

# First-principles equation of state calculations of warm dense nitrogen

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Using path integral Monte Carlo (PIMC) and density functional molecular dynamics (DFT-MD) simulation methods, we compute a coherent equation of state (EOS) of nitrogen that spans the liquid, warm dense matter (WDM), and plasma regimes. Simulations cover a wide range of density-temperature space,  $1.5\text{--}13.9\text{ gcm}^{-3}$  and  $10^3\text{--}10^9\text{ K}$ . In the molecular dissociation regime, we extend the pressure-temperature phase diagram beyond previous studies, providing dissociation and Hugoniot curves in good agreement with experiments and previous DFT-MD work. Analysis of pair-correlation functions and the electronic density of states in the WDM regime reveals an evolving plasma structure and ionization process that is driven by temperature and pressure. Our Hugoniot curves display a sharp change in slope in the dissociation regime and feature two compression maxima as the K and L shells are ionized in the WDM regime, which have some significant differences from the predictions of plasma models.

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## I. INTRODUCTION

Nitrogen is a prototypical molecular system known for its large cosmological abundance, ability to form numerous chemical compounds, and its interesting solid, liquid, and electronic phase transitions at high pressures and temperatures [1,2]. Nitrogen can be found over a wide range of physical and chemical conditions throughout the universe, ranging from low densities in interstellar space [3] to extreme densities in stellar cores [4], and it plays important roles in planetary atmospheres [5] and interiors of ice giant planets [6]. In the condensed matter regime, nitrogen is capable of forming a wide variety of triple-, double-, and single-bonded compounds, which makes it of interest to geological and energy sciences. At higher densities and temperatures, nitrogen exists as a molecular fluid that undergoes a pressure-induced dissociation transition to polymeric and atomic fluids of interest in planetary science [7–9]. In the warm dense matter (WDM) regime, nitrogen exists in partially ionized plasma states, which are of fundamental interest to shock physics and astrophysics communities. An accurate understanding of the equation of state (EOS) in these regimes is important for determining the thermodynamic properties of the various nitrogen phases and their implications for science and technology.

At ambient conditions, nitrogen exists as a diatomic gas comprised of strong, triply-bonded dimers. At low  $T$ , nitrogen forms a molecular solid that undergoes a series of solid phase transitions with increasing pressure (see Fig. 1), which have been identified by a number of static compression experiments [10–15]. Around 50–70 GPa, density functional molecular dynamics (DFT-MD) simulations first predicted [16–21] the triple bond would destabilize to form various lower-energy, nonmolecular (possibly amorphous), polymeric phases composed of double- or single-bonded atoms, such as cubic gauche [18]. Later, static compression experiments confirmed the transition to nonmolecular phases [14,15,22–30]. The most extreme static compression experiments thus far

have measured the equation of state up to a pressure of 270 GPa [24] and temperatures ranging up to 2000 K [15]. First-principles simulations [18,20,21,31–39] have predicted solid molecular and nonmolecular phases up to pressures as high as 400 GPa [20]. However, first-principles predictions do not agree with experiments on what high pressure phases are stable at  $T = 0\text{ K}$  (Fig. 1), which continues to make solid nitrogen an interesting test case for improved experimental and theoretical methods.

While the solid phases have been intensely studied, the liquid, and, particularly the WDM and plasma states, have been investigated to a lesser extent. In this paper, we focus on extending the studies of the EOS of liquid, WDM, and plasma states of nitrogen (Fig. 2). Several experimental measurements of dense, liquid nitrogen states have been performed using dynamic shock compression experiments [7,40–47], with the most extreme ones reaching up to a pressure of 180 GPa [47] and a temperature of 14 000 K [7]. The main focus of these experiments was to understand the shock-induced dissociation of molecular nitrogen at 30–80 GPa on the Hugoniot curve, as reviewed by Ross [1] and Nellis [2]. Nitrogen is also particularly interesting among the diatomic molecules because it exhibits unexpected phenomena, such as reflected-shock-induced cooling, where the dissociation to a polymeric fluid gives rise to a region of the phase diagram with  $(\partial P/\partial T)_V < 0$  (Fig. 1). In this paper, we revisit the dissociation curve and connect the liquid EOS to the WDM and plasma regimes.

Theoretical studies of shock-induced dissociation of dense, fluid nitrogen have been performed with a variety of approaches. A number of semiempirical techniques have been employed, such as fluid variational theory [8,44,48–51], molecular dynamics [52], chemical equilibrium models [53–55], Monte Carlo [56], and integral equation theory [57]. First-principles DFT-MD has also been used to study shocked, fluid states [58–60]. The semiempirical and DFT-MD studies have been successful in predicting the principal Hugoniot curve and doubly shocked cooling within the dense, fluid dissociation regime (up to 110 GPa and 20 600 K) [58], in good agreement with the experimental measurements. In

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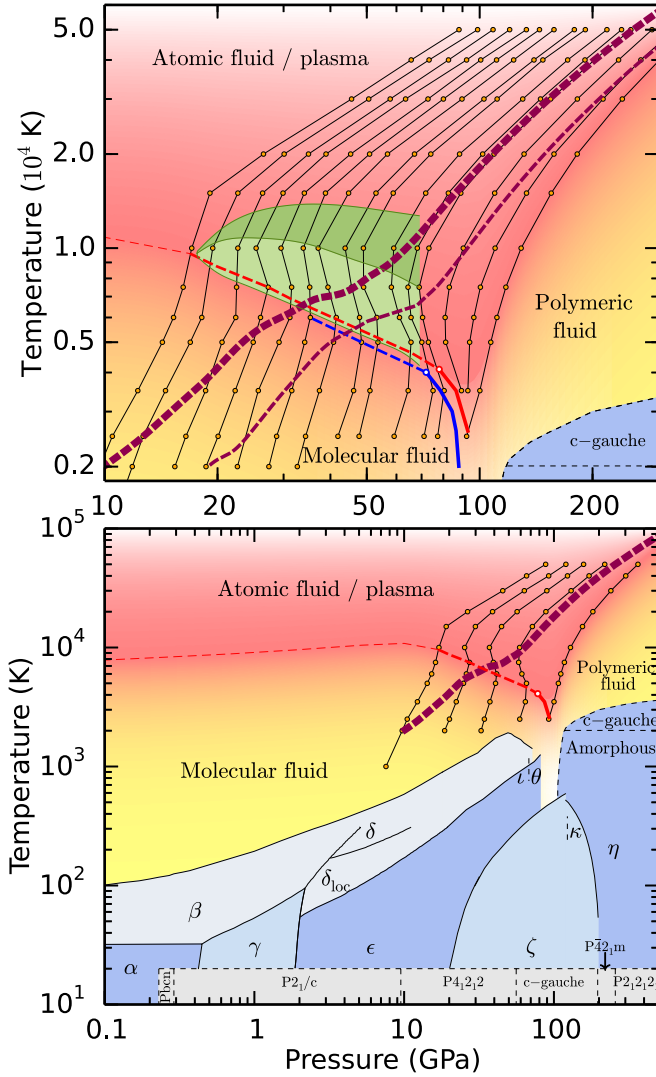


FIG. 1. Pressure-temperature phase diagram of nitrogen. The lower panel displays solid phases, molecular, polymeric, and atomic fluid phases, and the plasma regime. Phases well characterized by experiments are outlined with solid black lines, while others are outlined with a dashed line. Circles represent a subset of our DFT-MD isochore data used to compute the Hugoniot (thick, short-dashed curve) and dissociation curves. The latter changes from a dashed to solid curve to indicate the change to a first order liquid-liquid transition region. The upper panel is a magnified view of the molecular dissociation region, showing a larger subset of our DFT-MD calculations. The thick and thin dashed curves are our predicted Hugoniot curves for two different initial densities of 0.808 and 1.035 g cm<sup>-3</sup>, respectively. Here, we also compare our dissociation curve with previous DFT-MD simulations by Boates *et al.* [9] (blue line). The green shaded area marks the region from the onset of dissociation, where the isochores begin to show that  $(\partial P/\partial T)_V < 0$ , to the point at which pressure returns to its value before the onset of dissociation.

addition, Ross and Rogers [8] have used the activity expansion method (ACTEX) [61] to compute the Hugoniot curve in the plasma regime. ACTEX is a semianalytic plasma model parameterized by spectroscopic data and is based on the grand partition function for a Coulomb gas of ions and electrons.

