

Mode decomposition based on crystallographic symmetry in the band-unfolding method

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The band-unfolding method is widely used to calculate the *effective* band structures of a disordered system from its supercell model. The unfolded band structures show the crystallographic symmetry of the underlying structure, where the difference of chemical components and the local atomic relaxation are ignored. However, it has still been difficult to decompose the unfolded band structures into the modes based on the crystallographic symmetry of the underlying structure, and therefore detailed analyses of the unfolded band structures have been restricted. In this study, a procedure to decompose the unfolded band structures according to the small representations (SRs) of the little groups is developed. The decomposition is performed using the projection operators for SRs derived from the group representation theory. The current method is employed to investigate the phonon band structure of disordered face-centered-cubic Cu_{0.75}Au_{0.25}, which has large variations of atomic masses and force constants among the atomic sites due to the chemical disorder. In the unfolded phonon band structure, several peculiar behaviors such as discontinuous and split branches are found in the decomposed modes corresponding to specific SRs. They are found to occur because different combinations of the chemical elements contribute to different regions of frequency.

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I. INTRODUCTION

Configurational disorder is commonly seen in alloy systems, which often changes their physical properties. Firstprinciples calculations for such a disordered system require some approximations. Among such approximations, the virtual crystal approximation [1] and the coherent potential approximation [2] consider an effective medium for the disordered system and have often been adopted. These methods, however, generally do not explicitly consider the local environment around each atom, which is sometimes critical for quantitative evaluations of physical properties of the disordered system [3]. In contrast, the use of a supercell model to mimic the disordered system is computationally more demanding, but can accurately account for the local environment around each atom, including local relaxation of atomic positions. With the development of high-performance computers, the supercell approach is increasingly more popular.

A disordered system can be associated with its corresponding underlying structure, where the difference of chemical components and the local atomic relaxation are ignored. However, when the disordered system is mimicked by a supercell model, it generally lacks the crystallographic symmetry of the underlying structure. This makes it difficult to compare the band structures (of electrons and phonons) calculated from the supercell model with experimental data because they are typically described as if the disordered system has the crystallographic symmetry of its underlying structure. To fill the gap between such supercell calculations and the experimental data, a computational method to obtain

The band-unfolding method [4–7] is one of the methods to obtain such effective band structures using a supercell model. In this method, we obtain the effective band structure by decomposing the eigenvectors (of electrons or phonons) obtained from the supercell model of a disordered system according to the translational symmetry of its underlying crystal structure. The band-unfolding method has already been applied to the electronic band structures [4–6.8–12] and to the phonon band structures [7,13–16] of various systems with disorders and has also been used in characteristic ways such as analyses of surface states [7] and of spinor wave functions [17]. Among various band-unfolding formulations, Allen et al. use the projection operators that decompose the eigenvectors obtained from a supercell model according to the translational symmetry of the underlying structure [7]. Unlike previous approaches [4–6], their formulation has conceptual and practical advantages because it can be understood based on the group theory and because it does not require any reference vectors for the decomposition. Even in their formulation, however, one still cannot further decompose the unfolded band structures into the modes that transform in different ways under the symmetry operations with nontrivial rotational parts.

From a group-theoretical viewpoint, the small representations (SRs) of the little group of the wave vector \mathbf{k} describe how the eigenvectors of a crystalline material at \mathbf{k} transform under the symmetry operations [18–20]. Since the SRs of the eigenvectors are useful to analyze various physical behaviors in band structures such as selection rules and avoided band crossings [21], it is reasonable to decompose the unfolded band structures into the modes corresponding to different SRs. In a previous band-unfolding approach [13], an unfolded band structure is decomposed according to the cumulative spectral function. The modes determined in this manner, however, do

the *effective* band structures, which show the symmetry of the corresponding underlying structure, should be useful.

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not reflect the crystallographic symmetry of the underlying structure, i.e., they do not follow any SRs of the little groups for the underlying structure. Moreover, the degeneracies of the modes cannot be determined in this approach in terms of crystallographic symmetry.

In this study, we develop a procedure to decompose unfolded band structures according to the SRs of the little groups of the underlying crystal structure. For this purpose, we introduce the projection operators for SRs [Eq. (24)] based on the group representation theory. These projection operators can be applied to symmetrically degenerated modes. Using the projection operators for SRs, we can analyze the unfolded band structures in very similar ways to those of ordered systems in terms of crystallographic symmetry.

Here we also analyze the contributions of different chemical elements in the unfolded band structures. In previous approaches [4,6,13], it was not clear how to investigate the contributions of different chemical elements to the unfolded band structures. This issue largely limits our understanding about the band structures of disordered systems. In this study, we also derive another type of projection operators [Eq. (27)] to decompose the unfolded phonon band structures according to the contribution of the chemical elements. This decomposition enables us to analyze peculiar behaviors in the unfolded phonon band structures that are not found in ordered systems.

The current band-unfolding method is employed to investigate the phonon band structure of disordered face-centered-cubic (fcc) Cu_{0.75}Au_{0.25}. This alloy is known to have large variations of atomic masses and force constants among the atomic sites due to the chemical disorder, and hence its phonon band structure has been investigated in experimental [22] and computational [23] approaches to reveal the impacts of these variations. In the unfolded phonon band structure, several peculiar behaviors such as discontinuous and split branches are found in the decomposed modes corresponding to specific SRs. These peculiar behaviors are found to occur because different combinations of the chemical elements contribute to different regions of frequency.

II. METHODS

In this section, we first summarize the computational procedure of phonon modes because in this paper we focus on the unfolding for phonon band structures. Next we introduce the notations to describe the relations between a supercell model and its underlying crystal structure. Then we derive three types of projection operators, which are the keys of the current band-unfolding method. Finally, we obtain the spectral functions, which are plotted as the unfolded band structures.

We denote transformation operators on real-space points in the Seitz notation as $\{\mathbf{R}|\mathbf{w}\}$, where the rotational part \mathbf{R} and the translational part \mathbf{w} are 3×3 and 3×1 real matrices, respectively. $\{\mathbf{R}|\mathbf{w}\}$ transforms a real-space point \mathbf{x} as

$$\{\mathbf{R}|\mathbf{w}\}\mathbf{x} = \mathbf{R}\mathbf{x} + \mathbf{w}.\tag{1}$$

We use the same notation also for transformation operators on functions of x (see Appendix A for how $\{R|w\}$ works on the functions).

A. Phonon-mode calculations

Suppose $\mathbf{x}_{l\kappa}$ is the equilibrium position of the κ th atom in the lth unit cell of a crystalline system. The second-order force constants for the pair of the atoms $l\kappa$ and $l'\kappa'$ are denoted as $\Phi_{\alpha\beta}(l\kappa,l'\kappa')$, where α and β are indices for Cartesian coordinates. The dynamical matrix $\mathbf{D}(\mathbf{K})$ at the wave vector \mathbf{K} is then calculated as

$$D_{\kappa\kappa'}^{\alpha\beta}(\mathbf{K}) = \frac{1}{\sqrt{m_{\kappa}m_{\kappa'}}} \sum_{l'} \Phi_{\alpha\beta}(0\kappa, l'\kappa') \exp[i\mathbf{K} \cdot (\mathbf{x}_{l'\kappa'} - \mathbf{x}_{0\kappa})],$$
(2)

where m_{κ} is the mass of the κ th atom. Phonon frequencies $\omega(\mathbf{K}, J)$ and mode eigenvectors $\mathbf{v}(\mathbf{K}, J)$ at \mathbf{K} are obtained by solving the eigenvalue problem of $\mathbf{D}(\mathbf{K})$ as

$$\mathbf{D}(\mathbf{K})\mathbf{v}(\mathbf{K},J) = [\omega(\mathbf{K},J)]^2 \mathbf{v}(\mathbf{K},J)$$
(3)

or

$$\sum_{\beta \kappa'} D_{\kappa \kappa'}^{\alpha \beta} v_{\kappa'}^{\beta}(\mathbf{K}, J) = [\omega(\mathbf{K}, J)]^2 v_{\kappa}^{\alpha}(\mathbf{K}, J), \tag{4}$$

where J is the band index. $\mathbf{v}(\mathbf{K}, J)$ can be explicitly written as

$$\mathbf{v}(\mathbf{K},J) = \begin{pmatrix} \mathbf{v}_{1}(\mathbf{K},J) \\ \vdots \\ \mathbf{v}_{n}(\mathbf{K},J) \end{pmatrix} = \begin{pmatrix} v_{1}^{x}(\mathbf{K},J) \\ v_{1}^{y}(\mathbf{K},J) \\ \vdots \\ v_{n}^{x}(\mathbf{K},J) \\ v_{n}^{y}(\mathbf{K},J) \\ v_{n}^{y}(\mathbf{K},J) \end{pmatrix},$$
(5)

where n is the number of atoms in a unit cell, and 3×1 matrices \mathbf{v}_{κ} are the component for the κ th atom. Hereafter, $\mathbf{v}(\mathbf{K}, J)$ is supposed to be normalized.

