# Disorder effects on the band structure of ZnGeN<sub>2</sub>: Role of exchange defects

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The role of exchange defects on the band structure of ZnGeN<sub>2</sub> is investigated. Exchange defects are defined through the exchange of cations Zn and Ge starting from the ideal  $Pna2_1$  crystal structure, which obeys the local octet rule. Each such exchange creates several nitrogen-centered tetrahedra which violate the local octet rule, although overall charge neutrality is preserved. We study several distributions of exchange defects, some with all antisites making up the exchange defect close to each other and with increasing numbers of exchange defects, and others where the two types of antisites  $Zn_{Ge}$  and  $Ge_{Zn}$  are kept separated from each other. We also compare the results for these models with a fully random distribution of Zn and Ge on the cation sites. We show that for a single-nearest-neighbor exchange defect, the band gap is narrowed by about 0.5 eV due to two effects: (1) the Zn<sub>Ge</sub> antisites form filled acceptor states just above and merging with the valence-band maximum (VBM) of perfect crystal  $ZnGeN_2$  and (2) the  $Ge_{Zn}$  antisites form a resonance in the conduction band which lowers the conduction-band minimum (CBM). When more exchange defects are created, these acceptor states broaden into bands which can lower the gap further. When tetrahedra occur surrounded completely by four Zn atoms, states even deeper in the gap are found localized all near these tetrahedra, forming a separate intermediate band. Finally, for phase-segregated Zn<sub>Ge</sub> and Ge<sub>Zn</sub>, the gap is significantly more reduced, but no separate band is found to occur. The Zn<sub>Ge</sub> acceptorlike states now form a percolating defect band which is significantly wider and hence reaches deeper into the gap. In all cases, the wave functions near the top of the new VBM remain, to some extent, localized near the Zn<sub>Ge</sub> sites. For a fully random case, the gap is even more severely reduced by almost 3 eV. The total energy of the system increases with the number of octet-rule-violating tetrahedra and the energy cost per exchange defect of order 2 eV is quite high.

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## I. INTRODUCTION

Recently, there has been significant new interest in heterovalent ternary nitrides, such as  $ZnGeN_2$  and  $ZnSnN_2$  [1–8]. These materials can formally be thought of as derived from the binary III-N nitrides by replacing the group III by, alternatingly, a group-II element, such as Zn, and a group-IV element, such as Ge or Sn. These heterovalent ternaries have properties both similar to and complementary to the well-studied III-N semiconductors. However, the additional degree of freedom of having two different valence cations leads to new questions and additional complexity. Most notable is the question of the ordering of the cations.

While the most stable crystal structure found so far for most of these materials has the  $\beta$ -NaFeO<sub>2</sub> structure with space group Pna21, disordered wurtzitelike phases, sometimes identified as having monoclinic structure, have also been reported [2,3,9,10]. Besides the 16-atom unit-cell *Pna*2<sub>1</sub> structure, another 8-atom cell with space group  $Pmc2_1$  was proposed by Lahourcade etal. [4]. Both of these structures are unique in that within the overall wurtzite lattice they preserve the octet rule locally in each tetrahedron. That is, each tetrahedron surrounding an N has exactly two group-II and two group-IV elements such that the charge neutrality is conserved locally. In a recent paper, Quayle etal. [8] showed that these are the only two small unit cells that satisfy this rule. Moreover, these authors showed that these two structures can be viewed as simply a different stacking of rows of alternating group-II and group-IV atoms in the basal plane. This result then suggested that random stackings could also occur and led to a new model for disordered structures which are constrained to preserve the charge neutrality, as opposed to completely random placement of the II and IV atoms on the wurtzite lattice, which would only preserve neutrality globally but not locally. This means, for instance, that some tetrahedra would have one group-II and three group-IV atoms, which we will call a (1,3) tetrahedron, and be compensated by the opposite (3,1)tetrahedra elsewhere in the structure. In principle, in a fully disordered structure, even (0,4) and (4,0) tetrahedra would occur. In Quayle etal. [8], it was shown that a structure with only (1,3) and (3,1) tetrahedra had a significantly higher total energy and lower band gap, and that both structures  $Pna2_1$  and  $Pmc2_1$ , with only (2,2) tetrahedra, had almost the same energy and band gap. On the other hand, it was recently proposed by Feldberg etal. [6] that for ZnSnN<sub>2</sub>, a significantly lower band gap was obtained for "fully" disordered material as compared to the ideal Pna21 structure. However, what constitutes "fully disordered" was not identified, and statistics on the number of each type of tetrahedron in the structure was not provided.