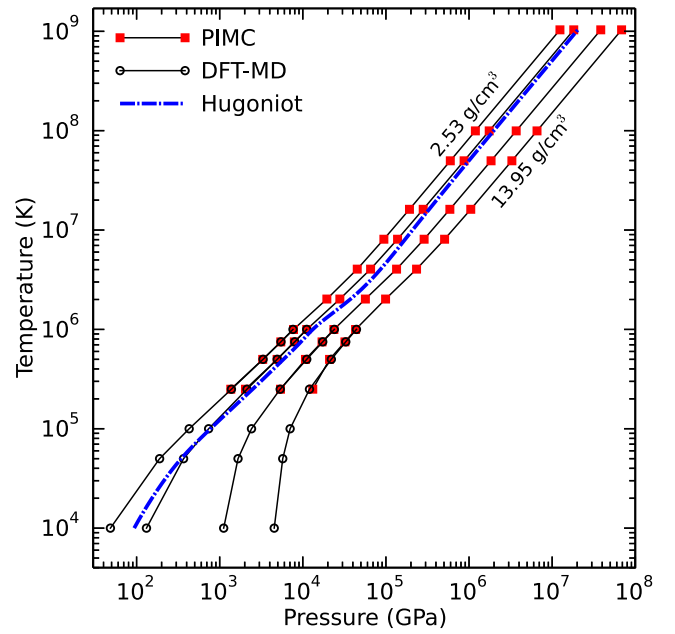


FIG. 2. Temperature-pressure isochores computed with DFT-MD (circles) and PIMC (squares) at densities of 2.5, 3.7, 7.8, and 13.9 g cm<sup>-3</sup>. The blue dash-dotted line shows the Hugoniot curve for an initial density of  $\rho_0 = 1.035$  g cm<sup>-3</sup>.

It has been successful at predicting plasma properties in the weak-to-moderate coupling regime [62]. The ACTEX model identifies a Hugoniot curve compression maximum associated with K shell (1s) ionization, which will be discussed in more detail in Sec. VI.

DFT-MD has provided the most accurate description of liquid and warm dense states of nitrogen up to moderate temperatures ( $\sim 10^5$  K). However, for higher temperature applications, such as astrophysical modeling and exploring pathways to fusion, a first-principles method that extends the EOS across the entire high energy density physics regime, bridging the liquid, WDM, and plasma regimes, is still needed. PIMC is one of the most promising first-principle methods to extend our study beyond the scope of DFT-MD because it is based on a quantum statistical many-body framework that naturally incorporates temperature effects and, in addition, becomes more efficient at higher temperatures. Building on earlier simulations of hydrogen [63–68] and helium [69–71], we have been extending the PIMC methodology for WDM composed of increasingly heavy elements [70,72–76]. Here, we apply our PIMC and DFT-MD simulations to liquid and WDM states of nitrogen over a much wider density-temperature range (1.5–13.9 g cm<sup>-3</sup> and  $10^3$ – $10^9$  K, see Figs. 1 and 2) than has been previously explored with DFT-MD alone.

The paper is organized as follows: In Sec. II, we describe PIMC and DFT-MD simulation methods for liquid and WDM regimes. In Sec. III, we first discuss the DFT-MD calculations of the liquid EOS, its dissociation transition, and present an updated phase diagram. We then extend the liquid EOS into the WDM and plasma regimes and show that DFT-MD and PIMC produce consistent results for intermediate temperatures. In Sec. IV, we characterize the structure of the plasma and ionization processes by examining changes in different

pair-correlation functions as a function of temperature and density. In Sec. V, we discuss the electronic density of states to provide further insight into the ionization process. In Sec. VI, we discuss shock Hugoniot curves. Finally, in Sec. VII, we summarize our findings.

## II. SIMULATION METHODS

PIMC [77–79] is a state-of-the-art first-principles method for computing the properties of interacting quantum systems at finite temperature. Since PIMC is based on the thermal density matrix formalism, it naturally incorporates temperature into the framework. The density matrix is expressed in terms of Feynman’s imaginary time path integrals, which are evaluated by efficient Monte Carlo techniques, treating electrons and nuclei equally as quantum paths that evolve in imaginary time without invoking the Born-Oppenheimer approximation. Therefore, PIMC is able to explicitly treat all the effects of bonding, ionization, exchange-correlation, and quantum degeneracy in a many-body framework that simultaneously occurs in the WDM regime [80]. The Coulomb interaction is incorporated via pair density matrices derived from the eigenstates of the two-body Coulomb problem [81,82]. The efficiency of PIMC increases with temperature as particles behave more classically at higher temperatures and fewer time slices are needed to describe quantum mechanical many-body correlations.

PIMC requires a minimal number of controlled approximations, which are minimized by converging the time step and system size. We determined the necessary time step by converging total energy until it changed by less than 1.0%. We use a time step of  $1/256 \text{ Ha}^{-1}$  for temperatures below  $4 \times 10^6 \text{ K}$ . For higher temperatures, we decreased the time step as  $1/T$ . In order to study finite size errors, we perform simulations with 8 and 24 atoms in cubic simulation cells and found that the total energy differed by 0.4% or less [75]. All results for the internal energy and pressure that we report have statistical errors of 0.3% or less.

The only uncontrolled approximation in PIMC is the fixed-node approximation that is introduced to avoid the fermion sign problem [77]. We employ a free-particle nodal structure, which we have shown to work reliably for partially ionized hydrogen [67], helium [70], carbon [72], water [72], oxygen [74], and neon [75]. Free-particle nodes work well as long as only a small number of bound electronic states are occupied. For plasmas of first-row elements, we have found that free particle nodes yield good results for conditions where the  $1s$  states are fully occupied and the  $2s$  states are partially occupied [72]. Lower temperature conditions can be studied efficiently with DFT-MD.

DFT-MD [84] is an efficient, state-of-the-art, first-principles method for zero and low temperatures ( $T < 1 \times 10^6 \text{ K}$ ). DFT formalism provides a mapping of the many-body problem onto a single-particle problem with an approximate exchange-correlation potential to describe many-body effects. In the WDM regime, where temperatures are at or above the Fermi temperature, the exchange-correlation functional is not explicitly designed to accurately describe the electronic excitations [85]. However, in our previous PIMC and DFT-MD

work [72], we found existing DFT functionals to be sufficiently accurate even at high temperatures.

DFT incorporates effects of finite electronic temperature by using a Fermi-Dirac function to smear out the thermal occupation of single-particle electronic states [86]. As temperature grows large, an increasing number of bands are required to account for the occupation of excited states in the continuum, which typically causes the efficiency of the algorithm to become intractable at temperatures beyond  $1 \times 10^6 \text{ K}$ . In addition, pseudopotentials replace the core electrons in each atom to improve efficiency. Here, we are careful to avoid using DFT-MD at temperatures where the K shell electrons undergo excitations and study those conditions with PIMC instead.

