

# Stochastic feedback control of quantum transport to realize a dynamical ensemble of two nonorthogonal pure states

Shakib Daryanoosh\* and Howard M. Wiseman†

Centre for Quantum Computation and Communication Technology (Australian Research Council),  
Centre for Quantum Dynamics, Griffith University, Brisbane, Queensland 4111, Australia

Tobias Brandes‡

Institut für Theoretische Physik, Hardenbergstrasse 36, TU Berlin, D-10623 Berlin, Germany

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A Markovian open quantum system which relaxes to a unique steady state  $\rho_{ss}$  of finite rank can be decomposed into a finite physically realizable ensemble (PRE) of pure states. That is, as shown by R. I. Karasik and H. M. Wiseman [*Phys. Rev. Lett.* **106**, 020406 (2011)], in principle there is a way to monitor the environment so that in the long-time limit the conditional state jumps between a finite number of possible pure states. In this paper we show how to apply this idea to the dynamics of a double quantum dot arising from the feedback control of quantum transport, as previously considered by C. Pörtl, C. Emary, and T. Brandes [*Phys. Rev. B* **84**, 085302 (2011)]. Specifically, we consider the limit where the system can be described as a qubit, and show that while the control scheme can always realize a two-state PRE, in the incoherent-tunneling regime there are infinitely many PREs compatible with the dynamics that cannot be so realized. For the two-state PREs that are realized, we calculate the counting statistics and see a clear distinction between the coherent and incoherent regimes.

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## I. INTRODUCTION

An open quantum system undergoes a continuous interaction with its environment and typically becomes entangled with it. Although the state of the total system—composed of the physical system and its bath—may be pure, the state of the system itself becomes mixed. In this paper we restrict ourselves to Markovian systems, by which we mean ones where the interaction with the environment is well modeled by quantum white noise [1,2]. In this model, the system continuously leaks quantum information into the bath, which is why the system state loses purity. This loss of purity can, however, be compensated for by monitoring the environment, over time scales sufficiently short in comparison to the system evolution time, from which one gains information about the system. In this process, assuming perfect detection, the state of the system continuously collapses into pure states  $\rho_c$  conditioned on measurement results so that on average the unconditional mixed state  $\rho$  is retrieved [2]. This so-called quantum trajectory theory [3] describes both quantum jumps [4–6] and quantum diffusion [3,7,8]. These are examples of different types of “unraveling” [3] of the master equation (ME) describing the evolution of  $\rho$ .

In this work we are concerned with Markovian open quantum systems that are finite dimensional and have ergodic evolution. Any such system ultimately relaxes to its unique steady state  $\rho_{ss}$  which can be expressed as a statistical mixture of a finite ensemble of pure states. For any given  $\rho_{ss}$ , there are an infinite number of such decompositions, because the states therein may be nonorthogonal. However, there is a crucial distinction to be made here: only some of these

ensembles will be *physically realizable* [9] as the possible conditioned states of the system under some unraveling. It was shown how to construct *physically realizable ensembles* (PREs), but the question of *how* to physically realize them (i.e., what type of unraveling) was not addressed in general. For finite-dimensional systems it was subsequently shown [10] that achieving a particular finite PRE will, in general, require an adaptive monitoring.

The concept of an adaptive measurement is a form of quantum control that is related to, but distinct from, feedback control [2]. Feedback control to change the dynamics of open quantum systems is an ongoing research topic with applications in noise reduction, state purification, and state protection [2,11–16]. Adaptive measurements, by contrast, do not change the dynamics but rather change the quality of the information obtained about the system, Fig. 1, with application in metrology [17,18] and measurement-based quantum computation [19,20]. We can understand this distinction more formally as follows. Any quantum evolution, including measurements, can be considered as a sequence of conditional operations [completely positive (CP) maps] acting on the system state  $\rho$ . At a given time  $t$ , there is a set of possible CP maps  $\mathfrak{M}^t = \{\mathcal{O}_j^t\}$ , such that  $\sum_j \mathcal{O}_j^t = \mathcal{T}^t$  is a trace-preserving (TP) operation (TPCP map), and the particular operation  $\mathcal{O}_j^t$  that is realized is conditioned on  $j$ , the measurement result obtained at that time. In both feedback and adaptive measurements, the set  $\mathfrak{M}^t$  is made to depend on prior measurement results. But in the case of feedback, the unconditioned evolution  $\mathcal{T}^t$  depends on prior results, whereas in the case of adaptive measurements  $\mathcal{T}^t$  is strictly independent of prior results—only the decomposition  $\{\mathcal{O}_j^t\}$  can be varied.

The central question to be examined in this study is whether the introduction of feedback control in a Markovian open quantum system can result in conditional dynamics that realize a PRE that is finite and is nonclassical, in the sense of comprising nonorthogonal states. That is, the idea is to use

\*shakib.daryanoosh@griffithuni.edu.au

†H.Wiseman@griffith.edu.au

‡brandes@physik.tu-berlin.de

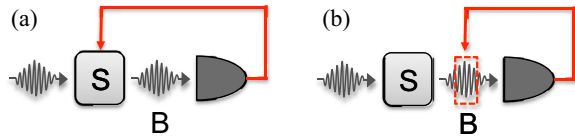


FIG. 1. Conceptual diagram for (a) feedback control operation in which the result of monitoring the bath (B) is used to change the dynamics of the system (S), and (b) adaptive measurements where the measurement results are taken to modify the way monitoring is performed on the bath.

feedback control to engineer a particular Markovian evolution, different from that which would exist without feedback, such that an interesting PRE for that Markovian evolution would be realized by the measurements used as part of the feedback loop. This should be distinguished from the adaptive monitoring scheme proposed in [10] where the original unconditional evolution of the system remains unchanged, although the way information is extracted from continuous measurements is controlled, by acting on the environment as shown in Fig. 1(b). The motivation for the change is that control by acting on the system as in Fig. 1(a) may be easier to implement experimentally.

We address this by organizing the structure of the paper as follows. First, Sec. II reviews how it is possible to track a finite-dimensional open quantum system to produce a finite PRE. In particular, we reproduce the result [10] that for a qubit there always exists a two-element PRE; that is, a bit is sufficient to keep track of a qubit's dynamics. Next, in Sec. III we present the proposed idea of implementing feedback control on quantum transport in mesoscopic systems to purify the state of the system [14]. These two are united in Sec. IV where we show that the conditional dynamics for this mesoscopic system, with feedback, can be used to realize some of the PREs that are theoretically possible, but not all of them. Section V discusses the experimental signature of our scheme: the cumulants for the counting statistics of quantum transport. Finally, the conclusions are presented.

## II. TRACKING THE STATE OF A QUBIT USING A BIT

In this paper we consider Markovian open quantum systems, so that the state of the system is determined, in a suitable interaction frame, by a Lindblad master equation

$$\dot{\rho} = -i[\hat{H}, \rho] + \sum_l \mathcal{D}[\hat{c}_l]\rho \equiv \mathcal{L}\rho, \quad (1)$$

where  $\mathcal{D}[\hat{O}]\bullet = \hat{O}\bullet\hat{O}^\dagger - 1/2\{\hat{O}^\dagger\hat{O}, \bullet\}$  is the usual irreversible superoperator [2]. In the above,  $\hat{H}$  is the system Hamiltonian, and the collection of Lindblad operators  $\{\hat{c}_l\}$  is determined by the coupling of the system to the bath. The Lindblad superoperator  $\mathcal{L}$  can also be expressed as

$$\mathcal{L} = S[\hat{H}'] + \sum_{l=1}^L \mathcal{J}[\hat{c}_l], \quad (2)$$

where  $S[\hat{H}']\bullet = (-i\hat{H}')\bullet + \bullet(i\hat{H}'^\dagger)$  and  $\mathcal{J}[\hat{c}]\bullet = \hat{c}\bullet\hat{c}^\dagger$  are superoperators which describe smooth evolution and dynamical

jumps, respectively (see below). Here

$$\hat{H}' = \hat{H} - \frac{i}{2} \sum_{l=1}^L \hat{c}_l^\dagger \hat{c}_l \quad (3)$$