In previous work [8], we hypothesized that the origin of the decrease of the band gap in disordered structures is related to "wrong" tetrahedra, i.e., tetrahedra other than (2,2) that violate the local charge neutrality. In this paper, we further pursue this question by considering so-called exchange defects. These consist of a swap of a group-II and a group-IV atom, which we call an exchange defect. First of all, we analyze how many "wrong" tetrahedra such an exchange defect produces. Second, we model a large supercell in which we make a number of exchange swaps. We study the behavior of the gap and states in the gap as functions of the distribution of the exchange defects and also compare these results with those of a fully random structure, as more specifically defined later. Finally, we relate these results to those of the individual antisite defects which were recently studied [11]. In this study, we focus on ZnGeN<sub>2</sub>

because it has a larger gap than  $ZnSnN_2$ . In the local density approximation, the gap of  $ZnSnN_2$  becomes very small and this makes it difficult to study the defect-related bands in the gap. However, the essential physics should be similar, so the main conclusions will transfer to the case of  $ZnSnN_2$  as well.

## **II. METHODS AND MODELS**

The calculations were done within the local density approximation (LDA) to density functional theory [12–14]. The full-potential linearized muffin-tin orbital method [15,16] was used for the solution of the Kohn-Sham equations and the band structures. The basis sets used and other details of the computation are the same as those reported in Ref. [11]. Each structure was relaxed by a conjugate gradient method to find the closest local minimum for a given configuration.

We studied several supercells, each consisting of 128 atoms, which is a  $2 \times 2 \times 2$  supercell of the 16-atom primitive unit cell of ZnGeN<sub>2</sub>, with various arrangements of exchange defects. While in a 128-atom cell there may still be significant defect-defect interactions, especially when considering these somewhat extended defect complexes, we emphasize that in this study, it is not our purpose to exclude such defect-defect interactions but precisely to include them. We are not focused on the dilute limit of such defect complexes, but on their effect on the overall band gap of the system, including the defect-defect interaction effects.

In Fig. 1, we illustrate the structure of a single exchange defect. One can see that in the perfect crystal structure, each horizontal row has alternating blue (Zn) and pink (Ge) atoms. The single exchange defect shown in Fig. 1(a) corresponds to a swap of two nearest-neighbor cations (connected to the same N) in the basal plane, as indicated by the red line. As explained in the figure caption, this exchange defect leads to three (1,3) and three (3,1) "wrong" tetrahedra. A slightly different exchange defect can be made by swapping two atoms in neighboring planes, as illustrated in Figs. 1(b)-1(d). As the caption explains, this defect also has three (1,3) and three (3,1) tetrahedra. The same is true for more distant atom swaps. Once we start adding more exchange defects, the defective regions may start overlapping and the number of wrong tetrahedra per swap may be reduced. Specifically, we used three different structures each with two exchange defects, which have, respectively, four, five, and six (1,3) and (3,1) tetrahedra. These structures are shown in Fig. 2 and the statistics of their tetrahedra are listed in Table I, along with other models and calculated properties.



FIG. 1. (a) Single exchange defect between atoms in the same basal plane. The blue and pink spheres indicate Zn and Ge; the small white spheres indicate N. The red line indicates the swapped atoms. The yellow spheres indicate N surrounded by 3 Ge and 1 Zn (1,3) tetrahedron; the red spheres indicate N surrounded by 3 Zn and 1 Ge (3,1) tetrahedron. Additional (1,3) and (3,1) tetrahedra occur above each antisite. (b) Single exchange defect between near-neighbor Zn and Ge in adjacent planes (B and C). In (b), showing layers B on top of C, one can see two (1,3) tetrahedra indicated by the yellow N. In (c) (layers A on top of B), one can see a third (1,3) tetrahedron. In (d), showing layers C on top of D, one can see three (3,1) tetrahedra indicated by red N spheres.

Next, we used two different distributions of three swaps: one in which they were all close neighbors and the second in which we kept the  $Zn_{Ge}$  separated from the  $Ge_{Zn}$ . The latter was made by first occupying sites according to a random number generator, and next we swapped atoms by hand to keep the antisites from being near neighbors.