Progress has been made in orbital-free (OF) DFT and average-atom DFT methods, which introduce additional approximations beyond standard Kohn-Sham DFT-MD in order to improve the efficiency of the scaling with temperature. OF-DFT approximates the free energy of the homogeneous electron gas by a functional that is independent of the single-particle orbitals [87,88]. The speed-up gained has resulted in a significant trade-off in accuracy, but recent OF-DFT developments have shown the method is potentially capable of being competitive with KS-DFT [89,90]. In an effort to make even greater gains in efficiency, DFT-based average-atom models make further approximations based on solving for the electronic properties of a single atom within the plasma [91]. Such models have been shown to predict the electronic structure of the isolated atoms well, and recent developments have begun a more consistent treatment of many-body systems [92]. OF-DFT and average-atom models are capable of simulating systems sizes up to a few hundred particles, but ultimately, along with DFT-MD, they are based on a ground-state framework, and it is important to develop more accurate, finite-temperature methods with fewer approximations, such as PIMC, to benchmark such calculations.

We employ standard Kohn-Sham DFT-MD simulation techniques for our calculations of liquid and WDM matter states. Simulations are performed with the Vienna *ab initio* simulation package (VASP) [93] using the projector augmented-wave (PAW) method [94], and a NVT ensemble, regulated with a Nosé-Hoover thermostat. Exchange-correlation effects are described using the Perdew-Burke-Ernzerhof [95] generalized gradient approximation. Electronic wave functions are expanded in a plane-wave basis with an energy cutoff as high as 2000 eV in order to converge total energy. For liquid simulations, we used 64-atom supercells with a time step of 1.5 fs. For WDM calculations, size convergence tests up to a 24-atom simulation cell at temperatures of 10 000 K and above indicate that total energies are converged to better than 0.1% in a 24-atom simple cubic cell. We find, at temperatures above 250 000 K, 8-atom supercell results are sufficient since the kinetic energy far outweighs the interaction energy at such high temperatures [75]. The number of bands in each calculation is selected such that thermal occupation is converged to better than  $10^{-4}$ , which requires up to 8000 bands in a 24-atom cell at  $1 \times 10^6 \text{ K}$ . All simulations are performed at the  $\Gamma$  point of the Brillouin zone, which is sufficient for high temperature fluids, converging total energy to better than 0.01% relative to a comparison with a converged grid of  $k$  points.

### III. EOS OF LIQUID, WDM, AND PLASMA PHASES

In this section, we report our DFT-MD and PIMC EOS results for the liquid, WDM, and plasma regimes. The Supplemental Material [83] provides all of our computed pressure and energy data. The VASP DFT-MD energies have been shifted by  $-54.3064682071$  Ha/atom in order to bring the PAW-PBE pseudopotential energy in alignment with all-electron DFT calculations. The shift was calculated by performing an all-electron atomic calculation with the OPIUM code [96] and a corresponding isolated-atom calculation in VASP.

In the liquid regime, we computed isochores with DFT-MD on a dense grid of 15 densities spanning conditions from  $1.5$ – $3.7$  g cm $^{-3}$  and  $10^3$ – $5 \times 10^4$  K, in order to accurately map out the molecular dissociation transition. We extend the work of Boates *et al.* [9] to higher temperatures and lower pressures. Our pair-correlation curves agree with the experimental molecular bond length of  $1.1$  Å at low temperature and are generally consistent with the work of Boates *et al.* Our dissociation curve was constructed by determining the temperature at which the molecular lifetime reached  $0.2$  ps, which is the same cutoff for molecular stability used by Boates and limits it to 15 vibrations. Consistent with previous work [7,9,43,45,59], we find  $(\partial P/\partial T)_V < 0$  in the dissociation region with a first order dissociation transition at pressures near 78–90 GPa. Below 18 GPa, we find no  $(\partial P/\partial T)_V < 0$  region exists.

Figure 1 shows the pressure-temperature phase diagram with our dense grid of DFT-MD isochores in the liquid region, as well as the dissociation and Hugoniot curves. The lower panel of Fig. 1, which includes a subset of our DFT-MD isochores, shows the phase diagram ranging from solid to low-temperature plasma phases. The solid phase boundaries, outlined with solid lines, are reproduced from a variety of experiments [11–15,97–99]. The melting curve is also reproduced from experiments [97–99], which agrees with DFT-MD calculations [100], and displays a negative slope with a triple point near 90 GPa and 1000 K. We include phases that have been predicted to be stable by a DFT random structure searching algorithm at  $T = 0$  K [20], which have not been seen by experiment.

The upper panel of Fig. 1 is a magnified view of the dissociation region, displaying a larger subset of the DFT-MD isochores performed in our study. The molecules may dissociate into polymeric or atomic fluid through a first order phase transition, marked by the solid portion of dissociation line in the figure. As pressure decreases, the dissociation curve reaches a critical point near 78 GPa and 4100 K, marked by a white dot and a change to a dashed line to indicate the transition is no longer first order. Starting at 18 GPa, where our DFT-MD data ends, we constructed a free energy model [101] with noninteracting atoms and molecules that extends the dissociation curve to low pressures, marked by a thin, dashed line. We postpone the discussion of the liquid Hugoniot until Sec. VI.

In order to extend our nitrogen EOS into the WDM and plasma regimes, we compute additional isochore data with DFT-MD and PIMC for temperatures ranging up to  $10^9$  K for four of the densities ( $2.5$ ,  $3.7$ ,  $7.8$ , and  $13.9$  g cm $^{-3}$ ). Figure 2 shows the data computed for the four isochores and compares

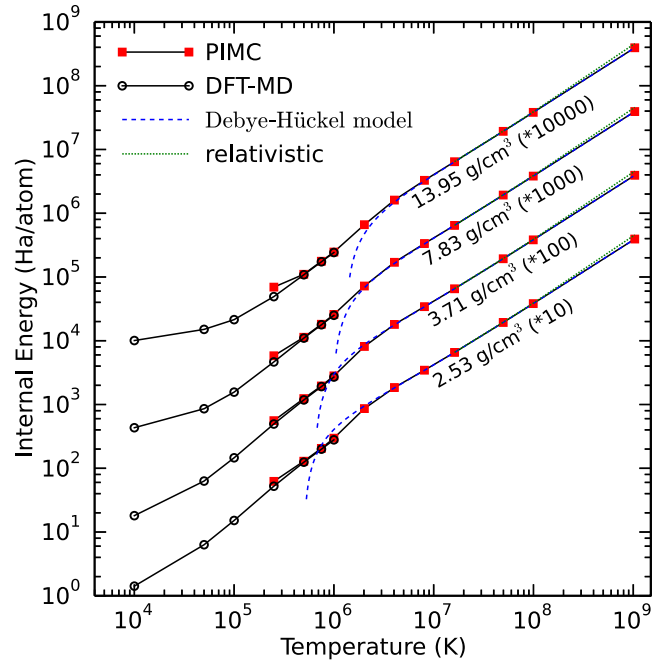


FIG. 3. Isochores computed with PIMC (squares), DFT-MD (circles), and the Debye-Hückel model (dashes) at four densities. The high-temperature relativistic correction is shown as a dotted line. To improve visibility on a log scale, the energies of the four isochores have been shifted by the N $_2$  molecule energy,  $-54.614969$  Ha/atom, and multiplied by factors of 10, 100, 1000, and 10 000 as indicated in the labels. The original energies are given in the Supplemental Material [83].

pressures obtained for nitrogen from PIMC and DFT-MD. Likewise, Fig. 3 compares internal energies and also compares with results from the Debye-Hückel model [102], constructed for the fully ionized plasma. Using a relativistic, fully-ionized model [103], we also show the magnitude of the relativistic correction to the internal energy, which results in a 14% change at the high-temperature limit. There is not a significant relativistic correction to the pressure. In both pressure and energy, we find good agreement between PIMC and DFT-MD results in the temperature range of  $5.0 \times 10^5$ – $1 \times 10^6$  K. At a temperature of  $2.5 \times 10^5$  K, the PIMC free-particle nodes start to become insufficient for describing bound electronic states, and the results begin to deviate significantly from that of DFT-MD. At high temperature, the PIMC pressures and energies converge to the weakly interacting plasma limit, in agreement with the classical Debye-Hückel model.

