Defect-induced large spin-orbit splitting in monolayer PtSe₂

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The effect of spin-orbit coupling on the electronic properties of monolayer (ML) PtSe₂ is dictated by the presence of the crystal inversion symmetry to exhibit a spin-polarized band without the characteristic of spin splitting. Through fully relativistic density-functional theory calculations, we show that large spin-orbit splitting can be induced by introducing point defects. We calculate the stability of native point defects such as a Se vacancy (V_{Se}), a Se interstitial (Se_i), a Pt vacancy (V_{Pt}), and a Pt interstitial (Pt_i) and find that both the V_{Se} and Se_i have the lowest formation energy. We also find that, in contrast to the Se_i case exhibiting spin degeneracy in the defect states, the large spin-orbit splitting up to 152 meV is observed in the defect states of the V_{Se}. Our analyses of orbital contributions to the defect states show that the large spin splitting is originated from the strong hybridization between Pt- $d_{x^2+y^2} + d_{xy}$ and Se- $p_x + p_y$ orbitals. Our study clarifies that the defects play an important role in the spin-splitting properties of the PtSe₂ ML, which is important for designing future spintronic devices.

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I. INTRODUCTION

Much of the recent interest in spintronics has been focused on the manipulation of nonequilibrium materials using spinorbit coupling (SOC) [1,2]. When the SOC occurs in a system with sufficiently low crystalline symmetry, an effective magnetic field $\mathbf{B}_{\text{eff}} \propto [\nabla V(\mathbf{r}) \times \mathbf{p}]$ is induced [3,4], where $V(\mathbf{r})$ denotes the crystal potential and \mathbf{p} is the momentum, that leads to spin splitting even in nonmagnetic materials. Currentinduced spin polarization [5] and the spin Hall effect [6] are important examples of spintronics phenomena where the SOC plays an important role. For spintronic device operation [7], semiconductor materials having large spin splitting are highly desirable [8]. Besides their electronic manipulability under gate voltages [9,10], semiconductors with the large spin splitting enable us to allow operation as a spintronic device at room temperature [11,12].

The two-dimensional (2D) transition-metal dichalcogenides (TMDs) family comprise promising candidates for spintronics due to the strong SOC [13–17]. Most of the 2D TMD families have graphenelike hexagonal crystal structure consisting of transition-metal atoms (M) sandwiched between layers of chalcogen atoms (X) with MX_2 stoichiometry. However, depending on the chalcogen stacking, there are two stable forms of the MX_2 in the ground state, namely an H phase having trigonal prismatic hole for metal atoms, and a T phase that consists of staggered chalcogen layers forming octahedral hole for metal atoms [18]. In the $H-MX_2$ monolayer (ML) systems such as molybdenum and tungsten dichalcogenides (MoS₂, MoSe₂, WS₂, and WSe₂), the absence of inversion symmetry in the crystal structure together with strong SOC in the 5d orbitals of transition-metal atoms leads to the fact that a large spin splitting has been established [13–17]. This large spin splitting is believed to be responsible for inducing some of interesting phenomena such as the spin Hall effect [19,20], spin-dependent selection rule for optical transitions [21], and magnetoelectric effect in TMDs [22]. Furthermore, the long-lived spin relaxation and spin coherence of electrons have also been reported on various $H-MX_2$ TMD MLs, such as MoS₂ ML [23,24] and WS₂ ML [24], that could be implemented as energy-saving spintronic devices.

Recently, PtSe₂ ML, a 2D TMD ML with T- MX_2 ML structures, has attracted much attention since it was successfully synthesized by a single-step fabrication method, a direct selenization at the Pt(111) substrate [25], which is in contrast to conventional fabrication methods used in the various H- MX_2 TMD MLs such as exfoliation [26] or chemical vapor deposition (CVD) [27,28]. Moreover, the high electron mobility up to $3000 \text{ cm}^2/\text{V/s}$ has been experimentally observed on the PtSe₂ ML, which is the largest among the studied TMD MLs [29] and thus is of great interest for electronic applications. However, the PtSe₂ ML has the crystal inversion symmetry, and, consequently, the SOC leads to spin-polarized bands without the characteristic of spin splitting. This is supported by the fact that the absence of the spin splitting has been experimentally observed by Yao *et al.* using spin- and angle-resolved photoemission spectroscopy (spin-ARPES) [30]. Because the absence of the spin splitting in the PtSe₂ ML provides a natural limit for spintronic applications, it is highly desirable to find a method to generate the spin splitting in the PtSe₂ ML, which is expected to enhance its functionality for spintronics.