Finally, we also study a more fully random system. The latter is defined in terms of the special quasirandom structures (SQS) approach [17]. In the SQS approach, one constructs an ordered structure such that various pair-correlation functions and higher-order correlation functions between spins a certain distance from each other agree as closely as possible with the fully random distributions. Instead of considering pairs

TABLE I. Numbers of tetrahedra of different types in various models, their LDA band gaps, and energies of formation. The type of tetrahedron is indicated as  $(n_{Zn}, n_{Ge})$  with  $n_{Zn}$   $(n_{Ge})$  the number of Zn (Ge) neighbors to each N.

Model	(2,2)	(1,3)	(3,1)	(0,4)	(4,0)	$E_{\rm gap}~({\rm eV})$	$E_{\rm for}~({\rm eV})$	Comment
1-swap	58	3	3	0	0	1.39	2.8	defect level close to VBM
2-swaps (a)	56	4	4	0	0	1.58	2.3	
2-swaps (b)	54	5	5	0	0	1.26	2.9	
2-swaps (c)	52	6	6	0	0	1.01	4.7	
3-swaps	47	9	7	0	1	0.50	7.4	intermediate band
separated 3 $Zn_{Ge}$ and 3 $Ge_{Zn}$ random	41 24	10 16	12 16	0 4	0 4	0.44 0.33 <sup>a</sup>	10.5 20.0	reduced gap, raised VB

<sup>a</sup>LDA+U gap



FIG. 2. Three different models with two exchange defects each and, respectively, four, five, and six wrong tetrahedra in cases (a)–(c). The blue spheres indicate Zn, the pink spheres indicate Ge, the small spheres are N, with the yellow ones indicating a (1,3) and the red ones indicating a (3,1) tetrahedron.

on the cation lattice, we consider the five possible tetrahedra around each N, i.e., (0,4), (1,3), (2,2), (3,1), (4,0), which in the fully random case should occur according to the binomial distribution, which for equal concentrations of the two cations means in the ratio 1/16, 4/16, 6/16, 4/16, 1/16. Using a search among various models with the 64 cation sites populated according to a random-number generator, we found several cells that obey this distribution of tetrahedra and used a few of these as representative examples of a random system. This approach is equivalent to considering correlation functions with k = 0, 1, 2, 3, 4 up to nearest neighbors only. One could further improve on this SQS by requiring higher distance pairs, etc. to have vanishing pair correlations.

We note that the charge neutrality is always conserved overall because we start from a stoichiometric sample and only make exchanges; we do not add or delete atoms of a given kind. So, the question now is how do these defects affect the band structure? In the following, we define the band gap as the difference between the lowest empty state and the highest occupied states. For a disordered system, one also considers defect-state tails below the band edges in the gap region. In amorphous systems, one often distinguishes a mobility gap, a gap above which states contribute actually to transport from a optical gap. We thus clarify that the gaps we consider here are related to optical absorption gaps and do not represent a mobility gap. On the other hand, we will also study the localization behavior of the states tailing into the nominal perfect crystal gap.



FIG. 3. Band structure and density of states for single nearestneighbor swap exchange defect.

## **III. RESULTS**

First, let us consider a single swap between nearestneighbor atoms in the same basal plane. The band structure and density of states for this case is shown in Fig. 3. We can see that some defectlike bands occur in the gap close to the valence-band maximum (VBM). These bands are filled because we consider the neutral charge state. This result is consistent with expectations from the individual defects [11]. In fact, a  $Zn_{Ge}$  antisite was shown to behave as an acceptor defect, while a  $Ge_{Zn}$  antisite behaves as a very shallow donor, essentially producing only a resonance in the conduction band with potentially a hydrogenic Coulomb tail bound state. The latter, however, is not reproduced in supercell calculations because of the limited size of the cell. In the present case, the donor electrons are transferred to the acceptor so the donor is in the positive state, compensating the negative acceptor.

Hence the defect levels due to the ZnGe acceptor are all filled. Because the Zn<sub>Ge</sub> acceptor is still relatively shallow (<0.1 eV binding energy), although less shallow than the Ge<sub>Zn</sub> donor, one would expect the gap to be only slightly reduced from the perfect crystal value. However, we find that the gap is reduced from 1.93 eV in the perfect crystal to 1.39 eV. These are LDA gaps and are thus underestimated, but are sufficient to explore the changes in the gap upon introducing the exchange defects. The reason for the gap lowering becomes clear on closer inspection of the band structure and comparing it to the case of a single  $Zn_{Ge}$  antisite in Fig. 11 of Ref. [11]. In the present case, there is a resonance in the conduction band due to the Ge<sub>Zn</sub> antisite, which pushes the conduction-band minimum (CBM) down. Of course, in the dilute limit, one would not expect this resonance to lower the conduction band, but here we are concerned with the band structure of a 128-atom cell which has 32 Zn sites, so 1/32 = 3.125% concentration of  $Ge_{Zn}$  defects. Furthermore the  $Ge_{Zn}$  occurs close to the  $Zn_{Ge}$ site and, in fact, as mentioned earlier, there are actually six wrong tetrahedra, which already has a significant effect on both the VBM and CBM.

