## Shear banding in metallic glasses described by alignments of Eshelby quadrupoles

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Plastic deformation of metallic glasses performed well below the glass transition temperature leads to the formation of shear bands as a result of shear localization. It is believed that shear banding originates from individual stress concentrators having quadrupolar symmetry. To elucidate the underlying mechanisms of shear-band formation, microstructural investigations were carried out on sheared zones using transmission electron microscopy. Here we show evidence of a characteristic signature present in shear bands manifested in the form of sinusoidal density variations. We present an analytical solution for the observed postdeformation state derived from continuum mechanics using an alignment of quadrupolar stress-field perturbations for the plastic events. Since we observe qualitatively similar features for three different types of metallic glasses that span the entire range of characteristic properties of metallic glasses, we conclude that the reported deformation behavior is generic for all metallic glasses, and thus has far-reaching consequences for the deformation behavior of amorphous solids in general.

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## I. INTRODUCTION

Crystals have the ability to deform at constant volume along slip planes via dislocations since the periodicity of the lattice provides identical atomic positions for the sheared material [1]. However, the situation is different for amorphous materials such as metallic glasses because of their inherent structural heterogeneity as well as the absence of topologically well-defined structural "defects." As a consequence, extra volume needs to form in order to accommodate the mismatch between sheared zones (shear bands) and surrounding matrix [2]. Such zones are softer than the surrounding matrix enabling the material to flow. Although less clearly defined on the topological and atomic level, the free volume in amorphous materials may be thought of as a carrier of plasticity equivalent to dislocations in crystalline materials. It is commonly accepted that shear bands are associated with a structural change such as local dilatation caused by shear localization, implying a volume change and thus a change in the atomic density  $\rho$  [3–11]. An important issue is therefore the local quantification of free volume or density inside shear bands. Recently, the local density within shear bands of an Al<sub>88</sub>Y<sub>7</sub>Fe<sub>5</sub> metallic glass has been determined using high-angle annular dark-field scanning transmission electron microscopy (HAADF-STEM) [12]. These experiments showed that high- and low-density regions alternate along the propagation direction of the shear bands with respect to the undeformed glass matrix [12,13]. Thus, densification, in addition to the expected dilatation, also occurred as a response to plastic shear deformation. So far, a theoretical and mechanistic understanding to rationalize the observed features is missing. The model presented here is capable of describing these new observations quantitatively for a series of glass-forming alloys with vastly different fragility, kinetic stability, and deformability in compression. Moreover, it predicts an average structural length scale of heterogeneities that control the plastic deformation of metallic glasses.

Since the pioneering work of Spaepen [3] and Argon [4], it has been recognized by a large body of simulation studies that single plastic events in metallic glass are characterized by local stress fields having quadrupolar symmetry [11,14-19] resembling Eshelby's famous description of inclusions within an elastic continuum [20]. While the local free volume can provide the effective "inclusions" responsible for the Eshelby quadrupolar stress fields, one of the remaining great challenges is to understand how shear bands are actually formed in the vicinity of these stress concentrators having such complex stress fields [21]. A quadrupolar stress-field symmetry is consistent with the basic symmetry of shear deformation in a disordered lattice of spherical-like atoms [17]. The inherent heterogeneity of glasses necessarily involves a distribution of atomic neighborhoods with different effective binding strengths. Following this line of thought, the concept of local "soft spots" can be identified with shear-transformation zones [3,4,17,18,22]. They are characterized by a weak connectivity and significant local free volume. In such a soft spot, large nonaffine displacements cause particles in the shear plane to leave the glassy cage outward along a particular line (in both directions), whereas they cause particles along the perpendicular line (again, in both directions) to be pushed inward and squeezed in toward the center of the glassy cage [see Fig. 1(a)] [23].

If such soft spots were aligned appropriately this would lead to alternating densities as observed in our experiments. This hypothesis is supported by recent work of Dasgupta *et al.* [24], in which molecular dynamics was used to simulate an alignment of regularly spaced quadrupolar stress fields in metallic glasses. Careful examination of Fig. 4 (right-

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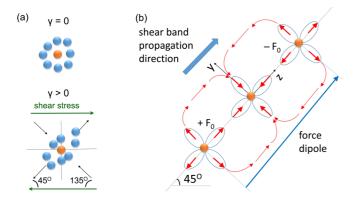


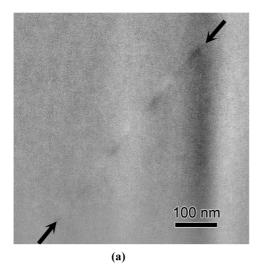
FIG. 1. (a) Schematic of shear deformation in metallic glass. Large nonaffine displacements cause particles in the shear plane to leave the glassy cage outward along the 45° line or to be pushed inward towards the center along the 135° line leading to local density changes. (b) Illustration of the idea that density changes are caused by an alignment of Eshelby-like quadrupoles along the 45° direction.

hand panel) in Ref. [24] shows periodic density variations originating from the alignment of the quadrupoles.

