Hubbard models with nearly flat bands: Ground-state ferromagnetism driven by kinetic energy

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We consider the standard repulsive Hubbard model with a flat lowest-energy band for two one-dimensional lattices (diamond chain and ladder) as well as for a two-dimensional lattice (bilayer) at half filling of the flat band. The considered models do not fall in the class of Mielke-Tasaki flat-band ferromagnets, since they do not obey the connectivity conditions. However, the ground-state ferromagnetism can emerge, if the flat band becomes dispersive. To study this kinetic-energy-driven ferromagnetism we use perturbation theory and exact diagonalization of finite lattices. We find as a typical scenario that small and moderate dispersion may lead to a ferromagnetic ground state for sufficiently large on-site Hubbard repulsion $U > U_c$, where U_c increases monotonically with the acquired bandwidth. However, we also observe for some specific parameter cases, that (i) ferromagnetism appears at already very small U_c , (ii) ferromagnetism does not show up at all, (iii) the critical on-site repulsion U_c is a nonmonotonic function of the bandwidth, or that (iv) a critical bandwidth is needed to open the window for ground-state ferromagnetism.

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I. INTRODUCTORY REMARKS

Explaining ferromagnetism from a simple model of itinerant electrons such as the standard Hubbard model is a long-standing problem in condensed matter theory. Among many routes leading to ferromagnetism, the so-called flat-band ferromagnetism of Mielke and Tasaki [1-3] is of special interest. On one hand, many results for Mielke-Tasaki flatband ferromagnetism have been obtained rigorously. On the other hand, this mechanism is important for material design, since it opens interesting possibilities to obtain ferromagnetic materials in which magnetic atoms are completely missing. In brief, the mechanism of this kind of ferromagnetism looks as follows [1-3]. Flat-band ground states (i.e., the one-particle states from completely dispersionless band which is the lowest-energy one) can be considered as one-particle states which are localized within small trapping cells on a lattice [4-6]. Therefore, exact many-electron ground states at low electron densities can be constructed simply by filling the traps. Importantly, in the case of connected (overlapping) traps, electrons being in symmetric spin states avoid the on-site Hubbard repulsion, and, as a result, these states remain within the ground-state manifold for U > 0 with a U-independent energy. Thus, the (degenerate) ground state consists of a set of ferromagnetic clusters. If the electron density exceeds a threshold value, a macroscopic wrapping ferromagnetic cluster appears and ferromagnetism dominates the groundstate properties of thermodynamically large systems [1-3,5,7-9]. This ferromagnetism is robust against perturbation, i.e., the ferromagnetic state remains stable for slightly perturbed models which have a moderate change in the hopping integrals leading to a slightly dispersive one-electron band [10,11]. Further development of these ideas provides also rigorous examples of metallic ferromagnetism, see Ref. [12] and references therein.

The above description of the emergence of ground-state ferromagnetism is based on the assumption, that the trapping cells have common sites, i.e., the so-called connectivity condition is satisfied for the localized one-electron states. In other words, the localized states overlap and this was essential for the proofs in Refs. [1] and [2]. On the other hand, there are lattices which have lowest-energy flat bands but the traps do not have common sites (nonoverlapping or isolated traps) [13]. Those flat-band lattices cannot support the above described mechanism for ferromagnetism, since the trapped electrons cannot be in contact with each other, and, thus are unable to correlate. Hence, flat-band Hubbard models with isolated traps do not exhibit ferromagnetism at zero temperature, rather there is a macroscopically degenerate (i.e., the degeneracy grows exponentially with the system size) ground-state manifold, where paramagnetic states dominate [14-17]. However, the macroscopically degenerate ground-state manifold is very sensitive to small perturbations which may lead to subtle effects of violations of the flat-band conditions. This scenario has been investigated in Ref. [18] for the specific example of the frustrated diamond chain. It was demonstrated that the macroscopically degenerate ground-state manifold with all traps filled by electrons results in a nonmagnetic zerotemperature phase [15,18], but small deviations from the ideal flat-band geometry of hopping integrals (which makes the flat band slightly dispersive) lead to a fully polarized ferromagnetic many-electron ground state if $U > U_c$. The value of U_c depends on the strength of the deviation from the ideal geometry. Note that another route to ground-state ferromagnetism without connectivity condition in the flat band was discussed in Ref. [19].

In the present paper we broaden and generalize our previous study on the dispersion-driven ferromagnetism in flat-band Hubbard systems [18]. As already mentioned above, those studies referred to one particular lattice, namely to an azuritelike [20] diamond-Hubbard chain. Moreover, analytical calculations presented in Ref. [18] were restricted to the fourth-order perturbation theory for a two-cell chain. In the present study we extend the analytical calculations to higher-orders perturbation theory this way validating the previous

results. More importantly, we consider other lattices with isolated trapping cells, the one-dimensional ladder and the two-dimensional bilayer. These new lattices have more degrees of freedom to constitute deviations from the ideal flat-band geometry. Thus, we will demonstrate that the dispersiondriven ferromagnetism is a rather general mechanism to establish ferromagnetic ground states in Hubbard models having isolated trapping cells in the flat-band limit. In addition to the analytical perturbation theory, we also perform extensive exact-diagonalization studies. Our analysis will, on one hand, confirm the conclusions derived from the study of the Hubbard diamond chain [18]. On the other hand, we will discuss further consequences of deviations from the ideal flat-band geometry on ferromagnetism. In particular, we find that in some cases the required threshold on-site repulsion U_c may be quite small, whereas in other cases ferromagnetic ground states do not appear at all. There are also cases when ferromagnetic ground states appear only if the acquired bandwidth exceeds a threshold, and then U_c becomes a nonmonotonic function of the bandwidth. Our findings are compactly collected in phase diagrams, obtained both by analytical treatment and exact diagonalization, which indicate the regions of dispersiondriven ground-state ferromagnetism.

The paper is organized as follows. After a brief description of the models to be considered (Sec. II) and the methods to be used (Sec. III) we pass to a discussion of the obtained results for the diamond chain (Sec. IV), the ladder (Sec. V), and the bilayer (Sec. VI). We briefly summarize our results in Sec. VII. Several appendices present some lengthy formulas which are relevant for the discussion in the main text of the paper.

II. MODELS

We consider the standard repulsive one-orbital Hubbard model with the Hamiltonian

$$H = \sum_{\sigma=\uparrow,\downarrow} H_{0,\sigma} + H_U,$$

$$H_{0,\sigma} = \sum_{(ij)} t_{ij} (c_{i,\sigma}^{\dagger} c_{j,\sigma} + c_{j,\sigma}^{\dagger} c_{i,\sigma}), \quad t_{ij} > 0, \quad (2.1)$$

$$H_U = U \sum_i n_{i,\uparrow} n_{i,\downarrow}, \quad U > 0,$$

where generally accepted notations are used in Eq. (2.1). We investigate the Hubbard model (2.1) on two one-dimensional and one two-dimensional N-site lattices which are shown in Fig. 1, namely the frustrated diamond chain, the frustrated two-leg ladder, and the frustrated bilayer. In case of ideal flat-band geometry all hopping integrals $t_{ij} = t$ are equal, except the hopping integral on the vertical bond t_2 . Then one of the one-electron bands is strictly flat and it becomes the lowest one, if t_2 is sufficiently large. The localized-electron states are then located (trapped) on the vertical t_2 bonds. Obviously, the trapping cells do not have common sites, the connectivity condition is violated, and the zero-temperature state in the subspaces with $n \leq \mathcal{N}$ electrons are nonmagnetic. From Fig. 1 it is obvious that the number of trapping cells \mathcal{N} for the diamond chain and the ladder/bilayer is $\mathcal{N} = N/3$ and $\mathcal{N} = N/2$, respectively.



FIG. 1. Lattices considered in the present paper: The frustrated diamond chain, the frustrated two-leg ladder, and the frustrated bilayer (from top to bottom). The sites are enumerated by two indexes m,i: The first one enumerates the cells, m = 1, ..., N, and the second one enumerates the sites within a cell, i = 1,2,3 (diamond) and i = 1,2 (ladder and bilayer). The hopping integral for the vertical bond is t_2 , whereas the hopping integral along the bond connecting the sites m,i and m + 1,j is denoted by t_{ij} , see also the main text. For ideal flat-band geometry $t_{ij} = t$ and $2t < t_2$ (diamond and ladder) or $4t < t_2$ (bilayer).

We consider deviations from the ideal flat-band geometry of the following form: For the diamond chain, following Ref. [18], we set $t_{13} = t_{32} = t_1 \neq t_{23} = t_{31} = t_3, t_1 + t_3 =$ $2t < t_2$ (azuritelike geometry [20]; for more general deformations see Ref. [21]). It is convenient to parametrize the azuritelike distortion as follows:

$$t_{1} = t(1+\delta), \quad t_{3} = t(1-\delta);$$

$$t = \frac{t_{1}+t_{3}}{2}, \quad \delta = \frac{t_{1}-t_{3}}{t_{1}+t_{3}}.$$
 (2.2)

For the ladder/bilayer t_{11} , t_{12} , t_{21} , and t_{22} may be different, but we assume $t_{11} + t_{12} + t_{21} + t_{22} = 4t$ and $2t < t_2$ (ladder) or $4t < t_2$ (bilayer). Again it is convenient to introduce the following parameterization:

$$t_{11} = t_l(1 + \delta_l), \quad t_{12} = t_f(1 + \delta_f),$$

$$t_{21} = t_f(1 - \delta_f), \quad t_{22} = t_l(1 - \delta_l);$$

$$t_l = \frac{t_{11} + t_{22}}{2}, \quad \delta_l = \frac{t_{11} - t_{22}}{t_{11} + t_{22}},$$

$$t_f = \frac{t_{12} + t_{21}}{2}, \quad \delta_f = \frac{t_{12} - t_{21}}{t_{12} + t_{21}}$$
(2.3)

with $t_l + t_f = 2t$.

In the distorted systems the lowest flat band with energy ε_1 acquires a dispersion, i.e., $\varepsilon_1 \rightarrow \varepsilon_1(\kappa)$, resulting in a nonzero bandwidth $W_1 > 0$. In Ref. [18], the acquired dispersion was characterized by a parameter W_1/w_2 , where w_2 denotes the bandwidth of the dispersive bands for the ideal flat-band geometry (note that for the diamond chain there are two dispersive bands with identical bandwidth). Furthermore, for the diamond chain we have $W_1 \approx 2(t_3 - t_1)^2/t_2$, $w_2 \approx 2(t_3 + t_1)^2/t_2$ and therefore $W_1/w_2 \approx \Omega^2$, where $\Omega \equiv |(t_3 - t_1)/(t_3 + t_1)|$ used in Ref. [18] equals to $|\delta|$, cf. Eq. (2.2). However, since for the Hubbard ladder/bilayer the acquired bandwidth is not the only relevant parameter that controls the emergence of ferromagnetism, we prefer to use throughout this paper the above introduced parameters t and δ for the diamond chain and t_l, t_f, δ_l , and δ_f for the ladder/bilayer.

