Role of spin-orbit coupling and evolution of the electronic structure of WTe₂ under an external magnetic field

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Here, we present a detailed study on the temperature and angular dependence of the Shubnikov–de Haas (SdH) effect in the semimetal WTe₂. This compound was recently shown to display a very large nonsaturating magnetoresistance which was attributed to nearly perfectly compensated densities of electrons and holes. We observe four fundamental SdH frequencies and attribute them to spin-orbit split, electron-like, and hole-like Fermi-surface (FS) cross-sectional areas. Their angular dependence seems consistent with ellipsoidal FSs with volumes suggesting a modest excess in the density of electrons with respect to that of the holes. We show that density functional theory (DFT) calculations fail to correctly describe the FSs of WTe₂. When their cross-sectional areas are adjusted to reflect the experimental data, the resulting volumes of the electron/hole FSs obtained from the DFT calculations would imply a pronounced imbalance between the densities of electrons and holes. We find evidence for field-dependent Fermi-surface cross-sectional areas by fitting the oscillatory component superimposed onto the magnetoresistivity signal to several Lifshitz-Kosevich components. We also observe a pronounced field-induced renormalization of the effective masses. Taken together, our observations suggest that the electronic structure of WTe₂ evolves with the magnetic field due to the Zeeman splitting. This evolution is likely to contribute to its pronounced magnetoresistivity.

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I. INTRODUCTION

Transition-metal dichalcogenides TMX_2 (where TM stands for a transition metal and X for a chalcogen) have become the subject of intense research activity since, like graphene, they can be exfoliated down to a single atomic layer [1]. Semiconducting compounds present remarkable optoelectronic properties [2], while the whole family displays a wide range of electronic states [1], from wide-gap semiconductors such as trigonal 1T-HfS₂ [3] to band gaps comparable to Si such as in α -MoTe₂ [4] or Mott systems such as 1T-TaS₂ [5]. Some compounds display charge-density waves that coexist with superconductivity as in H-NbSe₂ [6], while others are semimetals such as β -MoTe₂ or WTe₂ [7].

WTe₂ crystallizes in a distorted, orthorhombic variant of the CdI₂ structure with octahedral coordination around W [8], which was recently reported to display an extremely pronounced and nonsaturating magnetoresistance [7]. Following simple arguments already discussed by Pippard [9], this effect was attributed to a nearly perfect compensation between the density of electrons and holes. Evidence for electron/hole compensation was recently provided by angle-resolved photoemission (ARPES) experiments claiming to observe electron- and hole-like Fermi-surface pockets of almost the same size [10]. These results contrast markedly with a subsequent ARPES study [11] which claims to have observed up to nine Fermisurface (FS) sheets, including a hole pocket at the Brillouin zone center or the Γ point and two hole pockets as well as two electron pockets on each side of Γ and along the Γ -X direction. The same study found evidence for spin-orbit split bands and

for an opposite texture between the spin and the angular orbital momentum around the Γ point which should suppress carrier backscattering [11]. The application of a magnetic field would not only alter the spin texture, it would also displace, through the Zeeman effect, the spin-split bands relative to the Fermi level, opening backscattering channels and hence increasing the resistivity. Similar arguments were invoked to explain the pronounced magnetoresistivity observed in Cd₃As₂ [12].

Electrical transport studies focused on the Shubnikov-de Haas effect, yielding results [13–16] which contrast markedly with both ARPES studies. An initial study reports observation of just three Fermi-surface pockets [13], in marked contrast to band structure calculations, which always predict an even number of FS pockets for bulk WTe₂ [10,14,17]. Subsequent studies, more in line with the calculations, observed four Fermi-surface pockets or two sets of concentric electron- and hole-like FSs [14–16] on either side of the Γ point. The angular dependence of the observed frequencies or of the FS crosssectional areas obtained from the Onsager relation suggests ellipsoidal pockets with volumes yielding an excess of 4% in the density of holes when compared with that of the electrons. This imbalance would be comparable to that of elemental Bi, which, in contrast to WTe2, displays a saturating magnetoresistance [18]. A recent Hall-effect study as a function of pressure observed a finite Hall constant at p = 1 bar which decreases as a function of pressure reaching zero value only at 100 kbar [19], as expected for a perfectly compensated semimetal. Beyond this pressure the Hall constant changes sign, indicating a change in the geometry of the Fermi surface. This observation challenges the currently held notion that WTe2 is a nearly perfectly compensated semimetal at ambient pressure.

Subsequent calculations [20] confirmed the previously reported FS geometry [10,14,17] while analyzing the

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topological properties of its electronic structure around the Fermi level. Reference [20] found linear touching between hole and electron Fermi surfaces, leading to Berry phase curvature singularities or to two sets of four Weyl points in the first Brillouin zone, with each Weyl point characterized by topological charges of ± 1 . At the moment it remains unclear how such electronic structure would contribute to WTe₂ pronounced magnetoresistivity. Reference [20] also finds that its FS is particularly sensitive to the exact position of the Fermi level ε_F , with small displacements in ε_F leading to topological Lifshitz transitions, in agreement with our density functional theory (DFT) calculations discussed in this paper.