is a so-called non-Hermitian Hamiltonian. The superoperator  $\mathcal{L}$  in Eq. (2) is the generator of the completely positive map  $\mathcal{M}$ , that is,

$$\rho(t) = \mathcal{M}(t)\rho(0) = e^{\mathcal{L}t}\rho(0). \quad (4)$$

For the case of a single jump operator  $\hat{c}$  the map can be evaluated as  $\mathcal{M}(t) = \sum_{n=0}^{\infty} \mathcal{M}^{(n)}(t)$  where

$$\mathcal{M}^{(n)}(t) = \int_0^t dt_n \int_0^{t_n} dt_{n-1} \cdots \int_0^{t_2} dt_1 \mathcal{Q}(t-t_n) \mathcal{J} \times \mathcal{Q}(t_n-t_{n-1}) \mathcal{J} \cdots \mathcal{Q}(t_2-t_1) \mathcal{J} \mathcal{Q}(t_1), \quad (5)$$

where  $\mathcal{Q}(t) = e^{tS[\hat{H}']}$  is the propagator of  $S$ . This mapping indicates that there exists, in principle, a way to monitor the bath such that the conditional state of the system undergoes periods of smooth evolution, represented by  $S$ , which are interrupted by instantaneous jumps, described by  $\mathcal{J} \equiv \mathcal{J}[\hat{c}]$ . Note that if the system state is initially pure, then the conditioned system state (conditioned, that is, on the times of the jumps) will be pure at all times. Moreover, it is often the case that even if the initial state is mixed, the conditioned state becomes pure in the long-time limit [2].

Note that this separation into smooth nonunitary evolution and jumps is not unique. Rather, the master equation Eq. (2) is invariant under the transformation

$$\hat{c}_l \rightarrow \hat{c}_m = \sum_{l=1}^L S_{ml} \hat{c}_l + \beta_m, \quad (6)$$

$$\hat{H} \rightarrow \hat{H} - \frac{i}{2} \sum_{m=1}^M (\beta_m^* \hat{c}_m - \beta_m \hat{c}_m^\dagger), \quad (7)$$

where  $\beta \in \mathbb{C}^M$  is a complex vector and  $S \in \mathbb{C}^{M \times L}$  is a semiunitary matrix; that is, it satisfies  $\sum_{m=1}^M S_{lm}^* S_{ml} = \delta_{ll}$ . In the context of quantum optics, where the bath is bosonic, this mathematical transformation has a physical meaning. It corresponds to coherent mixing of the system outputs prior to detection (via  $S$ ) and adding a weak local oscillator (WLO) of amplitude  $\beta_l$  to the  $l$ th output field channel prior to detection. Although they give the same master equation, different choices of the parameters  $\{\beta_l\}, \{S_{ml}\}$  give rise to different types of conditional evolution, different unravelings according to Eqs. (6) and (7). In principle, a sufficiently skilled experimenter could build an apparatus to monitor the bath in a way corresponding to any of the unravelings above. In practice, some unravelings may be possible with present experimental techniques and others not at all possible. This will have important implications for which physically realizable ensembles are practically realizable.

We now impose the further condition of ergodicity. This ensures that in the long-time limit the system converges to a unique steady state satisfying  $\mathcal{L}\rho_{ss} = 0$ . If  $\rho_{ss}$  is of finite rank, which is always the case for a finite-dimensional system, the stationary state can be expressed in terms of a statistical mixture of pure states of a finite set  $\{(p_k, |\psi_k\rangle)\}_{k=1}^K$

with  $p_k$  being the probability of occupying the  $k$ th state. Since these states need not be orthogonal in general, there is no upper bound on the number of ways one could do such decompositions. However, it is important to distinguish carefully between ensembles that are physically realizable (PREs) and those that are not. The concept which rules out physically unrealizable ensembles is referred to as the *preferred ensemble fact* [9]. The PREs are those that result from some unraveling of the master equation. That is to say, under some unraveling, in the long-time limit, the conditioned system state will always be one of the states  $|\psi_k\rangle$ , and the proportion of time it occupies each such state is  $p_k$ .

It was proven in Ref. [9] that the above-mentioned ensemble is physically realizable if and only if there exist some rates  $\Omega_{jk} \geq 0$  such that

$$\forall k, \quad \mathcal{L}|\psi_k\rangle\langle\psi_k| = \sum_{j=1}^K \Omega_{jk} (|\psi_j\rangle\langle\psi_j| - |\psi_k\rangle\langle\psi_k|). \quad (8)$$

This means that upon evolution, the system jumps from state  $k$  to state  $j$  at rate  $\Omega_{jk}$ , and there exists an unraveling of the master equation which enables the experimenter to keep track of these jumps. It turns out that, in general, adaptive monitoring of the environment is necessary to realize a given PRE. That is, it will be necessary to control the above parameters  $\{\beta_m\}, \{S_{ml}\}$  based on prior results (jumps).

One of the essential outcomes of Ref. [10], which the present paper leans on, is that, for a two-dimensional ergodic quantum system, there is always a two-state PRE, which we will call a TSE for brevity. That is, one can keep track of a qubit using a single-bit memory. We note that the explicit derivation presented in Ref. [10] for a qubit does not require a restriction to cyclic jumps  $|\psi_1\rangle \Rightarrow |\psi_2\rangle$ , although that is not mentioned. That is, the system may undergo a ‘‘jump’’ (in the sense of the application of one of the superoperators  $\mathcal{J}[\hat{c}_i]$ ) which leaves it in the same state  $|\psi_k\rangle \rightarrow |\psi_k\rangle$  for  $k = 1, 2$ .

The TSE solution(s) in Ref. [10] may be expressed most easily by rewriting Eq. (1) in the Bloch representation. It becomes

$$\dot{\vec{r}} = A\vec{r} + \vec{b}, \quad (9)$$

where  $A$  is a  $3 \times 3$  matrix,  $b$  is a vector with three entries, and  $\vec{r} = \langle (\hat{\sigma}_x, \hat{\sigma}_y, \hat{\sigma}_z)^\top \rangle$  is a Bloch vector whose steady state satisfies  $A\vec{r}_{ss} = -\vec{b}$ . This steady state is unique if and only if the real part of all eigenvalues of  $A$  are negative. In this notation the TSE is given by  $\{(p_k, \vec{r}_k)\}_{k=\pm}$  where [10]

$$\vec{r}_k = \vec{r}_{ss} - k\alpha_k \vec{e}_v, \quad (10)$$

$$p_k = \frac{1}{2} \left[ 1 - \frac{k\vec{r}_{ss} \cdot \vec{e}_v}{\sqrt{1 - \|\vec{r}_{ss}\|^2 + (\vec{r}_{ss} \cdot \vec{e}_v)^2}} \right]. \quad (11)$$

Here,  $\vec{e}_v$  is a unit-norm real eigenvector of  $A$ , and  $\alpha_k = k\vec{r}_{ss} \cdot \vec{e}_v + \sqrt{1 - \|\vec{r}_{ss}\|^2 + (\vec{r}_{ss} \cdot \vec{e}_v)^2}$ . Note that because the Bloch vectors  $\vec{r}_+$  and  $\vec{r}_-$  have to be real, only those eigenvectors of  $A$  that are real yield to the solution. Any real matrix  $A$  is guaranteed to have at least one real eigenvector, and may have more than one.

### III. STATE STABILIZATION OF A QUBIT USING FEEDBACK CONTROL

In the preceding section we summarized how, conditioned on information obtained by monitoring the environment, the state of the system differs from the solution of the master equation (1). Nevertheless, on average, the evolution is unchanged from that master equation solution. However, there is a way to make the information obtained from monitoring affect the average state: by using feedback [8]. A typical objective of quantum feedback is to stabilize the system into a particular pure state (or close to pure state) [12,21–28].