To explicitly describe the dependence of the atomic displacements on the wave vector \mathbf{K} , we consider the "phase-weighted" mode eigenvectors $\tilde{\mathbf{v}}(\mathbf{K},J)$. The components of $\tilde{\mathbf{v}}(\mathbf{K},J)$ are given for all the atomic sites in all the unit cells as

$$\tilde{\mathbf{v}}_{l\kappa}(\mathbf{K}, J) \equiv \exp[i\mathbf{K} \cdot \mathbf{x}_{l\kappa}] \mathbf{v}_{\kappa}(\mathbf{K}, J), \tag{6}$$

$$\tilde{\mathbf{v}}_{l}(\mathbf{K}, J) \equiv \begin{pmatrix} \tilde{\mathbf{v}}_{l1}(\mathbf{K}, J) \\ \vdots \\ \tilde{\mathbf{v}}_{ln}(\mathbf{K}, J) \end{pmatrix}. \tag{7}$$

 $\tilde{\mathbf{v}}(\mathbf{K}, J)$ can be regarded as a vector-field function of \mathbf{x} defined on $\mathbf{x}_{l\kappa}$, and hence $\{\mathbf{R}|\mathbf{w}\}$ transforms $\tilde{\mathbf{v}}(\mathbf{K}, J)$ according to Eq. (A4) as

$$[\{\mathbf{R}|\mathbf{w}\}\tilde{\mathbf{v}}(\mathbf{K},J)]_{l\kappa} = \mathbf{R}\tilde{\mathbf{v}}_{l'\kappa'}(\mathbf{K},J), \tag{8}$$

where l' and κ' satisfy

$$\mathbf{x}_{l'\kappa'} = {\mathbf{R}|\mathbf{w}}^{-1}\mathbf{x}_{l\kappa}$$
$$= {\mathbf{R}}^{-1}\mathbf{x}_{l\kappa} - {\mathbf{R}}^{-1}\mathbf{w}. \tag{9}$$

B. Supercell model and its underlying crystal structure

The basis of the lattice of a supercell model A_i can be constructed from the basis of the lattice of the underlying

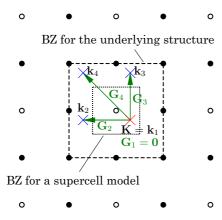


FIG. 1. Two-dimensional representation of the relation between a supercell model and its underlying structure in reciprocal space. White and black circles represent reciprocal lattice points of the supercell model, and the white circles also correspond to reciprocal lattice points of the underlying structure. Green arrows represent G_i in Eq. (12).

structure \mathbf{a}_i as $\mathbf{A}_i = \sum_{j=1}^3 n_{ji} \mathbf{a}_j$ (i = 1,2,3), where (n_{ji}) is a 3×3 integer matrix. The "size" of the supercell model relative to the underlying crystal structure is then given as $N \equiv |\det(n_{ji})|$. Lattice vectors of the underlying structure \mathbf{t} and of the supercell model \mathbf{T} can be obtained as the integral linear combinations of \mathbf{a}_i and \mathbf{A}_i , respectively.

The space group of the underlying structure is denoted as \mathcal{G} . The point group of the space group \mathcal{G} , which consists of the distinct rotational parts of the elements in \mathcal{G} , is denoted as $\overline{\mathcal{G}}$. The supercell model generally has lower crystallographic symmetry than \mathcal{G} . The set of \mathbf{t} forms the translation subgroup \mathcal{T} of \mathcal{G} , while the set of \mathbf{T} forms the translation group \mathcal{T}' , which is a normal subgroup of \mathcal{T} . \mathcal{T} can be decomposed using the coset representatives relative to \mathcal{T}' as

$$\mathcal{T} = \{\mathbf{I}_3 | \mathbf{t}_1\} \mathcal{T}' + \dots + \{\mathbf{I}_3 | \mathbf{t}_N\} \mathcal{T}', \tag{10}$$

where I_3 is the 3 \times 3 identity matrix.

The bases of the reciprocal lattice of the underlying structure \mathbf{b}_i and of the supercell model \mathbf{B}_i satisfy $\mathbf{a}_i \cdot \mathbf{b}_j = 2\pi \delta_{ij}$ and $\mathbf{A}_i \cdot \mathbf{B}_j = 2\pi \delta_{ij}$, respectively. Reciprocal lattice vectors of the underlying structure \mathbf{g} and of the supercell model \mathbf{G} can be obtained as the integral linear combinations of \mathbf{b}_i and \mathbf{B}_i , respectively. \mathbf{G} satisfies the following relation:

$$\frac{1}{N} \sum_{i=1}^{N} \exp[i\mathbf{G} \cdot \mathbf{t}_{j}] = \begin{cases} 1 & \text{if } \mathbf{G} \in \{\mathbf{g}\}\\ 0 & \text{otherwise,} \end{cases}$$
 (11)

where $\{\mathbf{g}\}$ is the set of \mathbf{g} , and the set of \mathbf{t}_j is the lattice vectors of the underlying crystal structure corresponding to the coset representatives in Eq. (10). Figure 1 represents the relation of the first Brillouin zones (BZs) for the supercell model and for its underlying structure. A wave vector \mathbf{K} is related to N distinct wave vectors $\mathbf{k}_1, \ldots, \mathbf{k}_N$ inside the BZ for the underlying structure as

$$\mathbf{k}_i = \mathbf{K} + \mathbf{G}_i \quad (i = 1, \dots, N), \tag{12}$$

where \mathbf{G}_i is the reciprocal lattice vector of the supercell model corresponding to \mathbf{k}_i . If k = l, then $\mathbf{k}_k - \mathbf{k}_l = \mathbf{0} \in \{\mathbf{g}\}$, while if $k \neq l$, then $\mathbf{k}_k - \mathbf{k}_l \notin \{\mathbf{g}\}$ because both \mathbf{k}_k and \mathbf{k}_l are inside

the BZ for the underlying structure. Therefore, using Eq. (11),

$$\frac{1}{N} \sum_{i=1}^{N} \exp[i(\mathbf{k}_k - \mathbf{k}_l) \cdot \mathbf{t}_j] = \delta_{kl}.$$
 (13)

C. Projection operators

Three types of projection operators are derived to formulate the current band-unfolding method. The projection operators for wave vectors $\hat{P}^{\mathbf{k}}$ are used to decompose the eigenvectors obtained from a supercell model according to the translational symmetry for the underlying crystal structure. These projection operators are equivalent to those derived in Ref. [7]. The projection operators for SRs $\hat{P}^{\mathbf{k}\mu}$ are used to further decompose the eigenvectors according to the SRs of little groups. The projection operators for chemical elements \hat{P}^X are also defined to analyze the contributions of different combinations of the chemical elements to the unfolded band structures.

1. Projection operators for wave vectors

Let $f^{\mathbf{K}}(\mathbf{x})$ be an eigenfunction obtained from the supercell model, which transforms under the translation $\hat{T} = \{\mathbf{I}_3 | \mathbf{T}\} \in \mathcal{T}'$ as

$$\hat{T} f^{\mathbf{K}}(\mathbf{x}) = \exp[-i\mathbf{K} \cdot \mathbf{T}] f^{\mathbf{K}}(\mathbf{x}). \tag{14}$$

Note that both scalar-field and vector-field functions can be considered as $f^{\mathbf{K}}(\mathbf{x})$. $f^{\mathbf{K}}(\mathbf{x})$ can be decomposed using the basis functions of the irreducible representations (IRs) of \mathcal{T} as

$$f^{\mathbf{K}}(\mathbf{x}) = \sum_{k=1}^{N} c_{\mathbf{k}_k} f^{\mathbf{k}_k}(\mathbf{x}), \tag{15}$$

where \mathbf{k}_k $(k=1,\ldots,N)$ is a wave vector inside the BZ for the underlying structure obtained from \mathbf{K} according to Eq. (12), and $f^{\mathbf{k}_k}(\mathbf{x})$ is the basis function of the IR of \mathcal{T} labeled \mathbf{k}_k . By definition, $f^{\mathbf{k}_k}(\mathbf{x})$ is transformed by the translation $\hat{t} \equiv \{\mathbf{I}_3 | \mathbf{t}\} \in \mathcal{T}$ as

$$\hat{t} f^{\mathbf{k}_k}(\mathbf{x}) = f^{\mathbf{k}_k}(\hat{t}^{-1}\mathbf{x})$$

$$= \exp[-i\mathbf{k}_k \cdot \mathbf{t}] f^{\mathbf{k}_k}(\mathbf{x}), \tag{16}$$

where the set of $\exp[-i\mathbf{k}_k \cdot \mathbf{t}]$ for all \hat{t} is the IR labeled \mathbf{k}_k . Note that all the IRs of \mathcal{T} are one dimensional because \mathcal{T} is an Abelian group [18–20]. $f^{\mathbf{k}_k}(\mathbf{x})$ and $f^{\mathbf{k}_{k'}}(\mathbf{x})$ are orthogonal to each other when $k \neq k'$.

The projection operator $\hat{P}^{\mathbf{k}_k}$ for the wave vector \mathbf{k}_k can be constructed using the coset representatives $\hat{t}_j = \{\mathbf{I}_3 | \mathbf{t}_j\}$ of \mathcal{T} relative to \mathcal{T}' in Eq. (10) as

$$\hat{P}^{\mathbf{k}_k} = \frac{1}{N} \sum_{j=1}^{N} \chi^{\mathbf{k}_k} (\hat{t}_j)^* \hat{t}_j$$

$$= \frac{1}{N} \sum_{j=1}^{N} \exp[i\mathbf{k}_k \cdot \mathbf{t}_j] \hat{t}_j, \qquad (17)$$

where $\chi^{\mathbf{k}_k}(\hat{t}_j) = \exp[-i\mathbf{k}_k \cdot \mathbf{t}_j]$ is the character of \hat{t}_j in the IR labeled \mathbf{k}_k . Using the orthogonality relations in Eq. (13) and the transformation rule for the basis functions of the IRs of \mathcal{T}

in Eq. (16), it can be shown that

$$\hat{P}^{\mathbf{k}_k} f^{\mathbf{K}}(\mathbf{x}) = c_{\mathbf{k}_k} f^{\mathbf{k}_k}(\mathbf{x}) \tag{18}$$

(see Appendix C for detailed derivation). Equation (18) indicates that $\hat{P}^{\mathbf{k}_k}$ extracts from $f^{\mathbf{K}}(\mathbf{x})$ the basis function of the IR of \mathcal{T} specified by the wave vector \mathbf{k}_k . From Eqs. (15) and (18),

$$f^{\mathbf{K}}(\mathbf{x}) = \sum_{k=1}^{N} \hat{P}^{\mathbf{k}_k} f^{\mathbf{K}}(\mathbf{x}).$$
 (19)

 $\hat{P}^{\mathbf{k}_k} f^{\mathbf{K}}(\mathbf{x})$ and $\hat{P}^{\mathbf{k}'_k} f^{\mathbf{K}}(\mathbf{x})$ are orthogonal to each other when $k \neq k'$. Figures 2(a)–2(c) visualize how $\hat{P}^{\mathbf{k}_k}$ works on a phonon-mode eigenvector of a supercell model.

