

Competition of the Coulomb and hopping-based exchange interactions in granular magnets

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(Received 6 July 2016; revised manuscript received 20 November 2016; published 27 January 2017)

We study exchange coupling due to the interelectron Coulomb interaction between two ferromagnetic grains embedded into an insulating matrix. This contribution to the exchange interaction complements the contribution due to virtual electron hopping between the grains. We show that the Coulomb and the hopping-based exchange interactions are comparable. However, for most system parameters these contributions have opposite signs and compete with each other. In contrast to the hopping-based exchange interaction the Coulomb-based exchange is inversely proportional to the dielectric constant of the insulating matrix ϵ . The total intergrain exchange interaction has a complicated dependence on the dielectric permittivity of the insulating matrix. Increasing ϵ one can observe the ferromagnet-antiferromagnet (FM-AFM) and AFM-FM transitions. For certain parameters no transition is possible, however even in this case the exchange interaction has large variations, changing its value three times by increasing the matrix dielectric constant.

DOI: [10.1103/PhysRevB.95.045427](https://doi.org/10.1103/PhysRevB.95.045427)

I. INTRODUCTION

Granular metals possess complicated physics involving size and charge quantization effects which interplay with complicated morphology of these systems [1–10]. Many-body effects play a crucial role in granular metals. Electronic and thermal transport properties of granular metals are broadly studied both theoretically and experimentally. These properties are defined by conduction electrons in the systems [2]. The situation becomes more complicated in granular magnets with magnetic metallic grains being embedded into an insulating matrix [11–14]. The magnetic state of granular magnets is defined by three main interactions: magnetic anisotropy of a single grain, magnetodipole interaction between ferromagnetic (FM) grains, and the intergrain exchange interaction. Magnetic properties of granular magnets were studied in many papers. Numerous papers were devoted to magnetic anisotropy and magnetodipole interaction [15–22]. Much less is known about the exchange interaction between magnetic grains [23–27]. The influence of the intergrain exchange coupling on the magnetic state of the whole granular magnet is currently understood, however the microscopic picture of the intergrain exchange interaction is still missing. Note that the intergrain exchange coupling is related to the conduction electrons. The theory of such a coupling extends the theory of conduction electrons in granular metals.

In most experimental studies the exchange coupling between magnetic grains was explained using the Slonczewski model [28], developed for magnetic tunnel junctions (MTJ). Usually the coupling between grains was estimated using this model by taking into account the grains' surface area. Recently it was shown that the intergrain coupling differs from the exchange coupling in MTJ [27]. In the granular system the exchange coupling depends not only on the distance between the grains and on the insulating matrix barrier, but also on the dielectric properties of the matrix. Such an effect appears due to charge quantization and the Coulomb blockade effects in FM nanograins.

The intergrain exchange coupling studied in the past was due to virtual electron hopping between the grains and can

be associated with the kinetic energy in the system Hamiltonian. However, it is known that the many-body Coulomb interaction also leads to the magnetic exchange interaction [29,30]. Recently the Coulomb-based exchange interaction was considered in MTJ [31]. It was shown that this contribution to the magnetic interaction between magnetic leads separated by the insulating layer is comparable and even larger than the hopping-based exchange coupling.

In this paper we consider a competition of the Coulomb and the hopping-based exchange coupling in the system of two spherical magnetic grains embedded into an insulating matrix. In contrast to the layered system the screening of the Coulomb interaction in the granular system is different due to finite grain sizes. This leads to the appearance of additional terms in the total exchange interaction between grains. Also, the hopping-based exchange interaction in granular and layered systems is different. Thus, the competition of hopping and Coulomb-based exchange interaction in the granular system results in essentially different total coupling.

In Ref. [31] it was shown that the Coulomb-based coupling strongly depends on the insulator dielectric constant. For the granular system both the hopping and the Coulomb-based exchange depend on the matrix dielectric susceptibility.

In this paper we calculate the Coulomb-based exchange interaction between FM nanograins and study the competition between two mechanisms of exchange interaction.

The paper is organized as follows. In Sec. II we introduce the model for the granular system. In Secs. III and IV we underline the main results for the hopping-based exchange coupling in granular systems. In Sec. V we calculate the interelectron Coulomb interaction and the intergrain exchange coupling. We discuss and compare the Coulomb and the hopping-based exchange interaction in Sec. VI. Finally, we discuss validity of our theory in Sec. VII.

II. THE MODEL

We consider two identical FM grains with radius a (see Fig. 1). The Hamiltonian describing delocalized electrons in

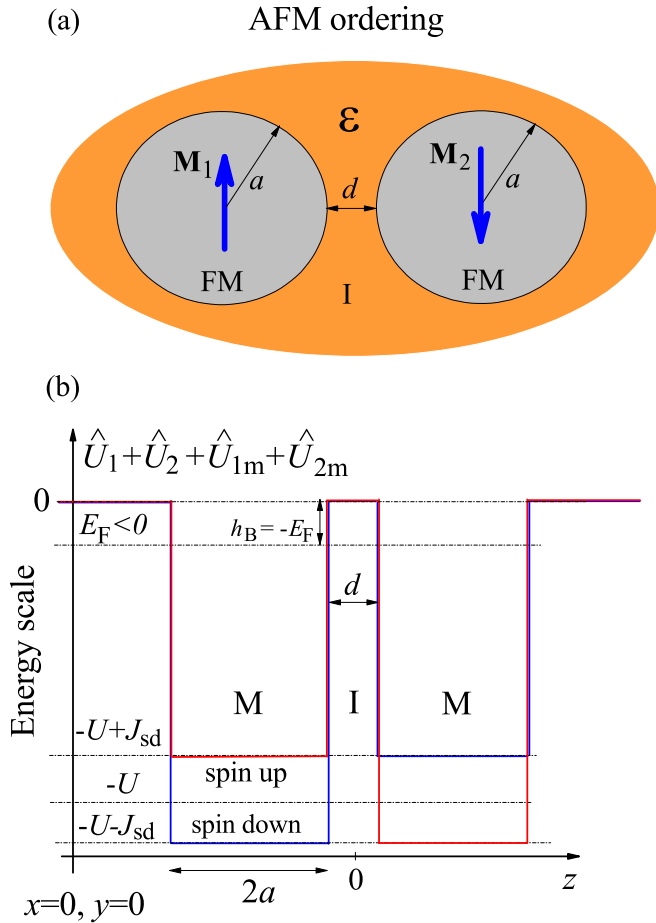


FIG. 1. (a) Two FM metallic grains with radius a and intergrain distance d embedded into an insulating matrix with dielectric constant ϵ . $\mathbf{M}_{1,2}$ stands for grain magnetic moment. (b) Schematic picture of potential energy profiles for an electron with spin “up” (red line) and “down” (blue line) states for AFM configuration of leads magnetic moments $\mathbf{M}_{1,2}$. Red and blue lines are slightly shifted with respect to each other for better presentation. Zero energy corresponds to the top of the energy barrier for electrons in the insulator. Symbols FM and I stand for FM metal and insulator, respectively. All other notations are defined in the text.

the system can be written as follows:

$$\hat{H} = \hat{H}_0 + \hat{H}_C, \quad (1)$$

where the single particle Hamiltonian $\hat{H}_0 = \sum_i [\hat{W}_k(\mathbf{r}_i) + \hat{U}_1(\mathbf{r}_i) + \hat{U}_2(\mathbf{r}_i) + \hat{H}_{1m}(\mathbf{r}_i) + \hat{H}_{2m}(\mathbf{r}_i)]$ has the kinetic energy \hat{W}_k , the potential profiles of grains $\hat{U}_{1,2}$, and the exchange interaction between conduction electrons and ions $\hat{H}_{1,2m}$ [30] in each grain. \hat{H}_C is the Coulomb interaction between electrons.

We assume that the single particle potential energy is $\hat{U}_i = -U\Pi_i$, where $\Pi_i = 1$ inside grain (i) and $\Pi_i = 0$ outside grain (i). We consider only FM and AFM collinear configurations of the grains’ magnetizations $\mathbf{M}_{1,2}$. According to the Vonsovskii s-d model the ions influence the delocalized electrons through creation of spin-dependent single particle potential of magnitude $\hat{H}_{1,2m}^{\text{SP}}(\mathbf{r}_i) = -J_{\text{sd}}\hat{\sigma}_z M_{1,2}\Pi_{1,2}$; where $M_{1,2}$ takes only two possible values ± 1 .

Note that we choose the zero energy level at the top of the insulating barrier (see Fig. 1). This leads to the negative Fermi level $E_F < 0$.

We introduce a single particle Hamiltonian for each separate grain $\hat{H}_{1,2}^s = \hat{W}_k + \hat{U}_{1,2} + \hat{H}_{1,2m}$, with the eigenfunctions ψ_i^s in grain (1) and ϕ_j^s in grain (2). The subscript i stands for orbital state and the superscript s denotes the spin state in a local spin coordinate system related to magnetization of the corresponding grain. Due to grain symmetry the wave functions are symmetric $\psi_i^s(x, y, z) = \phi_i^s(x, y, -z)$. The energies of these states are $\epsilon_{1i}^s = \epsilon_{2i}^s = \epsilon_i^s$.

The creation and annihilation operators in grain (1) are \hat{a}_i^{s+} and \hat{a}_i^s , and in grain (2) are \hat{b}_i^{s+} and \hat{b}_i^s . The total number of electrons is given by the operators \hat{n} and \hat{m} in grains (1) and (2), respectively. The whole system is neutral. The total charge of ions in each grain is $-en_0$.

