Minimal model for charge transfer excitons at the dielectric interface

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A theoretical description of the charge transfer (CT) exciton across the donor-acceptor interface without the use of a completely localized hole (or electron) is a challenge in the field of organic solar cells. We calculate the total wave function of the CT exciton by solving an effective two-particle Schrödinger equation for the inhomogeneous dielectric interface. We formulate the magnitude of the CT and construct a minimal model of the CT exciton under the breakdown of inversion symmetry. We demonstrate that both a light hole mass and a hole localization along the normal to the dielectric interface are crucial to yield the CT exciton.

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Charge transfer (CT) excitons are a key to resolving a long-standing exciton dissociation problem in organic solar cells [1-3]. Although several effects such as interface dipole [4–6], disorder [7–9], carrier delocalization [10,11], effective mass [12], and entropy [13–16] have been investigated to understand why the CT exciton efficiently dissociates into free carriers at the dielectric interface, the physics behind the dissociation remains under debate. The CT exciton has been modeled as a pair composed of a mobile electron in the acceptor and a completely localized hole in the donor or vice versa [4,5,11,12,16–18]. However, such a treatment partly ignores the spatial correlation between carriers [1]. To compute the total wave function of the CT exciton is a theoretical challenge. Raos et al. proposed an exciton tight-binding model without using the localized particle approximation [19]. In contrast, a natural extension of the standard hydrogen model would also be useful in understanding the origin of the CT.

In this Rapid Communication, we construct a minimal model of the CT exciton described by a two-particle Schrödinger equation for an inhomogeneous system having a local dielectric constant $\varepsilon(\mathbf{r})$ (\mathbf{r} is the position). One of the main results is that the carrier localization along the *normal* to the dielectric interface enhances the magnitude of the CT. This is complementary to the previous finding that the carrier localization *parallel* to the interface lowers the exciton dissociation probability [3,11].

We first present a two-particle Schrödinger equation for an inhomogeneous dielectric medium $\mathcal{H}\psi(\mathbf{r}_e; \mathbf{r}_h) = \mathcal{E}\psi(\mathbf{r}_e; \mathbf{r}_h)$, where \mathbf{r}_e and \mathbf{r}_h are the electron and hole positions, respectively. The Hamiltonian is given by

$$\mathcal{H} = -\frac{\hbar^2}{2m_e} \nabla_e^2 - \frac{\hbar^2}{2m_h} \nabla_h^2 + U(\boldsymbol{r}_e; \boldsymbol{r}_h), \qquad (1)$$

where m_e and m_h are the electron and hole masses, respectively. The first and second terms are the kinetic energies of the electron and hole, respectively. The third term is the Coulomb potential energy between the electron and the hole, which may be decomposed into three terms:

$$U(\boldsymbol{r}_e; \boldsymbol{r}_h) = \frac{-e^2}{4\pi\sqrt{\varepsilon(\boldsymbol{r}_e)\varepsilon(\boldsymbol{r}_h)}} G(\boldsymbol{r}_e; \boldsymbol{r}_h) + V_{\rm im}(\boldsymbol{r}_e) + V_{\rm im}(\boldsymbol{r}_h),$$
(2)

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where *e* is the elementary charge. The first term is the direct interaction energy between particles at r_e and r_h and the second and third terms are the image potential (IP) energies of particles at r_e and r_h , respectively. The set of Eqs. (1) and (2) is a general expression describing the two-particle kinetics in any dielectric. Below, we will derive Eq. (2).

Let us consider an electrostatic potential acting on r caused by a creation of a point source charge Q_s placed at the position r_s . Such a potential $\phi(r; r_s)$ is determined by solving the Poisson equation

$$\nabla \cdot [\varepsilon(\mathbf{r})\nabla\phi(\mathbf{r};\mathbf{r}_s)] = -Q_s\delta(\mathbf{r}-\mathbf{r}_s). \tag{3}$$

