Charge-density wave induced by combined electron-electron and electron-phonon interactions in 1*T*-TiSe₂: A variational Monte Carlo study

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To clarify the origin of a charge-density wave (CDW) phase in 1T-TiSe₂, we study the ground-state property of a half-filled two-band Hubbard model in a triangular lattice including electron-phonon interaction. By using the variational Monte Carlo method, the electronic and lattice degrees of freedom are both treated quantum mechanically on an equal footing beyond the mean-field approximation. We find that the cooperation between Coulomb interaction and electron-phonon interaction is essential to induce the CDW phase. We show that the "pure" exciton condensation without lattice distortion is difficult to realize under the poor nesting condition of the underlying Fermi surface. Furthermore, by systematically calculating the momentum-resolved hybridization between the two bands, we examine the character of electron-hole pairing from the viewpoint of BCS-BEC crossover within the CDW phase and find that the strong-coupling BEC-like pairing dominates. We therefore propose that the CDW phase observed in 1T-TiSe₂ originates from a BEC-like electron-hole pairing.

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I. INTRODUCTION

The charge-density wave (CDW) phase is widely observed in low-dimensional solids and has been extensively studied both experimentally and theoretically [1,2]. Transition metal dichalcogenides MX_2 (M = transition metal, X = S, Se, Te) are one of the typical CDW materials with a layered triangular lattice structure. They show various CDW patterns, depending on the combination of M and X [3–5], and quite often superconductivity (SC) is observed next to the CDW phase by applying pressure [6,7], doping [8,9], or intercalation [10]. However, the origin of CDW and SC has not been fully understood, and it has been still under debate in spite of the long and extensive studies so far.

Recently, 1T-TiSe2, one of the old transition-metal dichalcogenides, has again attracted much interests in the context of exciton condensation. This material is a semimetal or a semiconductor in room temperature [11-13] and shows a commensurate CDW transition with a $2 \times 2 \times 2$ superstructure below $T_c \sim 200$ K. The Fermi surface (FS) partially remains even in the CDW phase due to the imperfect opening of the energy gap. The origin of the CDW phase is still controversial, and the usual nesting mechanism seems unlikely due to its poor FS nesting [14]. Alternatively, exciton condensation has been proposed as a possible mechanism for the CDW phase [15-17]. Indeed, the large spectral weight transfer between Ti 3d and Se 4p bands and the flat energy spectrum just below the Fermi energy have been observed [16], strongly suggesting the possibility of exciton condensation. On the other hand, another possible mechanism for the CDW transition is a band Jahn-Teller effect which results from electron-phonon interaction [18–21]. A large lattice distortion of several percent observed below T_c [4] indicates the strong coupling between electronic and lattice degrees of freedom [22]. Very recently, it is proposed that both mechanisms work cooperatively for the CDW transition [23–27].

Exciton condensation is a quantum state expected in lowcarrier-density systems such as a semimetal or a semiconductor and has been extensively studied since the 1960s [28-31]. The exciton is a bound pair of an electron and a hole in different bands mediated by the interband Coulomb interaction. When the binding energy of an exciton exceeds the band gap, the system has an instability toward condensation of excitons, namely, a spontaneous hybridization between different bands. Since the repulsive Coulomb interaction is attractive between an electron and a hole, the exciton condensation is expected to occur in principle without considering any additional "glue" of the electron-hole pair. Although extensive efforts have been devoted for half a century and several candidates have been proposed [16,32–35], the generally accepted materials for the exciton condensation are still absent. Therefore, any conclusive evidence for the exciton condensation in real materials is highly desired for further progress and 1T-TiSe₂ would be one of the promising examples.

In the pioneering studies for exciton condensation [29,30], an isotropic band dispersion with perfect FS nesting is assumed, for which the excitonic instability is always present in a semimetallic case. The extension to anisotropic band dispersions shows that the degree of FS nesting greatly affects the instability of exciton condensation [36]. Moreover, the mean-field approximation generally overestimates the instability toward ordered states, including the exciton condensation. Therefore, for discussion of the exciton condensation in real materials, the realistic band dispersion and the appropriate method beyond the mean-field approximation are both required.