We introduce the zero-order many-particle wave functions Ψ_0^{AFM} and Ψ_0^{FM} for AFM and FM configurations of leads magnetic moments $\mathbf{M}_{1,2}$. These wave functions describe the noninteracting FM grains ($d \rightarrow \infty$). All states ψ_i^s and ϕ_j^s with energies $\epsilon_i^s < E_F$ are filled and all states above E_F are empty (we consider the limit of zero temperature). The wave functions of coupled grains, when d is finite, are denoted as Ψ^{FM} and Ψ^{AFM} for FM and AFM configurations, respectively.

We split the Coulomb interaction operator into two parts, $\hat{H}_C = \hat{H}_{\text{dC}} + \hat{H}_{\text{IC}}$. Here \hat{H}_{dC} describes direct Coulomb interaction of electrons in the grains. It has the form [2,3]

$$\hat{H}_{\text{dC}} = E_c(\hat{n} - n_0)^2 + E_c(\hat{m} - n_0)^2 + \frac{e^2}{C_m}(\hat{n} - n_0)(\hat{m} - n_0), \quad (2)$$

where $E_c = e^2/(8\pi\epsilon_0\epsilon_{\text{eff}}a)$ is the grain charging energy in SI units with ϵ_{eff} being the effective dielectric constant of the surrounding media. In general ϵ_{eff} can differ from the dielectric constant ϵ of the insulating matrix. In granular magnets the effective dielectric constant depends on properties of insulating matrix and grains [2]. In inhomogeneous systems, such as layers of grains located on top of the insulating substrate, the charging energy E_c is a complicated function depending on the grain density, dielectric properties of the substrate, and geometrical factors [32,33]. In Eq. (2), C_m is the mutual grains capacitance.

The second part of the Coulomb interaction describes the indirect spin-dependent Coulomb interaction—the exchange interaction [29]

$$\hat{H}_{\text{IC}} = - \sum_{i,j,s} U_{ij}^s \hat{a}_i^{s+} \hat{a}_i^s \hat{b}_j^{s'+} \hat{b}_j^{s' -}, \quad (3)$$

with

$$U_{ij}^s = \iint d^3\mathbf{r}_1 d^3\mathbf{r}_2 \psi_i^{s*}(\mathbf{r}_1) \phi_j^{s'}(\mathbf{r}_1) \hat{U}_C \psi_i^s(\mathbf{r}_2) \phi_j^{s'*}(\mathbf{r}_2). \quad (4)$$

Here $s' = s$ for FM and $s' = -s$ for AFM configuration of grain magnetic moments; and \hat{U}_C is the operator of the Coulomb interaction between two electrons. In Eq. (3) we keep only diagonal elements of the indirect Coulomb interaction with repeating indexes. We do this assuming that electron wave functions have random phases due to scattering on impurities. In this case only matrix elements with repeating

indices survive. Also we omit the indirect Coulomb interaction between conduction electrons in the same grain. On one hand, this contribution does not produce any interaction between grains and, on the other hand, it leads to spin subband splitting which is much smaller than the s-d interaction (and may be incorporated into constant J_{sd}).

Recently the exchange interaction between magnetic grains was considered using the Hamiltonian $\hat{H}_0 + \hat{H}_{dC}$ [27]. However, later it was shown [31] that the indirect Coulomb interaction may also lead to magnetic coupling between the FM contacts. In particular, this was demonstrated for MTJ with infinite leads. The indirect Coulomb-based interlayer exchange interaction was found to be comparable with hopping-based exchange interaction. In the present paper we calculate the intergrain exchange interaction based on the indirect Coulomb interaction of electrons \hat{H}_{iC} . We denote the hopping-based exchange interaction as H_h^{ex} . It is given by the following expression:

$$H_h^{\text{ex}} = \langle \Psi^{\text{AFM}} | \hat{H}_0 + \hat{H}_{dC} | \Psi^{\text{AFM}} \rangle - \langle \Psi^{\text{FM}} | \hat{H}_0 + \hat{H}_{dC} | \Psi^{\text{FM}} \rangle. \quad (5)$$

The contribution to the exchange coupling from the indirect Coulomb interaction is given by

$$H_{iC}^{\text{ex}} = \langle \Psi_0^{\text{AFM}} | \hat{H}_{iC} | \Psi_0^{\text{AFM}} \rangle - \langle \Psi_0^{\text{FM}} | \hat{H}_{iC} | \Psi_0^{\text{FM}} \rangle. \quad (6)$$

For Coulomb-based exchange interaction it is enough to average the operator over the ground state. The total exchange interaction is defined as follows:

$$H^{\text{ex}} = H_h^{\text{ex}} + H_{iC}^{\text{ex}}. \quad (7)$$

III. SINGLE GRAIN WAVE FUNCTIONS

Consider single spherical metallic grain with radius a . We will follow the approach and notations of Ref. [27]. In the absence of spin-orbit interaction the spin and the spatial parts of wave functions are separated. The spin parts are $(10)^T$ and $(01)^T$ for the spin up and spin down states, respectively. We introduce the following coordinates: z is along the line connecting grain centers; $z = 0$ is the symmetry point between the grains; x and y are perpendicular to z , $r_{\perp} = \sqrt{x^2 + y^2}$. Grains surfaces are close to each other around point $(x, y, z) = 0$. In general, the wave functions are the spherical waves with quantum numbers (m, n, l) . For $d \ll a$ and $S_c = \pi a / \kappa_0 \ll \pi a^2$ ($\kappa_0 = \sqrt{-2m_e E_F / \hbar^2}$ is the inverse characteristic length scale of electron wave function decay inside the insulator) we approximate the electron wave functions in the vicinity of grain surfaces with plane waves. We change quantum numbers (m, n, l) with (k_x, k_y, k_z) . In the vicinity of the grains' contact area we use the following expressions for wave functions:

$$\begin{aligned} \psi_{\mathbf{k}}^s(z, r_{\perp}) &\approx \frac{\tau_{\mathbf{k}}^s}{\sqrt{\Omega}} \exp \left[-\kappa_{\mathbf{k}}^s \left(\frac{d}{2} + z + \frac{r_{\perp}^2}{2a} \right) \right] e^{i\mathbf{k}_{\perp} r_{\perp}}, \\ \phi_{\mathbf{k}}^s(z, r_{\perp}) &\approx \frac{\tau_{\mathbf{k}}^s}{\sqrt{\Omega}} \exp \left[-\kappa_{\mathbf{k}}^s \left(\frac{d}{2} - z + \frac{r_{\perp}^2}{2a} \right) \right] e^{i\mathbf{k}_{\perp} r_{\perp}}. \end{aligned} \quad (8)$$

This expression is valid in the insulator region outside the grains. Here $\tau_{\mathbf{k}}^s = \frac{2k_z}{k_z + i\kappa_{\mathbf{k}}^s}$ is the amplitude of the transmitted electron wave, $\mathbf{k}_{\perp} = (k_x, k_y, 0)$, $\mathbf{r}_{\perp} = (x, y, 0)$, $\Omega = 4\pi a^3/3$,

and $\kappa_{\mathbf{k}}^s = \sqrt{2m_e[U - sJ_{sd} - \hbar^2 k_z^2 / (2m_e)] / \hbar^2}$ is the inverse decay length written in new notations. We neglect the surface interference effect and the penetration of electron wave function beyond the grain in determining the normalization factor.

Below we will use the symbols i and j (instead of \mathbf{k}) to describe a set of quantum numbers characterizing the orbital motion of electrons. The overlap of wave functions of electrons i and j located in different grains exists only between the grains in a small region in the vicinity of $r_{\perp} = 0$. The in-plane area $[(x, y)$ plane] of the overlap region is $S_c^{ij} = \pi(\lambda_{\perp}^{ij})^2$, where $\lambda_{\perp}^{ij} = \sqrt{2a/(\kappa_i + \kappa_j)}$. The introduced above area $S_c = \pi\lambda_{\perp}^2$ is the contact area for electrons at the Fermi level (size $\lambda_{\perp} = \sqrt{a/\kappa_0}$).

For electron wave functions inside the grains we obtain

$$\begin{aligned} \psi_{\mathbf{k}}^s(z, r_{\perp}) &\approx \frac{e^{ik_z(\frac{d}{2} + z + \frac{r_{\perp}^2}{2a})} + \xi_{\mathbf{k}}^s e^{-ik_z(\frac{d}{2} + z + \frac{r_{\perp}^2}{2a})}}{\sqrt{\Omega}} e^{i\mathbf{k}_{\perp} r_{\perp}}, \\ \phi_{\mathbf{k}}^s(z, r_{\perp}) &\approx \frac{e^{ik_z(\frac{d}{2} - z + \frac{r_{\perp}^2}{2a})} + \xi_{\mathbf{k}}^s e^{-ik_z(\frac{d}{2} - z + \frac{r_{\perp}^2}{2a})}}{\sqrt{\Omega}} e^{i\mathbf{k}_{\perp} r_{\perp}}, \end{aligned} \quad (9)$$

with $\xi_{\mathbf{k}}^s = \frac{k_z - i\kappa_{\mathbf{k}}^s}{k_z + i\kappa_{\mathbf{k}}^s}$. Below we will use Eqs. (8) and (9) to calculate exchange interaction between the grains.