Here, we present angle- and temperature-dependent magneto resistivity measurements in fields up to H = 35 T on WTe₂ single crystals in an attempt to elucidate the number of Fermisurface sheets. In parallel, we performed DFT calculations incorporating the spin-orbit effect. By adjusting the position of the chemical potential we attempted to precisely describe the geometry of the measured Fermi surfaces. In agreement with a previous report [14] we observe four fundamental Shubnikovde Haas (SdH) frequencies, or Fermi-surface cross-sectional areas, which we ascribe to spin-orbit split electron and hole pockets. As argued in Ref. [14], their angular dependence can be described in terms of ellipsoidal FSs. Therefore, we find that the DFT calculations are unable to correctly describe the angular dependence of the FS cross-sectional areas, particularly in what concerns the hole pockets. For fields aligned along the c and the b axes we observe the effective masses to become renormalized, i.e., considerably heavier as the field increases. As the fast Fourier transform (FFT) spectrum of the oscillatory signal, taken on a limited field window, is unable to resolve all cross-sectional areas, we fit it to five and four Lifshitz-Kosevich components. Our fits clearly indicate that one cannot fit the SdH signal over the entire field range by maintaining the frequencies and the effective masses as constants. Good fits are obtained within a limited field range, confirming that the effective masses (and likely the cross-sectional areas) are field dependent.

II. EXPERIMENT

WTe₂ was grown via a chemical vapor transport technique using chlorine as the transport agent: W (99.999%) and Te (99.9999%) were heated up to a peak temperature of 750 °C at a rate of 100 °C/h and subsequently cooled down to room temperature at a rate of 10 °C/h. The obtained powder was ground with a mortar and pestle and heated again following the same temperature profile. The remaining product was subsequently combined with TeCl₄ (99.999%, 1.5mg/cm³) and heated up to a peak temperature of 750 °C at a rate of 100 °C/h under a temperature gradient of \approx 50 °C. The crystals used for this study displayed resistivity ratios in excess of 10². Subsequent crystals grown via the Te flux method yielded much higher residual resistivity ratios (\sim 700) but showed the same oscillatory behavior.

The synthesis procedure yielded platelet-like single crystals several millimeters in length and typically a millimeter wide, making the a, b, and c axes easily distinguishable. The stoichiometric composition was determined by energy dispersive x-ray spectroscopy and single-crystal x-ray refinement. A



FIG. 1. (Color online) (a) Resistivity ρ as a function of the magnetic field *H* applied along the *c* axis for a WTe₂ single crystal and for three representative values of the temperature *T*, i.e., 0.5 K (black line), 6 K (blue), and 10 K (red). Here, the current is applied along the *a* axis, hence perpendicularly to *H*. (b) Same as in (a), but for fields along the *b* axis of the crystal. (c) Same as in (a), but for currents parallel to *H*, which is applied along the *a* axis. (d) Shubnikov-de Haas signal superimposed onto the curves in (a) after subtraction of a polynomial background. (e) Same as in (d), but from the curves in (b). (f) Same as in (d), but from the curves in (c).

conventional four-terminal configuration was used for the resistivity measurements which were performed under high magnetic fields either by using a 35-T resistive magnet or a Physical Property Measurement System (PPMS).

Figures 1(a)-1(c) show the resistivity ρ of a WTe₂ single crystal as a function of the magnetic field applied along the *a*, *b*, and *c* axes, respectively, for several temperatures and with the electrical current applied along the *a* axis. In agreement with previous reports [7,14], fields along the *c* axis yield the largest magnetoresistivity, although in our crystals the anisotropy in magnetoresistivity between fields along the *c* and the *b* axes is just a factor of 4 and not beyond one order of magnitude [14]. The smallest change in resistivity is observed for fields applied along the *a* axis since in this configuration the Lorentz force is expected to vanish. For all three sets of data the oscillatory component superimposed onto the smoothly increasing background corresponds to the Shubnikov–de Haas effect. Figures 1(d)-1(f) display the oscillatory component



FIG. 2. (Color online) (a) Fast Fourier transform of the SdH signal superimposed onto $\rho(H)$ for fields along the *a* axis and for T = 1.3 K. Here, the oscillatory signal was extracted from a field interval ranging from 3 to 35 T. As discussed in the main text, the indexation of the four peaks observed in the FFT spectrum is based upon band structure calculations, where F_{α} and F_{β} denote hole and electron pockets, respectively, and the indexes 1 and 2 differentiate spin-orbit split Fermi-surface pockets. (b) Same as in (a), but for fields along the b axis and for T = 0.5 K. (c) Same as in (a), but for fields along the c axis and for T = 0.5 K. The inset shows FFT spectra extracted for different field intervals. Notice the loss of frequency resolution when the FFT is extracted either from traces acquired under high fields or from a limited field range. To allow the visual comparison between the different FFT spectra the blue and black traces were multiplied by factors of 75 and 3.5, respectively. Notice how within the interval $\Delta H = 8 - 20$ T the peak corresponding to the magnetic breakdown orbit F_{δ} becomes more pronounced than the fundamental peaks.

superimposed onto $\rho(H,T)$ as a function of the inverse field H^{-1} for fields oriented along all three crystallographic axes and for several temperatures [from the curves in Figs. 1(a)-1(c), respectively]. To obtain the oscillatory component, the smoothly varying background was fit to a polynomial which was subsequently subtracted from the raw data.