In this paper, by using fully relativistic density-functional theory (DFT) calculations, we show that large spin-orbit splitting in the PtSe₂ ML can be induced by introducing point defects. We calculate stability of native point defects such as a Se vacancy (V_{Se}), a Se interstitial (Se_{*i*}), a Pt vacancy (V_{Pt}), and a Pt interstitial (Pt_{*i*}) and find that both the V_{Se} and Se_{*i*} have the lowest formation energy. By taking into account the effect of the SOC in our DFT calculations, we find that, in contrast to the Se_{*i*} case having spin degeneracy in the defect states, the large

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FIG. 1. The relaxed structures of native point defects induced by vacancy and interstitial in the $PtSe_2$ ML compared with the pristine system: (a) a pristine, (b) a Se vacancy (V_{Se}), (c) a Se interstitial (Se_i), (d) a Pt vacancy (V_{Pt}), and (e) a Pt interstitial (Pt_i). The Pt-Se, Pt-Pt, Se_i -Se, and Pt_i -Pt bond lengths are indicated by the red arrows.

spin-orbit splitting up to 152 meV is observed on the defect states of the V_{Se}. We clarify the origin of the spin splitting by considering orbital contributions to the defect states and find that the large spin splitting is mainly originated from the strong hybridization between $\text{Pt-}d_{x^2+y^2} + d_{xy}$ and $\text{Se-}p_x + p_y$ orbitals. Finally, a possible application of the present system for spintronics will be discussed.

II. COMPUTATIONAL DETAILS

We performed first-principles electronic structure calculations based on the DFT within the generalized gradient approximation (GGA) [31] using the OPENMX code [32]. We used norm-conserving pseudopotentials [33], and the wave functions are expanded by the linear combination of multiple pseudoatomic orbitals (LCPAOs) generated using a confinement scheme [34,35]. The orbitals are specified by Pt7.0- $s^2 p^2 d^2$ and Se9.0- $s^2 p^2 d^1$, which means that the cutoff radii are 7.0 and 9.0 bohr for the Pt and Se atoms, respectively, in the confinement scheme [34,35]. For the Pt atom, two primitive orbitals expand the *s*, *p*, and *d* orbitals, while, for the Se atom, two primitive orbitals expand the *s* and *p* orbitals, and one primitive orbital expands the *d* orbital. Spin-orbit coupling was included in our DFT calculations.

Bulk PtSe₂ crystallizes in a centrosymmetric crystal associated with a *T* structure (*T*-*M*X₂), having space group $P\overline{3}mI$ for the global structure and polar group C_{3v} and D_{3d} for the Se and Pt sites, respectively. In the monolayer (ML) phase, one Pt atom is sandwiched between two Se atoms, forming an octahedral hole for transition-metal atoms and shows trigonal structure when projected to the (001) plane [Fig. 1(a)]. In our DFT calculations, we used a periodic slab to model the PtSe₂ ML, where a sufficiently large vacuum layer (20 Å) is used to avoid interaction between adjacent layers. The geometries were fully relaxed until the force acting on each atom was less than 1 meV/Å. We find that the calculated lattice constant of the PtSe₂ ML is 3.75 Å, which is in good agreement with the experiment (3.73 Å [25]) and previous theoretical calculations (3.75 Å [36–38]).