By noting the following relation

$$\nabla \cdot [\varepsilon(\mathbf{r})\nabla\phi(\mathbf{r};\mathbf{r}_s)] = \sqrt{\varepsilon(\mathbf{r})}\nabla^2 [\sqrt{\varepsilon(\mathbf{r})}\phi(\mathbf{r};\mathbf{r}_s)] - \sqrt{\varepsilon(\mathbf{r})} [\nabla^2 \sqrt{\varepsilon(\mathbf{r})}]\phi(\mathbf{r};\mathbf{r}_s), \quad (4)$$

one can rewrite the Poisson equation as follows:

$$\nabla^{2}[\sqrt{\varepsilon(\mathbf{r})}\phi(\mathbf{r};\mathbf{r}_{s})] = -\frac{Q_{s}\delta(\mathbf{r}-\mathbf{r}_{s})}{\sqrt{\varepsilon(\mathbf{r}_{s})}} + [\nabla^{2}\sqrt{\varepsilon(\mathbf{r})}]\phi(\mathbf{r};\mathbf{r}_{s}).$$
(5)

If we regard the two terms on the right-hand side (rhs) of Eq. (5) as a source charge for the potential $\sqrt{\varepsilon(\mathbf{r})}\phi(\mathbf{r};\mathbf{r}_s)$, we obtain a self-consistent equation

$$\phi(\boldsymbol{r};\boldsymbol{r}_s) = \Phi_0(\boldsymbol{r};\boldsymbol{r}_s) - \frac{1}{4\pi\sqrt{\varepsilon(\boldsymbol{r})}} \int \frac{\nabla^2 \sqrt{\varepsilon(\boldsymbol{r}')}}{|\boldsymbol{r}-\boldsymbol{r}'|} \phi(\boldsymbol{r}';\boldsymbol{r}_s) d\boldsymbol{r}',$$
(6)

where

$$\Phi_0(\boldsymbol{r};\boldsymbol{r}_s) = \frac{Q_s}{4\pi\sqrt{\varepsilon(\boldsymbol{r})\varepsilon(\boldsymbol{r}_s)}}G_0(\boldsymbol{r}-\boldsymbol{r}_s)$$
(7)

and $G_0(\mathbf{r} - \mathbf{r}_s) \equiv 1/|\mathbf{r} - \mathbf{r}_s|$. This is simply written as

$$\phi(\mathbf{r};\mathbf{r}_s) = \frac{Q_s}{4\pi\sqrt{\varepsilon(\mathbf{r})\varepsilon(\mathbf{r}_s)}}G(\mathbf{r};\mathbf{r}_s),\tag{8}$$

where

$$G(\boldsymbol{r};\boldsymbol{r}_s) = G_0(\boldsymbol{r} - \boldsymbol{r}_s) + \int d\boldsymbol{r}' G_0(\boldsymbol{r} - \boldsymbol{r}') p(\boldsymbol{r}') G(\boldsymbol{r}';\boldsymbol{r}_s),$$
(9)

and $p(\mathbf{r}) = -\nabla^2 \sqrt{\varepsilon(\mathbf{r})} / [4\pi \sqrt{\varepsilon(\mathbf{r})}]$. The electrostatic potential energy between the point charge Q at the position \mathbf{r} and

the source charge Q_s at the position r_s is given as $Q\phi(r; r_s)$. The second term on the rhs of Eq. (9) contributes to the induced potential caused by the presence of the spatially varying ε . The limit $r \rightarrow r_s$ of the induced potential yields the IP [20,21]

$$V_{\rm im}(\boldsymbol{r}_s) = \frac{Q_s^2}{8\pi\varepsilon(\boldsymbol{r}_s)} [G(\boldsymbol{r}_s;\boldsymbol{r}_s) - G_0(\boldsymbol{r}_s - \boldsymbol{r}_s)]. \quad (10)$$

Consequently, by setting $Q = -Q_s = -e$, $\mathbf{r} = \mathbf{r}_e$, and $\mathbf{r}_s = \mathbf{r}_h$, we obtain the potential energy, i.e., Eq. (2).

The derivation of Eqs. (1) and (2) paves the way to study two-particle properties in inhomogeneous dielectric media. All the two-particle problems can be reduced (i) to construct a model of $\varepsilon(\mathbf{r})$ that captures the underlying physics and (ii) to solve the two-particle Schrödinger equation. The locality of $\varepsilon(\mathbf{r})$ is justified when the length scales in question exceed the spread of maximally localized Wannier functions [22,23]. The present work does not use the *ab initio* approach to determine $\varepsilon(\mathbf{r})$, but rather uses a model to simplify the physics.

