

Block Lanczos density-matrix renormalization group method for general Anderson impurity models: Application to magnetic impurity problems in graphene

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We introduce a block Lanczos (BL) recursive technique to construct quasi-one-dimensional models, suitable for density-matrix renormalization group (DMRG) calculations, from single- as well as multiple-impurity Anderson models in any spatial dimensions. This new scheme, named BL-DMRG method, allows us to calculate not only local but also spatially dependent static and dynamical quantities of the ground state for general Anderson impurity models without losing elaborate geometrical information of the lattice. We show that the BL-DMRG method can be easily extended to treat a multiorbital Anderson impurity model where not only inter- and intraorbital Coulomb interactions but also Hund's coupling and pair hopping interactions are included. We also show that the symmetry adapted BL bases can be utilized, when it is appropriate, to reduce the computational cost. As a demonstration, we apply the BL-DMRG method to three different models for graphene with a structural defect and with a single hydrogen or fluorine absorbed, where a single Anderson impurity is coupled to conduction electrons in the honeycomb lattice. These models include (i) a single adatom on the honeycomb lattice, (ii) a substitutional impurity in the honeycomb lattice, and (iii) an effective model for a single carbon vacancy in graphene. Our analysis of the local dynamical magnetic susceptibility and the local density of states at the impurity site reveals that, for the particle-hole symmetric case at half-filling of electron density, the ground state of model (i) behaves as an isolated magnetic impurity with no Kondo screening, while the ground state of the other two models forms a spin-singlet state where the impurity moment is screened by the conduction electrons. We also calculate the real-space dependence of the spin-spin correlation functions between the impurity site and the conduction sites for these three models. Our results clearly show that, reflecting the presence or absence of unscreened magnetic moment at the impurity site, the spin-spin correlation functions decay as $\propto r^{-3}$, differently from the noninteracting limit ($\propto r^{-2}$), for model (i) and as $\propto r^{-4}$, exactly the same as the noninteracting limit, for models (ii) and (iii) in the asymptotic r , where r is the distance between the impurity site and the conduction site. Finally, based on our results, we shed light on recent experiments on graphene where the formation of local magnetic moments as well as the Kondo-like behavior have been observed.

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I. INTRODUCTION

Recently, magnetic properties of graphene monolayers have attracted much attention [1–4]. Because of the characteristic electronic band structure with Dirac-like linear dispersions near the Fermi level (E_F) and the resulting “V-shape” electronic density of states, $\rho(\omega) \sim |\omega|$, at E_F [2,4,5], a unique electronic and magnetic behavior is expected in graphene. Among many, very recent experiments have revealed that hydrogen or fluorine adatoms as well as vacancies in graphene can induce magnetic moments with spin 1/2 per adatom or vacancy [6,7], although pristine graphene is diamagnetic. Moreover, some experiments have observed the Kondo-like signature in the temperature dependence of the resistivity when the vacancies are introduced in graphene [8], even though the other experiments have found otherwise [6].

The early theoretical studies have considered a single magnetic impurity coupled to the graphene conduction electrons and found that the magnetic impurity is completely isolated, i.e., unscreened by the conduction electrons, when the model preserves the particle-hole symmetry, while the magnetic moment can be screened when the model is strongly particle-hole asymmetric [9–15]. These theoretical results appear to contradict the experimental observation reported in Ref. [8],

where the Kondo temperature is found to be symmetric with respect to the applied gate voltage, which changes the chemical potential of the conduction electrons. Therefore the experiments indicate that the graphene with vacancies is close to the particle-hole symmetric point. However, the early theoretical studies predict no Kondo screening in this limit [9–15].