We then introduced native point defects consisting of a Se vacancy (V_{Se}), a Se interstitial (Se_i), a Pt vacancy (V_{Pt}), and a Pt interstitial (Pt_i) [Figs. 1(b)–1(e)]. To model these point defects, we constructed a 4 × 4 × 1 supercell of the pristine PtSe₂ ML with 48 atoms. The larger supercell (5 × 5 × 1 and 6 × 6 × 1 supercells) was used to test our calculational results, and we confirmed that it does not affect to the main conclusion. We calculated formation energy to confirm stability of these point defects by using the following formula [39]:

$$E_f = E_{\text{defect}} - E_{\text{perfect}} + \sum_i n_i \mu_i.$$
(1)

In Eq. (1), E_{defect} is the total energy of the defective system, $E_{perfect}$ is the total energy of the perfect system, n_i is the number of atom being added or removed from the perfect system, and μ_i is the chemical potential of the added or removed atoms corresponding to the chemical environment surrounding the system. Here, μ_i obtains the following requirements:

$$E_{\text{PtSe}_2} - 2E_{\text{Se}} \leqslant \mu_{\text{Pt}} \leqslant E_{\text{Pt}},\tag{2}$$

$$\frac{1}{2}(E_{\text{PtSe}_2} - E_{\text{Pt}}) \leqslant \mu_{\text{Se}} \leqslant E_{\text{Se}}.$$
(3)

Under Se-rich condition, μ_{Se} is the energy of the Se atom in the bulk phase (hexagonal Se, $\mu_{Se} = \frac{1}{3}E_{Se-hex}$), which corresponds to the lower limit on Pt, $\mu_{Pt} = E_{PtSe_2} - 2E_{Se}$. On the other hand, in the case of the Pt-rich condition, μ_{Pt} is associated with the energy of the Pt atom in the bulk phase (fcc Pt, $\mu_{Pt} = \frac{1}{4}E_{Pt-fcc}$) corresponding to the lower limit on Se, $\mu_{Pt} = \frac{1}{2}(E_{PtSe_2} - E_{Pt})$.

III. RESULT AND DISCUSSION

First, we examine energetic stability and structural relaxation in the defective $PtSe_2$ ML systems. Table I shows the calculated results of the formation energy for the point defects (V_{Se} , V_{Pt} , Se_i , Pt_i) corresponding to the Pt-rich and Se-rich

TABLE I. Formation energy (in eV) of various point defects in the $PtSe_2$ ML corresponding to the Se-rich and Pt-rich conditions. The theoretical data from the previous report are given for a comparison.

Point defects	Pt-rich (eV)	Se-rich (eV)	Reference
V _{Se}	1.27	1.84	This work
	1.24	1.83	Ref. [37]
		1.82	Ref. [40]
V _{Pt}	3.06	4.28	This work
	3.00	4.19	Ref. [37]
		3.7	Ref. [38]
Se _i	2.01	1.98	This work
Pt _i	4.68	3.45	This work



FIG. 2. The electronic band structure of (a) the pristine, (b) the V_{Se} , and (c) the Se_i , where the calculations are performed without inclusion the effect of the spin-orbit coupling (SOC). The electronic band structure of (d) the pristine, (e) the V_{Se} , and (f) the Se_i with inclusion of the effect of the SOC. The Fermi level is indicated by the dashed black lines.

conditions. Consistent with previous studies [37,38,40], we find that the V_{Se} and Se_i have the lowest formation energy in both the Pt-rich and the Se-rich conditions, indicating that both systems are the most stable point defects formed in the PtSe₂ ML. The found stability of the V_{Se} and Se_i is consistent with previous reports that the chalcogen vacancy and interstitial can be easily formed in the TMD MLs, as found in the MoS₂ [40–42], WS₂ [43], and ReS₂ [44]. In contrast, the formation of the other point defects (V_{Pt} and Pt_i) is highly unfavorable due to the required electron energy. Because the Pt atom is covalently bonded to the six neighboring Se atoms, adding or removing the Pt atom is stabilized by destroying the Se sublattice, thus increasing the formation energy.

Due to the relaxation, the position of the atoms around the point defects marginally changes from the position of the pristine atomic positions. In the case of the V_{Se}, one Se atom in a PtSe₂ ML is removed in a supercell [Fig. 1(b)], and, consequently, three Pt atoms surrounding the vacancy are found to be relaxed, moving close to each other. Around the V_{Se} site, the Pt-Se bond length at each hexagonal side has the same value of about 2.509 Å. As a result, trigonal symmetry suppresses the V_{Se} to exhibit the C_{3v} point group [Fig. 1(b)]. Similar to the V_{Se} case, the V_{Pt} retains threefold rotation symmetry [Fig. 1(c)], yielding the D_{3h} point group. We find that the Pt-Se bond length in the V_{Pt} is 2.508 Å, which is slightly lower than that of the pristine system (2.548 Å).