Figures 2(a)-2(c) show the FFT of the SdH oscillatory signal displayed in Figs. 1(d)-1(f), respectively. For fields along all three crystallographic axes four fundamental frequencies are clearly observed. This is in agreement with the observations of Ref. [14] but is markedly in contrast to both ARPES studies [10,11]. For fields along the *c* axis one observes peak

frequencies at $F_{\alpha 1} = 90$ T, $F_{\beta 1} = 130$ T, $F_{\beta 2} = 145$ T, and $F_{\alpha 2} = 160$ T. As we discuss below and based on DFT calculations, the α and the β frequencies are identified with spin-orbit split hole and electron pockets, respectively. The indexes 1 and 2 distinguish the smaller from the larger spin-orbit split Fermi surfaces. The DFT calculations indicate the presence of identical sets of electron and hole pockets on either side of the Γ point, making a total of eight Fermi-surface sheets in the first Brillouin zone. We see a gradual increase in the frequencies as the crystal is rotated from c towards the b axis or the aaxis. For fields along the b axis the peaks are shifted towards $F_{\alpha 1} = 180 \text{ T}, F_{\beta 1} = 220 \text{ T}, F_{\beta 2} = 236 \text{ T}, \text{ and } F_{\alpha 2} = 262 \text{ T}.$ For fields along the *a* axis the peaks are observed at $F_{\alpha 1} = 250$ T, $F_{\beta 1} = 300$ T, $F_{\beta 2} = 357$ T, and $F_{\alpha 2} = 390$ T. Reference [11] claimed the existence of nine Fermi-surface pockets, in contrast to the eight pockets implied by our experiments when combined with the calculations described below. Here, we just mention that another very small electron pocket does emerge at the Γ point by shifting the Fermi level in the DFT calculations with respect to the position required to match our observations. Indeed, this would lead to a total number of nine Fermi-surface sheets. Nevertheless, their cross-sectional areas would strongly disagree with our experimental observations. Furthermore, the different ARPES studies [10,11] disagree among themselves on the existence of this extra pocket. As we show below, the DFT calculations indicate that the geometry of the Fermi surface(s) is extremely sensitive with respect to small displacements of the Fermi level, i.e., of the order of 20 meV, which is comparable to the experimental resolution of ARPES. The distinction among the ARPES results is attributable to the resolution between the different setups.

For fields along the *c*-axis one also observes a pronounced fifth peak, $F_{\delta} \simeq 250$ T, which clearly corresponds to $F_{\alpha 1} + F_{\alpha 2}$. This frequency was previously attributed to magnetic breakdown or to the magnetic-field-induced carrier tunneling between both orbits [14]. However, the fact that the amplitude of the peak associated with F_{δ} is more pronounced than peaks associated with the fundamental orbits, such as $F_{\alpha 2}$, contradicts this scenario, unless both FS sheets were in close proximity at the point of nearly touching. In fact, as seen in the inset of Fig. 2(c), which displays the FFT spectra acquired under distinct field intervals, this orbit becomes progressively more pronounced at higher fields, which is consistent with magnetic breakdown. However, as illustrated by the FFT spectrum extracted from the field interval ranging from 8 to 20 T, the amplitude of F_{δ} can, in some field intervals, become more pronounced than the amplitude of all other fundamental peaks. This suggests that the Zeeman effect is altering the geometry of the α pockets, hence decreasing their (spin-orbit-induced) splitting in some region(s) of k space. Our DFT analysis, discussed below, is indeed consistent with this possibility. This would imply that the electronic structure at the Fermi level evolves with field due to the Zeeman effect. We considered this hypothesis and evaluated the possibility of studying in detail the evolution of the SdH frequencies and of the concomitant effective masses as a function of the field. But as illustrated by the inset of Fig. 2(c), the resolution among peaks in the FFT spectra, or the peak sharpness, decreases considerably when the very low field interval is excluded from the oscillatory signal.



FIG. 3. (Color online) (a) Magnitude of the FFT peaks extracted from the oscillatory component superimposed into the magnetoresistivity measured for fields applied along the *a* axis and as a function of the temperature *T*. Solid lines are linear fits to the Lifshitz-Kosevich formalism from which we extract the effective masses μ . (b) Same as in (a), but for fields along the *b* axis. (c) Same as in (a), but for fields along the *c* axis. (d) Magnitude of FFT peak observed at F_{δ} which corresponds to a magnetic breakdown orbit.