For a homogeneous system $(p \rightarrow 0)$, Eq. (1) is clearly reduced to a standard effective mass equation for bulk semiconductors. For an inhomogeneous system, both the center of mass motion and the relative motion cannot be separated because $\phi(\mathbf{r}; \mathbf{r}_s)$ in Eq. (8) is no longer a function of $\mathbf{r} - \mathbf{r}_s$. To explore the physical meaning of Eq. (8), we rewrite it as follows,

$$\phi(\boldsymbol{r};\boldsymbol{r}_s) = \Phi_0(\boldsymbol{r};\boldsymbol{r}_s) + \sum_{n=1}^{\infty} \phi^{(n)}(\boldsymbol{r};\boldsymbol{r}_s), \quad (11)$$

where

$$\phi^{(n)}(\boldsymbol{r};\boldsymbol{r}_s) = \int d\boldsymbol{r}_n \frac{\rho^{(n)}(\boldsymbol{r}_n;\boldsymbol{r}_s)}{4\pi\sqrt{\varepsilon(\boldsymbol{r})\varepsilon(\boldsymbol{r}_n)}} G_0(\boldsymbol{r}-\boldsymbol{r}_n), \quad (12)$$

with the *n*th ($n \ge 1$) order induced charge

$$\rho^{(n)}(\boldsymbol{r}_n; \boldsymbol{r}_s) = 4\pi\varepsilon(\boldsymbol{r}_n)p(\boldsymbol{r}_n)\int d\boldsymbol{r}_{n-1}$$
$$\times \frac{\rho^{(n-1)}(\boldsymbol{r}_{n-1}; \boldsymbol{r}_s)}{4\pi\sqrt{\varepsilon(\boldsymbol{r}_n)\varepsilon(\boldsymbol{r}_{n-1})}}G_0(\boldsymbol{r}_n - \boldsymbol{r}_{n-1}) \quad (13)$$

and $\rho^{(0)}(\mathbf{r}_0; \mathbf{r}_s) = Q_s \delta(\mathbf{r}_0 - \mathbf{r}_s)$. Figure 1 indicates how a charge at \mathbf{r} interacts with a source charge at \mathbf{r}_s via the induced potential (dashed) as well as the bare Coulomb potential (solid): The (n - 1)th $(n \ge 1)$ order charge density $\rho^{(n-1)}$ at \mathbf{r}_{n-1} produces the *n*th-order induced charge density $\rho^{(n)}$ at \mathbf{r}_n

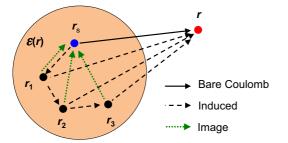


FIG. 1. Schematic illustration for the electrostatic potential at r by the presence of the source charge at r_s . Solid and dashed lines indicate the contribution from the bare Coulomb and induced potential, respectively. The dotted line indicates the IP contribution to the position r_s .

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given by Eq. (13), which yields the *n*th-order induced potential $\phi^{(n)}$ at **r** given by Eq. (12). A similar interpretation can also be applied to the physical meaning of IP in Eq. (10). All these treatments are exact in static electrodynamics. Although the time evolution of the induced charge and/or potential can be studied in the framework of the linear response theory [24–28], such a problem is out of scope of this Rapid Communication.

Based on the formulation above, we next study the CT exciton problem. We consider a dielectric interface, in which the dielectric constant varies only along the normal to the interface [29]. The magnitude of the dielectric constant is given by

$$\varepsilon(z) = \frac{\varepsilon_{\rm in} + \varepsilon_{\rm out}}{2} - \left(\frac{\varepsilon_{\rm in} - \varepsilon_{\rm out}}{2}\right) \tanh\left(\frac{z}{w}\right), \quad (14)$$

where ε_{in} and ε_{out} are the bulk dielectric constants in the inner and outer regions, respectively: $\lim_{z\to-\infty(+\infty)} \varepsilon(z) = \varepsilon_{in(out)}$. The parameter w determines the smoothness of the dielectric constant variation near z = 0: The limit $w \to 0$ gives a step function. The density-functional theory approach has shown that the dielectric constant changes monotonically around the semiconductor interface, while a slight deviation from the monotonic curve appears due to the presence of the atomic nuclei but vanishes in each bulk region [23]. The use of Eq. (14) would be enough to construct a CT exciton minimal model. In this case study, we set $\varepsilon_{in} = 5\varepsilon_0$, $\varepsilon_{out} = 3\varepsilon_0$ (ε_0 is the dielectric constant of vacuum), which are typical values of organic semiconductors, and $w = a_0/4$ that corresponds to the transition region width of $a_0 = 4\pi \varepsilon_{\rm in} \hbar^2 / (m_0 e^2) (\simeq 2.64 \text{ Å})$ around z = 0 [see the inset of Fig. 3(a)]. The energy unit is set to be $E_0 = e^2/(8\pi\varepsilon_{in}a_0) = 1/25$ Ry. We set $m_e = 0.8m_0$ by referring to the electron mass of pentacene [17,18].

