

# Emergence of a Kondo singlet state with Kondo temperature well beyond 1000 K in a proton-embedded electron gas

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Hydrogen in metals has attracted much attention for a long time from both basic scientific and technological points of view. Its electronic state has been investigated in terms of a proton embedded in the electron gas mostly by the local density approximation (LDA) to the density functional theory. At high electronic densities, it is well described by a bare proton  $H^+$  screened by metallic electrons (charge resonance), while at low densities two electrons are localized at the proton site to form a closed-shell negative ion  $H^-$  protected from surrounding metallic electrons by the Pauli exclusion principle. However, no details are known about the transition from  $H^+$  to  $H^-$  in the intermediate-density region. Here, by accurately determining the ground-state electron distribution  $n(\mathbf{r})$  by the use of LDA and diffusion Monte Carlo simulations with the total electron number up to 170, we obtain a complete picture of the transition, in particular, a sharp transition from short-range  $H^+$  screening charge resonance to long-range Kondo-type spin-singlet resonance, the emergence of which is confirmed by the presence of an anomalous Friedel oscillation characteristic to the Kondo singlet state with the Kondo temperature  $T_K$  well beyond 1000 K. This study not only reveals interesting competition between charge and spin resonances, enriching the century-old paradigm of metallic screening to a point charge, but also discovers a high- $T_K$  system long sought in relation to the development of exotic superconductivity in the quantum critical regime.

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## I. INTRODUCTION

Physics in the heavy-fermion superconductors has been understood by the concept of quantum criticality in a system of regularly arrayed dense Kondo impurities (Kondo lattice) [1–3] and the spin-fluctuation mechanism is believed to be responsible for superconductivity, as inferred from the strong correlation between the superconducting transition temperature  $T_c$  and the Kondo temperature  $T_K$  [4–7]. More specifically,  $T_c$  is of the order of  $0.1T_K$ , as shown in Fig. 1 plotted based on the information available in the literature, from which we can conceive an idea that high- $T_c$  superconductivity will be obtained if we can discover a Kondo system with very high  $T_K$ . In fact, the recently discovered plutonium compounds such as  $PuCoGa_5$  with  $T_c = 18.5$  K and  $T_K \approx 260$  K [8,9] may be regarded as the successful realization of this idea. Thus, we should make further pursuit of this idea by searching for a new class of Kondo systems with  $T_K$  higher than 1000 K. Theoretically, this search can be done by the first-principles quantitative determination of  $T_K$  for the composite system of an impurity atom embedded in a metal.

As first suggested by Debye and Hückel [10], an atomic nucleus charge  $+Ze$  in a metal is screened by accumulation of metallic electrons which is regarded as a charge resonance and well described by the linear-response theory. This concept of metallic screening is prevailing for a century, but because  $Z$  is not infinitesimally small, we need to consider nonlinear effects in the screening, including the contribution from spin fluctuations. The spin contribution will be enhanced, if  $Z$  is an odd integer, such as  $Z = 1$  (case of a proton), in which a spin-polarized bound state might appear at the impurity atom.

With the above basic scientific issues in mind, we have concerned with the problem of hydrogen impurity in metals which attracts long attention from a technological point of view [11], such as hydrogen storage in solids, sensor applications, and catalysis. Its electronic state has been investigated in

terms of a proton immersed into an interacting many-electron system plus a compensating background (electron gas: EG) since 1970s because this is an ideal system to study important topics related to an impurity in metals, such as the embedding energy, a key quantity in the effective-medium theory [12–14]. It is also studied from a motivation to improve on the local density approximation (LDA) to the density functional theory (DFT) by using the electron distribution  $n(\mathbf{r})$  obtained by quantum Monte Carlo methods [15–17]. Nonlinear metallic screening is another extensively examined topic in this system [18–20], but no serious attention has been paid so far to the spin resonance effect.

The homogeneous EG with the average density  $n_0$  is specified by a single parameter  $r_s$ , defined by  $r_s = (3/4\pi n_0)^{1/3}$  in units of the Bohr radius  $a_B$ . (We use atomic units hereafter.) Its characteristic energy is the Fermi energy  $\varepsilon_F$ , given by  $k_F^2/2 (= 1.84r_s^{-2})$  with  $k_F (= 1.92r_s^{-1})$  the Fermi momentum [Fig. 2(a)]. Hydrogen, on the other hand, has two typical energies [Fig. 2(b)], the  $1s$  level  $\varepsilon_{1s} (= 0.5)$  and the electron affinity  $\varepsilon_A (= 0.0278)$ . Ratio of  $\varepsilon_F$  to  $\varepsilon_{1s}$  or  $\varepsilon_A$  determines the character of the ground state in the proton-embedded EG; for high  $n_0$  corresponding to  $\varepsilon_F \gg \varepsilon_{1s}$  (or  $r_s \ll 2$ ), the  $1s$  level is buried in the continuum of EG and thus no electrons are bound to a bare proton  $H^+$ , leading to a charge resonance (CR) state in which  $H^+$  is dielectrically screened by accumulation of itinerant electrons near the Fermi level  $\mu$  [Fig. 2(c)]. For low  $n_0$  with  $\varepsilon_F \ll \varepsilon_A$  (or  $r_s \gg 10$ ),  $H^+$  captures two antiparallel-spin electrons to form  $H^-$ . This closed-shell negative ion resides in EG with repelling other electrons owing to the Pauli exclusion principle [Fig. 2(d)], but if  $\varepsilon_F$  increases and reaches as high as  $\varepsilon_A$ , the Fermi pressure from EG to the ion becomes so large that the localized electrons in  $H^-$  begin to spill out into EG. Then, a crucial question is whether this state at  $\varepsilon_F \approx \varepsilon_A$  is the same as that in Fig. 2(c) or not.

Intuitively, for  $\varepsilon_A \lesssim \varepsilon_F \lesssim \varepsilon_{1s}$ , we can imagine a spin-polarized state made of a single electron with either up or

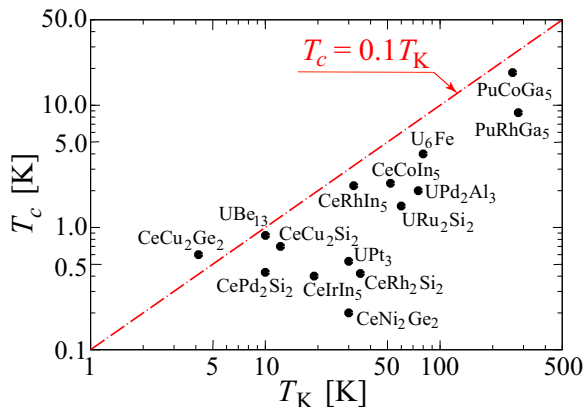


FIG. 1. (Color online) Superconducting transition temperature  $T_c$  versus Kondo temperature  $T_K$  (a characteristic energy scale for spin fluctuations) in heavy-fermion superconductors.

down spin captured by  $H^+$ , but in view of the concept of spin screening to form a Kondo singlet [1,21] in the impurity Anderson model (IAM) [22], we anticipate the emergence of not a spin-polarized but a Kondo-type spin-singlet resonance (SSR) state  $\Psi_{\text{SSR}}$  [Fig. 2(e)]. Because there is no clear distinction between conduction and localized electrons, this SSR state is composed of only itinerant electrons near  $\mu$  without a local spin moment, similar to CR, but an important difference exists in the screening length; for CR, it is the Thomas-Fermi length  $\lambda_{\text{TF}} \approx k_F^{-1}$ , but for SSR, the Kondo-screening length  $\xi_K$  is much longer than  $k_F^{-1}$ , leading to an anomalous Friedel oscillation [23–26]. Then, the main aim of this paper is to confirm this conjecture about the emergence of SSR in the proton-embedded electron gas with determining  $T_K$  from first principles, but this confirmation is not an easy task due to the existence of various difficulties, as we shall explain in the following in some detail.

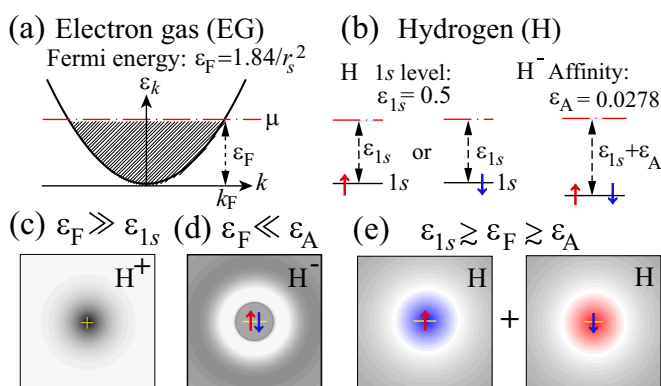


FIG. 2. (Color online) Proton-embedded EG with characteristic energies in (a) and (b). Three possible ground states are schematically illustrated in (c)–(e) corresponding, respectively, to a bare proton  $H^+$  screened by metallic electrons with the screening length  $\approx k_F^{-1}$  (a CR state), a closed-shell ion  $H^-$  confined in EG, and an SSR state in which if the  $1s$  (virtual) level of H is temporarily occupied by a single up- (down-) spin electron, down- (up-) spin clouds of itinerant electrons are formed around H for spin screening with the screening length  $\xi_K \gg k_F^{-1}$ . These temporary states are superposed with interchanging the roles of spins to make a resonance state  $\Psi_{\text{SSR}}$ .

