

**Terahertz radiation from accelerating charge carriers in graphene under ultrafast photoexcitation**

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We study the generation of terahertz (THz) radiation from the acceleration of ultrafast photoexcited charge carriers in graphene in the presence of a dc electric field. Our model is based on calculating the transient current density from the time-dependent distribution function which is determined using the Boltzmann transport equation (BTE) within a relaxation time approximation. We include the time-dependent generation of carriers by the pump pulse by solving for the carrier generation rate using the optical Bloch equations in the rotating wave approximation (RWA). The linearly polarized pump pulse generates an anisotropic distribution of photoexcited carriers in the  $k_x$ - $k_y$  plane. The collision integral in the Boltzmann equation includes a term that leads to the *thermalization* of carriers via carrier-carrier scattering to an effective temperature above the lattice temperature, as well as a *cooling* term, which leads to energy relaxation via inelastic carrier-phonon scattering. The radiated signal is proportional to the time derivative of the transient current density. In spite of the fact that the magnitude of the velocity is the same for all the carriers in graphene, there is still emitted radiation from the photoexcited charge carriers with frequency components in the THz range due to a change in the *direction* of velocity of the photoexcited carriers in the external electric field as well as *cooling* of the photoexcited carriers on a subpicosecond time scale.

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Terahertz (THz) radiation lies in the 0.1–10 THz frequency range and this frequency range is referred to as the terahertz gap. The frequency region below 0.1 THz is where conventional electronics operates and the region above 10 THz belongs to optics. This has been one of the reasons for a dearth of generating sources and detectors for THz radiation since it cannot be detected or generated by conventional electronics used in the case of radio waves and microwaves and novel methods for generation and detection of THz radiation continue to make advances in this field. Imaging, sensing and spectroscopy using THz radiation has widespread applications in a variety of contexts [1]. Imaging and sensing using THz radiation has promising applications in tumor detection for biomedical applications, inspection/process control in industry, studying cosmic background radiation in astronomy, explosive detection for security purposes, remote sensing and ultrafast wireless communication. Spectroscopy using THz radiation is ideally suited to study low-energy excitations like superconducting gap in superconductors, ionization energies of shallow donors and acceptors in semiconductors, spin flip energies, etc.

Photonics based devices are used for generation of high THz frequencies close to optical frequencies, like the free electron laser (FEL)[2] and quantum cascade laser (QCL)[3]. FEL THz source includes an electron accelerator and an undulator which produces a magnetic field that accelerates the electron beam and radiates in the THz range. On the other hand, QCL has a cascade of quantum wells, which have subbands due to confinement effects where the energy spacing between the subbands determine the lasing frequency. Electronics based devices are also used for generation of low THz frequencies close to electronic device frequencies, like Gunn oscillators[4]

and optical parametric oscillators [5]. Gunn diode oscillators are based on the negative differential resistance regime at high electric field strengths where intervalley electron transfer becomes significant. Optical parametric oscillators on the other hand are based on the optical gain from parametric amplification of a nonlinear crystal.

Semiconductors have been used to generate THz radiation through several mechanisms wherein ultrashort pulses are incident on a semiconductor surface and a THz pulse reflects off alongside a portion of the incident pulse. The main mechanisms for generation of THz radiation from semiconductors are the following: (1) Optical nonlinearity of the material causing radiation through higher order susceptibility  $\chi_2$  [6–8], (2) Virtual carriers by photoexcitation with pump energy below the band gap in presence of dc electric field [9,10], (3) Photo-Dember effect where a dipole moment forms close to the surface due to the difference in mobilities/diffusion of electrons and holes [11,12], (4) Photoexcited charge carrier acceleration in presence of an internal or external electric field [13,14].

Graphene is a zero-gap semiconductor with vanishing density of states at the Dirac points [15]. The linearity of the energy dispersion about the Dirac point has interesting consequences in both transport and optical measurements namely the presence of a zero energy Landau level [16], anomalous quantum hall effect [17,18], good hot electron noise property due to velocity fluctuations [19] among others [20]. This linearity of the dispersion near the Dirac points along with the high carrier velocity causes large nonlinear optical response [21]. Second-order nonlinearity from anisotropic photoexcitation by *oblique* optical pulse excitation can generate THz frequencies in graphene [22]. Terahertz radiation from single layer graphene on gold substrate is enhanced by excitation of surface plasmons in gold due to the breaking of inversion symmetry [23].

In this paper, we are interested in investigating THz generation from ultrafast photoexcited carriers in graphene,

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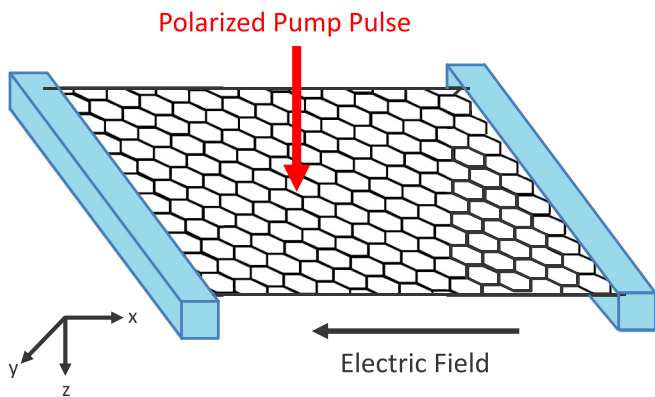


FIG. 1. Suspended graphene with a dc electric field applied in the graphene plane and polarized pump pulse incident perpendicular to the sheet.

with emphasis on charge carrier acceleration. We take the external electric field to be in-plane rather than perpendicular as is usually the case (Fig. 1). Because the graphene crystal structure is centrosymmetric, we expect no optical nonlinearity contribution without excitation at *oblique* incidence. Also, since the valence and conduction bands in graphene are symmetric about the Dirac point for low energies (implying similar electron and hole mobilities) in graphene, the photo-Dember effect should be small [24]. One might also expect THz generation through charge carrier acceleration to be absent in graphene, since the magnitude of the velocity is *constant* due to the linear Dirac dispersion at low energies. However, the *direction* of the velocity changes which along with the relaxation mechanisms can lead to a transient current density which can lead to radiation.

In Sec. II, we explain the key difference in THz generation process via charge carrier acceleration between the conventional parabolic dispersion and the Dirac dispersion in a simple model. Then we present a more detailed model used in this manuscript in Sec. III. In Sec. III A, we formulate the model using the time-dependent Boltzmann equation in the relaxation time approximation, the solution of which is used to calculate the transient current density as described in Sec. III B. In Sec. IV, we summarize the results for the undoped graphene and *n*-doped graphene with room temperature chemical potential of 50 meV.

## II. SIMPLISTIC MODEL

For a typical semiconductor, the velocity of a carrier is proportional to the wave vector  $\mathbf{v} \propto \mathbf{k}$ . This implies that the current due to this carrier in an electric field continues to increase linearly with time in absence of momentum relaxation mechanisms. The time derivative of the velocity gives the acceleration, which is a constant in absence of scattering, implying constant radiation. Momentum scattering mechanisms are important here since it limits the increase in velocity and there is a time varying acceleration as shown in Fig. 2.

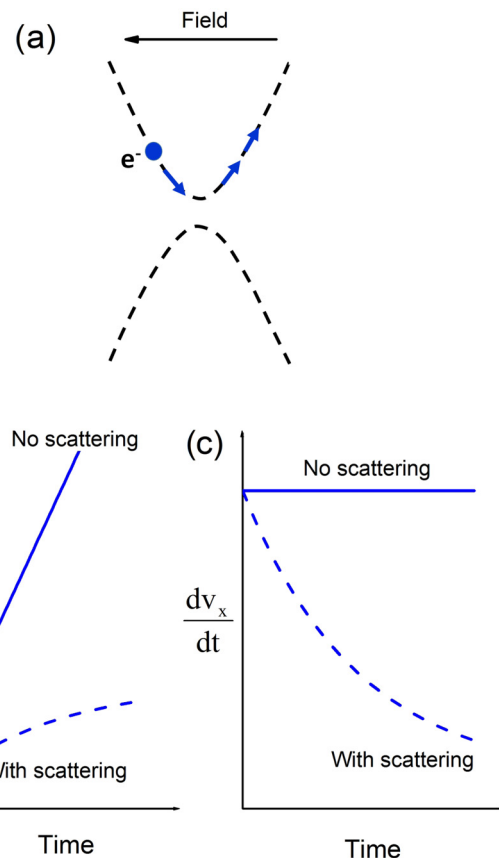


FIG. 2. (a) Schematic of the motion of an electron in parabolic dispersion, (b) component of velocity along the field direction of a carrier, and (c) time derivative of velocity as a function of time in presence and absence of scattering.