III. METHODS

In our study we use an analytical perturbation-theory approach and numerical exact diagonalization. Let us briefly explain these methods. The starting point of the perturbation theory is the splitting of the Hamiltonian H of the problem at hand into the main part (unperturbed Hamiltonian) H_0 and the perturbation V, i.e., $H = H_0 + V$. Then we use the perturbation-theory formulas given in Ref. [22] (see also Appendix A) to determine the influence of the perturbation V on the degenerate ground-state manifold. Simplicity of the set of trapped states makes application of perturbation theory straightforward. Since $t_2 > 0$ is the largest hopping integral and U > 0, the main part consists of the hopping terms on the vertical bonds and all on-site repulsion terms. The perturbation consists of all other hopping terms. Next we have to find all eigenstates and eigenvalues of the unperturbed Hamiltonian H_0 . For N sites and n electrons there are altogether $C_{2N}^n = (2N)!/[n!(2N-n)!]$ eigenstates. For example, for $n = \mathcal{N} = 2, 3, 4, 5$ ladder problems we have 28, 220, 1820, 15504 eigenstates, respectively. In the considered regime, i.e., dominating positive $t_2, U > 0$ is sufficiently large, and $n = \mathcal{N}$, the ground state is 2^n -fold degenerate, i.e., 4-, 8-, 16-, 32-fold degenerate for $n = \mathcal{N} = 2, 3, 4, 5$. It has the form:

$$|\mathbf{GS}\rangle = l_{1,\sigma_1}^{\dagger} \dots l_{n,\sigma_n}^{\dagger} |\mathbf{vac}\rangle,$$

$$l_{m,\sigma_m}^{\dagger} = \frac{1}{\sqrt{2}} (c_{m,1,\sigma_m}^{\dagger} - c_{m,2,\sigma_m}^{\dagger}).$$
(3.1)

The choice of the concrete linear combinations of states (3.1) used as a starting point of perturbation theory is related to the model with perturbation. Supposing an effective magnetic Heisenberg model for the low-energy degrees of freedom [18], the choice of ground states of the unperturbed Hamiltonian H₀ which account the SU(2) symmetry of the Hubbard Hamiltonian is straightforward, for more details see Appendix B. The resulting perturbation-theory formulas up to the sixth order are collected in Appendix A (see also Appendices C, D, and E). It is in order to mention here, that in the small-*U* limit, in addition to the states (3.1), also states with two electrons in one cell, become relevant. As a result, the perturbation theory starting from the set of states (3.1) may fail for $U \rightarrow 0$, see below.

To perform the fourth and sixth order perturbation theory we use the symbolic computation software *Mathematica*. To implement the symbolic calculation we used the SNEG package, see Ref. [23], for Mathematica. The package handles the noncommutative multiplication of, e.g., fermionic creation and annihilation operators. This is required to perform the perturbation theory in higher order for larger Hubbard clusters. (For a compact sketch of the procedure see Appendix F in Ref. [24].)

For the numerical exact diagonalization we use J. Schulenburg's *spinpack* [25,26]. This code allows the calculation of the ground state for the Hubbard model with a half-filled lowest band up to N = 20 sites. Thus, by considering various system sizes the finite-size effects can be estimated. The comparison of the results obtained by two different approaches finally allows us to get a consistent description of the ground-state phases of the considered Hubbard systems.

IV. DIAMOND CHAIN

The Hubbard model Hamiltonian on the diamond chain is given in Eq. (2.1) with the following explicit form for $H_{0,\sigma}$:

$$H_{0,\sigma} = \sum_{m} [t_2 c^{\dagger}_{m,1,\sigma} c_{m,2,\sigma} + t_1 (c^{\dagger}_{m,1,\sigma} c_{m,3,\sigma} + c^{\dagger}_{m,3,\sigma} c_{m+1,2,\sigma}) + t_3 (c^{\dagger}_{m,2,\sigma} c_{m,3,\sigma} + c^{\dagger}_{m,3,\sigma} c_{m+1,1,\sigma}) + \text{H.c.}], \quad (4.1)$$

see Fig. 1. Equation (4.1) corresponds to an azuritelike deformation [20]. Furthermore, we assume half filling of the lowest nearly flat one-electron band, i.e., the number of electrons equals the number of cells n = N.

Extensive exact-diagonalization calculations for this model were reported in Ref. [18]. However, the analytical treatment by perturbation theory was restricted to fourth-order calculations for the two-cell diamond chain with open boundary conditions consisting of N = 5 sites. (Note, that for the special diamond-chain geometry the second-order perturbation theory is not sufficient to describe ground-state ferromagnetism [18].) In this paper we present the sixth-order perturbation theory and consider also a larger cluster consisting of three cells in fourth-order perturbation theory. That allows us to validate the previous lower-order approach and promises a better agreement with exact diagonalization for larger deviations from the ideal flat-band geometry.

The results for the triplet and singlet energies calculated for the cluster of N = 5 sites with n = 2 electrons up to the sixth order,

$$E_t = -2t_2 + E^{(2)} + E_t^{(4)} + E_t^{(6)} + \dots,$$

$$E_s(U) = -2t_2 + E^{(2)} + E_s^{(4)}(U) + E_s^{(6)}(U) + \dots,$$
(4.2)

are given in Appendix C. From the obtained data one can see that with increasing of the order of perturbation-theory calculations the analytical results for the triplet and singlet energies monotonically approach the exact-diagonalization data from above. The critical on-site repulsion U_c is determined from the equation $E_t = E_s(U_c)$. In fourth-order perturbationtheory we get a compact formula [18]

$$\frac{U_c^{(4)}}{t_2} = \frac{\sqrt{16 + 65\delta^2} + 9|\delta|}{1 - \delta^2} |\delta|.$$
(4.3)



FIG. 2. Phase diagram for the Hubbard diamond chain. Ferromagnetic ground states appear for $U > U_c$. U_c is shown as a function of δ , t = 1, see Eq. (2.2). The various critical lines $U_c(\delta)$ are obtained by perturbation theory and exact diagonalization.

Equation (4.3) implies that in fourth order U_c/t_2 depends only on the deviation from the ideal flat-band geometry controlled by δ , but not on t or t_2 . Unfortunately, in sixth order $U_c^{(6)}$ obtained as a solution of the equation $E_t^{(4)} + E_t^{(6)} = E_s^{(4)}(U_c^{(6)}) + E_s^{(6)}(U_c^{(6)})$ has to be calculated numerically, and cannot be presented in a compact analytical form. By contrast to $U_c^{(4)}$, the sixth-order result $U_c^{(6)}/t_2$ weakly depends on t_2 , which was also found in our exact-diagonalization results. The corresponding results for $U_c^{(4)}$ and $U_c^{(6)}$ are shown in Fig. 2. It is evident that the difference between the values of $U_c^{(4)}$ and $U_c^{(6)}$ at least for small δ , where the perturbation theory is valid, is small (the difference in Fig. 2 becomes only visible if δ exceeds 0.4). Thus, we confirm that the simple equation (4.3) describes the phase boundary surprisingly well.

Another way to extend the previous perturbation-theory calculations of Ref. [18] is to enlarge the cluster sizes used for the perturbation theory. For that we consider n = 3 electrons on the three-cell diamond chain with open boundary conditions which has N = 8 sites. Already in fourth order the perturbation theory becomes more ambitious, since we have to take into account much more states, see Appendix C. Remarkably, for the larger cluster we get the same value of $U_c^{(4)}$ as given in Eq. (4.3).

Our results are summarized in Fig. 2, where we also show some exact-diagonalization results obtained earlier [18]. This figure provides evidence, that the sixth-order perturbation-theory calculations (N = 5) almost do not change the predictions for $U_c(\delta)$ according to Eq. (4.3), although there is a weak dependence of U_c/t_2 on t_2 in agreement with exact-diagonalization data (compare the curves PT6 for $t_2 = 3$ and $t_2 = 6$ in Fig. 2). The fact that Eq. (4.3) has been obtained now from calculations for both two-cell and three-cell diamond chains (i.e., for N = 5 and N = 8), also explains the good agreement of Eq. (4.3) with exact-diagonalization results for longer chains (e.g., for $\mathcal{N} = 6$ cells, see Fig. 2). Finally, we emphasize again that our new results demonstrate that the formula for U_c given in Eq. (4.3) provides a simple and sufficiently precise criterion for emergence of ground-state ferromagnetism in the Hubbard diamond chain.

V. LADDER

Next we consider as a new example for a flat-band model with isolated trapping cells the Hubbard model on a frustrated ladder, see Fig. 1. We point out at the beginning that, by contrast to the diamond chain, there is no intermediate site between two trapping cells. The explicit form for $H_{0,\sigma}$ in Eq. (2.1) is

$$H_{0,\sigma} = \sum_{m} (t_2 c_{m,1,\sigma}^{\dagger} c_{m,2,\sigma} + t_{11} c_{m,1,\sigma}^{\dagger} c_{m+1,1,\sigma} + t_{12} c_{m,1,\sigma}^{\dagger} c_{m+1,2,\sigma} + t_{21} c_{m,2,\sigma}^{\dagger} c_{m+1,1,\sigma} + t_{22} c_{m,2,\sigma}^{\dagger} c_{m+1,2,\sigma} + \text{H.c.}),$$
(5.1)

see Fig. 1.

Using the notations of Eq. (2.3), the one-electron dispersion relations for this model can be written in a compact manner as follows:

$$\varepsilon_{1,2}(\kappa) = 2t_l \cos \kappa$$

$$\mp \sqrt{(t_2 + 2t_f \cos \kappa)^2 + 4t_l^2 \delta_l^2 \cos^2 \kappa + 4t_f^2 \delta_f^2 \sin^2 \kappa}.$$

(5.2)

Flat-band geometry occurs when $t_{11} = t_{12} = t_{21} = t_{22} = t$ or $t_l = t_f = t, \delta_l = \delta_f = 0$ and $2t < t_2$. Then $\varepsilon_1(\kappa) = \varepsilon_1 = -t_2$ and $\varepsilon_2(\kappa) = t_2 + 4t \cos \kappa > \varepsilon_1$.

We consider a quite general deviation from the ideal flatband geometry, and assume only that $t_{11} + t_{12} + t_{21} + t_{22} = 4t$ or $t_l + t_f = 2t$ and $2t < t_2$. Thus after fixing t_l and t_f with the restriction $t_l + t_f = 2t < t_2$ we are left with two free parameters, δ_l and δ_f [see Eq. (2.3)], constituting a twodimensional parameter region. Except the general case of deformations, we will also consider two special deformations, (i) a symmetric deformation with $t_{11} = t_{22}, t_{12} = t_{21}$ and $t_{11} \neq t_{12}$ $(t_l \neq t_f, \delta_l = \delta_f = 0)$ and (ii) a semisymmetric deformation with $t_{11} = t_{12}, t_{21} = t_{22}$ and $t_{11} \neq t_{21}$ $(t_l = t_f = t, \delta_l = \delta_f =$ $\delta \neq 0)$ which is identical to $t_{11} = t_{21}, t_{12} = t_{22}$ and $t_{11} \neq t_{12}$ $(t_l = t_f = t, \delta_l = -\delta_f = \delta \neq 0)$, since all results depend only on δ_l^2 and δ_f^2 , see, e.g., Eq. (5.2). For case (i) the dispersion relation Eq. (5.2) becomes

$$\varepsilon_{1,2}(\kappa) = \mp t_2 + 2(t_l \mp t_f) \cos \kappa, \qquad (5.3)$$

whereas for case (ii) it translates into

$$\varepsilon_{1,2}(\kappa) = 2t\cos\kappa \mp \sqrt{(t_2 + 2t\cos\kappa)^2 + 4t^2\delta^2}.$$
 (5.4)

It is worth noting that the acquired bandwidth of the former flat band due to the symmetric deformation may be larger than due to the semisymmetric one. On the other hand, while the symmetric deformation does not lead to ferromagnetic ground states at all, see below, the semisymmetric one produces ferromagnetic ground states for very small $U > U_c$, see below. Obviously, the acquired bandwidth as the only relevant parameter is insufficient to characterize the capability to obtain ground-state ferromagnetism. In what follows we first discuss perturbation-theory results in comparison with exact-diagonalization data for ladders up to $\mathcal{N} = 4$ cells (N = 8 sites) and then present all analytical findings along with exact diagonalization for N = 12, 16, 20 ($\mathcal{N} = 6, 8, 10$) in phase diagrams.