The Hamiltonian for IAM,  $H_A$ , is written as [22]

$$H_A = \sum_{k\sigma} \varepsilon_k c_{k\sigma}^\dagger c_{k\sigma} + E_d \sum_{\sigma} c_{d\sigma}^\dagger c_{d\sigma} + U c_{d\uparrow}^\dagger c_{d\uparrow} c_{d\downarrow}^\dagger c_{d\downarrow} + \sum_{k\sigma} V_{dk} (c_{d\sigma}^\dagger c_{k\sigma} + c_{k\sigma}^\dagger c_{d\sigma}), \quad (1)$$

in second quantization with use of the annihilation operator  $c_{k\sigma}$  for a conduction electron with wave vector  $\mathbf{k}$ , spin  $\sigma$ , and one-body band energy  $\varepsilon_k$ , while  $c_{d\sigma}$  is an operator to destroy a localized  $d$  electron with spin  $\sigma$  at the energy level  $E_d$ . The  $d$  electrons not only interact to each other at the localized site with the strength  $U$ , but also hybridize with the conduction electrons with the strength  $V_{dk}$ . Based on  $H_A$ ,  $\Psi_{\text{SSR}}$  is given as [27,28]

$$\Psi_{\text{SSR}} = a_0 \Phi_0 + \sum_k a_k (c_{d\uparrow}^\dagger c_{k\uparrow} + c_{d\downarrow}^\dagger c_{k\downarrow}) \Phi_0, \quad (2)$$

where  $\Phi_0$  is the Slater determinant made of conduction-electron orbitals and the parameters  $a_0$  and  $a_k$  are to be determined variationally. As Eq. (2) clearly shows,  $\Psi_{\text{SSR}}$  is not described by a single Slater determinant but is a correlated many-body state. It must also be noted that *continuum* conduction states around the Fermi level  $\mu$  are indispensable for the construction of this  $\Psi_{\text{SSR}}$ .

In our work, we are not allowed to employ this simple model  $H_A$ . Instead, we have to start with the first-principles Hamiltonian  $H$ , described in atomic units as

$$H = - \sum_i \frac{\nabla_i^2}{2} + \frac{1}{2} \sum_{i \neq j} \frac{1}{|\mathbf{r}_i - \mathbf{r}_j|} + \sum_i v_{\text{ext}}(\mathbf{r}_i) + C_N^Z, \quad (3)$$

in first quantization. Here, we have considered a neutral atom of atomic number  $Z$  at the origin of coordinates immersed into the jellium sphere of radius  $R$  and average density  $n_0$ . The number of electrons contained in the jellium sphere is  $4\pi R^3 n_0 / 3 = (R/r_s)^3$ , so that the total electron number  $N$  is equal to  $Z + (R/r_s)^3$ , satisfying global neutrality, from which we obtain  $R = (N - Z)^{1/3} r_s$ . In Eq. (3), the external potential working on an electron  $v_{\text{ext}}(\mathbf{r})$  is composed of the potential from the nucleus and that from the positive background, written as

$$v_{\text{ext}}(\mathbf{r}) = - \frac{Z}{|\mathbf{r}|} - \frac{N - Z}{2} \frac{3R^2 - r^2}{R^3} \theta(R - |\mathbf{r}|) - \frac{N - Z}{|\mathbf{r}|} \theta(|\mathbf{r}| - R), \quad (4)$$

with  $\theta(x)$  the Heaviside function and  $C_N^Z$  represents the Coulomb self-energy stemming from both nucleus-background and intrabackground interactions, given by

$$C_N^Z = \frac{3}{2} \frac{Z(N - Z)^{2/3}}{r_s} + \frac{3}{5} \frac{(N - Z)^{5/3}}{r_s}. \quad (5)$$

In solving Eq. (3), we impose the fixed boundary condition to make the wave function vanish at  $|\mathbf{r}_i| = R$ . Irrespective of whether we include the constant term  $C_N^Z$  in  $H$  or not, there is no problem of divergence in this finite- $N$  system, but  $C_N^Z$  is needed in order to achieve the mutual global cancellation in energies between the electron-background attractive potentials

and the repulsive potentials of both electron-electron and intra-background interactions for the bulk ( $N \rightarrow \infty$ ) system [29].

By comparing  $H$  in Eq. (3) with  $H_A$ , we readily see their differences; first, there is no predetermined localized state in  $H$  and thus a (virtual) localized state, if any, must be determined in the first place in constructing  $\Psi_{\text{SSR}}$  with related parameters such as  $E_d$ ,  $U$ , and  $V_{dk}$ , if necessary. Second and more importantly, the long-range Coulomb interaction, which is completely neglected in  $H_A$ , works among metallic electrons in  $H$ , making solution of the problem quite difficult. In fact, even in the homogeneous EG without the embedded atom, the problem is sufficiently complicated in both variational [30–32] and Green's-function [33,34] approaches. Incidentally, this long-range Coulomb interaction is the source to bring about CR and therefore it is indispensable for discussing competition between CR and SSR. Since this discussion constitutes another important aim of this paper, we can never neglect this long-range Coulomb interaction, making all theoretical and computational techniques developed so far for  $H_A$  useless to  $H$ .

Usually, the first-principles Hamiltonian is solved by either diffusion Monte Carlo (DMC) simulations or DFT-based methods. The former is an excellent method to obtain fairly accurate results for the ground state, but it can never directly treat  $\Psi_{\text{SSR}}$ , because DMC simulations can be done only for finite- $N$  systems in which all levels are discrete, while in constructing  $\Psi_{\text{SSR}}$ , we need continuum conduction states which are allowed only in the bulk ( $N \rightarrow \infty$ ) system. The latter methods can easily treat the bulk system, but the ground-state physical quantities are calculated in terms of a single Slater determinant made of Kohn-Sham (KS) orbitals introduced in DFT, so that it is not clear at all as to how much the obtained quantities reflect the highly correlated many-body nature of  $\Psi_{\text{SSR}}$  and how accurate they are, especially because in actual calculations we always have to resort to some approximation to the exchange-correlation energy functional  $E^{\text{xc}}[n(\mathbf{r})]$  such as LDA.

Faced with those difficulties, we have decided to focus on  $n(\mathbf{r})$  rather than the wave function  $\Psi_{\text{SSR}}$  itself, mainly because DFT can, in principle, provide *exact*  $n(\mathbf{r})$  and the corresponding ground-state energy  $E_0$  by projecting the real interacting many-body system to a fictitious auxiliary noninteracting system in which  $n(\mathbf{r})$  can be calculated with use of a single Slater determinant made of KS orbitals, even if we know nothing about  $\Psi_{\text{SSR}}$  in the real system. This nontrivial assertion, one of the central theorems in DFT, is *rigorously proved* as long as the ground state is nondegenerate [35], as is the case for SSR. Of course, information obtained only through  $n(\mathbf{r})$  and  $E_0$  is limited and useless for discussing transport [36] and excited-state properties, but we claim that it is still plenty enough for our purpose of distinguishing between CR and SSR states and determining  $T_K$  in SSR. In the context of DFT, given exact  $n(\mathbf{r})$ , it is also an interesting issue to clarify how KS orbitals, which are defined in the fictitious system and thus have basically no physical relevance, behave so as to correctly provide  $n(\mathbf{r})$  in a strongly correlated state such as SSR. Thus, this clarification constitutes an additional aim of this paper.

In implementing calculations of  $n(\mathbf{r})$ , we adopt the following strategies: (i) In actual LDA calculations, we employ the local spin-density approximation (LSDA) [37] by choosing a spin-dependent exchange-correlation energy functional  $E^{\text{xc}}[n_\sigma(\mathbf{r})]$  written in terms of the spin-resolved electron

distribution  $n_\sigma(\mathbf{r})$ , so that we can check a possibility of the spin-polarized (i.e., non-spin-singlet) ground state by detecting the difference between  $n_\uparrow(\mathbf{r})$  and  $n_\downarrow(\mathbf{r})$ . (ii) We assess the results in LSDA at finite- $N$  systems in comparison with those in fixed-node DMC [38] with taking  $N$  up to 170, a much larger size than those in previous variational Monte Carlo (VMC) calculations [16,17]. (iii) We obtain  $n(\mathbf{r})$  in the bulk system by the calculation in LSDA and also by extrapolation of DMC data to  $N \rightarrow \infty$ . The extrapolated results for  $n(\mathbf{r})$  in DMC are independent of  $N$  and thus we can assume that they will be free from any restrictions incurred from the fixed-node approximation, the only approximation adopted in DMC simulations, because the fixed-node positions in DMC are prescribed by  $R$  [and consequently by  $N$  for given  $r_s$ , due to  $R = (N - Z)^{1/3} r_s$ ] in the fixed boundary condition, indicating that independence of  $N$  also suggests independence of the postulated node positions. (iv) We check whether the obtained  $n(\mathbf{r})$  at  $N \rightarrow \infty$  exhibits the behavior characteristic to the Kondo SSR state or not. More specifically, we look for *modulation of the Friedel-oscillation period*, a very important inherent property of the anomalous Friedel oscillation, to which we call serious attention for the first time in quantitatively determining  $\xi_K$  and consequently  $T_K$  from first principles.