#### II. MODEL

Here we propose a model based on the idea that density changes and thus shear banding are caused by an alignment of Eshelby-like quadrupoles [see Fig. 1(b)] that can be tested against experimental observations. We start from the basic geometry of a quadrupolar stress-field perturbation for a plastic event, which locally follows an  $\sim \cos(4\theta)/r^3$  dependence, where  $\theta$  is the angle, which spans the shear plane, and r is the radial coordinate measured from the center of the glassy cage. The quadrupoles are aligned along the 45° directions, as it has been shown analytically that such an alignment of quadrupoles minimizes the strain energy of an interacting array of Eshelby-like quadrupoles [25]. It should be noted that this

is an idealized situation; experiments have shown that shearband inclination angles depend on the deformation conditions (compression or tension) and can vary between 40° and 50° [26]. Proceeding with our mathematical description, we label the  $45^{\circ}$  direction as the z axis. The alignment of elastic quadrupoles gives rise to an alternating distribution of forces along the 45° direction in the shear plane [see Fig. 1(b)]. Using Fourier's theorem, we can write the distribution of forces  $\rho_f(z)$  as a periodic function in a Fourier series expansion as  $\rho_f(z) = \sum_{n=0}^{\infty} A_n \cos(kz + \varphi_n)$ , where  $A_n$  is the expansion coefficient and  $\varphi_n$  is the phase of the *n*th mode. It is worth noting while our experimentally observed density variations are not perfectly periodic, the alternations are such that we can fit them with a periodic function. The fit is better for the Pd-based glass [Fig. 2(b)] than for the Al-based glass [see Fig. 4(a)]. As a first-order approximation to enable analytical calculations, we truncate the series at the first Fourier mode in the expansion. If the origin of the z axis coincides with the center of the band, the phase is fixed by the symmetry to be  $\varphi_1 \equiv \varphi = 0$ . However, if the measurement does not start exactly at the center of the band as in the subsequent comparison with experiments, the phase will be nonzero. Hence, we approximate the force-density distribution along the 45° z axis of Fig. 1 as  $\rho_f(z) = A_1 \cos(kz)$ , with  $A \equiv A_1$ , which is a normalization constant that depends on the sample size. Having defined the spatial distribution of forces, the microscopic displacement field u(z) for longitudinal displacements along a given direction (z axis in our case) obeys the fundamental equation of elastic equilibrium [27]  $K\nabla^2 u(z) = -\rho_f(z)$ , where  $\rho_f(z)$  is the density of forces along z, which has units of force per unit volume, consistent with the left-hand side where K is the bulk modulus. We now use the formal analogy between elasticity theory and electrostatics to solve for the microscopic displacement field analytically [28]. Within this well-known analogy, as explained in detail, e.g., in Ref. [28], the equation for elastic equilibrium maps onto the Poisson equation where the electrostatic potential



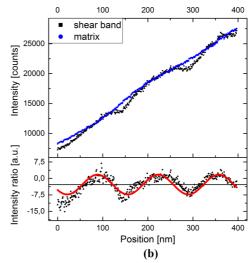


FIG. 2. (a) HAADF-STEM image of a FIB-prepared  $Pd_{40}Ni_{40}P_{20}$  bulk metallic glass sample showing contrast reversals inside a shear band (see arrows). Note that the vertical contrast (curtaining) is due to the FIB milling conditions. (b) Top: Corresponding intensity profiles of shear band (red) and matrix (blue). Bottom: Quantified intensity profile of the shear band relative to the matrix. The red line corresponds to the best fit using Eq. (2).