Motivated by these experiments [6–8], several models have been recently proposed to explain the origin of magnetic moment and the possible Kondo-like effect in graphene with structural defects or adatoms [15–21]. One of the possible explanations of the emergent magnetic moment in graphene with a structural defect is due to the partially filled dangling bonds of sp^2 orbital on carbon atoms surrounding the vacancy [19–25]. It has been also pointed out that the scattering of defects drastically changes the electronic structures of π band and produces the logarithmic divergence at E_F in the local density of states at the vicinity of defects [21,26,27]. Therefore a nonperturbative real-space theoretical approach that can incorporate the elaborate lattice geometry is highly desirable to understand the magnetic properties of graphene with structural defects or adatoms.

The interests in the real-space aspects of magnetic impurities is not only to study geometrically different lattice

structures of various systems but also to directly capture the real-space nature of the ground state, e.g., the spatial distribution of “Kondo cloud” in the Kondo singlet state [28,29]. Indeed, as compared to the thermodynamics and the transport properties, the real-space nature of Kondo problem has been much less studied both experimentally and theoretically. However, very recently, scanning tunneling spectroscopy experiments have successfully observed the long-range Kondo signature for single magnetic atoms of Fe and Co in a Cu(110) surface and found that the Kondo cloud seems rather spatially extended away from the magnetic atoms [30]. The recent experimental progress further encourages us to study the magnetic impurity problems and the Kondo physics in real space.

Theoretically, on the other hand, it is still difficult to study the real-space properties simply because the analytical approaches available are rather limited and also because even the most powerful and well accepted numerical method for magnetic impurity problems, i.e., numerical renormalization group (NRG) method [31–33], can not treat the real-space dependence directly, where the high-energy scales are integrated out by using logarithmic discretization of energy. Quantum Monte Carlo (QMC) methods can calculate spatially dependent quantities [34–36]. However, the QMC calculations often suffer the negative sign problem at low temperatures and can not be applied to general Anderson impurity models.

In the last decade, the density-matrix renormalization group (DMRG) method [37–40] has been successfully used to investigate limited properties of single- and multiple-impurity Anderson models. For example, the DMRG method has been applied to the single- and two-impurity Anderson/Kondo models in one dimension to study correlation effects in the conduction sites [41–43] and to evaluate the Kondo screening length [44–46]. Moreover, the DMRG method has been employed to address single- and multiple-impurity Anderson models in more than one dimension [47–49] and also applied as an impurity solver for the dynamical mean-field theory (DMFT) [50,51]. However, these approaches encounter difficulties in calculating the spatially dependent quantities such as spin-spin correlation functions. Therefore it is highly desired to develop new numerical methods, which can compute directly various physical quantities in real space in any spatial dimensions.

To overcome the difficulties, here we introduce a block Lanczos (BL) recursive technique, which constructs, without losing any geometrical information of the lattice, quasi-one-dimensional (Q1D) models, suitable for DMRG calculations, from single- as well as multiple-impurity Anderson models in any spatial dimensions. This new approach, named BL-DMRG method, enables us to calculate various physical quantities directly in real space, including both static and dynamical quantities, with high accuracy. Thus the BL-DMRG method is in sharp contrast to the NRG method since the NRG method has a severe limitation in calculating the spatially dependent quantities because the logarithmic discretization in energy space has to be introduced to construct the Wilson chain [33]. The BL-DMRG method is also superior to the QMC methods because the BL-DMRG method can be easily extended to a more involved impurity model such as a

multiorbital single-impurity Anderson model where inter- and intraorbital Coulomb interactions as well as Hund’s coupling and pair hopping interactions are included. Therefore the BL-DMRG method has potential as a promising impurity solver of DMFT for multiorbital Hubbard models [52].