In accordance with those strategies, we have investigated  $n(\mathbf{r})$  in finite- $N$  systems in both LSDA and DMC to find spin-unpolarized ground states with a strong size effect and a series of *magic numbers* (10, 60, 170, . . .) of  $N$  at which convergent results are easily obtained. These features can be explained in terms of the emergence of SSR with its long-range nature of  $\xi_K$ . Its emergence is also signaled in LSDA at  $N \rightarrow \infty$  for  $r_s \gtrsim 2$  by the appearance of a strange shallow bound KS orbital below the conduction band bottom with an unusually long binding radius. In spite of the large size effect, for *each*  $N$ ,  $n(\mathbf{r})$  in LSDA agrees very well with that in DMC.

By summarizing the results thus calculated, we have obtained a ground-state diagram in  $(r_s, N^{-1})$  space, shown in Fig. 3, exhibiting sharp sequential transitions among CR, SSR, and closed-shell  $\text{H}^-$  ion confinement states. Contrary to the previous explanation [12], we claim that the very shallow bound KS orbital found in LSDA at intermediate densities is not a physical  $\text{H}^-$  but appears just to describe the long-range change of  $n(\mathbf{r})$  over  $\xi_K$  in SSR in the form of an *envelope density*. We also find that hydrogen is most stably embedded in EG in the SSR region, especially, optimally firmly at  $r_s \approx 4$  with the Kondo temperature  $T_K \approx 2100$  K, indicating our success in discovering a long-sought high- $T_K$  system.

In Sec. II, we explain the calculation methods in both LSDA and DMC. In Sec. III, we show the calculated results and in Sec. IV we discuss on the obtained results, together with their implications and future directions. Finally, in Sec. V, we give a summary of this paper.

## II. CALCULATION METHODS

### A. LSDA in the finite- $N$ system

Let us consider the neutral system of a single nucleus with atomic number  $Z$  embedded in the  $N$ -electron jellium sphere of radius  $R$ . Its Hamiltonian  $H$  is given in Eq. (3). In LSDA

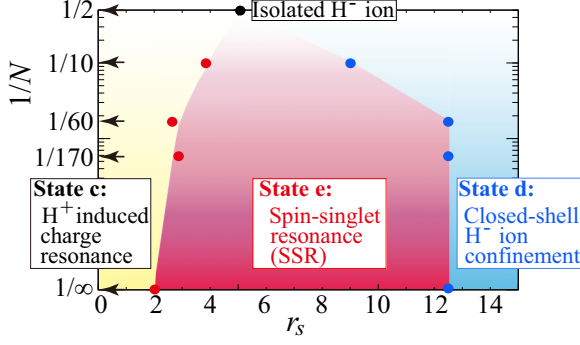


FIG. 3. (Color online) Ground-state diagram in the proton-embedded electron gas in  $(r_s, N^{-1})$  space with  $N$  the total electron number, indicating sharp but size-dependent sequential transitions among CR, SSR, and closed-shell ion  $H^-$ . Rigorously speaking, SSR is defined only at  $N \rightarrow \infty$ , but the states for finite  $N$  directly connected to SSR at  $N \rightarrow \infty$  are also called SSR. In the bulk system, the screening length  $\xi_K$  is predicted to diverge at the CR-SSR boundary or  $r_s \approx 1.97$  with the change of  $r_s$ , signaling the sharp transition. For an isolated  $H^-$  ion at  $N = 2$ , we have used the exact data for  $n(\mathbf{r})$  [39] to determine  $r_s = 5.04$  by averaging the local  $r_s(\mathbf{r}) \{= [3/4\pi n(\mathbf{r})]^{1/3}\}$  over the weight of  $n(\mathbf{r})$  itself.

to DFT, the KS equation is written as

$$[-\nabla^2/2 + v_\sigma^{\text{KS}}(\mathbf{r})]\phi_{i\sigma}(\mathbf{r}) = \varepsilon_{i\sigma}\phi_{i\sigma}(\mathbf{r}), \quad (6)$$

where  $\varepsilon_{i\sigma}$  and  $\phi_{i\sigma}$  are the energy level and the normalized wave function for KS orbital  $i$  and spin  $\sigma$ , respectively, and  $v_\sigma^{\text{KS}}(\mathbf{r})$  is the KS potential, determined by

$$v_\sigma^{\text{KS}}(\mathbf{r}) = v_{\text{ext}}(\mathbf{r}) + \int d\mathbf{r}' \frac{n(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} + v_\sigma^{\text{xc}}(\mathbf{r}; [n_\sigma]), \quad (7)$$

where  $v_\sigma^{\text{xc}}(\mathbf{r}; [n_\sigma])$  is derived from  $E^{\text{xc}}[n_\sigma]$  through the functional derivative as

$$v_\sigma^{\text{xc}}(\mathbf{r}; [n_\sigma]) = \delta E^{\text{xc}}[n_\sigma] / \delta n_\sigma(\mathbf{r}). \quad (8)$$

With use of the lowest- $N_\sigma$  KS orbitals,  $n_\sigma(\mathbf{r})$  is given by

$$n_\sigma(\mathbf{r}) = \sum_{i=1}^{N_\sigma} |\phi_{i\sigma}(\mathbf{r})|^2, \quad (9)$$

and  $n(\mathbf{r})$  is the sum of  $n_\uparrow(\mathbf{r})$  and  $n_\downarrow(\mathbf{r})$ . The spin density  $n_\sigma(\mathbf{r})$  and consequently  $N_\sigma$  with  $N = \sum_\sigma N_\sigma$  should be determined by the self-consistent solution of Eqs. (6)–(9), together with the fixed boundary condition

$$\phi_{i\sigma}(\mathbf{r}) = 0, \quad (10)$$

at  $|\mathbf{r}| = R = (N - Z)^{1/3}r_s$ . By using those converged quantities, we can calculate  $E_0(N, Z)$  the ground-state energy including the constant term  $C_N^Z$  by

$$E_0(N, Z) = \sum_{i\sigma} \varepsilon_{i\sigma} + \sum_\sigma \int d\mathbf{r} [v_{\text{ext}}(\mathbf{r}) - v_\sigma^{\text{KS}}(\mathbf{r})]n_\sigma(\mathbf{r}) + \frac{1}{2} \iint d\mathbf{r} d\mathbf{r}' \frac{n(\mathbf{r})n(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} + E^{\text{xc}}[n_\sigma] + C_N^Z. \quad (11)$$

## B. VMC

With use of the lowest- $N$  KS orbitals thus obtained, we can define the Slater determinant  $\Phi_0(\mathbf{r}_1, \dots, \mathbf{r}_N)$ , with which the trial many-body ground-state wave function  $\Phi(\mathbf{r}_1, \dots, \mathbf{r}_N)$  for the VMC calculation can be constructed in the Slater-Jastrow type as [40]

$$\Phi(\mathbf{r}_1, \dots, \mathbf{r}_N) = \exp[J(\mathbf{r}_1, \dots, \mathbf{r}_N)]\Phi_0(\mathbf{r}_1, \dots, \mathbf{r}_N), \quad (12)$$

where the Jastrow function  $J(\mathbf{r}_1, \dots, \mathbf{r}_N)$  contains the terms to describe electron-nucleus correlation  $u_1(\mathbf{r}_i)$ , two-electron correlation  $u_2(\mathbf{r}_i - \mathbf{r}_j)$ , and three-body nucleus-two-electron correlation  $u_3(\mathbf{r}_i, \mathbf{r}_j, \mathbf{r}_i - \mathbf{r}_j)$  as

$$J(\mathbf{r}_1, \dots, \mathbf{r}_N) = \sum_i u_1(\mathbf{r}_i) + \sum_{i>j} u_2(\mathbf{r}_i - \mathbf{r}_j) + \sum_{i>j} u_3(\mathbf{r}_i, \mathbf{r}_j, \mathbf{r}_i - \mathbf{r}_j). \quad (13)$$

The actual choice of the forms for  $u_1(\mathbf{r}_i)$ ,  $u_2(\mathbf{r}_i - \mathbf{r}_j)$ , and  $u_3(\mathbf{r}_i, \mathbf{r}_j, \mathbf{r}_i - \mathbf{r}_j)$  as well as their optimization is done by adopting the CHAMP-code package [41] as it is. Then, the expectation value  $\langle A \rangle$  of an operator  $A$  is given by

$$\langle A \rangle^{\text{VMC}} = \langle \Phi | A | \Phi \rangle / \langle \Phi | \Phi \rangle. \quad (14)$$

By putting  $A = \hat{n}(\mathbf{r}) = \sum_i \delta(\mathbf{r} - \mathbf{r}_i)$  in Eq. (14), we obtain  $n(\mathbf{r})$  in VMC.

## C. DMC

Starting with the variationally optimized wave function  $\Phi$  thus determined, we can further improve on the ground-state wave function by considering the diffusion equation for  $\Psi(\tau)$  in the imaginary time  $\tau$  as

$$-\frac{\partial \Psi(\tau)}{\partial \tau} = (H - E_R)\Psi(\tau), \quad (15)$$

where  $E_R$  is the reference energy to be adjusted to  $E_0$  in the course of DMC simulations by removing the  $\tau$  dependence from the asymptotic form of  $\Psi(\tau)$  at  $\tau \rightarrow \infty$ . Note that the formal solution to Eq. (15) is written as

$$\Psi(\tau) = \sum_n e^{-(E_n - E_R)\tau} |\Psi_n\rangle \langle \Psi_n | \Phi \rangle, \quad (16)$$

where  $\{\Psi_n\}$  is the normalized mutually orthogonal complete set of eigenfunctions for  $H$  with the corresponding set of eigenenergies  $\{E_n\}$ . Then, as long as  $\langle \Psi_0 | \Phi \rangle \neq 0$ , the asymptotic  $\tau$ -independent wave function  $\Psi$  is reduced to the true ground-state wave function  $\Psi_0$ , apart from the normalization factor.