2. Projection operators for SRs

 $f^{\mathbf{k}_k}(\mathbf{x})$ shows the translational symmetry of the underlying crystal structure but, in general, is not a basis function of the SRs of the little group of the wave vector \mathbf{k}_k . Here the projection operators for SRs to decompose $f^{\mathbf{k}_k}(\mathbf{x})$ according to the SRs are derived. For the sake of simplicity, we hereafter omit the index for the wave vector \mathbf{k}_k , and then $f^{\mathbf{k}_k}(\mathbf{x})$ is denoted as $f^{\mathbf{k}}(\mathbf{x})$.

The little group $\mathcal{G}^{\mathbf{k}}$ is the subgroup of \mathcal{G} whose elements $\{\mathbf{R}|\mathbf{w}\}$ leave \mathbf{k} invariant in the sense that $\mathbf{R}^T\mathbf{k} = \mathbf{k} + \mathbf{g}$ [24]. The SRs $\Gamma^{\mathbf{k}\mu}$ of $\mathcal{G}^{\mathbf{k}}$ are defined as the IRs of $\mathcal{G}^{\mathbf{k}}$ that satisfy

$$\Gamma^{\mathbf{k}\mu}(\{\mathbf{I}_3|\mathbf{t}\}) = \exp[-i\mathbf{k}\cdot\mathbf{t}]\mathbf{I}_{d_\mu},\tag{20}$$

where μ is the index for the SRs, d_{μ} is the dimension of the μ th SR, and $\mathbf{I}_{d_{\mu}}$ is the $d_{\mu} \times d_{\mu}$ identity matrix [18–20]. Note that the number of the inequivalent SRs of $\mathcal{G}^{\mathbf{k}}$ is finite.

Since the SRs of $\mathcal{G}^{\mathbf{k}^{1}}$ satisfy Eq. (20), $f^{\mathbf{k}}(\mathbf{x})$ can be decomposed as

$$f^{\mathbf{k}}(\mathbf{x}) = \sum_{\mu} \sum_{s=1}^{n_{\mu}} \sum_{k=1}^{d_{\mu}} c_{\mu s k} f^{\mathbf{k} \mu s k}(\mathbf{x}), \tag{21}$$

where $f^{\mathbf{k}\mu sk}(\mathbf{x})$ is the kth-row basis function of the μ th SR belonging to the sth set for the SR, n_{μ} is the number of the sets for the μ th SR, and $c_{\mu sk}$ is the coefficient of the linear combination. Note that there can be two or more sets of basis functions belonging to the same SR. By definition, $f^{\mathbf{k}\mu sk}(\mathbf{x})$ is transformed by $\hat{g} = \{\mathbf{R}|\mathbf{w}\} \in \mathcal{G}^{\mathbf{k}}$ as

$$\hat{g} f^{\mathbf{k}\mu sk}(\mathbf{x}) = f^{\mathbf{k}\mu sk}(\hat{g}^{-1}\mathbf{x})$$

$$= \sum_{k'=1}^{d_{\mu}} f^{\mathbf{k}\mu sk'}(\mathbf{x}) \Gamma_{k'k}^{\mathbf{k}\mu}(\hat{g}). \tag{22}$$

 $f^{\mathbf{k}\mu sk}(\mathbf{x})$ and $f^{\mathbf{k'}\nu s'k'}(\mathbf{x})$ are orthogonal to each other when $\mu \neq \nu$, $s \neq s'$, or $k \neq k'$.

 \mathcal{G}^k can be decomposed using the coset representatives relative to \mathcal{T} as

$$\mathcal{G}^{\mathbf{k}} = \{\mathbf{R}_1 | \mathbf{w}_1\} \mathcal{T} + \dots + \{\mathbf{R}_{|\bar{G}^{\mathbf{k}}|} | \mathbf{w}_{|\bar{G}^{\mathbf{k}}|}\} \mathcal{T}, \tag{23}$$

where and $\bar{\mathcal{G}}^{\mathbf{k}}$ is the little cogroup, i.e., the point group composed of the distinct rotational parts of the elements in $\mathcal{G}^{\mathbf{k}}$. The projection operator $\hat{P}^{\mathbf{k}\mu}$ for the μ th SR is constructed

using $\hat{g}_j = \{\mathbf{R}_j | \mathbf{w}_j\}$ as

$$\hat{P}^{\mathbf{k}\mu} = \frac{d_{\mu}}{|\bar{\mathcal{G}}^{\mathbf{k}}|} \sum_{j=1}^{|\mathcal{G}^{\mathbf{k}}|} \chi^{\mathbf{k}\mu}(\hat{g}_j)^* \hat{g}_j, \tag{24}$$

where $\chi^{\mathbf{k}\mu}(\hat{g}_j) \equiv \operatorname{tr}\left[\Gamma^{\mathbf{k}\mu}(\hat{g}_j)\right] = \sum_{m=1}^{d_\mu} \Gamma^{\mathbf{k}\mu}_{mm}(\hat{g}_j)$ is the character of \hat{g}_j in the μ th SR. Using the orthogonality relations for SRs in Eq. (B7) (see Appendix B) and the transformation rule for the basis functions of the SRs in Eq. (22), it can be shown that

$$\hat{P}^{\mathbf{k}\mu} f^{\mathbf{k}}(\mathbf{x}) = \sum_{s=1}^{n_{\mu}} \sum_{k=1}^{d_{\mu}} c_{\mu sk} f^{\mathbf{k}\mu sk}(\mathbf{x})$$
 (25)

(see Appendix D for detailed derivation). Equation (25) indicates that $\hat{P}^{\mathbf{k}\mu}$ extracts from $f^{\mathbf{k}}(\mathbf{x})$ the part being in the partial space spanned by the basis functions for the μ th SR of $\mathcal{G}^{\mathbf{k}}$. From Eqs. (21) and (25),

$$f^{\mathbf{k}}(\mathbf{x}) = \sum_{\mu} \hat{P}^{\mathbf{k}\mu} f^{\mathbf{k}}(\mathbf{x}). \tag{26}$$

 $\hat{P}^{\mathbf{k}\mu}f^{\mathbf{k}}(\mathbf{x})$ and $\hat{P}^{\mathbf{k}\nu}f^{\mathbf{k}}(\mathbf{x})$ are orthogonal to each other when $\mu \neq \nu$. Figures $2(\mathbf{d})-2(\mathbf{g})$ visualize how $\hat{P}^{\mathbf{k}\mu}$ works on a phonon-mode eigenvector of a supercell model.

Practically, $\hat{P}^{k\mu}$ can be explicitly obtained as follows. As shown in Appendix B, the SRs of \mathcal{G}^k can be constructed using the irreducible projective representations (IPRs) of $\bar{\mathcal{G}}^k$. Since the characters of the IPRs of $\bar{\mathcal{G}}^k$ are tabulated in the literature [21], the characters of the SRs of \mathcal{G}^k can be obtained from these data using Eq. (B3). $\hat{P}^{k\mu}$ is then calculated from the obtained characters of the SRs of \mathcal{G}^k .

It should be emphasized that from a mathematical viewpoint, the derivations of $\hat{P}^{\mathbf{k}}$ and of $\hat{P}^{\mathbf{k}\mu}$ are very similar. The only difference is that two- or more-dimensional SRs have to be dealt with for $\hat{P}^{\mathbf{k}\mu}$.

3. Projection operators for chemical elements

A phonon-mode eigenvector $\tilde{\mathbf{v}}$ [here $\tilde{\mathbf{v}}(\mathbf{K},J)$ is simply denoted as $\tilde{\mathbf{v}}$] of a supercell model can be decomposed into the contributions from different elements using the projection operator \hat{P}^X for the chemical element X, which works on $\tilde{\mathbf{v}}$ as

$$[\hat{P}^{X}\tilde{\mathbf{v}}]_{l\kappa} = \begin{cases} \tilde{\mathbf{v}}_{l\kappa} & \text{if X is on the site } l\kappa \\ 0 & \text{otherwise.} \end{cases}$$
 (27)

 \hat{P}^{X} satisfies

$$\tilde{\mathbf{v}} = \sum_{\mathbf{x}} \hat{P}^{\mathbf{X}} \tilde{\mathbf{v}},\tag{28}$$

where the summation is taken over all the chemical elements in the system. When $X \neq X'$, $\hat{P}^X\tilde{\mathbf{v}}$ and $\hat{P}^{X'}\tilde{\mathbf{v}}$ are orthogonal to each other. However, $\hat{P}^{\mathbf{k}}\hat{P}^X\tilde{\mathbf{v}}$ and $\hat{P}^{\mathbf{k}}\hat{P}^{X'}\tilde{\mathbf{v}}$ are not necessarily orthogonal to each other, as well as $\hat{P}^{\mathbf{k}\mu}\hat{P}^{\mathbf{k}}\hat{P}^{X'}\tilde{\mathbf{v}}$ and $\hat{P}^{\mathbf{k}\mu}\hat{P}^{\mathbf{k}}\hat{P}^{X'}\tilde{\mathbf{v}}$ are not necessarily orthogonal to each other.