 $\phi_{el}$  is equivalent to the elastic displacement field u, and the bulk modulus K replaces the dielectric constant  $\varepsilon$ . The distribution of forces in our elastic problem plays the same role as the distribution of charges in the electrostatic problem. Hence, the distribution of forces can be written as  $\rho_e(z) =$  $\rho_f(z) = A_1 \cos(kz)$ . It can be seen that our elastic problem of microscopic displacement field along the z direction in Fig. 1 is mathematically identical to the problem of a one-dimensional (1D) array of electrostatic dipoles placed along the z axis. We can thus work with the Poisson equation, formally identical to our elastic equilibrium equation, to obtain the electrostatic potential, which yields the form of the displacement field u(z)of the elastic problem. Focusing on a 1D array of electrostatic dipoles placed along the z axis of Fig. 1 as a consequence of the quadrupoles alignment, the charge distribution must obey the Poisson equation, which to a good approximation may be written as  $\nabla^2 \phi_{el} \approx d^2 \phi_{el}/dz^2 = -\rho_f(z)/\varepsilon$ . The qualitative form of the solution for the electrostatic field is readily obtained by inspection, and gives  $\phi_{el} \sim (1/\varepsilon) \sin(kz + \varphi)$ , upon omitting numerical prefactors. A detailed and more exact three-dimensional derivation (see Appendix) gives the full quantitative solution as  $\phi_{el}(z) = \frac{A}{4\sqrt{2\pi} \, \varepsilon} \sin(kz + \varphi)$ . Upon using this mathematical solution of the elastic problem, we obtain  $u(z) = \frac{A}{4\sqrt{2\pi} \, K} \sin(kz + \varphi)$ . Finally, the relative density change in the shear band along the 45° line is obtained as

$$\frac{\Delta \rho(z)}{\rho} = \frac{A}{4K\sqrt{2\pi}}\sin(kz + \varphi),\tag{1}$$

where  $\frac{\Delta \rho(z)}{\rho}$  is the normalized mass density change in the shear band relative to the average density  $\rho$  of the surrounding undeformed matrix.  $\varphi$ , as mentioned above, is an arbitrary phase shift (i.e., a fitting parameter in the following).

#### III. METHODS

Ingots of Pd<sub>40</sub>Ni<sub>40</sub>P<sub>20</sub> were fabricated by ingot copper mold casting under argon atmosphere. The sizes of the as-cast ingots were 25 mm (length)×10 mm (width)×1 mm (height). Prior to casting, the ingots were cycled with boron oxide  $(B_2O_3)$  to purify the samples [29]. The completely amorphous state of the cast samples was monitored by x-ray studies performed with a Siemens D5000 x-ray diffractometer using Cu Kα radiation and calorimetry using a differential scanning calorimeter (Perkin Elmer Diamond DSC) with a heating rate of 20 K/min. Subsequently, the ingots were deformed by cold-rolling to a thickness reduction of 10%. Regions containing individual shear bands were prepared to electron transparency using focused ion beam (FIB) (FEI Helios) milling. Microstructural characterization was performed using a Zeiss Libra 200FE transmission electron microscope operated at 200 kV in STEM mode and equipped with a Schottky field emitter, a HAADF detector (Fischione model 3000), an in-column ( $\Omega$ ) energy filter, and a slow-scan charge-coupled device camera (Gatan US 4000). During the experiment electrons having a scattering angle greater than 65 mrad were collected by the HAADF detector (camera length of 720 mm) and a nominal spot size of 2 nm was used. For the fitting of the experimental data, which

TABLE I. Results of fitting Eq. (2) (see Sec. III) to the experimental observation.

Fit function (see Sec. III)	$Pd_{40}Ni_{40}P_{20}$	Al <sub>88</sub> Y <sub>7</sub> Fe <sub>5</sub>	$Zr_{52.5}Cu_{17.9} \\ Ni_{14.6}Al_{10}Ti_5$
k (1/nm)	0.047	0.039	0.042
$L = 2\pi/k(\text{nm})$	135	163	150
Correlation length = $L/2$	67.5	81.5	75
<u>y</u> 0	-2.8	-2.6	-0.9

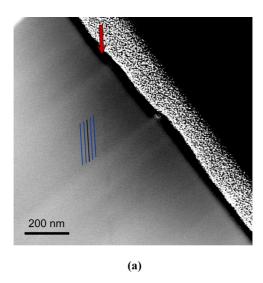
are summarized in Table I, we used

$$\frac{\Delta\rho(z)}{\rho} = \frac{A}{4K\sqrt{2\pi}}\sin(kz + \varphi) + y_0 \cong \frac{I_{SB} - I_M}{I_M}, \quad (2)$$

where  $I_{SB}$  and  $I_M$  are the intensities extracted from the HAADF-STEM image as shown in Fig. 2(a), A is a conversion/scaling factor, K is the bulk modulus of the investigated material,  $k=2\pi/L$  is the period,  $\varphi$  is an arbitrarily introduced phase shift (see above) and  $y_0$  is an offset value since the signal is not symmetric to the mathematical origin. This accounts for the different amplitudes of dilated and densified shear-band regions observed in the experiment. The Poisson ratios of the three metallic glasses [Pd<sub>40</sub>Ni<sub>40</sub>P<sub>20</sub>, Zr<sub>52.5</sub>Cu<sub>17.9</sub>Ni<sub>14.6</sub>Al<sub>10</sub>Ti<sub>5</sub>, and Al<sub>88</sub>Y<sub>7</sub>Fe<sub>5</sub> (partially crystallized cast material)] were determined from ultrasonic measurements carried out with an Olympus 38DL Plus device.