Figures 3(a)-3(c) display the amplitude of each peak observed in the FFT spectra as a function of the temperature Tfor fields along the a, b, and c axes, respectively. Solid lines are fits to the Lifshitz-Kosevich (LK) formula $A/\sinh X$ (where $X = 2\pi^2 k_B T / \hbar \omega_c = 14.69 \mu T / \overline{H}$, with μ being the effective mass μ and \overline{H} being the average field value), from which we extract the effective masses. As seen, the fits are excellent and yield precise values for μ . More importantly, according to the fits in Fig. 3(d), the effective mass of F_{δ} , or μ_{δ} , is found to evolve as a function of the field: i.e., $\mu_{\delta} = (0.73 \pm 0.05)m_0$ for H ranging from 3 to 13 T, $\mu_{\delta} = (0.9 \pm 0.07)m_0$ for fields between 8 and 20 T, and $\mu_{\delta} = (0.97 \pm 0.02)m_0$ for fields ranging from 15 to 35 T. Table I summarizes the experimental information extracted from this study, including effective masses for H parallel to the c and b axes, in each case extracted from two intervals in field, i.e., a low-field region (i.e., from H = 3 to 9 T, corresponding to an average field $\overline{H} = 6$ T

used in the LK formula for determining of μ) and the whole field window from 3 to 35 T ($\overline{H} = 19$ T). As seen, the effective masses extracted from the whole field range are approximately a factor of 2 heavier than those extracted from the low-field region, clearly indicating a renormalization of the effective masses induced by the application of a magnetic field. For example, for fields along the *c* axis $F_{\alpha 1}$ increases from $0.42m_0$ to $0.79m_0$, and this increase can in no way be attributed to the field dependence of the LK formula. The LK formula would have required an average field of $\overline{H} = 33.86$ T for the μ value extracted at high fields to coincide with its low-field one, which is *not* the average field value but is close to the maximum field attained for these measurements.

Also in Table I we include the results of an attempt to match the experimentally determined FSs with those determined from the DFT calculations by independently adjusting the position of the electron and hole bands relative to the Fermi level.

TABLE I. Comparison between the experimental results and the results of the DFT calculations. $\mu_{a,b,c}^{b}$ are the band masses from the DFT calculations for orbits perpendicular to fields applied along all three crystallographic axes (in units of free-electron mass m_0), $\mu_{a,b,c}$ are the experimental effective masses, n_{ellip} are the carrier densities as estimated from a simple ellipsoidal approximation of the Fermi surfaces, and n_{DFT} are the carrier densities resulting from the DFT calculations. The *n*'s are expressed in units of 10^{19} cm^{-3} .

	μ^b_a	μ _a , 7.5–35 T	μ^b_b	μ _b , 3–13 T	μ _b , 4–35 T	μ^b_c	μ _c , 3–9 Τ	μ _c , 3–35 T	n _{ellip.}	n _{DFT}
$\overline{F_{\alpha 1}}$	1.37	(1.17 ± 0.06)	0.84	(0.44 ± 0.11)	(0.97 ± 0.04)	0.72	(0.42 ± 0.01)	(0.79 ± 0.04)	0.57	0.78
$F_{\alpha 2}$	1.32	(1.20 ± 0.07)	1.02	(0.44 ± 0.05)	(1.13 ± 0.05)	1.1	(0.47 ± 0.02)	(0.95 ± 0.05)	1.14	2.31
$F_{\beta 1}$	1.02	(0.64 ± 0.14)	0.56	(0.49 ± 0.01)	(0.84 ± 0.03)	0.43	(0.33 ± 0.01)	(0.62 ± 0.03)	0.84	3.9
$F_{\beta 2}$	0.97	(0.75 ± 0.04)	0.57	(0.58 ± 0.03)	(0.92 ± 0.04)	0.51	(0.36 ± 0.01)	(0.64 ± 0.04)	1	5.14



FIG. 4. (Color online) (a) Raw SdH signal (black trace) at T = 0.5 K as a function of the inverse field H^{-1} for fields ranging between 3.5 and 10 T applied along the *c* axis. The magenta line corresponds to a fit of the SdH signal to five Lifshitz-Kosevich oscillatory components, each having one of the frequencies observed in the FFT spectrum. (b) Same as in (a), but for fields ranging from 10 to 35 T. In contrast to what is observed in (a) the fit does *not* correctly describe the experimental data over the entire field range. (c) Same as in (b), but over a smaller field range, i.e., from 10 to 18.6 T. The blue line depicts a fit to four LK components, where one of the components corresponds to an average value between $F_{\alpha 2}$ and $F_{\beta 2}$. In contrast to what is seen in (b) both fits are able to reproduce the experimental data. (d) Same as in (c), but for fields ranging between 18.6 and 35 T.