It is appropriate to add a comment on the condition of  $\langle \Psi_0 | \Phi \rangle \neq 0$  here; by invoking the Anderson's orthogonality theorem [42], one may argue that  $\langle \Psi_0 | \Phi \rangle$  vanishes in SSR, but this is not correct for the reasons below; (i) both  $\Psi_0$  and  $\Phi$  include the effect of the impurity atom, while the Anderson's theorem concerns with the relation between the wave functions with and without the impurity. (ii) Due to the presence of the Jastrow factor  $J$ ,  $\Phi$  is not simply given by the single Slater determinant  $\Phi_0$  on which the Anderson's theorem is proved. (iii) DMC simulations are done for finite

$N$ , while the Anderson's theorem becomes valid only at  $N \rightarrow \infty$ .

In order to avoid the notorious fermion sign problem, we employ the fixed-node approximation in DMC simulations. This approximation may bring about undesirable errors in  $\Psi$ , but we try to minimize them by seeking for  $N$ -independent results by exploiting the fact that the node positions depend on  $N$  in the fixed boundary condition, leading to the hope that unphysical node-position-dependent effects will be removed by extracting the  $N$ -independent results. In performing actual fixed-node DMC simulations at a fixed  $N$ , we adopt CHAMP again to obtain the stably converged asymptotic wave function  $\Psi(\mathbf{r}_1, \dots, \mathbf{r}_N)$ . Then,  $n(\mathbf{r})$  in DMC is estimated by a second-order approximation to the exact expectation value [40], which amounts to

$$n(\mathbf{r})^{\text{DMC}} = 2 \langle \Psi | \hat{n}(\mathbf{r}) | \Phi \rangle / \langle \Psi | \Phi \rangle - n(\mathbf{r})^{\text{VMC}}, \quad (17)$$

where  $n(\mathbf{r})^{\text{VMC}} = \langle \hat{n}(\mathbf{r}) \rangle^{\text{VMC}}$ .

#### D. LSDA in the bulk system

Contrary to VMC and DMC, LSDA allows us to directly treat the bulk ( $N \rightarrow \infty$ ) system, in which almost all states in KS orbitals are continuum ones, for which we may write  $\varepsilon_i = k^2/2$  with momentum  $\mathbf{k}$  and  $\phi_{i\sigma}(\mathbf{r}) = R_{kl\sigma}(r)Y_{lm}(\mathbf{r}/r)$  with the spherical harmonics  $Y_{lm}(\mathbf{r}/r)$  and the radial wave function  $R_{kl\sigma}(r)$  satisfying the following boundary condition at  $r (\equiv |\mathbf{r}|) \rightarrow \infty$ :

$$R_{kl\sigma}(r) \rightarrow \cos[\delta_{l\sigma}(k)]j_l(kr) - \sin[\delta_{l\sigma}(k)]n_l(kr), \quad (18)$$

apart from a normalization factor, where  $j_l(kr)$  and  $n_l(kr)$  are the spherical Bessel functions and  $\delta_{l\sigma}(k)$  is the phase shift of angular momentum  $l$  to be determined under the condition of  $\delta_{l\sigma}(\infty) = 0$ , ensuring that an electron behaves as a free particle at  $k (\equiv |\mathbf{k}|) \rightarrow \infty$ . There is a possibility of finding bound states below the bottom of the conduction band ( $\varepsilon_{i\sigma} < 0$ ) among KS orbitals for which  $\phi_{i\sigma}(\mathbf{r}) \rightarrow 0$  at  $r \rightarrow \infty$ . The Levinson theorem [43] dictates that the total number of the bound states in KS orbitals  $N_{\text{BS}}$  is given by  $\sum_{l\sigma} (2l+1)\delta_{l\sigma}(0)/\pi$ , while  $Z = \sum_{l\sigma} (2l+1)\delta_{l\sigma}(k_F)/\pi$  by the Friedel sum rule [44].

#### E. Embedding energy

The embedding energy  $\delta E$  is defined as the difference of the ground-state energies between the atom-embedded EG and the system of separated homogeneous EG and neutral atom. Usually this concept is relevant only to the bulk EG. Thus, denoting the ground-state energy of the isolated neutral atom as  $E_a^Z$ , we can obtain  $\delta E$  through

$$\delta E = \lim_{N \rightarrow \infty} [E_0(N, Z) - E_0(N - Z, 0)] - E_a^Z. \quad (19)$$

Since  $\delta E$  is of the order  $O(1)$  in comparison with  $E_0$  of the order  $O(N)$ , due care must be exerted in order to accurately evaluate  $\delta E$  at  $N \rightarrow \infty$ . For this purpose, we rewrite Eq. (19) with using  $\delta n(\mathbf{r}) [= n(\mathbf{r}) - n_0]$  and  $\delta'_{l\sigma}(k)$ , the derivative of  $\delta_{l\sigma}(k)$  with respect to  $k$ , into the following form with ensuring

the convergence of integrals:

$$\begin{aligned} \delta E = & \sum_{i\sigma \in \text{BS}} \varepsilon_{i\sigma} + \sum_{l\sigma} (2l+1) \int_0^{k_F} dk \frac{\delta'_{l\sigma}(k) k^2}{\pi} \frac{1}{2} \\ & - \int d\mathbf{r} \frac{Z}{r} \delta n(\mathbf{r}) + \frac{1}{2} \iint d\mathbf{r} d\mathbf{r}' \frac{\delta n(\mathbf{r}) \delta n(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} \\ & - \sum_{\sigma} \int d\mathbf{r} \left\{ v_{\sigma}^{xc}(\mathbf{r}; [n_{\sigma}]) n_{\sigma}(\mathbf{r}) - v_{\sigma}^{xc}(\mathbf{r}; [n_0/2]) \frac{n_0}{2} \right\} \\ & + E^{xc}[n_{\sigma}] - E^{xc}[n_0/2] - E_a^Z, \end{aligned} \quad (20)$$

where BS stands for the set of possible bound states. The difference in the contribution of KS energies from continuum states is treated by the consideration of change in the density of states,  $\delta'_{l\sigma}(k)/\pi$ .

### III. RESULTS FOR PROTON IMMERSION

#### A. LSDA for the bulk system

In line with the previous result [45], the ground state in the proton-embedded ( $Z = 1$ ) bulk EG is perfectly spin unpolarized, i.e.,  $n_{\uparrow}(\mathbf{r}) = n_{\downarrow}(\mathbf{r})$  at every  $\mathbf{r}$ , at least for  $r_s < 15$  and our results on  $n(\mathbf{r})$  and  $\delta E$  in LSDA are in good agreement with those in previous calculations [12–15, 18, 19, 46]. In Fig. 4, the obtained  $n(\mathbf{r})$  normalized by  $n(0)$  is plotted as a function of  $2k_F r/\pi$  for  $r_s = 1, 4$ , and 14, together with the  $s$ -wave phase shift  $\delta_s(k)$  which is spin independent and gives by far the largest contribution among all  $\delta_{l\sigma}(k)$ 's.

For  $r_s < 1.97$ , we obtain  $\delta_{l\sigma}(0) = 0$  and thus  $N_{\text{BS}}$  is zero, leading to the typical  $n(\mathbf{r})$  in CR with  $H^+$  screened by metallic electrons in a short range. For  $r_s \geq 1.97$ , on the other hand,  $\delta_s(0) = \pi$  and thus  $N_{\text{BS}} = 2$ , seemingly implying the sudden appearance of  $H^-$  ion confined in EG at  $r_s = 1.97$  [12]. This must be true, if  $n_L(\mathbf{r})$  the localized-electron distribution is about the same as  $n(\mathbf{r})$  for  $|\mathbf{r}|$  smaller than the  $H^-$  ion range, as is the case for  $r_s \gtrsim 12.5$ , in which  $\delta_s(k)$  is distinctive and almost a universal function of  $k/k_F$ , as seen in Fig. 4(b). Note that the deep dip in  $n(\mathbf{r})$  just outside the ion region, as seen for  $r_s = 14$  in Fig. 4(a), is a typical electron profile describing the repulsive action of localized closed-shell electrons to exclude metallic electrons from the ion region by the Pauli exclusion principle.

For  $1.97 < r_s \lesssim 12.5$ , however, the ‘‘localized’’ electrons behave much differently; at  $r_s = 4$ , for example, they extend long up to  $|\mathbf{r}| \approx 82$  and concomitantly  $n_L(0)$  is much smaller than  $n(0)$ , indicating deep and massive penetration of itinerant electrons into the proton site, but such penetration would never be allowed due to the Pauli exclusion principle, if the closed-shell  $H^-$  ion were firmly constructed. Thus, by remembering that KS orbitals in DFT are not necessarily tied with real physical entities but just introduced for mathematical convenience to correctly reproduce  $n(\mathbf{r})$ , we can assume that this ‘‘localized’’ orbital does not represent a real localized state but just describes the long-range change of  $n(\mathbf{r})$  in the form of an *envelope density* over  $\xi_K$  in SSR. Notice that  $\delta_s(k_F)$  in this density region lies between  $0.7 \times (\pi/2)$  and  $1.3 \times (\pi/2)$ , which means that  $\delta_s(k_F)$  is close to  $\pi/2$ , a value expected in the Kondo resonance state in IAM [22].