The linear energy dispersion in graphene is much different from the otherwise conventional quadratic dispersion ( $\epsilon \propto k^2$ ). At the outset, it appears that the velocity is a constant for linear dispersion. However, the  $\mathbf{k}$  eigenstate of the electron matters when it comes to acceleration, for example there is no radiation for electron 1 as shown in Fig. 3. The direction of the velocity vector changes for electron 2 and this change in direction implies a time dependent acceleration as shown in Fig. 3. Thus graphene has an interesting time dependent acceleration even in absence of scattering. The effects of scattering make a more detailed model and will be discussed in later section.

An electron in graphene in presence of external electric field has time varying  $x$  and  $y$  components of velocity. From the semiclassical equation of motion, the time varying velocity can be calculated

$$\mathbf{v} = v_F \frac{\mathbf{k} - e\mathbf{E}t/\hbar}{|\mathbf{k} - e\mathbf{E}t/\hbar|}, \quad (1)$$

which indicates that the variation of the velocity of an electron depends on its initial  $\mathbf{k}$ -state.  $e > 0$  is the magnitude of electronic charge,  $\mathbf{E}$  is the electric field,  $v_F$  is the Fermi velocity of graphene, and  $t$  is the time.

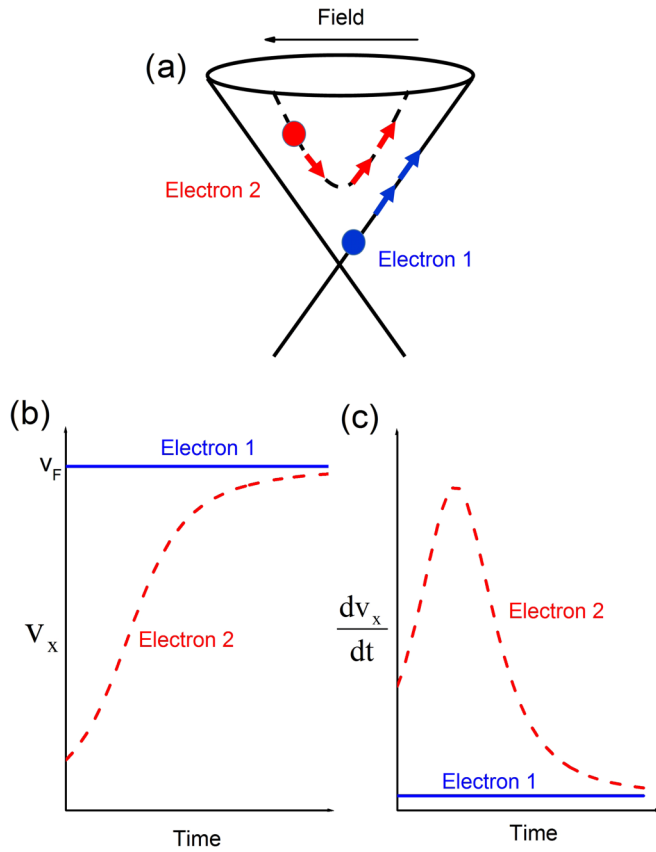


FIG. 3. (a) Schematic of the motion of an electron in graphene Dirac dispersion, (b) component of velocity along the field direction of a carrier, and (c) time derivative of velocity as a function of time in absence of scattering for two different initial wave vectors.

### III. MODEL

The configuration shown in Fig. 1 displays a graphene sheet in the  $x$ - $y$  plane in presence of a dc electric field applied along the plane. A pump pulse is applied perpendicular to the graphene sheet which photoexcites carriers in the conduction band and holes in the valence band. These carriers accelerate in presence of the dc field giving rise to a time-dependent photocurrent. The time derivative of this photocurrent is proportional to the radiated signal.

The most important relaxation mechanisms include a “thermalization” and a “cooling” terms (Fig. 4). The initial carriers along with the photoexcited carriers thermalize to an effective drifted “thermalized Fermi-Dirac” distribution over a time scale  $\tau_T$  with a drift wave vector  $k_d$ , a quasi-chemical potential  $\mu^*$ , and an effective carrier temperature  $T_{el}$ , which is higher than the lattice temperature  $T$  because of energy being pumped into the system by photoexcitation. This eventually cools to a “cooled Fermi-Dirac” distribution over a time scale  $\tau_C$  with a chemical potential  $\mu$  at the lattice temperature  $T$ . The recombination of carriers across the bands is ignored since it occurs on a much longer time scale compared to the thermalization and cooling times.

To model all of this, the time-dependent Boltzmann equation of carriers in presence of a dc electric field is solved

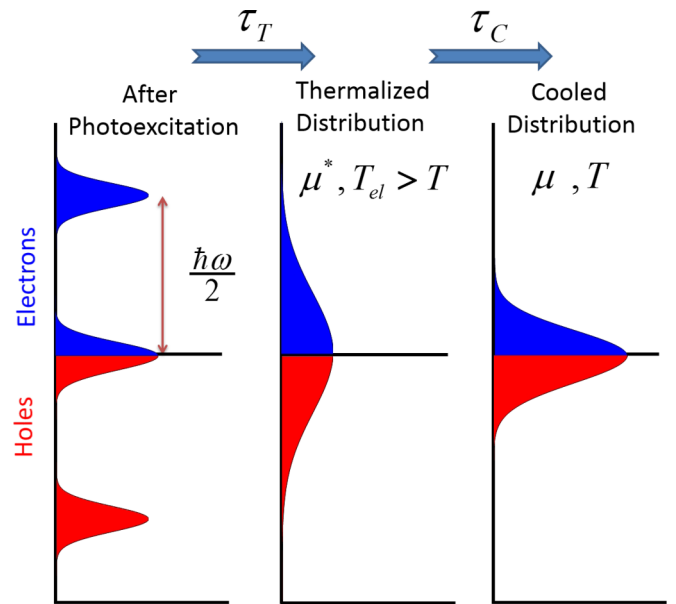


FIG. 4. Relaxation mechanisms include a “thermalization” term and a “cooling” term. The initial carriers along with the photoexcited carriers thermalize to an effective drifted “thermalized Fermi-Dirac” distribution over a time scale  $\tau_T$  and eventually cool to a “cooled Fermi-Dirac” distribution over a time scale  $\tau_C$ .

within the constant relaxation time approximation including a thermalization and a cooling terms. A carrier generation term is also included in the Boltzmann equation to take into account the time-dependent generation of carriers by the pump pulse. To get analytic results, the pump excitation is assumed to be weak and an expression for the generation rate of carriers is obtained by solving the optical Bloch equations in the rotating wave approximation (RWA). Note that just electrons are considered in this model since holes will have the same contribution to the final current density for an undoped system while in the  $n$ -doped system, we will only be interested in the electrons.

#### A. Boltzmann equation formulation

The generation rate of the carriers is used to evaluate the transient current density from the Boltzmann transport equation (BTE) in presence of an in-plane dc electric field ( $\mathbf{E} = -E\hat{x}$ ,  $\mathbf{F} = -e\mathbf{E} = eE\hat{x}$ ) as seen in Fig. 1,

$$\frac{\partial f}{\partial t} + \frac{eE}{\hbar} \frac{\partial f}{\partial k_x} = \frac{\partial f_g}{\partial t} + I_{\text{collision}}\{f\}, \quad (2)$$

where  $f$  is the wave vector  $\mathbf{k}$  and time dependent distribution function,  $\partial_t f_g$  is the generation rate of carriers by the pump pulse, and  $I_{\text{collision}}\{f\}$  is the collision integral.