To demonstrate the BL-DMRG method, we apply this method to three different models for graphene with a structural defect and with a single adsorbed atom, where a single Anderson impurity is coupled to the conduction electrons in the honeycomb lattice. These models include (i) an Anderson impurity adsorbed on the honeycomb lattice (model I), (ii) a substitutional Anderson impurity in the honeycomb lattice (model II), and (iii) an effective model for a single carbon vacancy in graphene (model III). Our results of the local magnetic susceptibility and the local density of states at the impurity site reveal that, for the particle-hole symmetric case at half-filling of electron density, the ground state of model I behaves as an isolated magnetic impurity with no Kondo screening, while the ground state of models II and III forms a spin singlet state where the impurity moment is screened by the conduction electrons. To understand the real-space spin distribution of the conduction electrons around the impurity, we subsequently calculate the spin-spin correlation functions between the impurity site and the conduction sites and find a qualitatively different asymptotic behavior when compared with the noninteracting limit, which results from the different screening characteristics: the spin-spin correlations decay as $\propto r^{-3}$, different from the noninteracting limit ($\propto r^{-2}$), for model I and as $\propto r^{-4}$, exactly the same as the noninteracting limit, for models II and III. We also discuss the relevance of our results to the recent experiments on graphene with structural defects and with hydrogen or fluorine adatoms where the formation of local magnetic moments and the Kondo-like behavior have been observed [6–8].

The rest of this paper is organized as follows. First, we introduce the BL-DMRG method for general Anderson impurity models and describe the details in Sec. II. The BL recursive technique is employed to construct Q1D models from general Anderson impurity models in any spatial dimensions and for any lattice geometry without losing the structural information in Sec. II A. To optimize the DMRG calculations for Q1D models constructed by the BL recursive technique, symmetrization schemes of the BL bases are described in Sec. II B. The numerical technique to calculate spatially dependent quantities in real space away from the impurity site is explained in Sec. II C. The extension of the BL-DMRG method and the symmetry adapted BL bases for a multiorbital single-impurity Anderson model are provided in Sec. II D.

The BL-DMRG method is then demonstrated in Sec. III for single-impurity Anderson models. The three different single-impurity Anderson models for graphene with a structural defect and with a single adatom are introduced in Sec. III A. After briefly explaining the numerical details of the calculations for these models in Sec. III B, the nature of the ground state is examined by calculating the local magnetic susceptibility at the impurity site in Sec. III C 1 and the local electronic density of states at the impurity site in Sec. III C 2. The spin-spin correlation functions between the impurity site and the conduction sites are evaluated in Sec. III C 3.

The relevance of our results to the recent experiments on adatoms or vacancies in graphene is discussed in Sec. IV. The possible further extension of the BL-DMRG method is also briefly discussed. The detailed derivation of the hybridization function for general Anderson impurity models is described in Appendix.

II. BL-DMRG METHOD

In this section, we introduce the BL-DMRG method for general Anderson impurity models in any spatial dimensions and for any lattice geometry. To this end, first we describe in Sec. II A the BL recursive technique which enables us to transform exactly a general Anderson impurity model to a Q1D model without losing any geometrical information of the lattice. Once a Q1D model is constructed, we can use the DMRG method to calculate both static and dynamical quantities with extremely high accuracy.

We then describe in Sec. II B two schemes to reduction the computational cost for DMRG calculations. One is to utilize the lattice symmetry of the models to construct the symmetry adapted BL bases, which is similar to the one introduced in NRG calculations for multiimpurity problems [53–55]. The other is to use spin degrees of freedom to reduce the dimensions of the local Hilbert space, which can be applied to more general cases even if the models do not possess appropriately high lattice symmetry. The BL-DMRG procedure to calculate spatially dependent quantities such as spin-spin correlation functions is also explained in Sec. II C. Finally, the extension to a multiorbital single-impurity Anderson model is briefly discussed in Sec. II D.

It should be emphasized that, although the BL-DMRG method shares some similarity with the NRG method [55], the BL-DMRG method can be readily extend to more general models, one example discussed in Sec. II D, and has significant advantages in calculating spatially dependent quantities and also in the computational cost by using the symmetry adapted BL bases. We should also note that, very recently, the direct application of a standard Lanczos technique to single-impurity Anderson and Kondo models [56] as well as its extension to a two-impurity Kondo model [57] have been proposed for DMRG calculations, which is somewhat similar to the BL-DMRG method introduced in this paper. However, we emphasize that the use of BL recursive technique in the BL-DMRG method significantly enlarges the applicability of DMRG calculations not only to more general multiorbital single- or multiple-impurity Anderson models but also to the calculations of spatially dependent quantities. Moreover, as described in Appendix, the BL bases representation of the hybridization function for general Anderson impurity models further enlarges the usefulness of the BL recursive technique for other numerical methods such as the QMC methods and the NRG method.