The geometry of the Se_i undergoes significant distortion from the pristine crystal, but the symmetry itself remains unchanged [Fig. 1(d)]. Here, we investigate various atomic configurations for the Se_i and find that the Se adatom structure on top of a host Se atom is the most stable configuration. In this configuration, we find that the Se-Se_i bond length is 2.313 Å. We also find two other metastable configurations of the Se_i : (i) bridge position of the Se_i with two host Se atoms on the surface (2.94 eV higher in energy) and (ii) hexagonal interstitial in the Pt layer bonding with the three host Pt atoms (5.95 eV higher in energy). The Pt_i is the most complicated case among the chosen point defects. There are five atomic configurations of the Pt_i , and we confirmed that the $Pt-Pt_i$ split interstitial along the c direction [Fig. 1(e)] is the most stable configuration. Four other metastable configurations are (i) the bridge configuration of Pt_i in between two surface Se atoms (0.95 eV higher in energy), (ii) the Pt_i at hexagonal hollow center on the surface (1.44 eV higher in energy), (iii) the Pt_i hexagonal hollow center in the Pt layer (3.05 eV higher in energy), and (iv) the Pt_i on top of a surface Se atom (3.15 eV higher in energy). In the Pt-Pt_i split interstitial configuration, we find that the Pt-Pt_i bond length is 1.05 Å.

Strong modification of the electronic properties of the $PtSe_2$ ML is expected to be achieved by introducing the point defect. Here, we focused on both the V_{Se} and the Se_i because they have the lowest formation energy among the other point defects.



FIG. 3. Density of states projected to the atomic orbitals for (a) the V_{Se} and (b) Se_i. The NN and NNN Se denote the nearest- and next-nearest-neighboring Se atoms, respectively.

Figure 2 shows the calculated results of the electronic band structure of the defective systems (V_{Se} and Se_i) compared with those of the pristine one. In the case of the V_{Se}, without inclusion of the SOC, three defect levels are generated inside the band gap [Fig. 2(b)]. Due to the absence of an anion in the V_{Se}, two excess electrons occupy the one bonding states near the valence band maximum (VBM), while the two antibonding states are empty, which are located close to the conduction band minimum (CBM). Our calculational results of the density of states (DOS) projected to the atoms near the V_{Se} site confirmed that the two unoccupied antibonding states originated mainly from $d_{x^2+y^2} + d_{xy}$ orbitals of the Pt atom with a small contribution of $p_x + p_y$ orbitals of the nearest-neighboring (NN) Se atoms [Fig. 3(a)]. On the other hand, admixture of the Pt- $d_{x^2+y^2} + d_{xy}$ and the small NN Se- p_z orbitals characterizes the one occupied bonding state. It is pointed out here that the p orbitals of the next-nearestneighboring (NNN) Se atoms contribute very little to the defect states, indicating that only the Pt-d and NN Se-p orbitals play an important role in the defect states of the V_{Se}.

The formation of the Se_i also induces three defect levels inside the band gap, which are two occupied bonding states near the VBM and one unoccupied antibonding state close to the CBM [Fig. 2(c)]. Due to the fact that the Se_i is on top configuration [Fig. 1(c)], the Se_i atom forms a Se_i-Se bond with a host Se atom. In this case, the host Se atom is an anion which is in the Se²⁻ oxidation state in the PtSe₂ ML, while the Se_i is in the neutral state. Accordingly, four *p* electrons occupy the two bonding states (p_x , p_y) near the VBM, while the one antibonding state (p_z) located near the CBM remains empty [Fig. 3(b)]. The fully occupied two bonding levels (p_x , p_y) and one empty antibonding level (p_z) play an important role in the nature of the Se_i-Se linear diatomic chemical bonding, which



FIG. 4. Relation between the point group symmetry of the V_{se} , the spin splitting, and the orbital contributions to the defect states in the first Brillouin zone. (a) Symmetry operation in the real space of the V_{se} corresponding to (b) the first Brillouin zone. (c) The spin splitting in the defect states calculated along the first Brillouin zone. Here, the Δ_1 and Δ_2 represent the spin splitting for the lower and upper unoccupied antibonding states, respectively, while Δ_3 represents the spin splitting of the occupied bonding state. (d) Orbital-resolved electronic band structures calculated in the defect states. The radii of the circles reflect the magnitudes of spectral weight of the particular orbitals to the band.

is similar to those previously reported on the sulfur interstitial of the MoS_2 ML [41,42].