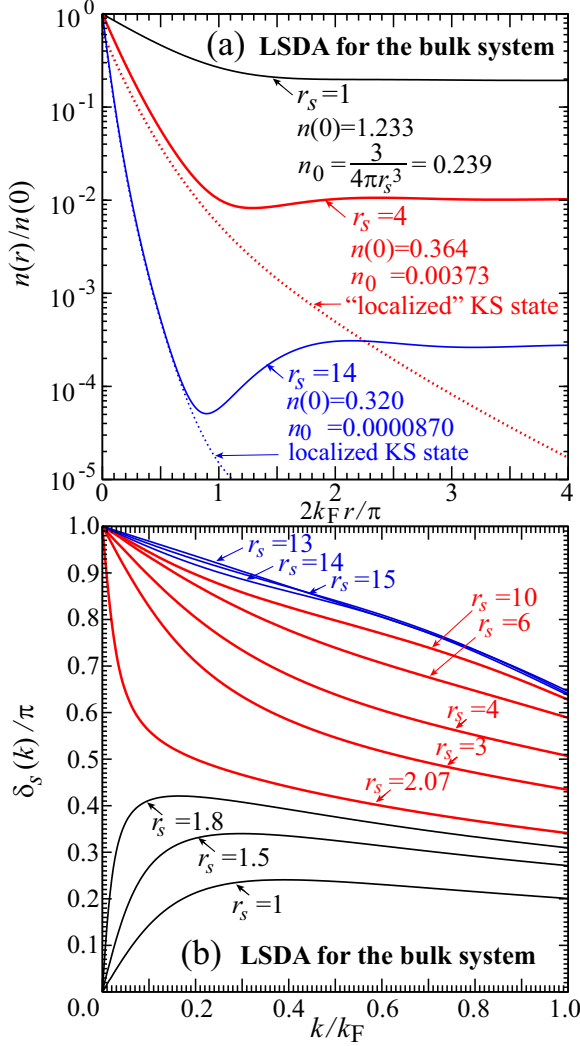


FIG. 4. (Color online) Electron distribution  $n(r)$  normalized by  $n(0)$  in (a) and spin-independent  $s$ -wave phase shift  $\delta_s(k)$  in (b) obtained in LSDA for the proton-embedded bulk EG. In (a), the dotted curves show the contribution from the KS bound state which is absent at  $r_s = 1$  must be a real physical state representing  $H^-$  at  $r_s = 14$ , and appears only as mathematical convenience for describing the long-range decrease in amplitude of the Friedel oscillation in SSR at  $r_s = 4$ . The label “localized” with a quotation mark indicates this situation.

### B. Comparison between LSDA and DMC

Before going into a more detailed discussion on SSR, let us assess the accuracy of LSDA in comparison with DMC, specifically at intermediate densities. At  $r_s = 4$ , for example, in Fig. 5, we see a good agreement between LSDA and DMC for  $n(r)$  at any  $r$ , including the sphere boundary, at each  $N$ , although the results in VMC do not match so well, assuring the importance to perform DMC for taking the expectation values in accordance with Eq. (17).

As for  $N$  dependence or the size effect, we find that  $N = 170$  is not large enough to attain convergence in  $n(r)$  for  $|r| \gtrsim 3.5$  in both LSDA and DMC. For smaller  $|r|$ , however, no appreciable difference is seen between  $N = 60$  and 170 in DMC (and among  $N = 60, 170$ , and  $\infty$  in LSDA) for  $r_s \gtrsim 4$ ,

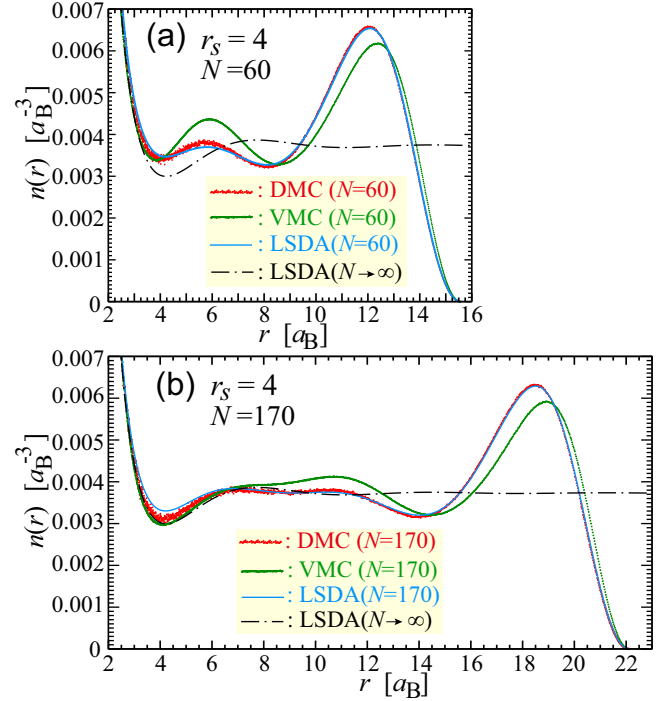


FIG. 5. (Color online) Examples of the calculated electron distribution  $n(r)$  obtained by DMC, VMC, and LSDA for (a)  $N = 60$  and (b) 170 at  $r_s = 4$ . The result in LSDA at  $N \rightarrow \infty$  is also shown.

implying that  $N = 60$  is large enough to obtain the convergent  $n(r)$  near the proton site.

### C. Cusp theorem and the on-top density

According to the cusp theorem [47],  $n(r)$  near a nucleus of atomic number  $Z$  behaves rigorously in the manner as

$$n(r) \xrightarrow[r \approx 0]{} n_{\text{cusp}}(r) \equiv n(0) \exp(-2Zr), \quad (21)$$

where  $n_{\text{cusp}}(r)$  exhibits strictly a linear change with  $r$  in semilog plots [the dashed lines in Figs. 6(a) and 6(b)]. By exploiting this linear behavior, we can rather easily and accurately determine the on-top density  $n(0)$  in DMC by looking at the data in the region of  $0.2 \lesssim r \lesssim 0.5$ , in spite of the scattered nature of data points for  $n(r)$  at  $r \lesssim 0.2$  due to the rapid increase in energy scale determined by the  $r^{-1}$ -Coulomb potential.

For  $r_s \gtrsim 11$ ,  $n(0)$  thus obtained is about the same as the exact one for an isolated  $H^-$  ion [39], as shown in Fig. 6(b) for  $r_s = 11$ –14, indicating that the state is very close to the  $H^-$  ion confinement state. A more detailed observation on the DMC data reveals a systematic change of the behavior at  $r \lesssim 0.2$  with the increase of  $r_s$ ; for  $r_s \lesssim 12.5$ , the majority of data points deviate upward, while opposite is the case for  $r_s \gtrsim 12.5$ . The upward deviation indicates that the metallic electrons rather easily penetrate into the core of the  $H^-$  ion, which is not allowed, once the closed-shell structure is solidly constructed. On the other hand, the downward deviation is consistent with the formation of the closed-shell ion, leading to the conclusion that the transition to the  $H^-$  ion confinement state occurs at  $r_s \approx 12.5$ , the same  $r_s$  as that in LSDA.

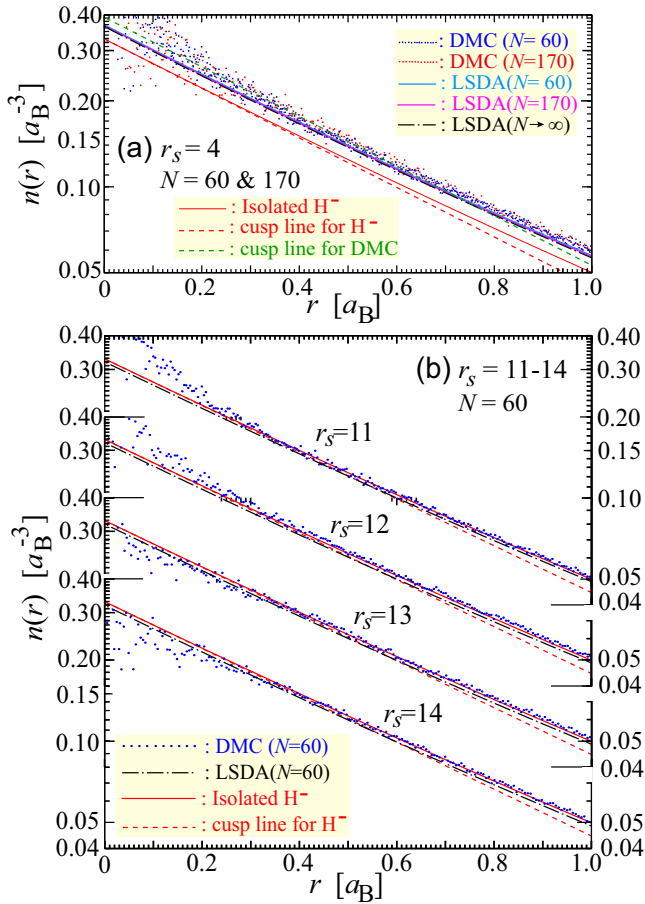


FIG. 6. (Color online) Short-range part of  $n(r)$  in DMC and LSDA at (a)  $r_s = 4$  and (b)  $r_s = 11-14$ . For comparison, the exact result for an isolated negative hydrogen ion  $H^-$  [39] is also plotted.