#### IV. RESULTS

The deformation by cold-rolling of Pd<sub>40</sub>Ni<sub>40</sub>P<sub>20</sub> bulk metallic glass produced numerous shear bands visible by the macroscopic shear offsets at the surfaces. Individual slices of such shear bands were cut out and thinned down to electron-transparent thicknesses of about 100 nm using a FIB. Figure 2(a) displays part of such a FIB lamella containing a representative shear band marked by arrows and having a width of about 16 nm. The FIB lamella also displays a curtaining contrast due to the milling conditions used. The onset of the shear band was identified by the shear offset at the surface of the foil [see Fig. 3(a)]. It was reported that the observation of shear bands in Pd<sub>40</sub>Ni<sub>40</sub>P<sub>20</sub> is difficult [30]. While this is true, we were able to identify shear bands successfully and carefully analyze them in the following manner: A HAADF-STEM intensity profile was extracted from inside the shear band along the propagation direction as well as two on each side to determine the matrix intensity at the position of the shear band. The profile of the matrix intensity was then subtracted from the profile of the shear-band intensity and the result of the difference was normalized by the profile of the matrix intensity [see Fig. 2(b)]. (The method used for the density determination is described in more detail in Refs. [12,13]). This procedure allows extraction of the density changes in the shear band relative to the matrix. For comparison, a reference measurement (see Fig. 3) following the same procedure as described above was performed at a matrix position without a shear band in order to prove that the curtaining in the TEM foil or other hidden artifacts do not cause or affect the observed periodic density variations in Fig. 2. The result of the reference measurement is shown in Fig. 3. The result of



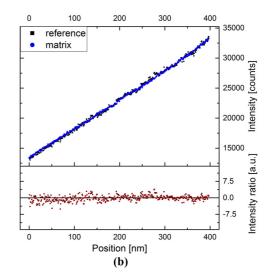


FIG. 3. (a) HAADF-STEM overview of the FIB-prepared  $Pd_{40}Ni_{40}P_{20}$  bulk metallic glass sample showing the analyzed shear band of Fig. 2(a) at low magnification. Note the surface offset of the shear band (red arrow). The black and blue lines indicate the position of the reference measurement. (b) Line profiles of the reference measurement using a region next to the observed shear band. Bottom: Calculated relative intensity/density change using Eq. (2) showing scatter/noise only.

the density variations along the real shear band is shown at the bottom of Fig. 2(b). We observe small but noticeable periodic density variations with a confidence of  $4\sigma$  for the smallest observed amplitude. The signal occurs periodically with larger negative and smaller positive amplitudes [Fig. 2(b)]. Negative amplitudes correspond to dilated regions whereas the positive amplitudes refer to densified regions of the shear band compared to the surrounding matrix. Similar periodic changes between dilatation and densification (see Fig. 4) were found for a marginal glass former Al<sub>88</sub>Y<sub>7</sub>Fe<sub>5</sub> and for Zr<sub>52.5</sub>Cu<sub>17.9</sub>Ni<sub>14.6</sub>Al<sub>10</sub>Ti<sub>5</sub> (Vitreloy105). The periodicity of density variation is more pronounced for Pd<sub>40</sub>Ni<sub>40</sub>P<sub>20</sub> glass; however, the amplitudes of the density variations are about 10 times smaller than for the marginal glass former Al<sub>88</sub>Y<sub>7</sub>Fe<sub>5</sub>. The smaller magnitude of the density changes in shear bands in some glasses seems to be the underlying reason for the difficulty in observing a distinct shear-band contrast in TEM. Since we found similar observations for three very different metallic glasses, the question of an existing generic deformation mechanism for metallic glasses/amorphous solids with periodic density variations as a significant feature is now discussed.

#### V. DISCUSSION

An analytical solution of Eq. (1) using the framework of a continuum mechanics approach for the displacement field in analogy to electrostatics [28] fits the experimental observation well since it accounts for the sinusoidal density distribution along the propagation direction of shear bands as well as for the (nontrivial) difference in amplitude for dilated and densified regions. The accordance between model and experiment strongly suggests that the density changes observed in the postdeformation state are caused by an alignment of Eshelby-like quadrupoles along the shear-banding propagation line [see Fig. 1(b)]. The results are summarized in Table I. We find a periodic length of about 135 nm for the Pd-based bulk metallic

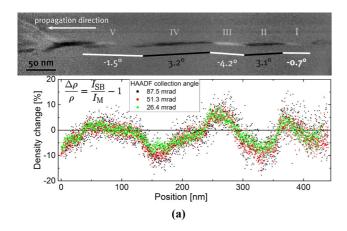
glass compared to about 163 nm for the marginal Al-based glass former and 150 nm for the Zr-based bulk metallic glass.