A. Two electrons and two cells

We begin with the case of n = 2 electrons on the ladder of $\mathcal{N} = 2$ cells with open boundary conditions imposed. Perturbation-theory calculations for the energies of the triplet state and the singlet state can be easily obtained by symbolic computation up to the sixth order:

$$E_t = -2t_2 + E_t^{(2)} + E_t^{(4)} + E_t^{(6)} + \dots,$$

$$E_s(U) = -2t_2 + E_s^{(2)}(U) + E_s^{(4)}(U) + E_s^{(6)}(U) + \dots$$
(5.5)

Here the second-order corrections are as follows:

1

$$E_t^{(2)} = -\frac{t_t^2 \delta_l^2 + t_f^2 \delta_f^2}{t_2},$$

$$E_s^{(2)}(U) = -\frac{(t_l - t_f)^2}{t_2} - 2\frac{t_l^2 \delta_l^2 + t_f^2 \delta_f^2}{2t_2 + U} - \frac{8(t_l - t_f)^2}{U}.$$
(5.6)

The explicit lengthy expressions for the higher-order corrections are given in Appendix D. Typical dependences of low-lying energies on U are shown in Figs. 3(a), 3(b), and 3(c) for a particular general deformation, a symmetric deformation, and a semisymmetric deformation, respectively.

The conclusions obtained from the formulas and plots (Fig. 3) of the singlet and triplet energies are as follows: In the small-U limit the perturbation theory may fail, cf. Figs. 3(a) and 3(b). The reason for this has been mentioned above already: In the small-U limit some relevant excited states approach the ground-state manifold. The deviation from the ideal flat-band geometry leads to more drastic effects and also to a larger diversity in the energy dependence on U than for the diamond chain considered in the previous section. The behavior of E_t and $E_s(U)$ shown in Fig. 3(a) for the general case qualitatively resembles that for the diamond chain (cf. Fig. 8 in Appendix C). On the other hand, the symmetric and semisymmetric cases are totally unlike. Namely, as long as the perturbation theory converges, for the symmetric deformation, case (i), the singlet energy (circles and blue curves) is always lower than the triplet energy (triangles and red curves), $E_s <$ $E_t = -2t_2$, see Fig. 3(b). Note that all exact-diagonalization data also yield $E_s < E_t$ for the symmetric case. For the semisymmetric case the triplet energy becomes the lowest one, $E_t < E_s(U)$, if U exceeds a very small critical value U_c , see Fig. 3(c). [For the case shown in Fig. 3(c) exact diagonalization gives $U_c \approx 0.015$ and the perturbation-theory result is $U_c^{(6)} = 0$.] That means, ferromagnetism does not appear at all for the symmetric deformation, whereas for the semisymmetric case only a very small U is required to promote its appearance. Next important difference in comparison to the diamond-chain case is related to the energy scale (compare Figs. 3 and 8): The splitting of triplet and singlet for the ladder occurs already in the second order (and only in the fourth order for the diamond chain). This can be traced back to the difference in lattice geometries. Thus, for the ladder the second-order perturbation theory already provides useful results.

The above described features of the energy dependences on *U* can be understood by a more detailed analysis of the perturbation-theory treatment, see Appendices A and B. For that we consider the action of the perturbation V on the triplet and singlet states, i.e., $V|t, \pm 1\rangle$, $V|t, 0\rangle$, and $V|s\rangle$. The



FIG. 3. Energies of low-lying states (triplet—red, singlet—blue) as a function of the on-site repulsion U (perturbation theory up to sixth order and exact-diagonalization data) for n = 2 electrons on the ladder of $\mathcal{N} = 2$ cells (open boundary conditions). (a) $t_2 = 3, t_{11} = 0.85, t_{12} = 0.95, t_{21} = 1, t_{22} = 1.2$ (general deformation). (b) $t_2 = 3, t_{11} = t_{22} = 1.1, t_{12} = t_{21} = 0.9$ (symmetric deformation). (c) $t_2 = 3, t_{11} = t_{21} = 1.1, t_{12} = t_{22} = 0.9$ (semisymmetric deformation); exact diagonalization yields $U_c \approx 0.015$, whereas the perturbation-theory prediction is $U_c^{(6)} = 0$.

results depend on the symmetry of the imposed deformation. Thus, for the symmetric case $V|t\rangle = 0$, but $V|s\rangle \propto (l_{a,\uparrow}^{\dagger} l_{a,\downarrow}^{\dagger} + l_{b,\downarrow}^{\dagger} l_{b,\downarrow}^{\dagger})|vac\rangle$. As a consequence, the unperturbed triplet energy $-2t_2$ remains unchanged after switching on V, whereas the unperturbed singlet energy $-2t_2$ decreases after switching on V and ferromagnetism cannot arise. Moreover, the state $V|s\rangle$ overlaps with "dangerous" excited states of H₀ (which contain $l_{a,\uparrow}^{\dagger} l_{a,\downarrow}^{\dagger} l_{b,\uparrow}^{\dagger} l_{b,\downarrow}^{\dagger}$ and have the energy $-2t_2 + U$ for $U \rightarrow 0$) leading to the failure of the perturbation theory in the small-U limit. On the other hand, for the semisymmetric case $V|t\rangle$ contains $c_{m,1,\sigma}^{\dagger} c_{m,2,\sigma}^{\dagger} |vac\rangle$ or $(c_{m,1,\uparrow}^{\dagger} c_{m,2,\downarrow}^{\dagger} + c_{m,1,\downarrow}^{\dagger} c_{m,2,\uparrow}^{\dagger}) |vac\rangle$, whereas $V|s\rangle \propto (c_{m,1,\uparrow}^{\dagger} c_{m,1,\downarrow}^{\dagger} - c_{m,2,\uparrow}^{\dagger} c_{m,2,\downarrow}^{\dagger}) |vac\rangle$. Since the state $(c_{m,1,\uparrow}^{\dagger} c_{m,1,\downarrow}^{\dagger} - c_{m,2,\uparrow}^{\dagger} c_{m,2,\downarrow}^{\dagger}) |vac\rangle$ is orthogonal to the dangerous excited states of H₀, the perturbation theory does not fail in the small-*U* limit. Moreover, the states $V|t\rangle$ and $V|s\rangle$ have the same overlap integral with the excited states of H₀ with the energies 0 and *U*, respectively. Therefore, the decrease of the triplet energy exceeds the decrease of the singlet energy instantaneously as U > 0, i.e., ferromagnetism appears for infinitesimally small positive *U*.

In second order the perturbation theory yields a compact formula for the critical value of on-site repulsion U_c . Using Eq. (5.6) we get

$$\frac{U_c^{(2)}}{t_2} = \frac{5|t_l - t_f| + \sqrt{9(t_l - t_f)^2 + 16(t_l^2\delta_l^2 + t_f^2\delta_f^2)}}{-(t_l - t_f)^2 + t_l^2\delta_l^2 + t_f^2\delta_f^2} \times |t_l - t_f|.$$
(5.7)

Obviously, for symmetric deformations, when $t_l \neq t_f$ and $\delta_l = \delta_f = 0$, Eq. (5.7) gives for $U_c^{(2)} = -8t_2 < 0$, that is consistent with the absence of ferromagnetism in this case. It is also obvious, that formula (5.7) yields $U_c^{(2)} = 0$ for $t_l = t_f$, i.e., for $t_{11} - t_{12} - t_{21} + t_{22} = 0$. That criterion, $t_l = t_f$, holds for semisymmetric deformations, where in addition also $\delta_l = \delta_f$ is valid. However, in higher-order perturbation theory as well as in exact diagonalization we find that the constraint $t_l = t_f$ does not imply $U_c = 0$, rather U_c may become large for the general case $\delta_l \neq \delta_f$, if δ_l or δ_f become of the order of unity, see Fig. 6.

Supposing that the energies behave smoothly as changing deformations, we can expect that there is a finite parameter region in the vicinity of the symmetric case without ground-state ferromagnetism. Indeed, for $t_l \neq t_f$ the second-order formula (5.7) leads to an elliptic shape in the $\delta_l - \delta_f$ plane given by

$$\left(\frac{t_l}{t_l - t_f}\delta_l\right)^2 + \left(\frac{t_f}{t_l - t_f}\delta_f\right)^2 = 1.$$
 (5.8)

We illustrate this behavior in Fig. 4, where we also show a few points obtained by exact diagonalization which are in qualitative agreement with the predictions from Eq. (5.8). It is worthwhile to remark that Eq. (5.8) remains unaltered if interchanging $t_l \leftrightarrow t_f$ and $\delta_l \leftrightarrow \delta_f$ (this symmetry is also evident in Fig. 4). However, exact-diagonalization data shown by symbols in Fig. 4 do not show this symmetry present in the second-order results, i.e., it is not generally present in the model, cf., e.g., Eq. (5.2).

B. Three (four) electrons and three (four) cells

Let us discuss briefly the perturbation theory for larger clusters. In the case of three electrons on the ladder of three cells we face a 2³-fold degenerate ground state, which consists of the quadruplet $|q\rangle$ (total spin is 3/2) and two doublets $|d1\rangle$ and $|d2\rangle$ (total spin is 1/2). We are interested in the energies E_q , E_{d1} , and E_{d2} . In Appendix D, we provide explicit



FIG. 4. There are no ferromagnetic ground states for the Hubbard ladder in the region around the origin of the plane $\delta_l - \delta_f$ $[t_{11} - t_{12} - t_{21} + t_{22} = 2(t_l - t_f) \neq 0]$. Analytical predictions based on the second-order perturbation-theory calculations (5.7) (lines) are compared with exact-diagonalization data for $N = 16, t_2 = 3$ (symbols) for several values of t_l and $t_f, t_l + t_f = 2$.

expressions for these energies

Ε

$$E_q = -3t_2 + E_q^{(2)} + E_q^{(4)} + \dots,$$

$$(5.9)$$

$$d_i(U) = -3t_2 + E_{di}^{(2)}(U) + E_{di}^{(4)}(U) + \dots, \quad i = 1, 2.$$

In the case of four electrons on the ladder of four cells we face a 2⁴-fold degenerate ground state, which consists of the quintuplet $|Q\rangle$ (total spin is 2), three triplets $|t1\rangle$, $|t2\rangle$, $|t3\rangle$ (total spin is 1), and two singlets $|s1\rangle$, $|s2\rangle$ (total spin is 0). In Appendix D, we provide explicit expressions for their energies

$$E_Q = -4t_2 + E_Q^{(2)} + E_Q^{(4)} + \dots,$$

$$E_{ti}(U) = -4t_2 + E_{ti}^{(2)}(U) + E_{ti}^{(4)}(U) + \dots, \quad i = 1, 2, 3,$$

(5.10)

$$E_{sj}(U) = -4t_2 + E_{sj}^{(2)}(U) + E_{sj}^{(4)}(U) + \dots, \quad j = 1, 2.$$

We report corresponding results for the energies up to the fourth order along with exact-diagonalization data for the general, symmetric, and semisymmetric deformations for $n = \mathcal{N} = 3$ and $n = \mathcal{N} = 4$ in Appendix D. The main features of these results resemble strongly the ones discussed in the previous subsection for $n = \mathcal{N} = 2$. Therefore, the main conclusions obtained from those data for the energies of larger cells are consistent with those discussed in Sec. V A for two cells. Most remarkably, within the second-order perturbation theory, the critical value $U_c^{(2)}$ for the three-cell and four-cell clusters coincide with $U_c^{(2)}$ for the two-cell cluster, i.e., it is given by Eq. (5.7).

Let us finally mention that within the perturbation theory for $\mathcal{N} = 4$ cells the fully polarized ferromagnetic state (it is a quintuplet for $\mathcal{N} = 4$) is in competition with triplet and singlet states. We find, cf. Fig. 11, that either a singlet or the ferromagnetic quintuplet is the ground state. This finding, that the fully polarized ferromagnetic state competes with a



FIG. 5. Phase diagram in the quarter plane $\delta_f - U/t_2$ for the ladder with $t_2 = 3$, $t_l = 1.025$, $t_f = 0.975$, and $\delta_l = 0$ obtained by perturbation-theory calculations and by exact diagonalization for N = 6, 8, 12, 16 with open and/or periodic boundary conditions.

nonmagnetic singlet state (but not with partially polarized states) is supported by exact-diagonalization data obtained for systems with an even number of cells N > 4.