The generation rate term corresponds to the carrier generation due to the ultrafast pump pulse evaluated using the Bloch

equations in the weak pump limit as described in Appendix A and A 2,

$$\frac{\partial f_g}{\partial t} = \sqrt{\frac{\pi}{2}} \frac{e^2 v_F^2 w}{2 \hbar^2} A_o^2 |\sigma_{cv}^\lambda|^2 e^{-t^2/2w^2} e^{-w^2 \delta^2/2} (f_v - f_c) \times \text{Real} \left[ e^{-i\delta t} \left( 1 + \text{Erf} \left( \frac{t}{\sqrt{2}w} - \frac{i\delta w}{\sqrt{2}} \right) \right) \right], \quad (3)$$

where Erf is the error function,  $w$  is the pump pulse width,  $\delta = \omega_{cv} - \omega_o$  is the detuning. The factor  $|\sigma_{cv}^\lambda|^2$  implies the interband matrix element of the sublattice Pauli matrix in the  $(k_x, k_y)$  plane for linearly polarized pump pulse with polarization in  $\lambda$  direction.

The collision integral terms are approximated within the relaxation time approximation (RTA) as described below,

$$I_{\text{collision}}\{f\} = -\frac{f - f_t}{\tau_t} - \frac{f - f_c}{\tau_c}. \quad (4)$$

The first term in the collision integral corresponds to thermalization where the distribution relaxes via electron-electron intraband scattering [25] to a drifted Fermi-Dirac distribution with a nonzero time dependent chemical potential and an effective electron temperature that is higher than the lattice temperature:

$$f_t = [1 + \exp\{(\hbar v_F |\mathbf{k} - \mathbf{k}_d| - \mu^*)/(k_b T_{\text{el}})\}]^{-1}. \quad (5)$$

We note that the thermalization term in the collision integral is due to scattering between carriers and thus conserves number density, energy and wave vector. Since the dc field is assumed to be along the  $x$  direction:

$$\begin{aligned} k_d &= \frac{\langle k_x \rangle}{n} = \frac{1}{n} \iint \frac{d\mathbf{k}}{(2\pi)^2} k_x f_t(\mathbf{k}), \\ n(t) &= \iint \frac{d\mathbf{k}}{(2\pi)^2} f_t(\mathbf{k}), \\ \langle \epsilon_k \rangle &= \iint \frac{d\mathbf{k}}{(2\pi)^2} \epsilon_k f_t(\mathbf{k}). \end{aligned} \quad (6)$$

The equations above determine the quasi-Fermi level ( $\mu^*$ ), electron temperature ( $T_{\text{el}}$ ) and the drift wave-vector ( $k_d$ ). The quasi-Fermi level  $\mu^*$  and the electron temperature increases over the duration of the pump pulse as the pump generates carriers in high-energy states.

The second term in the collision integral corresponds to ‘‘cooling’’ of carriers generated by the pump and here the distribution relaxes to a Fermi-Dirac distribution with a nonzero chemical potential larger than the equilibrium value since we have more carriers because of photoexcitation, and lattice temperature ( $T$ ) via intraband scattering with phonons,

$$f_c = [1 + \exp\{(\hbar v_F |\mathbf{k}| - \mu)/(k_b T)\}]^{-1}. \quad (7)$$

The collision integral term for cooling of carriers also conserves carrier density. This conservation rule determines the Fermi level ( $\mu$ ), which increases over the duration of the pump pulse. We note that the recombination of the carriers across the band is neglected here since the typical time scale corresponding to this mechanism is much larger than the typical subpicosecond time scale corresponding to the thermalization and cooling mechanisms.

TABLE I. Parameters used for calculating THz radiation contribution from photoexcited carrier acceleration.

Parameter	Value
Fluence $F$	1 $\mu\text{J}/\text{cm}^2$
Pulse Central Energy $\hbar\omega_o$	0.5 eV
FWHM $p_d$	40 fs
Thermalization time $\tau_T$	50 fs
Cooling time $\tau_C$	0.5 ps
Electric Field $E$	1 kV/cm
Temperature $T$	300 K

## B. Observables

The Boltzmann equation can be solved using Fourier transforms as described in Appendix. B to obtain the time-dependent distribution function. The carriers are in a steady-state prior to the application of the pump. The time dependent distribution obtained can be used to evaluate the transient current density

$$\mathbf{j} = -e \iint \frac{d\mathbf{k}}{(2\pi)^2} v f(\mathbf{k}, t). \quad (8)$$

The radiation for the charge carrier acceleration is proportional to the time derivative of the current:

$$\frac{d\mathbf{j}}{dt} = -e \iint \frac{d\mathbf{k}}{(2\pi)^2} v \frac{df(\mathbf{k}, t)}{dt}. \quad (9)$$

## IV. RESULTS

Assuming the pump vector potential is of the Gaussian form in Eq. (A8). The fluence of the pump pulse  $F$  and the central energy  $\omega_o$  can be used to calculate the vector potential amplitude  $A_o$ :

$$A_o \approx \sqrt{\frac{2F}{\sqrt{\pi} \omega_o^2 \epsilon_o w c}}.$$

Also given the FWHM (full width half maximum) of the pump  $p_d$ , the pulse width  $w = p_d/(2\sqrt{2\ln(2)})$  is evaluated. The parameters chosen for the calculations are specified in Table. I. The results presented below are for undoped graphene as well as for  $n$ -doped graphene with room temperature chemical potential of 50 meV and  $Y$ -polarized pump pulse.

The time evolution of the distribution function for undoped graphene as shown in Fig. 5 and  $n$ -doped graphene with room temperature chemical potential of 50 meV in Fig. 7. The carriers are generated anisotropically by the polarized pump pulse [26] due to the matrix element  $|\sigma_{cv}^\lambda|^2$  in Eq. (3) after which the thermalization of carriers takes place and subsequently the hot carriers cool down by interaction with the lattice.

The distribution of carriers in energy  $N(E)$  can be evaluated.  $N(E)dE$  is the carrier density between the energies  $E$  and  $E + dE$  which when integrated over all energies gives the total number density. This energy distribution is evaluated using

$$N(E) = \iint \frac{d\mathbf{k}}{(2\pi)^2} f(\mathbf{k}) \delta(\epsilon_k - E). \quad (10)$$