Recently, a novel way to achieve this in a double quantum dot (DQD) system was proposed [14]. A DQD is an example of nonequilibrium quantum transport, with two coupled quantum dots connected to leads [29]. For the analysis in Ref. [14], Fig. 2, two assumptions were made to simplify the problem. First, a strong Coulomb blockade regime [30] guarantees that at any instant of time there would be *at most* one extra electron in the system. Second, in the high-bias limit ( $\mu_L \rightarrow \infty$ ,  $\mu_R \rightarrow -\infty$ ) [30–32], the resonant energy levels  $\epsilon_L$  and  $\epsilon_R$  lie within the window between the left and the right lead chemical potential so that the quantum transport becomes unidirectional, i.e., electrons can only enter the DQD from the left and leave it to the right. The former would imply that the state space of the physical system is spanned by three states. The first is the so-called null state  $|\emptyset\rangle \equiv |N_L, N_R\rangle$  where  $N_d$  is the base number of electrons in dot  $d \in \{R, L\}$ . The other two are called single-electron states:  $|L\rangle \equiv |N_L + 1, N_R\rangle$  and  $|R\rangle \equiv |N_L, N_R + 1\rangle$ , with an additional transport electron in the corresponding dot. Under these conditions the system

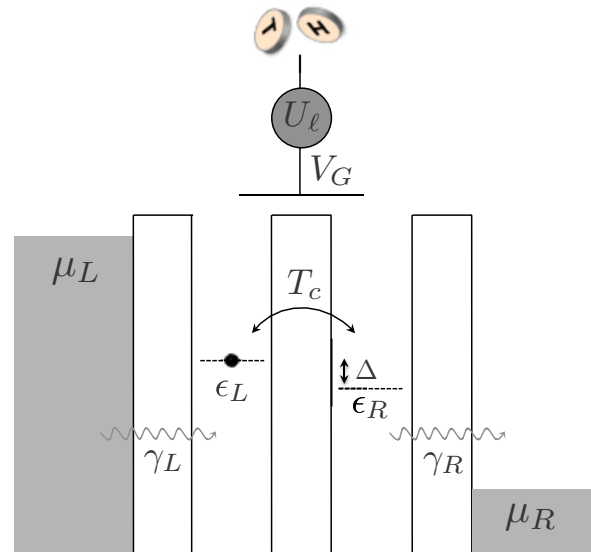


FIG. 2. Stochastic feedback control of a double quantum dot. Flow of one excess electron is monitored when it tunnels into the left dot at rate  $\gamma_L$  (e.g., by a QPC, not shown). A unitary control operation (which can be implemented for example with gate voltages  $V_G$ ) then rotates the state of the system into one of two (randomly chosen) desired states. Here  $T_c$  is the coupling strength between the dots,  $\Delta$  is the detuning,  $\gamma_R$  is the tunneling rate out of the right dot, and  $\mu_{L(R)}$  are chemical potentials of the leads.

undergoes the transitions  $|\emptyset\rangle \rightarrow |L\rangle$  and  $|R\rangle \rightarrow |\emptyset\rangle$  with tunneling rates  $\gamma_L$  and  $\gamma_R$ , respectively.

We can describe the evolution of the system using a Lindblad-form ME as expressed in Eq. (1); see Refs. [2,29]. The system Hamiltonian is

$$\hat{H} = \frac{1}{2}\Delta\hat{\sigma}_z + T_c\hat{\sigma}_x, \quad (12)$$

where  $\Delta \equiv \epsilon_L - \epsilon_R$  is detuning,  $T_c$  is the coupling constant between the two quantum dots,  $\hat{\sigma}_z = |L\rangle\langle L| - |R\rangle\langle R|$ , and  $\hat{\sigma}_x = |L\rangle\langle R| + |R\rangle\langle L|$ . In this work for the sake of simplicity we make the assumption that  $\Delta = 0$ . This means that an electron can be transferred between the two dots at no cost. The two Lindblad operators are

$$\hat{c}_1 = \sqrt{\gamma_L}|L\rangle\langle\emptyset|, \quad \hat{c}_2 = \sqrt{\gamma_R}|\emptyset\rangle\langle R|. \quad (13)$$

Substituting Eqs. (12) and (13) back into the non-Hermitian Hamiltonian Eq. (3) it is straightforward to show that in the eigenbasis it takes the form

$$\hat{H}' = -i\frac{\gamma_L}{2}|\emptyset\rangle\langle\emptyset| + \sum_{\ell=\pm} \varepsilon_\ell|\phi_\ell\rangle\langle\tilde{\phi}_\ell|, \quad (14)$$

where

$$|\phi_\ell\rangle = \frac{(i\gamma_R + \ell\kappa)|L\rangle + 4T_c|R\rangle}{\sqrt{16T_c^2 + |i\gamma_R + \ell\kappa|^2}}, \quad (15)$$

$$\varepsilon_\ell = -\frac{1}{4}(i\gamma_R + \ell\kappa), \quad \kappa = \sqrt{16T_c^2 - \gamma_R^2}. \quad (16)$$

Note that the right eigenvectors  $|\phi_\ell\rangle$  and the left eigenvectors  $\langle\tilde{\phi}_\ell|$  of  $\hat{H}'$  must be distinguished from each other since they are not, in general, adjoint of each other  $\langle\tilde{\phi}_\ell| \neq \langle\phi_\ell|$ . For the case we are studying here  $\langle\tilde{\phi}_\ell|$  is equal to the transpose of  $|\phi_\ell\rangle$  given by

$$\langle\tilde{\phi}_\ell| = \frac{(i\gamma_R + \ell\kappa)\langle L| + 4T_c\langle R|}{\sqrt{16T_c^2 + |i\gamma_R + \ell\kappa|^2}}. \quad (17)$$

Also, the null state has been separated from the other states of the non-Hermitian Hamiltonian due to the orthogonality condition where  $\langle\emptyset|\phi_\ell\rangle = 0$ . Note that the other states are not orthogonal  $\langle\phi_\ell|\phi_{-\ell}\rangle \neq 0$ .

In Ref. [14], the authors proposed modifying the above dynamics by introducing feedback control, as we now sketch. It is assumed that there is a measurement device, such as a quantum point contact (QPC) [33,34], in the vicinity of the junction of the left dot to its lead, which can detect single-electron tunneling through this junction. This allows access to the full counting statistics (FCS) [35,36] of single-electron quantum jumps. It is also assumed that the experimenter has the ability to feedback the signal obtained from the integrated detector very fast (effectively instantaneously) to change the state of the system. Immediately after a tunneling event, the state will be  $|L\rangle$ , as the following equation shows:

$$\mathcal{J}[\hat{c}_1]|\emptyset\rangle\langle\emptyset| = \gamma_L|L\rangle\langle L|. \quad (18)$$

The aim of the control is to rotate (in Hilbert space) this into the desired state vector of  $\hat{H}'$ , that is,  $|\phi_\ell\rangle$  [14].

The control operations can be experimentally implemented, e.g., with the gate voltages  $V_G$ , Fig. 2. That is, it is assumed that the following unitary operation can be performed effectively

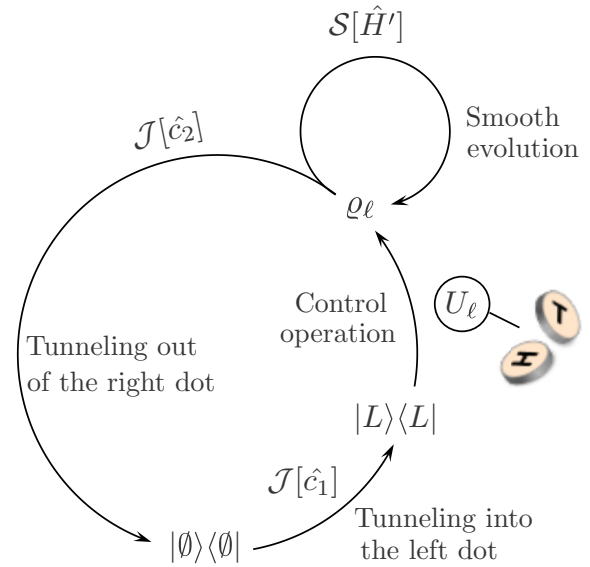


FIG. 3. A schematic representation of the state evolution of the DQD.

instantaneously:

$$\hat{U}_\ell|L\rangle = |\phi_\ell\rangle. \quad (19)$$

An explicit expression for the unitary operator is given in the Appendix. Note that the state  $\rho_\ell = |\phi_\ell\rangle\langle\phi_\ell|$  is the eigenoperator of the smooth evolution superoperator:

$$S[\hat{H}']\rho_\ell = 2\text{Im}(\varepsilon_\ell)\rho_\ell. \quad (20)$$

The system remains in this state as long as the no-jump dynamics continues. However, it will eventually jump back to the null state due to the electron tunneling to the right reservoir:

$$\mathcal{J}[\hat{c}_2]\rho_\ell = \gamma_R^\ell|\emptyset\rangle\langle\emptyset|, \quad (21)$$

where  $\gamma_R^\ell \equiv -2\text{Im}(\varepsilon_\ell)$ . In Fig. 3 we summarize this process in the form of a schematic diagram showing the evolution of the conditional state of the system under the control operation.