A renormalization of the effective masses necessarily implies the evolution of the electronic structure at the Fermi level due to the application of an external field. Such an evolution is likely to affect the geometry of the Fermi surface. To test this hypothesis in Fig. 4 we attempt to fit the oscillatory component, or the SdH signal superimposed onto the magnetoresistivity, to the five frequencies observed in the FFT spectrum. However, as illustrated by Figs. 4(a) and 4(b) it is *not* possible to fit the SdH signal over the entire field range to five Lifshitz-Kosevich components (four fundamental frequencies plus F_{δ}), each of which is composed of a sinusoidal term whose amplitude is normalized by $H^{-1/2}$, the exponential Dingle factor (whose argument is effective mass and scattering rate dependent), and the temperature factor $\propto [\sinh(\alpha \mu T/H)]^{-1}$, where α is a constant. As seen in Fig. 4(a), for fields below H = 10 T one can fix the frequencies to the values obtained from the FFT spectrum and obtain a good fit of the experimental data over an extended range in H^{-1} if one considers the experimental noise and a less than perfect background subtraction. However, as seen in Fig. 4(b), if one attempts the same exercise for fields beyond 10 T, one cannot converge to a fit describing the oscillatory signal over the entire H^{-1} range. This is particularly surprising given that this window in inverse field $\Delta H^{-1} \simeq 0.714$ is shorter than the interval $\Delta H^{-1} > 0.16$ previously used for Fig. 4(a). As illustrated by Figs. 4(c) and 4(d), it is nevertheless possible to converge to good fits if one splits this interval into two intervals, i.e., from 10 to 18.6 T and from 18.6 to 35 T. For fields beyond 10 T we were unable to simultaneously resolve $F_{\alpha 2}$ and $F_{\beta 2}$; the fits systematically converge to an average value between both frequencies and to another frequency around 400 T [curiously detected in the FFT spectra shown in the inset of Fig. 2(c)]. This is likely attributable to the limited interval in H^{-1} . Hence, we have redone the analysis after removing one LK component (blue traces), which does not compromise the fits. The important observation is that $F_{\alpha 1}$ increases from 93 T (in the field interval $H \sim 3.5$ to 10 T) to 103 T ($H \sim$ 18.6 to 35 T), $F_{\beta 1}$ increases from 132 to 145 T, and $(F_{\alpha 2} + F_{\beta 2})/2$ increases from (150 + 164)/2 = 157 to 187 T. One could argue that the fits of the highest-field oscillatory data are not excellent and hence question the validity of the obtained frequencies. However, here we have shown that it is



FIG. 5. (Color online) (a) Kohler plot of the resistivity ρ , normalized by its zero-field value ρ_0 , as a function of $(H/\rho_0)^2$ for fields applied along the *a* axis. (b) Same as in (a), but for fields along the *b* axis. (c) Same as in (a), but for fields along the *c* axis.

not possible to fit the entire field range with fixed frequencies and effective masses: the Dingle and temperature factors also depend on the field interval chosen for the fits. Together with the previously shown effective mass renormalization, this indicates that the electronic structure of WTe₂ evolves with field due to the Zeeman splitting. Notice that to take into account the role of the Zeeman splitting, one would not be able to fit the observed SdH frequencies over the entire field range by including frequencies that are linearly dependent in field. The spin-degeneracy is not simply lifted by the Zeeman term, but rather by the more powerful spin-orbit coupling term. Because of this interaction the spin and the angular momentum (resulting from the orbital mixtures of each band) are not only coupled but change from one symmetry point of the BZ to the next. Therefore, the field or the Zeeman term does not affect the frequencies in a simple linear fashion.

In Fig. 5 we show Kohler plots of the resistivity ρ normalized by its zero field value ρ_0 as a function of the square of the field H^2 normalized by ρ_0 and for fields along all three crystallographic orientations. Recently, it was claimed [15] that WTe₂ would *not* obey the Kohler rule [9], leading to an anomalous linear magnetoresistance for currents parallel to the field. However, as seen in Fig. 5, our data clearly follow Kohler scaling with very mild deviations for fields along the b axis and only for the curves taken at higher temperatures. At lower fields and for fields along the *a* axis, indeed, $\rho(H) \sim H$. Since for this current/field configuration the Lorentz force is nearly zero, which leads to no orbital magnetoresistivity, a very careful experimental effort, such as very precise field alignment and homogeneous current distribution, will be required to characterize this effect. The fact that WTe₂ nearly obeys the Kohler rule for all three crystallographic orientations implies that its orbital magnetoresistivity is dominated by scattering by phonons and impurities.

