

## Transport in selectively magnetically doped topological insulator wires

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We study the electronic and transport properties of a topological insulator nanowire including selective magnetic doping of its surfaces. We use a model which is appropriate to describe materials like  $\text{Bi}_2\text{Se}_3$  within a  $\mathbf{k} \cdot \mathbf{p}$  approximation and consider nanowires with a rectangular geometry. Within this model the magnetic doping at the (111) surfaces induces a Zeeman field which opens a gap at the Dirac cones corresponding to the surface states. For obtaining the transport properties in a two terminal configuration we use a recursive Green's function method based on a tight-binding model which is obtained by discretizing the original continuous model. For the case of uniform magnetization of two opposite nanowire (111) surfaces we show that the conductance can switch from a quantized value of  $e^2/h$  (when the magnetizations are equal) to a very small value (when they are opposite). We also analyze the case of nonuniform magnetizations in which the Zeeman field on the two opposite surfaces change sign at the middle of the wire. For this case we find that conduction by resonant tunneling through a chiral state bound at the middle of the wire is possible. The resonant level position can be tuned by imposing an Aharonov-Bohm flux through the nanowire cross section.

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### I. INTRODUCTION

In some narrow gap semiconductors containing heavy atoms, the strong spin-orbit coupling induces an inversion of the energy bands with respect to their customary energetic ordering. These materials are named topological insulators (TIs) [1–3]. When in contact with vacuum, the electronic bands should recover their natural energy ordering and as a result a two-dimensional electron gas appears at the surface of the TI. These surface states are governed by a massless Dirac Hamiltonian and have a conical dispersion centered at a time reversal invariant point in momentum space. Angle-resolved photoemission spectroscopy experiments have established the occurrence of these conical surface states in some topological materials of the family of  $\text{Bi}_2\text{Se}_3$  [4,5].

Because the Dirac cone is located at a time reversal symmetry invariant point, only perturbations that break this symmetry can open a gap in the surface states. Apart from external magnetic fields, two methods can be used for opening gaps at the Dirac cone: doping the surface with magnetic impurities or putting in contact the TI surface with a ferromagnetic material that induces an exchange field [6]. In the  $\text{Bi}_2\text{Se}_3$  family of materials, the effective Hamiltonian for the electronic states of the (111) surface (hereafter denoted  $z$  surface) takes the form  $\hbar v_F(s_x k_y - s_y k_x)$ , where  $s_x$ ,  $s_y$ , and  $s_z$  are the electron spin Pauli matrices. An exchange field pointing in the  $z$  direction opens a gap in the Dirac cone of the surface bands. This gapped state is a nontrivial insulator and it is characterized by a half-integer anomalous Hall conductivity  $\sigma_{xy} = \pm e^2/2h$ , where the orientation of the exchange field determines the sign of the conductivity [7,8].

In real samples a surface is unavoidably connected with other surfaces and this prevents the observation of the half-integer quantized anomalous Hall effect. Experimental observation of the quantum Hall effect (QHE) requires the existence of edge channels. Each edge channel crossing

the Fermi energy sums a contribution  $e^2/h$  to the Hall conductivity, and therefore only integer QHE is expected to be observed. Topological insulator slabs with same sign exchange fields on top and bottom  $z$  surfaces support chiral edge states connecting opposite surfaces and permit the observation of integer anomalous quantum Hall effect,  $\sigma_{xy} = e^2/h$  [9–11]. In other combinations of exchange fields or for other surface orientations, the lack of current carrying chiral edge modes makes the observation of anomalous QHE impossible [11–13].

Within this context, the case of TI nanowires has recently generated much interest [14,15]. In these systems the surface state contribution could be more easily extracted in transport experiments, as demonstrated by the observation of conductance oscillations associated to an Aharonov-Bohm flux piercing the nanowire [14,16] and by magnetoresistance measurements [17]. The possibility to induce a magnetization of the surface states of a TI nanowire by selective magnetic doping has generated a number of theoretical studies [11,13,18]. These studies, however, have been mainly restricted to translational invariant geometries. Additionally, there are several studies of transport properties in hybrid TI systems [19–29], but mainly considering infinite slabs or edges instead of finite nanowires.

In this work we study the transport properties of selectively magnetically doped topological insulator quantum wires. We study a rectangular wire along the  $\hat{y}$  direction connected to metallic leads, as schematically depicted in Fig. 1. Both, top (T) and bottom (B),  $z$  surfaces are divided into two equal left (L) and right (R) parts. At each sector there is an exchange field applied on the surface pointing in the  $\hat{z}$  direction. The wire is characterized by the exchange fields  $(\Delta_{TL}, \Delta_{BL}, \Delta_{TR}, \Delta_{BR})$  and we study the electronic transport through wires with differently oriented local exchange fields. In particular, we focus on the transport between regions with opposite exchange fields.