The evolution of the system can be described in the Hilbert space  $\mathcal{H}^3 \equiv \text{span}(|\emptyset\rangle, |\phi_-\rangle, |\phi_+\rangle)$ . This indicates that for a given fixed  $\ell$  (+ or -) the system is equivalent to a single resonant level with tunneling rates  $\gamma_L$  and  $\gamma_R^\ell$  as shown in Eqs. (18) and (21). The stationary state of the system is

$$\rho_{\text{ss}} = \frac{1}{2\gamma_L + \gamma_R^\ell}(\gamma_R^\ell|\emptyset\rangle\langle\emptyset| + 2\gamma_L\rho_\ell). \quad (22)$$

From this, and Eq. (16), it is apparent that under the condition  $\gamma_L \gg \gamma_R, T_c$ , the steady-state probability of finding the system in the null state becomes negligible [14]. From the viewpoint of the dynamics, in Fig. 3, the time the system spends in the state  $|\emptyset\rangle\langle\emptyset|$  in between  $\rho_\ell$  and  $|L\rangle\langle L|$  is negligible compared to the time it stays as  $\rho_\ell$ . (So is, as we have already assumed, the time it takes to perform the operation  $U_\ell$ .)

This implies that there is always one transport electron in the system and its state is simply given by  $\rho_\ell = |\phi_\ell\rangle\langle\phi_\ell|$ , depending on which  $U_\ell$  was last chosen. This  $|\phi_\ell\rangle$  is a pure superposition (15) of the single-electron states defined above.



#### IV. STOCHASTIC FEEDBACK CONTROL OF THE DOUBLE QUANTUM DOT

Implementing feedback control effectively changes the left jump operator:

$$\hat{c}_1 \rightarrow \hat{c}'_1 = \hat{U}_\ell \hat{c}_1 = \sqrt{\gamma_L} |\phi_\ell\rangle \langle \emptyset|. \quad (23)$$

This gives rise to modification of the ME to

$$\dot{\rho} = -i[\hat{H}, \rho] + (\mathcal{D}[\hat{c}'_1] + \mathcal{D}[\hat{c}_2])\rho. \quad (24)$$

State purification is achieved in the limit where the tunneling rate into the system is much greater than the tunneling rate out of it, as already mentioned. In this limit we can project out the null state, reducing our description of the system from  $D = 3$  Hilbert space to that of a qubit. In other words, in the limit where the null state decays much faster than the qubit system, the state  $\varrho$  of which can be spanned by  $\{|L\rangle, |R\rangle\}$ , one can adiabatically eliminate the null state. This means that Eq. (24) reduces to the following (see the Appendix for details):

$$\dot{\varrho} = -i[\hat{H}, \varrho] + \mathcal{D}[\hat{c}_\ell]\varrho, \quad (25)$$

where

$$\hat{c}_\ell = \sqrt{\gamma_R} \hat{U}_\ell |L\rangle \langle R| = \sqrt{\gamma_R} |\phi_\ell\rangle \langle R|. \quad (26)$$

In this case  $|\phi_\ell\rangle$  is still the eigenstate of the non-Hermitian Hamiltonian:

$$\hat{H}' = \hat{H} - \frac{i}{2} \hat{c}_\ell^\dagger \hat{c}_\ell = \varepsilon_\ell |\phi_\ell\rangle \langle \tilde{\phi}_\ell|. \quad (27)$$

From this it is apparent that the stationary state is given by  $\varrho_\ell$ .

The two possible states  $|\phi_\pm\rangle$  are obvious candidates for generating an interesting (nonorthogonal) TSE. However, to apply the theory presented in Sec. II, one would need a master equation with a nontrivial (rank  $> 1$ ) mixture as its steady state. Hence, our intention is not to purify the system into merely one of these states. However, we must not alternate between the two as this would imply non-Markovianity of the system: it requires the memory of the last chosen state for processing the next step. The solution, as shown in Fig. 3, is that prior to every control operation we toss a coin (figuratively), to determine which operation  $\hat{U}_\pm$  is applied to the system. This means that the system is memoryless and should have a description in terms of a Markovian master equation. If  $0 \leq \wp_\ell \leq 1$  is the probability of choosing  $\hat{U}_\ell$ , with  $\wp_- + \wp_+ = 1$ , the master equation is

$$\dot{\varrho} = -i[\hat{H}, \varrho] + \sum_{\ell=\pm} \wp_\ell \mathcal{D}[\hat{c}_\ell]\varrho, \quad (28)$$

and has the solution

$$\varrho_{ss} = \sum_{\ell=\pm} p_\ell \varrho_\ell. \quad (29)$$

Note that the probabilities  $p_\ell$  are not, in general, the same as the  $\wp_\ell$  in Eq. (28). We will determine  $p_\ell$  when we consider two different regimes of tunneling below.

From Eq. (28) one can work out and find the equations of motion in the Bloch representation as in Eq. (9). Details of matrices  $A$  and  $b$  are given in the Appendix. Therefore, after calculating the real eigenvectors of  $A$  and obtaining the steady state  $\dot{\vec{r}}_{ss} = 0$  we can plug them into Eqs. (10) and (11) to

construct corresponding TSEs. Since the resulting analytical expressions are too intricate to be usefully analyzed we present numerical outcomes. Depending on the ratio of the tunneling rate out of the DQD and the coupling constant, there are two different regimes which we call ‘‘coherent’’ and ‘‘incoherent’’ in the following.

##### A. Coherent tunneling ( $\gamma_R < 4T_c$ )

For the coherent-tunneling regime the steady state is oriented in the equatorial plane. This indicates that the system relaxes to a coherent superposition of single-electron states  $|L\rangle$  and  $|R\rangle$  where the transport electron occupies both quantum dots with some probabilities. The loci of the two states of the TSE also lie in the same plane. For the trivial case where  $\wp_- = 0$  and  $\wp_+ = 1$  or vice versa, the steady state reduces to  $\vec{r}_+$  and  $\vec{r}_-$ , respectively, the two eigenvectors of  $H'$  which can be represented as Bloch vectors by

$$\vec{r}_\ell = \left( -\ell \frac{\kappa}{4T_c}, -\frac{\gamma_R}{4T_c}, 0 \right), \quad \gamma_R < 4T_c. \quad (30)$$

The top row of Fig. 4 illustrates TSEs for different purification probabilities for this regime. Since matrix  $A$  has only one real eigenvector, therefore there is one TSE. A proportion  $p_\ell$  of time that the system spends in a particular state  $\varrho_\ell$  is shown by a little sphere at the end of each arrow. The red arrow shows the locus of the steady state that varies with values of  $\wp_\ell$ . It is noticeable that no matter what values these probabilities are assigned to, as long as  $\sum_\ell \wp_\ell = 1$ , they are equal to the corresponding  $p_\ell$ . In order to understand why this is the case we consider the lifetime of states in the right quantum dot given by

$$\tau_\ell = (\gamma_R \varrho_\ell^{RR})^{-1}, \quad (31)$$

where

$$\varrho_\ell^{RR} = \langle R | \varrho | R \rangle_\ell = \frac{1 - \langle \hat{\sigma}_z \rangle_\ell}{2}. \quad (32)$$