IV. HOPPING-BASED EXCHANGE INTERACTION

This mechanism was considered for grains in Ref. [27]. We split the expression for the hopping-based exchange interaction into two parts:

$$H_h^{\text{ex}} = H_{h0}^{\text{ex}} - H_{he}^{\text{ex}}, \quad (10)$$

where

$$\begin{aligned} H_{h0}^{\text{ex}} &= \frac{\pi a}{(2\pi)^2 \kappa_0} \sum_s \int_0^{k_F^s} dk [(k_F^s)^2 - k^2] V_k^s \\ &\quad - \frac{a}{8\pi^2 \kappa_0} \sum_s \left[\int_0^{k_F^s} dk_1 \int_0^{k_F^s} dk_2 \delta^s(k_1, k_2) T_{12}^{-ss} (P_{12}^{-ss})^* \right. \\ &\quad \left. - \int_0^{k_F^s} dk_1 \int_0^{k_F^s} dk_2 \delta^s(k_1, k_2) T_{12}^{ss} (P_{12}^{ss})^* \right] \end{aligned} \quad (11)$$

and

$$\begin{aligned} H_{he}^{\text{ex}} &= -\frac{a}{8\pi^2 \kappa_0} \left\{ \int_{\sqrt{2\bar{J}_{sd}}}^{k_{\text{max}}^-} dk_1 \int_0^{k_{\text{up}}^-} dk_2 \frac{\tilde{\xi}^-(k_1, k_2) |T_{12}^{--}|^2}{\frac{\hbar^2(k_1^2 - k_2^2 - 2\bar{J}_{sd})}{2m_e} + \tilde{\epsilon}_c} \right. \\ &\quad \left. + \int_0^{k_{\text{max}}^+} dk_1 \int_0^{k_{\text{up}}^+} dk_2 \frac{\tilde{\xi}^+(k_1, k_2) |T_{12}^{++}|^2}{\frac{\hbar^2(k_1^2 - k_2^2 + 2\bar{J}_{sd})}{2m_e} + \tilde{\epsilon}_c} \right. \\ &\quad \left. - \sum_s \int_0^{k_{\text{max}}^s} \int_0^{\min(k_1, k_F^s)} dk_1 dk_2 \frac{\xi^s(k_1, k_2) |T_{12}^{s-s}|^2}{\frac{\hbar^2(k_1^2 - k_2^2)}{2m_e} + \tilde{\epsilon}_c} \right\}. \end{aligned} \quad (12)$$

For simplicity we change all different squares S_c^{ij} in the integrals with characteristic contact area $S_0 = \pi a / \kappa_0$. This

change does not influence the resulting exchange interaction a lot. We introduce the following functions:

$$\tilde{\delta}^s(k_1, k_2) = \begin{cases} (k_F^{-s})^2 - k_1^2, & 2s\tilde{J}_{sd} + k_2^2 < k_1^2, \\ (k_F^s)^2 - k_2^2, & 2s\tilde{J}_{sd} + k_2^2 > k_1^2, \end{cases} \quad (13)$$

$$\delta^s(k_1, k_2) = \begin{cases} (k_F^s)^2 - k_1^2, & k_2 < k_1, \\ (k_F^s)^2 - k_2^2, & k_1 > k_2, \end{cases} \quad (14)$$

$$\tilde{\xi}^s(k_1, k_2) = \begin{cases} (2s\tilde{J}_{sd} + k_1^2 - k_2^2), & k_1 < k_F^s, \\ ((k_F^{-s})^2 - k_2^2), & k_1 > k_F^s, \end{cases} \quad (15)$$

$$\xi^s(k_1, k_2) = \begin{cases} (k_1^2 - k_2^2), & k_1 < k_F^s, \\ ((k_F^s)^2 - k_2^2), & k_1 > k_F^s, \end{cases} \quad (16)$$

and notations

$$k_{\text{up}}^s = \min(\sqrt{k_1^2 + 2s\tilde{J}_{sd}}, k_F^{-s}). \quad (17)$$

$$k_{\text{max}}^s = \sqrt{2m_e(U - sJ)/\hbar^2}, \quad (18)$$

$$k_F^s = \sqrt{2m_e(E_F + U - sJ)/\hbar^2}, \quad (19)$$

$$\tilde{J}_{sd} = 2m_e J_{sd} / \hbar^2. \quad (20)$$

We introduce the charging energy $\tilde{\epsilon}_c = 2E_c - e^2/C_m$, which can be estimated as $\tilde{\epsilon}_c = e^2/(8\pi a\epsilon\epsilon_0)$ for $d \approx 1$ nm and $a \in [1; 10]$ nm.

The matrix elements T_{12}^s , P_{12}^s , and V_k^s in Eqs. (11) and (12) are given by the following expressions:

$$\begin{aligned} V_k^s &= -s J_{sd} \frac{(|\tau_i^s|)^2}{(\chi_i^s)} e^{-2\chi_i^s d}, \\ T_{ij}^{ss'} &= -(s J_{sd} + U) \frac{\tau_i^{s*} \tau_j^{s'} (\chi_i^s + \chi_j^{s'})}{[(k_i)^2 + (\chi_j^{s'})^2]} e^{-\chi_j^{s'} d}, \\ P_{ij}^{ss'} &= \frac{\tau_i^{s*} \tau_j^{s'} (\chi_i^s + \chi_j^{s'})}{[k_j^2 + (\chi_i^s)^2]} e^{-\chi_i^s d} + \frac{\tau_i^{s*} \tau_j^{s'} (\chi_i^s + \chi_j^{s'})}{[k_i^2 + (\chi_j^{s'})^2]} e^{-\chi_j^{s'} d} \\ &\quad + \frac{2\tau_i^{s*} \tau_j^{s'} e^{-(\chi_i^s + \chi_j^{s'}) \frac{d}{2}} \sinh[(\chi_i^s - \chi_j^{s'}) \frac{d}{2}]}{(\chi_i^s - \chi_j^{s'})}. \end{aligned} \quad (21)$$

For semimetal with only one spin subband occupied ($E_F < J_{sd} - U$) we sum in Eqs. (11) and (12) only over the occupied spin subband ($s = \text{“-”}$).

V. COULOMB-BASED EXCHANGE INTERACTION

The integral in Eq. (4) includes the operator of the Coulomb interaction \hat{U}_C . For a homogeneous insulator it has the form $\hat{U}_C = e^2/(4\pi\epsilon_0\epsilon|\mathbf{r}_1 - \mathbf{r}_2|)$, where ϵ is the medium effective dielectric constant. In our case the system is inhomogeneous and the Coulomb interaction is renormalized by screening effects due to metallic grains.

There are two regions contributing to Eq. (4): (1) The region inside the FM grains Ω_1 (Ω_2) where the Coulomb interaction

is effectively screened and is short range [3,34]

$$\begin{aligned} \hat{U}_C^L &= \frac{\Omega\Delta}{2} \delta(\mathbf{r}_1 - \mathbf{r}_2) + 2E_c \\ &\quad + \frac{2E_c\lambda_{\text{TF}}^2}{a} \delta(|\mathbf{r}_1| - a) + \frac{2E_c\lambda_{\text{TF}}^2}{a} \delta(|\mathbf{r}_2| - a), \end{aligned} \quad (22)$$

where Δ is the mean energy level spacing, $\Omega\Delta = 6\pi^2 E_F / [(k_F^+)^3 + (k_F^-)^3]$. In metals the Coulomb interaction is screened on the length scale of the order of Thomas-Fermi length $\lambda_{\text{TF}} \approx [\sqrt{e^2 k_F^3 / (4\pi\epsilon_0) E_F}]^{-1} \approx 0.05$ nm. The characteristic length scale of the electron density variation is $\chi_0^{-1} \approx 0.5$ nm. Thus we can use the local approximation for decaying electron wave functions since $\lambda_{\text{TF}} \ll \chi_0^{-1}$.

The Coulomb-based exchange coupling between infinite magnetic leads was considered in Ref. [31], where it was shown that the Coulomb interaction inside the FM leads also contributes to the total interlayer exchange coupling. However, for infinite leads the last three terms in Eq. (22) disappear. In the present paper we take into account these terms appearing due to finite grain sizes.

(2) The second region contributing to Eq. (4) is the region between the grains where screening of the Coulomb interaction is weak and the interaction is long range. However, due to metallic grains, the electric field of two interacting electrons is finite only inside this region. We denote the renormalized Coulomb interaction inside the insulating layer as \hat{U}_C^I .

In our model electrons inside the insulator and electrons inside the grains do not interact with each other.

The right-hand side of Eq. (4) can be considered as the Coulomb interaction between two effective charges $\rho_{ij}^{(1)} = e\psi_i^{s*}(\mathbf{r})\phi_j^{s'}(\mathbf{r})$ and $\rho_{ij}^{(2)} = e\psi_i^s(\mathbf{r})\phi_j^{s'*}(\mathbf{r})$. Here $s' = s$ for FM and $s' = -s$ for AFM ordering. One can see that $\rho_{ij}^{(1)} = \rho_{ij}^{(2)*} = \rho_{ij}$.

We can write the matrix elements of the indirect Coulomb interaction as a sum of two terms:

$$\begin{aligned} U_{ij}^s &= L_{ij}^s + I_{ij}^s, \\ L_{ij}^s &= \iint_{\Omega_1 + \Omega_2} d^3\mathbf{r}_1 d^3\mathbf{r}_2 \rho_{ij}(\mathbf{r}_1) \hat{U}_C^L \rho_{ij}^*(\mathbf{r}_2), \\ I_{ij}^s &= \iint_{\Omega_1} d^3\mathbf{r}_1 d^3\mathbf{r}_2 \rho_{ij}(\mathbf{r}_1) \hat{U}_C^I \rho_{ij}^*(\mathbf{r}_2), \end{aligned} \quad (23)$$

where $\Omega_{1,2} = \Omega$ is the grain volume and Ω_1 is the volume of the insulating layer. The index s stands for the spin index of the electron wave function in grain (1). The spin state of the electron in grain (2) is the same (s) for FM and $-s$ for AFM configuration. We can split the total Coulomb-based exchange interaction into two contributions:

$$H_C^{\text{ex}} = L^{\text{ex}} + I^{\text{ex}}. \quad (24)$$

Below we consider these two contributions to the Coulomb-based exchange interaction separately.