Inspection of the wave functions shown in Fig. 4 corresponding to the defect levels just above the VBM shows that their wave functions are indeed localized near the  $Zn_{Ge}$  antisite



FIG. 4. Wave-function modulo squared for (a) the highest valence band and (b) the conduction-band resonance in the model with one exchange defect. The gray and purple spheres indicate regular lattice sites Zn and Ge, respectively, while the red indicates  $Zn_{Ge}$  and the green indicates  $Ge_{Zn}$ . The small green spheres indicate N surrounded by three Ge and one Zn, while the small red spheres indicate N surrounded by three Zn and one Ge.

and are similar to those for an isolated antisite defect. Figure 4 also shows the conduction-band resonance near  $Ge_{Zn}$ .

The energy of formation of this single exchange defect is 2.8 eV compared to the perfect crystal. This is comparable to the energy of formation of the  $Zn_{Ge}$  isolated defect. The latter depends on chemical potential conditions, but here we compare only systems with the same number of Zn and Ge atoms, so we can directly compare the energy of the crystal with the exchange defect to that of the perfect crystal, without considering equilibrium with different reservoirs. Furthermore, we consider the system to be overall neutral and this condition fixes the Fermi level at the highest occupied band.

For the alternative single swap defect considered in Fig. 1(b), we found essentially identical results and therefore we do not show them here. Next, we consider several systems with two swaps, as indicated in Fig. 2. These show similar band structures with some variation in the width of the distribution of  $Zn_{Ge}$  defect states near the VBM, as indicated by the gap shown for each structure in Table I. We also see from this table that the energy of formation tends to increase with the number



FIG. 5. Band structure and density of states for three nearestneighbor exchange defects for the model containing a (4,0) tetrahedron.

of octet-rule-violating tetrahedra. Remarkably, however, the model with two swaps, shown in Fig. 2(a), has a lower energy of formation than the one-swap model. In view of the opposite charges of the  $Zn_{Ge}^{-2}$  and  $Ge_{Zn}^{+2}$ , we may note that this configuration contains two nearby oppositely pointing dipoles, which helps to lower its energy. Still, it is remarkable that this arrangement would lower the energy enough to compensate for the fact that we have one more exchange defect.

Next, we consider a system with three exchange defects, all of them occurring between near-neighbor Zn and Ge. As shown in Table I, in this case there occurs a (4,0) tetrahedron; in other words, an N surrounded by four Zn atoms. The band structure and density of states, shown in Fig. 5, now exhibit a defect band, filled with electrons, well separated from the valence-band maximum. Nominally, one could say that the gap is now significantly reduced because it corresponds to the gap between the defect band and the CBM. We will refer to this defect band as an intermediate band.

However, the flat dispersion and hence high effective mass will probably result in poor hole transport in this defect band. Inspection of the wave function (Fig. 6) shows that all three bands in the middle of the gap have states localized near the N surrounded by four Zn. This result is understandable because the defect states of  $Zn_{Ge}$  are mostly N-*p* like and the localized states of the N surrounded by all Zn will be more pushed up by the Zn-3*d* states than the other for N. The usual valence band below the separated intermediate band still has states near its maximum that are localized near Zn<sub>Ge</sub>, but not on the N surrounded by four Zn.

As an aside, an intermediate-band situation like this one has been suggested to be possibly useful for photovoltaics. The idea is that if such a band is partially filled, optical transitions can occur between the VBM and this intermediate band, as well as between this intermediate band and the CBM. In addition to the usual VBM-CBM transitions, one could thus absorb photons of lower energy. If, at the same time, one can maintain the quasi-Fermi level of the *n*- and *p*-type sides of an interface near the nominal CBM and VBM, then one could maintain an open-circuit voltage close to the value of the large band gap of the unperturbed material. However, this intermediate band can