Figure 3 visualizes how \hat{P}^X and $\hat{P}^{X'}$ for two different chemical components X and X', respectively, works on $\tilde{\mathbf{v}}$. In this figure, $\hat{P}^{k\mu}\hat{P}^k\hat{P}^X\tilde{\mathbf{v}}$ [Fig. 3(f)] and $\hat{P}^{k\mu}\hat{P}^k\hat{P}^{X'}\tilde{\mathbf{v}}$ [Fig. 3(h)] point in the same direction for each atomic position. Those projected vectors are "positively correlated" in the sense that the real part of the dot product between $\hat{P}^{k\mu}\hat{P}^k\hat{P}^X\tilde{\mathbf{v}}$ and

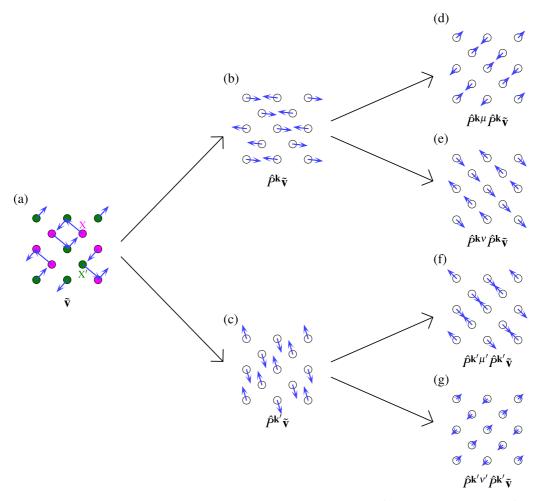


FIG. 2. Two-dimensional representation of how the projection operators for wave vectors \hat{P}^k in Eq. (17) and for SRs $\hat{P}^{k\mu}$ in Eq. (24) work on a phonon-mode eigenvector of a supercell model of a disordered system. Circles represent atoms in the system; red and green ones represent the chemical elements X and X', respectively, while white ones indicate that the chemical elements are no longer distinguished. Blue arrows on the circles represent the real parts of a mode eigenvector on atoms or its projections. (a) Hypothetical phonon-mode eigenvector $\tilde{\mathbf{v}}$. (b), (c) Projection of $\tilde{\mathbf{v}}$ by \hat{P}^k and $\hat{P}^{k'}$ for different wave vectors \mathbf{k} and \mathbf{k}' , respectively. (d)–(g) Further projection of $\hat{P}^k\tilde{\mathbf{v}}$ ($\hat{P}^k\tilde{\mathbf{v}}$) by $\hat{P}^{k\mu}$ and $\hat{P}^{k\nu}$ ($\hat{P}^k\tilde{\mathbf{v}}$), where μ and ν (μ' and ν') are indices for the SRs of \mathcal{G}^k ($\mathcal{G}^{k'}$).

 $\hat{P}^{k\mu}\,\hat{P}^{k}\,\hat{P}^{X'}\tilde{\mathbf{v}}$ is positive. In contrast, $\hat{P}^{k\nu}\,\hat{P}^{k}\,\hat{P}^{X}\tilde{\mathbf{v}}$ [Fig. 3(g)] and $\hat{P}^{k\nu}\,\hat{P}^{k}\,\hat{P}^{X'}\tilde{\mathbf{v}}$ [Fig. 3(i)] point in the opposite direction for each atomic position. Those projected vectors are "negatively correlated" in the sense that the real part of the dot product between $\hat{P}^{k\nu}\,\hat{P}^{k}\,\hat{P}^{X}\tilde{\mathbf{v}}$ and $\hat{P}^{k\nu}\,\hat{P}^{k}\,\hat{P}^{X'}\tilde{\mathbf{v}}$ is negative. In this case, each of X and X' contributes to the ν th SR, but in total they cancel out each other.

D. Spectral functions

Here we obtain the spectral functions, which are regarded as the unfolded band structures. We use the notations for phonon modes in Sec. II A for the sake of simplicity.

1. Spectral functions at each k

Let us first consider the "original" spectral function of a supercell model $A_s(\mathbf{K},\omega)$ as

$$A_s(\mathbf{K}, \omega) = \sum_{J} \delta[\omega - \omega(\mathbf{K}, J)]. \tag{29}$$

The peak positions of the δ functions constitute the "original" band structure of the supercell model.

The *unfolded* spectral function $A(\mathbf{k}_k, \omega)$ is defined using the projection operators for wave vectors $\hat{P}^{\mathbf{k}_k}$ in Eq. (17) as

$$A(\mathbf{k}_{k},\omega) \equiv \sum_{J} \left| \left[\hat{P}^{\mathbf{k}_{k}} \tilde{\mathbf{v}}(\mathbf{K},J) \right]_{l} \right|^{2} \delta[\omega - \omega(\mathbf{K},J)], \quad (30)$$

where $A(\mathbf{k}_k, \omega)$ does not depends on the choice of the index for supercells l. $A(\mathbf{k}_k, \omega)$ satisfies

$$A_s(\mathbf{K}, \omega) = \sum_{k=1}^{N} A(\mathbf{k}_k, \omega), \tag{31}$$

where we use Eq. (19) and the orthogonality between $[\hat{P}^{\mathbf{k}_k}\tilde{\mathbf{v}}(\mathbf{K},J)]_l$ and $[\hat{P}^{\mathbf{k}_{k'}}\tilde{\mathbf{v}}(\mathbf{K},J)]_l$ when $k \neq k'$. It can be said that $A_s(\mathbf{K},\omega)$ defined in the BZ for the supercell model is remapped in the BZ for the underlying crystal structure with the weights obtained from $[\hat{P}^{\mathbf{k}}\tilde{\mathbf{v}}(\mathbf{K},J)]_l$. $A(\mathbf{k}_k,\omega)$ is equivalent to the unfolded spectral function in previous reports [6,7].

The partial spectral function $A^{\mu}(\mathbf{k},\omega)$ (the index for \mathbf{k} is hereafter omitted for the sake of simplicity) for the μ th SR

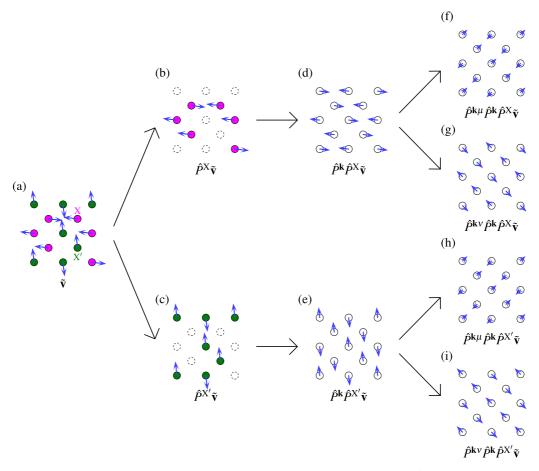


FIG. 3. Two-dimensional representation of how the projection operators for chemical elements \hat{P}^X in Eq. (27) work on a phonon-mode eigenvector of a supercell model of a disordered system. The symbols are used in the same way as those in Fig. 2. (a) Hypothetical mode eigenvector $\tilde{\mathbf{v}}$. (b), (c) Projection of $\tilde{\mathbf{v}}$ by \hat{P}^X and $\hat{P}^{X'}$. (d), (e) Further projection of \hat{P}^X and $\hat{P}^{X'}$ by \hat{P}^k . (f)–(i) Further projection of \hat{P}^k \hat{P}^X and \hat{P}^k \hat{P}^X \hat{V}^X by \hat{P}^K and \hat{P}^K \hat{V}^K by \hat{P}^K \hat{V}^K by \hat{P}^K \hat{V}^K $\hat{V$

of $\mathcal{G}^{\mathbf{k}}$ is defined using the projection operator for SRs $\hat{P}^{\mathbf{k}\mu}$ in Eq. (24) as

$$A^{\mu}(\mathbf{k},\omega) \equiv \sum_{l} |[\hat{P}^{\mathbf{k}\mu}\hat{P}^{\mathbf{k}}\tilde{\mathbf{v}}(\mathbf{K},J)]_{l}|^{2} \delta[\omega - \omega(\mathbf{K},J)]. \quad (32)$$

 $A^{\mu}(\mathbf{k},\omega)$ satisfies

$$A(\mathbf{k},\omega) = \sum_{\mu} A^{\mu}(\mathbf{k},\omega), \tag{33}$$

where we use Eq. (26) and the orthogonality between $[\hat{P}^{\mathbf{k}\mu}\hat{P}^{\mathbf{k}}\tilde{\mathbf{v}}(\mathbf{K},J)]_l$ and $[\hat{P}^{\mathbf{k}\nu}\hat{P}^{\mathbf{k}}\tilde{\mathbf{v}}(\mathbf{K},J)]_l$ when $\mu \neq \nu$.

 $A^{\mu}(\mathbf{k},\omega)$ can be further decomposed using the projection operators for chemical elements \hat{P}^{X} in Eq. (27) as

$$A^{\mu}(\mathbf{k},\omega) = \sum_{\mathbf{X},\mathbf{X}'} A^{\mu,\mathbf{X}\mathbf{X}'}(\mathbf{k},\omega), \tag{34}$$

where

$$A^{\mu,XX'}(\mathbf{k},\omega) \equiv \sum_{J} [\hat{P}^{\mathbf{k}\mu} \hat{P}^{\mathbf{k}} \hat{P}^{X} \tilde{\mathbf{v}}(\mathbf{K},J)]_{l}^{\dagger}$$
$$\times [\hat{P}^{\mathbf{k}\mu} \hat{P}^{\mathbf{k}} \hat{P}^{X'} \tilde{\mathbf{v}}(\mathbf{K},J)]_{l} \delta[\omega - \omega(\mathbf{K},J)]. \quad (35)$$

 $A^{\mu, XX'}(\mathbf{k}, \omega)$ represents the contribution of the combination of the elements X and X' to $A^{\mu}(\mathbf{k}, \omega)$. $A^{\mu, XX}(\mathbf{k}, \omega)$

is for the contribution only from X, while $A^{\mu,\overline{XX'}}(\mathbf{k},\omega) \equiv A^{\mu,XX'}(\mathbf{k},\omega) + A^{\mu,X'X}(\mathbf{k},\omega)$ (X \neq X') is for the correlative contribution from X and X'. $A^{\mathbf{k}\mu,XX}(\mathbf{k},\omega)$ is always nonnegative, while $A^{\mathbf{k}\mu,\overline{XX'}}(\mathbf{k},\omega)$ (X \neq X') becomes negative when the atomic movements of X and X' for the μ th SR are negatively correlated, as described in Sec. II C 3 and Fig. 3.

2. Average spectral functions over crystallographically equivalent wave vectors

Although we can obtain the spectral functions using the procedure described above, generally they still do not fully show the rotational symmetry for the underlying crystal structure. To impose the crystallographic symmetry of the underlying crystal structure to the spectral functions obtained using the band-unfolding method, we take the average of the spectral functions over the wave vectors that are crystallographically equivalent for the underlying structure in the same manner as described in Sec. III E in Ref. [6].