Because the considered geometries are not translational invariant, we compute the conductance of the system using

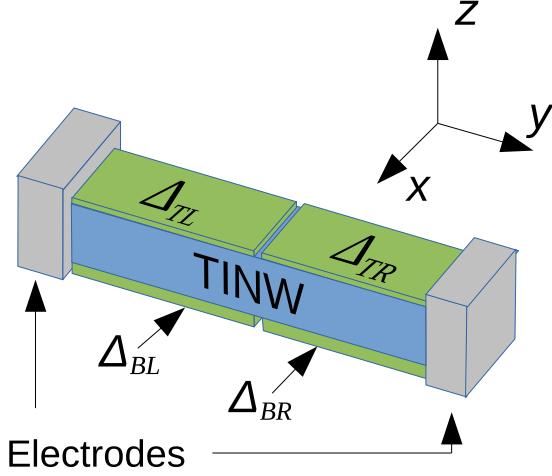


FIG. 1. (Color online) Schematic representation of the topological insulator nanowire (TINW) connected to metallic electrodes. The wire is directed along the  $y$  direction, and on the top and bottom  $z$  surfaces there are applied exchange fields,  $\Delta_{TL}$ ,  $\Delta_{BL}$ ,  $\Delta_{TR}$ , and  $\Delta_{BR}$ .

recursive Green's function techniques. The electronic structure of the TI is calculated with a tight-binding (TB) Hamiltonian that is obtained by discretizing the  $\mathbf{k} \cdot \mathbf{p}$  Hamiltonian that describes the band structure of  $\text{Bi}_2\text{Se}_3$  near the band gap energy [30]. The results obtained numerically with tight-binding calculations are discussed in terms of the two-dimensional Dirac-like effective Hamiltonian that controls the midgap states that appear at the surfaces.

One of the main results of our work is to demonstrate that these devices can behave as a conductance switch controlled by the surface magnetization. Moreover, almost 100% spin-polarized currents could be generated for certain magnetic configurations. On the other hand, we show that for an antisymmetric magnetic configuration a bound state confined in the middle of the wire appears. The system thus behaves as a "quantum dot" with a resonant level that can be controlled by a magnetic flux.

The paper is organized in the following way: after the Introduction we devote Sec. II to describe the model and the method for calculating the electronic and transport properties of the TI wire. In Sec. III we present and discuss the main results. We conclude the paper in Sec. IV with a summary of the main results.

## II. MODEL AND METHOD

### A. $\mathbf{k} \cdot \mathbf{p}$ model

In materials of the family of  $\text{Bi}_2\text{Se}_3$  the low-energy and long-wavelength properties may be described quite accurately by the projection of the total Hamiltonian in a basis of four states,  $|1\rangle = |+, \uparrow\rangle$ ,  $|2\rangle = -i|+, \uparrow\rangle$ ,  $|3\rangle = |+, \downarrow\rangle$ , and  $|4\rangle = i|-, \downarrow\rangle$ , which are combinations of  $p_z$  orbitals of Bi and Se with even  $+$  and odd  $-$  parities and spin up  $\uparrow$  and down  $\downarrow$ . The  $4 \times 4$   $\mathbf{k} \cdot \mathbf{p}$  Hamiltonian [30] gets the form

$$H^{3D} = \mathcal{M}(\mathbf{k})\tau_z \otimes I + A_1 k_z \tau_x \otimes s_z + A_2(k_x \tau_x + k_y \tau_y) \otimes s_x, \quad (1)$$

where  $\mathcal{M}(\mathbf{k}) = M_0 - B_2(k_x^2 + k_y^2) - B_1 k_z^2$ ;  $\tau_x$ ,  $\tau_y$ , and  $\tau_z$  are Pauli matrices acting on the parity of the states, and  $I$  is the unity matrix. In Hamiltonian (1) we have neglected a  $\mathbf{k}$ -dependent diagonal term that only alters the band curvatures without modifying the properties associated with topology. For a given topological insulator of the  $\text{Bi}_2\text{Se}_3$  family the Hamiltonian parameters are obtained from *ab initio* electronic calculations [31]. In the case of  $\text{Bi}_2\text{Se}_3$  the  $\mathbf{k} \cdot \mathbf{p}$  parameters are [30,31]  $M_0 = 0.28$  eV,  $A_1 = 2.2$  eV  $\text{\AA}$ ,  $A_2 = 4.1$  eV  $\text{\AA}$ ,  $B_1 = 10$  eV  $\text{\AA}^2$ , and  $B_2 = 56.6$  eV  $\text{\AA}^2$ . The spin operators written in the basis of Hamiltonian (1) have the form [32]

$$S_x = \tau_z \otimes s_x, \quad S_y = \tau_z \otimes s_y, \quad S_z = I \otimes s_z. \quad (2)$$

Because of the nontrivial topology of Hamiltonian (1), surface states in the bulk gap will appear for any surface termination. The bands of these states are represented by Dirac-like Hamiltonians with the general form [11,31–33]

$$H_{\text{Surf}}^{\nu} = (\boldsymbol{\sigma} \times \boldsymbol{\kappa})_{\nu} \quad \text{with } \boldsymbol{\kappa} \equiv (A_2 k_x, A_2 k_y, A_1 k_z), \quad (3)$$

where  $\nu$  indicates the direction normal to the surface. The matrices  $\boldsymbol{\sigma}$  act on the basis of the surface Hamiltonian, which depends on the surface orientation [11], and only coincides with the electron spin operator for surfaces perpendicular to the  $\hat{z}$  direction. For  $z$  surfaces, an exchange field  $\Delta$  directed in the  $\nu$  direction introduces a term  $\Delta\sigma_{\nu}$  in the surface Hamiltonian and therefore only an exchange field pointing in the  $z$  direction opens a gap at the Dirac point. The matching conditions between states of different surfaces have the form

$$\begin{pmatrix} c_u^{\nu} \\ c_v^{\nu} \end{pmatrix} = M_{\nu,\mu} \begin{pmatrix} c_u^{\mu} \\ c_v^{\mu} \end{pmatrix}, \quad (4)$$

where  $(c_u^{\nu}, c_v^{\nu})$  is the spinor eigenstate of the Dirac equation corresponding to surface  $\nu$ , and explicit forms of the matrices  $M_{\nu,\mu}$  are given in Ref. [11]. For this work, the relevant connecting matrices are

$$M_{z,x} = M_{\bar{z},\bar{x}} = \sqrt{\frac{A_1}{2A_2}} \begin{pmatrix} 1 & 1 \\ -1 & 1 \end{pmatrix}, \quad M_{x,\bar{z}} = M_{\bar{x},z} = M_{z,x}^{-1}. \quad (5)$$