Figure 2 shows the z dependence of Φ_0 and ϕ in the presence of a hole at $z_h = 0$: The former is simply given by Eq. (7) with a replacement of Q_s by +e, while the latter are obtained by solving Eq. (6) self-consistently (i.e., exactly). Due to the small difference between ε_{in} and ε_{out} , the potential energy difference between them is also small. This fact also holds for the other hole position z_h . The inset of Fig. 2 shows the z dependence of IP given by Eq. (10). As a charged particle approaches the interface from the outside, $V_{im}(z)$ decreases in

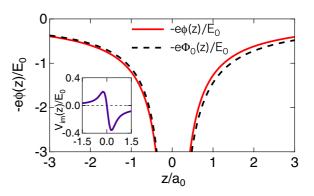


FIG. 2. Self-consistent (solid) and zeroth-order (dashed) solutions to the Poisson equation given by Eq. (6). Both solutions are asymmetric with respect to z = 0. Inset: The IP energy given by Eq. (10).

the vicinity of the interface, increases in the transition region, and takes a maximum at $z \simeq -0.25a_0$. In the limit of $z \rightarrow -\infty$, $V_{\rm im}(z)$ approaches zero. A similar spatial variation of the IP has been reported in a semiconductor interface model [29]. The IP around a metal-vacuum interface has been studied by a fully quantum mechanical method [28]. The IP variation for z > 0 is also similar to that in the vacuum region at the metal-vacuum interface. This fact supports the validity of the present model. In this study, $\phi - \Phi_0$ and $V_{\rm im}(z)$ are small enough to be neglected in the first approximation.

Motivated by the result above, we retain the zeroth-order potential Φ_0 given in Eq. (7) only for the Coulomb interaction between an electron at \mathbf{r}_e and a hole at \mathbf{r}_h . This yields the two-particle Hamiltonian

$$\mathcal{H} = -\frac{\hbar^2}{2M} \nabla_{\boldsymbol{R}}^2 - \frac{\hbar^2}{2\mu} \nabla_{\boldsymbol{\tilde{r}}}^2 - e \Phi_0 \bigg(\boldsymbol{R} + \frac{m_h}{M} \tilde{\boldsymbol{r}}; \boldsymbol{R} - \frac{m_e}{M} \tilde{\boldsymbol{r}} \bigg),$$
(15)

where $M = m_e + m_h$ and $\mu = m_e m_h/M$ are the total and reduced masses, respectively. $\mathbf{R} = (m_e \mathbf{r}_e + m_h \mathbf{r}_h)/M$ and $\tilde{\mathbf{r}} = \mathbf{r}_e - \mathbf{r}_h$ are the center of mass and the relative coordinates, respectively.

To solve the two-particle Schrödinger equation $\mathcal{H}\Psi(\mathbf{R};\tilde{\mathbf{r}}) = \mathcal{E}\Psi(\mathbf{R};\tilde{\mathbf{r}})$, we use the variational approach and define the ground state trial function as

$$\Psi(\boldsymbol{R}; \tilde{\boldsymbol{r}}; a_{\rho}, a_{z}, z_{0}) = \frac{1}{(2\pi)^{3/2}} e^{i(K_{x}X + K_{y}Y)} e^{im\tilde{\theta}} \\ \times \xi(Z; \tilde{z}) \psi(\tilde{\boldsymbol{r}}, \tilde{z}; a_{\rho}, a_{z}, z_{0}), \quad (16)$$

with

$$\xi(Z;\tilde{z}) = \frac{1}{\left(\pi\sigma_z^2\right)^{1/4}} \exp\left[-\frac{1}{2}\left(\frac{Z - \frac{m_e}{M}\tilde{z} - z_h}{\sigma_z}\right)^2\right]$$
(17)

and

$$= \frac{1}{\sqrt{\pi a_{\rho}^2 a_z}} \exp\left[-\sqrt{\left(\frac{\tilde{\rho}}{a_{\rho}}\right)^2 + \left(\frac{\tilde{z} - z_0}{a_z}\right)^2}\right].$$
 (18)