In this paper, a half-filled two-band Hubbard model with electron-phonon interaction in a triangular lattice is studied to understand the origin of the CDW phase in 1T-TiSe₂. The

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ground-state properties are calculated using the variational Monte Carlo (VMC) method for multiorbital systems [37]. We find that the cooperation between Coulomb interaction and electron-phonon interaction is essential to induce the CDW phase. The CDW phase is observed between the normal metal and band insulator phases with intermediate interband Coulomb interaction. We show that the "pure" exciton condensation without lattice distortion is difficult to realize under the poor FS nesting condition in a triangular lattice. Furthermore, we systematically calculate the momentum-resolved hybridization between the two bands to show that the strong-coupling BEC-like pairing dominates in the CDW phase observed in 1T-TiSe₂ originates from the strong-coupling BEC-like electron-hole pairing.

The rest of this paper is organized as follows. In Sec. II, a two-band Hubbard model in a two-dimensional triangular lattice is introduced as a low-energy effective model for 1T-TiSe₂. The detailed explanation of the VMC method and the variational wave functions are also given in Sec. II. The numerical results are then provided in Sec. III. Finally, the implication of our results for 1T-TiSe₂ is discussed in Sec. IV, followed by the summary in Sec. V.

II. MODEL AND METHOD

We consider a two-band Hubbard model in a twodimensional triangular lattice defined as

$$H = \sum_{k,\sigma} \varepsilon_{k}^{c} c_{k\sigma}^{\dagger} c_{k\sigma} + \sum_{k,\sigma} \varepsilon_{k}^{f} f_{k\sigma}^{\dagger} f_{k\sigma}$$
$$+ U_{c} \sum_{i} n_{i\uparrow}^{c} n_{i\downarrow}^{c} + U_{f} \sum_{i} n_{i\uparrow}^{f} n_{i\downarrow}^{f} + U' \sum_{i} n_{i}^{c} n_{i}^{f}$$
$$+ \frac{1}{\sqrt{N}} \sum_{k,q,\sigma} [g(k,q)(b_{q} + b_{-q}^{\dagger})c_{k+q\sigma}^{\dagger} f_{k\sigma} + \text{H.c.}]$$
$$+ \sum_{q} \omega(q) \left(b_{q}^{\dagger} b_{q} + \frac{1}{2} \right), \qquad (1)$$

where $c_{k\sigma}^{\dagger}$ $(f_{k\sigma}^{\dagger})$ creates an electron in the c (f) band with momentum k and spin σ $(=\uparrow,\downarrow)$. The band dispersions ε_{k}^{c} and ε_{k}^{f} are given as

$$\varepsilon_{k}^{c} = 2t_{c} \left(\cos k_{x} + 2\cos \frac{1}{2}k_{x}\cos \frac{\sqrt{3}}{2}k_{y} \right) + 2t_{c}' \left(\cos \sqrt{3}k_{y} + 2\cos \frac{3}{2}k_{x}\cos \frac{\sqrt{3}}{2}k_{y} \right) + \mu_{c} \quad (2)$$

and

$$\varepsilon_k^f = 2t_f \left(\cos k_x + 2\cos\frac{1}{2}k_x \cos\frac{\sqrt{3}}{2}k_y \right), \qquad (3)$$

respectively. We introduce the next-nearest-neighbor hopping t'_c to locate the bottom of the *c* band at *M* points. $U_c(U_f)$ is an on-site intraband Coulomb interaction within the *c*(*f*) band and *U'* is an on-site interband Coulomb interaction between *c* and *f* bands. $n^{\alpha}_{i\sigma}$ is a number operator of the α (= *c*, *f*) electron



FIG. 1. (Color online) (a) Fermi surfaces (blue circle and red ellipses) and (b) energy dispersions in the noninteracting limit for the two-band Hubbard model with electron density n = 2. The set of tight-binding parameters used is $(t_f, t_c, t'_c, \mu_c) = (1.0, 0.2, 0.15, 6.0) t$, with $t = t_f$ as an energy unit. (c) Schematic real-space figure of a 2×2 superstructure (dotted lines) with each supercell containing four sites (A, B, C, and D). The corresponding ordering wave vectors q_1 , q_2 , and q_3 are indicated in (a). Dashed lines in (a) represent the folded Brillouin zone due to the formation of the 2×2 superstructure in (c). (d) Schematic real-space figure of the lattice distortion considered in the c-f plane along one particular direction, e.g., the AB direction indicated in (c). u_i represents the displacement of a c atom at site i from its original position.