In Fig. 7, we plot  $n(0)$  by changing  $r_s$  and  $N$  and find that the results do not depend on  $N$  for  $r_s \gtrsim 3$ , but they do for  $r_s \lesssim 3$ , i.e., in the CR-SSR transition region. The transition is signaled by a jump in  $n(0)$  in both LSDA and DMC, although its magnitude decreases with increasing  $N$  and eventually at

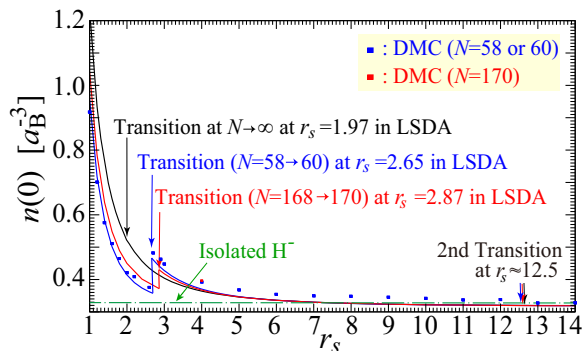


FIG. 7. (Color online) On-top density  $n(0)$  plotted as a function of  $r_s$  for  $N = 60$  (and 170 only for  $r_s = 4$ ) in DMC and for  $N = 60, 170$ , and  $\infty$  in LSDA, together with the exact results for an isolated  $H^-$  ion ( $N = 2$ ). The transition points are indicated by arrows. For the data in DMC, errors are within the size of square symbols.

$N \rightarrow \infty$  no jump is seen in LSDA even at  $r_s = 1.97$  at which the transition is known to occur through the abrupt change in  $\delta_s(0)$ .

In an extensive search for favorable  $N$  at which we can easily obtain the convergent ground state, we find that this jump becomes much enhanced at the magic numbers (10, 60, 170, ...) of  $N$ . Let us consider the reason for this fact; in LSDA, all KS levels are discrete at finite  $N$  and the stacking sequences of the occupied levels are  $(1s, 2p, 2s)$ ,  $(1s, 2p, 2s, 3d, 4f, 5g, 3p, 3s)$ , and  $(1s, 2p, 2s, 3d, 4f, 3p, 3s, 5g, 4d, 6h, 7i, 5f, 8k, 4p, 4s)$  for  $N = 10, 60$ , and 170, respectively, reflecting the competition between the  $-r^{-1}$  and  $r^2$  potentials in Eq. (4) and the outermost  $s$  orbital, situating very near the Fermi level  $\mu$  at each magic number  $N$ , plays a key role in stabilizing the ground state. We recognize that this outermost  $s$  orbital mimics the SSR state at finite  $N$ , at least for  $R < \xi_K$ , in view of the fact that the actual SSR state in Kondo physics is situated at  $\mu$ , extending very long over the range  $\xi_K$  with an  $s$ -wave character. Then, the jump in  $n(0)$  is related to the transition from the empty  $s$ -orbital state (corresponding to CR) to the  $s$ -orbital occupied one (corresponding to SSR) with changing  $N$  in  $8 \rightarrow 10, 58 \rightarrow 60$ , and  $168 \rightarrow 170$  at  $r_s = 3.85, 2.65$ , and  $2.87$ , respectively, in LSDA. In DMC, convergent results are also easily obtained for the same series of  $N$  and the transition occurs, for example, at  $r_s \approx 2.65$  for  $N = 58 \rightarrow 60$ , just as in LSDA. The next  $N$  in this series is 340 associated with the  $5s$ -orbital empty-occupied transition, but DMC at this  $N$  is currently out of our reach. At the second transition into the  $H^-$  confinement state, a change in the stacking sequence occurs in LSDA. Those transition points, along with the second transition point obtained in DMC by the data shown in Fig. 6(b), provide the ground-state diagram in  $(r_s, N^{-1})$  space in Fig. 3.

#### D. Embedding energy

In calculating  $\delta E$  by use of Eq. (19) at some finite  $N$ , we need  $E_0(N-1, 0)$  in addition to  $E_0(N, 1)$ , but for an even number of  $N$ , the ground state of the  $(N-1)$ -electron system is necessarily a spin-polarized one. Nevertheless, for smoothly connecting to the spin-unpolarized ground state at  $N \rightarrow \infty$ , it is better to calculate in the spin-unpolarized situation. In LSDA, by a spin-symmetrized sum of KS orbitals by fractionally occupying the orbitals at the Fermi level, we can obtain this needed spin-unpolarized ground-state energy  $E_0(N-1, 0)$  with which we can calculate  $\delta E$  for finite- $N$  systems.

In DMC, however, we cannot adopt this procedure. Thus, we first calculate the spin-unpolarized ground-state energy  $E_0(N-2, 0)$  in DMC, starting with the Slater determinant  $\Phi_0(\mathbf{r}_1, \dots, \mathbf{r}_{N-2})$  without the outermost  $s$  orbital. Then, we estimate  $E_0(N-1, 0)$  by

$$E_0(N-1, 0) = \frac{N-1}{N-2} E_0(N-2, 0) \left[ 1 + \frac{\alpha_{N-1}}{(N-1)^{1/3}} \right], \quad (22)$$

with the coefficient  $\alpha_{N-1}$  determined through  $E_0(N-1, 0)$  and  $E_0(N-2, 0)$  in LSDA. We have deduced this approximation scheme by considering that the leading term in  $E_0(N-1, 0)$  is, in general, in proportion to  $(N-1)$  due to

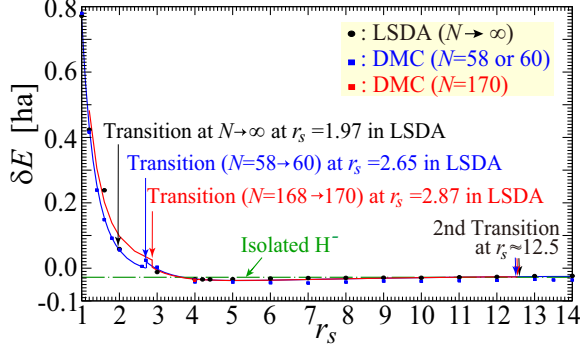


FIG. 8. (Color online) Embedding energy  $\delta E$  calculated in the same situations as those for  $n(0)$  in Fig. 7. Errors in DMC are within the size of square symbols.

extensiveness of the total energy as well as the subleading term in proportion to  $(N-1)^{2/3}$  due to the surface-energy contribution. In CR in which the outermost  $s$  orbital is empty even for the system with  $Z=1$  and  $N$  electrons, instead of  $E_0(N-2,0)$ , we calculate  $E_0(N,0)$  in DMC, with which we estimate  $E_0(N-1,0)$  by a similar strategy.

In Fig. 8,  $\delta E$  is given as a function of  $r_s$ , exhibiting the  $N$  dependence similar to that in  $n(0)$  in Fig. 7, including jumps at CR-SSR transitions. Our results for  $\delta E$  agree reasonably well with previous ones [12–19], although due attention to the size dependence was not paid previously. These results of  $\delta E$  demonstrate that hydrogen is most stably embedded in EG in the form of SSR. Thus, the concept of SSR is deemed to play a key role in hydrogen storage in metals and hydrogen is expected to reside at a site with  $r_s \approx 4$  in an inhomogeneous metal.

### E. Anomalous Friedel oscillation

Basically, the Friedel oscillation due to the presence of a proton at the origin is a concept defined in the bulk system, but if we try to discuss it with use of the data in DMC, we need to eliminate the sphere-boundary effect from  $n(\mathbf{r})$  obtained in finite- $N$  systems. For this purpose, we adopt the following procedure [17]; we first calculate the charge distribution  $n_{N,Z}(\mathbf{r})$  in the finite- $N$  system corresponding to  $E_0(N,Z)$  and then we estimate  $n(\mathbf{r})$  through the cancellation of the sphere-boundary effect by subtracting  $n_{N-1,0}(\mathbf{r})$  from it as

$$n(\mathbf{r}) \approx n_{N,1}(\mathbf{r}) - n_{N-1,0}(\mathbf{r}) + n_0. \quad (23)$$

In LSDA, we can employ Eq. (23) by using  $n_{N-1,0}(\mathbf{r})$  which is obtained in the spin-unpolarized situation simultaneously with  $E_0(N-1,0)$ , but in DMC, only  $n_{N-2,0}(\mathbf{r})$  associated with  $E_0(N-2,0)$  is available. Thus, we estimate  $n_{N-1,0}(\mathbf{r})$  from this  $n_{N-2,0}(\mathbf{r})$  as

$$n_{N-1,0}^{\text{DMC}}(\mathbf{r}) = \lambda^{\beta(r)} n_{N-2,0}^{\text{DMC}}(\lambda \mathbf{r}), \quad (24)$$

where  $\lambda$  is a parameter to correct the difference in the sphere radius, defined as  $\lambda = [(N-2)/(N-1)]^{1/3}$ , and the  $r$ -dependent exponent  $\beta(r)$  is determined with use of the data for the electron distribution in LSDA as

$$\beta(r) = \ln [n_{N-1,0}^{\text{LSDA}}(\mathbf{r}) / n_{N-2,0}^{\text{LSDA}}(\lambda \mathbf{r})] / \ln \lambda. \quad (25)$$