C. Phase diagram

In this subsection we collect analytical and numerical findings to construct the ground-state phase diagrams of the Hubbard ladder. According to Eq. (2.3), there are three parameters which characterize the ladder, i.e., t_l and t_f with $t_l + t_f = 2t < t_2, \delta_l$, and δ_f . We set $t_2 = 3, t_l + t_f = 2$. After fixing t_l and t_f we are left with two free parameters δ_l and δ_f . We consider the first quadrant of positive δ_l and δ_f in the $\delta_l - \delta_f$ plane. We move through the quadrant by straight lines in the horizontal direction (δ_f is fixed, δ_l varies), in the vertical direction (δ_l is fixed, δ_f varies), as well as along the diagonal $\delta_l = \delta_f = \delta$. Certainly perturbation-theory results are reasonable only for small deviations from the ideal flat-band geometry. However, there are no such restrictions for exact-diagonalization data.

We begin with a quite general case assuming $t_l =$ 1.025, $t_f = 0.975$, and $\delta_l = 0$. The dependence of U_c on δ_f is reported in Fig. 5. The ground state is ferromagnetic above the curves $U_c(\delta_f)$; this region is denoted as FM. In this case, the dependence of U_c on the acquired bandwidth is a nonmonotonic function: For small δ_f ferromagnetism does not appear at all [in agreement with Eq. (5.8)]; increasing δ_f beyond a threshold value δ_{f1} ferromagnetism sets in and U_c decreases with growing δ_f . Second-order perturbation theory, Eq. (5.8), predicts $\delta_{f1} \approx 0.051$, exact diagonalization for N = 16 yields $\delta_{f1} \approx 0.053$. Beyond $\delta_f \approx 0.4$ the critical repulsion U_c starts to increase with increasing of δ_f . This behavior is obtained from both the fourth-order perturbation theory and exact diagonalization for different system sizes with open and/or periodic boundary conditions imposed. The second-order perturbation theory gives qualitatively correct results only for small $\delta_f < 0.4$. From exact-diagonalization data for N = 16 it is obvious that there is again a threshold value δ_{f2} (for N = 16 we found $\delta_{f2} \approx 3.25$) above which no ferromagnetism appears. Figure 5 illustrates a quite subtle



FIG. 6. Phase diagram in the quarter plane $\delta - U/t_2$ for the ladder with $t_2 = 3$, $t_l = t_f = 1$ obtained by fourth-order perturbation theory and by exact diagonalization (note that second-order perturbation theory yields $U_c^{(2)} = 0$). (a) $\delta_l = 0$, 0.05. (b) $\delta_l = \delta_f = \delta$; fourthorder perturbation-theory calculations yield zero value for U_c . (c) $\delta_f = 0$, 0.05.

interplay of the hopping-integral geometry and the on-site Hubbard repulsion required for establishing of ground-state ferromagnetism.

Next we pass to the case $t_l = t_f = 1$. The dependences of U_c on δ_f , on $\delta_l = \delta_f = \delta$, and on δ_l are reported in panels (a), (b), and (c) in Fig. 6, respectively. The ground state is ferromagnetic above the curves $U_c(\delta)$; this region is denoted

as FM. We recall that in the case $t_l = t_f$ from Eq. (5.7) we get $U_c^{(2)} = 0$; nonzero values of U_c come only from higher-order (in fact, fourth-order) calculations. Furthermore, for the semisymmetric deformation, i.e., $\delta_l = \delta_f = \delta$, the perturbation theory yields $U_c^{(4)} = 0$. Obviously, higher-order processes should lead to finite values for U_c , as it is indicated by the exact-diagonalization data shown Fig. 6(b).

As can be seen in Figs. 6(a) and 6(c), analytical results which refer to the case of $\mathcal{N} = 3, 4$ cells with open boundary conditions and exact-diagonalization data which refer to the case of $\mathcal{N} = 6, 8, 10$ cells are in a reasonable agreement. By contrast to the parameter situation shown in Fig. 5, in all cases presented in Fig. 6 ground-state ferromagnetism can be obtained also for small deviations from the flat-band geometry (controlled by δ_f and/or δ_l). Comparing the exactdiagonalization data for different system sizes N we observe that the finite-size effects remain small, thus the discussed phenomenon should be present for thermodynamically large systems, too.

It is in order to mention a special finite-size effect that may appear for large values of δ_l and/or δ_f . In this limit, the dominating hopping parameters may correspond to geometries which do not fit to the initial ladder structure. Thus, for $t_{11} = 1 + \delta_l, t_{22} = 1 - \delta_l$ and small δ_f , in the limit of $\delta_l \rightarrow \infty$ the legs of the ladder form two almost decoupled chains. Such a finite simple Hubbard chain at quarter filling with an odd number of electrons (i.e., a chain of 6 or 10 sites with 3 or 5 electrons) has a ferromagnetic ground state. Therefore, the limit of large deviations, shown for completeness in our figures, goes beyond the primary focus of discussing the dispersion-driven ferromagnetism in systems with ladder geometry.

VI. BILAYER

As mentioned already, the mechanism leading to the emergence of ferromagnetism driven by kinetic energy is not restricted to dimension D = 1. To illustrate this, we consider the two-dimensional counterpart of the Hubbard



FIG. 7. Phase diagram in the quarter plane $\delta_f - U/t_2$ for the bilayer with $t_2 = 5$, $t_l = 1.025$, $t_f = 0.975$, and $\delta_l = 0$ obtained by perturbation-theory calculations and by exact diagonalization for N = 10, 16 with open and periodic boundary conditions.



FIG. 8. Energies of low-lying states (triplet—red, singlet—blue) as a function of the on-site repulsion *U* for n = 2 electrons on $\mathcal{N} = 2$ cells of the diamond chain with open boundary conditions with $t_2 = 3, t_1 = 0.9, t_3 = 1.1$. The results up to the second, fourth, sixth orders are denoted by short-dashed, long-dashed, solid lines, respectively. The results of exact diagonalization are shown by symbols. Note that the energies of the triplet and the singlet coincide within the second order, see Eq. (C1).

ladder, namely the frustrated bilayer, see Fig. 1. From the technical point of view, the two-dimensional model is more challenging, since the smallest cluster appropriate for perturbation theory and imaging the basic geometry of the bilayer is built by five cells (a central cell with four neighboring cells). Furthermore, in contrast to the ladder for the exact diagonalization we do not have a sequence of finite lattices of N = 12, 16, 20 sites in D = 2. The smallest finite bilayer lattice with periodic boundary conditions has N = 16 sites. Hence, we cannot provide a detailed discussion of the bilayer model, rather we will demonstrate for a particular parameter set that the mechanism of kinetic-energy-driven ferromagnetism also holds in D = 2.



FIG. 9. Ground-state energy as a function of the on-site repulsion U for n = 3 electrons on $\mathcal{N} = 3$ cells of the diamond chain (open boundary conditions) with $t_2 = 3, t_1 = 0.9, t_3 = 1.1$. Quadruplet energy (salmon) versus doublets energy (skyblue and magenta). The results up to the second and fourth orders are denoted by short-dashed and long-dashed lines, respectively. The results of exact diagonalization are shown by symbols. Note that the energies of the doublet and quadruplet states coincide within the second order, see Eq. (C4).



FIG. 10. Ground-state energy (up to the fourth order of perturbation theory and exact-diagonalization data) as a function of the on-site repulsion *U* for n = 3 electrons on the open ladder of $\mathcal{N} = 3$ cells. (a) $t_2 = 3, t_{11} = 0.85, t_{12} = 0.95, t_{21} = 1, t_{22} = 1.2$ (general deformation). (b) $t_2 = 3, t_{11} = t_{22} = 1.1, t_{12} = t_{21} = 0.9$ (symmetric deformation). (c) $t_2 = 3, t_{11} = t_{21} = 1.1, t_{12} = t_{22} = 0.9$ (semisymmetric deformation).

In analogy to the ladder, for the bilayer one of the two oneelectron bands is flat if $t_{11} = t_{12} = t_{21} = t_{22} = t$ or $t_l = t_f = t, \delta_l = \delta_f = 0$ and it becomes the lowest one if $4t < t_2$. Within fourth-order perturbation theory we are able to calculate the energies of the fully polarized sextuplet (total spin 5/2) and of the quadruplets (total spin 3/2),

$$E_{S} = -5t_{2} + E_{S}^{(2)} + E_{S}^{(4)} + \dots,$$

$$E_{qi}(U) = -5t_{2} + E_{qi}^{(2)}(U) + E_{qi}^{(4)}(U) + \dots, \quad i = 1, 2, 3, 4,$$

(6.1)



FIG. 11. Ground-state energy (up to the fourth order of perturbation theory and exact-diagonalization data) as a function of the on-site repulsion U for n = 4 electrons on the open ladder of $\mathcal{N} = 4$ cells. (a) $t_2 = 3, t_{11} = 0.85, t_{12} = 0.95, t_{21} = 1, t_{22} =$ 1.2 (general deformation). (b) $t_2 = 3, t_{11} = t_{22} = 1.1, t_{12} = t_{21} = 0.9$ (symmetric deformation). (c) $t_2 = 3, t_{11} = t_{21} = 1.1, t_{12} = t_{22} = 0.9$ (semisymmetric deformation).

see Appendix E. Hence, our perturbation-theory treatment remains incomplete, since we cannot compare with the energies of the five doublets with total spin 1/2. On the other hand, the comparison with the exact-diagonalization data for the five-cell cluster, where the doublet states are taken into account, yields an excellent agreement between both approaches. That is because for this cluster the level crossing between the sextuplet and the lowest quadruplet takes place at the same U as for the crossing of sextuplet and the lowest doublet.

As a first (remarkable) outcome we find, that the secondorder result $U_c^{(2)}$ again is given by Eq. (5.7). We show numerical data for the critical repulsion U_c for the set of parameters $t_2 = 5, t_l = 1.025, t_f = 0.975$, and $\delta_l = 0$ in the ground-state phase diagram presented in Fig. 7 (cf. the corresponding phase diagram for the ladder shown Fig. 5).

Basically the same features as for the corresponding ladder are also found for the phase diagram of the bilayer. However, it is obvious that U_c for the finite lattice of N = 16 sites with periodic boundary conditions is noticeably above perturbationtheory results and the exact-diagonalization results for N = 10sites. We argue that the finite system of N = 10 sites with open boundary conditions is only a very rough model of thermodynamically large bilayer, since only one (among five) vertical bond has the same environment as in infinite lattice. The finite system of N = 16 sites with periodic boundary conditions is free of this shortcoming.

VII. CONCLUSIONS

We have used perturbation theory as well as exact diagonalization of finite systems to examine the kinetic-energy-driven emergence of ferromagnetic ground states in Hubbard models with a half-filled lowest-energy flat band for lattices which do not obey the connectivity condition (isolated trapping cells). Generally speaking, if (i) the flat band acquires a small dispersion this way allowing to the previously localized electrons to correlate and (ii) the on-site Hubbard repulsion Uis sufficiently strong the ground state becomes ferromagnetic. However, the relation between the required U_c and the acquired bandwidth might be quite intricate. Thus, for some deformation geometries ferromagnetism does not appear at all, for others it appears already for small U; in some cases U_c is an increasing function of the deformation strength, whereas in others it becomes nonmonotonic. The mechanism leading to kinetic-energy-driven emergence of ferromagnetism is studied in detail for one-dimensional systems with isolated trapping cells. However, as it is demonstrated for a specific twodimensional system this mechanism works in higher dimensions as well. Although our analysis refers to finite systems, the observed finite-size behavior indicates convincingly that such a scenario should survive in the thermodynamic limit, too. Thus our main conclusion is that the described phenomenon is a quite general way of establishing ground-state ferromagnetism in the repulsive Hubbard model at low electron densities around the flat-band limit.

Although the considered flat-band models do not belong to the class of Mielke-Tasaki flat-band ferromagnets (since the connectivity condition is not satisfied), the introduced dispersion due to the deviation from the ideal flat-band geometry causes a linking of the former disconnected cells, this way restoring effectively the connectivity condition.