at site *i* with spin σ and $n_i^{\alpha} = n_{i\uparrow}^{\alpha} + n_{i\downarrow}^{\alpha}$. g(k,q) is an electronphonon coupling constant and b_q^{\dagger} is a creation operator of phonon with momentum q and frequency $\omega(q)$. In this model, the lattice distortion changes the *c*-*f* bond length as shown in Fig. 1(d), which couples to the *c*-*f* hybridization modulated with wave vector q through g(k,q). The total number of sites is indicated by *N*.

The noninteracting tight-binding parameters,

$$(t_f, t_c, t'_c, \mu_c) = (1.0, 0.2, 0.15, 6.0) t,$$
 (4)

are set to mimic the electronic structure of 1T-TiSe₂ with a hole pocket at the Γ point and electron pockets at the Mpoints, as shown in Figs. 1(a) and 1(b). The ordering wave vectors connecting Γ and M points are denoted as q_1, q_2 , and q_3 . Although the ordering wave vectors observed in 1T-TiSe₂ connect Γ and L points with a finite k_z component [38], here we consider a pure two-dimensional model for simplicity and the limitation of the model is discussed later.

The effect of Coulomb interaction and electron-phonon interaction is treated on an equal footing using a VMC method. We consider the trial wave function as follows:

$$|\Psi\rangle = P_{\text{e-ph}}|\Psi_{\text{ph}}\rangle|\Psi_{\text{e}}\rangle,\tag{5}$$

where $|\Psi_e\rangle = P_G^{(2)} P_{J_c} |\Phi\rangle$ is an electron wave function consisting of three parts. $|\Phi\rangle$ is a Slater determinant constructed by diagonalizing the one-body part of Hamiltonian *H*, including the variational tight-binding parameters $(\tilde{t}_f = 1, \tilde{t}_c, \tilde{t}'_c, \tilde{\mu}_c)$ and the off-diagonal element *V* which induces the *c*-*f* hybridization. Here, we assume $V = V_0 \exp[-A(\tilde{\epsilon}^c_{k+q_i} - \tilde{\epsilon}^f_k)^2]$, and V_0 and *A* are both variational parameters. V_0 is an amplitude of the *c*-*f* hybridization and *A* controls the internal extent of the exciton in *k* space. We have found that the variational energy is improved by introducing *A* and the behavior of *A* is related to the BCS-BEC crossover of exciton condensation [39]. $P_G^{(2)}$ is a Gutzwiller factor extended for two-band systems [39,40]. In $P_G^{(2)}$, possible 16 patterns of charge and spin configuration at each site $|\Gamma\rangle$, i.e., $|0\rangle = |0 0\rangle$, $|1\rangle = |0\uparrow\rangle$, ..., $|15\rangle = |\uparrow\downarrow\downarrow\uparrow\downarrow\rangle$, are differently weighted and their weights $\{g_{\Gamma}\}$ are optimized as variational parameters. $P_{J_c} = \exp[-\sum_{i\neq j}\sum_{\alpha\beta} v_{ij}^{\alpha\beta} n_i^{\alpha} n_j^{\alpha}]$ is a charge Jastrow factor which controls long-range charge correlations. Here, $v_{ij}^{\alpha\beta} = v^{\alpha\beta}(|\mathbf{r}_i - \mathbf{r}_j|)$ is assumed and \mathbf{r}_i is the position of site *i*.