A. Contribution to the exchange interaction due to the insulating region I^{ex}

To calculate the contribution to the exchange interaction due to the insulating region we will follow the approach of

Ref. [31] where exchange coupling was calculated for MTJ. In this approach the electric field $\mathbf{E}_{1,2}^{ij}$ created by effective charges $\rho_{ij}^{(1,2)}$ inside the insulating region was calculated by taking into account the screening produced by the FM leads. The leads were treated as ideal metal with zero screening length. The energy of this field (the part corresponding to the mutual interaction) $I_{ij} = (\varepsilon_0 \varepsilon) (\int_{\tilde{\Omega}_1} d^3 r \mathbf{E}_1^{ij} \mathbf{E}_2^{ij})$ gives the estimate of the matrix element of indirect Coulomb interaction. In MTJ the charges $\rho_{ij}^{(1,2)}$ are periodic functions in the (x, y) plane and decay exponentially along z direction. In the case of magnetic grains the geometry of the system is more complicated. We will use the following approximation: the region of interaction of electrons in states i and j is restricted by the area S_c^{ij} . The linear size of this area is much larger than the Fermi length for large enough grains ($\sqrt{\pi a/\chi} > 1/k_F$). In this case we can model the interaction region as two leads with parallel surfaces neglecting grains curvature. In the region of interaction we calculate the electric field created by charges $\rho_{ij}^{(1,2)}$ as if we have the infinite parallel leads. The matrix element of the interaction is given by $I_{ij} = (\varepsilon_0 \varepsilon / 2) (\int_{\tilde{\Omega}_1} d^3 r \mathbf{E}_1^{ij} \mathbf{E}_2^{ij})$, where $\tilde{\Omega}_1$ is the volume restricted by the inequalities $|z| < d/2$, $r_{\perp} < a\chi_{ij}$. In practice we multiply the area-normalized matrix elements in Ref. [31] by the contact area S_c^{ij} . Following Ref. [31] we derive the following expression for the Coulomb-based exchange interaction:

$$I^{\text{ex}} = \tilde{I}_{\text{ex}} - \tilde{I}_{\text{ex}}^+ - \tilde{I}_{\text{ex}}^-, \quad (25)$$

where

$$\begin{aligned} \tilde{I}_{\text{ex}} = & -\frac{e^2 a}{16\pi^4 \varepsilon_0 \varepsilon} \int_0^{k_F^+} \int_0^{k_F^-} dk_1 dk_2 \frac{|(\tau_1^+)^* \tau_2^-|^2}{\chi_1^+ + \chi_2^-} e^{-d(\chi_1^+ + \chi_2^-)} \\ & \times \int_0^{k_2^{\text{max}} + k_1^{\text{max}}} q \omega_1(q) dq \int_0^{(k_2^{\text{max}} + k_1^{\text{max}})/2} k \zeta(k, q) dk, \end{aligned} \quad (26)$$

$$\begin{aligned} \tilde{I}_{\text{ex}}^s = & -\frac{e^2}{16\pi^4 \varepsilon_0 \varepsilon} \int_0^{k_F^s} \int_0^{k_1} dk_1 dk_2 \frac{|(\tau_1^s)^* \tau_2^s|^2}{\chi_1^s + \chi_2^s} e^{-d(\chi_1^s + \chi_2^s)} \\ & \times \int_0^{k_2^{\text{max}} + k_1^{\text{max}}} q \omega_1(q) dq \int_0^{(k_2^{\text{max}} + k_1^{\text{max}})/2} k \zeta(k, q) dk. \end{aligned} \quad (27)$$

The maximum value of perpendicular momenta are $k_1^{\text{max}} = \sqrt{(k_F^s)^2 - k_{1z}^2}$ and $k_2^{\text{max}} = \sqrt{(k_F^{s'})^2 - k_{2z}^2}$, where $s' = s$ in expression for k_1^{max} and k_2^{max} in Eq. (26), and $s = "+, "$ $s' = "-"$ in Eq. (27). We also introduce the following functions:

$$\zeta(k, q) = \begin{cases} 0, & (\phi_2 < \phi_3) \text{ or } (\phi_1 < \phi_3), \\ \phi_1 - \phi_3, & \text{otherwise,} \end{cases} \quad (28)$$

where

$$\phi_1(k, q) = \begin{cases} 0, & k > k_1^{\text{max}} + q/2, \\ \frac{\pi + \pi \operatorname{sgn}(k_1^{\text{max}} - q/2)}{2}, & k < |k_1^{\text{max}} - q/2|, \\ \arccos\left(\frac{k^2 + q^2/4 - (k_1^{\text{max}})^2}{qk}\right), & \text{otherwise.} \end{cases} \quad (29)$$

$$\phi_2(k, q) = \begin{cases} \pi, & k < k_2^{\text{max}} - q/2, \\ \arccos\left(\frac{k^2 + q^2/4 - (k_2^{\text{max}})^2}{qk}\right), & \text{otherwise.} \end{cases} \quad (30)$$

$$\phi_3(k, q) = \pi - \phi_2(k, q). \quad (31)$$

The reduced matrix element $\omega_1(q)$ is given by the expression

$$\omega_1(q) = \omega_{1x}(q) + \omega_{1z}(q), \quad (32)$$

where

$$\begin{aligned} \omega_{1z} = & \left\{ (\alpha_1^2 + \alpha_2^2) \frac{\sinh(dq)}{q} + \alpha_3^2 \frac{\sinh(d\Delta\chi)}{q} + 2\alpha_1\alpha_2d \right. \\ & + 4\alpha_1\alpha_3 \frac{\sinh[(\Delta\chi + q)d/2]}{\Delta\chi + q} \\ & \left. + 4\alpha_2\alpha_3 \frac{\sinh[(\Delta\chi - q)d/2]}{\Delta\chi - q} \right\}, \\ \omega_{1x} = & \left\{ (\tilde{\alpha}_1^2 + \tilde{\alpha}_2^2) \frac{\sinh(dq)}{q} + \tilde{\alpha}_3^2 \frac{\sinh(d\Delta\chi)}{q} + 2\tilde{\alpha}_1\tilde{\alpha}_2d \right. \\ & + 4\tilde{\alpha}_1\tilde{\alpha}_3 \frac{\sinh[(\Delta\chi + q)d/2]}{\Delta\chi + q} \\ & \left. + 4\tilde{\alpha}_2\tilde{\alpha}_3 \frac{\sinh[(\Delta\chi - q)d/2]}{\Delta\chi - q} \right\}, \end{aligned} \quad (33)$$

where $\Delta\chi = \chi_1^s - \chi_2^{s'}$ and functions α_i and $\tilde{\alpha}_i$ are defined as follows:

$$\begin{aligned} \alpha_1 = & e^{-\frac{qd}{2}} \sigma_2 - \frac{e^{(\Delta\chi - q)d/2}}{q - \Delta\chi}, & \tilde{\alpha}_1 = & -e^{-\frac{qd}{2}} \sigma_2 - \frac{e^{(\Delta\chi - q)d/2}}{q - \Delta\chi}, \\ \alpha_2 = & e^{-\frac{qd}{2}} \sigma_1 + \frac{e^{-(q + \Delta\chi)d/2}}{q + \Delta\chi}, & \tilde{\alpha}_2 = & e^{-\frac{qd}{2}} \sigma_1 - \frac{e^{-(q + \Delta\chi)d/2}}{q + \Delta\chi}, \\ \alpha_3 = & \frac{2\Delta\chi}{q^2 - \Delta\chi^2}, & \tilde{\alpha}_3 = & \frac{-2q}{q^2 - \Delta\chi^2}. \end{aligned} \quad (34)$$

The functions $\sigma_{1,2}$ are defined as

$$\sigma_{1(2)} = \frac{\sigma_{1(2)}^0 e^{qd} + \sigma_{2(1)}^0}{e^{qd} - e^{-qd}}, \quad (35)$$

with

$$\begin{aligned} \sigma_1^0 = & \frac{e^{-qd/2}}{q - \Delta\chi} (e^{(q - \Delta\chi)d/2} - e^{-(q - \Delta\chi)d/2}), \\ \sigma_2^0 = & \frac{e^{-qd/2}}{q + \Delta\chi} (e^{-(q + \Delta\chi)d/2} - e^{(q + \Delta\chi)d/2}). \end{aligned} \quad (36)$$

B. Contribution to the exchange interaction due to grains L^{ex}

In this region the operator of Coulomb interaction is defined in Eq. (22). The operator consists of four terms. The last three terms contribute only in the case of nanoscale grains. These terms vanish for infinite metallic leads.