Since the states in Eq. (30) are on the equator we have  $\langle \hat{\sigma}_z \rangle_\pm = 0$ , and this further would result in an equal lifetime  $\tau_\ell = 2/\gamma_R$  for being in the right quantum dot for either of these two states. Hence, the choice of probability  $\wp_\ell$  for creating state  $\varrho_\ell$  simply determines the probability  $p_\ell$  of the system being in the state:

$$p_\ell = \wp_\ell. \quad (33)$$

##### B. Incoherent tunneling ( $\gamma_R > 4T_c$ )

For the transport electron tunneling incoherently more elaborate analysis is required as there are some subtleties. First of all  $\tilde{\kappa} = i\kappa$  replaces  $\kappa$  in Eq. (16) from which one can easily show that the Bloch vectors corresponding to Eq. (15) become

$$\vec{r}_\ell = \left( 0, -\frac{4T_c}{\gamma_R}, -\ell \frac{\tilde{\kappa}}{\gamma_R} \right), \quad \gamma_R > 4T_c. \quad (34)$$

In contrast to the coherent-tunneling regime, it turns out that, in addition to the TSE defined by Eq. (34), there are an infinite number of other TSEs. As we pointed out at the end of Sec. II, for any real eigenvector of the matrix  $A$  in Eq. (9) there is one TSE. In the regime being considered here, there

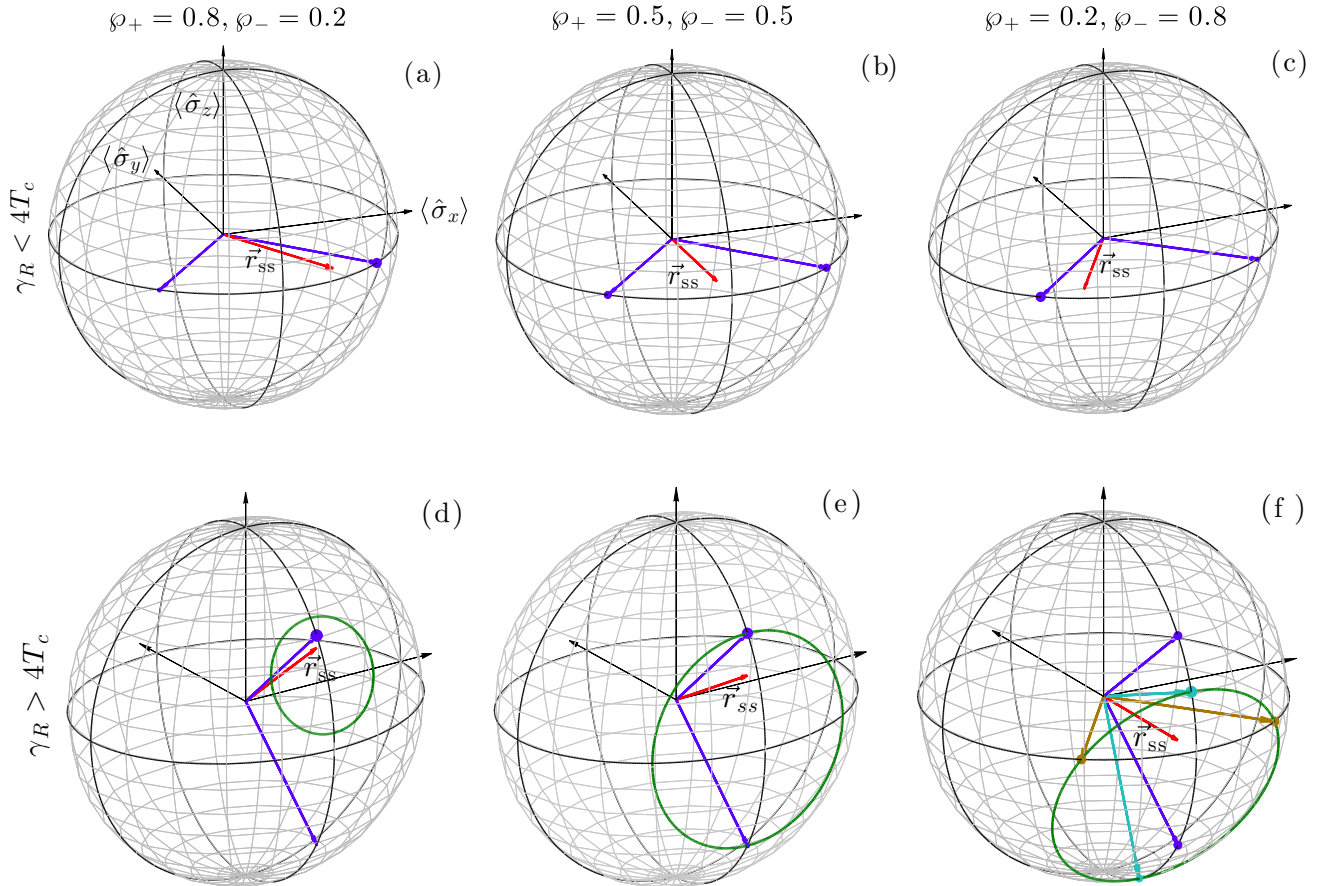


FIG. 4. Bloch representation of TSEs. The top row shows, for  $\gamma_R = 3, T_c = 1$ , Bloch vectors of two-state hopping for three different probabilities defining the stochastic feedback: (a)  $\wp_+ = 0.8, \wp_- = 0.2$ , (b)  $\wp_+ = 0.5, \wp_- = 0.5$ , and (c)  $\wp_+ = 0.2, \wp_- = 0.8$ . Blue arrows (dark arrows in the azimuthal plane) represent the state vectors of the TSE with associated probabilities  $p_\ell$  Eq. (11), indicated by the volume of the sphere at the tip of each vector. The steady state  $\vec{r}_{ss}$  is depicted by a red arrow. In this regime, the probabilities  $\wp_\ell$  are equal to the probabilities  $p_\ell$  that the system is found in a particular state of the TSE. The bottom row shows TSEs for  $\gamma_R = 5, T_c = 1$  for the same control probabilities as in the top row. Here, in contrast, in addition to the eigenvectors of the effective Hamiltonian  $\hat{H}'$ , shown by blue arrows (dark arrows in the polar plane), an infinite number of other two-state TSEs exist. The solid green circle depicts the locus of them. By comparing this circle in (d), (e), and (f) it is clear that increasing  $\wp_+$  shrinks its radius such that at  $\wp_+ = 1$  it eventually collapses to a single point. In (f) we plot the states of two other TSEs each corresponding to a real eigenvector of  $A$  shown in light brown (in the azimuthal plane) and cyan (dark arrows in the polar plane). In the bottom row,  $\wp_\ell$  and  $p_\ell$  are entirely different because the latter is a function of the lifetimes of states in the right dot, Eq. (35).

are at least three such eigenvectors so that each contributes towards formulating a TSE. In addition to the real eigenvector giving the states in Eq. (34), there are two other, degenerate, real eigenvectors of  $A$ . A real linear combination of these other two eigenvectors is also a real eigenvector of  $A$ . Hence, one can assign a TSE to any such linear combination. In Fig. 4(f) two such TSEs are shown in light brown (in the azimuthal plane) and cyan (light arrows in the polar plane), respectively. Since there is a one-parameter continuum of such linear combinations, here is a one-parameter family of TSEs. This forms the green circle on all the balls in the bottom row of Fig. 4. Note that these ensembles are not necessarily diametrically opposite points on the circle, nor are they equally weighted in general. Independently of which TSE is being considered, the weighted average of the two unit Bloch vectors is the same steady-state Bloch vector. For the special case of  $\wp_\ell = \wp_{-\ell} = 0.5$ , all three eigenvectors are degenerate. In this case, the stabilized states of Eq. (34) lie in the green circle as shown in Fig. 4(e). We note that the stabilized states of

Eq. (34), shown in blue arrows, are strictly independent of  $\wp$ , while it is evident that the locus of pure states on the ball from which the green circle is made up of does depend on  $\wp$ .