A. Q1D map of a general Anderson impurity model: a BL recursive technique

We consider a general Anderson impurity model described by the following Hamiltonian:

$$\mathcal{H}_{\text{AIM}} = \mathcal{H}_c + \mathcal{H}_d + \mathcal{H}_V + \mathcal{H}_U, \quad (1)$$

where

$$\mathcal{H}_c = \sum_{n,n'} \sum_{\sigma} \epsilon_{n,n'}^c c_{n,\sigma}^{\dagger} c_{n',\sigma}, \quad (2)$$

$$\mathcal{H}_d = \sum_{m,m'} \sum_{\sigma} \epsilon_{m,m'}^d d_{m,\sigma}^{\dagger} d_{m',\sigma}, \quad (3)$$

$$\mathcal{H}_V = \sum_{m,n} \sum_{\sigma} (V_{m,n} d_{m,\sigma}^{\dagger} c_{n,\sigma} + \text{H.c.}), \quad (4)$$

and

$$\begin{aligned} \mathcal{H}_U = & \sum_{m_1, \dots, m_4} \sum_{\sigma_1, \dots, \sigma_4} U_{m_1 \sigma_1 m_2 \sigma_2 m_3 \sigma_3 m_4 \sigma_4} d_{m_1, \sigma_1}^{\dagger} d_{m_2, \sigma_2}^{\dagger} \\ & \times d_{m_3, \sigma_3} d_{m_4, \sigma_4}. \end{aligned} \quad (5)$$

Here, $c_{n,\sigma}^{\dagger}$ ($c_{n,\sigma}$) is the creation (annihilation) operator of an electron at site (or orbital) n ($= 1, 2, \dots, N$) with spin σ ($= \uparrow, \downarrow$) in the conduction sites (or bands) and $d_{m,\sigma}^{\dagger}$ ($d_{m,\sigma}$) is the creation (annihilation) operator of an electron at impurity site i ($= 1, 2, \dots, N_i$) and orbital α ($= 1, 2, \dots, N_d$), denoted by $m = (i, \alpha)$ ($= 1, 2, \dots, M$, where $M = N_i N_d$) for simplicity, with spin σ . The individual terms, \mathcal{H}_c , \mathcal{H}_d , \mathcal{H}_V , and \mathcal{H}_U , describe the one-body part of the conduction sites (or bands), the one-body part of the impurity sites, the hybridization between the impurity sites and the conduction sites (or bands), and the two-body Coulomb interaction part of the impurity sites, respectively. Notice that this Hamiltonian includes a wide range of Anderson impurity models, ranging from the simplest single-orbital single-impurity Anderson model ($N_i = N_d = 1$) to a more complex multiorbital multiple-impurity Anderson model ($N_i, N_d > 1$). Notice also that neither the spatial dimensions nor the lattice geometry is assumed for \mathcal{H}_{AIM} .

We shall now show that the general Anderson impurity model \mathcal{H}_{AIM} given in Eq. (1) can be mapped onto a Q1D ladderlike model, for which the DMRG method is applied with high accuracy. This Q1D mapping can be achieved exactly without losing any geometrical information of the lattice by using the BL recursive technique, which is a straightforward extension of the basic Lanczos recursive procedure [58]. To simplify the formulation, let us first introduce the vector representation of fermion operators:

$$\mathbf{c}_{\sigma}^{\dagger} = (d_{1,\sigma}^{\dagger}, d_{2,\sigma}^{\dagger}, \dots, d_{M,\sigma}^{\dagger}, c_{1,\sigma}^{\dagger}, c_{2,\sigma}^{\dagger}, \dots, c_{N,\sigma}^{\dagger}). \quad (6)$$