For a wave vector \mathbf{k} , the set of crystallographically equivalent wave vectors $\{\mathbf{k}\}$ is called the star of \mathbf{k} [21,24]. The average spectral function $\bar{A}(\mathbf{k},\omega)$ at \mathbf{k} is calculated as

$$\bar{A}(\mathbf{k},\omega) = \frac{1}{|\{\mathbf{k}\}|} \sum_{\mathbf{k}' \in \{\mathbf{k}\}} A(\mathbf{k}',\omega). \tag{36}$$

Similarly, we can take the average also for $A^{\mu}(\mathbf{k},\omega)$, $A^{\mu,XX}(\mathbf{k},\omega)$, and $A^{\mu,\overline{XX'}}(\mathbf{k},\omega)$ as

$$\bar{A}^{\mu}(\mathbf{k},\omega) = \frac{1}{|\{\mathbf{k}\}|} \sum_{\mathbf{k}' \in \{\mathbf{k}\}} A^{\mu}(\mathbf{k}',\omega), \tag{37}$$

$$\bar{A}^{\mu}(\mathbf{k},\omega) = \frac{1}{|\{\mathbf{k}\}|} \sum_{\mathbf{k}' \in \{\mathbf{k}\}} A^{\mu}(\mathbf{k}',\omega), \tag{37}$$
$$\bar{A}^{\mu,XX}(\mathbf{k},\omega) = \frac{1}{|\{\mathbf{k}\}|} \sum_{\mathbf{k}' \in \{\mathbf{k}\}} A^{\mu,XX}(\mathbf{k}',\omega), \tag{38}$$

and

$$\bar{A}^{\mu,\overline{XX'}}(\mathbf{k},\omega) = \frac{1}{|\{\mathbf{k}\}|} \sum_{\mathbf{k'} \in \{\mathbf{k}\}} A^{\mu,\overline{XX'}}(\mathbf{k'},\omega), \tag{39}$$

respectively.

III. COMPUTATIONAL DETAILS

Here the computational details to obtain the effective phonon band structure of disordered fcc Cu_{0.75}Au_{0.25} using the current band-unfolding method are described.

A. Supercell models of disordered fcc Cu_{0.75}Au_{0.25}

The atomic configuration in disordered fcc Cu_{0.75}Au_{0.25} was approximated using special quasirandom structures (SQSs) [25]. SOSs mimic fully disordered atomic configurations within limited-size supercells in terms of the correlation functions in the cluster expansion method [26-28]. In this study, the SQSs for the $2 \times 2 \times 2$ (32 atoms) and the $3 \times 3 \times 3$ (108 atoms) supercells of the conventional fcc unit cell were constructed and used to model disordered fcc Cu_{0.75}Au_{0.25}. The SQSs were obtained using simulated annealing [29,30] as implemented in the CLUPAN code [31,32].

B. Electronic structures

The plane-wave basis projector augmented wave (PAW) method [33] was employed in the framework of density functional theory within the generalized gradient approximation of the Perdew-Burke-Ernzerhof form [34] as implemented in the VASP code [35–37]. A plane-wave energy cutoff of 350 eV was used. 3d and 4s electrons were treated as valence electrons for Cu, and 5d and 6s electrons were treated as valence electrons for Au. Other electrons were kept frozen. The BZs were sampled by the Γ -centered $12 \times 12 \times 12$ k-point mesh per conventional fcc unit cell, and the Methfessel-Paxton scheme [38] with a smearing width of 0.4 eV was employed. The total energies were minimized until the energy convergences were less than 10^{-8} eV. Lattice shapes were kept to be cubic, and lattice constants of Cu_{0.75}Au_{0.25} were fixed to the experimental value at room temperature, 3.753 Å [39]. Atoms in the supercell models were initially put on the fcc atomic sites, and then the internal atomic positions were optimized until the residual forces became less than $1 \times 10^{-3} \text{ eV/Å}$.

C. Band unfolding for phonons

The unfolded phonon band structure of disordered fcc Cu_{0.75}Au_{0.25} was obtained as follows. First the second-order force constants of the supercell models were calculated by applying finite atomic displacements of 0.01 Å to the supercell models with the optimized internal atomic positions. Specifically, 192 and 648 sets of atomic positions with displacements were employed for the 32- and the 108-atom supercell models, respectively, to calculate the force constants. No further expansion of the supercell models was applied to calculate the force constants in this study. Next the phonon modes of the supercell models were obtained according to Sec. II A. Here the atoms in the supercell models were supposed to be exactly on the fcc atomic sites before the optimization of the internal atomic positions to provide one-to-one correspondence for the atomic positions between the supercell models and their underlying fcc structure. Finally, the phonon modes were unfolded into the BZ for the primitive fcc unit cell; total and partial spectral functions described in Eqs. (36)-(39) were calculated. δ functions in these spectral functions were smeared by the Lorentzian functions with the half width at half maximum of 0.05 THz for plotting. The band unfolding was performed using our own script in combination with the PHONOPY code [40,41].

IV. RESULTS AND DISCUSSION

Figure 4 shows the phonon band structure of disordered fcc Cu_{0.75}Au_{0.25} obtained using the band-unfolding method based on the first-principles calculations. The 108-atom supercell model shows much smoother spectral function than the 32atom supercell model. To investigate the convergence of the unfolded phonon band structure with respect to supercell size

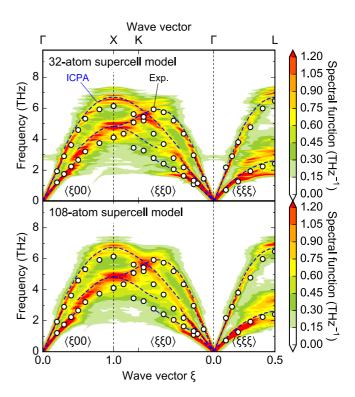


FIG. 4. Phonon band structure of disordered fcc Cu_{0.75}Au_{0.25} calculated using the band-unfolding method. The upper and the lower panels show the results obtained from the 32- and the 108-atom supercell models, respectively. White circles represent experimental data at room temperature [22], and blue dashed curves represent the result calculated using the ICPA method [23].

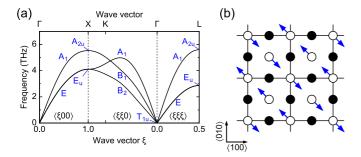


FIG. 5. (a) Phonon band structure of a typical pure fcc metal. Here the result of fcc Cu obtained using first-principles calculations is shown. The label of the SR is also shown for each phonon branch in the Mulliken notation in blue text. (b) Atomic displacements of the B_2 mode at the wave vector $\langle 0.5, 0.5, 0.0 \rangle$ at a certain moment. Squares represent the conventional fcc unit cells, white and black circles represent the atoms in different layers along the $\langle 001 \rangle$ direction, and blue arrows represent the atomic displacements.

in more detail, band-unfolding calculations for $Cu_{0.75}Au_{0.25}$ are also performed using empirical interatomic potentials (see Appendix E). It is found that the unfolded phonon band structure obtained from the 108-atom supercell model is in excellent agreement with that obtained from the 864-atom supercell model and that even the 32-atom supercell model gives the unfolded phonon band structure qualitatively in good agreement with that obtained from the 864-atom supercell model. Hereafter, the results obtained from the 108-atom supercell model are focused on.

The peak positions of the spectral function (red and yellow colored in Fig. 4) roughly form the curves similar to the phonon band structures of typical pure fcc metals, like that shown in Fig. 5(a). The unfolded phonon band structure, however, also shows the "linewidths" of phonon modes. Since the current phonon modes are obtained under the harmonic approximation, these linewidths originate not from the phonon anharmonicity but from the variations of atomic masses and force constants among the atomic sites due to the chemical disorder in Cu_{0.75}Au_{0.25}. Actually, the atomic mass of Au relative to Cu is approximately 3.5. Moreover, the values of the force constants in Cu_{0.75}Au_{0.25} strongly depend on the combinations of the chemical elements and interatomic distance, as shown in Appendix F.

The unfolded phonon band structure can be decomposed according to the SRs as shown in Eq. (33) using the projection operators for SRs defined in Eq. (24). Figure 6 shows this decomposition for the unfolded phonon band structure of disordered fcc $Cu_{0.75}Au_{0.25}$. The A_1 and the B_1 modes along the $\langle 110 \rangle$ direction are clearly separated even when they cross to each other around the wave vector $\langle 0.7, 0.7, 0.0 \rangle$. This is difficult in a previous band-unfolding approach [13], where each mode in the unfolded band structure is determined based on the cumulative spectral function. The modes obtained in the current band-unfolding method are associated with the SRs and hence reflect the crystallographic symmetry. This enables us to analyze the unfolded band structures of disordered systems in very similar manners to the ordinary band structures of ordered systems.

In Fig. 6, the partial spectral functions clearly show several peculiar behaviors which cannot be found for pure metals or ordered alloys. One is that the B_2 -mode branch along the (110) direction, whose associated atomic displacements are shown in Fig. 5(b), looks discontinuous around the wave vector (0.4,0.4,0.0). At this wave vector, there is a jump of the peak positions of the spectral function from around 2 THz to around 3 THz. Another peculiar behavior is that the doubly degenerate E-mode branch along the $\langle 111 \rangle$ direction looks split around the midpoint between the Γ and the L points. At the L point, the split peak positions are found around 2 THz and around 3– 4 THz. To investigate whether or not these peculiar behaviors are found also in larger-size supercell models, we also check the unfolded phonon band structure of Cu_{0.75}Au_{0.25} calculated using empirical interatomic potentials (see Appendix E). It is found that the discontinuous and the split modes are still found up to the 864-atom supercell model, where the unfolded phonon band structure is almost converged with respect to the supercell size. Therefore, these peculiar behaviors are probably not spurious ones due to the limited supercell size, but reveal physically meaningful characteristics of disordered fcc Cu_{0.75}Au_{0.25} originating from its chemical disorder.