### B. Tight-binding Hamiltonian

The band structure and topology of Hamiltonian (1) can also be described by a TB model. We consider a simple cubic crystal, such that each point of the lattice is characterized by an index  $\mathbf{i} = (i_x, i_y, i_z)$ . At each lattice point we associate four orbitals corresponding to positive and negative parity and spin up and down. We obtain the tight-binding hopping parameters, by considering the  $\mathbf{k} \cdot \mathbf{p}$  Hamiltonian as a long-wavelength expansion of the tight-binding model, i.e.,  $\sin(ka) \rightarrow ka$  and  $\cos(ka) \rightarrow 1 - \frac{(ka)^2}{2}$ ,  $a$  being the lattice parameter. Finally, for the cubic lattice, we relate  $\cos k_{\nu}a$  and  $\sin k_{\nu}a$  with the sum and subtraction, respectively, of the Bloch phases between a site and its two first neighbors in the  $\nu$  direction. Therefore the cosine is related with a real hopping parameter and the sine with a pure imaginary hopping. Taking these considerations into account, the tight-binding Hamiltonian

reads

$$\begin{aligned}
 H^{\text{TB}} = \sum_{\mathbf{i}} \left\{ & M_0 \mathbf{c}_{\mathbf{i}}^+ \tau_z \otimes I \mathbf{c}_{\mathbf{i}} - \frac{B_1}{a^2} [(\mathbf{c}_{\mathbf{i}}^+ - \mathbf{c}_{\mathbf{i}+\hat{z}}^+) \tau_z \otimes I (\mathbf{c}_{\mathbf{i}} - \mathbf{c}_{\mathbf{i}+\hat{z}})] \right. \\
 & - \frac{B_2}{a^2} [(\mathbf{c}_{\mathbf{i}}^+ - \mathbf{c}_{\mathbf{i}+\hat{x}}^+) \tau_z \otimes I (\mathbf{c}_{\mathbf{i}} - \mathbf{c}_{\mathbf{i}+\hat{x}}) + (\mathbf{c}_{\mathbf{i}}^+ - \mathbf{c}_{\mathbf{i}+\hat{y}}^+) \tau_z \otimes I (\mathbf{c}_{\mathbf{i}} - \mathbf{c}_{\mathbf{i}+\hat{y}})] \\
 & - \frac{A_1}{2a} i(\mathbf{c}_{\mathbf{i}}^+ \tau_x \otimes s_z \mathbf{c}_{\mathbf{i}+\hat{z}} - \mathbf{c}_{\mathbf{i}+\hat{z}}^+ \tau_x \otimes s_z \mathbf{c}_{\mathbf{i}}) \\
 & \left. - \frac{A_2}{2a} i(\mathbf{c}_{\mathbf{i}}^+ \tau_x \otimes s_x \mathbf{c}_{\mathbf{i}+\hat{x}} - \mathbf{c}_{\mathbf{i}+\hat{x}}^+ \tau_x \otimes s_x \mathbf{c}_{\mathbf{i}} + \mathbf{c}_{\mathbf{i}}^+ \tau_y \otimes s_x \mathbf{c}_{\mathbf{i}+\hat{y}} - \mathbf{c}_{\mathbf{i}+\hat{y}}^+ \tau_y \otimes s_x \mathbf{c}_{\mathbf{i}}) \right\}, \quad (6)
 \end{aligned}$$

where  $\mathbf{c}_{\mathbf{i}} = (c_{\mathbf{i},+,\uparrow}, -ic_{\mathbf{i},-,\uparrow}, c_{\mathbf{i},+,\downarrow}, ic_{\mathbf{i},-,\downarrow})$ , and the operator  $c_{\mathbf{i},\tau,s}$  annihilates an electron at site  $\mathbf{i}$  with parity  $\tau$  and spin  $s$ .