The experimentally determined values of the periodic lengths allow calculation of an average correlation length between two Eshelby-like quadrupoles (Table I). The correlation length, which yields an average value of (75  $\pm$  10) nm, is half of the periodic length. This value corresponds to the distance between the heterogeneities in these glasses which control their plastic deformation. Intuitively, a distance on the order of 75 nm may appear to be too long for a characteristic unit in the glass. Yet, it should be kept in mind that the first initiation of nonaffine transformations occur only in the most "fertile" or softest spots, i.e., in regions which have a local configuration that resides in the low-coordination tail of the continuous distribution of atomic packing. Moreover, independent submicrometer strain analysis using nanodot deposition [31] shows strain profiles with a periodicity on the order of about 100 nm switching from compression to tensile strain.

The difference in amplitude for dilated and densified regions seems to be characteristic for all three metallic glass systems. Rearranging Eq. (1), where the compressibility 1/K can be expressed in terms of density and pressure, leads to

$$\frac{\Delta \rho(z)}{\rho} = \frac{A}{4K\sqrt{2\pi}}\sin(kz + \varphi) = \frac{A}{4\sqrt{2\pi}}\frac{1}{\rho}\frac{d\rho}{dP}\sin(kz + \varphi)$$
(3)

which clearly elucidates the  $1/\rho$  dependence of the prefactor. One should note that while  $\rho(z)$  denotes the local position-dependent density,  $\rho$  denotes the overall average density of the sample, which is uniform. It is interesting to note that the trends of the average correlation lengths and the deformability of the three different metallic glasses are also paralleled in the glass-forming abilities of the three glasses (see Table II). Moreover, the average correlation length would also account for microalloying effects [32–35] in which minor elements can drastically change the deformability. While three



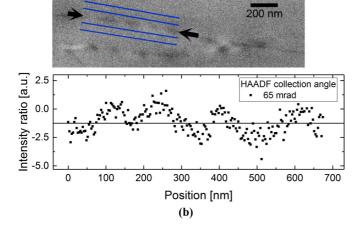


FIG. 4. (a) Top: HAADF-STEM image showing contrast reversals (bright-dark-bright) in a shear band of cold-rolled  $Al_{88}Y_7Fe_5$  metallic glass. Bottom: Corresponding quantified density oscillations along the shear band for different collection angles of the HAADF detector. The results clearly indicate that the results are independent of the collection angle. Note that the amplitudes for the denser shear-band segments are about half of the dilated states. (b) Top: HAADF-STEM image showing contrast reversals (bright-dark-bright) in a shear band (see arrows) of a compression-deformed bulk metallic glass sample ( $Zr_{52.5}Cu_{17.9}Ni_{14.6}Al_{10}Ti_5$ , Vitreloy105). Bottom: Corresponding quantified density oscillations along the shear band.

different glass-forming systems are not sufficient to dismiss coincidence, this observation might indicate the importance of the width of the distribution of local excess volume (or coordination) for the mechanical properties and the kinetic stability of metallic glasses.

#### VI. CONCLUSIONS

Density variations in shear bands of metallic glasses  $(Pd_{40}Ni_{40}P_{20}, Al_{88}Y_7Fe_5, and Zr_{52.5}Cu_{17.9}Ni_{14.6}Al_{10}Ti_5)$  were observed along their propagation direction having periodicities between 135 and 163 nm with smaller positive and larger negative magnitudes. A model using an alignment of Eshelbylike quadrupoles as input is presented. It crucially provides the nontrivial connections between the different magnitudes for dilated and densified regions, on one hand, and the bulk modulus and sample's density, on the other. The good accordance between model and experiment strongly suggests that the observed density changes originate from aligned Eshelby-like quadrupolar stress fields. Moreover, the model predicts an average structural length scale of heterogeneities on the order of 75 nm that controls the plastic deformation of metallic glasses. Since qualitatively similar features were observed for different types of metallic glasses having different compositions and vastly different characteristics, the conclusion is drawn that alternating density variations in shear bands, resulting from the alignment of Eshelby plastic events, are fundamental for the plastic deformation of all metallic glasses, and possibly for all amorphous materials in general.

