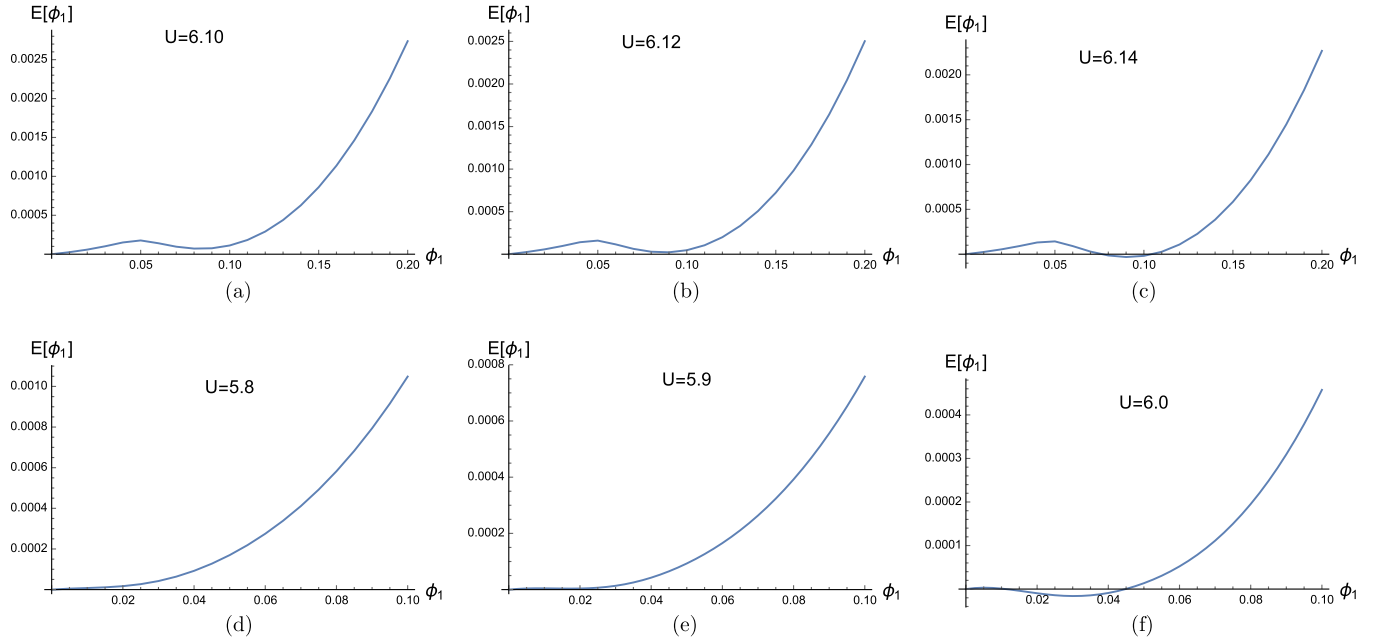


FIG. 5. Parts (a)–(c) show the ground-state energy as a function of order parameter in a transition from an insulator ($m = 3.9$) to a nematic insulator. Parts (d)–(f) show the ground-state energy as a function of order parameter across the transition from a double-Weyl semimetal ($m = 4.1$) to a nematic Weyl semimetal.

APPENDIX C: DETAILS FOR THE RENORMALIZATION EQUATIONS AT THE Z_3 NODAL NEMATIC TRANSITION OF DOUBLE-WEYL SEMIMETALS

The Feynman diagram Fig. 6(c) gives the fermion self-energy,

$$\begin{aligned} \Sigma(p) &= -\frac{1}{2} \times 2g^2 \int_k [\Gamma^+ S(k) \Gamma^- + \Gamma^- S(k) \Gamma^+] D(p-k) \\ &= \frac{g^2 l}{v_{b\perp}^2 v_{f3}} [F_\omega(-i\omega_p) + F_z v_{f3} p_z \Gamma^3], \end{aligned} \quad (\text{C1})$$