In order to check the adequacy of the tight-binding Hamiltonian for describing the low-energy properties of TI, we calculate the band structure of a TI quantum wire with rectangular cross section. The wire has  $N_x$  and  $N_z$  sites along the  $x$  and  $z$  axes, respectively, and we take it infinitely long in the  $\hat{y}$  direction, so that  $k_y$  is a good quantum number. In Fig. 2, we plot the band structure obtained by diagonalizing the tight-binding Hamiltonian with the parameters corresponding to  $\text{Bi}_2\text{Se}_3$  and a lattice parameter  $a = 1$  nm. In  $\text{Bi}_2\text{Se}_3$  the bulk energy gap is 0.56 eV, therefore the bands shown in Fig. 2 should correspond to midgap surface states. We verify this by computing the energies of the surface states of the quantum wire using the effective Hamiltonians of the different surfaces of the wire, Eq. (3), and the matching conditions Eqs. (4) and (5). For this quantum wire geometry the surface states have an analytical expression [11],

$$\epsilon_{n,k_y} = \pm \sqrt{(A_2 k_y)^2 + \left[ \pi \frac{A_1 A_2}{A_1 L_z + A_2 L_x} \left( n - \frac{1}{2} \right) \right]^2}, \quad (7)$$

with  $n = 1, 2, 3, \dots$ . Analogous expression have been obtained for TI wires with cylindrical geometry [34,35]. In Fig. 2

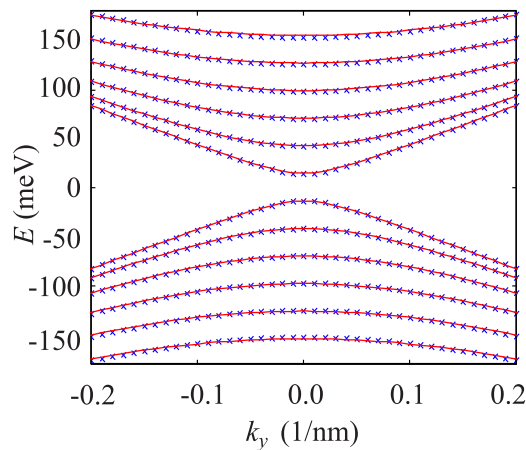


FIG. 2. (Color online) Band structure of a quantum wire along the  $\hat{y}$  direction of  $\text{Bi}_2\text{Se}_3$  obtained from solving the TB Hamiltonian (6) (crosses) and by matching surface states, Eq. (7). The dimensions of the wire are  $N_x = N_z = 15$  and the lattice parameter of the lattice is  $a = 1$  nm.

we plot the quantum wire surface band structure, Eq. (7), as function of the wavenumber  $k_y$  for  $L_x = L_z = (N_x + 1)a$ . The agreement between both calculations indicates that the tight-binding Hamiltonian (6) describes very accurately the surface states of a nanowire.

### C. Conductance

For computing the conductance of a two terminal geometry like the one depicted in Fig. 1, described by a tight-binding Hamiltonian, we use a recursive Green's function method. The rectangular wire is defined along the  $\hat{y}$  direction and connected to normal metallic leads at both ends. The lateral dimensions are  $N_x = N_z$  sites, and the number of layers along the wires is  $N_y = 2N_c + 1$ , with the first and last layers corresponding to the metallic leads. These leads are described by constant imaginary self-energies  $\Sigma_{L,R}^{a,r} = \pm i\gamma P_{L,R}$ , where  $P_{L,R}$  are projectors on the sites of the first and last layer respectively. The energy dependent conductance of the system is [36]

$$G(E) = \frac{4e^2}{h} \text{Tr}[\hat{\Gamma}_L \hat{\mathcal{G}}_{LR}^r(E) \hat{\Gamma}_R \hat{\mathcal{G}}_{RL}^a(E)], \quad (8)$$

where  $\hat{\Gamma}_{L,R} = \text{Im}\Sigma_{L,R}^a$  and  $\hat{\mathcal{G}}_{L,R}^{a,r}(E)$  are the  $16N_x N_z \times 16N_x N_z$  matrix elements of the Green's function operator,

$$\mathcal{G}^{a,r}(E) = (E - H^{\text{TB}} - \Sigma_L^{a,r} - \Sigma_R^{a,r})^{-1}, \quad (9)$$

connecting the outermost layers of the wire. To calculate these quantities we use conventional recursive Green's function techniques (see, e.g., Refs. [37–39]).

## III. RESULTS AND DISCUSSION

### A. Uniform magnetization

We first consider systems with uniform magnetization on top and bottom surfaces, i.e., geometries with exchange fields  $(\Delta_T \Delta_B \Delta_T \Delta_B)$ . In the tight-binding formalism, the exchange fields act as a Zeeman coupling on the lattice surface sites. In these calculations we fix the lateral dimensions of the wires to  $N_x = N_z = 15$ , and the length of the wires is  $N_y = 59$ . We take a lattice parameter  $a = 1$  nm, large enough to avoid the direct coupling between surface states of opposite surfaces. The choice of the size of the exchange fields requires some analysis. Common materials to be used for this purpose include EuO, EuS, and MnSe. While calculated values for the exchange fields range from 8.5 to 54 meV [40–42], some evidence of induced gaps as large as 90 meV has been reported for MnSe