To elucidate the origins of the discontinuous and the split branches, the partial spectral functions for these modes are further decomposed into the contributions of the combinations of the chemical elements, as shown in Eqs. (38) and (39). Figure 7(a) shows the result for the B_2 mode along the $\langle 110 \rangle$ direction. Around the Γ point, all Cu-Cu, Cu-Au, and Au-Au contribute to the B_2 modes. Cu-Cu also contributes to the B_2 modes around 2–3 THz, but this Cu-Cu contribution is canceled out by the negative Cu-Au contribution. As explained in Sec. II C 3 and Fig. 3, when the Cu-Au contribution is negative, Cu and Au atoms hypothetically on the same position tend to move to the opposite directions, although each chemical element in itself shows the B_2 -mode atomic movements. When the wave vector goes away from the Γ point, the peak contributed by all the combinations of the chemical elements almost disappears around the wave vector (0.4,0.4,0.0), where the peak frequency is around 2 THz. Instead, at this wave vector, the Cu-Cu contribution makes a new peak around 3 THz, which continues up to the X point. As a result, the B_2 -mode branch looks discontinuous around (0.4,0.4,0.0). Figure 7(b) shows the decomposition of the doubly degenerate E modes along the $\langle 111 \rangle$ direction into the contributions of the combinations of the chemical elements. Like the B_2 mode along the (110) direction, all Cu-Cu, Cu-Au, and Au-Au contribute to the E modes around the Γ point. The cancellation between the Cu-Cu and the Cu-Au contributions is also found around 2-3 THz. Cu-Au and Au-Au continue to contribute to this peak up to the L points, while Cu-Cu contribute less to this peak as the wave vector goes away from the Γ point. Instead, around the midpoint between the Γ and the L points, Cu-Cu makes a new peak around 3 THz, which continues up to the L point. As a result, the E modes look to have two peaks from around the midpoint between the Γ and the L points. Overall, it can be said that the discontinuous and the split branches occur because different combinations of the chemical elements contribute to different regions of frequency.

In Fig. 4, we also compare the phonon band structure of Cu_{0.75}Au_{0.25} calculated using the band-unfolding method

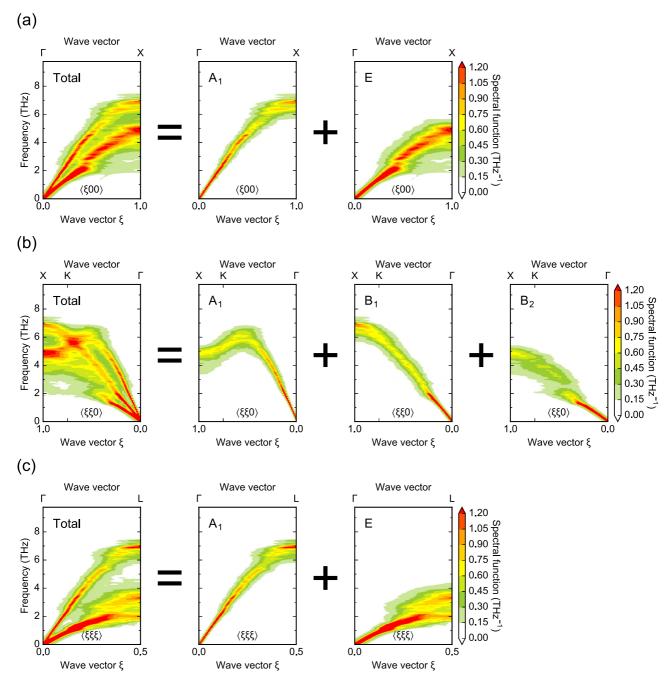


FIG. 6. Decomposition of the unfolded phonon band structure of disordered fcc $Cu_{0.75}Au_{0.25}$ according to the SRs along (a) the $\langle 100 \rangle$, (b) the $\langle 110 \rangle$, and (c) the $\langle 111 \rangle$ directions. The result is obtained from the 108-atom supercell model. The leftmost panels show the total spectral function, while the other panels show the partial spectral functions corresponding to different SRs specified at the upper left of the panels.

with those calculated using the itinerant coherent potential approximation (ICPA) method [42]. In the ICPA method, the phonon band structure of a disordered system is calculated with consideration in the variations of both atomic masses and force constants among the atomic sites, like the band-unfolding method, while the ICPA method is based not on the supercell approach but on the augmented-space formalism [43]. The peak positions of the spectral function of the band-unfolding method are mostly in agreement with those of the ICPA method. The result of the ICPA method shows the discontinuity

in the lowest-frequency branch along the $\langle110\rangle$ direction as well as the result of the band-unfolding method.

Finally, in Fig. 4, the phonon band structure of $Cu_{0.75}Au_{0.25}$ calculated using the band-unfolding method is mostly in agreement with experimental data [22]. The experimental data, however, do not clearly show the discontinuous branch along the $\langle 110 \rangle$ direction or the split branch along the $\langle 111 \rangle$ direction, unlike the result of the band-unfolding method. The reason for this discrepancy is not clear, but since both the band-unfolding and the ICPA methods show the discontinuous branch along

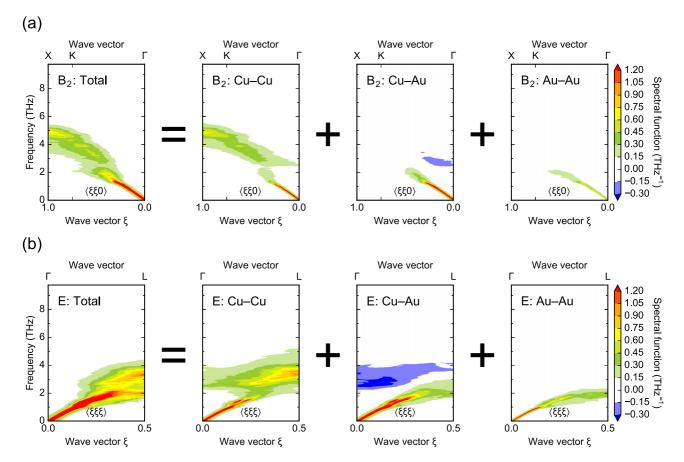


FIG. 7. (a) Decomposition of the partial spectral function for the B_2 mode along the $\langle 110 \rangle$ direction into the contributions of the combinations of the chemical elements for disordered fcc Cu_{0.75}Au_{0.25}. The result is obtained from the 108-atom supercell model. The leftmost panel shows the partial spectral function for the B_2 mode in total, while the other panels show the contributions of the combinations of chemical elements specified at the upper left in the panels. (b) The same as (a), but for the doubly degenerate E modes along the $\langle 111 \rangle$ direction.

the (110) direction, we think that the discontinuous branch should be found in computational approaches as far as we incorporate the variations of atomic masses and force constants among the atomic sites into the calculations. It should be noted that discontinuous phonon branches have been observed also in experiments for disordered fcc Ni-Pt [44] and Cu-Pt [45] alloys, which have large variations of atomic masses and, possibly, force constants among the atomic sites as well as disordered fcc Cu_{0.75}Au_{0.25}. This fact implies that the experimental data for Cu_{0.75}Au_{0.25} might have overlooked the peculiar behaviors found in the computational approaches. It should also be mentioned that the effective phonon band structure of Cu_{0.25}Au_{0.25} calculated using the average atomic mass and force constants (or dynamical matrices) over the chemical elements, reported in Ref. [46], seems to be in good agreement with the experimental data. However, the approach in Ref. [46] ignores the variations of atomic masses and force constants among the atomic sites inherent in disordered alloys and hence does not sufficiently describe the actual situation in disordered alloys. As shown above, these variations are large in Cu_{0.75}Au_{0.25} and hence should be explicitly incorporated into calculations of the effective phonon band structure. The computational result in Ref. [46] using the average values may be accidentally in agreement with the experimental data.

V. CONCLUSIONS

In this study, we develop a procedure to decompose the effective band structures obtained using the band-unfolding method according to the SRs of the little groups. For the decomposition, we derive the projection operators for SRs based on a group-theoretical approach. The current procedure enables us to compare the band structure of a disordered system with that of an ordered system or of another disordered system in a consistent manner in terms of crystallographic symmetry.

The current band-unfolding method is applied to the phonon band structure of disordered fcc Cu_{0.75}Au_{0.25}, which has large variations of atomic masses and force constants among the atomic sites due to the chemical disorder. The calculated phonon band structure shows the linewidths of phonon modes induced by the chemical disorder in Cu_{0.75}Au_{0.25}. The phonon band structure also shows several peculiar behaviors such as the discontinuous and the split branches for the modes corresponding to specific SRs. These peculiar behaviors occur because different combinations of the chemical elements contribute to different regions of frequency for these branches.

The band-unfolding method can be applied not only to systems with chemical disorder but, in principle, also to those with magnetic disorder. Recently, several computational approaches have been attempted to obtain the phonon band structures of magnetic systems in the high-temperature paramagnetic (PM) phase [47–54]. It may be possible to also employ the band-unfolding method for obtaining the phonon band structures in the PM phase modeled by a supercell model with disordered magnetic moments, which enables us to estimate the impact of thermal magnetic fluctuation on the phonon band structures.

