

Magnetic field tunability of spin-polarized excitations in a high-temperature magnetB. S. Holinsworth,¹ H. Sims,^{2,3} J. G. Cherian,¹ D. Mazumdar,¹ N. C. Harms,¹ B. C. L. Chapman,¹ A. Gupta,⁴
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(Received 27 March 2017; revised manuscript received 22 August 2017; published 21 September 2017)

We bring together magnetic circular dichroism, photoconductivity, and complementary first-principles calculations in order to unravel spin-charge interactions in the high Curie temperature magnet NiFe₂O₄. Analysis uncovers a massive set of well-isolated spin-down states, a metamagnetic transition involving spin on the Ni center that switches the electronic structure of this system, and photoconductivity that depends on the magnetic field. These findings open the door for the creation and control of spin-polarized excitations from minority channel charge transfer in spinel ferrites.

DOI: [10.1103/PhysRevB.96.094427](https://doi.org/10.1103/PhysRevB.96.094427)**I. INTRODUCTION**

Magnetic semiconductors are at the heart of modern device physics. They naturally provide a nonzero magnetic moment below the ordering temperature, a spin-dependent band gap, and spin polarization that originates from exchange-coupled magnetization or an applied field creating a spin-split band structure [1–5]. Strongly correlated spinel ferrites are amongst the most noteworthy contenders for semiconductor spintronics [5,6]. NiFe₂O₄, in particular, displays spin-filtering, linear magnetoresistance, and wide application in the microwave regime [6,7]. This system is thus a superb research platform for exploring the role of interpenetrating magnetic sublattices on the charge channel excitations.

NiFe₂O₄ crystallizes in an inverse spinel structure (space group $Fd\bar{3}m$), where the Ni²⁺ cations occupy interstitial octahedral sites and the Fe³⁺ cations are equally distributed between octahedral and tetrahedral locations [5,6]. Antiferromagnetic coupling of the sublattices cancels the Fe moments while the Ni²⁺ spins remain uncompensated [Fig. 1(a)] [8], resulting in a theoretical net moment of $2\mu_B$ and $T_C = 850$ K [9]. Magnetic field drives a reorientation of the Ni spins at a critical field $B_{c(\text{Ni})}$ of 0.3 T [Fig. 1(b)] [9]. The Fe spins presumably saturate at much higher fields ($B_{c(\text{Fe})}$). Figure 1(c) displays the calculated density of states with well-known spin-split valence and conduction bands. The latter arises naturally from coupling of the two independent sublattices and suggests that NiFe₂O₄ may be able to support spin-polarized optical excitations [5,10,11]. Recent electronic structure calculations combined with linear optical spectroscopy revealed NiFe₂O₄ as an indirect gap material [12]. In fact, the 1.6-eV indirect gap along with the 2.4- and 2.8-eV direct gaps overlap the solar spectrum [12]. That said, questions have arisen [13] about the indirect nature of the 1.6-eV gap that require deeper investigation. Additionally, experimental evidence verifying (or refuting) key aspects of the predicted electronic structure [5,12] and clarifying the opportunities that it presents is highly desirable.

In this work, we bring together magnetic circular dichroism (MCD), photoconductivity, and first-principles calculations

with prior optical absorption spectroscopy [12] to unravel the electronic structure of NiFe₂O₄. Analysis reveals a large number of field-tunable states that can be attributed to minority channel excitations, significant spectral differences across the metamagnetic transition that are traced to coupling between the Ni spin orientation and the minority channel Ni → Fe charge-transfer excitations, exchange splittings of 0.2 to 0.3 eV depending on the excitation, and enhanced photoconductivity between the two minority channel gaps under the applied field. Together these findings establish an energy window or “sweet spot” in the electronic structure that can be used for generating spin-polarized carriers with light and, at the same time, demonstrate how these excitations can be manipulated with the magnetic field. These discoveries are important in the continuing race to generate, manipulate, and detect spin-polarized currents and highlight new opportunities in the area of oxide electronics [3,10,14,15].