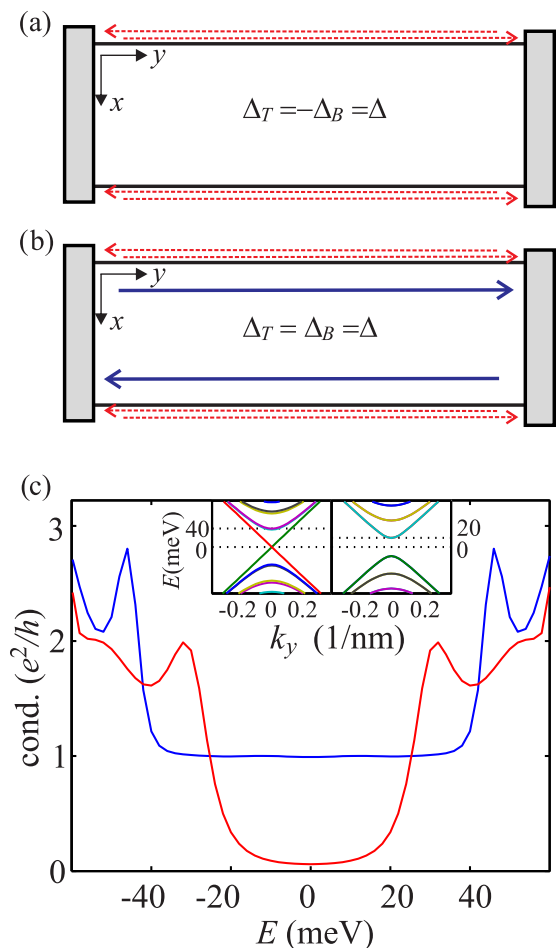


FIG. 3. (Color online) Schematic representation of the edge charge carrying channels in the (a)  $(\Delta\bar{\Delta}\Delta\bar{\Delta})$  and (b)  $(\Delta\Delta\Delta\Delta)$  configurations. The red dashed lines represent nonchiral states confined on the laterals  $\pm x$  surfaces. In (b) the continuum blue lines indicate chiral edge states. In (c) we plot the conductance as a function of energy for the  $(\Delta\Delta\Delta\Delta)$  (blue) and  $(\Delta\bar{\Delta}\Delta\bar{\Delta})$  (red) configurations. The peak in the conductance at  $\sim 45$  meV ( $\sim 32$  meV) in the equal (opposite) magnetization cases corresponds to the lowest nonchiral energy confined state on the lateral surfaces. The insets in (c) show the band structure for the  $(\Delta\Delta\Delta\Delta)$  (left panel), and  $(\Delta\bar{\Delta}\Delta\bar{\Delta})$  (right panel) cases. The dimensions of the wire are  $N_x = N_z = 15$  and  $N_y = 59$ . The lattice parameter is  $a = 1$  nm and the exchange field  $\Delta = 90$  meV.

films epitaxially grown on Bi<sub>2</sub>Se<sub>3</sub> [43]. In our calculations we fix the magnitude of the exchange field to this 90 meV value. Although this is somewhat larger than the commonly expected values, the results that we present below do not depend qualitatively on the size of this parameter.

In Fig. 3 we plot the results for the equal  $(\Delta\Delta\Delta\Delta)$  and opposite  $(\Delta\bar{\Delta}\Delta\bar{\Delta})$  configuration cases. In both cases the  $z$  surfaces are gapped and should have a half-integer anomalous Hall conductivity. In the equal magnetization case, there are midgap energy chiral edge states [see inset of Fig. 3(c)] with wave functions that decay exponentially in the  $z$  surfaces and are constant in the lateral  $x$  surfaces [11]. Mathematically these solutions appear when matching the surface states determined by Eq. (3) for  $x$  and  $z$  surfaces using

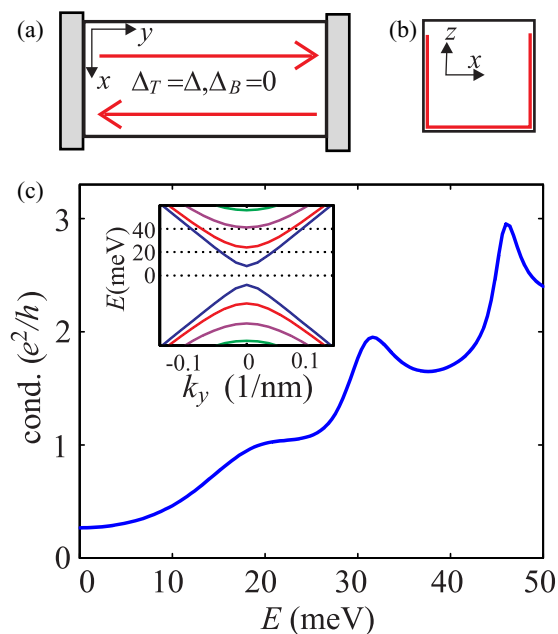


FIG. 4. (Color online) (a) Schematic representation of the charge carrying channels in the  $(\Delta 0 \Delta 0)$  configuration. The red lines represent the lowest energy states confined along the  $\pm x$  surfaces and  $-z$  surfaces. This state is not chiral and is spin polarized. In (b) the red lines around the surface on a  $x$ - $z$  cross section indicate the region where these states have a significant weight. Finally, in (c) we plot the conductance as a function of energy for this configuration. The inset in (c) shows the corresponding band structure for an infinite wire. Due to the exchange field in the top layer, the lowest energy confined states are spin polarized. The wire dimensions and other parameters as in Fig. 3.

the connecting matrices of Eq. (5). Physically, the existence of the chiral edge states indicates that the wire behaves as an integer anomalous quantum Hall system. In the opposite magnetization case, it is not mathematically possible to match the midgap states in top and bottom surfaces through the lateral  $x$ -surface chiral states, and the wire as a whole behaves as a normal insulator. These results indicate that the  $(\Delta\bar{\Delta}\Delta\bar{\Delta})$  configuration preserves time reversal symmetry. In the equal polarization configuration the existence of chiral edge states quantizes the conductance of the system to  $e^2/h$  [Fig. 3(c)]. On the contrary, when the exchange field has opposite sign on opposite surfaces the chiral modes are absent and only a residual conductance  $G(E) \sim \exp(-L\Delta/\hbar v_F)$ , with  $L = N_c a$  appears at low energies due to evanescent modes. In both configurations, for larger absolute values of the chemical potential, higher energy lateral confined states yield an extra  $2e^2/h$  contribution to the conductance.