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APPENDIX A: TRANSFORMATION OF FUNCTIONS

When $\{\mathbf{R}|\mathbf{w}\}$ is applied to a scalar-field function $f(\mathbf{x})$, the transformed function $f'(\mathbf{x}) \equiv \{\mathbf{R}|\mathbf{w}\} f(\mathbf{x})$ satisfies

$$f'(\{\mathbf{R}|\mathbf{w}\}\mathbf{x}) = f(\mathbf{x}). \tag{A1}$$

Therefore,

$$f'(\mathbf{x}) = f(\{\mathbf{R}|\mathbf{w}\}^{-1}\mathbf{x})$$
$$= f(\mathbf{R}^{-1}\mathbf{x} - \mathbf{R}^{-1}\mathbf{w}), \tag{A2}$$

where $\{\mathbf{R}|\mathbf{w}\}^{-1} \equiv \{\mathbf{R}^{-1}|-\mathbf{R}^{-1}\mathbf{w}\}$ is the inverse transformation operator to $\{\mathbf{R}|\mathbf{w}\}$. Similarly, when $\{\mathbf{R}|\mathbf{w}\}$ is applied to a vector-field function $\mathbf{f}(\mathbf{x})$, the transformed function $\mathbf{f}'(\mathbf{x}) \equiv \{\mathbf{R}|\mathbf{w}\}\mathbf{f}(\mathbf{x})$ satisfies

$$\mathbf{f}'(\{\mathbf{R}|\mathbf{w}\}\mathbf{x}) = \mathbf{R}\mathbf{f}(\mathbf{x}). \tag{A3}$$

Therefore,

$$\mathbf{f}'(\mathbf{x}) = \mathbf{R}\mathbf{f}(\{\mathbf{R}|\mathbf{w}\}^{-1}\mathbf{x})$$
$$= \mathbf{R}\mathbf{f}(\mathbf{R}^{-1}\mathbf{x} - \mathbf{R}^{-1}\mathbf{w}). \tag{A4}$$

APPENDIX B: ORTHOGONALITY RELATIONS FOR SRs

Here we show the orthogonality relations for the SRs of the little group \mathcal{G}^k of the wave vector \mathbf{k} , which are used to derive the projection operators for SRs in Eq. (24). Since \mathcal{G}^k is an infinite group, we cannot directly use the orthogonality relations for IRs for finite groups. As shown below, however, we can derive the orthogonality relations for the coset representatives of \mathcal{G}^k relative to the translation subgroup \mathcal{T} , which is very similar to the orthogonality relations for finite groups. First we show that the SRs of \mathcal{G}^k can be written using the IPRs of the little cogroup $\bar{\mathcal{G}}^k$ in the same manner as in the literature (e.g., Sec. 14.4.2 in Ref. [18]). Then we derive the orthogonality relations for the SRs using the orthogonality relations for the IPRs of $\bar{\mathcal{G}}^k$.

 $\mathcal{G}^{\mathbf{k}}$ is decomposed using the coset representatives $\{\mathbf{R}_{j}|\mathbf{w}_{j}\}$ relative to \mathcal{T} , as shown in Eq. (23). The coset representatives satisfy the following multiplication rule:

$$\{\mathbf{R}_i|\mathbf{w}_i\}\{\mathbf{R}_k|\mathbf{w}_k\} = \{\mathbf{I}_3|\mathbf{t}\}\{\mathbf{R}_l|\mathbf{w}_l\},\tag{B1}$$

where $\mathbf{R}_l = \mathbf{R}_j \mathbf{R}_k$, and $\mathbf{t} = \mathbf{R}_j \mathbf{w}_k + \mathbf{w}_j - \mathbf{w}_l \in \mathcal{T}$. The μ th SR $\Gamma^{\mathbf{k}\mu}$ of $\mathcal{G}^{\mathbf{k}}$ then satisfies

$$\Gamma^{\mathbf{k}\mu}(\{\mathbf{R}_j|\mathbf{w}_j\})\Gamma^{\mathbf{k}\mu}(\{\mathbf{R}_k|\mathbf{w}_k\}) = \exp[-i\mathbf{k}\cdot\mathbf{t}]\Gamma^{\mathbf{k}\mu}(\{\mathbf{R}_l|\mathbf{w}_l\}),$$
(B2)

where the property of SRs in Eq. (20) is applied to $\{I_3|t\}$. Suppose that $\Gamma^{k\mu}(\{R|w\})$, where $\{R|w\}\in\mathcal{G}^k$, is decomposed as

$$\Gamma^{\mathbf{k}\mu}(\{\mathbf{R}|\mathbf{w}\}) = \exp[-i\mathbf{k}\cdot\mathbf{w}]\Delta^{\mathbf{k}\mu}(\mathbf{R}). \tag{B3}$$

By substituting Eq. (B3) into Eq. (B2),

$$\exp[-i\mathbf{k}\cdot\mathbf{w}_{j}]\Delta^{\mathbf{k}\mu}(\mathbf{R}_{j})\exp[-i\mathbf{k}\cdot\mathbf{w}_{k}]\Delta^{\mathbf{k}\mu}(\mathbf{R}_{k})$$

$$=\exp[-i\mathbf{k}\cdot\mathbf{t}]\exp[-i\mathbf{k}\cdot\mathbf{w}_{l}]\Delta^{\mathbf{k}\mu}(\mathbf{R}_{l}); \qquad (B4)$$

$$\therefore \Delta^{\mathbf{k}\mu}(\mathbf{R}_{i})\Delta^{\mathbf{k}\mu}(\mathbf{R}_{k}) = \lambda(j,k)\Delta^{\mathbf{k}\mu}(\mathbf{R}_{i}\mathbf{R}_{k}), \qquad (B5)$$

where $\lambda(j,k) \equiv \exp[-i(\mathbf{R}_j^T\mathbf{k} - \mathbf{k}) \cdot \mathbf{w}_k] \cdot \lambda(j,k)$ is found to satisfy $\lambda(j,k)\lambda(jk,m) = \lambda(j,km)\lambda(k,m)$. Equation (B5) therefore indicates that $\Delta^{\mathbf{k}\mu}$ is a projective representation (PR) [18,21] of the little cogroup $\bar{\mathcal{G}}^{\mathbf{k}}$ with the factor system defined as the set of $\lambda(j,k)$. $\Delta^{\mathbf{k}\mu}$ is irreducible because $\Gamma^{\mathbf{k}\mu}$ is supposed to be irreducible. Now $|\lambda(j,k)|$ is equal to one for all the combinations of the coset representatives, and hence the IPR $\Delta^{\mathbf{k}\mu}$ can be transformed to be unitary without changing the factor system. This can be proved in a similar manner to that in, e.g., Theorem 12.3.1 in Ref. [18]. $\Delta^{\mathbf{k}\mu}$ can therefore be supposed to be unitary without loss of generality. Note that in special cases, the set of the coset representatives can be chosen so that $\lambda(j,k)$ is equal to one for all the combinations of the coset representatives. In such cases, $\Delta^{\mathbf{k}\mu}$ reduces to an ordinary IR of $\bar{\mathcal{G}}^{\mathbf{k}}$. Such cases occur, e.g., when the space group \mathcal{G} is symmorphic or when \mathbf{k} is not on the BZ boundary.

The set of the unitary IPRs $\{\Delta^{k\mu}\}$ belonging to the same factor system satisfies the following orthogonality relations (see, e.g., Theorem 12.3.2 in Ref. [18]):

$$\sum_{\mathbf{R}=\bar{\mathcal{G}}^{\mathbf{k}}} \Delta_{jk}^{\mathbf{k}\mu}(\mathbf{R}) \Delta_{j'k'}^{\mathbf{k}\nu}(\mathbf{R})^* = \frac{|\bar{\mathcal{G}}^{\mathbf{k}}|}{d_{\mu}} \delta_{\mu\nu} \delta_{jj'} \delta_{kk'}.$$
 (B6)

Using Eq. (B6), it is also found that the set of the SRs $\{\Gamma^{k\mu}\}$ satisfies the following orthogonality relations for the coset representatives:

$$\sum_{l=1}^{|\vec{\mathcal{G}}^{\mathbf{k}}|} \Gamma_{jk}^{\mathbf{k}\mu}(\{\mathbf{R}_{l}|\mathbf{w}_{l}\}) \Gamma_{j'k'}^{\mathbf{k}\nu}(\{\mathbf{R}_{l}|\mathbf{w}_{l}\})^{*}$$

$$= \sum_{l=1}^{|\vec{\mathcal{G}}^{\mathbf{k}}|} \exp[-i\mathbf{k}\cdot\mathbf{w}_{l}] \Delta_{jk}^{\mathbf{k}\mu}(\mathbf{R}_{l}) \exp[i\mathbf{k}\cdot\mathbf{w}_{l}] \Delta_{j'k'}^{\mathbf{k}\nu}(\mathbf{R}_{l})^{*}$$

$$= \frac{|\vec{\mathcal{G}}^{\mathbf{k}}|}{d_{\mu}} \delta_{\mu\nu} \delta_{jj'} \delta_{kk'}.$$
(B7)

It should be emphasized that although Eq. (B7) looks similar to Eq. (B6), Eq. (B7) is for the little group \mathcal{G}^k , which is an infinite group.

APPENDIX C: DERIVATION OF EQ. (18)

The notations follow those in Sec. II C 1.

$$\hat{P}^{\mathbf{k}_{k}} f^{\mathbf{K}}(\mathbf{x}) = \frac{1}{N} \sum_{j=1}^{N} \exp[i\mathbf{k}_{k} \cdot \mathbf{t}_{j}] \hat{t}_{j} \sum_{l=1}^{N} c_{\mathbf{k}_{l}} f^{\mathbf{k}_{l}}(\mathbf{x})$$

$$= \frac{1}{N} \sum_{j=1}^{N} \exp[i\mathbf{k}_{k} \cdot \mathbf{t}_{j}] \sum_{l=1}^{N} c_{\mathbf{k}_{l}} [\hat{t}_{j} f^{\mathbf{k}_{l}}(\mathbf{x})]$$

$$= \frac{1}{N} \sum_{j=1}^{N} \exp[i\mathbf{k}_{k} \cdot \mathbf{t}_{j}] \sum_{l=1}^{N} c_{\mathbf{k}_{l}} f^{\mathbf{k}_{l}}(\mathbf{x}) \exp[-i\mathbf{k}_{l} \cdot \mathbf{t}_{j}]$$

$$[\because \text{Eq. (16)}]$$

$$= \sum_{l=1}^{N} c_{\mathbf{k}_{l}} f^{\mathbf{k}_{l}}(\mathbf{x}) \left\{ \frac{1}{N} \sum_{j=1}^{N} \exp[i(\mathbf{k}_{k} - \mathbf{k}_{l}) \cdot \mathbf{t}_{j}] \right\}$$

$$= \sum_{l=1}^{N} c_{\mathbf{k}_{l}} f^{\mathbf{k}_{l}}(\mathbf{x}) \delta_{kl} \left[\because \text{Eq. (13)}\right]$$

$$= c_{\mathbf{k}_{k}} f^{\mathbf{k}_{k}}(\mathbf{x}). \tag{C1}$$

APPENDIX D: DERIVATION OF EQ. (25)

The notations follow those in Sec. II C 2.