We used the Cartesian coordinates $\mathbf{R} = (X, Y, Z)$ and cylindrical coordinates $\tilde{\mathbf{r}} = (\tilde{\rho}, \tilde{\theta}, \tilde{z})$ for the center of mass and the relative coordinates, respectively. Due to the homogeneity parallel to the *xy* plane and the rotational symmetry around the *z* axis, the wave function is characterized by the wave numbers K_x and K_y and the angular momentum *m*, respectively [see Eq. (16)]. In the following, we set m = 0. We assumed that the hole amplitude has a Gaussian distribution which is localized at $z = z_h$ and has an extent of σ_z along the *z* direction [see Eq. (17)]. The function ψ in Eq. (18) has three variational parameters: a_ρ and a_z determine an extent of the electronic wave function along the $\tilde{\rho}$ and \tilde{z} directions, respectively; z_0 determines the *z* coordinate of the center of the electron density distribution. This trial function satisfies the normalization condition

$$\int d\mathbf{R} \int d\tilde{\mathbf{r}} |\Psi(\mathbf{R}; \tilde{\mathbf{r}}; a_{\rho}, a_{z}, z_{0})|^{2} = 1.$$
(19)

When the values of m_e , m_h , z_h , and σ_z are given, the equation that should be solved is explicitly written as

$$\left[-\frac{\hbar^2}{2\mu}\nabla_{\tilde{\mathbf{r}}}^2 - \frac{e^2}{4\pi|\tilde{\mathbf{r}}|}\int \Xi(Z;\tilde{z})dZ\right]\psi(\tilde{\mathbf{r}}) = E\psi(\tilde{\mathbf{r}}), \quad (20)$$

with

$$\Xi(Z;\tilde{z}) = \frac{|\xi(Z;\tilde{z})|^2}{\sqrt{\varepsilon\left(Z + \frac{m_h}{M}\tilde{z}\right)\varepsilon\left(Z - \frac{m_e}{M}\tilde{z}\right)}},$$
(21)

where $E = \mathcal{E} - \hbar^2 (K_x^2 + K_y^2)/(2M) - E_{loc}$ with $E_{loc} = \hbar^2/(4M\sigma_z^2) + \hbar^2 m_e^2/(4\mu M^2 \sigma_z^2)$ that arises from the hole localization. Note that the standard virial theorem -V/T = 2, where *T* and *V* are the expectation values of the first and second terms in the brackets in Eq. (20), respectively, is not satisfied at the dielectric interface because Φ_0 is no longer a function of $\mathbf{r}_e - \mathbf{r}_h$, as mentioned. Instead, the relation $-(V + \delta V)/T = 2$ should be satisfied where δV is defined as

$$\delta V = \int d\tilde{\mathbf{r}} \frac{e^2 |\psi(\tilde{\mathbf{r}})|^2}{4\pi |\tilde{\mathbf{r}}|} \lim_{L \to 1} \left[\frac{\partial \Xi(LZ; L\tilde{z})}{\partial L} \right].$$
(22)

Here, *L* is a scaling parameter. The use of Eq. (16) gives a ratio of $-(V + \delta V)/T = 2.00 \pm 0.01$ for the considered systems.

We first consider a hole as a trapped particle. This corresponds to taking both the limit of an infinite hole mass $m_h \to \infty$ and a strong localization $\sigma_z \to 0$, which leads to $M \to \infty$, $\mu \to m_e$, and $\mathbf{R} \to \mathbf{r}_h$. This treatment may be valid if one of the two phases is disordered [7–11]. Using Eq. (7), we obtain

$$\left[-\frac{\hbar^2}{2m_e}\nabla_{\tilde{\mathbf{r}}}^2 - e\Phi_0(\mathbf{r}_h + \tilde{\mathbf{r}}; \mathbf{r}_h)\right]\psi(\tilde{\mathbf{r}}) = E\psi(\tilde{\mathbf{r}}), \quad (23)$$