Furthermore, for special examples, the diamond chain, the ladder as well as the bilayer, we have obtained simple

analytical formulas, cf. Eq. (4.3) and Eq. (5.7), which amazingly well estimate the region of ground-state ferromagnetism. From the technical point of view, we have elaborated computer-adapted scheme for analytical perturbation-theory calculations up to the sixth order.

Finally, it is in order to notice that experimental searches for Mielke-Tasaki flat-band ferromagnetism remain an ambitious goal of numerous experimental studies, see, e.g., Refs. [9,27]. Our findings offer new perspectives for investigating solidstate realization of flat-band ferromagnetism, since the emergence of ferromagnetism in systems with isolated trapping cells does not require fine tuning of parameters, rather it can be found in a quite wide parameter region.

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APPENDIX A: PERTURBATION-THEORY FORMULAS FOR THE GROUND-STATE ENERGY UP TO THE SIXTH ORDER

In this appendix, we present the perturbation-theory formulas up to the sixth order, which are used in our study. Although these formulas can be found in Ref. [22], we show them here for the reader's convenience and the self-consistency of the paper.

First we split the N-cell Hamiltonian of the model H into the main part H_0 and the perturbation V, i.e., $H = H_0 + V$. We consider the subspace of $n = \mathcal{N}$ electrons. All eigenstates $|\alpha\rangle$ and their energies E_{α} of the unperturbed Hamiltonian H_0 are known. We consider the ground state $|GS\rangle$ of the unperturbed Hamiltonian H_0 , which is 2^n -fold degenerate (each cell can be occupied either by up- or down-spin electron). We denote the ground-state energy by E_{GS} . Moreover, we have $\langle GS|V|GS \rangle =$ 0. Since the ground states are degenerate, the choice of the ground states requires some consideration. From Ref. [18] we know that the effective Hamiltonian to describe the low-energy degrees of freedom is a Heisenberg Hamiltonian. Hence, we choose the set of ground states as a corresponding set of eigenstates of the Heisenberg model that way also implying the required SU(2) symmetry as well as the spatial symmetry of the clusters used for the perturbation theory (for details see Appendix B). The lowest-order perturbation-theory corrections to the ground-state energy E_{GS} are as follows:

$$E_{\rm GS}^{(2)} = \sum_{\alpha} \frac{\langle {\rm GS} | {\bf V} | \alpha \rangle \langle \alpha | {\bf V} | {\rm GS} \rangle}{{\rm E}_{\rm GS} - {\rm E}_{\alpha}}, \quad E_{\rm GS}^{(3)} = \sum_{\alpha} \frac{\langle {\rm GS} | {\bf V} | \alpha \rangle \langle \alpha | {\bf V} | \beta \rangle \langle \beta | {\bf V} | {\rm GS} \rangle}{({\rm E}_{\rm GS} - {\rm E}_{\alpha})({\rm E}_{\rm GS} - {\rm E}_{\beta})},$$

$$E_{\rm GS}^{(4)} = \sum_{\alpha} \frac{\langle {\rm GS} | {\bf V} | \alpha \rangle \langle \alpha | {\bf V} | \beta \rangle \langle \beta | {\bf V} | \gamma \rangle \langle \gamma | {\bf V} | {\rm GS} \rangle}{({\rm E}_{\rm GS} - {\rm E}_{\alpha})({\rm E}_{\rm GS} - {\rm E}_{\beta})} - \sum_{\alpha} \frac{\langle {\rm GS} | {\bf V} | \alpha \rangle \langle \alpha | {\bf V} | \beta \rangle \langle \beta | {\bf V} | \beta \rangle \langle \beta | {\bf V} | \gamma \rangle \langle \gamma | {\bf V} | {\rm GS} \rangle}{({\rm E}_{\rm GS} - {\rm E}_{\alpha})({\rm E}_{\rm GS} - {\rm E}_{\beta})({\rm E}_{\rm GS} - {\rm E}_{\gamma})} - \sum_{\alpha} \frac{\langle {\rm GS} | {\bf V} | \alpha \rangle \langle \alpha | {\bf V} | {\rm GS} \rangle \langle {\rm GS} | {\bf V} | \beta \rangle \langle \beta | {\bf V} | {\rm GS} \rangle}{({\rm E}_{\rm GS} - {\rm E}_{\alpha})({\rm E}_{\rm GS} - {\rm E}_{\beta})({\rm E}_{\rm GS} - {\rm E}_{\gamma})} - \sum_{\alpha} \frac{\langle {\rm GS} | {\bf V} | \alpha \rangle \langle \alpha | {\bf V} | {\rm GS} \rangle \langle {\rm GS} | {\bf V} | \beta \rangle \langle \beta | {\bf V} | {\rm GS} \rangle}{({\rm E}_{\rm GS} - {\rm E}_{\alpha})^2 ({\rm E}_{\rm GS} - {\rm E}_{\beta})},$$

HUBBARD MODELS WITH NEARLY FLAT BANDS: ...

$$E_{GS}^{(5)} = (1,1,1,1) + \frac{1}{2}(2,1,0,1) + \frac{1}{2}(1,2,0,1) + \frac{1}{2}(1,1,0,2) + \frac{1}{2}(2,0,1,1) + \frac{1}{2}(1,0,2,1) + \frac{1}{2}(1,0,1,2),$$

$$E_{GS}^{(6)} = (1,1,1,1,1) + \frac{1}{2}(2,1,1,0,1) + \frac{1}{2}(1,2,1,0,1) + \frac{1}{2}(1,1,2,0,1) + \frac{1}{2}(1,1,1,0,2) + \frac{1}{2}(2,1,0,1,1) + \frac{1}{2}(1,2,0,1,1) + \frac{1}{2}(1,1,0,2,1) + \frac{1}{2}(1,0,1,2) + \frac{1}{2}(2,0,1,1,1) + \frac{1}{2}(1,0,2,1,1) + \frac{1}{2}(1,0,1,2,1) + \frac{1}{2}(1,0,1,1,2) + \frac{1}{2}(2,0,1,1,1) + \frac{1}{2}(2,0,1,1,1) + \frac{1}{2}(1,0,2,0,2) + \frac{1}{2}(1,0,1,0,3);$$
(A1)

here the superscript 'prime' means that the sum extends over all states of the unperturbed Hamiltonian H_0 except the ground states. Moreover, we have introduced shorthand notations [22]

$$(k_1, k_2, \dots, k_n) = \langle \mathbf{GS} | \mathbf{V} R^{(k_1)} \mathbf{V} R^{(k_2)} \mathbf{V} \dots \mathbf{V} R^{(k_n)} \mathbf{V} | \mathbf{GS} \rangle, \quad R^{(k)} = \begin{cases} -|\mathbf{GS}\rangle \langle \mathbf{GS}|, & k = 0, \\ \left(\sum' \alpha \frac{|\alpha\rangle \langle \alpha|}{\mathsf{E}_{\mathsf{GS}} - \mathsf{E}_{\alpha}}\right)^k, & k > 0 \end{cases}$$
(A2)

(again the superscript 'prime' means that the sum extends over all states of the unperturbed Hamiltonian H₀ except the ground state) in the formulas for $E_{GS}^{(5)}$ and $E_{GS}^{(6)}$. In the present study we are able to calculate the sixth-order corrections for the $\mathcal{N} = 2$ -cell cases, but fourth-order corrections for the cases of $\mathcal{N} = 3$, $\mathcal{N} = 4$, and $\mathcal{N} = 5$ cells.

APPENDIX B: GROUND STATES OF THE UNPERTURBED HAMILTONIAN

The energy of the 2^n -fold degenerate (see Appendix A) unperturbed ground states is $E_{GS} = -nt_2$. Before applying perturbationtheory formulas of Appendix A we have to construct within 2^n -fold degenerate ground states the "correct" 2^n linear combinations being SU(2) symmetric eigenstates of the corresponding Heisenberg model of the perturbation-theory clusters. The energy of all components of a SU(2) multiplet is the same (i.e., are not splitted by the perturbation V). However, the energies of different multiplets may become different after switching on perturbation, where at least second-order theory is required, since $\langle GS|V|GS \rangle = 0$. Thus, the number of different energies obtained by perturbation theory cannot exceed 2, 3, 6, 10 for the case of $\mathcal{N} = 2, 3, 4, 5$ cells, respectively.

We begin with the case of $\mathcal{N} = 2$ cells (m = 1 and m + 1 = 2 in Fig. 1) and n = 2 electrons. "Correct" unperturbed ground states are as follows:

$$|t,1\rangle = l_{1,\uparrow}^{\dagger} l_{2,\uparrow}^{\dagger} |0\rangle, \quad |t,0\rangle = \frac{1}{\sqrt{2}} (l_{1,\uparrow}^{\dagger} l_{2,\downarrow}^{\dagger} + l_{1,\downarrow}^{\dagger} l_{2,\uparrow}^{\dagger}) |0\rangle, \quad |t,-1\rangle = l_{1,\downarrow}^{\dagger} l_{2,\downarrow}^{\dagger} |0\rangle, \quad |s\rangle = \frac{1}{\sqrt{2}} (l_{1,\uparrow}^{\dagger} l_{2,\downarrow}^{\dagger} - l_{1,\downarrow}^{\dagger} l_{2,\uparrow}^{\dagger}) |0\rangle, \quad (B1)$$

i.e., the three components of the triplet states $|t\rangle$ and the singlet state $|s\rangle$. It is convenient to use shorthanded notations $|\uparrow\uparrow\rangle = l_{1,\uparrow}^{\dagger} l_{2,\downarrow}^{\dagger} |0\rangle, |\uparrow\downarrow\rangle = l_{1,\uparrow}^{\dagger} l_{2,\downarrow}^{\dagger} |0\rangle$ etc. so that Eq. (B1) becomes

$$|t,1\rangle = |\uparrow\uparrow\rangle, \quad |t,0\rangle = \frac{1}{\sqrt{2}}(|\uparrow\downarrow\rangle + |\downarrow\uparrow\rangle), \quad |t,-1\rangle = |\downarrow\downarrow\rangle, \quad |s\rangle = \frac{1}{\sqrt{2}}(|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle). \tag{B2}$$

We pass to the case of $\mathcal{N} = 3$ cells (open boundary conditions) and n = 3 electrons. "Correct" unperturbed ground states are

$$\left| q, \frac{3}{2} \right\rangle = |\uparrow\uparrow\uparrow\rangle, \quad \left| q, \frac{1}{2} \right\rangle = \frac{1}{\sqrt{3}} (|\uparrow\uparrow\downarrow\rangle + |\uparrow\downarrow\uparrow\rangle), \quad \left| q, -\frac{1}{2} \right\rangle = \frac{1}{\sqrt{3}} (|\uparrow\downarrow\downarrow\rangle + |\downarrow\uparrow\downarrow\rangle), \\ \left| q, -\frac{3}{2} \right\rangle = |\downarrow\downarrow\downarrow\rangle, \quad \left| d1, \frac{1}{2} \right\rangle = \frac{1}{\sqrt{2}} (|\uparrow\uparrow\downarrow\rangle - |\downarrow\uparrow\uparrow\rangle), \quad \left| d1, -\frac{1}{2} \right\rangle = \frac{1}{\sqrt{2}} (|\uparrow\downarrow\downarrow\rangle - |\downarrow\downarrow\uparrow\rangle), \\ \left| d2, \frac{1}{2} \right\rangle = \frac{1}{\sqrt{6}} (|\uparrow\uparrow\downarrow\downarrow\rangle - 2|\uparrow\downarrow\uparrow\rangle + |\downarrow\uparrow\uparrow\rangle), \quad \left| d2, -\frac{1}{2} \right\rangle = \frac{1}{\sqrt{6}} (|\uparrow\downarrow\downarrow\downarrow\rangle - 2|\downarrow\uparrow\downarrow\rangle + |\downarrow\downarrow\uparrow\rangle),$$
 (B3)

i.e., the quadruplet $|q\rangle$ and the two doublets $|d1\rangle$, $|d2\rangle$. The total spin of $|d1\rangle$ and $|d2\rangle$ is 1/2 and the 'local' s_j^z -values for the sites j = 1, 2, 3 are as follows: 0, $\pm 1/2$, 0 for $|d1\rangle$ and $\pm 1/3$, $\mp 1/6$, $\pm 1/3$ for $|d2\rangle$. The states given in Eq. (B3) are the eigenstates of $(\mathbf{s}_1 + \mathbf{s}_2 + \mathbf{s}_3)^2$, of $s_1^z + s_2^z + s_3^z$, and of the Hamiltonian $H = \mathbf{s}_1 \cdot \mathbf{s}_2 + \mathbf{s}_2 \cdot \mathbf{s}_3$ (three-site Heisenberg model with open boundary conditions) with the energies 1/2 ($|q\rangle$), 0 ($|d1\rangle$), and -1 ($|d2\rangle$).