Another important point is that no longer are  $\{p_\ell\}$  equal to  $\{\wp_\ell\}$ . This is because the states in Eq. (34) would have different lifetimes in the right quantum dot  $\tau_\ell = 2/[\gamma_R + \ell\tilde{\kappa}]$ . That is, in contrast to the coherent-tunneling regime the probability  $p_\ell$  is dependent on  $\tau_\ell$  and can be expressed as

$$p_\ell = \frac{\wp_\ell \tau_\ell}{\sum_{j=\pm} \wp_j \tau_j}, \quad (35)$$

where the probability for preparing the  $\ell$ th state  $\wp_\ell$  is weighted by the relevant lifetime of the states in the right quantum dot. This is clearly evident from Figs. 4(e) and 4(f). Note that if the lifetimes were equal  $\tau_1 = \tau_2$ , this relation would reduce to Eq. (33) as expected.

At the critical parameter value,  $\gamma_R = 4T_c$ , the steady state is a pure state that the system ultimately relaxes into  $\vec{r}_{ss} = \vec{r}_\pm = (0, -1, 0)$ . It can be imagined that as one approaches

this setting from either side, for fixed chosen values of  $\wp_\ell$ 's, the Bloch vectors shown in blue in Fig. 4 start to get closer to each other and ultimately they become the same up to the point that the green circle shrinks to a point.

### C. Physical meaning of the other TSEs

We have already seen that there is a measurement and feedback control scheme to realize the ME given in Eq. (28) such that the conditional states of the system are described by Eq. (34). This raises the question, if this protocol does not realize the other infinite number of TSEs, then in what sense are they physically realizable? It may be that the hypothetical ensembles are in principle physically realizable but through the instantiation of the aforementioned ME, Eq. (28), they are not practically realizable. If one were to induce the evolution described by this ME in a completely different way, then the other TSEs might be realized in practice. In what follows we present our speculation on the possibility of realizing these ensembles in the system as described.

It is necessary to consider a grand system composed of two subsystems: the DQD and the whole measurement and feedback control process (MFCP) with state vectors  $|\phi_\ell\rangle$  and  $|\xi\rangle$ , respectively, in the spirit of autonomous (passive) feedback control [27,28]. One would have to imagine that the state of the grand system is in a pure entangled form as the coherent superposition of these state vectors

$$|\Psi\rangle \propto \sum_r |\phi_{\ell r}\rangle |\xi_r\rangle. \quad (36)$$

Here the measurement record  $r$  determines the state of the DQD. This implies that the entire MFCP itself is being treated as a quantum system which could be monitored. However, an important point is that if this monitoring reveals the record  $r$  used in the feedback loop, then the system would simply collapse into one of the two states  $|\phi_\pm\rangle$  given in Eq. (34). It is conceivable that it would be possible to obtain the other ensembles in Sec. IV B by employing a quantum eraser method [37,38] for the whole MFCP. However, when it comes to consider the fermionic systems, the superselection rules (SSRs) also should be taken into account as additional constraints [39]. For instance, the transformation in Eq. (6) does not hold for fermionic systems; fermionic fields cannot have a nonzero mean value, unlike bosonic fields. Thus, we tend towards a negative opinion on the possibility of realizing these other ensembles of Sec. IV B in the controlled quantum dot system.

## V. COUNTING STATISTICS OF QUANTUM TRANSPORT

Just as the mean current through the DQD gives information about the dynamics of the system, its fluctuation, variance, and maybe higher order cumulants provide yet further information. One can determine these from the probability distribution,  $P(n,t) = \text{Tr}[\varrho^{(n)}(t)]$ . Here  $P(n,t)$  is the probability that  $n$  electrons tunnel into the right lead in time  $t$  (assuming that transport is unidirectional from the source to the drain), and  $\varrho^{(n)}(t)$  represents the system state matrix at time  $t$  with  $n$  quantum jumps from which electrons have been transferred to the drain. This process of electron counting may be realized

experimentally, e.g., by using a QPC as a detection apparatus. It is easy to show that using the microscopic field-detector theory for a state with  $n$  excitations the  $n$ -resolved form of the ME given in Eq. (2), where  $\varrho(t) = \sum_{n=0}^{\infty} \varrho^{(n)}(t)$ , for the DQD with feedback control is the following [35]:

$$\dot{\varrho}^{(n)} = \mathcal{S}\varrho^{(n)} + \sum_{\ell=\pm} \wp_\ell \mathcal{J}[\hat{c}_\ell] \varrho^{(n-1)}. \quad (37)$$

Since this equation can be solved in  $n$  space only for a finite  $n$  which subsequently requires diagonalization of a tridiagonal matrix, it is thus more convenient to use the Fourier transform  $\varrho(\chi) = \sum_n e^{in\chi} \varrho^{(n)}$  with the *counting field*  $\chi$  as the conjugate variable of  $n$ . Then Eq. (37) in  $\chi$  space becomes

$$\dot{\varrho}(\chi) = \left( \mathcal{S} + \sum_{\ell=\pm} \wp_\ell \mathcal{J}[\hat{c}_\ell] e^{i\chi} \right) \varrho(\chi) \equiv \mathcal{L}(\chi) \varrho(\chi). \quad (38)$$

The cumulant generating function  $\mathcal{F}(\chi,t)$  is defined as [35]

$$e^{\mathcal{F}(\chi,t)} = \sum_{N=0}^{\infty} P(N,t) e^{in\chi}, \quad (39)$$

where by the definitions of the Fourier transform and the probability distribution mentioned above it is transformed into

$$\mathcal{F}(\chi,t) = \ln\{\text{Tr}[e^{\mathcal{L}(\chi)(t-t_0)} \varrho(t_0)]\}. \quad (40)$$

Since in the long-time limit  $t \rightarrow \infty$  the system is essentially in the steady state, it is thus possible to use the eigendecomposition of  $\mathcal{L}(\chi)$  to further simplify Eq. (40) to

$$\mathcal{F}(\chi,t) = \lambda_0(\chi)t + \text{constant}, \quad (41)$$

where  $\lambda_0$  is the largest eigenvalue of the spectrum of  $\mathcal{L}(\chi)$ . Therefore, the  $n$ th-order zero-frequency current correlation is given by

$$\begin{aligned} \langle S^{(n)} \rangle &= \frac{d}{dt} \frac{\partial^n}{\partial (i\chi)^n} \mathcal{F}(\chi,t) \Big|_{\chi=0, t \rightarrow \infty} \\ &= \frac{\partial^n}{\partial (i\chi)^n} \lambda_0(\chi) \Big|_{\chi=0}. \end{aligned} \quad (42)$$

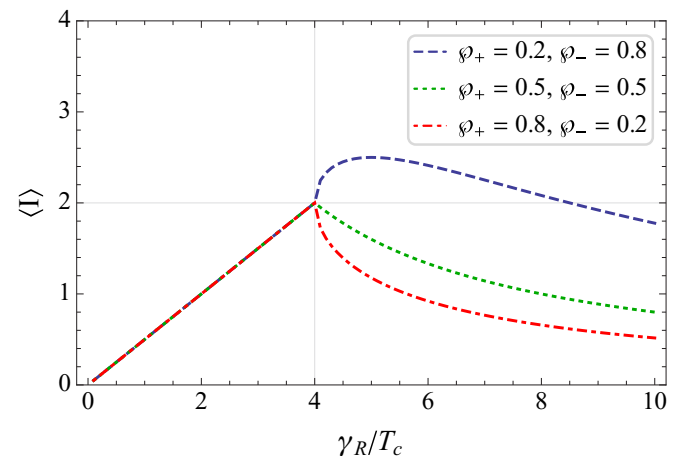


FIG. 5. Shown are the values of the mean current  $\langle S^{(1)} \rangle = \langle I \rangle$  as a function of  $\gamma_R/T_c$  for the set of control probabilities. It can be seen that the incoherent-tunneling regime has a completely different profile.