III. DFT CALCULATIONS AND THE EVOLUTION OF THE FERMI SURFACES

One of the main goals of this study is to compare the geometry of the experimentally detected FSs with those resulting from *ab initio* calculations such as the ones included in Refs. [7,10,14,17]. In this paper, electronic structure

calculations were performed by using the Vienna Ab initio Simulation Package [21–24] (VASP) within the generalized gradient approximation (GGA). We have included the contribution of spin-orbit coupling in our calculations. The Perdew-Burke-Ernzerhof (PBE) exchange-correlation functional [25] and the projected augmented-wave (PAW) methodology [26] was used to describe the core electrons. The 5*d* and 6*s* electrons for W and the 5*s* and 5*p* electrons for Te were treated as valence electrons in all of our calculations. The energy cutoff for the plane-wave basis was chosen to be 600 meV. A total of 96 bands and a *k* point mesh of $8 \times 8 \times 8$ were used for the self-consistent ground-state calculations. A total of 100 *k* points was chosen between each pair of special *k* points in the Brillouin zone for the band structure calculations.

In order to obtain an accurate and detailed representation of the Fermi surface we have used a $80 \times 80 \times 80 k$ -point mesh. The areas of the observed and calculated FS orbits are related to the SdH frequencies by the Onsager relation,

$$F_{\vec{k}} = \frac{\phi_0}{2\pi^2} A_{\vec{k}},$$
 (1)

where $\phi_0 = 2.07 \times 10^{-15} \text{ Tm}^2$ is the quantum of flux and $A_{\vec{k}}$ is the area of the electron orbit perpendicular to the field. The effective masses of the electron and the hole pockets at these extremal orbits are determined by using the relation

$$m_{\vec{k}}^*(\epsilon) = \frac{\hbar^2}{2\pi} \frac{\partial A_{\vec{k}}(\epsilon)}{\partial \epsilon}.$$
 (2)

We have calculated the areas of the orbits by considering cuts of the Fermi surface with planes intersecting the Fermi surface perpendicular to the a, b, and c axes at various different angles. In an attempt to match the experimentally observed FSs with the calculated ones, we chose to adjust our calculations to the experimental cross sections obtained for fields along the c axis. For this, the energy of the electron pockets was downshifted with respect to the Fermi level by an amount of 25 meV, while the hole pockets were displaced upwards by 20 meV. The Fermi surfaces were generated using the eigenvalues obtained from VASP and were visualized using the XCRYSDEN software [27]. As seen in Fig. 6, the resulting Fermi surface is composed of two sets of spin-orbit split pockets on either side of the



FIG. 6. (Color online) (a) Fermi surface of WTe₂. The spin-orbit split electron pockets are shown in blue and in transparent light blue. The hole pockets are shown in red and in pink. The position of the energy of the electron and hole pockets was independently adjusted to obtain good agreement with the experimentally observed Shubnikov–de Haas frequencies for magnetic fields along the *c* axis. In order to obtain high resolution, the size of the *k*-point mesh used was $80 \times 80 \times 80$. This is required to obtain, as accurately as possible, the angle dependence of the frequencies for both electron and hole orbits. The reason for two electron-like and two hole-like pockets, as opposed to just two doubly degenerate ones, is the spin-orbit coupling. Therefore, the spin-orbit coupling is particularly large (relative to the energy dispersion near the Fermi level) for the hole pockets. (b) The electron pockets are shown in a higher-resolution, larger image. (c) Same as in (b), but for the hole pockets.

 Γ point and along the Γ -X direction, with the electron-like pockets being depicted in blue or transparent light blue and the hole-like pockets depicted in red or pink.

In Table II, we summarize the experimental results as well as those resulting from the DFT calculations. In particular, we present a comparison between the experimental effective masses μ , extracted from the fits in Fig. 3, and the band masses μ^{b} resulting from the DFT calculations. Here, the important observation is not only the marked contrast between the band masses and the experimental effective masses, with the band masses being systematically heavier (in particular for the α orbits), but also their field dependence. As seen in Table II, the effective masses are strongly field dependent, increasing by nearly a factor of 2 when they are extracted from the whole field range compared with the masses extracted from the low-field region. This is a very clear indication of the role of the magnetic field in altering the electronic structure at the Fermi level and in leading to a concomitant increase in the density of states at the Fermi level $\rho(E_F)$. For samples that start to display quantum oscillatory behavior under fields H < 3 T it is remarkable, as

TABLE II. Fermi vectors and Fermi-surface volumes resulting from the fits of the SdH frequencies as a function of the angle assuming ellipsoidal pockets. The error bars in the determination of $k_F^{a,b,c}$ are on the order of 1%.

F	k_F^a (Å ⁻¹)	k_F^b (Å ⁻¹)	k_F^c (Å ⁻¹)	$V_{\rm FS}$ (Å ⁻³)
$\overline{F_{\alpha 1}}$	0.04474	0.06112	0.12428	0.0014237
$F_{\alpha 2}$	0.05668	0.08578	0.13886	0.0020823
$F_{\beta 1}$	0.05312	0.07437	0.12585	0.0024678
$F_{\beta 2}$	0.05431	0.08112	0.13372	0.0028278

seen in the inset of Fig. 2(c), that one cannot resolve all four peaks in the FFT spectrum when it is taken over a broad range in H, such as H = 10-35 T. However, as illustrated in the Supplemental Material [28], through simple simulations of the experimental data, this just reflects the much lower number of oscillations within this inverse field range compared to the number of oscillations observed in fields ranging from <3 up to 9 T.