Next we consider $\mathcal{N} = 4$ cells along a chain with open boundary conditions and n = 4 electrons. The unperturbed SU(2) symmetric ground states are

$$\begin{split} |Q,2\rangle &= |\uparrow\uparrow\uparrow\uparrow\rangle, \dots, \quad |Q,-2\rangle = |\downarrow\downarrow\downarrow\downarrow\rangle, \\ |t1,1\rangle &= \frac{1}{2\sqrt{2-\sqrt{2}}} [-|\uparrow\uparrow\uparrow\uparrow\downarrow\rangle + (1-\sqrt{2})|\uparrow\uparrow\downarrow\uparrow\rangle - (1-\sqrt{2})|\uparrow\downarrow\uparrow\uparrow\rangle + |\downarrow\uparrow\uparrow\uparrow\rangle], \dots \\ |t2,1\rangle &= \frac{1}{2} (|\uparrow\uparrow\uparrow\downarrow\downarrow\rangle - |\uparrow\uparrow\uparrow\downarrow\rangle) + \frac{1}{2} (-|\uparrow\downarrow\uparrow\uparrow\rangle + |\downarrow\uparrow\uparrow\uparrow\rangle), \dots, \end{split}$$

$$\begin{split} |t3,1\rangle &= \frac{1}{2\sqrt{2+\sqrt{2}}} [-|\uparrow\uparrow\uparrow\downarrow\rangle + (1+\sqrt{2})|\uparrow\uparrow\downarrow\downarrow\rangle - (1+\sqrt{2})|\uparrow\downarrow\uparrow\uparrow\rangle + |\downarrow\uparrow\uparrow\uparrow\rangle], \dots, \\ |s1\rangle &= \frac{1}{\sqrt{6}} \bigg[\frac{1}{2} (-1-\sqrt{3})|\uparrow\uparrow\downarrow\downarrow\rangle - \frac{1}{2} (1-\sqrt{3})|\uparrow\downarrow\uparrow\downarrow\rangle + |\uparrow\downarrow\downarrow\uparrow\rangle \\ &+ |\downarrow\uparrow\uparrow\downarrow\rangle - \frac{1}{2} (1-\sqrt{3})|\downarrow\uparrow\downarrow\uparrow\rangle + \frac{1}{2} (-1-\sqrt{3})|\downarrow\downarrow\uparrow\uparrow\rangle \bigg], \\ |s2\rangle &= \frac{1}{\sqrt{6}} \bigg[\frac{1}{2} (-1+\sqrt{3})|\uparrow\uparrow\downarrow\downarrow\rangle - \frac{1}{2} (1+\sqrt{3})|\uparrow\downarrow\uparrow\downarrow\rangle + |\uparrow\downarrow\downarrow\uparrow\rangle \\ &+ |\downarrow\uparrow\uparrow\downarrow\rangle - \frac{1}{2} (1+\sqrt{3})|\uparrow\uparrow\downarrow\uparrow\rangle + \frac{1}{2} (-1+\sqrt{3})|\downarrow\downarrow\uparrow\uparrow\rangle \bigg], \end{split}$$
(B4)

i.e., one quintuplet $|Q\rangle$, the three triplets $|t1\rangle, |t2\rangle, |t3\rangle$, and the two singlets $|s1\rangle, |s2\rangle$. These states are eigenstates of the Heisenberg Hamiltonian $H = \sum_{i=1}^{3} \mathbf{s}_i \cdot \mathbf{s}_{i+1}$ with the energies 3/4 ($|Q\rangle$), $(-1 + 2\sqrt{3})/4$ ($|t1\rangle$), -1/4 ($|t2\rangle$), $(-1 - 2\sqrt{3})/4$ ($|t3\rangle$), $(-3 + 2\sqrt{3})/4$ ($|s1\rangle$), and $(-3 - 2\sqrt{3})/4$ ($|s2\rangle$).

In the case of $\mathcal{N} = 5$ cells and n = 5 electrons relevant for the bilayer problem we have

$$\begin{vmatrix} s, \frac{5}{2} \end{pmatrix} = | \uparrow \uparrow \uparrow \uparrow \uparrow \rangle, \dots, \quad \begin{vmatrix} s, -\frac{5}{2} \end{pmatrix} = | \downarrow \downarrow \downarrow \downarrow \downarrow \rangle, \quad \begin{vmatrix} q1, \frac{3}{2} \end{pmatrix} = \frac{1}{2} (| \uparrow \uparrow \uparrow \uparrow \downarrow \rangle - | \uparrow \uparrow \uparrow \downarrow \uparrow \rangle - | \downarrow \uparrow \uparrow \uparrow \uparrow \rangle), \dots, \\ \begin{vmatrix} q2, \frac{3}{2} \end{pmatrix} = \frac{1}{2} (| \uparrow \uparrow \uparrow \uparrow \downarrow \rangle - | \uparrow \uparrow \uparrow \downarrow \uparrow \rangle - | \uparrow \downarrow \uparrow \uparrow \uparrow \rangle + | \downarrow \uparrow \uparrow \uparrow \uparrow \rangle), \dots, \\ \begin{vmatrix} q3, \frac{3}{2} \end{pmatrix} = \frac{1}{2} (| \uparrow \uparrow \uparrow \uparrow \downarrow \rangle + | \uparrow \uparrow \uparrow \downarrow \uparrow \rangle - | \uparrow \downarrow \uparrow \uparrow \uparrow \rangle - | \downarrow \uparrow \uparrow \uparrow \uparrow \rangle), \dots, \\ \end{vmatrix}$$
(B5)

i.e., one sextuplet $|S\rangle$ and the four quadruplets $|q1\rangle$, $|q2\rangle$, $|q3\rangle$, $|q4\rangle$. Note that the five doublets are not given here, since they are not used for perturbation theory, cf. the discussion in Sec. VI. The geometry of the cluster is that of a Heisenberg star [28] with central spin \mathbf{s}_3 , i.e., the choice given in Eq. (B5) corresponds to the eigenstates of the Heisenberg Hamiltonian $H = \mathbf{s}_1 \cdot \mathbf{s}_3 + \mathbf{s}_2 \cdot \mathbf{s}_3 + \mathbf{s}_3 \cdot \mathbf{s}_4 + \mathbf{s}_3 \cdot \mathbf{s}_5$ with the energies 1 ($|S\rangle$), 1/2 ($|q1\rangle$, $|q2\rangle$, and $|q3\rangle$), and -3/2 ($|q4\rangle$).

In the present study we use Eqs. (B2) and (B3) for the diamond chain, Eqs. (B2), (B3), and (B4) for the ladder, and Eq. (B5) for the bilayer. Since for the $\mathcal{N} = 5$ bilayer we compare the energies E_s and $E_{q1}, E_{q2}, E_{q3}, E_{q4}$ only, the formulas given in Eq. (B5) are sufficient for this purpose.

APPENDIX C: PERTURBATION-THEORY RESULTS FOR THE DIAMOND CHAIN

1. n = 2 electrons on the diamond chain of $\mathcal{N} = 2$ cells

We consider the case of open boundary conditions, i.e., N = 5. Corrections to the ground-state energy $E^{(0)} = -2t_2$ up to the sixth order are as follows:

$$E^{(2)} = -\frac{(t_3 - t_1)^2}{t_2};$$
(C1)

$$E_{t}^{(4)} = -\frac{(t_{3} + t_{1})^{2}(t_{3} - t_{1})^{2}}{2t_{2}^{3}} + \frac{(t_{3} - t_{1})^{4}}{t_{2}^{3}},$$

$$E_{s}^{(4)}(U) = -\frac{(t_{3} + t_{1})^{2}(t_{3} - t_{1})^{2}}{4t_{2}^{3}} + \frac{(t_{3} - t_{1})^{4}}{t_{2}^{3}} - \frac{(8t_{2} + U)(t_{3} - t_{1})^{4}}{4t_{2}^{3}U} - \frac{(t_{3} + t_{1})^{2}(t_{3} - t_{1})^{2}}{2(2t_{2} + U)t_{2}^{2}} - \frac{2(t_{3} - t_{1})^{4}}{(2t_{2} + U)t_{2}^{2}};$$

$$E_{t}^{(6)} = -\frac{(t_{3} - t_{1})^{2}(t_{3}^{4} - 14t_{3}^{3}t_{1} + 34t_{3}^{2}t_{1}^{2} - 14t_{3}t_{1}^{3} + t_{1}^{4})}{2t_{2}^{5}},$$

$$E_{s}^{(6)}(U) = -\frac{(t_{3} - t_{1})^{2}[192t_{2}^{4}(t_{3} - t_{1})^{4} + 48t_{2}^{3}U(t_{3} - t_{1})^{2}(5t_{3}^{2} - 2t_{3}t_{1} + 5t_{1}^{2})]}{12t_{2}^{5}U^{2}(2t_{2} + U)^{2}} - \frac{(t_{3} - t_{1})^{2}[4t_{2}^{2}U^{2}(22t_{3}^{4} + 21t_{3}^{3}t_{1} - 38t_{3}^{2}t_{1}^{2} + 21t_{3}t_{1}^{3} + 22t_{1}^{4})]}{12t_{2}^{5}U^{2}(2t_{2} + U)^{2}}$$

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$$-\frac{(t_3-t_1)^2 \left[4t_2 U^3 \left(2t_3^4+27 t_3^3 t_1-34 t_3^2 t_1^2+27 t_3 t_1^3+2 t_1^4\right)\right]}{12 t_2^5 U^2 (2 t_2+U)^2} -\frac{(t_3-t_1)^2 \left[U^4 \left(t_3^4+12 t_3^3 t_1-14 t_3^2 t_1^2+12 t_3 t_1^3+t_1^4\right)\right]}{12 t_2^5 U^2 (2 t_2+U)^2}.$$
(C3)

The results up to the fourth order were reported in Ref. [18]. In Fig. 8 we show dependences of the triplet and singlet energies on U obtained within different orders of the perturbation theory according to Eqs. (C1), (C2), (C3) along with exact-diagonalization data for a typical set of hopping integrals $t_2 = 3$, $t_1 = 0.9$, $t_3 = 1.1$ [t = 1, $|\delta| = 0.1$, $\delta = (t_1 - t_3)/(t_1 + t_3)$]. Obviously, in the limit $U \rightarrow 0$ the perturbation theory fails, since it yields a singlet energy tending to $-\infty$ whereas the exact-diagonalization data is finite. The reason for that is clear: Within the exploited scheme the specific states with two electrons having different spins in one cell are treated as excited states, however, in the small-U limit their energy approaches the ground-state energy; being treated as excited states they lead to large denominators in the terms of the perturbation-theory series, see Eqs. (A1) and (A2).