In Fig. 4 we show the conductance for a TI wire with an exchange field applied uniformly just on the  $+z$  surface, i.e., for a  $(\Delta 0 \Delta 0)$  configuration. In this geometry only the top surface has a half-integer quantized Hall conductivity. In absence of electron-electron interaction chiral edge states carry an integer charge, and therefore it cannot be possible to observe a half-integer QHE. This is consistent with the impossibility of matching the midgap energy solutions of the surface Hamiltonians (3) corresponding to this configuration.



The lowest energy confined state is nonchiral and corresponds to a wave function confined along the bottom and lateral surfaces [see Fig. 4(b)]. The exchange field on the top surface makes the low-energy confined states spin polarized; this results in a shoulder in the conductivity at  $e^2/h$  and peaks at  $2e^2/h$  and  $3e^2/h$  as a function of energy.

### B. Nonuniform magnetization

In this section we consider geometries where the magnetization in one or in both  $z$  surfaces is not uniform. When joining two surfaces with different quantum Hall conductivities some chiral bound modes should appear at the junction [1,44]. Similar states have been predicted to appear at  $p$ - $n$  junctions in the presence of a magnetic field [45,46]. The number of chiral modes is equal to the change in Chern number when traversing the junction. In two dimensions this is equivalent to the difference in Hall conductivities in units of  $e^2/h$ . The charge current direction of this chiral bound mode should be appropriated to preserving current conservation [1,47]. In a magnetically doped  $z$  surface the Hall conductivity is quantized to  $\pm e^2/2h$ , with the sign depending on the orientation of the magnetization. Therefore, at the junction between two regions of a  $z$  surface with opposite exchange field, a single chiral bound mode should appear.

In Fig. 5 we plot the conductance for a wire with the bottom surface uniformly magnetized in the  $z$  direction, whereas the left and right parts of the top surface are polarized in the positive and negative direction, respectively, i.e., a  $(\Delta\Delta\bar{\Delta}\Delta)$  configuration. In this geometry an edge channel appears at the

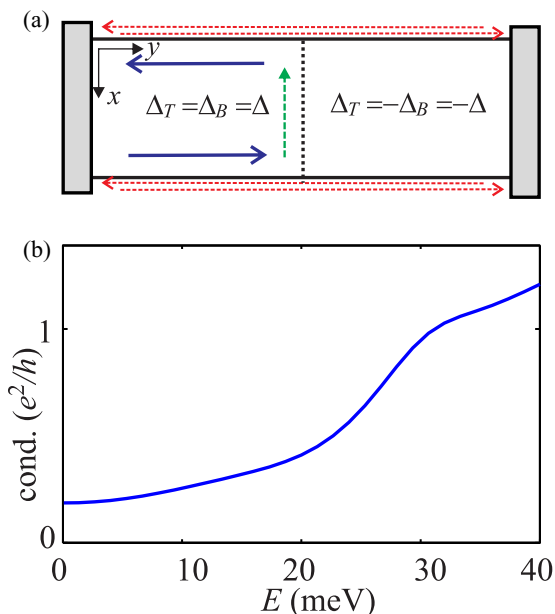


FIG. 5. (Color online) (a) Schematic representation of the charge carrying channels of a TI wire in the  $(\Delta\Delta\bar{\Delta}\Delta)$  configuration. The red dashed lines represent nonchiral states confined along the  $\pm x$  surfaces. The continuum blue lines indicate lateral chiral edge states. The green dashed line represents a chiral bound mode separating regions with opposite magnetization in the top surface. In (b) we plot the conductance as a function of energy. The wire dimensions and other parameters as in Fig. 3.

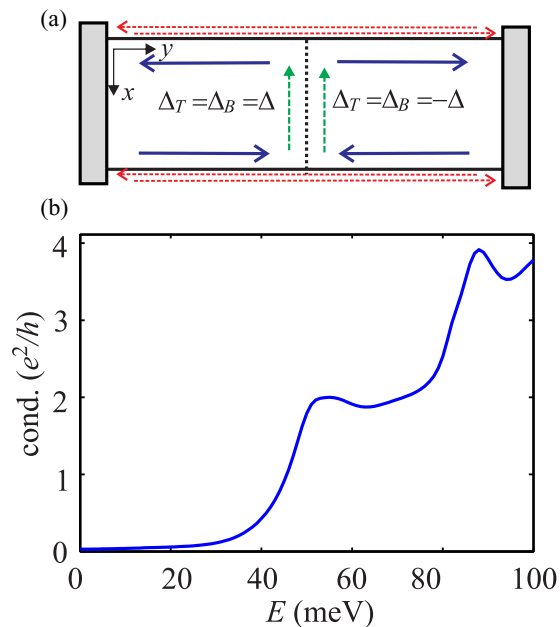


FIG. 6. (Color online) (a) Schematic representation of the charge carrying channels of a TI wire in the  $(\Delta\Delta\bar{\Delta}\bar{\Delta})$  configuration. The red dashed lines represent nonchiral states confined along the  $\pm x$  surfaces. The continuum blue lines indicate lateral chiral edge states. The green dashed (vertical) lines represent a chiral bound mode separating regions with opposite magnetization in the top (bottom) surface. In (b) we plot the conductance as a function of the Fermi energy of the wire. The wire dimensions and other parameters as in Fig. 3.

middle of the top surface. At low energy, this state produces a perfect backscattering of the current carried by the chiral state confined in the  $x$  surface through the chiral edge state in the  $-x$  surface. The shoulder at  $e^2/h$  that appears in the conductance for energies near 30 meV corresponds to the coupling between the incoming chiral edge mode with the nonchiral confined state in the  $(\Delta\bar{\Delta}\Delta\bar{\Delta})$  configuration of the right part of the wire (see Fig. 3).