Figure 4 shows the differences between the PIMC and DFT-MD pressures and energies as a function of temperature in the overlap regime where both methods operate efficiently. DFT-MD and PIMC internal energies differ by at most 2 Ha/atom, and pressures differ by less than 8% in the temperature range of  $2.5 \times 10^5$ – $1 \times 10^6$  K. The size of the discrepancy between our PIMC and DFT-MD results also places an approximate limit on the magnitude of the correction that a new free-energy functional, such as those used in OF-DFT, can change existing KS-DFT results. Typically, the error is largest at the lowest and highest temperatures. This is possibly because, at low temperature, the PIMC free-particle nodes are expected to

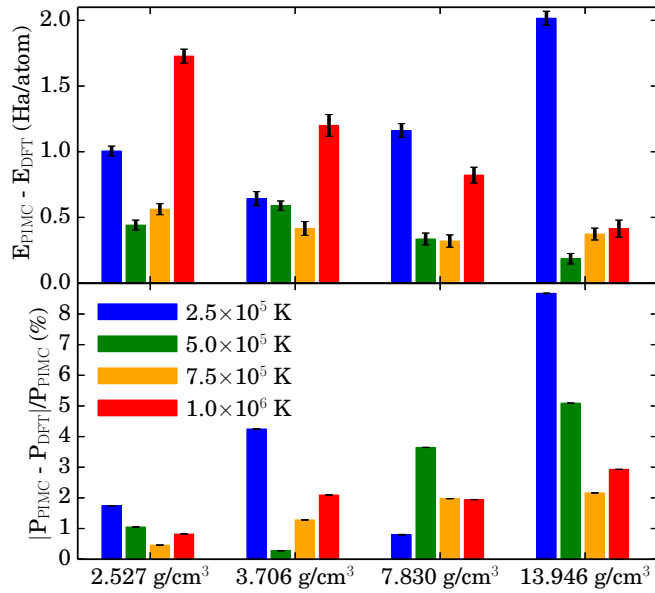


FIG. 4. Differences in PIMC and DFT-MD energies and pressures. The top panel shows energy differences, while the bottom panel shows the absolute relative error of pressure in percent. One- $\sigma$  errors in the differences are shown in black.

break down, while, at high temperature, the DFT exchange-correlation functional and pseudopotential may break down. The pseudopotential, with a frozen  $1s$  core, may also begin to leave out excitation effects at temperatures close to  $10^6$  K. In our previous studies, we found it is not uncommon for one third of the energy discrepancy at  $10^6$  K to be attributed to pseudopotential error [72,74,75].

Together, Figs. 2 and 3 show that the DFT-MD and PIMC methods form a coherent EOS over all temperatures ranging from condensed matter to the WDM and plasma regimes. The good agreement between PIMC and DFT-MD indicates that DFT exchange-correlation potential remains valid even at high temperatures and that the PIMC free-particle nodal approximation is valid as long as the  $2s$  state is sufficiently ionized. The analytic Debye-Hückel models agree well with PIMC at high temperatures, but the Debye-Hückel model does not include bound states and, therefore, cannot describe low temperatures.

#### IV. PAIR-CORRELATION FUNCTIONS

In this section, we study pair-correlation functions [71] in order to understand the evolution of the fluid structure and ionization in nitrogen plasmas as a function of temperature and density. Figure 5 shows the nuclear pair-correlation functions  $g(r)$  computed with PIMC over a temperature range of  $2 \times 10^6$ – $1.034 \times 10^9$  K and for densities of 2.527 and 13.946 g cm<sup>−3</sup>. Atoms are kept farthest apart at low temperatures due to a combination of Pauli exclusion among bound electrons and Coulomb repulsion. As temperature increases, kinetic energy of the nuclei increases, making it more likely to find atoms at close range. In addition, the atoms become increasingly ionized, which gradually reduces the Pauli repulsion but increases the ionic Coulomb repulsion. As density increases, the likelihood of finding two nuclei at

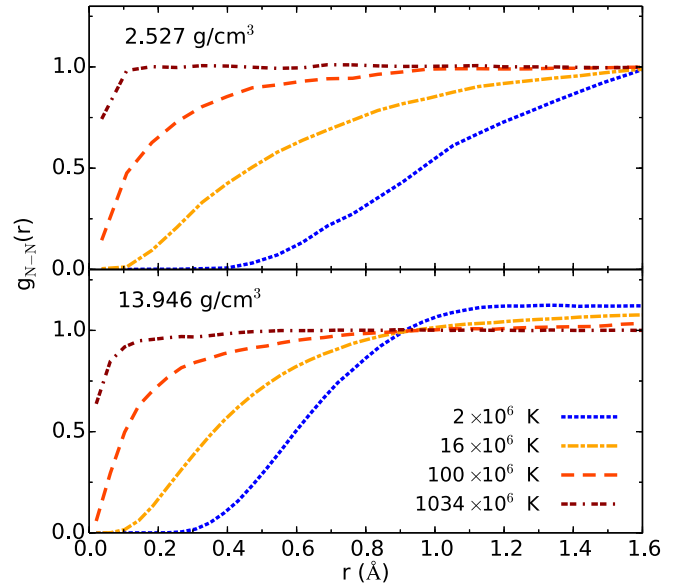


FIG. 5. Nuclear pair-correlation functions for nitrogen from PIMC over a wide range of temperatures and densities.

close range slightly rises. At high temperatures, the system approaches the Debye-Hückel limit, behaving like a weakly correlated system of screened Coulomb charges.

Figure 6 compares the nuclear pair-correlation functions of PIMC and DFT-MD at a temperature of  $1 \times 10^6$  K in an 8-atom cell at a density of 13.946 g cm<sup>−3</sup>. The overlapping  $g(r)$  curves verify that PIMC and DFT predict consistent structural properties.

Figure 7 shows the integral of the nucleus-electron pair correlation function  $N_{N-e}(r)$ , which represents the average number of electrons within a sphere of radius  $r$  around a given nucleus. At the lowest temperature,  $1 \times 10^6$  K, we find that the  $1s$  core state is always fully occupied, as it agrees closely with the result of an isolated  $1s$  state. As temperature increases, the atoms are gradually ionized and electrons become unbound,

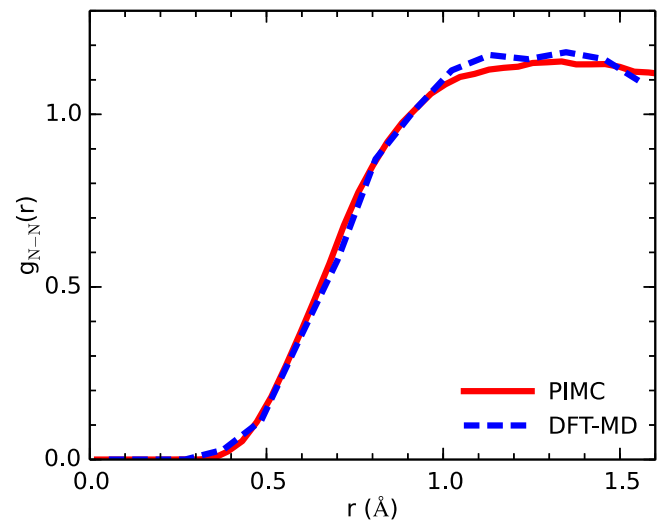


FIG. 6. Comparison of PIMC and DFT nuclear pair-correlation functions for nitrogen at a temperature of  $1 \times 10^6$  K and a density of 13.946 g cm<sup>−3</sup>.