First, we consider the last two terms describing single particle potential uniformly distributed over the grain surface. This potential is zero inside the grain. Consider the interaction between an electron in some state ψ_i^s located in the left grain

and an electron in state ϕ_j^s located in the right grain. Consider the interior of the right grain. The charge ρ_{ij} is nonzero only in the small area S_c^{ij} in the (x, y) plane and penetrates into the grain by the distance χ^{-1} . Therefore the potential $\frac{2E_c \lambda_{\text{TF}}^2}{a} \delta(|\mathbf{r}_2| - a)$ interacts with the charge ρ_{ij} only in the small area of the surface $S_c^{ij} \ll 4\pi a^2$. Therefore this potential gives

$$2E_c \iint_{\Omega_1 + \Omega_2} d^3 r_1 d^3 r_2 \rho_{ij}(\mathbf{r}_1) \rho_{ij}^*(\mathbf{r}_2) = 2E_c |\tau_i^s|^2 |\tau_j^{s'}|^2 \frac{(\chi_i^s + \chi_j^{s'})^2}{\Omega^2} \left| \frac{e^{-\chi_j^{s'} d} S_i^{s'} \text{Sinc}(q_x \lambda_{\perp}^j) \text{Sinc}(q_y \lambda_{\perp}^j)}{(k_i^s)^2 + (\chi_j^{s'})^2} \frac{e^{-\chi_i^s d} S_i^s \text{Sinc}(q_x \lambda_{\perp}^i) \text{Sinc}(q_y \lambda_{\perp}^i)}{(k_j^{s'})^2 + (\chi_i^s)^2} \right|^2. \quad (37)$$

Here $S_i^s = \pi a / \chi_i^s$ is the surface area and $\lambda_{\perp}^i = \sqrt{S_i^s}$ is the linear size, and $\mathbf{q} = \mathbf{k}_{1\perp} - \mathbf{k}_{2\perp}$ is the momentum. The contribution to the intergrain exchange coupling due to this matrix element is

$$L_{E_c}^{\text{ex}} = \frac{-e^2}{64\pi^3 \varepsilon \varepsilon_0} \sum_s \int_0^{k_F^s} \int_0^{k_F^{s'}} dk_1 dk_2 |\tau_1^s|^2 |\tau_2^{s'}|^2 \delta(k_1, k_2) (\chi_1^s + \chi_2^{s'})^2 \left\{ \frac{e^{-2\chi_1^s d}}{[k_2^2 + (\chi_1^s)^2]^2 \chi_1^s} + \frac{e^{-2\chi_2^{s'} d}}{[k_1^2 + (\chi_2^{s'})^2]^2 \chi_2^{s'}} + \frac{e^{-(\chi_1^s + \chi_2^{s'}) d}}{[k_2^2 + (\chi_1^s)^2][k_1^2 + (\chi_2^{s'})^2] \max(\chi_1^s, \chi_2^{s'})} \right\} - \frac{e^2}{32\pi^3 \varepsilon \varepsilon_0} \int_0^{k_F^+} \int_0^{k_F^-} dk_1 dk_2 |\tau_1^+|^2 |\tau_2^-|^2 \delta(k_1, k_2) (\chi_1^+ + \chi_2^-)^2 \times \left\{ \frac{e^{-2\chi_1^+ d}}{[k_2^2 + (\chi_1^+)^2]^2 \chi_1^+} + \frac{e^{-2\chi_2^- d}}{[k_1^2 + (\chi_2^-)^2]^2 \chi_2^-} + \frac{e^{-(\chi_1^+ + \chi_2^-) d}}{[k_2^2 + (\chi_1^+)^2][k_1^2 + (\chi_2^-)^2] \max(\chi_1^+, \chi_2^-)} \right\}. \quad (38)$$

The first term in Eq. (22) gives the following contribution to the intergrain exchange interaction:

$$L_{\text{loc}}^{\text{ex}} = \frac{-3a(U + E_F)}{2^6 \pi [(k_F^+)^3 + (k_F^-)^3]} \sum_{s, s'} \gamma(s, s') \int_0^{k_F^s} \int_0^{k_F^{s'}} dk_1 dk_2 [(k_F^{s'})^2 - k_2^2][(k_F^s)^2 - k_1^2] \times \left\{ \frac{e^{-2d\chi_1^s} |\tau_1^s|^2}{\chi_1^s} \left[\frac{1 + |r_2^{s'}|^2}{2\chi_1^s} + \text{Re} \left(\frac{(r_2^{s'})^*}{\chi_1^s + ik_2} \right) \right] + \frac{|\tau_2^{s'}|^2 e^{-2d\chi_2^{s'}}}{\chi_2^{s'}} \left[\frac{1 + |r_1^s|^2}{2\chi_2^{s'}} + \text{Re} \left(\frac{(r_1^s)^*}{\chi_2^{s'} + ik_1} \right) \right] \right\}, \quad (39)$$

we introduce the function

$$\gamma(s, s') = \begin{cases} 1, & s = s', \\ -1, & s \neq s'. \end{cases} \quad (40)$$

C. Total exchange interaction

The total intergrain exchange interaction is given by the following expression:

$$H^{\text{ex}} = H_{\text{h0}}^{\text{ex}} + L_{\text{loc}}^{\text{ex}} + H_{\text{hf}}^{\text{ex}} + I^{\text{ex}} + L_{E_c}^{\text{ex}}, \quad (41)$$

where term $H_{\text{h0}}^{\text{ex}}$ is given by Eq. (11), $L_{\text{loc}}^{\text{ex}}$ by Eq. (39), $H_{\text{hf}}^{\text{ex}}$ by Eq. (46), I^{ex} by Eqs. (25)–(27), and $L_{E_c}^{\text{ex}}$ by Eq. (38).

VI. DISCUSSION OF RESULTS

There are several contributions to the intergrain exchange interaction in Eq. (41). These contributions have different physical nature and different dependencies on system parameters. In this section we will discuss these contributions and compare the intergrain exchange coupling with the interlayer exchange coupling in MTJ.

a small contribution to the intergrain exchange interaction in comparison to the contribution coming from the first term of Eq. (22), $\frac{\Omega \Delta}{2} \delta(\mathbf{r}_1 - \mathbf{r}_2)$. The direct calculations show that the small parameter is $(a\chi_0)^{-1} (ak_F)^{-1} (E_F/E_c) \ll 1$. For this reason we neglect the last two terms in Eq. (22).

The matrix element calculated using the second term in Eq. (22) is given by

A. Granular magnets

First, we discuss the influence of intergrain exchange interaction on properties of granular magnets with many grains forming an ensemble of interacting nanomagnets. The exchange interaction between the grains leads to the formation of long-range magnetic order appearing below a certain temperature [3,23–25], which is called the ordering temperature T_{ord} . For Ising model [24,35] the ordering temperature in granular magnets with FM coupling is related to the intergrain exchange interaction as $T_{\text{ord}} = z_n H^{\text{ex}}$, where $z_n = 6$ is the coordination number for three-dimensional cubic lattice. Below we will plot the exchange interaction multiplied by the coordination number $z_n = 6$ to show the temperature where coupling overcomes temperature fluctuations.

Note that we do not consider the intergrain magnetodipole (MD) interaction [17–22,36], which competes with the exchange interaction and leads to the formation of superspin glass state. The influence of MD interaction on the magnetic state of GFM was discussed in Refs. [17–20,36].

B. Comparison with layered systems

Both the hopping and the Coulomb-based exchange coupling were considered for layered structures such as MTJ in

the past. There are at least three essential differences between granular and layered systems.

The first difference is related to the morphology of granular system. Due to spherical grain shape the effective area of interaction is small and it linearly depends on the grain size a . Therefore the intergrain exchange interaction in granular systems grows linearly with a in contrast to the MTJ, where interaction grows as a^2 .

The second difference is the essential influence of the Coulomb blockade effect on the hopping-based exchange coupling. In MTJ the Coulomb blockade is absent while in GFM the Coulomb interaction suppresses the FM contribution to the hopping-based magnetic intergrain coupling.

The third difference appears due to finite grain sizes. The Coulomb-based exchange interaction has an additional contribution $L_{E_c}^{\text{ex}}$ appearing due to the second term in Eq. (22). This contribution does not depend on the grain size a . On one hand, the interaction area grows linearly with a and, on the other hand, this term is proportional to the charging energy $E_c \sim 1/a$.

Thus, the total exchange interaction between magnetic grains cannot be extracted from the known result of interlayer exchange coupling in MTJ by simple multiplication of the later by the grain or effective contact area.

C. Comparison of different contributions to the Coulomb-based exchange coupling in granular systems

The Coulomb-based intergrain exchange interaction has several contributions. The first contribution I^{ex} is due to the region between the grains. In this region the Coulomb interaction can be considered as a long-range interaction. The electric field of a point charge penetrates over the whole volume of the insulator between the grains. This field is reduced by the dielectric between the grains. Thus, the electron-electron interaction between the grains depends on the dielectric constant of the insulating matrix ϵ . The second contribution appears due to the Coulomb interaction between electrons inside the grains L^{ex} . It consists of two terms: (1) the short-range term in Eq. (22) $L_{\text{loc}}^{\text{ex}}$, and (2) the size effect term $L_{E_c}^{\text{ex}}$. Terms I^{ex} and $L_{\text{loc}}^{\text{ex}}$ linearly grow with grain size a . The contribution $L_{E_c}^{\text{ex}}$ does not depend on the grain size. Therefore the influence of this term increases with decreasing the grain size a . However, our calculations show that even for very small grains with $a \approx 1$ nm the contribution $L_{E_c}^{\text{ex}}$ is much smaller than two other contributions. Figure 2 shows the behavior of these contributions to the Coulomb-based exchange interaction as a function of barrier height $h_B = \sqrt{-2m_e E_F / \hbar^2}$ (which is the difference between the energies of the insulator barrier and the Fermi level). The curves are shown for very small grains, with grains' diameter $2a = 2$ nm. Even in this case the contribution $L_{E_c}^{\text{ex}}$ exceeds two other contributions only when $L_{\text{loc}}^{\text{ex}}$ or I^{ex} change its sign. However, in this region the intergrain coupling due to the Coulomb interaction is very small $\sim 10^{-2}$ K. Thus, with good accuracy we can neglect the contribution $L_{E_c}^{\text{ex}}$ in most cases.