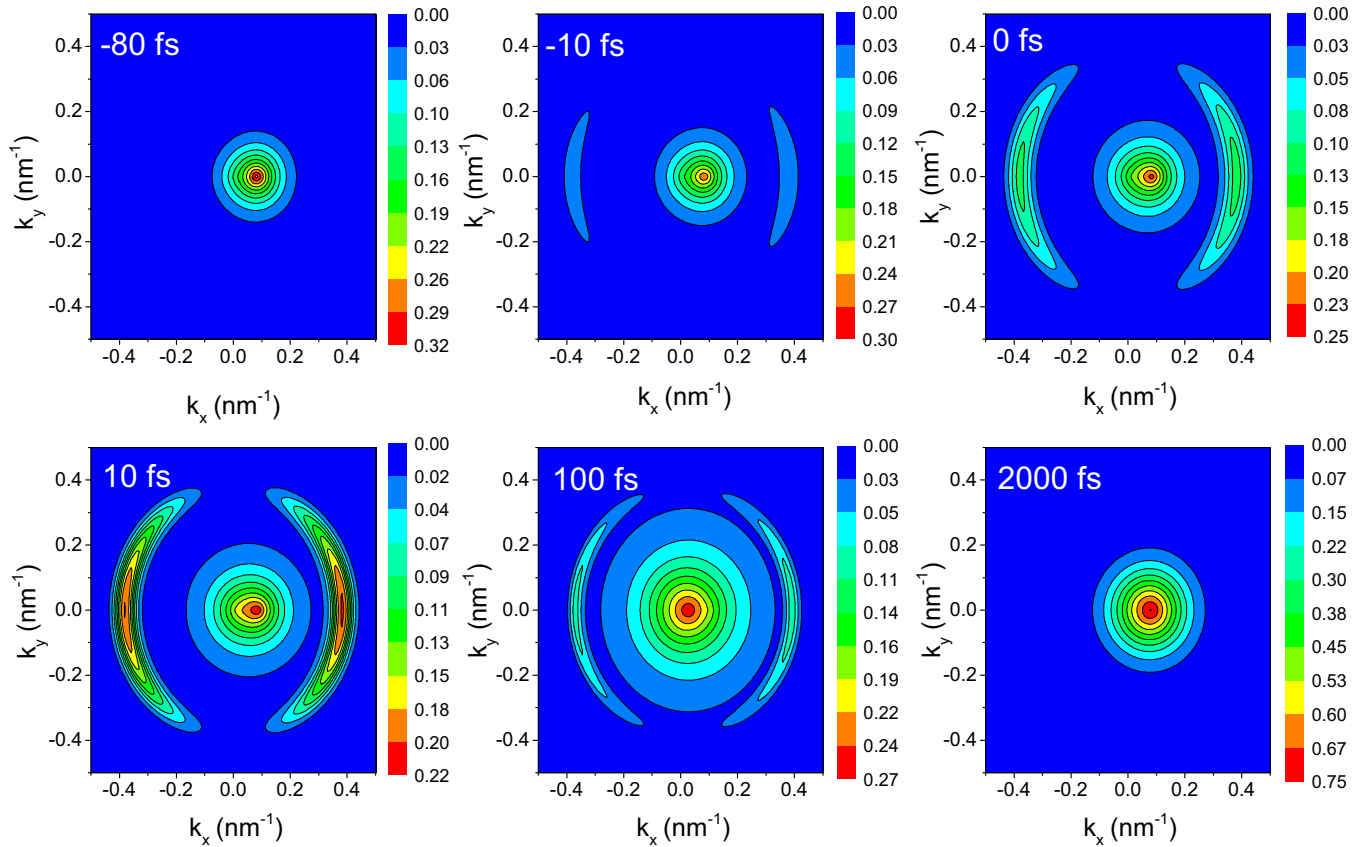


FIG. 5. Time evolution of the distribution function for undoped graphene. There is a nonzero, thermally excited carrier density (at room temperature) in the conduction band. The carriers are generated by the pump pulse with  $Y$  polarization ( $\propto \cos^2 \theta$ ) during the pulse width duration. The carriers then thermalize to a hot Fermi-Dirac distribution, which eventually cools down to the lattice temperature.

This is equivalent to the product of the density of states per unit area and the probability of occupation of state with energy  $E$ . The distribution of carriers in energy is shown in Fig. 6 and Fig. 8. It shows the generation of carriers by the optical pump

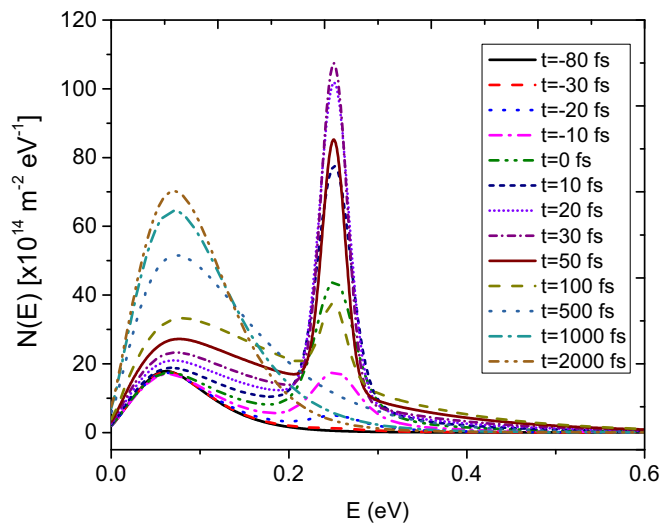


FIG. 6. Time evolution of  $N(E)$  [defined in Eq. 10], the product of the density of states per unit area and the probability of occupation of state with energy  $E$  for undoped graphene as a function of energy.

pulse during the pulse duration. The carriers then thermalize and eventually cool on longer time scales.

The current density averaged over the distribution shown in Fig. 9 initially decreases during the generation of carriers. This is because the sudden increase in carrier density created by the pump pulse actually *decreases* the drift wave vector (see Fig. 11) and the fast thermalization relaxes the system towards a distribution centered about a smaller drift wave vector  $k_d$  causing a *decrease* in the average velocity/current. At longer time scales the averaged current density increases as the carrier distribution drifts and eventually saturates over the cooling time scale.

The time derivative of the averaged current density over the distribution is also plotted in Fig. 10. It decreases over the pumping duration and increases when carriers drift before decaying to zero when the carriers cool down and reach a steady state. We note that the time integral is zero for a typical THz waveform, which arises due to a time-dependent polarization such as from either virtual carriers for below band edge excitation or optical nonlinearity (rectification) which lasts only for the duration of the pump pulse. For these cases, the current density itself goes to zero after the pump. However, in our case, we are considering real photoexcited carriers above the band gap. The photoexcited carriers accelerate in the dc field so that the total current density changes and increases to a new steady-state value long after the pump pulse is gone (due to the additional photoexcited carriers). Thus the time derivative

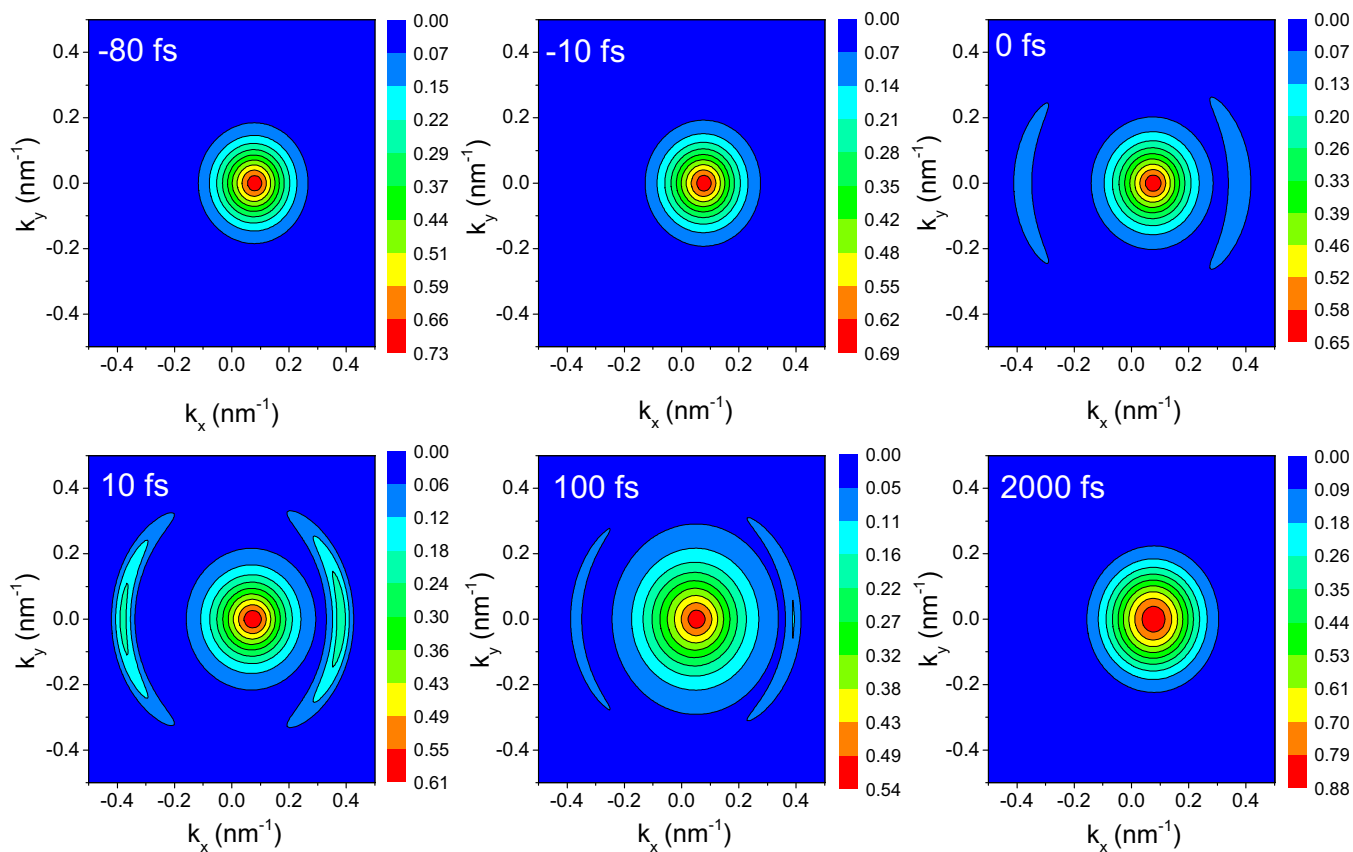


FIG. 7. Time evolution of the distribution function for  $n$ -doped graphene with room temperature chemical potential of 50 meV. The carriers are generated by the pump pulse with  $Y$  polarization ( $\propto \cos^2 \theta$ ) during the pulse width duration. The carriers then thermalize to a hot Fermi-Dirac distribution which eventually cools down to the lattice temperature.

of total current density is expected to have a nonzero time integral unless the current density decays to its initial value. We note that we have ignored carrier recombination in our model

which when included will bring the current density back to the original value prior to the pump pulse over the time scale of recombination. Thus when the recombination mechanism is included, the time derivative of total current density will have a zero time integral.