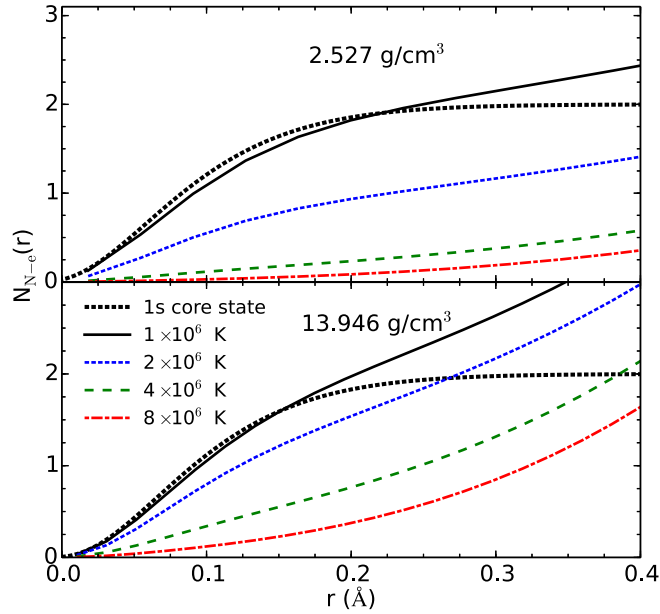


FIG. 7.  $N(r)$  function representing the number of electrons contained in a sphere of radius  $r$  around a nitrogen nucleus. PIMC data at four temperatures is compared with the analytic  $1s$  core state.

causing  $N_{N-e}(r)$  to decrease. At higher density, an even higher temperature is required to fully ionize the atoms, indicating that the  $1s$  ionization fraction decreases with density.

There are two important physical points to note about this result. First, it is clear that the  $1s$  ionization fraction is not affected by pressure ionization in the considered density range, which is supported by the fact that the nuclei are not yet close enough for Pauli exclusion to trigger the ionization of the  $1s$  state. Pauli exclusion effects decay on the scale of  $\sim 0.04$  Å (size of  $1s$  orbital), while Fig. 6 shows that the nuclei remain at least  $0.3$  Å apart at our highest density. Secondly, we note that in our work on dense oxygen [74] we performed all-electron DFT-MD calculations and found that the  $1s$  ionization fraction for a fixed temperature decreases because the Fermi energy shifts to higher energies more rapidly than the  $1s$  state shifts towards the continuum when density increases. Thus, the decrease in the  $1s$  ionization fraction in Fig. 7 at a fixed temperature with increasing density is due to a rapid shift of the Fermi energy. Eventually, the  $1s$  ionization fraction will increase when density is high enough to push the  $1s$  states into the continuum, but we have not studied such densities here.

Figure 8 shows electron-electron pair correlations for electrons having opposite spins. The function is multiplied by the density  $\rho$ , so that the integral under the curves is proportional to the number of electrons. The electrons are most highly correlated for low temperatures, which reflects that multiple electrons occupy bound states around a given nucleus. As temperature increases, electrons are thermally excited, decreasing the correlation among each other. The positive correlation at short distances increases with density, consistent with a lower ionization fraction.

Figure 9 shows electron-electron pair correlations for electrons with parallel spins. The positive correlation at intermediate distances reflects that different electrons with

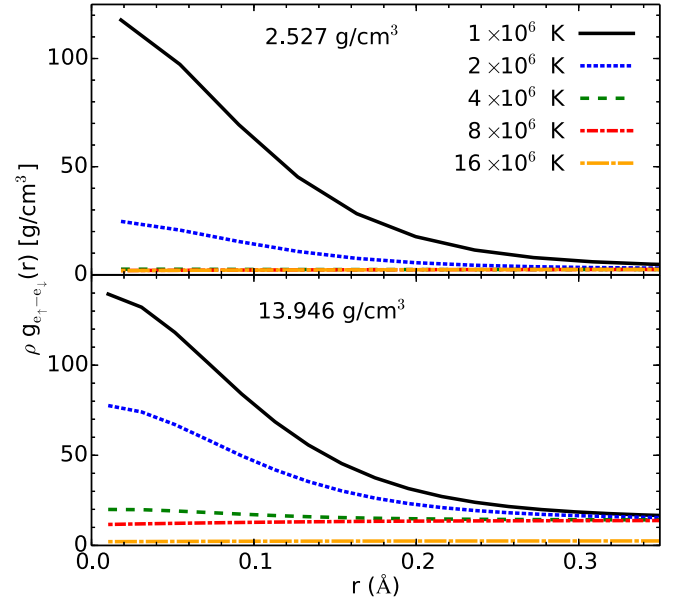


FIG. 8. The electron-electron pair-correlation functions for electrons with opposite spins computed with PIMC.

parallel spins are bound to a given nucleus. For short separations, electrons strongly repel due to Pauli exclusion and the functions decay to zero. As density increases, the peak at intermediate distances decreases, which clearly shows the effect of pressure ionization on the  $L$  shell. These orbitals are much larger than the  $1s$  state and are therefore subject to Pauli exchange with nearby nuclei. As temperature increases, electrons become less bound, which also causes the correlation to become more like an ideal fluid.

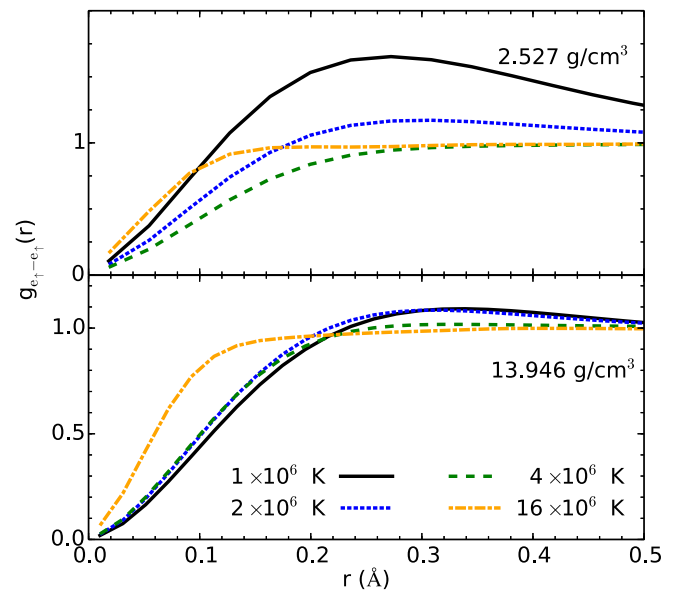


FIG. 9. The electron-electron pair-correlation functions for electrons with parallel spins computed with PIMC.

## V. ELECTRONIC DENSITY OF STATES

In this section, we report DFT-MD results for the electronic density of states (DOS) as a function of temperature and density in order to gain further insight into temperature and pressure ionization effects. Figure 10 shows the total and occupied DOS at two temperatures and two densities. Results were obtained by averaging over ten uncorrelated snapshots chosen from a DFT-MD trajectory. Smooth curves were obtained by using a  $4 \times 4 \times 4k$ -point grid and applying a Gaussian smearing of 2 eV. The eigenvalues of each snapshot were shifted so that the Fermi energies align at zero. The integral of the DOS is normalized to 1.

At low temperature and density, the general structure is composed of two peaks below the Fermi energy, representing the atomic  $2s$  and  $2p$  states. The peaks broaden and merge at higher temperatures and densities as they become ionized. For higher density, the total DOS resembles that of an ideal plasma. For lower densities, a dip in the DOS indicates beginning of the continuous spectrum of conducting states. At the lowest temperature ( $\sim 10^4$  K) shown for each density, the majority of occupied states lie below the Fermi energy. At the higher temperature ( $\sim 10^5$  K), a significant fraction of the occupied states now lie above the Fermi energy as the second shell becomes ionized. Finally, we note that the Fermi energy plays the role of the chemical potential in the Fermi-Dirac distribution, which shifts towards more negative values as the temperature is increased. Because we subtract the Fermi energy from the eigenvalues, the peak shifts to higher energies with increasing temperature. The fact that the peaks are embedded into a dense, continuous spectrum of eigenvalues indicates that they are conducting states.