The first-order current correlation  $\langle S^{(1)} \rangle = \langle I \rangle$  is just the mean current, depicted in Fig. 5. The two tunneling regimes have distinct signatures. The dc currents have the same linear profile for the coherent-tunneling case, but they start to diverge from each other once the system is no longer in this regime. Even more striking differences are observed in the higher order current correlation functions. It is convenient to

normalize these to the current to obtain the zero-frequency Fano factor [35]

$$F^{(n)} = \frac{\langle S^{(n)} \rangle}{\langle S^{(1)} \rangle^n}. \quad (43)$$

In Fig. 6 we plot the Fano factor up to order  $n = 4$  as a function of  $\gamma_R/T_c$  to study both coherent and incoherent tunneling regimes. For each regime a different set of control parameters ( $\theta, \vartheta_c$ ) is chosen; see the Appendix for details. It is evident that assigning different values to the probabilities  $\wp_{\pm}$  in Eq. (38) does not affect the statistics of the current when the system is in the coherent-tunneling case,  $\gamma_R \leq 4T_c$ . In fact, the Fano factor remains unchanged at  $F^{(n)} = 1$  revealing the Poissonian behavior of the system (left panel of Fig. 6). However, as  $\gamma_R$  exceeds  $4T_c$ , that is, in an incoherent-tunneling regime, Fano factors start to deviate from Poisson statistics (right panel of Fig. 6). Again, this behavior can be rooted in the fact that the lifetimes of the two states are different, Eq. (35). Note that for the trivial case where the feedback control is not stochastic, that is,  $\wp_+ = 1$  and  $\wp_- = 0$ , or vice versa, all Fano factors become unity. As expected, this is in complete agreement with the results of Ref. [14] where the system is merely stabilized into one of the two feasible states.

## VI. CONCLUSION

In summary, we have shown that using a particular stochastic feedback control scheme for a double quantum dot, it is possible to engineer Markovian open quantum system dynamics such that the conditioned state of the single-electron qubit is (essentially) always one of two nonorthogonal pure states. Here “conditioned” means conditioned on the measurement signal derived from the tunneling into or out of the system and used in the control loop. Previous schemes to realize conditionally such a nonorthogonal finite ensemble of pure states [10,40] relied upon an adaptive measurement. Adaptive measurement is a distinct type of control from feedback control in that the unconditioned state of the system is unaffected by the control in the former case, but is affected in the latter. In the context of quantum optics, a suitable adaptive measurement can be performed by controlling the amplitude of a weak local oscillator to the system output field [41,42].

Because of the complexity of performing adaptive measurements, and the inefficiency of photon collection and detection, no such adaptive measurement experiment to realize a nonorthogonal pure-state ensemble has been realized. In contrast, for the solid-state feedback scheme we have considered here, one conditions on the detections that are natural for the system. Another factor that may motivate one to implement this scheme is the access to a sensitive electrometer such as a QPC which can be highly efficient in detecting quantum jumps [43]. Thus the system we have studied is a promising candidate for practically approximating an interesting (nonorthogonal) highly pure TSE.

The double-dot system has two regimes, depending on the strength of the interdot tunneling to the rate of tunneling out of the system. The incoherent (weak) tunneling regime system has dynamics distinct compared to the case of the coherent (strong) tunneling regime. This transition corresponds to an exceptional point [44,45] of the non-Hermitian Hamiltonian

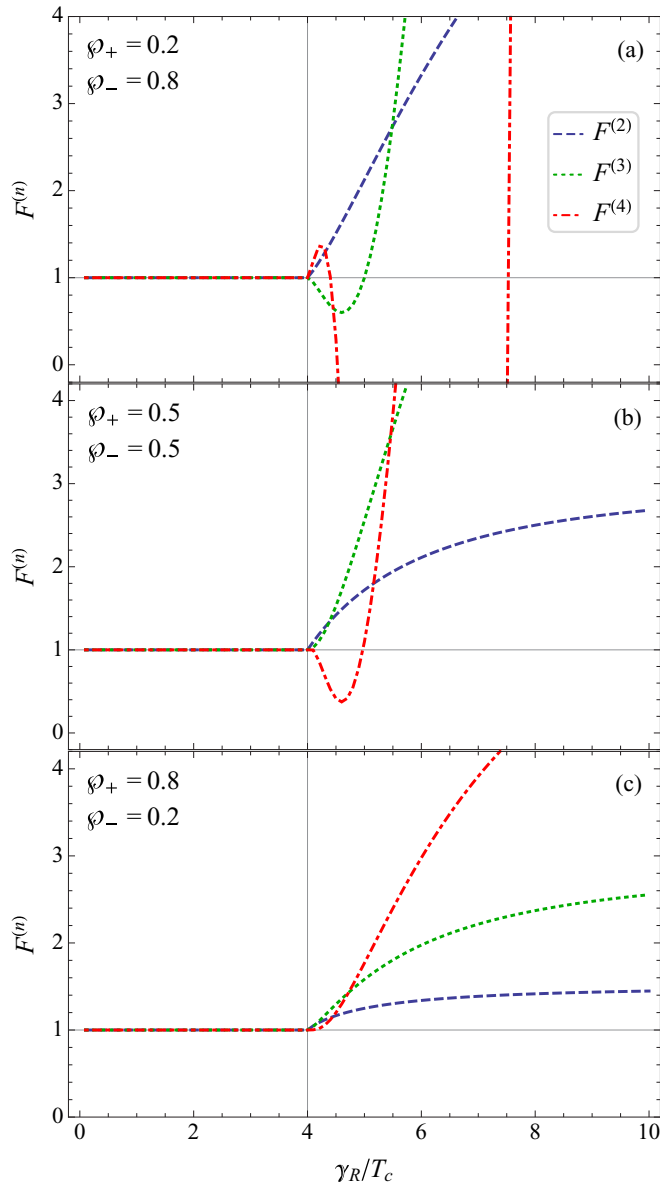


FIG. 6. The value of Fano factors  $F^{(n)}$ , Eq. (43), for the DQD versus the ratio of tunneling rates  $\gamma_R/T_c$ :  $n = 2$  (dark blue dashed),  $n = 3$  (green dotted), and  $n = 4$  (red dashed-dotted). Three different sets of probabilities are shown (a)  $\wp_+ = 0.2, \wp_- = 0.8$ , (b)  $\wp_+ = 0.5, \wp_- = 0.5$ , and (c)  $\wp_+ = 0.8, \wp_- = 0.2$ . As long as  $\gamma_R \leq 4T_c$  all Fano factors are equal to 1 no matter what value is assigned to  $\wp_{\pm}$ . This corresponds to the Poissonian statistics of the current which is independent of the order of the current correlation. In contrast, as  $\gamma_R$  becomes larger than  $4T_c$  Fano factors depend on the probabilities  $\wp_{\pm}$  to the extent that  $F^{(n)} = 1$  holds if and only if  $\wp_+ = 1$  and  $\wp_- = 0$ , or vice versa.



$\hat{H}'$ , Eq. (13), and thus bears some analogy with simple models of quantum bifurcations or phase transitions. Physically, this is a manifestation of the spectral line effect discovered by Dicke in 1953 [46]. The combination of feedback with a stochastic choice of the control operation provides a tool to probe this transition via current fluctuations: First, the full counting statistics analysis demonstrates that as long as the control operation chooses randomly between the states of the TSE, the system statistics shows nonPoissonian behavior. Second, due to the fact the two nonorthogonal states have different lifetimes, the occupation probabilities  $p_\ell$  are not set purely by the probabilities  $\wp_\ell$  in the stochastic control. And finally, infinitely many two-element nonorthogonal pure-state ensembles exist that the system could, in principle, be purified to. However, the feedback control scheme just realizes one. That is, even though all of them are in principle physically realizable only one is practically realizable by the feedback loop.

There remains some future work to be done for addressing some of the practical issues that would happen in a real experiment. These may include efficiency, time delays in the feedback loop, how fast the control operation could be performed, how to implement the control unitary, and what imperfections could arise in this. From the fundamental side, there is an open question to be explored which addresses the last point mentioned above: is there any physical way to realize the ensembles that are theoretically possible but which do not arise from the feedback loop we construct? This issue might be connected to an autonomous formulation of the stochastic feedback loop, including a better understanding of the thermodynamics of this form of control [47].