Contributions $L_{\text{loc}}^{\text{ex}}$ and I^{ex} are comparable. Figure 2 shows how these two contributions change their sign by changing the barrier height h_B . For a large barrier the interaction is weak and positive (FM type), while for a small barrier the

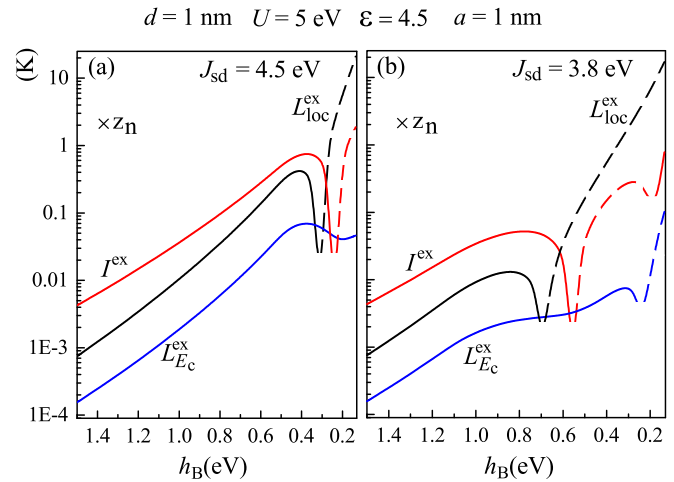


FIG. 2. The intergrain exchange interaction (multiplied by the coordination number) as a function of insulating barrier height h_B for $U = 5$ eV, $\epsilon = 4.5$, $d = 1$ nm, $a = 1$ nm, and (a) $J_{\text{sd}} = 4.5$ eV, (b) $J_{\text{sd}} = 3.8$ eV. Black lines show $|L_{\text{loc}}^{\text{ex}}|$ [Eq. (39)], blue lines are for $|L_{E_c}^{\text{ex}}|$ [Eq. (38)], and red lines are for $|I^{\text{ex}}|$ [Eq. (25)]. The y axis has logarithmic scale. Dashed parts show the region where functions $L_{\text{loc}}^{\text{ex}}$, $L_{E_c}^{\text{ex}}$, and I^{ex} are negative.

interaction is negative (AFM type). One can see that for a large barrier the contribution due to the intergrain region I^{ex} exceeds contribution from the grains $L_{\text{loc}}^{\text{ex}}$. For a small barrier the situation is the opposite, $L_{\text{loc}}^{\text{ex}} > I^{\text{ex}}$.

Note that the contribution due to intergrain region depends on the dielectric constant of the insulator $I^{\text{ex}} \sim \epsilon^{-1}$, while $L_{\text{loc}}^{\text{ex}}$ does not depend on ϵ . Thus, changing the matrix dielectric constant ϵ one can change the ratio of $L_{\text{loc}}^{\text{ex}}$ and I^{ex} . Figure 2 shows the case for $\epsilon = 4.5$, corresponding to the Si insulator.

Figure 3 shows the dependence of three contributions to the Coulomb-based exchange interaction $L_{\text{loc}}^{\text{ex}}$, $L_{E_c}^{\text{ex}}$, and I^{ex} on the spin subband splitting of electrons inside the grains J_{sd} for $a = 5$ nm grains. In this case the contribution $L_{E_c}^{\text{ex}}$ is

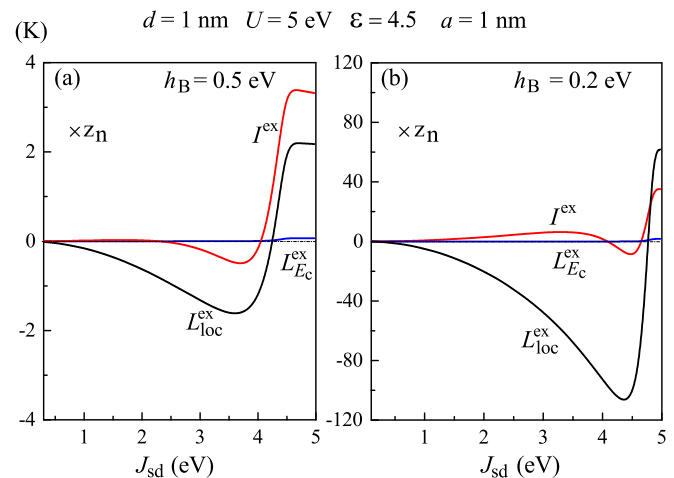


FIG. 3. The intergrain exchange interaction as a function of spin subband splitting J_{sd} for $U = 5$ eV, $\epsilon = 4.5$, $d = 1$ nm, $a = 5$ nm, and (a) $h_B = 0.5$ eV, (b) $h_B = 0.2$ eV. Black lines show $L_{\text{loc}}^{\text{ex}}$ [Eq. (39)], blue lines are for $L_{E_c}^{\text{ex}}$ [Eq. (38)], and red lines are for I^{ex} [Eq. (25)].

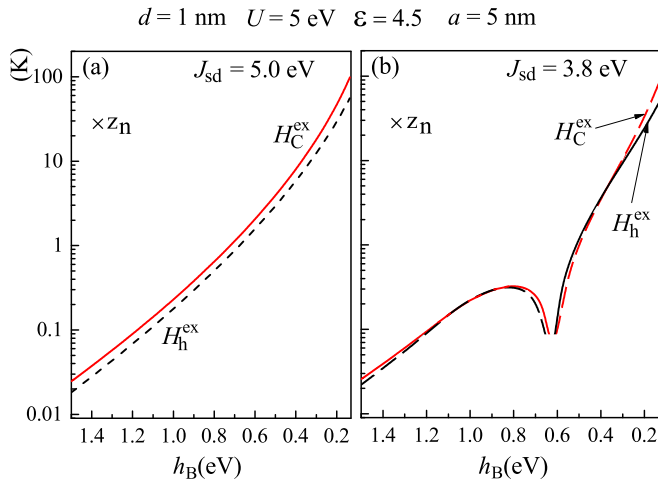


FIG. 4. The intergrain exchange interaction (multiplied by the coordination number) as a function of insulating barrier height h_B for $U = 5$ eV, $\varepsilon = 4.5$, $d = 1$ nm, $a = 5$ nm, and (a) $J_{sd} = 5.0$ eV, (b) $J_{sd} = 3.8$ eV. Black lines show the hopping-based coupling $|H_h^{\text{ex}}|$ [Eq. (10)] and red lines are for the Coulomb-based coupling $|H_C^{\text{ex}}|$ [Eq. (24)]. The y axis has logarithmic scale. Dashed parts show the region where functions H_h^{ex} and H_C^{ex} are negative.

negligible in the whole range of parameters. The contribution due to grains $L_{\text{loc}}^{\text{ex}}$ is negative (AFM) for small splitting and positive (FM) for large splitting (when only one spin subband is filled). The contribution coming from the insulating region I^{ex} changes its sign twice. For small J_{sd} the coupling is positive (FM), for intermediate J_{sd} the contribution is negative (AFM), and for large splitting $I^{\text{ex}} > 0$ (FM).

For large spin subband splitting (when only one subband is filled) and for large barrier h_B the contribution I^{ex} exceeds the contribution coming from the grains [Fig. 3(a)]. For a small barrier the situation is the opposite. For small splitting and for the case when both spin subbands are filled ($J_{sd} < E_F + U$) the contribution due to grains exceeds the contribution due to the insulating region ($|I^{\text{ex}}| < |L_{\text{loc}}^{\text{ex}}|$). In this region $L_{\text{loc}}^{\text{ex}}$ is of AFM type and thus the whole Coulomb-based coupling is of AFM type.

Note that for small barrier height the Coulomb-based coupling $|L_{\text{loc}}^{\text{ex}}|$ can be rather large reaching 100 K. Thus, the intergrain Coulomb-based exchange coupling can be observed in experiment.

D. Coulomb vs hopping-based exchange interactions

Figure 4 compares the hopping H_h^{ex} and the Coulomb H_C^{ex} based exchange interactions as a function of the barrier height h_B for the following parameters: $U = 5$ eV, $d = 1$ nm, $a = 5$ nm, $\varepsilon = 4.5$, and (a) $J_{sd} = 5.0$ eV, (b) $J_{sd} = 3.8$ eV. One can see that the Coulomb and the hopping-based exchange couplings are comparable. For large spin subband splitting, Fig. 4(a), the Coulomb-based coupling exceeds the hopping-based coupling. For weak splitting ($J_{sd} < E_F + U$) both contributions change their sign. This happens almost for the same barrier height. Contributions H_h^{ex} and H_C^{ex} have the opposite sign for almost all parameters. For large spin subband splitting H_h^{ex} is negative, $H_h^{\text{ex}} < 0$ (AFM) for any h_B , while the

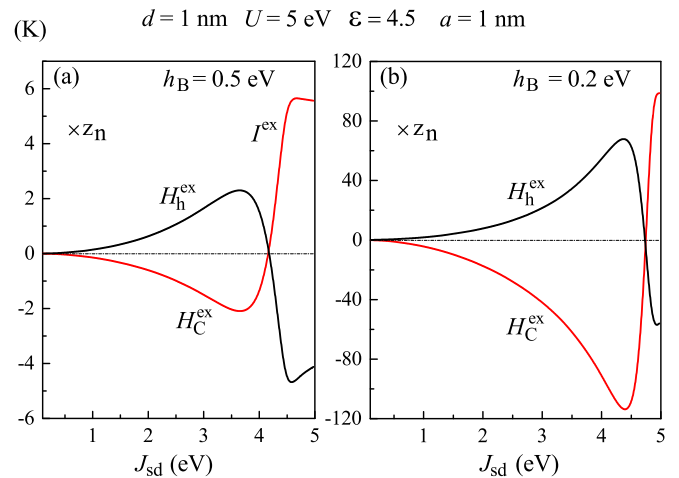


FIG. 5. The intergrain exchange interaction as a function of spin subband splitting J_{sd} for $U = 5$ eV, $\varepsilon = 4.5$, $d = 1$ nm, $a = 5$ nm, and (a) $h_B = 0.5$ eV, (b) $h_B = 0.2$ eV. Black lines show the hopping-based coupling H_h^{ex} [Eq. (10)] and red lines are for the Coulomb-based coupling H_C^{ex} [Eq. (24)].