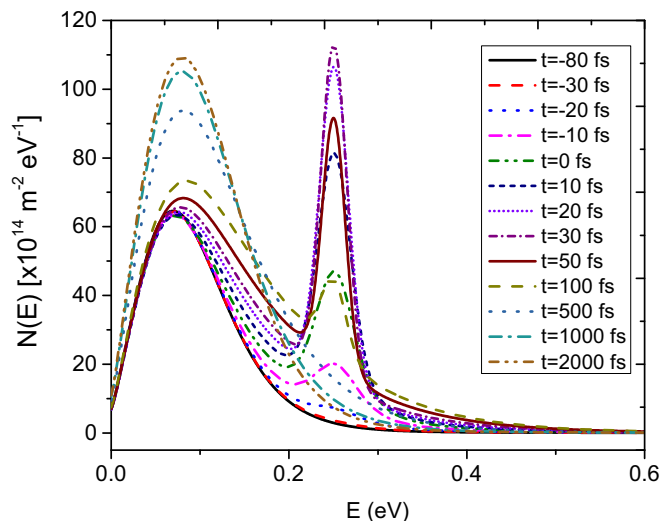


FIG. 8. Time evolution of  $N(E)$  [defined in Eq. 10], the product of the density of states per unit area and the probability of occupation of state with energy  $E$  for  $n$ -doped graphene with room temperature chemical potential of 50 meV as a function of energy.

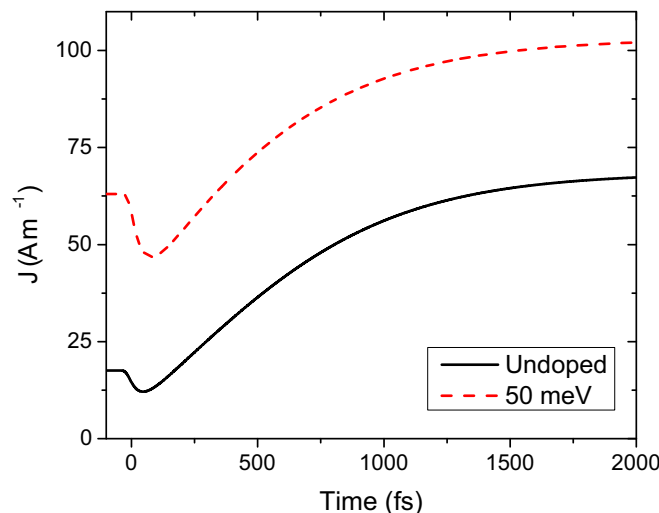


FIG. 9. Total current density of all carriers as a function of time for undoped and  $n$ -doped graphene with room temperature chemical potential of 50 meV.

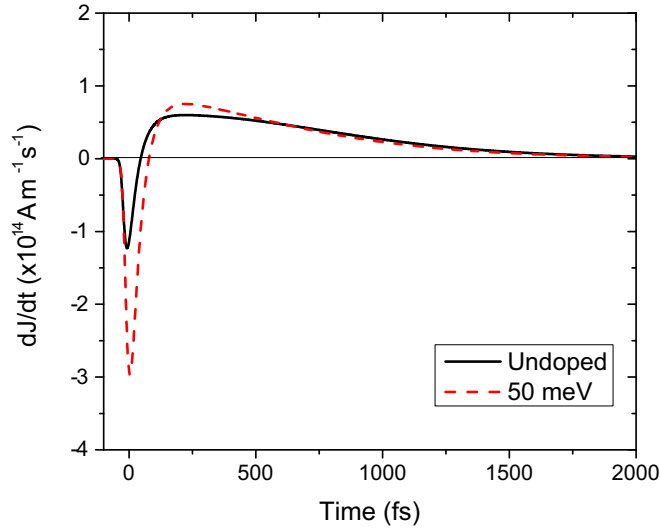


FIG. 10. Time derivative of total current density of all carriers as a function of time for undoped and  $n$ -doped graphene with room temperature chemical potential of 50 meV.

We also note that the amplitude of radiation from transient current density depends critically on the geometry of the detector and sample,

$$E(t) \approx \frac{\sin \phi_0}{4\pi \epsilon_0 c^2} \frac{S^2}{r} \frac{d\mathbf{j}}{dt}. \quad (11)$$

However, using typical geometrical parameters in the expression for radiation from a transient current density in two dimensions (detector angle  $\phi_0 = 45^\circ$ , pump pulse spot size diameter  $S = 100\text{--}500 \mu\text{m}$ , detector distance  $r = 1\text{--}10 \text{ cm}$ ) [9], we expect the radiation amplitude to be in the range of few 10's of mV/cm up to a few V/cm.

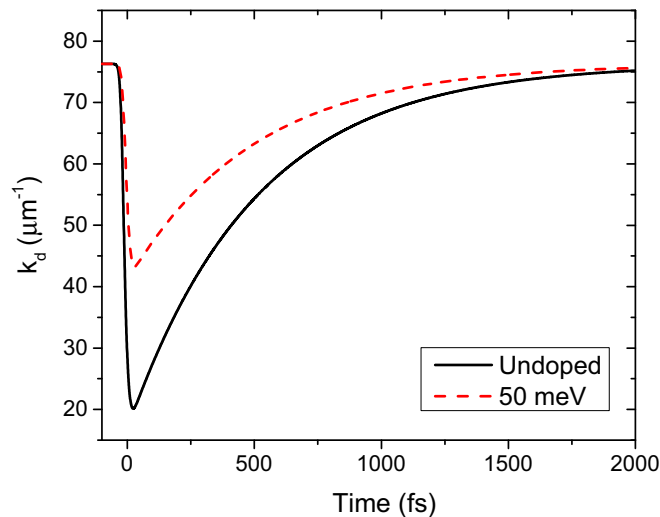


FIG. 11. Drift wave vector of a single carrier as a function of time for undoped and for  $n$ -doped graphene with room temperature chemical potential of 50 meV.

## V. CONCLUSION

The radiative contribution to the THz signal of ultrafast photoexcited carrier acceleration in presence of an in-plane dc electric field in graphene is studied. The linearity of the graphene dispersion near the Dirac point implies constant magnitude of velocity which naively might lead one to expect no THz radiation due to carrier acceleration. However the azimuthal degree of freedom allows for a time dependent velocity/current density where the *direction* of the current is changing. The polarized pump pulse creates an anisotropic carrier distribution in the 2D Brillouin zone. Since the thermalization time scale arising from rapid carrier-carrier scattering is of the order of 50 fs, the anisotropic photoexcited carrier distribution relaxes to a drifted Fermi-Dirac distribution at a higher temperature relative to the lattice. The cooling of lattice through phonons happens on a time scale of picosecond. It is this cooling which via momentum and energy relaxation drives the current density to its steady-state value. Thus the two relaxation mechanisms give rise to a time-varying current density which radiates in the THz frequency range. In spite of a constant “speed” of the carriers in graphene, there is still radiation from the acceleration of the carriers as they change their *direction*.

## ACKNOWLEDGMENTS

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## APPENDIX A: BLOCH EQUATIONS IN RWA

The optical response of semiconductors excited by coherent light sources are best described by the optical Bloch equation formalism. In presence of an optical field, the momentum couples to the field by Peierls minimal coupling:  $\mathbf{p} \rightarrow \mathbf{p} + e\mathbf{A}$  ( $e > 0$  is the magnitude of electronic charge). This implies that the total Hamiltonian in presence of the optical field is

$$H_k = \hbar v_F \boldsymbol{\sigma} \cdot \mathbf{k} + e v_F \boldsymbol{\sigma} \cdot \mathbf{A}. \quad (A1)$$

In the eigenbasis of the unperturbed Hamiltonian  $H_o$ , the complete Hamiltonian  $H$  can be written as

$$H_k = \sum_l \epsilon_l |l\rangle \langle l| + \sum_{pq} e v_F \mathbf{A} \cdot \boldsymbol{\sigma}_{pq} |p\rangle \langle q|, \quad (A2)$$

where  $\boldsymbol{\sigma}_{pq} = \langle p | \boldsymbol{\sigma} | q \rangle$ . The sum over  $l$ ,  $p$ , and  $q \in \{c, v\}$ . The unperturbed Hamiltonian  $H_o$ :

$$H_o = \epsilon_c |c\rangle \langle c| + \epsilon_v |v\rangle \langle v|. \quad (A3)$$

The eigenbasis of the unperturbed Hamiltonian  $H_o$  is  $\epsilon_{c/v} = \pm \hbar v_F k$ ,

$$|c\rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} e^{-i\phi/2} \\ e^{i\phi/2} \end{pmatrix} \text{ and } |v\rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} e^{-i\phi/2} \\ -e^{i\phi/2} \end{pmatrix}, \quad (A4)$$

where  $\phi = \tan^{-1}(k_y/k_x)$ .