Then, the one-body part of the Hamiltonian, $\mathcal{H}_0 = \mathcal{H}_c + \mathcal{H}_d + \mathcal{H}_V$, in Eq. (1) can be represented as

$$\mathcal{H}_0 = \sum_{\sigma} \mathbf{c}_{\sigma}^{\dagger} \hat{H}_0 \mathbf{c}_{\sigma} \quad (7)$$

with

$$\hat{H}_0 = \begin{pmatrix} \hat{H}_d & \hat{V} \\ \hat{V}^{\dagger} & \hat{H}_c \end{pmatrix}, \quad (8)$$

where \hat{H}_d , \hat{H}_c , and \hat{V} are $M \times M$, $N \times N$, and $M \times N$ matrices with matrix elements $(\hat{H}_d)_{m,m'} = \epsilon_{m,m'}^d$, $(\hat{H}_c)_{n,n'} = \epsilon_{n,n'}^c$, and $(\hat{V})_{m,n} = V_{m,n}$, respectively.

Next, let us construct the following matrix \hat{P}_1 composed of M different vectors \mathbf{e}_m :

$$\hat{P}_1 = (\mathbf{e}_1, \mathbf{e}_2, \dots, \mathbf{e}_M), \quad (9)$$

where \mathbf{e}_m is a $(N + M)$ -dimensional column unit vector with its element $(\mathbf{e}_m)_n = \delta_{m,n}$ and thus \hat{P}_1 is a $(N + M) \times M$ matrix. Using \hat{P}_1 as the initial BL bases, the Krylov subspace of \hat{H}_0 is spanned by the BL bases \hat{P}_{l+1} ($l = 1, 2, \dots$) generated through the three-term recurrences,

$$\hat{P}_{l+1} \hat{T}_l^\dagger = \hat{H}_0 \hat{P}_l - \hat{P}_l \hat{E}_l - \hat{P}_{l-1} \hat{T}_{l-1}, \quad (10)$$

where $\hat{E}_l = \hat{P}_l^\dagger \hat{H}_0 \hat{P}_l$, $\hat{P}_0 = 0$, and $\hat{T}_0 = 0$. The left-hand side of Eq. (10) is obtained with a QR factorization of the $(N + M) \times M$ matrix in the right-hand side of Eq. (10). Thus \hat{P}_{l+1} is a column orthogonal $(N + M) \times M$ matrix and \hat{T}_l is a lower triangular $M \times M$ matrix with $(\hat{T}_l)_{m,m'} = 0$ for $m < m'$, i.e.,

$$\hat{T}_l = \begin{pmatrix} T_{11}^{(l)} & 0 & 0 & \cdots & 0 \\ T_{21}^{(l)} & T_{22}^{(l)} & 0 & \cdots & 0 \\ T_{31}^{(l)} & T_{32}^{(l)} & T_{33}^{(l)} & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ T_{M1}^{(l)} & T_{M2}^{(l)} & T_{M3}^{(l)} & \cdots & T_{MM}^{(l)} \end{pmatrix}. \quad (11)$$

After repeating this procedure, the BL bases are constructed and they are gathered in the $(N + M) \times (N + M)$ matrix \hat{P} :

$$\hat{P} = (\hat{P}_1, \hat{P}_2, \hat{P}_3, \dots), \quad (12)$$

which satisfies $\hat{P}^\dagger \hat{P} = \hat{P} \hat{P}^\dagger = \hat{I}$ where \hat{I} is the unit matrix. It should be noted here that, in practical calculations where N is large, we usually terminate the BL iteration after the L th iteration, for which \hat{P} is a rectangular $(N + M) \times (LM)$ matrix satisfying only $\hat{P}^\dagger \hat{P} = \hat{I}$ but not $\hat{P} \hat{P}^\dagger = \hat{I}$, in general.