Table II also shows the density of carriers n_{ellip} that one would extract from our experiments by assuming ellipsoidal FS pockets [14]. As discussed further below, we fit the observed angular dependence of the cross-sectional areas to ellipsoidal Fermi surfaces to extract the corresponding Fermi vectors $k_F^{a,b,c}$. The volume of each FS pocket is estimated through the expression for the volume of an ellipsoid, i.e., $V_{\rm FS} = 4\pi k_F^a k_F^b k_F^c / 3$. To extract $n_{\rm ellip.}$ one renormalizes by the volume of the Brillouin zone. This simple estimation yields an $\sim 7\%$ larger density of electrons with respect to that of the holes. However, as we discuss below, the determination of $k_F^{a,b,c}$ is characterized by error bars on the order of ~1%, implying an error of $\sim 3\%$ in the calculation of the volume of each pocket. Hence, the frequencies or cross-sectional areas extracted at low fields are consistent with near-perfect compensation if one assumes that $F_{\alpha 1}$ and $F_{\alpha 2}$ corresponded to hole pockets and $F_{\beta 1}$ and $F_{\beta 2}$ correspond to electron pockets. Instead, if one assumes, for example, that the two lowest frequencies, i.e., $F_{\alpha 1}$ and $F_{\beta 1}$, corresponded to the hole pockets and the other two to electron pockets, which is the indexation suggested by Ref. [16], one would obtain \sim 50% more electrons than holes, thus placing this system far from compensation. The other possible combination satisfying the indexation of Ref. [16] would yield $\sim 26\%$ more carriers of one type with respect to the other. This would



FIG. 7. (Color online) Position of the main four peaks detected in fast Fourier spectra as a function of the orientation of the field relative to the crystallographic axes. In order to compare experimental results with the theoretical calculations the dashed lines depict the DFT-calculated angular dependence of the frequencies for both electron and hole pockets. Notice the overall poor agreement with the calculations. Solid lines correspond to fits assuming ellipsoidal Fermi surfaces.

necessarily contradict the original claim in Refs. [7,10] of nearly perfect carrier compensation. Hence, our indexation is far more consistent with the pronounced magnetoresistivity displayed by this compound. The FSs calculated by DFT and subsequently adjusted to match the experimental results lead to a much worse situation, with the density of electrons becoming \sim 3 times larger than the density of holes.

In Fig. 7 the geometry of the FS is compared to the geometry of the DFT-calculated FSs. Figure 7 shows the position of the four fundamental peaks found if the FFT spectra of the SdH signal as a function of the angle relative to the crystallographic c axis. Here, 0° corresponds to the c axis and $\pm 90^{\circ}$ corresponds to either the a or the b axis. Dashed lines depict the angular dependence of the frequencies resulting from our DFT calculations for both the hole and the electron pockets including spin-orbit coupling. These curves were obtained by a polynomial fit of their calculated values at discrete angles. Our calculations yield relatively good agreement with the experimentally obtained frequencies for fields along the c axis and modest agreement with the angular dependence of the frequencies corresponding to the electron pockets.



FIG. 8. (Color online) Evolution of the Fermi surface by shifting the Fermi level by 10 and 20 meV above and below the Fermi level, respectively. (a) The electron (shown in blue and transparent light blue) and hole (shown in red and in transparent pink) pockets for the actual position of the Fermi level. Here, the position of the Fermi level was adjusted to obtain good agreement with the experimental Shubnikov–de Haas frequencies for magnetic fields along the *c* axis. (b) The position of the Fermi level is shifted upward by 10 meV. (c) The position of the Fermi level is shifted downward by 20 meV. (e) The position of the Fermi level is shifted downward by 20 meV.

Nevertheless, they show very poor agreement with the angular dependence associated with the hole pockets. In fact the observed angular dependence implies very similar geometries for the electron and hole pockets. Solid lines correspond to fits of the observed angular dependence assuming ellipsoidal FSs. As seen here and as already pointed out by Ref. [14], the experimental data can be well described in terms of ellipsoidal pockets. The corresponding Fermi vectors $k_F^{a,b,c}$ extracted from our fits are given in Table II. Typical error bars in $k_F^{a,b,c}$ are on the order of 1%. It is, however, quite remarkable that the DFT calculations fail to describe the electronic structure of this weakly correlated binary compound.