FIG. 6.  $|\psi|^2$  for the wave functions of a few selected states at  $\mathbf{k} = 0$  in the three-swap model. (a) The highest occupied or HOMO state of the model which lies at the top of the defect band. The bonds to Zn are indicated and show the wave function to be localized near an N surrounded by four Zn (dark red), two of them Zn<sub>Ge</sub> (in red) and two of them regular lattice Zn. (b) The HOMO-3 or the highest valence-band state below the intermediate band. This state is still localized near Zn<sub>Ge</sub>, but not on the N with four Zn. (c) The second conduction-band level, which is a resonance located near the three Ge<sub>Zn</sub> (green).

also act as a carrier trap. Thus, good transport in this band, and optimal balance between these different absorption and capture processes, is essential to make such a concept work for a more efficient solar cell. In the present case, the intermediate band is filled, although this situation could of course be changed by



FIG. 7. Band structure and DOS for  $ZnGeN_2$  with three exchange defects with the  $Zn_{Ge}$  antisites spatially separated from the  $Ge_{Zn}$  antisites.

*p*-type doping or by going slightly off-stoichiometry toward a Zn-rich situation. However, more importantly, this structure seems to cost a substantial energy of formation. We do not have a decomposition of the total energy of formation in terms of different types of wrong tetrahedra, but the N surrounded by four Zn could play a significant role in this. In any case, this kind of defect seems rather unlikely to occur.

Next, we consider a 128-atom cell also with three  $Zn_{Ge}$  and three  $Ge_{Zn}$ , but with the antisites placed in a phase-separated manner in the structure. The statistics of different types of tetrahedra is again summarized in Table I. The band structure and density of states are shown in Fig. 7. In this case, we now see that the gap between the defect band and the VBM has completely filled in and the gap is significantly reduced to 0.44 eV. This result means a lowering of the gap by 1.49 eV. Taking into account the corrections beyond LDA, and a gap of 3.4 eV for perfect crystal  $Pna2_1$  ZnGeN<sub>2</sub>, the gap would now be reduced to 1.9 eV.

The highest occupied molecular orbital (HOMO) wave function for this "phase-separated" case is displayed in Fig. 8.



FIG. 8. Wave-function modulo squared for highest occupied band at  $\Gamma$  for the model with three swaps and "phase-separated" Zn<sub>Ge</sub>, Ge<sub>Zn</sub>.

One can indeed see that the  $Zn_{Ge}$  (indicated by red spheres) are separated spatially from the  $Ge_{Zn}$  (indicated by green spheres) in this model. The result shows again localization near the  $Zn_{Ge}$ defects, but in contrast to the previous three-swap model, the wave function now looks more extended. Its state percolates along a chain across the whole cell, connecting various  $Zn_{Ge}$ sites. This percolation clearly would increase the bandwidth of such defect bands and explains why the gap between the defect bands and the VBM fills in. Similar extended states can be seen for the HOMO-1 and HOMO-2 states. The CBM and CBM+1 states, on the other hand, have more weight near the  $Ge_{Zn}$  sites.

From the energies of formation in Table I, we can see that this model has significantly higher energy than the near-neighbor exchange models with three swaps. Because these defects are of opposite charge in an overall neutral situation where the charge is transferred from the donors to the acceptors, one indeed expects this segregation to be unfavorable in total energy.

Finally, we consider a "fully" random system represented by a SQS constructed in the way discussed in the previous section. The structure of this model is shown in Fig. 9. Its band structure is shown in Fig. 10. We found that in this model, the gap is zero in LDA. This means the gap is reduced by at least 1.9 eV. Therefore, we use the LDA+U model used in Skachkov etal. [11], which in pure ZnGeN<sub>2</sub> in the  $Pna2_1$  structure opens the gap to 3.4 eV. After relaxation of the structure, this results in a gap of 0.33 eV. This is a remarkable lowering of the gap by about 3.1 eV compared to the  $Pna2_1$  structure. This, in some sense, confirms the similar results obtained for ZnSnN<sub>2</sub> by Feldberg etal. [6], who studied a special quasirandom structure (SQS) supercell to simulate the completely random placement of the Zn and Sn atoms and also found a significant reduction of the gap. The gap reduction found here is even more severe. Unfortunately, we have no detailed information on the statistics of the different types of tetrahedra in that previous study.

On the other hand, we find this structure to have an energy cost relative to  $Pna2_1$  of 20 eV per 128-atom cell after relaxation. This large energy cost corresponds to the large number of antisites in this model. To put this in perspective,



FIG. 9. Structure of a 128-atom random-placement model: the nitrogen atoms are colored according to their nearest neighbors ( $n_{\text{Zn}}$ ,  $n_{\text{Ge}}$ ): (2,2) gray, (3,1) green, (4,0) dark green, (1,3) red, and (0,4) dark red.