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## APPENDIX

## 1. Density determination using HAADF-STEM intensities

The dark-field intensity  $I/I_0$  contains information about the mass thickness  $\rho \cdot t$  as follows [12]:

$$\frac{I}{I_0} = \left[1 - \exp\left(-\frac{N_A \cdot \sigma \cdot \rho \cdot t}{A}\right)\right] = 1 - \exp\left(-\frac{\rho \cdot t}{x_k}\right),$$

and for small arguments

$$\frac{I}{I_0} \cong \frac{\rho \cdot t}{x_k},\tag{A1}$$

where  $N_A$  is Avogadro's number,  $\sigma$  is the total scattering cross section,  $\rho$  is the density, t is the foil thickness, and A is the

TABLE II. Table of properties for the three investigated metallic glasses: The list contains the density  $\rho$ , Poisson's ratio  $\nu$ , bulk modulus K, shear modulus G, Young's modulus E, glass transition temperature  $T_g$ , first crystallization onset temperature  $T_x$ , width of the supercooled liquid region  $T_x$ - $T_g$ , and the average correlation length L/2 determined from the observed density oscillations in shear bands.

	$\rho(g/cm^3)$	ν	K (GPa)	G (GPa)	E (GPa)	$T_{\rm g}({ m K})$	$T_{\rm x}({ m K})$	$T_x$ - $T_g(\mathbf{K})$	<i>L</i> /2 (nm)
Al <sub>88</sub> Y <sub>7</sub> Fe <sub>5</sub> [38]	3.12 <sup>a</sup>	0.30 <sup>a</sup>	82ª	38ª	98ª	479	522	43	81.5
$Zr_{52.5}Cu_{17.9}Ni_{14.6}Al_{10}Ti_5$	6.60	0.37	113	33	91	681	743	62	75.0
$Pd_{40}Ni_{40}P_{20}$ [39]	9.47	0.41	186	36	101	575	641	66	67.5

<sup>&</sup>lt;sup>a</sup>Measured on partially crystallized cast material.

atomic weight.  $x_k$  is the contrast thickness, which is defined as  $\frac{N_A \cdot \sigma}{A}$ . For experimental data, an acquired electron-energy-loss signal allows calculation of the specimen foil thickness t from the low-loss spectral region [36]. Using Eq. (A1) the relative density change normalized to the intrinsic density of the material (undeformed surrounding matrix) can be expressed:

$$\frac{\Delta \rho}{\rho} = \frac{\rho_{SB} - \rho_M}{\rho_M} = \frac{I_{SB} \cdot t_M \cdot x_k^{SB}}{I_M \cdot t_{SB} \cdot x_k^M} - 1, \tag{A2}$$

where  $\rho_{SB}$ ,  $\rho_M$  are the mass densities of the shear band (SB) and the matrix,  $I_{SB}$ ,  $I_M$  are the HAADF intensities,  $x_k^{SB}$ ,  $x_k^M$  are the contrast thicknesses, and  $t_{SB}$ ,  $t_M$  are the corresponding local foil thicknesses of SB and matrix. A constant contrast thickness  $x_k$  can be assumed here over the SB area which causes the  $x_k$  term to cancel out in Eq. (A2) [12]. If the foil thickness t is uniform or continuously increasing, as for the case of a wedge-shaped specimen such as in the experiment where no preferential etching is present at the SB (Fig. 2), the terms  $t_{SB}$  and  $t_M$  cancel out. Equation (A2) simplifies then to

$$\frac{\Delta \rho}{\rho} = \frac{\rho_{SB} - \rho_M}{\rho_M} = \frac{I_{SB} - I_M}{I_M}.$$
 (A3)

Thus the intensity ratio equals the relative density change as shown in Fig. 2.

## 2. Derivation of Eq. (1) in the main article

According to Fig. 1 in the main article, taking one particle as the center of the frame in the shear plane, its nearest neighbors tend to move away along the extension direction  $(\pi/4)$ , while they are squeezed in along the compression direction  $(3\pi/4)$ . Hence, the local stress field necessarily has quadrupolar symmetry, in analogy with the Eshelby inclusion quadrupole. The alignment of quadrupoles in the  $45^{\circ}$  direction as schematically depicted in the main article in Fig. 1(b) is therefore the starting point of our mathematical description.