The possible matrix elements  $\boldsymbol{\sigma}_{pq}$  in the above basis can be evaluated:

$$\begin{aligned} \boldsymbol{\sigma}_{cc} &= [\cos(\phi)\hat{x} + \sin(\phi)\hat{y}], \\ \boldsymbol{\sigma}_{vv} &= -[\cos(\phi)\hat{x} + \sin(\phi)\hat{y}], \end{aligned}$$

$$\begin{aligned}\sigma_{cv} &= [-i \sin(\phi)\hat{x} + i \cos(\phi)\hat{y}], \\ \sigma_{vc} &= [i \sin(\phi)\hat{x} - i \cos(\phi)\hat{y}].\end{aligned}\quad (\text{A5})$$

Neglecting the intraband matrix elements (since it will not satisfy energy and momentum conservation simultaneously and we are only interested in interband absorption), the perturbation Hamiltonian can be written as

$$H' = ev_F \mathbf{A} \cdot \sigma_{cv} |c\rangle\langle v| + ev_F \mathbf{A} \cdot \sigma_{vc} |v\rangle\langle c|. \quad (\text{A6})$$

In the interaction representation (where the trivial time dependence from the unperturbed Hamiltonian is removed),

$$\begin{aligned}\widetilde{H}' &= e^{iH_0 t/\hbar} H' e^{-iH_0 t/\hbar} \\ &= ev_F \mathbf{A} \cdot \sigma_{cv} e^{i\omega_{cv} t} |c\rangle\langle v| + ev_F \mathbf{A} \cdot \sigma_{vc} e^{-i\omega_{cv} t} |v\rangle\langle c|,\end{aligned}\quad (\text{A7})$$

where  $\hbar\omega_{cv} = \varepsilon_c - \varepsilon_v$ .

Assuming the vector potential of the optical field to be a pulse with a Gaussian envelope:

$$\mathbf{A} = \text{Re}(\mathbf{A}_o e^{-t^2/2w^2} e^{-i\omega_o t}). \quad (\text{A8})$$

Thus, in the RWA (rotating wave approximation), i.e., keeping only near resonance terms and dropping fast oscillating terms,

$$\begin{aligned}\widetilde{H}'_R &= \frac{ev_F}{2} \mathbf{A}_o \cdot \sigma_{cv} e^{-t^2/2w^2} e^{i\delta t} |c\rangle\langle v| \\ &+ \frac{ev_F}{2} \mathbf{A}_o \cdot \sigma_{vc} e^{-t^2/2w^2} e^{-i\delta t} |v\rangle\langle c|,\end{aligned}\quad (\text{A9})$$

where  $\delta = \omega_{cv} - \omega_o$ . From the Von-Neumann equation for the density matrix,

$$i\hbar \frac{\partial \widetilde{\rho}}{\partial t} = [\widetilde{H}'_R, \widetilde{\rho}], \quad (\text{A10})$$

one can evaluate the time evolution of the density matrix components, i.e., the band occupation density (diagonal components of the density matrix) and the interband microscopic polarization (off-diagonal components of the density matrix),

$$\begin{aligned}\frac{\partial \widetilde{\rho}_{cc}}{\partial t} &= -\frac{iev_F}{2\hbar} e^{-t^2/2w^2} \mathbf{A}_o \cdot [\sigma_{cv} e^{i\delta t} \widetilde{\rho}_{vc} - \sigma_{vc} e^{-i\delta t} \widetilde{\rho}_{cv}], \\ \frac{\partial \widetilde{\rho}_{vv}}{\partial t} &= \frac{iev_F}{2\hbar} e^{-t^2/2w^2} \mathbf{A}_o \cdot [\sigma_{cv} e^{i\delta t} \widetilde{\rho}_{vc} - \sigma_{vc} e^{-i\delta t} \widetilde{\rho}_{cv}], \\ \frac{\partial \widetilde{\rho}_{cv}}{\partial t} &= -\frac{iev_F}{2\hbar} \mathbf{A}_o \cdot \sigma_{cv} e^{-t^2/2w^2} e^{i\delta t} (\widetilde{\rho}_{vv} - \widetilde{\rho}_{cc}), \\ \frac{\partial \widetilde{\rho}_{vc}}{\partial t} &= \frac{iev_F}{2\hbar} \mathbf{A}_o \cdot \sigma_{vc} e^{-t^2/2w^2} e^{-i\delta t} (\widetilde{\rho}_{vv} - \widetilde{\rho}_{cc}).\end{aligned}\quad (\text{A11})$$

### 1. Undoped graphene absorption

The velocity operator for graphene in the Dirac dispersion approximation is  $\vec{v} = v_F \vec{\sigma}$ . This in second quantized notation gives

$$\begin{aligned}\vec{v} &= v_F \vec{\sigma}_{cv} |c\rangle\langle v| + v_F \vec{\sigma}_{vc} |v\rangle\langle c|, \\ \widetilde{\vec{v}} &= v_F \vec{\sigma}_{cv} e^{i\omega_{cv} t} |c\rangle\langle v| + v_F \vec{\sigma}_{vc} e^{-i\omega_{cv} t} |v\rangle\langle c|,\end{aligned}\quad (\text{A12})$$

where  $\widetilde{\vec{v}}$  is the operator in the interaction representation. In case of monochromatic vector potential:  $\mathbf{A} = \text{Re}[\mathbf{A}_o e^{-i\omega t}]$ , the optical field vector potential is  $\mathbf{A} = \mathbf{A}_o [e^{-i\omega t} + e^{i\omega t}]/2$ . Thus  $\mathbf{A}(\omega) = \mathbf{A}_o/2$ . The coupled density matrix equations in

Eq. (A11) can be formulated for the monochromatic vector potential in the RWA and solved for the interband coherence to lowest order in the optical field. Without loss of generality, we assume the pump to be polarized along the  $\hat{x}$  direction. The susceptibility is defined by

$$\begin{aligned}\chi(\omega) &= \frac{j(\omega)}{\varepsilon_o \omega^2 A(\omega)} \\ &= \frac{e^2 v_F^2}{\varepsilon_o \omega^2 \hbar L^2} \sum_k \sin^2(\phi) \left( \frac{f_v - f_c}{\omega_{cv} - \omega - i\eta} \right),\end{aligned}\quad (\text{A13})$$

where  $j = -e \text{Tr}[\widetilde{\rho} \vec{v}] = j(\omega) e^{-i\omega t} + j(-\omega) e^{i\omega t}$ . Assuming valence band to be full and conduction band to be empty,  $f_v = 1$  and  $f_c = 0$ ,

$$\text{Im}[\chi(\omega)] = \frac{\pi e^2 v_F^2}{\varepsilon_o \omega^2 \hbar L^2} \sum_k \sin^2(\phi) \delta(\omega_{cv} - \omega). \quad (\text{A14})$$

The absorption relates to the imaginary part of the susceptibility:

$$\begin{aligned}\alpha(\omega) &= \frac{\omega}{c} \text{Im}[\chi(\omega)] \\ &= \frac{e^2}{4\varepsilon_o \hbar c} = 0.0231.\end{aligned}\quad (\text{A15})$$

This is consistent with the measured and calculated interband absorption from far infrared to ultraviolet spectrum range [27–30].