II. METHODS

High-quality epitaxial NiFe₂O₄ films were grown on (001)-orientated MgAl₂O₄ substrates via pulsed laser deposition [9]. A 50-nm film was used for the MCD measurements, whereas a 200-nm film was employed for the photoconductivity work. MCD measurements were performed at the National High Magnetic Field Laboratory in transmission mode, using a 300-W Xe lamp, a 0.25-m monochromator, and a 10-T superconducting magnet. We carried out a “training run” over the full hysteresis loop before any data collection in order to “set the state,” and the phase on the lock-in amplifiers was minimized at full field. That said, the sample has a hysteresis, so experimentally, the MCD response of the up-sweep curve at 0 T minus that of the down-sweep curve at 0 T is not zero. In other words, there is no such thing as a zero field state. To access the ↓↓↑ state, we applied $B < B_{c(\text{Ni})}$. To access the ↓↑↑ state, we applied $B > B_{c(\text{Ni})}$. Photoconductivity measurements were carried out using a setup equipped with a Xe lamp, a series of narrow bandpass filters, a high-voltage source, tungsten

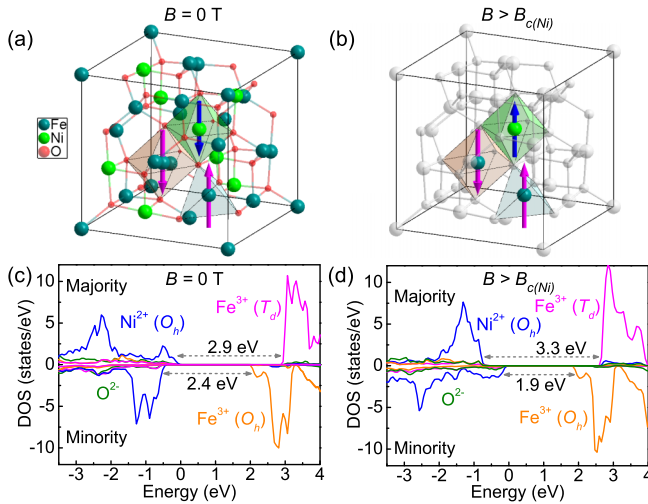


FIG. 1. (a, b) Crystal structure of NiFe₂O₄ showing the spin configuration at 0 T and above $B_{c(\text{Ni})}$ where the Ni spin is flipped to align with the field. (c, d) Projected density of states (DOS) from hybrid functional calculations [12] depicting Ni (O_h) \rightarrow Fe (O_h and T_d) charge transfer excitations in the minority and majority channels for the two spin configurations of interest.

probe tips, and a 1.5-T magnet. Sputtered platinum contacts were employed, and photoconductance was normalized with respect to the power density at each wavelength.

All calculations were performed using the Vienna *ab-initio* Simulation Package (VASP) [16] within the Perdew, Burke, and Ernzerhof (PBE) [17] generalized gradient approximation of density functional theory. We used the projector augmented-wave (PAW) [18] pseudopotential of Kresse and Joubert [19]. The Heyd, Scuseria, and Ernzerhof (HSE06) [20] method of hybrid-functional calculations was performed. We employed an $8 \times 8 \times 8$ Γ -centered \mathbf{k} mesh and a plane wave cutoff of 500 eV to ensure proper convergence of the wave functions. The exact-exchange portion of the hybrid calculations was performed on a coarser $4 \times 4 \times 4$ \mathbf{k} grid to reduce the computational cost. Optical properties were determined by computing the imaginary part of the frequency-dependent dielectric function through summation over empty states, with the real part following from the Kramers-Kronig relation.

III. RESULTS AND DISCUSSION

A. Magneto-optical response of NiFe₂O₄

Figure 2(a) displays the MCD spectrum of NiFe₂O₄ in an applied field of ± 10 T at 1.6 K. The linear absorption spectrum [$\alpha(E)$] is included for comparison, and the 1.6-, 2.4-, and 2.8-eV band gaps are indicated on the energy axis [12]. Examination of the spectra in Fig. 2(a) immediately reveals a large number of states below the majority channel gap. The local maxima in the dichroic response also coincide with inflection points in the absorption. This correspondence demonstrates an important derivative relationship that we discuss below.