The trial wave function for the phonon is assumed to be a Gaussian in the normal coordinate $\{Q_q\}$ representation [41,42],

$$\Psi^{\rm ph} \equiv \langle \{Q_q\} | \Psi^{\rm ph} \rangle = \exp\left[-\sum_q \frac{1}{2} \frac{\left(Q_q - \beta_q\right)^2}{\alpha_q^2}\right], \quad (6)$$

where $Q_q = \sum_i u_i e^{-iq \cdot r_i} / \sqrt{N}$ is the Fourier transform of real-space lattice distortion $\{u_i\}$ at site *i*. Since the ordering wave vectors q_1, q_2 , and q_3 are exactly half of the reciprocal lattice vectors of the normal phase [see Fig. 1(a)], the corresponding normal coordinate Q_{q_i} (i = 1, 2, 3) are real numbers. Therefore, we can take the trial wave function and variational parameters α_q and β_q to be all real. Notice that α_q controls the extent of the Gaussian wave function, i.e., the amplitude of lattice vibration, and that β_q corresponds to the average value of Q_q and thus there exists a static lattice distortion with $\langle u_i \rangle \neq 0$ for a finite β_q . The Monte Carlo update scheme for $\{Q_q\}$ and the estimation of phonon energy are the same as in Ref. [42].

The remaining part is an electron-phonon projection operator: $P_{\text{e-ph}} = \exp[\gamma \sum_{i} u_i n_i^c (2 - n_i^f)]$. This operator controls the attraction between *c* electrons and *f* holes that result from the electron-phonon interaction and γ is a variational parameter.

The variational parameters in $|\Psi\rangle$ are therefore $\tilde{t}_c, \tilde{t}'_c, \tilde{\mu}_c, V_0$, *A*, $\{g_{\Gamma}\}, \{v_{ij}^{\alpha\beta}\}, \{\alpha_q\}, \{\beta_q\}, \text{ and } \gamma$, and they are simultaneously optimized using the stochastic reconfiguration method [43]. The system sizes are varied from $L \times L = 12 \times 12$ to 24×24 with antiperiodic boundary conditions in both directions of primitive lattice vectors for the triangular lattice.

III. RESULTS

Figure 2(a) shows the ground-state phase diagram where $U_c = U_f = U$ and U' are varied for fixed $g(\mathbf{k}, \mathbf{q})/t = g/t = 0.19$ and $\omega(\mathbf{q})/t = \omega/t = 0.1$ [44]. We find that there are three distinct phases in the phase diagram: normal metal (NM), charge-density-wave insulator (CDWI), and band insulator (BI). When U' is large enough, the *c* band is lifted above the Fermi energy and the BI phase with the empty *c* band and the fully occupied *f* band is stabilized. No static lattice distortion is observed in both NM and BI phases.



FIG. 2. (Color online) Ground-state phase diagram of the twoband Hubbard model in (a) the U-U' plane (g/t = 0.19) and (b) U-g plane (U' = U/2). We set $U_c = U_f = U$ and $\omega/t = 0.1$. NM, CDWI, and BI denote normal metal, charge-density-wave insulator, and band insulator, respectively. The electron density is n = 2, i.e., at half filling.

Between the NM and BI phases, the CDWI phase emerges where the c-f hybridization parameter

$$\Delta_{\boldsymbol{q}} = \sum_{\boldsymbol{k},\sigma} \langle c_{\boldsymbol{k}+\boldsymbol{q}\sigma}^{\dagger} f_{\boldsymbol{k}\sigma} + \text{H.c.} \rangle \tag{7}$$

is finite [45] for q corresponding to the three ordering wave vectors q_1 , q_2 , and q_3 , simultaneously, implying a triple-qCDW state. Here, $\langle \mathcal{O} \rangle = \langle \Psi | \mathcal{O} | \Psi \rangle / \langle \Psi | \Psi \rangle$ with the optimized $|\Psi\rangle$. Thus, the first Brillouin zone is folded as indicated in Fig. 1(a). It leads to the charge disproportionation in the 2×2 unit cell [Fig. 1(c)] with one charge-rich A site and three charge-poor B, C, and D sites [see Fig. 3(a)]. In the CDWI phase, a static lattice distortion always occurs through the electron-phonon interaction and the "pure" exciton condensation without lattice distortion is never found. Note that the CDWI phase is limited to a narrow region in Fig. 2(a), especially for small U/t in spite of a finite g/t. This is in sharp contrast with the case of a square lattice where the NM phase appears only at U' = 0 and the exciton condensation phase is widely observed, even without the electron-phonon interaction [39,47,48]. This difference is caused by the different FS nesting condition: the FS nesting is better (perfect if only with the nearest-neighbor hopping) in the square lattice but poor in the triangular lattice. Therefore, the electron-phonon interaction is indispensable to manifest the CDWI phase under the poor FS nesting [2].