Turning the SOC, the energy bands are expected to develop a spin splitting, which is dictated by the lack of the inversion symmetry [3,4]. However, in the pristine $PtSe_2$ ML, the presence of the inversion symmetry suppresses the electronic band structures to exhibit spin-polarized bands without the character of the spin splitting [Fig. 2(d)]. This is supported by the fact that the absence of the spin splitting in the pristine PtSe₂ ML has been reported by Yao *et al.*, using spin-ARPES [30]. On the other hand, introducing the Se_i leads to the fact that the crystal symmetry of the pristine PtSe₂ ML remains unchanged [Fig. 1(d)]; thus, there is no spin splitting induced on the defect states [Fig. 2(f)].

In contrast to the Se_i case, large spin splitting is established in the defect states of the V_{Se} because the inversion symmetry of the pristine PtSe₂ is already broken by the stable formation of the V_{Se} [Fig. 2(f)]. Figures 4(c) and 4(d) show the *k* dependence of the spin splitting corresponding to the orbital resolved of the defect states along the first Brillouin zone [Fig. 4(b)]. In the unoccupied antibonding states, the large spin splitting is observed along the Γ -*K* direction, and becomes maximum at the *K* point. On the other hand, the substantially small spin splitting is visible along the Γ -*M* direction [Fig. 4(c)]. Conversely, a complicated trend of the spin splitting is observed in the occupied bonding state: the spin splitting is small at the *K* point and rises continuously up to maximum at midway between the *K* and Γ points, but gradually decreases until the zero spin splitting is achieved at the Γ point. The similar trend of the spin splitting is also visible along the Γ -*M* direction. It is noted here that zero spin splitting is observed at the Γ and *M* points due to time reversibility. We identify the spin splitting on the defect states at the *K* point: $\Delta_{K(1)} = 152$ meV and $\Delta_{K(2)} = 127$ meV in the lower and upper unoccupied antibonding states, respectively, and $\Delta_{K(3)} = 5$ meV in the occupied bonding states. The large spin splitting found in the unoccupied antibonding states ($\Delta_{K(1)}$ and $\Delta_{K(2)}$) is comparable with those found in the pristine MoS₂ ML (148 meV [14]) and defective WS₂ ML (194 meV [43]), but is much larger than that of conventional semiconductor III-V and II-VI quantum well (<30 meV [10,45]). Indeed, they are fully comparable to the recently reported surface Rashba splitting (of some 100 meV) observed on Au (111) [46], Bi (111) [47], PbGe (111) [11], Bi₂Se₃ [001] [12], and W [110] [48] surfaces.

To clarify the origin of the observed spin splitting, we consider orbital contribution to the defect states of the V_{Se} projected to the bands structures in the first Brillouin zone as shown in Fig. 4(d). We find that the unoccupied antibonding states reveal strong hybridization between the Pt- $d_{x^2+y^2} + d_{xy}$ and Se- $p_x + p_y$ orbitals at the K point, which induces the large spin splitting at the K point. Toward the Γ point, these contributions are gradually replaced by the hybridization between Pt- $d_{r^2-r^2}$ and Se- p_r orbitals, which contributes only minimally to the spin splitting around the Γ point. The same orbital hybridizations are also visible in the K point of the occupied bonding state, resulting in the spin splitting becoming very small. However, around midway between the K and Γ points, the contribution of the Pt- $d_{x^2+y^2} + d_{xy}$ and Se- p_x + p_{y} orbitals to the occupied bonding state increases, which enhances the spin splitting around the Γ point. Remarkably, the in-plane orbital hybridizations (Pt- $d_{x^2+y^2} + d_{xy}$ and Se $p_x + p_y$ orbitals) in the defect states induced by the V_{Se} play an important role for inducing the large spin splitting.