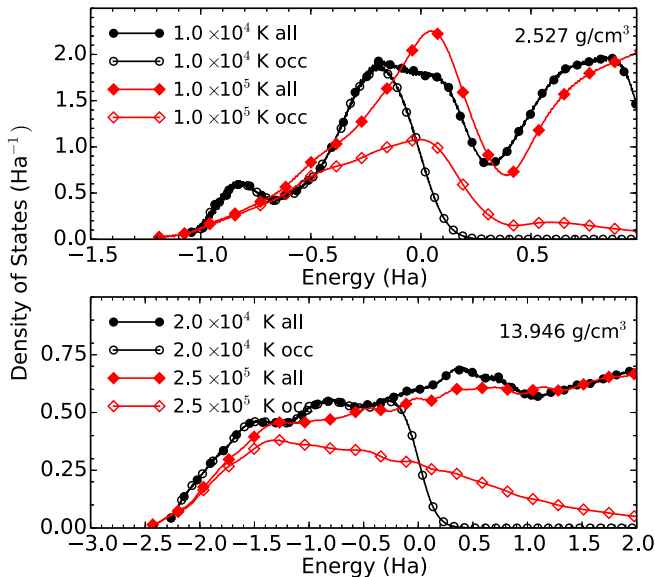


FIG. 10. Temperature dependence of the total (all) and occupied (occ) electronic DOS of dense, fluid nitrogen at densities of 2.53 and 13.95 g cm<sup>-3</sup>. Each DOS curve has had the relevant Fermi energy for each temperature subtracted from it.

## VI. SHOCK COMPRESSION

Dynamic shock compression experiments allow one to measure the EOS and other physical properties of hot, dense fluids. Such experiments are often used to determine the principal Hugoniot curve, which is the locus of final states that can be obtained from different shock velocities. A number of Hugoniot measurements have been made for nitrogen [7,41–43,45–47]. Density functional theory has been validated by experiments as an accurate tool for predicting the shock compression of a variety of different materials [104,105], including nitrogen [58,59].

In the course of a shock wave experiment, a material whose initial state is characterized by an internal energy, pressure, and volume ( $E_0, P_0, V_0$ ) will change to a final state denoted by ( $E, P, V$ ) while conserving mass, momentum, and energy. This leads to the Rankine-Hugoniot relation [106],

$$(E - E_0) + \frac{1}{2}(P + P_0)(V - V_0) = 0. \quad (1)$$

Here, we compute the Hugoniot curves from the first-principles EOS data reported in the Supplemental Material [83]. The pressure and internal energy data points were interpolated with bicubic spline functions in  $\rho$ - $T$  space. For the initial state, we used the energy of an isolated ( $P_0 = 0$ ) nitrogen molecule,  $E_0 = -109.2299$  Ha/N<sub>2</sub>.  $V_0$  was determined by the density,  $\rho_0 = 1.035$  g cm<sup>-3</sup>, of solid nitrogen in the  $Pa\bar{3}$  phase [107]. The resulting Hugoniot curve has been plotted in  $T$ - $P$  and  $P$ - $\rho$  spaces in Figs. 2 and 11, respectively.

Samples in shock wave experiments may be precompressed inside of a diamond anvil cell in order to reach much higher final densities than are possible with a sample at ambient conditions. This technique allows shock wave experiments to probe density-temperature consistent with planetary and stellar interiors [108]. Therefore, we repeated our Hugoniot calculation starting with initial densities ranging from a 0.75- to a 2.5-fold of the density typically used in

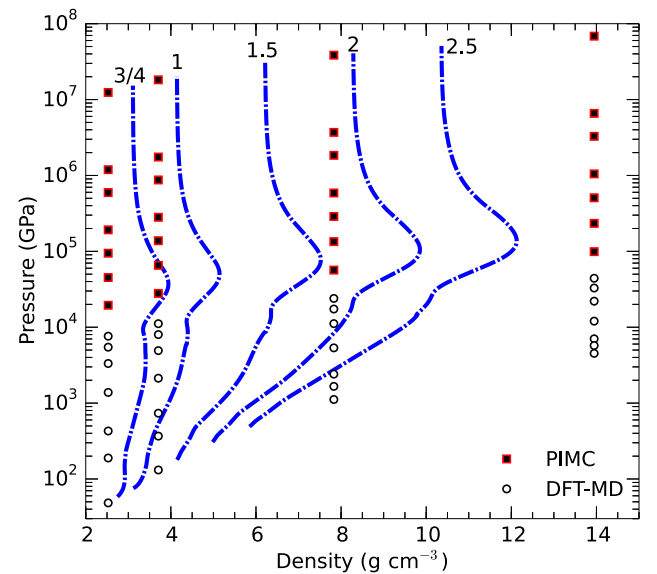


FIG. 11. Shock Hugoniot curves for different initial densities ranging from 0.75- to 2.5-fold the density of solid N<sub>2</sub>, 1.035 g cm<sup>-3</sup>, at ambient pressure.

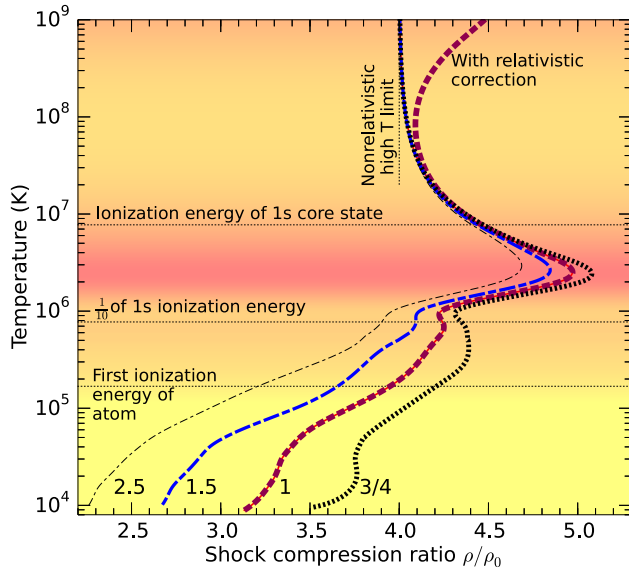


FIG. 12. Hugoniot curves as a function of the shock compression ratio for different initial densities as plotted in Fig. 11. The 1-fold curve is shown with (dashed line) and without (solid line) the relativistic correction. The dark shaded marks the temperature range of highest compression.

shock-compression experiments ( $0.808 \text{ g cm}^{-3}$ ). Figure 11 shows the resulting family of Hugoniot curves. While starting from an initial density of  $0.808 \text{ g cm}^{-3}$  leads to a maximum shock density of  $5.15 \text{ g cm}^{-3}$  (4.97-fold compression), a 2.5-fold precompression yields a much higher maximum shock density of  $12.13 \text{ g cm}^{-3}$  (4.69-fold compression). Alternatively, such extreme densities can be reached with double and triple shock experiments.

Figure 12 shows the temperature dependence of the shock-compression ratio for the four representative Hugoniot curves from Fig. 11. In the high-temperature limit, all curves converge to a compression ratio of 4, which is the value of a nonrelativistic, ideal gas. We also show the magnitude of the relativistic correction to the Hugoniot in the high-temperature limit. The shock compression and structure along the Hugoniot is determined by the excitation of internal degrees of freedom, such as dissociation and ionization processes, which increase the compression, and, in addition, the interaction effects, which decrease the compression [69]. Consistent with our studies of other elements, we find that an increase in the initial density leads to a slight reduction in the shock compression ratio (Fig. 12) because particles interact more strongly at higher density.