#### ACKNOWLEDGMENT

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#### APPENDIX

##### 1. Adiabatic elimination of the null state

In the limit in which the damping rate of the null state is much quicker than the decay rate of the qubit system, the null state can be projected out by employing an adiabatic elimination technique [48]. In the following we concisely describe how this is done. The state of the qutrit system can be expressed as

$$\rho = \varrho + \delta|\emptyset\rangle\langle\emptyset|, \quad (\text{A1})$$

where  $\varrho$  is spanned by  $\{|L\rangle, |R\rangle\}$  in the qubit subspace and  $\delta = O(\gamma_R/\gamma_L)$ . It is easy to show that the evolution of  $\delta$  is obtained according to

$$\begin{aligned} \dot{\delta} &= \langle\emptyset|\dot{\rho}|\emptyset\rangle \\ &= -\gamma_L\delta + \gamma_R\langle R|\varrho|R\rangle, \end{aligned} \quad (\text{A2})$$

where we have used Eq. (24). In the limit of  $\gamma_L \gg \gamma_R$  where the decay rate of the null state is much faster than the rate at which the qubit system damps, one can employ the adiabatic

elimination which yields to

$$\delta \approx \frac{\gamma_R}{\gamma_L} \langle R|\varrho|R\rangle. \quad (\text{A3})$$

The dynamics of the qubit state is also obtained by

$$\dot{\varrho} = \hat{\Pi}_{\text{qb}} \dot{\rho} \hat{\Pi}_{\text{qb}} \quad (\text{A4})$$

with  $\hat{\Pi}_{\text{qb}} = |L\rangle\langle L| + |R\rangle\langle R|$  being the projector to the qubit subspace. Thus, using Eqs. (24) and (A3) it is straightforward to show that Eq. (A4) is transformed into

$$\begin{aligned} \dot{\varrho} &= -i[H, \varrho] + \gamma_R |\phi_\ell\rangle\langle\phi_\ell| \langle R|\varrho|R\rangle \\ &\quad - \frac{\gamma_R}{2} (|R\rangle\langle R|\varrho + \varrho|R\rangle\langle R|) \\ &= -i[H, \varrho] + \mathcal{D}[\hat{c}_\ell]\varrho. \end{aligned} \quad (\text{A5})$$

##### 2. Bloch equations and control parameters

Given the ME in Eq. (28) matrices  $A$  and  $b$  of the equation of motion read

$$A_{11} = -2\gamma_R \sum_{\ell=\pm} \wp_\ell (|u_y^\ell|^2 + |u_z^\ell|^2), \quad (\text{A6})$$

$$\begin{aligned} A_{12} &= \gamma_R \sum_{\ell=\pm} \wp_\ell [(u_x^\ell u_y^{\ell*} + u_x^{\ell*} u_y^\ell) \\ &\quad - i(u_z^\ell w^{\ell*} - u_z^{\ell*} w^\ell)] - \Delta, \end{aligned} \quad (\text{A7})$$

$$\begin{aligned} A_{13} &= \gamma_R \sum_{\ell=\pm} \wp_\ell [(u_x^\ell u_z^{\ell*} + u_x^{\ell*} u_z^\ell) \\ &\quad + i(u_y^\ell w^{\ell*} - u_y^{\ell*} w^\ell)], \end{aligned} \quad (\text{A8})$$

$$\begin{aligned} A_{21} &= \gamma_R \sum_{\ell=\pm} \wp_\ell [(u_x^\ell u_y^{\ell*} + u_x^{\ell*} u_y^\ell) \\ &\quad + i(u_z^\ell w^{\ell*} - u_z^{\ell*} w^\ell)] + \Delta, \end{aligned} \quad (\text{A9})$$

$$A_{22} = -2\gamma_R \sum_{\ell=\pm} \wp_\ell (|u_x^\ell|^2 + |u_z^\ell|^2), \quad (\text{A10})$$

$$\begin{aligned} A_{23} &= \gamma_R \sum_{\ell=\pm} \wp_\ell (u_y^\ell u_z^{\ell*} + u_y^{\ell*} u_z^\ell) \\ &\quad - i(u_x^\ell w^{\ell*} - u_x^{\ell*} w^\ell)] - 2T_c, \end{aligned} \quad (\text{A11})$$

$$\begin{aligned} A_{31} &= \gamma_R \sum_{\ell=\pm} \wp_\ell [(u_x^\ell u_z^{\ell*} + u_x^{\ell*} u_z^\ell) \\ &\quad - i(u_y^\ell w^{\ell*} - u_y^{\ell*} w^\ell)], \end{aligned} \quad (\text{A12})$$

$$\begin{aligned} A_{32} &= \gamma_R \sum_{\ell=\pm} \wp_\ell (u_y^\ell u_z^{\ell*} + u_y^{\ell*} u_z^\ell) \\ &\quad + i(u_x^\ell w^{\ell*} - u_x^{\ell*} w^\ell)] + 2T_c, \end{aligned} \quad (\text{A13})$$

$$A_{33} = -2\gamma_R \sum_{\ell=\pm} \wp_\ell (|u_x^\ell|^2 + |u_y^\ell|^2), \quad (\text{A14})$$

and

$$b_1 = i 2\gamma_R \sum_{\ell=\pm} \wp_{\ell}(u_y^{\ell} u_z^{\ell*} - u_y^{\ell*} u_z^{\ell}), \quad (\text{A15})$$

$$b_2 = i 2\gamma_R \sum_{\ell=\pm} \wp_{\ell}(u_z^{\ell} u_x^{\ell*} - u_z^{\ell*} u_x^{\ell}), \quad (\text{A16})$$

$$b_3 = i 2\gamma_R \sum_{\ell=\pm} \wp_{\ell}(u_x^{\ell} u_y^{\ell*} - u_x^{\ell*} u_y^{\ell}). \quad (\text{A17})$$

The complex 4-vector  $\underline{u}^{\ell} = (u_x^{\ell}, u_y^{\ell}, u_z^{\ell}, w^{\ell})$  has the following components:

$$u_x^{\ell} = \frac{1}{2} [\cos(\vartheta_c^{\ell}/2) - i \sin(\vartheta_c^{\ell}/2) \cos(\theta^{\ell})], \quad (\text{A18})$$

$$u_y^{\ell} = i u_x^{\ell}, \quad (\text{A19})$$

$$u_z^{\ell} = \frac{i}{2} \sin(\vartheta_c^{\ell}/2) \sin(\theta^{\ell}), \quad (\text{A20})$$

$$w^{\ell} = -u_z^{\ell}. \quad (\text{A21})$$

See below for the definition of  $\theta^{\ell}$  and  $\vartheta_c^{\ell}$  separately for each tunneling regime. The control operator then can be expressed

as a function of these parameters,

$$\hat{U}_{\ell} = e^{-i\vartheta_c^{\ell} \vec{n}_{\ell} \cdot \vec{\sigma}/2}, \quad (\text{A22})$$

which rotates a state around the unit vector  $\vec{n}_{\ell} = (\sin\theta^{\ell}, 0, \cos\theta^{\ell})$  by  $\vartheta_c^{\ell}$ . Note that  $\vartheta_c$  in this paper is twice the control parameter  $\theta_c$  in Ref. [14].

For the coherent-tunneling regime where  $\gamma_R < 4T_c$  the control parameters are given by

$$\theta^{\ell} = \arccos\left(\frac{\ell \kappa}{\sqrt{32T_c^2 - \gamma_R^2}}\right), \quad (\text{A23})$$

$$\vartheta_c^{\ell} = 2 \arccos\left(\frac{\gamma_R}{\sqrt{32T_c^2}}\right), \quad (\text{A24})$$

and for  $\gamma_R > 4T_c$  where an electron tunnels incoherently they are given by

$$\theta^{\ell} = \pi/2, \quad (\text{A25})$$

$$\vartheta_c^{\ell} = 2 \arctan\left(\frac{4T_c}{(\gamma_R + \ell \bar{\kappa})}\right). \quad (\text{A26})$$

For the case where  $\gamma_R = 4T_c$  they are equal to  $\theta^{\ell} = \vartheta_c^{\ell} = \pi/2$ .

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