In Fig. 8 we illustrate the effect on the geometry of the FSs of displacing the position of the Fermi level by 10 and 20 meV both above and below the Fermi surface. These displacements are intended to give us a rough idea of the role played by the Zeeman effect in the geometry of the spin-orbit split FSs. The role of the Zeeman term is different for each one of the spin-orbit-coupling split pockets and different for electron and hole pockets, and this effect is reversed when the magnetic field is applied in the opposite direction. Furthermore, the amount of Zeeman splitting depends on \vec{k} since the mixing of the atomic orbitals in forming the Bloch states changes for different values of k. Thus, we cannot infer the precise role of the Zeeman term by simply shifting the Fermi level as in Fig. 8. Nevertheless, the results shown in Fig. 8 convey the sensitivity of the geometry of Fermi-surface sheets with respect to an applied field. Notice that the hole pockets change significantly when the Fermi level is displaced by a small amount of energy, i.e., of the order of just 10 meV, which would correspond to a Zeeman splitting of the order of 100 T. The change is more dramatic when the Fermi level is shifted upwards. This exercise implies that the Zeeman effect could induce pronounced changes in the geometry of the FSs, altering the balance between opposite-spin carriers. Notice also how the hole pockets extracted from the DFT calculations, which present a boomerang-like cross section, nearly touch at its vertex when the Fermi level is displaced. Nonconcentric Fermi surfaces like these would favor the magnetic breakdown between both orbits, as experimentally seen.

IV. SUMMARY

In summary, by analyzing the angular dependence of the Shubnikov-de Haas oscillations in single crystals, we detected four Fermi-surface cross-sectional areas in the semimetal WTe₂. By using density functional theory calculations, we conclude that they correspond to spin-orbit split electron and hole pockets or two sets of concentric pockets on either side of the Γ point. Nevertheless, the experimentally observed angular dependence for the cross-sectional areas is poorly described by the DFT calculations. Their geometry is well described in terms of ellipsoidal pockets, as suggested by Ref. [14]. The discrepancy between the *ab initio* calculations and the experimentally determined cross-sectional areas is far more pronounced for the hole pockets. We observed four SdH frequencies (and not three as claimed by Ref. [13]) which are in excellent agreement with those reported in Refs. [14,16], indicating that the geometry of the extracted Fermi surfaces does not depend on sample quality (for samples with resistivity ratios in the range $10^2 < \rho(T = 300 \text{ K})/\rho(T = 0.5 \text{ K}) < 2 \times 10^3$). We, as well as Ref. [14], attribute the two middle frequencies to the electron pocket(s), with the other two corresponding to the hole pocket(s).

In contrast to Ref [14], we attribute this geometry to the spin-orbit splitting of the hole and electron Fermi surfaces. On the other hand, Ref. [16], based on their pressure-dependent studies combined with band structure calculations, attributed the middle two frequencies $F_{\beta 1}$ and $F_{\beta 2}$ to electron and hole orbits, respectively. Based on our angular-dependent study, this indexation would necessarily imply a sizable difference in volumes between the electron and hole Fermi surfaces and therefore result in a pronounced lack of carrier compensation at zero field. Here, we emphasize that our choice for the indexation of the Fermi surfaces in combination with the volumes of the extracted ellipsoidal Fermi surfaces does *not* discard near-perfect compensation between electron and hole densities at zero or low fields.

By performing measurements up to higher fields we found experimentally that the effective masses in WTe_2 are renormalized by the magnetic field, increasing considerably as the field increases. This is consistent with our fits of the oscillatory SdH signal as a function of the inverse field (to several Lifshitz-Kosevich components). These fits also indicate that the fundamental frequencies are evolving with field due to the Zeeman effect. Both observations point towards a magneticfield-induced modification of the electronic structure at the Fermi level or a Fermi surface and concomitant density of states at the Fermi level which are responsive to a magnetic field. This is supported by our DFT calculations as well as those in Ref. [20], indicating that the geometry of the Fermi surface in WTe₂ is very sensitive to the exact position of

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the Fermi level. Therefore, it is not surprising to find that the spin-orbit split Fermi surfaces can be slightly modified by the Zeeman effect, which apparently is displacing the (spin-dependent) density of states at the Fermi level towards van Hove singularities. We believe that this effect, i.e., a fielddependent density of states at the Fermi level, could have a relevant influence on the observed colossal magnetoresistivity of WTe₂. Thus, the scenario emerging from our study and from the results of other groups is consistent with WTe₂ being close to, but *not* at, carrier compensation, with the Zeeman effect strongly affecting its electronic structure at the Fermi level.

In a three-dimensional Landau-Fermi liquid, the quasiparticle lifetime decreases with increasing quasiparticle energy difference from the Fermi energy [29]. If, upon application of a strong magnetic field, the Fermi surface were altered, one would expect a strong influence on the quasiparticle scattering rates and lifetimes, contributing perhaps in a nontrivial manner to the large magnetoresistance observed in WTe₂.

Finally, the lack of agreement between our experimental observations and the band structure calculations has important implications. For example, the absence of precise knowledge of the electronic structure at the Fermi level makes it difficult, or nearly impossible, to predict its topological properties [20].

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