## 3. Analogy between electrostatics and elastostatics

When dealing with dipoles, it is most convenient to take advantage of the analogy between elasticity and electrostatics, which then includes the use of established relations for electrostatic dipoles. As is well known, the equations of elastic equilibrium and electrostatics are formally identical, provided that for each quantity in the electrostatic problem the corresponding quantity in the elastic problem is correctly defined [28,37]. In our case, we are interested in determining the local displacement field since this directly relates to density fluctuations. The quantity in the electrostatics problem that is analogous to the displacement field is the electrostatic potential, with a change of [28]:  $\phi_{el}(\vec{r}) \Rightarrow \vec{u}(\vec{r})$ , while the corresponding quantity for the bulk modulus is the dielectric constant  $K = \epsilon$ .

For an array of elastic dipoles, the displacement is nonzero only along the direction of alignment of the dipoles, hence we can treat the displacement field as a scalar,  $u(r) \equiv u_z(r)$ .

Furthermore, the electrostatic charges, in the electrostatic problem, play the same role as the forces in the elastic case. Just as the Poisson equation relates the electrostatic potential to the charge-density distribution, the same equation with changed

sign relates the displacement field to the density distribution of mechanical forces in the material.

# 4. Distribution of forces in the shear band along the 45° direction

From Fig. 1(b) in the main article, an alignment of Eshelby quadrupoles causes a distribution of forces along the 45° axis which can be described by a periodic function.

Relabeling again the 45° axis as the z axis, we thus write the distribution of forces  $\rho_f$  (equivalent to the distribution of charges  $\rho_e$  in the electrostatic problem) as a periodic function in a Fourier series

$$\rho_f(z) = \sum_{n=1}^{\infty} A_n \cos(kz + \varphi_n), \tag{A4}$$

since any periodic function can be expanded in Fourier series. Here  $A_n$  are expansion coefficients,  $k=2\pi/L$  is the period, while  $\varphi_n$  is the phase. We can consider the first-order mode in Eq. (A4) as a first-order approximation to make analytical calculations.

If the origin of the z axis coincides with the center of the band, the phase is fixed by the symmetry to be  $\varphi_1 = 0$ . However, if the measurements do not start exactly at the center of the band as in the subsequent comparison with experiments, the phase will be nonzero. Hence we use the following approximation:

$$\rho_f(z) = A\cos(kz),\tag{A5}$$

for the density distribution of forces, with  $A \equiv A_1$  as a normalization constant which depends on the size of the sample.

## 5. Derivation of the displacement field along the 45° direction

We now use the analogy with electrostatics to solve for the microscopic displacement field analytically under the assumptions presented above. We first solve for the electrostatic potential  $\phi_{el}(z)$  for a distribution of charges given by Eq. (8), and at the end we use the relation  $u(z) = -\phi(z)$  to get to the displacement field.

The field is related to the local dipole moment  $\vec{p}(\vec{r})$  of a continuous distribution of charges  $\rho(\vec{r})$  via the standard relation [37]

$$\phi_{el}(\vec{r}) = -\frac{1}{4\pi\epsilon} \int_{L} d\vec{p}(\vec{r_0}) \cdot \nabla \frac{1}{|\vec{r} - \vec{r_0}|}, \quad (A6)$$

where  $\overrightarrow{r_0}$  labels the positions of the forces, while  $\overrightarrow{r}$  labels the generic position in space at which the field  $\overrightarrow{\phi}$  is evaluated.

We now take a cylindrical frame where the 45° axis of the shear-band propagation coincides with the polar axis z, whereas r is the radial axis (oriented along the compression direction, 135°). Clearly  $\vec{p}(\vec{r_0}) = p(z) \hat{z_0}$ , because the local dipole moment is oriented along the z axis.

Then we express the dipole moment by introducing the force-density distribution [28],  $\vec{\tau} = \frac{d\vec{p}(z_0)}{dz_0}$ , which is also oriented along the z axis. Therefore Eq. (A6) can be rewritten

as

$$\phi_{el}(\vec{r}) = -\frac{1}{4\pi\epsilon} \int_{L} dz_0 \vec{\tau} \cdot \nabla \frac{1}{|\vec{r} - \vec{r_0}|}, \tag{A7}$$

where  $\overrightarrow{r_0} = [0,0,z_0]$ , in cylindrical coordinates.