MCD is a powerful tool for unveiling spin-dependent electronic structure because it probes the field-induced difference in the absorption between right and left circularly polarized light (RCP and LCP), often denoted as + and - [21–23]. The magnitude of the dichroic response, I_{MCD} , can be expressed as [22,23]

$$I_{\text{MCD}} \approx \frac{[\alpha_+(E) - \alpha_-(E)]d}{2} \approx \frac{\Delta E}{2} \frac{1}{\alpha(E)} \frac{d\alpha(E)}{dE}. \quad (1)$$

Here, $\alpha(E)$ is the linear absorption, $d\alpha(E)/dE$ is the derivative of absorption with respect to energy, ΔE is the change in energy of the peak position, and d is the thickness of the film. Further, the resulting contrast in $\alpha_{\pm}(E)$ correlates with σ_{\pm} , the helicity [22]. This relationship shows a direct proportionality between I_{MCD} and $d\alpha(E)/dE$. Recalling that absorption is a joint density of states effect, the dichroic response will be related to critical points in the band structure, highlighting the link with the electronic structure. Complementary modeling of the dichroic response implemented the previously calculated matrix elements of the optical conductivity tensor [12] and the following expression for the MCD intensity [24]:

$$I_{\text{MCD}} \approx \frac{d\omega}{2c} \text{Im}(n_+ - n_-) \approx \frac{2\pi h}{c} \text{Im} \left[\frac{\sigma_{xy}}{(1 + i \frac{4\pi}{\omega} \sigma_{xx})^{1/2}} \right]. \quad (2)$$

Here, $n_{\pm} = (\epsilon_{xx} \pm \epsilon_{xy})^{1/2}$ is the refractive index of RCP (or LCP) light arising from the dielectric function ϵ , h is the film thickness, and c is the speed of light. Different spin configurations were employed to simulate the effect of the magnetic field.

Returning to the spectra in Fig. 2(a), we see that the derivative like features in the dichroic response of NiFe₂O₄ can be assigned based upon an understanding of the band structure and projected density of states [12]. Importantly, there are a large number of features in the 1.5- to 2.8-eV energy window—where only minority channel charge-transfer excitations are active. This is strong evidence for spin-polarized excitations. Spectral features emanating from on-site d -to- d excitations are also apparent [25,26]. In addition to being a sensitive technique for locating important features in the density of states, dispersions in the MCD spectra give reliable estimates of the spin splitting between majority and minority bands. We find exchange splittings in the range of 0.2- to 0.3-eV depending upon the excitation, in reasonable agreement with theoretical predictions [5,6].

Figure 2(b) displays the derivative of the MCD spectrum as a function of energy. This rendering shows how gap energies correspond to local extrema in dI_{MCD}/dE at 1.6, 2.4, and 2.8 eV. Another important energy scale, missed previously, appears at ≈ 1.85 eV. dI_{MCD}/dE in the region near the indirect gap is especially interesting [27]. A doublet structure centered at 1.57 eV, emphasized by the black line, is clearly observed in the data taken at +10 T, whereas in the opposite (-10 T) field direction, the doublet is absent. The total splitting of this doublet in the spin-down channel is 100 meV. Dividing by two yields the mediating phonon energy of 50 meV—matching nicely with the O-Fe-O bending mode [12]. Furthermore, this doublet does not have a node. Since a single angular momentum of light is being absorbed in the relevant energy