2. n = 3 electrons on the diamond chain of $\mathcal{N} = 3$ cells

We consider the case of open boundary conditions, i.e., N = 8. Corrections to the ground-state energy $E^{(0)} = -3t_2$ up to the fourth order are as follows:

$$E^{(2)} = -\frac{2(t_1 - t_3)^2}{t_2};$$
(C4)

$$E_{q}^{(4)} = \frac{(t_{1} - t_{3})^{2} \left(7t_{1}^{2} - 26t_{1}t_{3} + 7t_{3}^{2}\right)}{4t_{2}^{3}},$$

$$E_{d1}^{(4)}(U) = \frac{(t_{1} - t_{3})^{2} \left[-24t_{2}^{2}(t_{1} - t_{3})^{2} + t_{2}U\left(t_{1}^{2} - 50t_{1}t_{3} + t_{3}^{2}\right) + 2U^{2}\left(7t_{1}^{2} - 23t_{1}t_{3} + 7t_{3}^{2}\right)\right]}{8t_{2}^{3}U(2t_{2} + U)},$$

$$E_{d2}^{(4)}(U) = \frac{(t_{1} - t_{3})^{2} \left[-40t_{2}^{2}(t_{1} - t_{3})^{2} - t_{2}U\left(17t_{1}^{2} + 14t_{1}t_{3} + 17t_{3}^{2}\right) + 14U^{2}\left(t_{1}^{2} - 3t_{1}t_{3} + t_{3}^{2}\right)\right]}{8t_{3}^{3}U(2t_{2} + U)}.$$
(C5)

Splitting of various SU(2) multiplets begins in the fourth order of perturbation theory. In Fig. 9 we show dependences of the quadruplet and doublets energies on *U* obtained within different orders of the perturbation theory according to Eqs. (C4), (C5) along with exact-diagonalization data for the same set of hopping integrals as in Fig. 8, i.e., $t_2 = 3$, $t_1 = 0.9$, $t_3 = 1.1$. At a first glance one may be worry about the agreement between perturbation theory and exact diagonalization. However, comparing the fourth-order results and the exact-diagonalization data for $\mathcal{N} = 2$ cells shown in Fig. 8 one can see a similar difference which is obviously improved by the the sixth-order calculations.

APPENDIX D: PERTURBATION-THEORY RESULTS FOR THE LADDER

1. n = 2 electrons on the ladder of $\mathcal{N} = 2$ cells

For the two-cell (N = 4) ladder (open boundary conditions) we have the following corrections to the unperturbed ground-state energy $E^{(0)} = -2t_2$:

$$E_{t}^{(2)} = -\frac{(t_{11} - t_{22})^{2} + (t_{12} - t_{21})^{2}}{4t_{2}},$$

$$E_{s}^{(2)}(U) = -\frac{(t_{11} - t_{12} - t_{21} + t_{22})^{2}}{4t_{2}} - \frac{(t_{11} - t_{22})^{2} + (t_{12} - t_{21})^{2}}{2(2t_{2} + U)} - \frac{2(t_{11} - t_{12} - t_{21} + t_{22})^{2}}{U};$$
(D1)
$$E_{t}^{(4)} = \frac{1}{64t_{2}^{3}} \left[t_{11}^{4} - 4t_{11}^{3}t_{22} + 2t_{11}^{2} \left(3t_{22}^{2} - t_{21}^{2} - 6t_{21}t_{12} - t_{12}^{2} \right) - 4t_{11}t_{22} \left(t_{22}^{2} + 3t_{21}^{2} - 14t_{21}t_{12} + 3t_{12}^{2} \right) + t_{22}^{4} - 2t_{22}^{2} \left(t_{21}^{2} + 6t_{21}t_{12} + t_{12}^{2} \right) + (t_{21} - t_{12})^{4} \right],$$

$$E_{s}^{(4)}(U) = \frac{1}{64t_{2}^{3}} \frac{1}{4096t_{2}^{6}(t_{11} - t_{12} - t_{21} + t_{22})^{4}} + 7680t_{2}^{5}U(t_{11} - t_{12} - t_{21} + t_{22})^{4} + t_{11} \left[67t_{22}^{2} - 132t_{22}(t_{12} + t_{21}) + 66(t_{12} + t_{21})^{2} \right] + 21t_{22}^{3} - 66t_{22}^{2}(t_{12} + t_{21}) + 66t_{22}(t_{12} + t_{21})^{2} + 256t_{2}^{4}U^{2}(t_{11} - t_{12} - t_{21} + t_{22})\left[21t_{11}^{3} + t_{11}^{2} (67t_{22} - 66(t_{12} + t_{21})) - (t_{12} + t_{21})\left(21t_{21}^{2} + 46t_{12}t_{21} + 21t_{22}^{2} \right) \right]$$

$$+ t_{11}^{2} \Big[406t_{22}^{2} - 784t_{22}(t_{12} + t_{21}) + 386(t_{12} + t_{21})^{2} \Big] + 32t_{2}^{3}U^{3} \Big[55t_{22}^{4} - 248t_{22}^{3}(t_{12} + t_{21}) + 386t_{22}^{2}(t_{12} + t_{21})^{2} \\ - 8t_{22}(t_{12} + t_{21}) \Big(31t_{21}^{2} + 57t_{12}t_{21} + 31t_{12}^{2}) \Big] + 32t_{2}^{3}U^{3} \Big(55t_{21}^{4} + 256t_{21}^{3}t_{12} + 406t_{12}^{2}t_{21}^{2} + 256t_{21}t_{12}^{3} + 55t_{12}^{4} \Big) \\ + 32t_{2}^{3}U^{3}4t_{11} \Big[-2(t_{12} + t_{21}) \Big(31t_{21}^{2} + 67t_{12}t_{21} + 31t_{12}^{2}) \Big] + 32t_{2}^{3}U^{3} \Big[55t_{11}^{4} + 8t_{11}^{3} (32t_{22} - 31(t_{12} + t_{21})) \\ + 4t_{11} \Big[64t_{22}^{3} - 196t_{22}^{2}(t_{12} + t_{21}) + t_{22} \Big(193t_{21}^{2} + 392t_{12}t_{21} + 193t_{12}^{2} \Big) \Big] \\ + t_{11} \Big[156t_{22}^{2} - 311t_{22}(t_{12} + t_{21}) + 4(38t_{21}^{2} + 77t_{12}t_{21} + 38t_{12}^{2}) \Big] \\ + t_{11} \Big[100t_{22}^{3} - 311t_{22}^{2}(t_{12} + t_{21}) + 4t_{22} \Big(77t_{21}^{2} + 158t_{21}t_{12} + 77t_{12}^{2} \Big) - (t_{12} + t_{21}) \Big(97t_{21}^{2} + 214t_{12}t_{21} + 97t_{12}^{2} \Big) \Big] \\ + 20t_{22}^{4} - 97t_{22}^{3}(t_{12} + t_{21}) + 4t_{22}^{2} \Big(38t_{21}^{2} + 77t_{12}t_{21} + 38t_{12}^{2} \Big) - t_{22}(t_{12} + t_{21}) \Big(97t_{21}^{2} + 214t_{12}t_{21} + 97t_{12}^{2} \Big) \Big] \\ + 16t_{2}^{2}U^{4} \Big[20t_{11}^{4} + t_{11}^{3} \Big(100t_{22} - 97(t_{12} + t_{21}) \Big) + 4\Big(5t_{21}^{4} + 25t_{21}^{3}t_{12} + 39t_{21}^{2}t_{12}^{2} + 25t_{21}t_{12}^{3} + 5t_{12}^{4} \Big) \Big] \\ + 2t_{2}U^{5}(t_{11} - t_{12} - t_{21} + t_{22}) \Big[23t_{23}^{3} - 69t_{22}^{2}(t_{12} + t_{21}) + 69t_{22}(t_{12} + t_{21})^{2} - (t_{12} + t_{21}) \Big(23t_{21}^{2} + 30t_{12}t_{21} + 23t_{12}^{2} \Big) \Big] \\ + 2t_{2}U^{5}(t_{11} - t_{12} - t_{21} + t_{22}) \Big[23t_{11}^{3} + t_{11}^{2} \Big(53t_{22} - 69(t_{12} + t_{21}) \Big) + t_{11} \Big(53t_{22}^{2} - 138t_{22}(t_{12} + t_{21}) + 69(t_{12} + t_{21})^{2} \Big) \Big] \\ + U^{6}(t_{11} - t_{12} - t_{21} + t_{22})^{2} \Big[t_{11}^{2} + 2t_{11}(t_{22} - 3(t_{12} + t_{21}) \Big) + t_{22}^{2} - 6t_{22}(t_{12} + t_{21}) + (t_{12} + t_{21})^{2} \Big] \Big\}.$$
(D2)

The formulas for the sixth-order corrections are too lengthy to be presented here, although we use these formulas to produce the results reported in Figs. 3(a), 3(b), and 3(c). The formulas in (D1), (D2) become simpler in two particular cases introduced in Sec. V. For the symmetric deformation we have

$$E_{t}^{(2)} = 0, \quad E_{s}^{(2)}(U) = -\frac{(t_{11} - t_{12})^{2}(8t_{2} + U)}{t_{2}U};$$
(D3)
$$E_{t}^{(4)} = 0, \quad E_{s}^{(4)}(U) = \frac{(t_{11} - t_{12})^{2} \left[512t_{2}^{3}(t_{11} - t_{12})^{2} + 192t_{2}^{2}U(t_{11} - t_{12})^{2} + 32t_{2}U^{2}(t_{11} - t_{12})^{2} + U^{3}(t_{11}^{2} - 6t_{11}t_{12} + t_{12}^{2})\right]}{4t_{2}^{3}U^{3}}.$$
(D4)

For the semisymmetric deformation we have

$$E_t^{(2)} = -\frac{(t_{11} - t_{21})^2}{2t_2}, \quad E_s^{(2)}(U) = -\frac{(t_{11} - t_{21})^2}{2t_2 + U};$$
 (D5)

$$E_t^{(4)} = -\frac{t_{11}t_{21}(t_{11} - t_{21})^2}{2t_2^3}, \quad E_s^{(4)}(U) = -\frac{(t_{11} - t_{21})^2[8t_2t_{11}t_{21} + U(t_{11} + t_{21})^2]}{2t_2(2t_2 + U)^3}.$$
 (D6)

Furthermore, the sixth-order corrections are as follows:

$$E_{t}^{(6)} = 0,$$

$$E_{s}^{(6)}(U) = -\frac{1}{8t_{2}^{5}U^{5}(16t_{2}^{2} + U^{2})} \Big[(t_{11} - t_{12})^{2} (524288t_{2}^{7}(t_{11} - t_{12})^{4} + 327680t_{2}^{6}U(t_{11} - t_{12})^{4} + 131072t_{2}^{5}U^{2}(t_{11} - t_{12})^{4} \\ + 1024t_{2}^{4}U^{3}(t_{11} - t_{12})^{2} (35t_{11}^{2} - 76t_{11}t_{12} + 35t_{12}^{2}) + 256t_{2}^{3}U^{4}(t_{11} - t_{12})^{2} (28t_{11}^{2} - 65t_{11}t_{12} + 28t_{12}^{2}) \\ + 8t_{2}^{2}U^{5} (121t_{11}^{4} - 556t_{11}^{3}t_{12} + 886t_{11}^{2}t_{12}^{2} - 556t_{11}t_{12}^{3} + 121t_{12}^{4}) + 16t_{2}U^{6}(t_{11} - t_{12})^{2} (4t_{11}^{2} - 17t_{11}t_{12} + 4t_{12}^{2}) \\ + U^{7} (t_{11}^{4} - 14t_{11}^{3}t_{12} + 34t_{11}^{2}t_{12}^{2} - 14t_{11}t_{12}^{3} + t_{12}^{4})) \Big]$$
(D7)

(symmetric deformation) and

$$E_{t}^{(6)} = \frac{(t_{11} - t_{21})^{2} \left(t_{21}^{4} + 4t_{21}^{3} t_{11} - 26t_{21}^{2} t_{11}^{2} + 4t_{21} t_{11}^{3} + t_{11}^{4}\right)}{32t_{2}^{5}},$$

$$E_{s}^{(6)}(U) = \frac{(t_{11} - t_{21})^{2} \left(t_{21}^{4} + 4t_{21}^{3} t_{11} - 26t_{21}^{2} t_{11}^{2} + 4t_{21} t_{11}^{3} + t_{11}^{4}\right)}{(2t_{2} + U)^{5}} + \frac{(t_{11} - t_{21})^{2} \left[t_{2}U(t_{11} + t_{21})^{2} \left(3t_{21}^{2} - 14t_{21} t_{11} + 3t_{11}^{2}\right) - 2t_{21} t_{11} U^{2} (t_{11} + t_{21})^{2}\right]}{2t_{2}^{2} (2t_{2} + U)^{5}}$$
(D8)

(semisymmetric deformation).