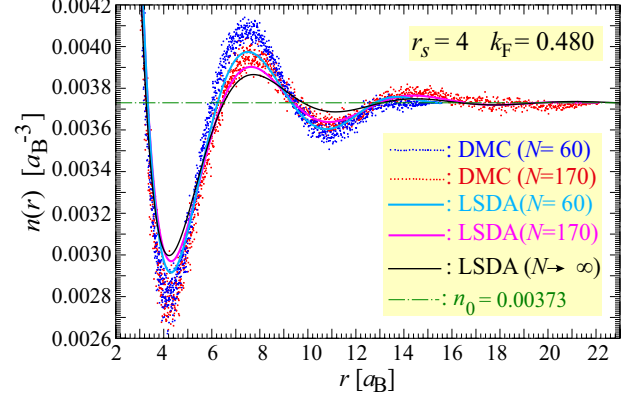


FIG. 9. (Color online) Long-range part of  $n(\mathbf{r})$  in both DMC and LSDA obtained by the elimination of the sphere-boundary effect.

An example of  $n(\mathbf{r})$  obtained through the above procedure at  $r_s = 4$  is given in Fig. 9, in which we find good convergence for  $r \lesssim 7$  in changing  $N$  by the comparison between  $N = 60$  and 170, illustrating that this procedure doubles the size-convergent range of  $r$  as compared with that in Fig. 5. Admittedly, discrepancy is seen in the oscillation amplitude between DMC and LSDA, but overall good agreement and size convergence are obtained in the Friedel-oscillation phase, indicating that accurate enough information is now available on the node positions in  $\delta n(\mathbf{r})$  up to  $r \approx 13$ . Incidentally, the outermost  $s$  orbital contributes much to the oscillation behavior in Fig. 9, assuring its importance in the SSR-density region.

In order to unambiguously confirm the emergence of SSR, let us examine the Friedel oscillation in  $n(\mathbf{r})$  in the light of its general behavior, known as [23–26]

$$n(\mathbf{r}) \xrightarrow{r \gg k_F^{-1}} n_0 + \frac{1}{4\pi^2 r^3} [\cos(2k_F r - 3\pi/2 + 2\delta_s^{(0)}) F(r/\xi_K) - \cos(2k_F r - 3\pi/2)]. \quad (26)$$

Here, only the  $s$ -wave contribution, which indeed dominates others in the present case, is considered and  $\delta_s^{(0)}$  is the  $s$ -wave phase shift at the Fermi level produced by the potential scattering without the Kondo-resonance effect. In Eq. (26),  $F(r/\xi_K) \equiv 1$  in CR, but in SSR it gradually decreases from 1 for  $r \ll \xi_K$  to  $-1$  for  $r \gg \xi_K$  due to physics of asymptotic freedom [24]. Actually in SSR,  $F(x)$  is known to be a universal scaling function, as explicitly given in Fig. 10(a).

By appropriately choosing the branch of  $\tan^{-1} x$ , we can rewrite Eq. (26) into

$$n(\mathbf{r}) \xrightarrow{r \gg k_F^{-1}} n_0 - \frac{A(r)}{4\pi^2 r^3} \cos[2k_F r + \delta(r)], \quad (27)$$

with the amplitude  $A(r)$  and the phase  $\delta(r)$ , given by

$$A(r) = \sqrt{1 - 2F(r/\xi_K) \cos(2\delta_s^{(0)}) + F(r/\xi_K)^2}, \quad (28)$$

$$\delta(r) = \tan^{-1} \left[ \frac{1 - F(r/\xi_K) \cos(2\delta_s^{(0)})}{F(r/\xi_K) \sin(2\delta_s^{(0)})} \right]. \quad (29)$$

If  $\delta_s^{(0)}$  is in the range  $(0, \pi/2)$ ,  $\delta(r)$  increases gradually from  $\delta_s^{(0)}$  for small  $r$  to  $\delta_s^{(0)} + \pi/2$  for large  $r$  in accordance



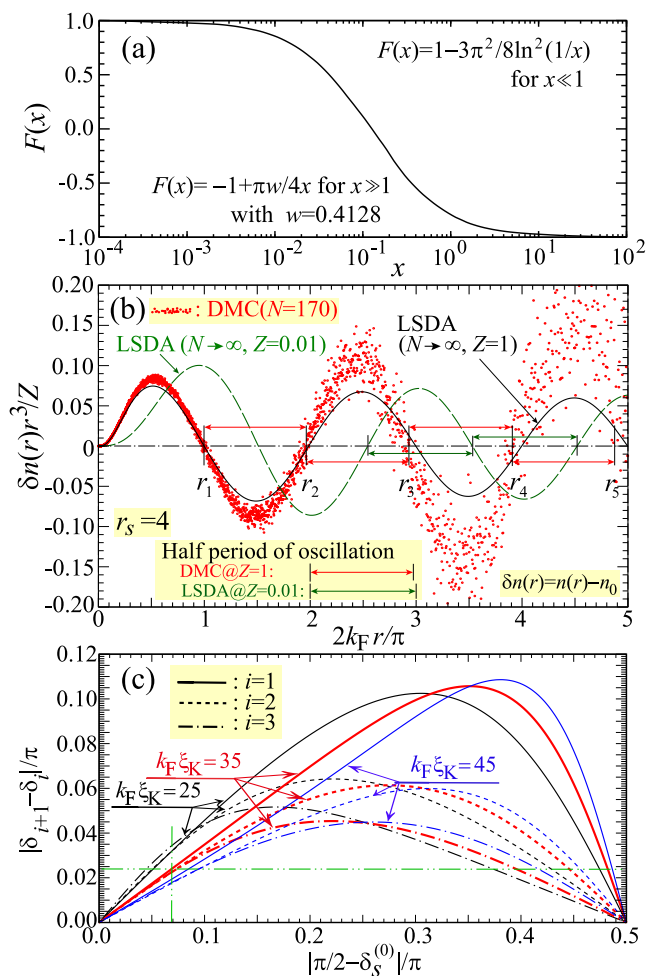


FIG. 10. (Color online) (a) Universal scaling function characterizing SSR [24,25]. (b) Friedel oscillation in SSR in the proton-embedded EG at  $r_s = 4$ , as seen by the plot of  $\delta n(r)r^3/Z$  in both DMC and LSDA to detect the half period of the oscillation in comparison with that in CR obtained in the system with  $Z = 0.01$ , a fictitious tiny charge to ensure that the ground state can be accurately obtained in LSDA as a CR state even at  $r_s = 4$ . (c) First three modulation factors in the half period of the anomalous Friedel oscillation, given through Eq. (29) with use of  $F(x)$  in (a), plotted as a function of the  $s$ -wave potential scattering phase shift  $\delta_s^{(0)}$  for various values of  $k_F \xi_K$ .

with the change of  $F(r/\xi_K)$ . On the other hand, if  $\delta_s^{(0)}$  is in the range  $(\pi/2, \pi)$ ,  $\delta(r)$  decreases gradually from  $\delta_s^{(0)}$  for small  $r$  to  $\delta_s^{(0)} - \pi/2$  for large  $r$ . With taking care of such a gradual change in  $\delta(r)$ , we can determine  $r_i$  the  $i$ th zero of  $\delta n(r) [\equiv n(r) - n_0]$  by  $2k_F r_i + \delta_i = i\pi + \pi/2$  with  $\delta_i \equiv \delta(r_i)$  and  $i = 1, 2, 3, \dots$ . Then, the half period of the Friedel oscillation  $\Delta_i$  in SSR is given by  $\Delta_i = r_{i+1} - r_i = [1 - (\delta_{i+1} - \delta_i)/\pi] \Delta^{(0)}$  with  $\Delta^{(0)} (\equiv \pi/2k_F)$  the half period in CR. Because  $\delta_{i+1}$  is slightly different from  $\delta_i$ ,  $\Delta_i$  is modified from  $\Delta^{(0)}$  by the amount of  $(\delta_{i+1} - \delta_i)/\pi$ . This anomalous Friedel oscillation or *the oscillation-period modulation effect* is an important consequence of the presence of SSR, but this modulation effect has not been well recognized even in the Kondo-physics community due to the fact that the effect is totally absent for  $\delta_s^{(0)}$  being equal to a multiple of  $\pi/2$  [see the denominator in Eq. (29)], which happened to be assumed in the previous model calculations [24,25].