We also show the phase diagram in Fig. 2(b), where U and g are varied with U' = U/2. The CDWI region is enlarged with increasing U and g, implying that both Coulomb interaction and electron-phonon interaction stabilize the CDWI phase. This result is thus qualitatively consistent with previous study [26]. We also find that the CDWI phase is not stabilized but only the NM and BI phases appear, when g = 0, at least, in a realistic parameter region. This suggests that the "pure" exciton condensation induced by the Coulomb interaction alone, the original idea of exciton condensation [28–30], is difficult to realize in our model. The pure exciton condensation certainly occurs in particular models such as one-dimensional models [23,49] or two-dimensional models with perfectly nested electron and hole FSs [39,47,48,50]. Therefore, the



FIG. 3. (Color online) (a) Average *c*-electron density $\langle n_X^c \rangle$ (red bars) and *f*-hole density $2 - \langle n_X^f \rangle$ (blue bars) in a 2 × 2 unit cell for X = A, B, C, and D [see Fig. 1(c)]. (b) Snapshots of *c*-*f* bond length u_A and u_B at A and B sites, respectively, as a function of Monte Carlo (MC) step. The model parameters used are $(U/t, U'/t, g/t, \omega/t) = (4.0, 2.0, 0.19, 0.1)$ for L = 24 in the CDWI phase.

stability of the pure exciton condensation depends strongly on the lattice structure and the underlying FS.

Let us now examine the detailed properties of the CDWI phase. Figure 3(a) shows the distribution of average *c*-electron density $\langle n_X^c \rangle$ and *f*-hole density $2 - \langle n_X^f \rangle$ in a 2 × 2 unit cell (X = A, B, C, and D). It is found in Fig. 3(a) that *c* electrons and *f* holes, i.e., mobile carriers, are concentrated mostly at the A site with $\langle n_A^c \rangle + \langle n_A^f \rangle > 2$ to gain the *c*-*f* hybridization energy coupled with the lattice distortion, while the number of these mobile carriers is small and $\langle n_X^c \rangle + \langle n_X^f \rangle < 2$ at B, C, and D sites. Therefore, the system clearly exhibits the charge disproportionation. Notice that the mobile carrier densities at the B, C, and D sites are the same within statistical error, simply because the three ordering wave vectors q_1, q_2 , and q_3 are equivalent in a hexagonal lattice structure.

Next, let us discuss the lattice degrees of freedom in the CDWI phase. In the VMC calculation, the bond length u_i always fluctuates around the average value during the Monte Carlo steps [41]. As shown in Fig. 3(b), we find that the bond length at the A site is shortened from the original one $(u_A < 0)$, while those at B, C, and D sites are lengthened (only $u_B > 0$ is shown). Figures 3(a) and 3(b) thus confirm that the electronic and lattice degrees of freedom are strongly coupled and cooperatively induce the CDWI phase.

Furthermore, we study the character of electron-hole pairing from the viewpoint of BCS-BEC crossover, which has been often discussed in the exciton problems [39,48,50–53]. For this purpose, we calculate the momentum-resolved c-f hybridization $\phi(\mathbf{k})$ defined as

$$\phi(\mathbf{k}) = \sum_{q,\sigma} \langle c_{\mathbf{k}+q\sigma}^{\dagger} f_{\mathbf{k}\sigma} + \text{H.c.} \rangle.$$
(8)

Figure 4 shows $\phi(\mathbf{k})$ for (U/t, U'/t) = (8.0, 4.0) and (3.0, 1.5), both being located in the CDWI phase in Fig. 2. For (U/t, U'/t)= (8.0, 4.0), $\phi(\mathbf{k})$ is extended in the whole Brillouin zone, indicating the strong-coupling BEC-like pairing due to the large Coulomb interaction. Although $\phi(\mathbf{k})$ becomes less extended with decreasing the Coulomb interaction, it still has a



FIG. 4. (Color online) Momentum-resolved c-f hybridization $\phi(\mathbf{k})$ for (a) (U/t, U'/t) = (8.0, 4.0) and (b) (U/t, U'/t) = (3.0, 1.5). The noninteracting Fermi momentum $\mathbf{k}_{\rm F}^c$ (folded around the Γ point at the center) and $\mathbf{k}_{\rm F}^f$ are shown with white solid and black dashed curves, respectively [see also Fig. 1(a)]. g/t = 0.19 and $\omega/t = 0.1$ are fixed for L = 24.