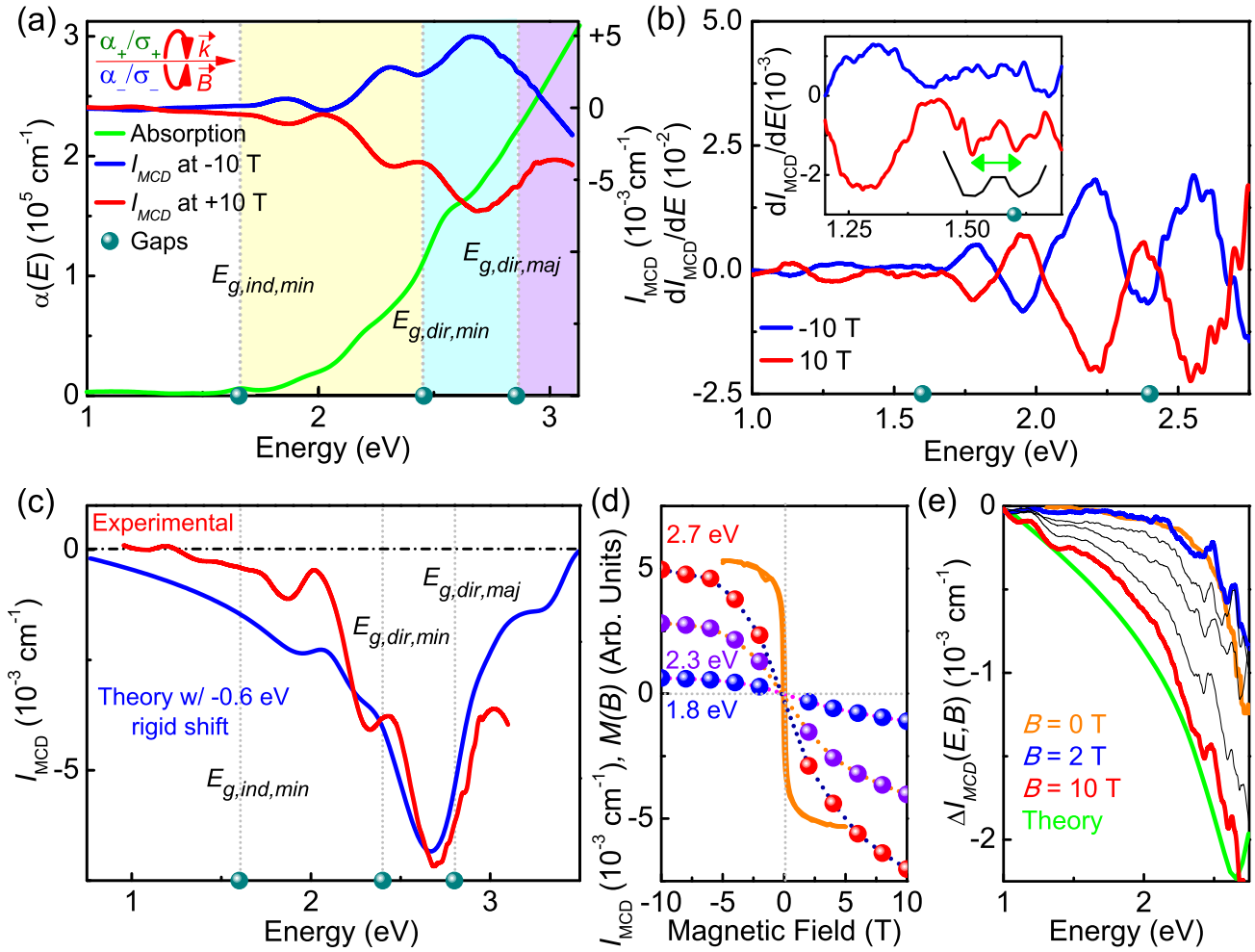


FIG. 2. (a) MCD spectra of NiFe₂O₄ at ± 10 T along with the linear absorption. The points on the energy axis define the band gaps [12], and the shaded regions emphasize the excitation character in each energy window. (b) Derivative of I_{MCD} , along with inset emphasizing the spectral asymmetry near 1.57 eV and 100 meV splitting. (c) Comparison of experimental and theoretical MCD spectra (with a rigid shift of -0.6 eV). (d) MCD intensity at constant energies vs field. The dashed lines guide the eye. Magnetization (in orange) is included for comparison [9]. (e) Residual MCD signal obtained from ΔI_{MCD} in the positive and negative field directions along with the corresponding theoretical difference between the calculated MCD response when Ni spin is parallel to Fe (O_h) vs Fe (T_d) moments.

window, we conclude that the fundamental gap excitation is spin polarized [21]. We attribute this finding to the spin-split band structure and the two distinct symmetry environments of the Fe centers.

Figure 2(c) compares the experimental MCD spectrum of NiFe₂O₄ with that calculated using Eq. (2). Here, the theoretical curve has been rigidly shifted to account for overestimation of the band gap within the hybrid functional method [12]. The excellent overall agreement between the measured and calculated spectra immediately verifies that the theoretical MCD response captures the essential aspects of the electronic structure. This is emphasized by critical points in the band structure.

Figure 2(d) displays constant energy cuts of the dichroic response vs magnetic field. The resulting curves display a non-linear progression akin to magnetization [9,28], although saturation occurs much more slowly due to the local nature of this probe [29] and with some asymmetry compared with $M(B)$ that is accounted for by the metamagnetic transition (discussed

below). Optical tracking of $M(B)$ is extremely important for optical data storage and advanced sensing [30]. It is therefore striking that constant energy cuts of the dichroic response reveal such a correlation—even as new types of excitations are accessed under the magnetic field. Figure 2(e) displays ΔI_{MCD} for the two different field directions ($\pm B$). The difference expressed by the $B = 0$ T curve is due to sample hysteresis. The contrast grows with increasing energy and the applied field reaching values of $-2.5 \times 10^{-3} \text{ cm}^{-1}$ at 2.75 eV and 10 T.