Coulomb-based coupling is positive (FM). For small splitting ($J_{sd} < E_F + U$) the Coulomb-based interaction H_C^{ex} is positive for a large barrier, and negative for a small barrier, while H_h^{ex} shows the opposite behavior.

Figure 5 shows the hopping-based H_h^{ex} and the Coulomb-based H_C^{ex} contributions to the total intergrain exchange interaction as a function of internal spin subband splitting J_{sd} for the following parameters: $U = 5$ eV, $d = 1$ nm, $a = 5$ nm, $\varepsilon = 4.5$, and (a) $h_B = 0.5$ eV, (b) $h_B = 0.2$ eV. One can see that both contributions are comparable and have the opposite sign. For small splitting the hopping-based contribution is positive (FM), while the Coulomb-based contribution is negative, $H_C^{\text{ex}} < 0$. For large splitting the situation is the opposite.

E. A toy model

The main feature of the hopping-based and the Coulomb-based contributions is the sign change as a function of the barrier height h_B and the spin subband splitting J_{sd} . Moreover, one can see that the dependencies H_h^{ex} and H_C^{ex} on h_B and J_{sd} are quite similar but have the opposite sign. The reason for such a similarity is related to the fact that both H_h^{ex} and H_C^{ex} are defined by the density of states in the vicinity of the Fermi surface. Consider the first term in Eqs. (11) and (39). The first integral describes one of the hopping-based contributions. The second integral describes one of the Coulomb-based contributions. These two integrals are the most simple to analyze. Due to the presence of the exponential factor e^{-2xd} only electrons in the vicinity of the Fermi surface contribute to the integrals. We assume that the matrix elements do not depend on the electron energy (besides the exponential factor). In this case we can estimate

$$H_{h0}^{\text{ex}} \sim V_F^- N_- - V_F^+ N_+ - \dots \quad (42)$$

and

$$\begin{aligned}
 L_{\text{loc}}^{\text{ex}} &\sim \sum_{s,s'} \gamma(s,s') \int_0^{k_F^s} \int_0^{k_F^{s'}} dk_1 dk_2 [(k_F^{s'})^2 - k_2^2] \\
 &\quad \times [(k_F^s)^2 - k_1^2] (L_s e^{-2d\kappa_1^s} + L_{s'} e^{-2d\kappa_2^{s'}}) \\
 &= (N_-^0 - N_+^0)(L_+ N_+ - L_- N_-), \\
 N_s^0 &= \int_0^{k_F^s} dk [(k_F^s)^2 - k^2], \\
 N_s &= \int_0^{k_F^s} dk [(k_F^s)^2 - k^2] e^{-2d\kappa^s},
 \end{aligned} \tag{43}$$

where V_F^\pm and L_s are the parameters independent of integration variables. The key element of both the formulas is the integral of the form $\int [(k_F^s)^2 - k^2] e^{-2\kappa^s d} dk$. This integral defines the number of electrons participating in the exchange interaction. Equation (42) has only single integrals because this term is the first-order perturbation theory correction to the system energy and it is proportional to the number of electrons in the system. Equation (43) has double integrals since it describes the many-body interaction and it is proportional to the number of electrons squared. The different spin subbands give contributions to the exchange interaction of opposite sign.

For semimetals [only one spin subband is filled, $J_{\text{sd}} > (U + E_F)$] only the integrals over the majority spin subband survive. Therefore, the majority spin subband defines the sign of the exchange interaction. For small spin subband splitting $J_{\text{sd}} \ll E_F$ (and $\kappa_0 \ll k_F$) we have

$$\int [(k_F^s)^2 - k^2] e^{-2\kappa^s d} dk \sim \frac{\kappa_0^3}{dk_F^s} e^{-2\kappa_0 d}. \tag{44}$$

This result means that the spin subband with higher density of states at the Fermi surface (higher k_F) gives the smaller contribution to the exchange interaction, meaning that at small J_{sd} the minority spin subband defines the sign of the exchange interaction. This causes the sign change of the exchange coupling at a certain J_{sd} . To estimate the transition point we estimate the integral $\int [(k_F^s)^2 - k^2] e^{-2\kappa^s d} dk$ at small Fermi momentum $k_F^+ \ll \kappa_0$. The estimate in Eq. (44) does not work in this limit ($k_F \rightarrow 0$). We have $\int [(k_F^+)^2 - k^2] e^{-2\kappa^s d} dk \sim (k_F^+)^3 e^{-2\kappa_0 d}$ and $\int [(k_F^-)^2 - k^2] e^{-2\kappa^s d} dk \sim (\kappa_0^3)/(dk_F^-) e^{-2\kappa_0 d}$. The exchange interaction changes its sign when the integrals for both spin subbands are equal. This point is defined by the condition $\kappa_0^3 \approx dk_F^-(k_F^+)^3$. Usually $\kappa_0 \ll E_F$ and therefore the transition appears close to the point $k_F^+ = 0$, i.e., close to the case of semimetal [$J_{\text{sd}} \approx (U + E_F)$]. This is in agreement with our calculations. The condition also shows that the sign change appears with varying the barrier height h_B , which is also in agreement with our calculations. This toy model explains the behavior of the exchange interaction and the reason for similarity between the Coulomb and the hopping-based exchange contributions.

F. Total exchange interaction

In granular systems the Coulomb and the hopping-based exchange interactions compete with each other. These two contributions have the opposite sign for almost all parameters.

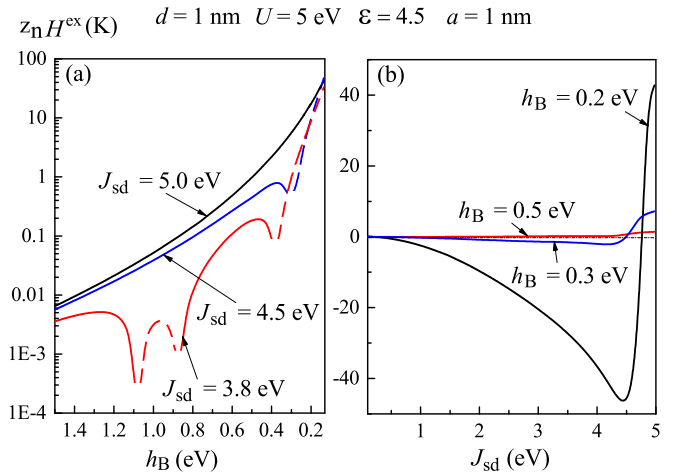


FIG. 6. Total intergrain exchange interaction H^{ex} [Eq. (7)] as a function of (a) the barrier height h_B , and (b) spin subband splitting J_{sd} , for $U = 5$ eV, $\varepsilon = 4.5$, $d = 1$ nm, $a = 5$ nm. In (a) the y axis has logarithmic scale. Dashed parts show the region where function H^{ex} is negative.

Figure 6 shows the total intergrain exchange interaction H^{ex} as a function of (a) the barrier height h_B , and (b) the spin subband splitting J_{sd} for $U = 5$ eV, $\varepsilon = 4.5$, $d = 1$ nm, $a = 5$ nm. The sign and the magnitude of the total exchange interaction depends on the value of spin subband splitting J_{sd} and the barrier height h_B . For small splitting J_{sd} the coupling is AFM while for large splitting it is FM. Depending on J_{sd} the coupling changes its sign one or three times. Due to the competition between the Coulomb and the hopping mechanisms the magnitude of the total exchange interaction is smaller than the magnitude of the Coulomb-based contribution.

Note that both the Coulomb and the hopping-based contributions depend on the dielectric permittivity of the insulating matrix. The Coulomb contribution can be written as

$$H_C^{\text{ex}} = L_{\text{loc}}^{\text{ex}} + \frac{I_1^{\text{ex}}}{\varepsilon}, \tag{45}$$

where I_1^{ex} is the Coulomb-based exchange coupling inside the insulator with $\varepsilon = 1$. Note that I_1^{ex} can be either positive or negative depending on the system parameters. The dependence of the hopping contribution H_h^{ex} on the dielectric constant is more complicated (see Ref. [27]). Approximately it can be written as

$$H_h^{\text{ex}} = H_{h0}^{\text{ex}} + H_{h1}^{\text{ex}} \left[1 - \sqrt{\frac{d\sqrt{2m\tilde{\varepsilon}_c}}{\gamma\hbar\sqrt{h_B}}} \arctan\left(\sqrt{\frac{\gamma\hbar\sqrt{h_B}}{d\sqrt{2m\tilde{\varepsilon}_c}}}\right) \right], \tag{46}$$

where $\gamma \approx 3.43$ and $H_{h1}^{\text{ex}} > 0$. The dielectric permittivity in this equation enters through the effective charging energy $\tilde{\varepsilon}_c \sim 1/\varepsilon$, for simplicity we omit the difference between ε_{eff} and ε . The second term in Eq. (46) increases with increasing ε . This is in contrast to the Coulomb-based coupling. Also, we note that $\tilde{\varepsilon}_c$ depends on the grain size a . Decreasing the grain size leads to the enforcement of the Coulomb blockade effect making H_h^{ex} more sensitive to variation of ε .