the solution of which gives the binding energy. Figure 3(a)(left) shows the z_h dependence of $z_0 - z_h$. As the hole approaches the interface from the region with ε_{in} to the region with ε_{out} , $z_0 - z_h$ first increases and reaches its maximum value at $z_h \simeq -0.2a_0$. Then, it gradually decreases within the interface region and goes to zero. The deviation of $z_0 - z_h$ from zero indicates, by definition, the CT exciton. Figure 3(a)(right) shows a ratio a_z/a_ρ as a function of z_h . The ratio deviates from unity largely around the edge of the transition region ($z_h \simeq \pm 0.5 a_0$), indicating the presence of the elongated $(z_h < 0)$ and shortened $(z_h > 0)$ exciton along the z direction and implying that the anisotropy is a precursor of the CT exciton. The behavior in $z_h > 0$ can be understood as follows: If the exciton is elongated along the z direction, the potential energy gain decreases due to the high value of $\varepsilon(z)$ in the region of z < 0. Thus, this leads to a shrinkage of the exciton along the z direction. In contrast, such a shrinkage enhances an exciton kinetic energy, which in turn enhances the exciton extent along the ρ direction to compensate for the kinetic energy loss with the potential energy. Interestingly, we found that the z_h dependence of δV in Eq. (22) is strongly correlated with that of $a_z/a_\rho - 1$ [see Figs. 3(a) and 3(b)]. Note that the virial theorem in the present system is given by $2T + V = -\delta V$. Thus, it is reasonable to interpret $-\delta V$ as an effective pressure exerted on the system along the normal to the interface: If δV is negative (positive), the effective pressure arising from the inhomogeneity of $\varepsilon(z)$ shortens (elongates) the electron

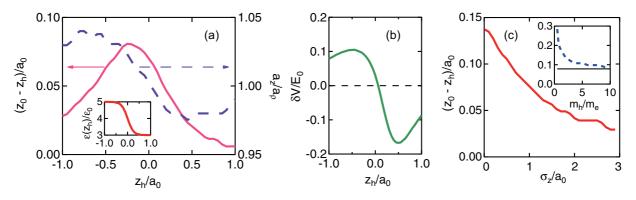


FIG. 3. (a) z_h dependence both of the values of $z_0 - z_h$ (left) and a_z/a_ρ (right) for the dielectric interface. The trapped hole approximation is assumed: $m_h/m_e \to \infty$ and $\sigma_z \to 0$. Inset: The spatial profile of ε in Eq. (14). (b) δV in Eq. (22) as a function of z_h . (c) The charge transfer $z_0 - z_h$ as a function of σ_z for $z_h = -0.2a_0$ and $m_h/m_e = 2$. Inset: The charge transfer $z_0 - z_h$ as a function of m_h/m_e for $z_h = -0.2a_0$ and $\sigma_z = 0.01a_0$ [see Eq. (17)]. The thin solid line indicates the CT value in the limit of $m_h/m_e \to \infty$.

distribution along the z direction. Our model shows that the inversion symmetry breakdown yields a finite value of CT and serves as a minimal model of the CT exciton.

Next, we study the effects of both the finite hole mass and the hole delocalization and solve the eigenvalue problem given by Eq. (20). The inset of Fig. 3(c) shows the m_h/m_e dependence of $z_0 - z_h$ in the case of $z_h = -0.2a_0$ and $\sigma_z =$ $0.01a_0$. The CT value $z_0 - z_h$ monotonically increases with decreasing m_h/m_e . The decrease in the hole mass leads to a decrease in the relative mass μ , which causes a decrease in the binding energy and an increase in the exciton size in real space. The magnitude of the CT would be enhanced in such a weakly bound exciton. Similar behavior has also been reported in other models considering completely localized carriers [4,5,11]. Figure 3(c) shows the σ_{7} dependence of $z_0 - z_h$ in the case of $m_h/m_e = 2$. As σ_z increases (the hole becomes delocalized), the magnitude of the CT decreases. This is because the delocalization of the hole along the normal to the interface leads to a localization of the electron to gain the attractive Coulomb interaction energy, which leads to generation of a tightly bound exciton and thus a decrease in the magnitude of the CT. Our result suggests that the carrier localization normal to the interface would be another key to the exciton dissociation, while it has been suggested that the carrier delocalization parallel to the interface enhances the dissociation probability [11]. More investigation about the relation between the carrier distribution and the interface morphology [30] is desired.

In summary, we have studied the total wave function of the CT exciton and found that the effects of the inversion symmetry breakdown, the small ratio of m_h/m_e , and the hole localization are important in the CT exciton generation. In particular, we expect that experiments can demonstrate the dissociation probability enhancement by the carrier localization along the normal to the interface.

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