Detailed analysis of the electronic structure under different spin configurations provides a striking account of ΔI_{MCD} . Recall that the excitation spectrum in ordinary ferromagnets, e.g., iron, does not depend on the field direction: all states “flip” their spin under the applied field, giving equal access to transitions. Introducing a second magnetic sublattice does not in itself change this picture. However, in NiFe₂O₄, and indeed in other inverted spinels, two transition metal centers compose one sublattice, and the spins associated with the Ni ions change polarization across $B_{c(\text{Ni})}$. Comparison of the calculated partial

densities of states [Figs. 1(c) and 1(d)] reveals precisely how the metamagnetic transition modifies the electronic structure. While the density of states associated with the Fe centers remains fairly rigid and relatively insensitive to changes in the microscopic spin arrangement, that associated with Ni^{2+} is modified significantly. In fact, these bands move from the majority (minority) channel to the minority (majority) channel as the Ni spin flips, providing carriers in the Ni states access to a completely different set of spin-allowed charge transfer excitations [3]. As a result, the MCD spectrum of NiFe_2O_4 is altered dramatically across $B_{c(\text{Ni})}$. This is the origin of ΔI_{MCD} .

Naturally, we sought to predict how the metamagnetic transition affects the electronic structure. MCD spectra computed for the field-induced state ($B > \pm B_{c(\text{Ni})}$) show two primary differences when compared to the ground state ($B = 0$). First, all features shift to higher energies (e.g., 70 meV for the 2.7 eV excitation). Second, intensity is lost below ≈ 3.3 eV, at which point the spectra begin to develop qualitative differences. Close inspection of the spectra in Fig. 2(a) reveals peak position offsets of about 50 meV, in excellent agreement with these predictions. Moreover, a simple difference between the MCD spectra calculated in the two states is a very close and parameter-free match with the experimental value of ΔI_{MCD} in Fig. 2(e). We therefore conclude that this spectral asymmetry arises from electronic structure differences between the ground state and that of $B > B_{c(\text{Ni})}$. Experimentally, this corresponds to -10 and 10 T, thus accessing the states shown in Fig. 1.

B. Photoconductivity of NiFe_2O_4

To provide additional information on the interplay between charge and spin, we measured the photoconductivity of NiFe_2O_4 [Fig. 3(a)]. This property derives from the creation of electron-hole pairs with light: $\sigma_{\text{PC}} \propto \eta \alpha(E) \tau$. Here, σ_{PC} represents the photoconductance, η is the carrier generation probability, $\alpha(E)$ is the absorption coefficient, and τ is the carrier lifetime. Comparing σ_{PC} and $\alpha(E)$ reveals that photoconductivity begins to develop near the fundamental gap at 1.6 eV—evidence that there are important electronic states in the energy window below the 2.8-eV direct gap. This window of electronic states arises from the two discrete symmetry environments of the Fe centers and is well modeled in our previous work [31]. Figure 3(b) displays typical current vs voltage (I - V) curves with white light on and off. The data in Fig. 3(a) were obtained from similar I - V curves collected at specific illumination wavelengths (and converted to energy for comparison with the absorption curve).

Application of a magnetic field provides an opportunity to further explore the photoexcited minority channel carriers. Figure 3(c) displays a typical set of I - V curves taken at 2.0 eV. As a reminder, light at this energy excites $\text{Ni } O_h \rightarrow \text{Fe } O_h$ charge transfer in the minority channel. The illumination and magnetic field conditions are indicated as $(h\nu, B)$. Using I - V curves like those in Fig. 3(c), we determined field-induced changes in photoconductivity. Figure 3(d) summarizes these findings by plotting them as magnetoresistances. It is immediately apparent that NiFe_2O_4 exhibits strong field effects (-6.5%) in the range where only minority carriers are active. Furthermore, this response is well above the standard magnetoresistance (on the order of -1%) [7,32]. We conclude