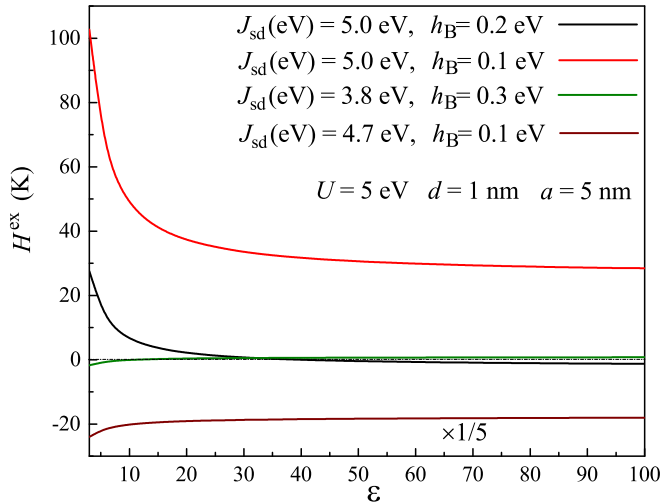


FIG. 7. Total intergrain exchange coupling H^{ex} in Eq. (7) as a function of dielectric permittivity of the insulating layer ϵ for $U = 5$ eV, $d = 1$ nm, $a = 5$ nm and different spin subband splitting J_{sd} and barrier height h_{B} . The brown curve divided by 5.

Using Eqs. (45) and (46) we can write

$$H^{\text{ex}} = H_0^{\text{ex}} + \frac{I_1^{\text{ex}}}{\epsilon} + H_{\text{hl}}^{\text{ex}} \left[1 - \sqrt{\frac{d\sqrt{2m\tilde{\epsilon}_c}}{\gamma\hbar\sqrt{h_{\text{B}}}}} \arctan\left(\sqrt{\frac{\gamma\hbar\sqrt{h_{\text{B}}}}{d\sqrt{2m\tilde{\epsilon}_c}}}\right) \right]. \quad (47)$$

The second and the third terms have opposite dependence on ϵ . Figure 7 shows the dependence of the total exchange interaction H^{ex} on the dielectric permittivity of the insulating matrix for various parameters. In most cases the Coulomb-based contribution H_C^{ex} , Eq. (47), is the largest. For positive I_1^{ex} the total exchange coupling decreases with increasing ϵ . One can see that H_0^{ex} can be either positive (red curve) or negative (black curve). For positive H_0^{ex} the exchange coupling is always positive (FM) and decreases with increasing the matrix dielectric constant. For negative H_0^{ex} the coupling changes its sign with increasing ϵ . For a small dielectric constant, H_C^{ex} is of FM type, and it becomes AFM for large dielectric constants. The total coupling decreases three times (from 100 to 30 K) with increasing the dielectric constant.

For some parameters the hopping-based coupling is the dominant contribution to ϵ dependence of the total exchange interaction H^{ex} (green line). In this case the coupling grows with ϵ . For a small dielectric constant the coupling is of AFM type. It becomes positive (FM) with increasing the dielectric constant.

For negative I_1^{ex} the total coupling is negative and increases (the absolute value decreases) with increasing the dielectric constant (brown curve in Fig. 7). In this case both contributions contribute in the same direction. Thus, changing system parameters one can have different dependencies of the exchange coupling on ϵ in granular systems.

The fact that the total intergrain exchange interaction depends on the dielectric constant can be used to realize the magnetoelectric coupling in granular systems. This effect was semiphenomenologically predicted in Refs. [32,37,38], where

it was shown that if one can control the dielectric properties of the matrix with an external electric field than one can control the intergrain exchange coupling and therefore the magnetic state of the granular magnet. For example, the FE matrix can be used for this purpose. It is known that the dielectric permittivity of FEs depends on the electric field. Applying the electric field to the system with magnetic grains being placed into FE matrix one can change its magnetic state.

VII. VALIDITY OF OUR MODEL

Below we discuss several assumptions and approximations of our theory.

(1) Above we introduce two dielectric constants: the real constant ϵ and the effective constant ϵ_{eff} . The constant ϵ defines the screening of electric field in the region between the grains (insulating matrix). This constant governs the exchange coupling based on the Coulomb interaction. The electric field involved in this interaction exists only in the small region between the grains. The effective dielectric constant ϵ_{eff} describes the long-range screening on the scale of many grains. A charged grain creates a field penetrating into the volume of many grains. Therefore the effective dielectric constant ϵ_{eff} includes the screening properties of both the matrix and the grains. Thus, the charging energy and the hopping-based exchange coupling depend on the dielectric properties averaged over a large volume, while the Coulomb-based coupling depends on the dielectric properties of a small intergrain region. A qualitative difference between these two constants may appear in the system with magnetic grains being placed on a substrate with a variable dielectric constant. Such a substrate will influence the charging energy (see Refs. [33,39]) and therefore the hopping-based exchange coupling. However, it will not influence the Coulomb based exchange coupling.

(2) We propose to use FE as an insulating matrix with a variable dielectric constant. To observe the intergrain exchange coupling in experiment the intergrain distance should be of the order of 1 nm. The properties of such thin FE films are not well known at this time. However, it is known that FE properties degrade with decreasing of FE thickness [40,41]. For each particular FE there is a critical thickness at which FE properties disappear. At the same time the monoatomic layer FEs also exist [40,41]. The FE properties of a dense granular material with magnetic inclusions are not studied at all. This question requires further investigation.

(3) Following Ref. [27] we do not take into account the inelastic scattering and tunneling.

(4) When calculating the Coulomb based contribution to the total exchange coupling we use the approach of Ref. [31] which was developed for an infinite layered system. The grains form a capacitor with finite lateral size with an electric charge being localized in between the capacitor surfaces (grains) and inside the grains. The charge is localized in the area S_c . In our calculations we assume that the electric field is localized between the leads only. Such an approximation is valid when the lateral size of the capacitor is much larger than the capacitor thickness. We calculated numerically the energy of a finite flat capacitor with a uniformly distributed positive charge inside the capacitor and negatively charged surfaces, such that the whole system is neutral. The capacitor area is S_c . The energy

of the capacitor is W^{fc} . We compare the energy with the energy of the area S_c of an infinite flat capacitor W^{ic} , $W^{\text{ic}} < W^{\text{fc}}$. The difference between W^{ic} and W^{fc} is of order of $d/\sqrt{S_c}$, where d is the capacitor thickness. Thus, the matrix element of the exchange interaction is overestimated. The error grows with decreasing the grain size.

(5) We also assume that the leads are perfect metals, meaning that they totally screen the electric field. In fact, the electric potential created by a point charge located in a metal decays exponentially with distance, $\sim e^{-r/\lambda_{\text{TF}}}/r$, where λ_{TF} is the Thomas-Fermi length. The field of a point charge outside the metal surface also penetrates into the metal by the distance of the order of the Thomas-Fermi length. The Thomas-Fermi length is of the order of 0.05 nm and is much smaller than the characteristic length scales of the decay of electron wave function κ_0 and the insulator thickness d . Our approach is valid for $\lambda_{\text{TF}} < \min(\kappa_0, d)$.

VIII. CONCLUSION

We developed the theory of the intergrain exchange interaction in the system of two metallic magnetic grains embedded into an insulating matrix by taking into account the magnetic coupling due to Coulomb interaction between electrons. The basic idea is the following: electrons wave functions located at different grains are overlapped. In combination with weak screening of the electric field inside the insulator these electrons experience the indirect spin-dependent Coulomb interaction leading to interlayer magnetic coupling. The Coulomb-based exchange interaction complements the exchange interaction due to virtual electron hopping between the grains. We showed that the Coulomb and the hopping-based exchange interactions are comparable. For most of the parameters these two contributions have the opposite sign and therefore compete with each other.

We showed that many-body effects lead to new phenomena in magnetic exchange coupling. In particular, the exchange coupling depends not only on the barrier height and thickness of the insulating matrix but also on the dielectric properties

of this matrix. In granular systems both the hopping and the Coulomb-based exchange coupling depend on the dielectric constant of the insulating matrix. This dependence appears due to many-body effects. We showed that hopping-based exchange interaction depends on the matrix dielectric constant due to the Coulomb blockade effect controlling virtual electron hopping between the grains. The larger the dielectric constant the smaller the Coulomb blockade thus the stronger the exchange coupling. The Coulomb-based exchange coupling depends on the dielectric constant ϵ —decreasing with increasing ϵ . Both the hopping and the Coulomb-based exchange interactions have terms which do not depend on the matrix dielectric constant. These terms can be either FM or AFM type. The combination of three different contributions to the total exchange coupling results in a complicated dependence of the total magnetic intergrain exchange on ϵ and other parameters of the system. Increasing ϵ one can have the FM-AFM or AFM-FM transitions. For certain parameters no transition is possible, however the exchange coupling varies by three times with increasing the dielectric constant.

We showed that the intergrain exchange interaction strongly depends on system parameters such as Fermi level, internal spin subband splitting, the height of the insulating barrier, and the grain size. The dependence on the grain size is almost linear due to spherical shape of the grains. The contact area of two grains linearly depends on the grain size in contrast to the layered system, where the exchange coupling increases as the surface area. Depending on the Fermi level and the spin subband splitting the intergrain exchange coupling can be either positive (FM) or negative (AFM). For small barrier height the coupling can be rather strong even for 5 nm grains reaching 100 K if the spin subband splitting is large enough.

ACKNOWLEDGMENTS

This research was supported by NSF under Cooperative Agreement Award EEC-1160504, the U.S. Civilian Research and Development Foundation (CRDF Global) Grant No. 43014641 and NSF PREM Award. O.U. was supported by Russian Science Foundation (Grant 16-12-10340).

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