FIG. 10. Band gap in relaxed random SQS 128-atom model for  $ZnGeN_2$  in LDA+U.

the 20 eV/128-atom cell corresponds to 0.625 eV/formula unit or 60 kJ/mole or 14 kcal/mole. For comparison, the energy of formation of ZnGeN<sub>2</sub> relative to the elemental solids Zn and Ge and N<sub>2</sub> molecules is -2.43 eV/formula unit. It shows that such structures are very unlikely or at least very far from equilibrium.

## **IV. CONCLUSIONS**

We studied various models and degrees of randomness of ZnGeN<sub>2</sub>. We found that a single exchange defect, i.e., exchanging a near-neighbor Zn and Ge, causes several chargeneutrality-violating tetrahedra and leads to a band structure which is characterized by a defect band close to the VBM and corresponding essentially to the ZnGe antisite acceptor levels broadened somewhat into a band. However, the CBM is also lowered by the occurrence of a Ge<sub>Zn</sub>-related resonance in the conduction band. This single exchange defect already reduces the gap by about 0.5 eV. We found that when several such exchange defects occur, they interact and tend to push the defect band deeper into the gap, resulting in some cases in a distinct "intermediate-band" situation. In particular, we found this situation to occur when an N surrounded by four Zn atoms occurred in the structure. In a structure with three exchange defects per 128-atom cell, corresponding to a concentration of 9.375% phase separated from each other, already no separated defect bands were found but instead a reduction of the gap by a raising of the valence band. The gap was reduced by about 1.5 eV. Finally, in a completely random structure with a binomial distribution of the five different types of nearestneighbor tetrahedra, the gap was found to be reduced even further, by as much as 3 eV. We remind the reader that these are optical band gaps, not mobility gaps. On the other hand, it is also clear from our study of the localization of the states that for the more isolated exchange defects, the states in the band-gap tail above the valence-band edge are rather localized and hence conduction of holes in such states is only expected to proceed by a hopping model. A detailed study of transport properties and an actual determination of the mobility gap is beyond the scope of this paper.

On the other hand, the total energy of the system significantly increases with the number of exchange defects. The energy cost is of the order of 2.8 eV per exchange defect. When doubling the number of exchange defects, the energy of formation roughly doubles if the defects occur independent of each other [case 2-swaps (c) in Table I], but can be significantly reduced when these exchange defects occur close to each other so that the total number of octet-rule violations is reduced. The model with three swaps has indeed about three times the energy of the formation of one swap, but when the antisites are kept phase separated, the energy of formation is higher. The energy increases from 2.5 eV per swap if they are kept close to each other, and to 3.5 eV if they are kept apart in the three-swap model. A fully random structure has even higher energy of formation, of the order of 0.625 eV per formula unit.

In our previous paper [11], we found that antisite defects  $Zn_{Ge}$  and  $Ge_{Zn}$  are the most energetically favorable point defects in ZnGeN<sub>2</sub> and compensate each other, thereby pinning the Fermi level. Because of their opposite charge, they will also attract each other and therefore one might expect them to form near-neighbor exchange defects. However, the equilibrium concentration of the antisite defects is low, of the order of  $10^{16}$  cm<sup>-3</sup>. Here we find that when such defects occur in pairs in large concentrations, they can lower the band gap. For a 3% concentration of exchange defects, which is of the order of  $0.7 \times 10^{21}$  cm<sup>-3</sup>, the gap is reduced by about 0.5 eV. For higher concentrations of order 9% (or  $2.1 \times 10^{21}$  cm<sup>-3</sup>), the gap may be reduced by as much as 1.5 eV. We find that the exchange defects will tend to cluster rather than phase separate, as the antisites forming them have

opposite charge and attract each other. Nonetheless, we can see that the defect concentrations considered here are quite high compared to equilibrium concentrations. Thus we expect such band-gap lowering due to disorder only when the growth is significantly perturbed and far from equilibrium. Under highly nonequilibrium circumstances, it is unlikely that high-quality crystallinity can be maintained.

Although we primarily focused on  $ZnGeN_2$ , we also performed some calculations for  $ZnSnN_2$  and found also very strong gap reductions under similar circumstances. Because in LDA the gap is very small to begin with, the  $ZnSnN_2$  gap with exchange defects essentially closes and this makes it difficult to study the effects in detail without going beyond LDA. Nonetheless, we anticipate the general conclusions to be applicable also to  $ZnSnN_2$ .

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