For  $\vec{\tau} = [0,0,\tau_0(z_0)]$  only the z component is nonzero. Therefore the scalar product can be easily evaluated and the integral can be written as

$$\phi_{el}(r,z) = -\frac{1}{4\pi\epsilon} \int_{L} dz_{0} \tau_{z}(z_{0}) \frac{d}{dz_{0}} \cdot \left[ \frac{1}{\sqrt{[r^{2} - (z - z_{0})^{2}]}} \right].$$
(A8)

Upon evaluating the derivative we get

$$\phi_{el}(r,z) = \frac{1}{4\pi\epsilon} \int_{L} dz_0 \tau_z(z_0) \frac{(z-z_0)}{[r^2 - (z-z_0)^2]^{3/2}}.$$
 (A9)

Since we are interested in the displacement field along the z axis (45° direction) at the center of the band, we take the near-field approximation [37],  $r \ll (z - z_0)$ , and focus on the integral

$$\phi_{el}(z) = \frac{1}{4\pi\epsilon} \int_{L} dz_0 \tau_z(z_0) \frac{1}{(z - z_0)^2}.$$
 (A10)

The density of dipole moment  $\tau$  along z is related to the charge-density distribution along z, via  $\rho_f(z_0) = -\frac{d}{dz_0}\tau(z_0)$ . Using Eq. (A5) we thus obtain  $\tau(z_0) = -A(1 + \frac{\sin(kz_0)}{k})$ . Upon putting this into Eq. (A10), we get the following expression:

$$\phi(z) = \frac{-A}{4\pi\epsilon} \int_{I} dz_0 \left( 1 + \frac{\sin(kz_0)}{k} \right) \frac{1}{(z - z_0)^2}.$$
 (A11)

Upon considering an infinite medium (or at least a macroscopic size which is much larger than the atomic scale, as is always the case)  $\int_L dz_0 \to \int_{-\infty}^{+\infty} dz_0$ , and letting the  $z_0$  coordinate start at the center of the band ( $\varphi=0$ ) for ease of notation and without loss of generality, the integral can be evaluated analytically after recognizing that it is a standard convolution integral:

$$h(t) = \int_{-\infty}^{+\infty} dt' f(t - t') g(t'), \tag{A12}$$

with the following straightforward identifications:  $z_0 = t'$ , z = t,  $g(t') = 1 + \frac{\sin(kz_0)}{k}$ , and  $f(t - t') = \frac{1}{(z_0 - z)^2}$ . As is well known [37], convolution integrals satisfy the following

property:

$$h(z) = \frac{1}{2\pi} \int_{-\infty}^{+\infty} dq e^{-iqz} \hat{f}(q) \hat{q}(q), \tag{A13}$$

where q is a dummy variable which in our case has dimensions [1/length] and  $\hat{f}(q)$  denotes the Fourier transform of the function f(z), with  $q = 2\pi/z$ .

With the previous identifications we obtain

$$\hat{f}(q) = -\frac{\pi}{2}q\operatorname{sgn}(q),$$

$$\hat{g}(q) = \sqrt{2\pi}\delta(q) + \sqrt{\frac{\pi}{2}} \frac{i\delta(z-k)}{k} - \sqrt{\frac{\pi}{2}} \frac{i\delta(z+k)}{k}. \quad (A14)$$

Hence, upon taking advantage of the convolution theorem [Eqs. (A12) and (A13)], we can find the field  $\phi_{el}(z)$  along the band propagation direction by simply taking the Fourier transform of the product  $\hat{f}(q)\hat{q}(q)$ , which gives

$$\phi_{el}(z) = -\frac{A}{8\pi\epsilon} \sqrt{\frac{\pi}{2}} e^{-ikz} (e^{2ikz} - 1).$$
 (A15)

Using the standard Euler relations, this simplifies to

$$\phi_{el}(z) = -\frac{A}{4\sqrt{2\pi}\epsilon} \sin(kz + \varphi), \tag{A16}$$

where the phase  $\varphi$  is added to fit the experimental data.

This is a central result, which shows that a periodic distribution function of forces, as a consequence of the alignment of Eshelby quadrupoles, generates a sinusoidal microscopic displacement field  $u(z) \Rightarrow \phi_{el}(z)$ , which upon replacing  $\epsilon$  with the bulk modulus K reads as

$$u(z) = \frac{A}{4\sqrt{2\pi}K}\sin(kz + \varphi). \tag{A17}$$

Here the arbitrary phase  $\varphi$  takes care of any arbitrary sign convention and of the fact that the experimental measurements do not necessarily start from the center of the band (which would be z=0 in our treatment).

The parameter k is the same as that which modulates the period of the force distribution in the Eshelby quadrupoles array in Fig. 1 and Eq. (1), and its value depends on the atomic structure and size of the building blocks. Its value therefore varies depending on the density and composition of the material.

Finally we obtain the relative density change in the band along the  $45^{\circ}$  direction as

$$\frac{\Delta \rho(z)}{\rho} = 1 + u(z) = \frac{A}{4\sqrt{2\pi}K} \sin(kz + \varphi), \quad (A18)$$

where  $\Delta \rho(z) = \rho(z) - \rho$  and  $\rho$  is the average density of the material in the band.

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