Chiral bound states also occur when the left and right parts of the TI wire have opposite sign Hall conductivity. In Fig. 6(b) we plot the conductance for the  $(\Delta\Delta\bar{\Delta}\bar{\Delta})$  configuration. Here the top and bottom left surfaces have a positive exchange field and globally a positive integer quantum Hall conductivity. In the right part of the wire the orientation of the exchange fields and, accordingly, the sign of the Hall conductivity are reversed. As a consequence, at the junction between left and right parts, two chiral edge modes, carrying charge in the same direction, appear at the junction. One of these modes is located in the top surface, whereas the other is located in the opposite one. The chiral bound states reflect the incident current perfectly and at low energy the conductance is negligible. At  $E \sim 55$  meV the conductance jumps to  $2e^2/h$  due to the contribution of the lateral confined nonchiral state, in agreement with the band structure for the equal magnetization case illustrated in Fig. 3. It should be mentioned that this geometry is somewhat equivalent to the  $p$ - $n$  device proposed in Ref. [28]. In our case, the jump in the magnetization plays the role of change from  $p$  to  $n$  doping in the device of Ref. [28]. A similar

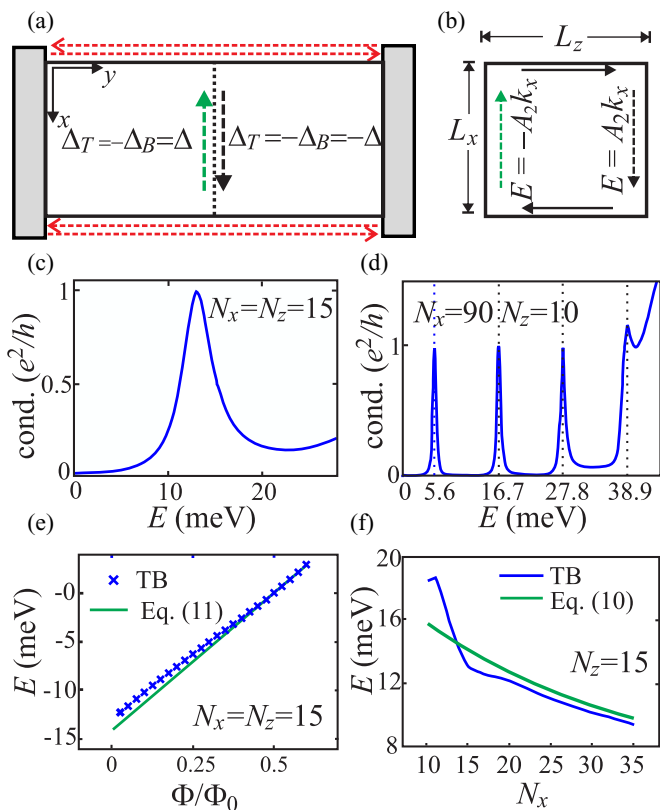


FIG. 7. (Color online) (a), (b) Schematic representation of the charge carrying channels of a TI wire in the  $(\Delta\bar{\Delta}\bar{\Delta}\Delta)$  configuration. The red dashed lines represent nonchiral states confined along the  $\pm x$  surfaces. The green and black dashed lines indicate chiral edge bound states in the  $\pm$  surfaces. In (b) the continuous blue lines represent chiral edge bound states in the  $\pm x$  surfaces. The continuum blue lines indicate lateral chiral edge states. The green dashed (continuous) line represents a chiral bound mode separating regions with opposite magnetization in the top (bottom) surface. In (c) we plot the conductance as a function of energy for  $N_x = N_z = 15$  and  $N_y = 59$ . (d) Conductance as a function of energy for  $N_x = 90$ ,  $N_z = 15$ , and  $N_y = 59$ . (e) Energy of the lowest confined state as a function of a magnetic flux applied parallel to the  $\hat{y}$ . In (f) we plot the energy of the lowest confined state, obtained from the tight-binding calculation and from Eq. (10) as a function of  $N_x$  for  $N_z = 15$ . All other parameters as in Fig. 3.

configuration of interference paths chiral bound states at the interface appears in both cases. Therefore, we have checked that the present geometry exhibits a similar interference pattern in the conductance as a function of the magnetic flux across the wire cross section as the one reported in Ref. [28].