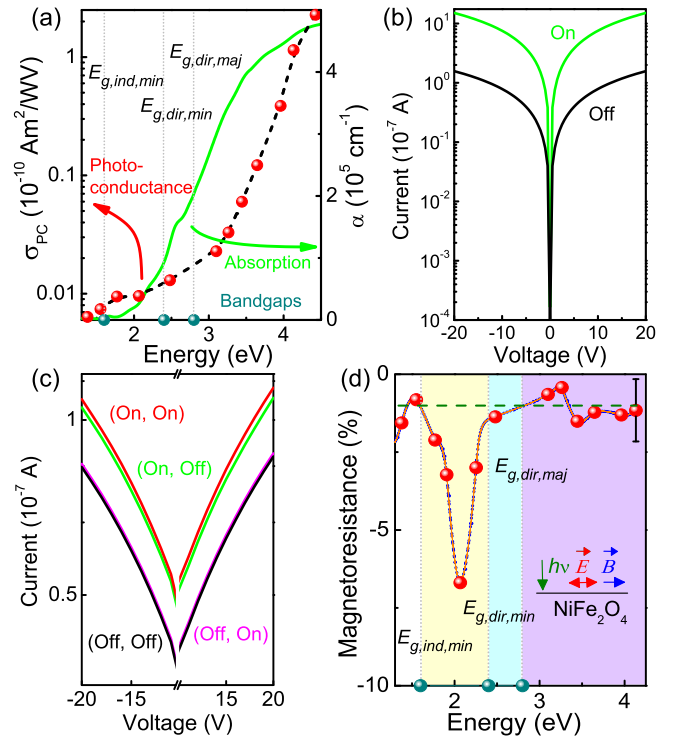


FIG. 3. (a) Photoconductance of NiFe_2O_4 measured at a series of illumination energies compared with the absorption spectrum. (b) Example I - V curves taken using a broadband xenon lamp. (c) Example I - V curves using a combination of light (2.0 eV) and magnetic field (≈ 1.5 T) as indicated. Magnetoresistance measurements for the light off state are included for completeness. (d) Field-induced changes in photoconductivity are displayed as magnetoresistance. The blue line guides the eye. The teal dots on the energy axis indicate band-gap positions, the shaded regions emphasize the character of the excitations in each energy window, and the dashed horizontal dark green line denotes the intrinsic magnetoresistance [7]. The schematic shows the measurement geometry.

that light and field together are more effective than field alone—at least in the energy window between the minority channel indirect and direct gaps.

The effects discussed here differ significantly from those that arise in dilute magnetic semiconductors and many of the chalcogenides. The unusual electronic properties of the former are generally attributed to impurity band interactions [22,28], whereas the latter emanate from strong spin-orbit coupling and include spin-split bands, Rashba splitting, and topologically protected surface states [33,34]. The character of NiFe_2O_4 's spin-polarized excitations instead emerges from the two independent magnetic sublattices—an aspect of the crystal, the chemical, and the electronic structures that will be replicated (in some form) in other spinel ferrites. These materials, already well known for their high Curie temperatures and robust moments, should be explored for enhanced electronic effects, with additional advantages if the active energy window has a healthy overlap with the solar spectrum.

IV. CONCLUSION

In summary, we combined magnetic circular dichroism, photoconductivity, and first-principles calculations with prior

optical absorption to unravel the character of the minority channel excitations in NiFe_2O_4 and to test whether spinel ferrites can generate spin-polarized carriers. Analysis uncovers well-isolated spin-down states, a metamagnetic transition involving Ni center spins that switches the electronic structure of this system, and photoconductivity that depends upon the magnetic field. These findings demonstrate that spin-polarized current can be created by light in the energy window defined by the minority channel charge transfer excitations. We also point out that NiFe_2O_4 is expected to display a magnetically driven transition to the fully polarized state involving saturation of the Fe spins at even higher fields. According to our calculations, even greater magneto-optical contrast should be anticipated across this transition [35], making it an interesting area for future investigation. These discoveries open the door for the creating and controlling of spin-polarized excitonic components from minority channel charge transfer in spinel ferrites.

ACKNOWLEDGMENTS

Research at the University of Tennessee is supported by the U.S. Department of Energy, Office of Basic Energy Sciences, Materials Science Division under Grant No. DE-FG02-01ER45885 (J.L.M.). Research at the National High Magnetic Field Laboratory is funded by the National Science Foundation through Grants No. DMR-1157490 and No. DMR-1229217 (S.M.). Work at Vanderbilt/ORNL is supported by the Department of Energy under Grant No. DE-FG02-09ER46554 (H.S.). Research at the University of Alabama is funded by a NSF-NRI supplement as part of NSF MRSEC Grant No. DMR-0213985 (A.G.). Calculations were carried out on the JURECA computer of the Jülich Supercomputer Center under Project No. JIFF41 and on the Garnet computer at the ERDC DSRC under subproject AFSNW32473012 (H.S.). B.S.H is grateful for partial support from NIH/NIGMS-IMSD Grant No. R25GM086761.

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