To further reveal the nature of the spin splitting in the defect states of the V_{Se}, we consider our system based on the symmetry arguments. As mentioned before, the structural relaxation retains the symmetry of the V_{Se} and becomes C_{3v} [Figs. 1(b) and 4(a)]. Here, the symmetry itself consists of a C_3 rotation and a mirror symmetry operation $M_{y-z} : x \rightarrow -x$, where x is along the Γ -M direction [Fig. 4(b)]. Therefore, the spin splitting for general k is determined by time-reversal symmetry and the C_{3v} point group symmetry. By using the theory of invariants, the C_{3v} leads to the spin splitting [14,17,49]

$$\Delta(k,\theta) = [\alpha^2(k) + \beta^2(k)\sin^2(3\theta)]^{1/2}.$$
 (4)

Here, $\alpha(k)$ and $\beta(k)$ are the coefficient representing the contribution of the in-plane and out-of-plane potential gradient asymmetries, respectively, and $\theta = \tan^{-1}(k_y/k_x)$ is the azimuth angle of momentum k with respect to the x axis along the Γ -M direction. In Eq. (4), due to the $|\sin(3\theta)|$ dependence of the Δ , the spin splitting is minimum when $\theta = n\pi/3$, where n is an integer number. Therefore, it is expected that the small spin splitting is observed along the Γ -M direction. On the other hand, the spin splitting becomes maximum when $\theta = (2n + 1)\pi/6$, which can be visible along the Γ -M direction. These predicted spin splittings along the Γ -M direction. These predicted spin splittings along the Γ -M and the Γ -K directions are, in fact, consistent with our

calculational results of the spin splitting in the defect states shown in Fig. 4(c).

Thus far, we found that the spin-orbit splitting in the electronic band structures of the $PtSe_2$ ML can be induced by introducing the point defects. Considering the fact that the large spin splitting is achieved on the *K* point of the unoccupied antibonding states, *n*-type defective $PtSe_2$ ML for spintronics is expected to be realized. This is supported by the fact that a deep single acceptor induced by the chalcogen vacancy has been predicted on MoS_2 ML [41]. Moreover, the observed large splittings enable us to allow operation as a spintronics device at room temperature [11,12]. As such, our finding of large spin splitting are useful for realizing spintronics application of the $PtSe_2$ ML system.

It is pointed out here that our proposed approach for inducing the large spin splitting by using the point defects is not only limited on the PtSe₂ ML, but also can be extendable to other $T-MX_2$ ML systems such as the other platinum dichalcogenides like PtS₂ and PtTe₂ [50], vanadium dichalcogenide like VSe₂, VS₂, and VTe₂ [18], and rhenium disulfides (ReS₂) [44], where the electronic structure properties are similar. Importantly, controlling the electronic properties of these materials by using the point defects has been recently reported [44,50]. Therefore, this work paves a possible way to engineer the spin-splitting properties of the two-dimensional nanomaterials, which provide useful information for the potential applications in spintronics.

IV. CONCLUSION

We have investigated the spin-orbit-induced spin splitting in the defective of the PtSe₂ ML systems by employing the first-principles DFT calculations. First, we have obtained the formation energy of the native point defects and found that both the Se vacancy (V_{Se}) and the Se interstitial (Se_i) are the most stable defects formed in the PtSe₂ ML. By taking into account the effect of the spin-orbit coupling in our DFT calculations, we have found that the large spin-orbit splitting (up to 152 meV) is observed in the defect states induced by the V_{Se} . We have clarified the origin of the spin splitting by considering orbital contributions to the defect states and found that the large spin splitting is induced by strong hybridization between the Pt- $d_{x^2+y^2} + d_{xy}$ and Se- $p_x + p_y$ orbitals. Recently, the defective of the PtSe₂ ML has been extensively studied [37,38,40]. Our study clarifies that the defects play an important role in the spin-splitting properties of the PtSe₂ ML, which is important for designing future spintronic devices.

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