With this unitary matrix \hat{P} , the one-body part \mathcal{H}_0 of the Hamiltonian can now be block-tridiagonalized,

$$\mathcal{H}_0 = \sum_{\sigma} \mathbf{c}_{\sigma}^\dagger \hat{P} \hat{P}^\dagger \hat{H}_0 \hat{P} \hat{P}^\dagger \mathbf{c}_{\sigma} = \sum_{\sigma} \mathbf{a}_{\sigma}^\dagger \hat{H}_0^{\text{BL}} \mathbf{a}_{\sigma} \quad (13)$$

with

$$\hat{H}_0^{\text{BL}} = \begin{pmatrix} \hat{E}_1 & \hat{T}_1 & 0 & 0 & \cdots \\ \hat{T}_1^\dagger & \hat{E}_2 & \hat{T}_2 & 0 & \cdots \\ 0 & \hat{T}_2^\dagger & \hat{E}_3 & \hat{T}_3 & \cdots \\ 0 & 0 & \hat{T}_3^\dagger & \hat{E}_4 & \ddots \\ \vdots & \vdots & \vdots & \ddots & \ddots \end{pmatrix} \quad (14)$$

and $\mathbf{a}_{\sigma} = \hat{P}^\dagger \mathbf{c}_{\sigma}$ [59]. Note that \hat{T}_l is a lower triangular $M \times M$ matrix and thus \hat{H}_0^{BL} has the bandwidth of $2M + 1$. Hereafter, we will use the following convention for the indices of $\mathbf{a}_{\sigma}^\dagger$:

$$\mathbf{a}_{\sigma}^\dagger = (a_{1,1,\sigma}^\dagger, a_{1,2,\sigma}^\dagger, \dots, a_{1,M,\sigma}^\dagger, a_{2,1,\sigma}^\dagger, \dots, a_{l,m,\sigma}^\dagger, \dots), \quad (15)$$

and thus

$$(\mathbf{a}_{\sigma})_{lm} = \sum_{n=1}^{N+M} (\hat{P}_l^\dagger)_{m,n} (\mathbf{c}_{\sigma})_n, \quad (16)$$

$$(\mathbf{c}_{\sigma})_n = \sum_{l=1}^{N/M+1} \sum_{m=1}^M (\hat{P}_l)_{n,m} (\mathbf{a}_{\sigma})_{lm}, \quad (17)$$

where $(\mathbf{a}_{\sigma})_{lm} = a_{l,m,\sigma}$ and \mathbf{c}_{σ} is given in Eq. (6) [59]. It is important to notice that, because of the specific choice of the

initial BL bases \hat{P}_1 in Eq. (9), the new fermionic operators representing the impurity sites remain unchanged, i.e.,

$$(a_{1,\sigma}^\dagger, a_{2,\sigma}^\dagger, \dots, a_{M,\sigma}^\dagger) = (a_{1,1,\sigma}^\dagger, a_{1,2,\sigma}^\dagger, \dots, a_{1,M,\sigma}^\dagger). \quad (18)$$

Therefore the two-body part \mathcal{H}_U of the Hamiltonian is exactly in the same form for the new fermionic operator \mathbf{a}_{σ} . This is the crucial point for the exact mapping of any Anderson impurity model onto a Q1D model.

It is now apparent that, using the BL recursive technique introduced above, a general Anderson impurity model \mathcal{H}_{AIM} in any spatial dimensions and for any lattice geometry can be mapped exactly onto a Q1D model, i.e., a semi-infinite M -leg ladder model, described by the following Hamiltonian:

$$\mathcal{H}_{\text{AIM}}^{\text{Q1D}} = \sum_{\sigma} \mathcal{H}_{0,\sigma}^{\text{Q1D}} + \mathcal{H}_U, \quad (19)$$