### 2. Generation rate under weak pump excitation

Considering the case of weak pump excitation ( $\frac{ev_F A_o}{2\hbar} \ll 1$ ) for the pulse with Gaussian envelope such that to lowest order:

$$\begin{aligned}\frac{\partial \widetilde{\rho}_{cv}}{\partial t} &= -\frac{iev_F}{2\hbar} \mathbf{A}_o \cdot \sigma_{cv} e^{-t^2/2w^2} e^{i\delta t} (\widetilde{\rho}_{vv} - \widetilde{\rho}_{cc}) \\ &\simeq -\frac{iev_F}{2\hbar} \mathbf{A}_o \cdot \sigma_{cv} e^{-t^2/2w^2} e^{i\delta t} (\rho_{vv} - \rho_{cc}), \\ \widetilde{\rho}_{cv} &\simeq -\frac{iev_F}{2\hbar} \mathbf{A}_o \cdot \sigma_{cv} (\rho_{vv} - \rho_{cc}) \int_{-\infty}^t dt' e^{-t'^2/2w^2} e^{i\delta t'} \\ &\simeq -\sqrt{\frac{\pi}{2}} w \frac{iev_F}{2\hbar} \mathbf{A}_o \cdot \sigma_{cv} (\rho_{vv} - \rho_{cc}) e^{-\delta^2 w^2/2} \\ &\quad \times \left[ 1 + \text{Erf} \left( \frac{t}{\sqrt{2}w} - \frac{i\delta w}{\sqrt{2}} \right) \right].\end{aligned}\quad (\text{A16})$$

Substituting the above expression in the equation for the diagonal component of the density matrix with the vector



potential polarization  $A_o = A_o \hat{\lambda}$ ,

$$\begin{aligned} \frac{\partial f_c}{\partial t} &= \frac{\partial \tilde{\rho}_{cc}}{\partial t} \\ &= \sqrt{\frac{\pi}{2}} \frac{e^2 v_F^2 w}{2 \hbar^2} A_o^2 |\sigma_{cv}^\lambda|^2 e^{-t^2/2w^2} e^{-w^2 \delta^2/2} (f_v - f_c) \\ &\quad \times \text{Real} \left[ e^{-i\delta t} \left( 1 + \text{Erf} \left( \frac{t}{\sqrt{2}w} - \frac{i\delta w}{\sqrt{2}} \right) \right) \right]. \end{aligned} \quad (\text{A17})$$

In using the generation rate for further calculations, we assume that  $f_c = 0$  and  $f_v = 1$ .

## APPENDIX B: BOLTZMANN EQUATION SOLUTION

The BTE in Eq. (2) can be solved by Fourier transforms of the distribution function defined by

$$\begin{aligned} g(\mathbf{r}) &= \frac{1}{2\pi} \iint e^{-i\mathbf{k}\cdot\mathbf{r}} f(\mathbf{k}) d\mathbf{k}, \\ f(\mathbf{k}) &= \frac{1}{2\pi} \iint e^{i\mathbf{k}\cdot\mathbf{r}} g(\mathbf{r}) d\mathbf{r}. \end{aligned} \quad (\text{B1})$$

Upon Fourier transforming the BTE in Eq. (2):

$$\frac{\partial g}{\partial t} + \frac{ieEx}{\hbar} g = \frac{\partial g_g}{\partial t} - \frac{g - g_t}{\tau_T} - \frac{g - g_c}{\tau_C}. \quad (\text{B2})$$

Integrating over time from an initial time  $t_i$  (well before the applied pump pulse) gives the solution in Fourier space:

$$\begin{aligned} g &= g_i e^{-(t-t_i)/\tau} e^{-ieEx(t-t_i)/\hbar} + e^{-t/\tau} e^{-ieEx t/\hbar} \\ &\quad \times \int_{t_i}^t dt' e^{t'/\tau} e^{ieEx t'/\hbar} \left( \frac{\partial g_g}{\partial t'} + \frac{g_t}{\tau_T} + \frac{g_c}{\tau_C} \right), \end{aligned} \quad (\text{B3})$$

where  $\tau^{-1} = \tau_T^{-1} + \tau_C^{-1}$ ,  $g_i$  in the Fourier transform of the steady-state distribution before the pump is applied, which means the steady-state distribution of the carriers (due to finite temperature and doping) in presence of dc electric field.  $g_g/g_t/g_c$  are the Fourier transforms of  $f_g/f_t/f_c$ . Upon taking the inverse Fourier transform of  $g$ , one can get the time dependent distribution function  $f$ . However, the initial steady-state distribution function prior to the pump pulse needs to be determined.

The carriers prior to the optical pump pulse are in a steady state, which can be calculated using the Boltzmann equation. The steady-state Boltzmann equation for the initial distribution prior to the pump pulse is one similar to Eq. (2) except that the time derivative term is absent since steady state and the generation rate term is absent since the system prior to photoexcitation is being considered:

$$\frac{eE}{\hbar} \frac{\partial f_i}{\partial k_x} = -\frac{f_i - f_{it}}{\tau_T} - \frac{f_i - f_{ic}}{\tau_C}, \quad (\text{B4})$$

where the subscript  $i$  in the distribution functions corresponds to ‘‘initial.’’ The collision terms are the same as stated in Eq. (5) and Eq. (7):

$$\begin{aligned} f_{it} &= [1 + \exp\{(\hbar v_F |\mathbf{k} - \mathbf{k}_d^i| - \mu^{*i})/(k_b T_{el}^i)\}]^{-1}, \\ f_{ic} &= [1 + \exp\{(\hbar v_F |\mathbf{k}| - \mu^i)/(k_b T)\}]^{-1}. \end{aligned} \quad (\text{B5})$$

The moments of the BTE [Eq. (B4)] for the initial distribution with respect to wave vector  $k_x$  and energy  $\varepsilon_k$  is given by

$$\begin{aligned} k_d^i &= \frac{\langle k_x \rangle}{n} = \frac{eE\tau_C}{\hbar}, \\ \langle \varepsilon_k \rangle^i &= \langle \varepsilon_c \rangle^i + eE\tau_C \langle v_x \rangle_n^i, \end{aligned} \quad (\text{B6})$$

where

$$\begin{aligned} \langle v_x \rangle_n^i &= \frac{1}{(2\pi)^2} \iint v_x(\mathbf{k}) f_i(\mathbf{k}) d\mathbf{k}, \\ \langle \varepsilon_k \rangle^i &= \frac{1}{(2\pi)^2} \iint \varepsilon_k f_i(\mathbf{k}) d\mathbf{k}, \\ \langle \varepsilon_c \rangle^i &= \frac{1}{(2\pi)^2} \iint \varepsilon_k f_c(\mathbf{k}) d\mathbf{k}. \end{aligned} \quad (\text{B7})$$

The solution to the BTE ([Eq. (B4)] in Fourier space is

$$g_i = \frac{1}{1 + ieEx\tau/\hbar} \left( \frac{\tau}{\tau_T} g_{it} + \frac{\tau}{\tau_C} g_{ic} \right). \quad (\text{B8})$$

The energy moment relation suggests that the initial steady-state distribution needs to be solved self-consistently since the energy is related to the average velocity of the carriers. Thus starting with a guess of average energy  $\langle \varepsilon_k \rangle^i$  (initial carrier number density  $n_i$  is fixed),  $\mu^{*i}$  and  $T_{el}^i$  is solved for and then the initial steady-state distribution is evaluated. This initial distribution is used to find the average energy and this procedure is repeated until self-consistency is achieved.

Thus solution to the BTE (B3) requires the time-dependent parameters  $k_d$ ,  $\mu$ ,  $\mu^*$ , and  $T_{el}$  in the collision integral. These can be determined from the moments of the BTE as described below.

## APPENDIX C: MOMENTS OF DISTRIBUTION FUNCTION

### 1. Moment equation for carrier density

Since the thermalization and cooling collision integrals conserves number density, one can integrate the BTE [Eq. (2)] over all  $\mathbf{k}$  states:

$$\frac{\partial n}{\partial t} = \frac{\partial n_g}{\partial t} = \iint \frac{d\mathbf{k}}{(2\pi)^2} \frac{\partial f_g}{\partial t}. \quad (\text{C1})$$

The equation can be integrated in time to get

$$n(t) = n(t_i) + \int_{t_i}^t dt' \frac{\partial n_g}{\partial t'}. \quad (\text{C2})$$

The above equation clearly indicates that a change in the number density of carriers can come about from the generation of carriers by the pump pulse since we do not have recombination in our model, which occurs at a longer time scale compared to thermalization and cooling.