$$\hat{P}^{\mathbf{k}\mu} f^{\mathbf{k}}(\mathbf{x}) = \frac{d_{\mu}}{|\bar{\mathcal{G}}^{\mathbf{k}}|} \sum_{j=1}^{|\bar{\mathcal{G}}^{\mathbf{k}}|} \chi^{\mathbf{k}\mu}(\hat{g}_{j})^{*} \hat{g}_{j} \sum_{\nu} \sum_{s=1}^{n_{\nu}} \sum_{k=1}^{d_{\nu}} c_{\nu s k} f^{\mathbf{k} \nu s k}(\mathbf{x})$$

$$= \frac{d_{\mu}}{|\bar{\mathcal{G}}^{\mathbf{k}}|} \sum_{j=1}^{|\bar{\mathcal{G}}^{\mathbf{k}}|} \chi^{\mathbf{k}\mu}(\hat{g}_{j})^{*} \sum_{\nu} \sum_{s=1}^{n_{\nu}} \sum_{k=1}^{d_{\nu}} c_{\nu s k} [\hat{g}_{j} f^{\mathbf{k} \nu s k}(\mathbf{x})]$$

$$= \frac{d_{\mu}}{|\bar{\mathcal{G}}^{\mathbf{k}}|} \sum_{j=1}^{|\bar{\mathcal{G}}^{\mathbf{k}}|} \chi^{\mathbf{k}\mu}(\hat{g}_{j})^{*} \sum_{\nu} \sum_{s=1}^{n_{\nu}} \sum_{k=1}^{d_{\nu}} c_{\nu s k}$$

$$\times \left[\sum_{l=1}^{d_{\nu}} f^{\mathbf{k} \nu s l}(\mathbf{x}) \Gamma^{\nu}_{l k}(\hat{g}_{j}) \right] \quad [\because \text{Eq. (22)}]$$

$$= \sum_{m=1}^{d_{\mu}} \sum_{\nu} \sum_{s=1}^{n_{\nu}} \sum_{k=1}^{d_{\nu}} c_{\nu s k} \sum_{l=1}^{d_{\nu}} f^{\mathbf{k} \nu s l}(\mathbf{x})$$

$$\times \left[\frac{d_{\mu}}{|\bar{\mathcal{G}}^{\mathbf{k}}|} \sum_{j=1}^{|\bar{\mathcal{G}}^{\mathbf{k}}|} \Gamma^{\mu}_{m m}(\hat{g}_{j})^{*} \Gamma^{\nu}_{l k}(\hat{g}_{j}) \right]$$

$$= \sum_{m=1}^{d_{\mu}} \sum_{\nu} \sum_{s=1}^{n_{\nu}} \sum_{k=1}^{d_{\nu}} c_{\nu s k} \sum_{l=1}^{d_{\nu}} f^{\mathbf{k} \nu s l}(\mathbf{x}) \delta_{\mu \nu} \delta_{m l} \delta_{m k}$$

$$\times [\because \text{Eq. (B7)}]$$

$$= \sum_{n_{\mu}} \sum_{l=1}^{d_{\mu}} c_{\mu s k} f^{\mathbf{k} \mu s k}(\mathbf{x}). \quad (D1)$$

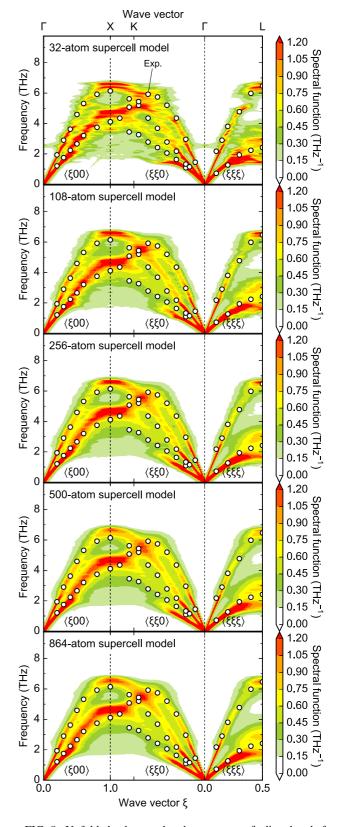


FIG. 8. Unfolded phonon band structure of disordered fcc $Cu_{0.75}Au_{0.25}$ calculated using the EAM interatomic potentials. The sizes of the supercell models are shown at the upper left in the panels. White circles represent experimental data at room temperature [22].

APPENDIX E: UNFOLDED PHONON BAND STRUCTURE CALCULATED USING EMPIRICAL INTERATOMIC POTENTIALS

Here we investigate the convergence of the unfolded phonon band structure of disordered fcc Cu_{0.75}Au_{0.25} with respect to the supercell size using the empirical embedded-atom-method (EAM) interatomic potentials. In Sec. IV, the unfolded phonon band structure of disordered fcc Cu_{0.75}Au_{0.25} is obtained from first-principles calculations using the 32- and the 108-atom supercell models. Although we can find several peculiar behaviors such as the discontinuous and the split branches in the unfolded phonon band structure, one may wonder if these peculiar behaviors are spurious due to the limited supercell size. Therefore, it is worth confirming the cell-size convergence of the unfolded phonon band structure. However, it requires prohibitively high computational costs to calculate the second-order force constants for further larger supercell models of disordered fcc Cu_{0.75}Au_{0.25} based on first principles. The use of the EAM interatomic potentials enables us to access the further larger supercell models because it requires much less computational costs to calculate the second-order force constants than first-principles calculations.

Disordered fcc $Cu_{0.75}Au_{0.25}$ was modeled using the 2 \times 2 \times 2, $3 \times 3 \times 3$, $4 \times 4 \times 4$, $5 \times 5 \times 5$, and $6 \times 6 \times 6$ supercells of the conventional fcc unit cell, including 32, 108, 256, 500, and 864 atoms, respectively. For the 32- and the 108-atom supercell models, the chemical disorder was approximated using the SQSs the same as those used in the first-principles calculations, while for the further larger supercell models, the chemical disorder was approximated using a pseudorandomnumber generator. We used the EAM interatomic potentials parametrized by Foiles et al. [55] as implemented in the LAMMPS code [56]. The lattice shape was kept cubic, and the lattice constant of Cu_{0.75}Au_{0.25} was fixed to the experimental value at room temperature, 3.753 Å [39]. The internal atomic positions were optimized until the residual forces became less than 1×10^{-9} eV/Å. The second-order force constants of the supercell models were calculated using finite atomic displacements of 0.01 Å with no further expansion of the supercell models. Phonon modes obtained from the supercell models were unfolded into the BZ for the primitive fcc unit

Figure 8 shows the unfolded phonon band structure of disordered fcc $Cu_{0.75}Au_{0.25}$ calculated using the EAM interatomic potentials. The results are qualitatively very similar to those obtained using first-principles calculations shown in Fig. 4. The spectral function is almost converged at the 108-atom supercell model; the result of the 108-atom supercell model is

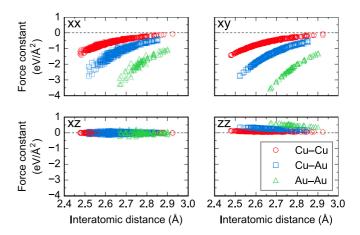


FIG. 9. Distributions of the second-order force constants between the 1NN atomic pairs with respect to interatomic distance for disordered fcc $Cu_{0.75}Au_{0.25}$ obtained from the 108-atom supercell model. Red circles, blue squares, and green triangles are for Cu-Cu, Cu-Au (Au-Cu), and Au-Au pairs, respectively. The first and the second chemical components are supposed to be on (0,0,0) and (1/2,1/2,0), respectively, in fractional coordinates for the conventional fcc unit cell. Each panel corresponds to the symmetrically inequivalent element of the force constants specified at the upper left in the panel in Cartesian coordinates, where the first and the second symbols are for the first and the second chemical components, respectively.

very similar to the result of the 864-atom supercell model. Actually, even the result of the 32-atom supercell model captures most characteristics of the spectral functions of the larger supercell models. The discontinuous branch along the (110) direction and the split branch along the (111) direction are found in the EAM results, as well as the first-principles results, even for the 864-atom supercell model. Since the spectral function is expected to be converged at the 864-atom supercell model, this result implies that the discontinuous and the split branches are not spurious behaviors due to the limited supercell size, but realistic ones originating from the chemical disorder in Cu_{0.75}Au_{0.25}. As analyzed in Sec. IV, the discontinuous and the split branches occur because different combinations of the chemical elements contribute to different regions of frequency for the modes corresponding to specific SRs.

APPENDIX F: VARIATIONS OF FORCE CONSTANTS IN $Cu_{0.75}Au_{0.25}$

Figure 9 shows the distributions of the second-order force constants between the first-nearest-neighbor (1NN) atomic

TABLE I. Average and standard deviation (SD) of the second-order force constants and interatomic distances between the 1NN atomic pairs.

	Force constants (eV/Å ²)									
	Interatomic distance (Å)		xx		xy		xz		zz	
	Average	SD	Average	SD	Average	SD	Average	SD	Average	SD
Cu-Cu	2.626	0.078	-0.636	0.237	-0.718	0.261	0.000	0.028	0.086	0.020
Cu-Au	2.679	0.070	-1.165	0.405	-1.368	0.441	0.000	0.052	0.256	0.048
Au-Au	2.776	0.055	-1.919	0.497	-2.279	0.532	0.000	0.084	0.502	0.066

pairs with respect to interatomic distance for disordered fcc $Cu_{0.75}Au_{0.25}$ calculated from the 108-atom supercell model. Table I summarizes the average and the standard deviation of the force constants.

The force constants clearly depend on the combinations of the chemical elements. At a certain interatomic distance, the force constants of the Cu-Cu pairs are smaller in magnitude than those of the Cu-Au (Au-Cu) and the Au-Au pairs. The strong dependence of the force constants on the combinations of the chemical elements indicates that it is not adequate to take the average of force constants over the combinations of the chemical elements to describe the real physics in $Cu_{0.75}Au_{0.25}$.

The force constants also depend on the interatomic distance. The element-resolved force constants tend to be smaller in magnitude as the bond distance increases.

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