### C. Bound states in the antisymmetric $(\Delta\bar{\Delta}\bar{\Delta}\Delta)$ configuration

An interesting situation occurs when the chiral edge bound states on top and bottom surfaces have opposite velocities. This case happens in the  $(\Delta\bar{\Delta}\bar{\Delta}\Delta)$  geometry, where the exchange fields change sign when going from left to right and from bottom to top of the wire. In this configuration, at the junction between left and right parts of the  $\pm z$  surfaces there appear opposite directed chiral bound states (see Fig. 7). Because the magnetization on top and bottom layers has opposite directions

there are no chiral states in the lateral  $\pm x$  surfaces. However, in this geometry there are chiral bound states at the junction between the left and the right parts of the wire. The wave functions in the  $\pm x$  surfaces are confined by the exchange gaps in the  $\pm z$  surfaces. The magnetization has opposite sign on the left and right parts of the TI wire, and therefore the wave function corresponding to the lowest positive (highest negative) energy state in the right (left) part of the  $x$  surface coincides with the wave function of the highest negative (lowest positive) energy state in the left (right) part of the sample. This crossing of the positive and negative energy states when crossing the junction between left and right parts, justifies the existence of a chiral bound mode in the  $x$  surface. With the same arguments we expect a chiral bound state in the  $-x$  surface pointing in the opposite direction.

In Fig. 7(c) we plot the conductance as a function of energy for a wire with magnetization  $(\Delta\bar{\Delta}\bar{\Delta}\Delta)$  and  $N_x = N_z = 15$  and  $N_y = 59$ . There is a well defined peak with a maximum value of  $e^2/h$  that indicates resonant tunneling through a confined state. When the perimeter of the wire increases, the energy of the confined state decreases and more confined states appear in the gap energy [see Fig. 7(d)]. In addition, the energy of the lowest confined states is half the energy separation between higher confined states. This suggests that these states embrace the wire and are formed by matching the four one-dimensional bound chiral modes in the  $\pm x$  and  $\pm z$  surfaces [11].

The wave function of these chiral confined modes in the  $\pm z$  surfaces can be approximately obtained by using the surface Hamiltonians, Eq. (3). For the  $\pm z$  surfaces the wave function has the form  $\psi^{\pm z}(x, y) = (1, -i)^T e^{\pm ik_x x} e^{-\Delta|y|}$ , with  $k_x > 0$ . These states are bound near  $y = 0$  and have opposite velocities on opposite surfaces. Their spin is, however, well defined along the  $y$  direction. An adequate description of the chiral bound modes in the  $\pm x$  surfaces requires a basis of electronic states larger than that used with the surface Hamiltonians. However, an approximate ansatz for these wave functions is provided by  $\psi^{\pm x}(y, z) = (i, 1)^T e^{\mp ik_z z} e^{-\bar{\Delta}|y|}$ , with  $k_z > 0$  and  $\bar{\Delta}$  the effective gap in the  $\pm$  surfaces. When an electron moves enclosing a loop around the TI wire, the matching of the wave function, Eqs. (4) and (5), gives the quantization condition,

$$E_n = \frac{A_1 A_2 (n + \frac{1}{2}) \pi}{A_2 L_z + A_1 L_x}, \quad n = 0, 1, \dots \quad (10)$$

The  $\pi/2$  that appears in the quantization energy occurs due to the helical nature of the carriers; when the electrons wind around the TI wire, the expectation value of the Pauli matrices that appear in the surface Hamiltonians rotate by  $2\pi$ , and the electron wave function acquires a phase of  $\pi$  [48]. This shift in the quantization condition agrees perfectly with the fact that the energy of the lowest confined state is half the separation in energy of other confined states. In Fig. 7(e) we plot the energy of the confined states as a function of the lateral size of the wire  $N_x$  for  $N_z = 15$ . The agreement between the results obtained with the tight-binding calculations and Eq. (10) is good at larger values of  $N_x$ ; this reflects the fact that there is a gap in the  $\pm x$  surfaces induced by the the top and bottom surfaces.

Finally, to check that the confined states correspond to a wave function encircling the wire, we have added a magnetic

flux,  $\Phi$ , through the wire cross section. The bound states should evolve according to

$$E_n(\Phi) = \frac{A_1 A_2 (n + \frac{1}{2} + \frac{\Phi}{\Phi_0}) \pi}{A_2 L_z + A_1 L_x}, \quad (11)$$

where  $\Phi_0$  is the flux quantum. In Fig. 7(e) we plot the energy of the first confined state obtained from tight-binding calculations as a function of the magnetic flux. As can be observed, the energy of this resonant level follows closely the analytical prediction of Eq. (11) and vanishes as expected at  $\Phi = \Phi_0/2$ .

#### IV. CONCLUSIONS

In this work we have analyzed the electronic structure and the conductance of a TI nanowire with uniform and nonuniform magnetization on its surfaces. For the uniform case with equal polarization on the  $\pm z$  surfaces, conduction through chiral states propagating along the  $\pm x$  surfaces yields a quantized  $e^2/h$  conductance at low energies. The conductance could be almost fully suppressed by reversing

the magnetization on one of the surfaces or on one half of the wire, thus providing a switching mechanism. On the other hand, for the nonuniform case in the antisymmetric ( $\Delta \bar{\Delta} \bar{\Delta} \Delta$ ) configuration, chiral bound states appear at the center of the wire, leading to resonant tunneling with a conductance raising up to  $e^2/h$  for symmetric coupling to the left-right leads. The energy of these states is well described by a simple analytical expression, showing that it depends on the wire perimeter and can be controlled by a magnetic flux through its cross section. The magnetically doped nanowires thus provide a physical realization of a highly tunable spin-polarized quantum dot.

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