broad structure away from the Fermi momentum $k_{\rm F}$, as shown in Fig. 4(b) for (U/t, U'/t) = (3.0, 1.5). Indeed, the CDWI region rapidly decreases with decreasing U [see Fig. 2(a)], and our systematic calculations do not find a clear BCS-like region in the CDWI phase shown in Fig. 2. Because of (i) the poor nesting between c-electron and f-hole FSs and (ii) the small density of states around the Fermi energy for low carrier densities, the energy gain due to the gap opening induced by the c-f hybridization in the vicinity of $k_{\rm F}$ is small and hence the weak-coupling BCS-like pairing is not favored. Even in such a case, the BEC-like tightly bounded electron-hole pairing in real space can be induced by the electron-phonon interaction with the help of Coulomb interaction and dominates the CDWI phase. In contrast, we have found a clear and wide BCS-like region for the same model but in a square lattice with perfectly nested FSs [39]. Therefore, the FS nesting is essential for the BCS-like pairing.

IV. DISCUSSION

Finally, let us discuss the implication of our results for 1T-TiSe₂. In our model, Ti 3d and Se 4p bands are simplified as c and f bands, respectively, and the orbital characters are ignored. Moreover, our model only includes the change of c-fbond length, which couples with exciton condensation. Even with these simplifications, our model captures the important energy scales of 1T-TiSe₂. The electron-phonon coupling used here is $4g^2/\omega = 0-3.6t \approx 0-1.8$ eV, which is relevant for 1T-TiSe₂ [5] if we take $t \approx 0.5$ eV. The lattice distortion obtained in our calculation is 0.05–0.2 Å, consistent with the observed value ~ 0.085 Å [4,54]. Our results thus suggest that the CDW phase observed in 1T-TiSe₂ is due to the strongcoupling BEC-like electron-hole pairing. Indeed, the BEC-like character is indicated by several theoretical works [13,27] and experimental observations, such as a short coherence length estimated by Kohn anomaly [5], lack of an incommensurate CDW phase, a relatively high electrical resistivity above T_c , and a large value of $2\Delta/k_{\rm B}T_c$ (Δ : the CDW gap) [38].

On the other hand, the chiral CDW phase observed in 1T-TiSe₂ [55,56] is beyond our model. In the chiral CDW phase, the charge density is modulated with clockwise or anticlockwise pattern. The proper description of this phase requires three dimensionality [57] or higher-order electronphonon and phonon-phonon interactions [26] which induce the phase difference between the three ordering wave vectors. The origin of the SC induced by applying pressure or intercalation of Cu atoms is also an interesting unresolved issue. The relation between the CDW and the SC is still controversial [58,59], and both conventional [20,23,60] and unconventional SC [61] have been proposed. Our results suggest that both electronic and lattice degrees of freedom are crucial to understanding the origin of the SC. Our study will be a first step toward a unified understanding of various quantum phases observed in 1T-TiSe₂.

V. SUMMARY

In summary, we have studied the two-band Hubbard model in a triangular lattice for 1T-TiSe₂ with the electron-phonon interaction. The VMC method is employed to treat the electronic and lattice degrees of freedom on an equal footing beyond the mean-field approximation. We have shown that both Coulomb and electron-phonon interactions stabilize the CDW phase. We have found that the "pure" exciton condensation without the lattice distortion is difficult to realize and the electronphonon interaction is essential for the CDW phase. The character of electron-hole pairing within the CDW phase has also been examined by calculating the momentum-resolved c-f hybridization. We have shown that the strong-coupling BEC-like pairing dominates the CDW phase. Under the poor FS nesting condition and with the small density of states around Fermi energy, the energy gain due to the gap opening in the vicinity of k_F is small and hence the weak-coupling BCS-like pairing is not favored. Our results thus conclude that the CDW phase observed in 1T-TiSe₂ originates from the strong-coupling BEC-like electron-hole pairing due to the cooperative Coulomb and electron-phonon interactions.

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