where $\Gamma^\pm = \sigma^\pm$, $\Gamma^3 = \sigma^z \tau^z$, $k_\perp = \sqrt{k_x^2 + k_y^2}$, $\int_k \equiv \int_{-\infty}^{\infty} \frac{d\omega_k}{2\pi} \int \frac{d^3k}{(2\pi)^3}$, and $S(k)$ and $D(k)$ are fermion and boson propagators, respectively. Note that in the calculation, integrations of ω_k and k_z are not constrained, while those of momentum $k_{x,y}$ are constrained in the momentum shell, i.e., $\Lambda_\perp e^{-l} < k_\perp < \Lambda_\perp$, where Λ_\perp is a momentum cutoff in the $k_x k_y$ plane and $l > 0$ is the flow parameter. During the evaluation of Feynman diagrams, we have made a variable transformation, i.e., $k_{x,y} = \frac{v_{b\perp}}{A} q_{x,y}$, $k_z = \frac{v_{b\perp}^2}{A v_{f3}} q_z$, and $\omega_k = \frac{v_{b\perp}^2}{A} \omega_q$, and it is easy to check that

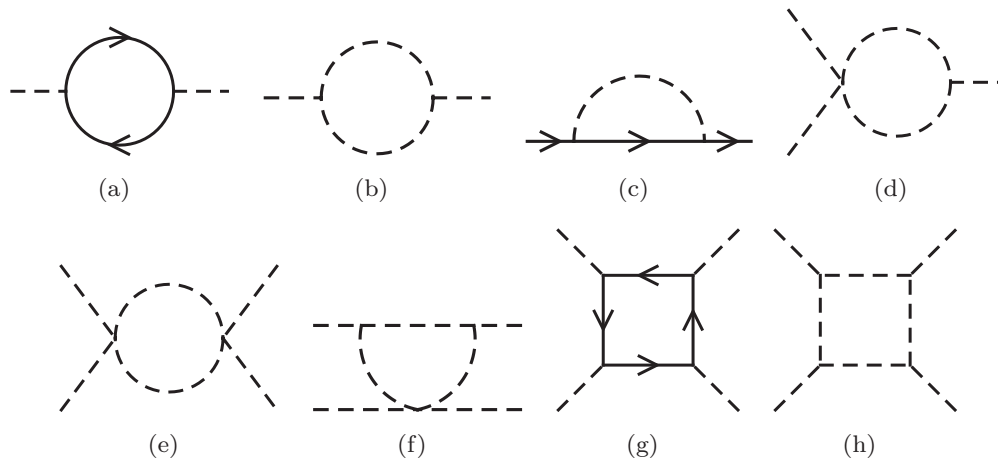


FIG. 6. One-loop Feynman diagrams. The arrowed solid line indicates the fermion propagator, and the dashed line indicates the boson propagator.

(ω_q, \vec{q}) are dimensionless variables. F_ω and F_z are given by

$$F_\omega = \int_q \frac{2\omega_q^2}{l(\omega_q^2 + q_\perp^4 + q_z^2)(\omega_q^2 + q_\perp^2 + \frac{v_{b3}^2}{v_{f3}^2}q_z^2)^2} = \frac{1 - \alpha^2 + \alpha^2 \log \alpha^2}{8\pi^2(1 - \alpha^2)^2} + O(\lambda), \quad (C2)$$

$$F_z = \frac{v_{b3}^2}{v_{f3}^2} \int_q \frac{2q_z^2}{l(\omega_q^2 + q_\perp^4 + q_z^2)(\omega_q^2 + q_\perp^2 + \frac{v_{b3}^2}{v_{f3}^2}q_z^2)^2} = \frac{1 - \alpha^2 + \alpha^2 \log \alpha^2}{8\pi^2(1 - \alpha^2)^2} + O(\lambda), \quad (C3)$$

where $q_\perp = \sqrt{q_x^2 + q_y^2}$ and $\int_q = \int_{-\infty}^{\infty} \frac{d\omega_q}{2\pi} \int_{-\infty}^{\infty} \frac{dq_z}{2\pi} \int_{\alpha e^{-l}}^{\alpha} \frac{d^2q}{(2\pi)^2}$, $\alpha = \frac{A\Lambda_\perp}{v_{b\perp}}$ is the cutoff in momentum q_\perp , and λ is a function of $\frac{v_{b3}}{v_{f3}}$, which will be defined below.