In Fig. 10(b), we plot  $\delta n(r)r^3/Z$  as a function of  $2k_F r/\pi$  at  $r_s = 4$  to check whether the modulation effect exists or not in our first-principles calculations. In DMC, the first four zeros of  $\delta n(r)$  in units of  $\Delta^{(0)}$ ,  $2k_F r_i/\pi$ , are given by  $0.994 \pm 0.005$ ,  $1.970 \pm 0.008$ ,  $2.949 \pm 0.013$ , and  $3.927 \pm 0.019$  with the errors estimated by the distribution of data points around  $\delta n(r) = 0$  at each  $r_i$ . Then,  $(\delta_{i+1} - \delta_i)/\pi$  the modulation factors for  $i = 1, 2$ , and  $3$  are, respectively, obtained as  $0.024 \pm 0.005$ ,  $0.021 \pm 0.009$ , and  $0.022 \pm 0.016$  in which the errors are estimated by the inclusion of covariance between  $r_i$  and  $r_{i+1}$ . Those results, at least definitely those for  $i = 1$  and  $2$ , assure the existence of the shortening of the Friedel-oscillation period, confirming the emergence of SSR.

Once the data for  $\{r_i\}$  are known, we can independently calculate the modulation factors through Eq. (29) as a function of  $\xi_K$  and  $\delta_s^{(0)}$  with using  $F(x)$  in Fig. 10(a). The results are shown in Fig. 10(c), from which we find that our DMC data for the modulation factors (actually the shortening factors in this case) for  $i = 1-3$  agree very well with those obtained at  $\xi_K \approx 35/k_F = 73$  and  $\delta_s^{(0)} = 0.86 \times (\pi/2)$  [see the horizontal and vertical double-dotted-dashed lines in Fig. 10(c)], indicating that by quantitatively analyzing first few modulation factors, we can determine both  $\xi_K$  and  $\delta_s^{(0)}$  uniquely, even if only the data for the system size much shorter than  $\xi_K$  are available. This determination is made possible due to the fact that  $F(r/\xi_K)$  changes most rapidly at  $r \approx \xi_K/10$ . By using  $\xi_K$  thus determined and the Fermi velocity  $v_F$ , the Kondo temperature  $T_K$  is estimated by  $T_K = v_F/\xi_K \approx 0.0066$  Hartree = 2100 K with about 10% errors, revealing that this is an astonishingly high- $T_K$  system. With such a high  $T_K$ , the system will not exhibit the prominent Kondo effects such as the  $\ln T$  anomaly in the experiment for  $T$  around the room temperature or below; it just behaves as a usual Fermi liquid [48].

The shortening effect in the Friedel-oscillation period is also found in LSDA, as seen in Fig 11, in which  $\delta n(r)r^3$  is plotted for both  $Z = 1$  and  $-1$  in the bulk system at  $r_s = 4$ . For large enough  $r$  outside the SSR binding radius  $\xi_K$ , the Friedel-oscillation phase for  $Z = 1$  coincides with that for  $Z = -1$ ; the result with  $Z = -1$  is plotted to represent the behavior for the fictitious very tightly bound  $H^-$  ion confinement state in which the anomalous Friedel oscillation is absent. In LSDA,

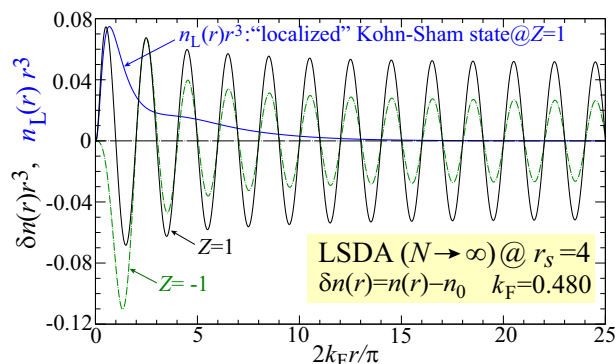


FIG. 11. (Color online) Plot of  $\delta n(r)r^3$  in LSDA in the bulk system with both  $Z = 1$  and  $-1$  at  $r_s = 4$ . The contribution from the "localized" KS state obtained at  $Z = 1$ ,  $n_L(r)r^3$ , is also given and seen as playing a role of an envelope density.

the modulation of the Friedel oscillation is brought about by the contribution from the “localized” density  $n_L(\mathbf{r})$ . Incidentally, the oscillation-period shortening factors in LSDA are much smaller than those in DMC;  $(\delta_2 - \delta_1)/\pi = 0.0133$  and all others are less than 0.004, indicating  $\delta_s^{(0)} \approx 0.014 \times (\pi/2)$  in LSDA. [We note that  $\delta_s(k_F)$  in Fig. 4(b) is equal to  $\delta_s^{(0)} + \pi/2 \approx 0.507\pi$ .] We can easily understand the reason for this large difference in  $\delta_s^{(0)}$  between DMC and LSDA; because this phase shift is directly connected with the wave function, its accurate value will not be obtained by LSDA in which the wave function in the fictitious noninteracting system is qualitatively different from the true correlated SSR wave function. Due to  $\delta_s^{(0)} \approx 0$  in LSDA, we cannot employ the diagram in Fig. 10(c) to determine  $\xi_K$  very accurately. Therefore, we estimate  $\xi_K$  from the extent of  $n_L(\mathbf{r})$ , which is 82, giving  $T_K$  to be about 1900 K. In relation to  $n_L(\mathbf{r})$ ,  $\varepsilon_{BS}$  the binding energy for this “localized” KS state in LSDA is given as 0.0115 Hartree = 3600 K, which is about twice as large as  $T_K$ . Thus, although  $\varepsilon_{BS}$  has no direct physical meaning, this quantity seems to be a good measure for the magnitude of  $T_K$ . In our calculations in LSDA, the values for  $\varepsilon_{BS}$  are 97, 2200, 3500, 2200, and 720 K for  $r_s = 2.07, 3, 6, 8,$  and  $10$ , respectively, indicating that in the majority of the SSR region, namely, for  $3 \lesssim r_s \lesssim 8$ , we may expect  $T_K$  to be well beyond 1000 K.

#### IV. DISCUSSION

Five comments on this work are in order:

(i) In SSR, we find a good semiquantitative agreement between LSDA and DMC, but this is by no means fortuitous because this can be understood by the long-range nature of  $\xi_K$  which makes the density variation associated with the SSR state slow, validating the use of LSDA for the calculation of  $n(\mathbf{r})$ .

(ii) From our present perspective, we may regard our previous study in LSDA on the spin-polarized ground states for second-period atoms in periodic table [45] as a successful extension to multichannel Kondo systems [1], in which the Hund’s-rule coupling plays a crucial role in producing the spin-polarized ground states.

(iii) In a short term, the immediate next target of research is a hydrogen molecule  $H_2$  immersed in EG [12] as a function of the interatomic distance to pursue a new concept in chemical bonding [49,50] in metals in the light of SSR. In fact, Bonev and Ashcroft [51] have already found an interesting bistability between paired and unpaired states for  $r_s > 3.2$ . In a longer term, we can expect fruitful research on new aspects in the Ruderman-Kittel-Kasuya-Yosida (RKKY) interaction [1] and dilute magnetic semiconductors [52].

(iv) If the number of immersed protons is increased up to a macroscopic level and those protons are arranged into a lattice in a metal with the SSR-density region, we might be able to obtain a Kondo lattice with high  $T_K$ . Furthermore, if the lattice constant might be so arranged as to tune the RKKY interaction to be about the same as  $T_K$  for realizing the quantum-

critical situation in the Doniach phase diagram [53], then as indicated in Fig. 1 in the optimum situation, we speculate that superconductivity occurs at a rather high temperature in the spin-fluctuation mechanism in a metallic hydrogen alloy. This speculation may motivate people engaged in hydrogen-based physics, chemistry, and technology to synthesize hydrogen alloys exhibiting exotic superconductivity at ambient pressure. In this regard, the transition-metal-hydride system such as  $TiH_2$  and  $ZrH_2$  [54–56], usually used for secondary batteries, might be a promising candidate, although it seems that the metallic electron densities in the metal hydrides so far synthesized are too high for our purpose.

(v) The basic reason for about 100 times increase of  $T_K$  in the hydrogen system compared with those in the  $f$ -electron heavy-fermion systems is the overall increase of energy scales as seen by the large difference in the magnitudes of  $1s$  and  $4f$  energy levels. For the same reason of increased energy scales, superconductivity with  $T_c$  over 100 K has been discussed in solid hydrogen at pressures of about 500 GPa in the conventional phonon mechanism [57–60]. Very recently, stimulated by the experimental result [61], similar discussions are made on  $H_2S$  [62,63] as well as  $H_3S$  [64] at pressures of about 200 GPa. Note that the metallic densities in those systems are found to be in the CR region, namely,  $r_s < 2$  (or typically  $r_s \approx 1.4$ ). Therefore, our present proposal has nothing to do with those conventional theories for superconductivity. We also emphasize that high metallic densities realized at such very high pressures in solid  $H_2$  or sulfur hydrides are not needed in our proposal for finding exotic superconductivity.

#### V. SUMMARY

In conclusion, by employing both DMC and LSDA, we have revealed the emergence of SSR in the proton-embedded electron gas by the confirmation of an anomalous Friedel oscillation characteristic to the Kondo-type spin-singlet state with quantitatively determining  $T_K$  and emphasized its stability in embedding hydrogen into the electron gas. Our work necessitates to modify the paradigm of CR in metallic screening to a point charge of  $Z$  in the sense that, depending on the metallic electron density and  $Z$ , SSR takes the place of CR. This work also provides a first concrete example to show how the KS orbitals behave to represent the exact  $n(\mathbf{r})$  in strongly correlated electron systems such as those describing Kondo physics, even though they are not always physically relevant.

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