2. n = 3 electrons on the ladder of $\mathcal{N} = 3$ cells

For the three-cell (N = 6) ladder (open boundary conditions) we have the following corrections to the unperturbed ground-state energy $E^{(0)} = -3t_2$:

$$\begin{split} E_q^{(2)} &= -\frac{(t_{11} - t_{22})^2 + (t_{12} - t_{21})^2}{2t_2}, \\ E_{d1}^{(2)}(U) &= \frac{1}{4} \bigg(\frac{-2t_{11}^2 + t_{11}(2t_{22} + t_{12} + t_{21}) - 2t_{22}^2 + t_{22}(t_{12} + t_{21}) - 2(t_{12}^2 - t_{12}t_{21} + t_{21}^2)}{t_2} \\ &\quad - \frac{(t_{11} - t_{22})^2 + (t_{12} - t_{21})^2}{2t_2 + U} - \frac{4(t_{11} + t_{22} - t_{12} - t_{21})^2}{U} \bigg), \\ E_{d2}^{(2)}(U) &= -\frac{1}{4t_2U(2t_2 + U)} \Big[(8t_2 + U) \big(3t_2(t_{11} + t_{22} - t_{12} - t_{21})^2 \\ &\quad + U \big(2t_{11}^2 + t_{11}(2t_{22} - 3(t_{12} + t_{21})) + 2t_{22}^2 - 3t_{22}(t_{12} + t_{21}) + 2(t_{12}^2 + t_{12}t_{21} + t_{21}^2)) \big) \Big]; \end{split}$$
(D9)
$$E_q^{(4)} &= \frac{1}{16t_2^3} \Big[t_{11}^4 - 4t_{11}^3t_{22} + t_{11}^2 \big(6t_{22}^2 - 3t_{12}^2 - 10t_{12}t_{21} - 3t_{21}^2 \big) \\ &\quad + 2t_{11}t_{22} \big(-2t_{22}^2 + t_{12}^2 + 14t_{12}t_{21} + t_{21}^2 \big) + t_{22}^4 - t_{22}^2 \big(3t_{12}^2 + 10t_{12}t_{21} + 3t_{21}^2 \big) - 4t_{12}t_{21}(t_{12} - t_{21})^2 \Big], \end{aligned}$$
(D10)

and the formulas for $E_{d1}^{(4)}(U)$ and $E_{d2}^{(4)}(U)$ are too lengthy to be presented here. Formulas given in Eqs. (D9) and (D10) are illustrated in Fig. 10, where we show the dependence of energies of the quadruplet and doublets on U for three typical sets of parameters.

3. n = 4 electrons on the ladder of $\mathcal{N} = 4$ cells

For the four-cell (N = 8) ladder (open boundary conditions) we have the following corrections to the unperturbed ground-state energy $E^{(0)} = -4t_2$:

$$\begin{split} E_{\mathcal{Q}}^{(2)} &= -\frac{3((t_{11}-t_{22})^2+(t_{12}-t_{21})^2)}{4t_2}, \\ E_{t1}^{(2)}(U) &= \frac{1}{4(\sqrt{2}-2)t_2U(2t_2+U)} \Big[2t_2U\left((21-13\sqrt{2})t_{11}^2+2t_{11}((9-7\sqrt{2})t_{22}+5(2\sqrt{2}-3)(t_{12}+t_{21}))\right. \\ &\quad + (21-13\sqrt{2})t_{22}^2+10(2\sqrt{2}-3)t_{22}(t_{12}+t_{21})+(21-13\sqrt{2})t_{12}^2+2(9-7\sqrt{2})t_{12}t_{21}+(21-13\sqrt{2})t_{21}^2) \Big] \\ &\quad - \frac{1}{4(\sqrt{2}-2)t_2U(2t_2+U)} \Big[U^2 \Big(3(\sqrt{2}-2)t_{11}^2+2t_{11}(\sqrt{2}t_{22}+(3-2\sqrt{2})(t_{12}+t_{21})) + 3(\sqrt{2}-2)t_{22}^2 \\ &\quad + (6-4\sqrt{2})t_{22}(t_{12}+t_{21}) + 3(\sqrt{2}-2)t_{12}^2+2\sqrt{2}t_{12}t_{21}+3(\sqrt{2}-2)t_{21}^2) \Big] - \frac{16(2\sqrt{2}-3)t_2^2(t_{11}+t_{22}-t_{12}-t_{21})^2}{4(\sqrt{2}-2)t_2U(2t_2+U)}, \\ E_{t2}^{(2)}(U) &= \frac{1}{4} \Big(\frac{-3t_{11}^2+2t_{11}(t_{22}+t_{12}+t_{21}) - 3t_{22}^2+2t_{22}(t_{12}+t_{21}) - 3t_{12}^2+2t_{12}t_{21}-3t_{21}^2}{t_2} \\ &\quad - \frac{2((t_{11}-t_{22})^2+(t_{12}-t_{21})^2)}{2t_2+U} - \frac{8(t_{11}+t_{22}-t_{12}-t_{21})^2}{U} \Big), \\ E_{t3}^{(2)}(U) &= -\frac{1}{4(2+\sqrt{2})t_2U(2t_2+U)} \Big[2t_2U((21+13\sqrt{2})t_{11}^2+2t_{11}(9+7\sqrt{2})t_{22}-5(3+2\sqrt{2})(t_{12}+t_{21})) \\ &\quad + (21+13\sqrt{2})t_{22}^2 - 10(3+2\sqrt{2})t_{22}(t_{12}+t_{21}) + (21+13\sqrt{2})t_{12}^2+2(9+7\sqrt{2})t_{12}t_{21}+(21+13\sqrt{2})t_{21}^2) \Big] \\ &\quad - \frac{1}{4(2+\sqrt{2})t_2U(2t_2+U)} \Big[U^2 \Big(3(2+\sqrt{2})t_{11}^2+2t_{11}(\sqrt{2}t_{22}-(3+2\sqrt{2})(t_{12}+t_{21})) + 3(2+\sqrt{2})t_{22}^2 \\ &\quad - 2(3+2\sqrt{2})t_{22}(t_{12}+t_{21}) + 3(2+\sqrt{2})t_{12}^2+2\sqrt{2}t_{12}t_{21}+3(2+\sqrt{2})t_{22}^2) \Big] - \frac{16(3+2\sqrt{2})t_{2}^2(t_{11}+t_{22}-t_{12}-t_{21})^2}{4(2+\sqrt{2})t_2U(2t_2+U)} , \end{aligned}$$

$$E_{s1}^{(2)}(U) = \frac{1}{4} \left(-\frac{3t_{11}^2 + t_{11}((\sqrt{3} - 3)(t_{12} + t_{21}) - 2\sqrt{3}t_{22}) + 3t_{22}^2 + (\sqrt{3} - 3)t_{22}(t_{12} + t_{21}) + 3t_{12}^2 - 2\sqrt{3}t_{12}t_{21} + 3t_{21}^2}{t_2} + \frac{(\sqrt{3} - 3)((t_{11} - t_{22})^2 + (t_{12} - t_{21})^2)}{2t_2 + U} + \frac{4(\sqrt{3} - 3)(t_{11} + t_{22} - t_{12} - t_{21})^2}{U} \right),$$

$$E_{s2}^{(2)}(U) = \frac{1}{4} \left(\frac{-3t_{11}^2 + t_{11}((3 + \sqrt{3})(t_{12} + t_{21}) - 2\sqrt{3}t_{22}) - 3t_{22}^2 + (3 + \sqrt{3})t_{22}(t_{12} + t_{21}) - 3t_{12}^2 - 2\sqrt{3}t_{12}t_{21} - 3t_{21}^2}{t_2} - \frac{(3 + \sqrt{3})((t_{11} - t_{22})^2 + (t_{12} - t_{21})^2)}{2t_2 + U} - \frac{4(3 + \sqrt{3})(t_{11} + t_{22} - t_{12} - t_{21})^2}{U} \right);$$

$$E_{Q}^{(4)} = \frac{1}{64t_2^3} \left[7t_{11}^4 - 28t_{11}^3t_{22} + t_{11}^2 \left(42t_{22}^2 - 22t_{12}^2 - 68t_{12}t_{21} - 22t_{21}^2 \right) + 28t_{11}t_{22} \left(-t_{22}^2 + t_{12}^2 + 6t_{12}t_{21} + t_{21}^2 \right) + 7t_{22}^4 - 2t_{22}^2 \left(11t_{12}^2 + 34t_{12}t_{21} + 11t_{21}^2 \right) - (t_{12} - t_{21})^2 \left(t_{12}^2 + 30t_{12}t_{21} + t_{21}^2 \right) \right],$$
(D12)

and the formulas for $E_{t1}^{(4)}(U)$, $E_{t2}^{(4)}(U)$, $E_{t3}^{(4)}(U)$, $E_{s1}^{(4)}(U)$, and $E_{s2}^{(4)}(U)$ are too lengthy to be presented here. In Fig. 11 we illustrate the dependence of the quintuplet, triplets, and singlets energies on U for three typical sets of parameters.

APPENDIX E: PERTURBATION-THEORY RESULTS FOR THE BILAYER

1. n = 5 electrons on the bilayer of $\mathcal{N} = 5$ cells

For the finite-size bilayer cluster (star geometry) we have obtained the following corrections to the unperturbed ground-state energy $E^{(0)} = -5t_2$:

$$E_{S}^{(2)} = -\frac{(t_{11} - t_{22})^{2} + (t_{12} - t_{21})^{2}}{t_{2}}, \quad E_{q1}^{(2)}(U) = E_{q2}^{(2)}(U) = E_{q3}^{(2)}(U)$$

$$= \frac{1}{16} \left(\frac{-16t_{11}^{2} + t_{11}(14t_{22} + 9(t_{12} + t_{21})) - 16t_{22}^{2} + 9t_{22}(t_{12} + t_{21}) - 2(8t_{12}^{2} - 7t_{12}t_{21} + 8t_{21}^{2})}{t_{2}} \right)$$

$$- \frac{9((t_{11} - t_{22})^{2} + (t_{12} - t_{21})^{2})}{2t_{2} + U} - \frac{36(t_{11} + t_{22} - t_{12} - t_{21})^{2}}{U} \right),$$

$$E_{q4}^{(2)}(U) = \frac{1}{16} \left(\frac{-16t_{11}^{2} + t_{11}(22t_{22} + 5(t_{12} + t_{21})) - 16t_{22}^{2} + 5t_{22}(t_{12} + t_{21}) - 2(8t_{12}^{2} - 11t_{12}t_{21} + 8t_{21}^{2})}{t_{2}} \right)$$

$$- \frac{5((t_{11} - t_{22})^{2} + (t_{12} - t_{21})^{2})}{2t_{2} + U} - \frac{20(t_{11} + t_{22} - t_{12} - t_{21})^{2}}{U} \right);$$

$$E_{S}^{(4)} = \frac{1}{4t_{2}^{3}} \left[t_{11}^{4} - 4t_{11}^{3}t_{22} + 2t_{11}^{2}(3t_{22}^{2} - t_{12}^{2} - 6t_{12}t_{21} - t_{21}^{2}) - 4t_{11}t_{22}(t_{22}^{2} + 3t_{12}^{2} - 14t_{12}t_{21} + 3t_{21}^{2}) + t_{22}^{4} - 2t_{22}^{2}(t_{12}^{2} + 6t_{12}t_{21} + t_{21}^{2}) + (t_{12} - t_{21})^{4} \right],$$
(E1)

and the formulas for $E_{q1}^{(4)}(U), E_{q2}^{(4)}(U), E_{q3}^{(4)}(U)$, and $E_{q4}^{(4)}(U)$ are too lengthy to be presented here.

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