For the lowest two initial densities, the shock compression ratio in Fig. 12 exhibits two maxima as a function of temperature, which can be attributed to the ionization of electrons in the K ( $1s$ ) and L ( $2s + 2p$ ) shells. On the principal Hugoniot curve, the first maximum of  $\rho/\rho_0 = 4.26$  occurs at temperature of  $6.77 \times 10^5 \text{ K}$  (58.3 eV), which is well above the first and second ionization energies of the nitrogen atom, 14.53 and 29.60 eV. A second compression maximum of  $\rho/\rho_0 = 4.97$  is found for a temperature of  $2.55 \times 10^6 \text{ K}$  (220 eV), which can be attributed to a substantial ionization

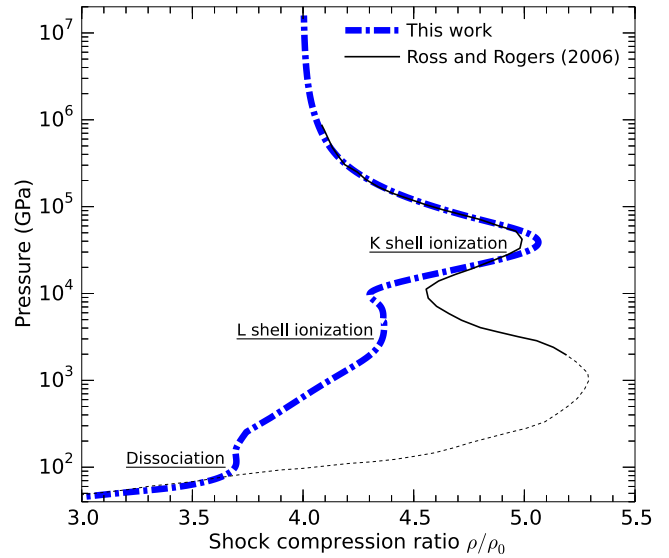


FIG. 13. Comparison of our combined PIMC and DFT-MD Hugoniot curve with predictions of ACTEX plasma model calculations by Ross and Rogers [8]. The dashed line portion of the plasma model curve indicates where the ACTEX results were interpolated to match experimental data below 100 GPa. The initial density was  $\rho_0 = 0.8076 \text{ g cm}^{-3}$  ( $V_0 = 28.80 \text{ \AA}^3/\text{atom}$ ).

of the  $1s$  core states. For an isolated nitrogen atom, the  $1s$  ionization energy is 667.05 eV. However, fractional ionization is expected to occur at much lower temperature already. This is consistent with the ionization process we observe in Fig. 7, where the charge density around the nuclei is reduced over the range of  $2\text{--}8 \times 10^6 \text{ K}$ . Since DFT-MD simulations, which use pseudopotentials to replace core electrons, cannot access the regime of core ionization, both PIMC and DFT-MD are needed to determine all features along the principal Hugoniot curve.

Figure 13 compares our combined PIMC and DFT-MD Hugoniot curve with predictions from the ACTEX calculations by Ross and Rogers [8]. We find very good agreement for  $P \geq 20\,000 \text{ GPa}$ , which includes a compression peak due to the ionization of K shell and confirms the strengths of the ACTEX method in highly ionized regimes with weak-to-moderate Coulomb coupling. While the K shell peak pressures agree almost perfectly in pressure, the ACTEX predicts a maximum compression ratio that is 0.07 lower than predicted by our PIMC simulations. In the pressure range from 2000 to 20 000 GPa, where ionization of the L shell occurs, we find that the ACTEX model substantially overestimates the shock compression. In the range of 100 to 2000 GPa (dashed line in Fig. 13), Ross and Rogers interpolated their Hugoniot curve based on a collection of previous ACTEX calculations for other light elements [62] and available experimental data below 100 GPa [7]. Therefore, it is not too surprising that PIMC and the analytic model disagree by up to 20% in the pressure. The comparison shows the importance of using first-principles methods such as PIMC and DFT-MD to correctly predict the ionization compression peaks of the Hugoniot curve in more strongly coupled regimes. With DFT-MD, we are also able to capture the sharp change in slope in the Hugoniot curve, which

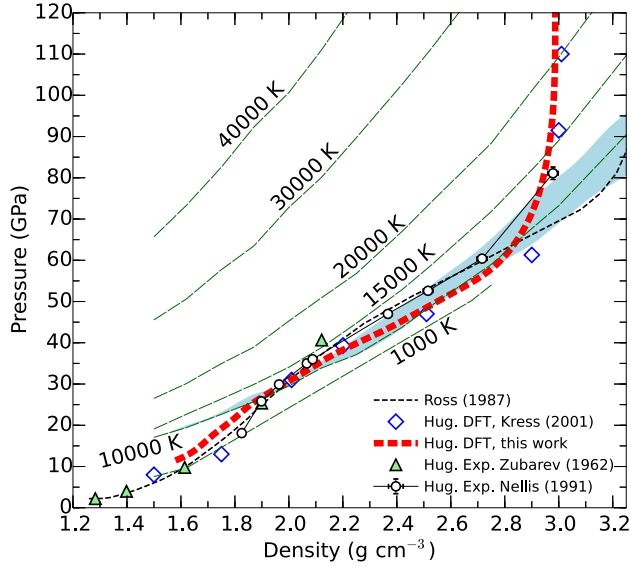


FIG. 14. Comparison of the liquid DFT-MD Hugoniot with the experiments of Nellis *et al.* [7] and Zubarev *et al.* [40] and the theory of Ross *et al.* [49] (variational fluid theory) and Kress *et al.* [58] (DFT-MD). The blue shaded region indicates the region of dissociation with  $(\partial P/\partial T)_v < 0$  in the phase diagram of Fig. 1. Our Hugoniot passes through this region, but there is no evidence of cooling along the principal Hugoniot curve. The green dashed lines show isotherms from our DFT-MD simulations.

is associated with dissociation as internal energy is absorbed to break the molecular bond.

Figure 14 shows a magnified view of the low-pressure Hugoniot in the dissociation region. Our DFT-MD Hugoniot generally agrees well with the experimental data of Nellis *et al.* [7] and previous DFT-MD calculations [58]. DFT-MD accurately captures the sharp increase in compressibility in the dissociation transition region, while the Ross model underestimates the compressibility more or less depending on the parametrization [49]. Slight deviations with experiment tend to lie near the region of  $(\partial P/\partial T)_v < 0$ , marked by the blue shaded region. The discrepancy could either be due to impedance matching difficulties in experiment or shortcomings of DFT-MD approximations. A negative  $(\partial P/\partial T)_v$  region and molecular dissociation can, in principle, trigger a shock wave to split into two separate waves [109]. This occurs when the shock speed is not monotonously increasing with particle speed. However, this is not predicted to occur based on our DFT-MD EOS, and we find it unlikely that this hypothesis can explain the discrepancy between the theoretical and experimental results in Fig. 14. We also note that including zero point motion has a negligible effect on the Hugoniot curve.

## VII. EOS COMPARISON OF FIRST- AND SECOND-ROW PLASMAS

Using PIMC and DFT-MD, we have computed the first principles EOS and shock Hugoniot curves for several materials in the the WDM and dense plasma regime. In this section, we compare our collective sets of data and discuss some of the trends we have observed.