The boson self-energy is given by the Feynman diagrams in Figs. 6(a) and 6(b). Evaluation of the Feynman diagram in Fig. 6(a) gives

$$\begin{aligned} \Pi^{(1)}(p) &= \frac{g^2}{2} \int_k \text{Tr}[\Gamma^+ S(k+p)\Gamma^- S(k) + \Gamma^- S(k+p)\Gamma^+ S(k)] \\ &= \frac{4Ng^2l}{v_{b\perp}^2 v_{f3}} [G_\omega^{(1)} \omega_p^2 + G_\perp^{(1)} v_{b\perp}^2 p_\perp^2 + G_z^{(1)} v_{b3}^2 p_z^2], \end{aligned} \quad (C4)$$

where Tr is the trace in Γ matrices and flavor space, and $\text{Tr}1 = 4N$, where we have also promoted the flavors of four-component double-Weyl fermions to be N . Evaluation of the Feynman diagram Fig. 6(b) gives

$$\Pi^{(2)}(p) = -\frac{1}{2} \times 36b^2 \int_k D(k)D(k+p) = \frac{b^2 A^2 l}{v_{b\perp}^6 v_{f3}} [G_\omega^{(2)} \omega_p^2 + G_\perp^{(2)} v_{b\perp}^2 p_\perp^2 + G_z^{(2)} v_{b3}^2 p_z^2]. \quad (C5)$$

The boson self-energy is $\Pi(p) = \Pi^{(1)}(p) + \Pi^{(2)}(p)$. Those $G_i^{(1)}$ are given by

$$G_\omega^{(1)} = \frac{1}{4l} \int_q \left[\frac{6\omega_q^2 + 2q_z^2}{(\omega_q^2 + q_\perp^4 + q_z^2)^3} - \frac{8\omega_q^2(q_z^2 + \omega_q^2)}{(\omega_q^2 + q_\perp^4 + q_z^2)^4} \right] = \frac{1}{48\pi^2 \alpha^2}, \quad (C6)$$

$$G_\perp^{(1)} = \frac{1}{4l} \int_q \left[\frac{8q_\perp^2(\omega_q^2 + q_z^2)}{(\omega_q^2 + q_\perp^4 + q_z^2)^3} - \frac{16q_\perp^6(q_z^2 + \omega_q^2)}{(\omega_q^2 + q_\perp^4 + q_z^2)^4} \right] = \frac{1}{24\pi^2}, \quad (C7)$$

$$G_z^{(1)} = \frac{1}{4l} \int_q \left[\frac{6q_z^2 + 2\omega_q^2}{(\omega_q^2 + q_\perp^4 + q_z^2)^3} - \frac{8q_z^2(q_z^2 + \omega_q^2)}{(\omega_q^2 + q_\perp^4 + q_z^2)^4} \right] = \frac{1}{48\pi^2 \alpha^2}, \quad (C8)$$

and $G_i^{(2)}$ are given by

$$G_\omega^{(2)} = -\frac{9}{l} \int_q \left[\frac{8\omega_q^2}{(\omega_q^2 + q_\perp^2 + \frac{v_{b3}^2}{v_{f3}^2}q_z^2)^4} - \frac{2}{(\omega_q^2 + q_\perp^2 + \frac{v_{b3}^2}{v_{f3}^2}q_z^2)^3} \right] = \frac{3}{8\pi^2 \alpha^2} + O(\lambda), \quad (C9)$$