### 2. Moment equation for wave vector

To determine the time evolution of the drift wave vector, one can multiply the BTE [Eq. (2)] by  $k_x$  and then integrate over all  $\mathbf{k}$  states:

$$\frac{\partial k_d^n}{\partial t} - \frac{eEn}{\hbar} = -\frac{k_d^n}{\tau_C}, \quad (\text{C3})$$

where  $k_d^n = \langle k_x \rangle$  is the average of wave vector over the distribution function (average of  $n$  carriers). Integration of the above equation gives a time-dependent drift wave vector:

$$k_d^n(t) = k_d^n(t_i) e^{-(t-t_i)/\tau_c} + \frac{eE}{\hbar} \int_{t_i}^t dt' e^{-(t-t')/\tau_c} n(t'). \quad (\text{C4})$$

Thus the drift wave vector of the distribution  $k_d(t) = k_d^n(t)/n(t)$ .

The time variation of the drift wave vector per carrier of the drifted Fermi-Dirac distribution  $k_d$  is shown in Fig. 11. The thermalization collision integral attributed to scattering between carriers relaxes the distribution to a drifted Fermi-Dirac distribution with an effective temperature and quasi-Fermi level with the drift wave vector  $k_d$ . The optical pump photoexcites carriers with no net wave vector. Thus the drift wave vector as seen in Fig. 11 decreases during the duration of the pump pulse as the number of the carriers increases but the carriers are generated with no net wave vector by the optical pump pulse. After the pump pulse is over the distribution starts to drift under the effect of dc electric field and the drift wave vector increases to its initial steady-state value.

### 3. Moment equation for energy density

To determine the time evolution of energy, one can multiply the BTE [Eq. (2)] by  $\varepsilon_k$  and then integrate over all  $k$  states:

$$\frac{\partial \langle \varepsilon_k \rangle}{\partial t} - eE \langle v_x \rangle_n = \frac{\partial \langle \varepsilon_g \rangle}{\partial t} - \frac{\langle \varepsilon_k \rangle - \langle \varepsilon_c \rangle}{\tau_c}, \quad (\text{C5})$$

where  $\langle v_x \rangle_n$  is the average of velocity over the distribution function  $f$  (average of  $n$  carriers),  $\langle \varepsilon_k \rangle$  is the average of energy over the distribution function  $f$ ,  $\langle \varepsilon_c \rangle$  is the average of energy over the cooling distribution function  $f_c$ , and  $\partial_t \langle \varepsilon_g \rangle$  is the average of energy over the generation rate  $\partial_t f_g$ . Integration of the above equation gives a time-dependent energy:

$$\langle \varepsilon_k \rangle(t) = \langle \varepsilon_k \rangle(t_i) e^{-(t-t_i)/\tau_c}$$

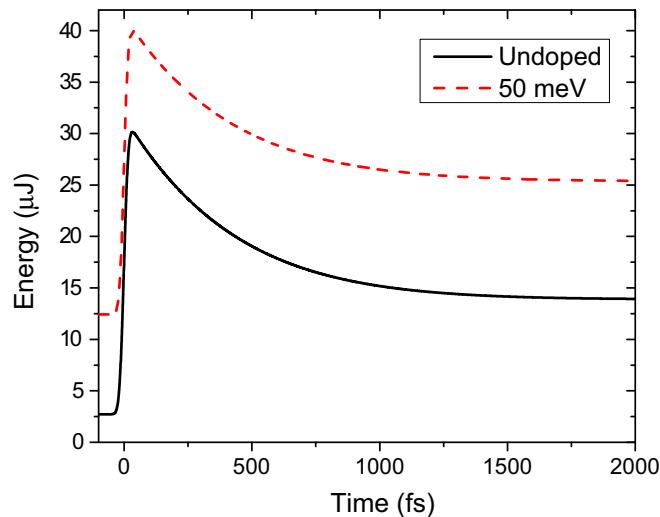


FIG. 12. Energy density of carriers as a function of time for undoped and for  $n$ -doped graphene with room temperature chemical potential of 50 meV.

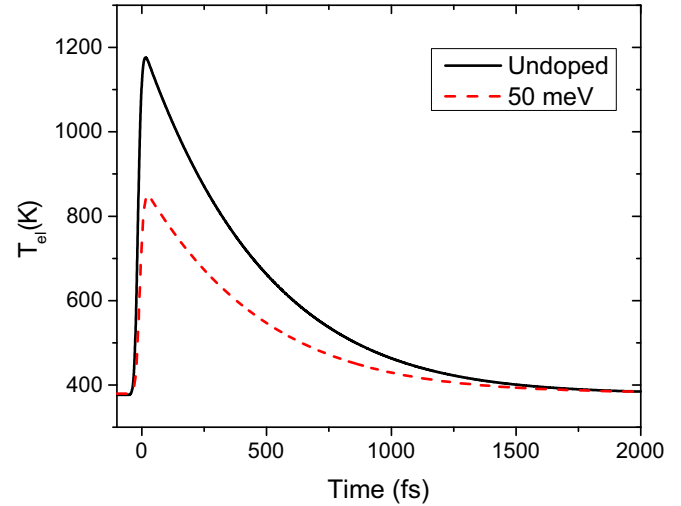


FIG. 13. Electron temperature of thermalized distribution as a function of time for undoped and for  $n$ -doped graphene with room temperature chemical potential of 50 meV. Note that the electron temperature is higher than the lattice temperature ( $T = 300$  K) because of the heating of the electrons due to the dc field.

$$+ \int_{t_i}^t dt' e^{-(t-t')/\tau_c} \left[ \frac{\partial \langle \varepsilon_g \rangle}{\partial t'} + eE \langle v_x \rangle_n + \frac{\langle \varepsilon_c \rangle}{\tau_c} \right]. \quad (\text{C6})$$

The average energy of the carriers increase during generation of carriers since the pump provides energy to photoexcited carriers and thermalization mainly corresponds to carrier-carrier interaction which conserves energy as seen in Fig. 12. After the thermalization time scale the carriers begin to cool down by giving their energy to the lattice via inelastic carrier-phonon scattering.

The time-dependent wave vector ( $k_d$ ), number density ( $n$ ), and energy ( $\langle \varepsilon_k \rangle$ ) determine the parameters ( $\mu^*$ ,  $T_{el}$ , and  $\mu$ ). The time evolution of the effective electron temperature

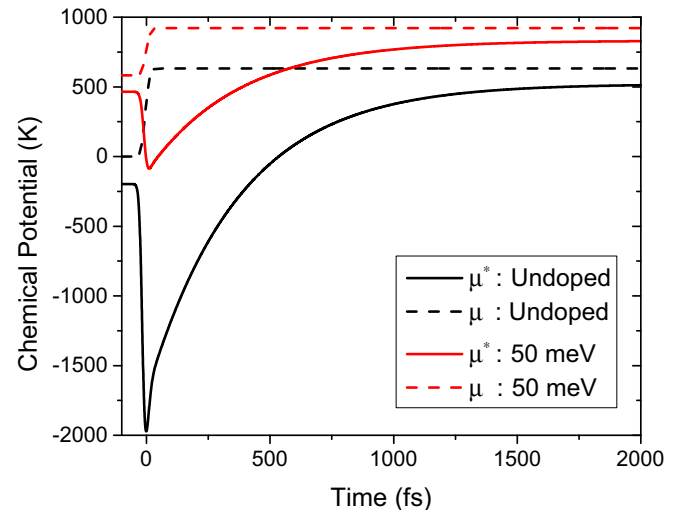


FIG. 14. Chemical potential for the thermalization and cooling distributions as a function of time for undoped and for  $n$ -doped graphene with room temperature chemical potential of 50 meV.

follows the average energy as seen in Fig. 13. The electron temperature increases as energy is pumped into the system through photoexcitation. The electron temperature increases as the photoexcited carrier density increases and eventually cools down to the initial steady-state electron temperature which is higher than the lattice temperature since the presence of the dc electric field increases the steady-state electron temperature of the thermal/doped carriers prior to the optical pump pulse.

The chemical potential for the cooling collision integral follows the number density as seen in Fig. 14. However,

the quasi-Fermi level for the thermalization collision integral  $\mu^*$  initially decreases. This happens because the effective electron temperature increases due to the energy pumped into the system by the pump pulse but the conservation of the instantaneous number density requires the quasi-Fermi level  $\mu^*$  to decrease. After the duration of the pump pulse, the quasi-Fermi level  $\mu^*$  increases as the carriers cool down. We note that we have not included a recombination term in the collision integral and thus the chemical potential does not decrease to the steady-state value prior to photoexcitation.

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