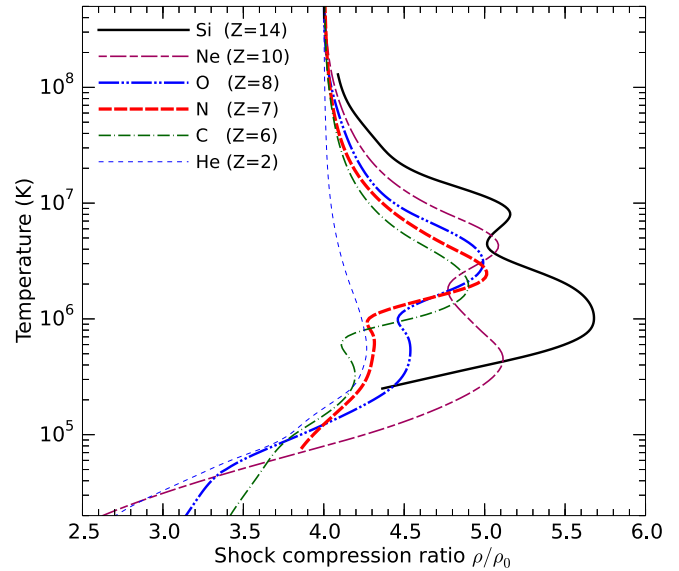


FIG. 15. Comparison of the shock Hugoniot curves for different materials. The initial volume  $V_0$  has been chosen such that the density of the electrons is the same for all materials ( $V/N_e = 3.586 \text{ \AA}^3$ ). The various maxima in compression corresponds to excitations of electrons in the first and second electron shells.

Figure 15 compares our computed shock Hugoniot curves from simulations of He [70], C [72], O [74], Ne [75], and Si [76] in the WDM and plasma regimes. The Hugoniot curve comparison shows distinct compression maxima for all materials, but the maxima and structure along the Hugoniot

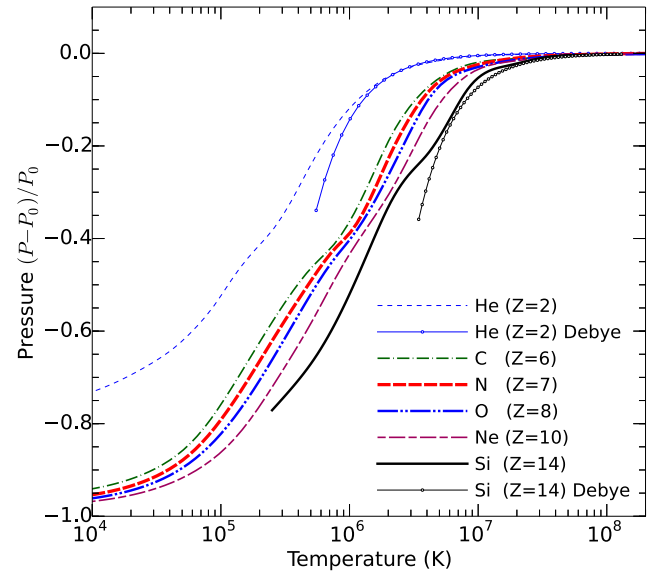


FIG. 16. Pressure vs temperature is shown for isochores of different materials. The pressure of a fully-ionized, noninteracting plasma  $P_0$  has been removed in order to compare the excess pressure due to interactions. The densities have been chosen such that electronic density is the same for all materials ( $V/N_e = 0.8966 \text{ \AA}^3$ ). This electronic density corresponds to the high-temperature limit of fourfold compression of the shock Hugoniot curves in Fig. 15. The Debye model has been included for helium and silicon.

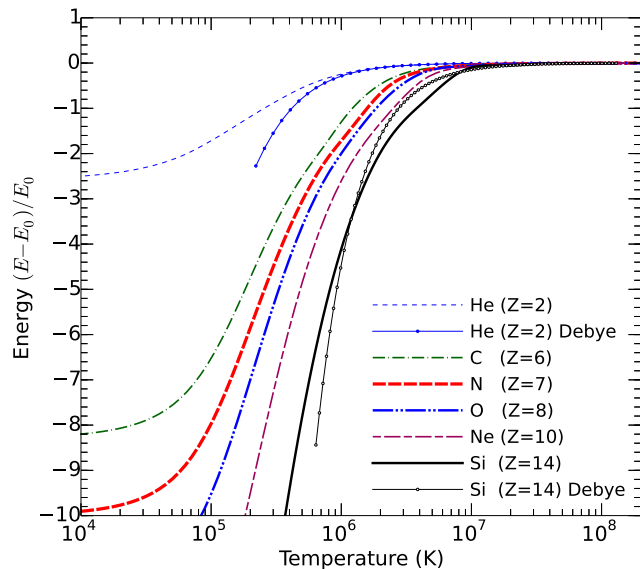


FIG. 17. Internal energy vs temperature is shown for the isochores in Fig. 16. The energy contribution from a fully-ionized, noninteracting plasma  $E_0$  has been removed in order to compare only the interaction effects.

depend strongly on the atomic number  $Z$ , which is directly connected to internal degrees of freedom and interaction effects [69]. We find the shock Hugoniot compression maxima, corresponding to K and L shell ionization, increase in both compression and temperature with the atomic number  $Z$ . This is not unexpected because the binding energy scales as  $Z^2$ , which means a higher temperature is needed to reach the regime of ionization. When this happens, a larger energy difference,  $E - E_0$ , must be compensated by the  $P(V - V_0)$  term in Eq. (1). Even though the pressure increases with ionization also, we still see a higher shock compression for higher  $Z$  materials in Fig. 15.

Figures 16 and 17 compare the pressure and internal energies of the same set of materials in the Hugoniot curve comparison. The plots compare the excess pressure and energy, where the ideal Fermi gas contributions have been removed in order to compare only interaction effects, which become important for  $T < 10^8$  K when electrons start to occupy the K shell. For higher  $Z$ , this occurs at higher temperature, which explains the trends seen in Figs. 15–17. The Debye model can capture only the high temperature limit of this trend since it cannot describe the occupation of the K shell. There is a visible softening of the slope in the pressure and internal energy curves for temperatures around  $10^6$  K, which corresponds to the intermediate regime between K and L shell ionization. As

expected, the onset of the slope softening occurs at higher temperatures for higher  $Z$  elements.

We note that, for each material, we have computed consistent, overlapping results with both DFT-MD and PIMC at temperatures near  $10^6$  K. The agreement implies that our zero-temperature, DFT exchange-correlation potential (PBE) remains valid for a large set of materials at high temperatures and that the free-particle nodal approximation is accurate in PIMC when the K shell electrons are bound and L shell is partially ionized.

## VIII. CONCLUSIONS

In this paper, we have used DFT-MD and PIMC to compute liquid and WDM states of nitrogen to provide an EOS which bridges the condensed matter and warm dense matter regimes. In the liquid regime, we have extended the phase diagram beyond previous studies by computing the dissociation curve for a broader region of conditions and extending the Hugoniot to the WDM regime. In the WDM regime, we have combined PIMC with DFT-MD to construct a coherent EOS for nitrogen over a wide range of densities and temperatures. The two methods produce consistent pressures and energies in a temperature range of  $5.0 \times 10^5$ – $1 \times 10^6$  K. At high temperatures, our EOS converges to the analytic Debye-Hückel result for weakly interacting plasmas. Nuclear and electronic pair correlations reveal a temperature- and pressure-driven ionization process, where temperature ionization of the  $1s$  state is suppressed, while other states are efficiently ionized as temperature and density increases. Temperature-density dependence of the electronic density of states confirms the temperature- and pressure-ionization behavior observed in the pair-correlation data. Lastly, we find the ionization imprints a signature on the shock Hugoniot curves and that PIMC simulations are necessary to determine the state of the highest shock compression. By combining our liquid DFT-MD data with our WDM data, we provide a first-principles Hugoniot that matches experiment at low pressures and extends to the classical plasma regime. Our Hugoniot and equation of state will help to build more accurate models for astrophysical applications and energy applications.

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