$$G_\perp^{(2)} = -\frac{9}{l} \int_q \left[\frac{4q_\perp^2}{(\omega_q^2 + q_\perp^2 + \frac{v_{b3}^2}{v_{f3}^2}q_z^2)^4} - \frac{2}{(\omega_q^2 + q_\perp^2 + \frac{v_{b3}^2}{v_{f3}^2}q_z^2)^3} \right] = -\frac{3}{8\pi^2 \alpha^2} + O(\lambda), \quad (C10)$$

$$G_z^{(2)} = -\frac{9}{l} \int_q \left[\frac{8\frac{v_{b3}^2}{v_{f3}^2}q_z^2}{(\omega_q^2 + q_\perp^2 + \frac{v_{b3}^2}{v_{f3}^2}q_z^2)^4} - \frac{2}{(\omega_q^2 + q_\perp^2 + \frac{v_{b3}^2}{v_{f3}^2}q_z^2)^3} \right] = \frac{3}{8\pi^2 \alpha^2} + O(\lambda). \quad (C11)$$

The full set of RG equations for the various constants appearing in the kinetic energy part are given by

$$\frac{d \log A}{dl} = z - 2z_1 - \frac{g^2}{v_{b\perp}^2 v_{f3}} F_\omega, \quad (C12)$$

$$\frac{d \log v_{b\perp}}{dl} = z - z_1 + \frac{\text{Tr}1 g^2}{2v_{b\perp}^2 v_{f3}} (G_\perp^{(1)} - G_\omega^{(1)}) + \frac{b^2 A^2}{2v_{b\perp}^6 v_{f3}} (G_\perp^{(2)} - G_\omega^{(2)}), \quad (C13)$$

$$\frac{d \log v_{f3}}{dl} = z - 1 + \frac{g^2}{v_{b\perp}^2 v_{f3}} (F_z - F_\omega), \quad (C14)$$

$$\frac{d \log v_{b3}}{dl} = z - 1 + \frac{\text{Tr}1 g^2}{2v_{b\perp}^2 v_{f3}} (G_z^{(1)} - G_\omega^{(1)}) + \frac{b^2 A^2}{2v_{b\perp}^6 v_{f3}} (G_z^{(2)} - G_\omega^{(2)}). \quad (C15)$$

From the above RG equations, we have

$$\frac{d \log(v_{b3}/v_{f3})}{dl} = -\frac{g^2}{v_{b\perp}^2 v_{f3}}(F_z - F_\omega) + \frac{b^2 A^2}{2v_{b\perp}^6 v_{f3}}(G_z^{(2)} - G_\omega^{(2)}). \quad (C16)$$

Setting $\frac{v_{b3}}{v_{f3}} = 1 + \lambda$, and assuming $\lambda \ll 1$, a simple manipulation leads to $\frac{d\lambda}{dl} = -\Delta\lambda$, where $\Delta\lambda \equiv \frac{g^2}{2v_{b\perp}^2 v_{f3}} H_1 + \frac{b^2 A^2}{2v_{b\perp}^6 v_{f3}} H_2$, with

$$H_1 = \frac{1}{l} \int_q \frac{4q_z^2(q_\perp^2 + 3\omega_q^2 - q_z^2)}{(\omega_q^2 + q_z^2 + q_\perp^2)^3(\omega_q^2 + q_z^2 + q_\perp^4)} = \frac{1}{l} \int \frac{dq_x dq_y}{(2\pi)^2} \int_0^\infty \frac{q_0 dq_0}{2\pi} \frac{2q_0^2(q_\perp^2 + q_0^2)}{(q_0^2 + q_\perp^2)^3(q_0^2 + q_\perp^4)}, \quad (C17)$$

$$H_2 = \frac{1}{l} \int_q \frac{144q_z^2(q_\perp^2 + 5\omega_q^2 - 3q_z^2)}{(\omega_q^2 + q_z^2 + q_\perp^2)^5} = \frac{1}{l} \int \frac{dq_x dq_y}{(2\pi)^2} \int_0^\infty \frac{q_0 dq_0}{2\pi} \frac{72q_0^2(q_\perp^2 + q_0^2)}{(q_0^2 + q_\perp^2)^5}, \quad (C18)$$

where we use the rotational symmetry between ω_q and q_z in the above integration to deduce that both H_1 and H_2 are positive. As a consequence, $\lambda = 0$ is a stable fixed point. The RG equation for boson velocity in the xy plane $v_{b\perp}$ reads

$$\frac{d \log v_{b\perp}}{dl} = 1 - z_1 - \frac{3}{8}\beta + N(2\alpha^2 - 1)\gamma, \quad (C19)$$

where $\alpha = \frac{A\Lambda_\perp}{v_{b\perp}}$ is also a dimensionless constant. To maintain the velocity, one gets $z_1 = 1 - \frac{3}{8}\beta + N(2\alpha^2 - 1)\gamma$ at the fixed point.

Next, we calculate the remaining Feynman diagrams corresponding to coupling constant renormalizations. The Feynman diagram in Fig. 6(d) gives

$$\Gamma_{\phi^3} = \Gamma_{\phi^{*3}} = -\frac{3l}{4\pi^2} \frac{bu}{v_{b\perp}^2 v}. \quad (C20)$$

The Feynman diagrams in Figs. 6(e)–6(h) give

$$\Gamma_{|\phi|^4} = -\frac{5l}{4\pi^2} \frac{u^2}{v_{b\perp}^2 v} + \frac{9l}{\pi^2} \frac{ub^2}{v_{b\perp}^4 v \Lambda_\perp^2} + \frac{Nl}{96\pi^2} \frac{g^4}{A^2 v \Lambda_\perp^2} - \frac{27l}{2\pi^2} \frac{b^4}{v_{b\perp}^6 v \Lambda_\perp^4}. \quad (C21)$$

Introducing the dimensionless coupling constants, namely $\beta = \frac{b^2}{\pi^2 v_{b\perp}^4 v \Lambda_\perp^2}$, $\gamma = \frac{g^2}{24\pi^2 A^2 v \Lambda_\perp^2}$, and $\delta = \frac{u}{\pi^2 v_{b\perp}^2 v}$, the RG equations read

$$\frac{d\alpha}{dl} = \left(-1 + \frac{3}{4}\beta + 2N\gamma\right)\alpha - 4N\gamma\alpha^3 + \frac{3\gamma(\alpha^2 - 1 - \alpha^2 \log \alpha^2)\alpha^3}{(\alpha^2 - 1)^2}, \quad (C22)$$

$$\frac{d\beta}{dl} = \left(2 - 4N\gamma - \frac{3}{2}\delta\right)\beta - \frac{3}{8}\beta^2 - 4N\alpha^2\gamma, \quad (C23)$$

$$\frac{d\gamma}{dl} = 2\gamma - 4N\gamma - \frac{9}{8}\beta\gamma + 4N\alpha^2\gamma^2, \quad (C24)$$

$$\frac{d\delta}{dl} = (9\beta - 2N\gamma)\delta - \frac{5}{4}\delta^2 - \frac{27}{2}\beta^2 - 4N\alpha^2\gamma\delta + 6N\alpha^2\gamma^2. \quad (C25)$$

This RG equations can be solved by a stable fixed point $(\alpha^*, \beta^*, \gamma^*, \delta^*) = (0, 0, \frac{1}{2N}, 0)$. By expanding the RG equations near $\alpha = 0$, one gets

$$\frac{d\alpha}{dl} = -\left(2 + \frac{3}{2N}\right)\alpha^3. \quad (C26)$$

The above RG equation shows that $\alpha = 0$ is marginally stable at this fixed point, and as a result we expand the RG equations in the order of α , as shown in the main text.

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