where

$$\begin{aligned} \mathcal{H}_{0,\sigma}^{\text{Q1D}} = & \sum_{l=1}^L \sum_{m,m'=1}^M (\hat{E}_l)_{m,m'} a_{l,m,\sigma}^\dagger a_{l,m',\sigma} \\ & + \sum_{l=1}^{L-1} \sum_{m,m'=1}^M ((\hat{T}_l)_{m,m'} a_{l,m,\sigma}^\dagger a_{l+1,m',\sigma} + \text{H.c.}) \end{aligned} \quad (20)$$

and \mathcal{H}_U is the same two-body Coulomb interaction term given in Eq. (5). Notice that the index l in Eq. (20) corresponds to the one in the BL iteration in Eq. (10), which is terminated at the L th iteration [60]. The schematic representation of the Q1D mapping for an Anderson impurity model with $N_i = 3$ and $N_d = 1$ (thus $M = 3$) is shown in Fig. 1.

It should be noticed that the resulting Q1D ladder model $\mathcal{H}_{\text{AIM}}^{\text{Q1D}}$ in the BL bases with L sites along the leg direction therefore represents the original system \mathcal{H}_{AIM} with approximately at least πL^2 and $4\pi L^3/3$ conduction sites (or orbitals) in two and three spatial dimensions, respectively (see, e.g., Figs. 4, 5, and 9). This implies that, as long as the impurity properties are concerned, the BL-DMRG method can treat quite large systems with reasonable computational cost for a wide variety of Anderson impurity models.

B. Symmetrization of BL bases

In this section, we shall describe how the symmetry of Hamiltonian can be used to further simplify the Q1D model constructed by the BL recursive technique. This is best explained by taking a specific model. Therefore, as an example, we now consider a two-impurity Wolff model on the honeycomb lattice [61], where two conduction sites on the honeycomb lattice are replaced by two impurity sites, as schematically shown in Fig. 2(a). The Hamiltonian of the Wolff model is

$$\mathcal{H}_{\text{WM}} = \mathcal{H}_c^{\text{WM}} + \mathcal{H}_V^{\text{WM}} + \mathcal{H}_U^{\text{WM}}, \quad (21)$$

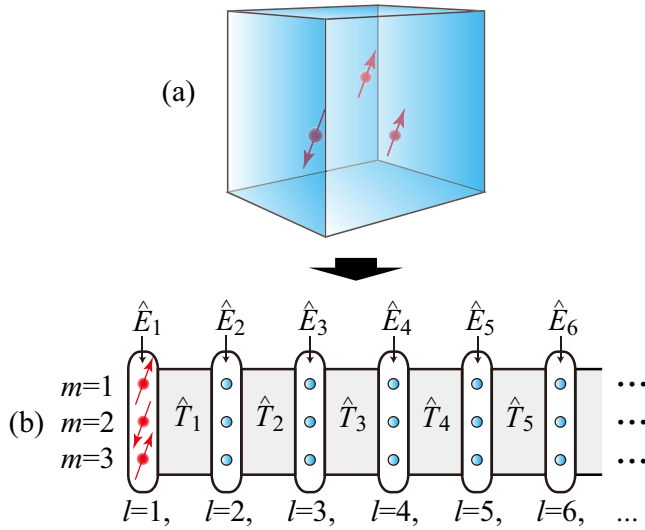


FIG. 1. (Color online) Schematic representation of the Q1D mapping for a single-orbital three-impurity Anderson model ($N_i = 3$, $N_d = 1$, and $M = 3$) in three dimensions. Using the BL recursive technique, the Anderson impurity model \mathcal{H}_{AIM} is transformed exactly to a semi-infinite three-leg ladder model $\mathcal{H}_{\text{AIM}}^{\text{Q1D}}$ without losing any geometrical information of the lattice. The conduction sites (or orbitals) are indicated by a blue cube in (a). The Coulomb interaction term \mathcal{H}_U is active only at the impurity sites (denoted by red circles with arrows) both in (a) and (b). Blue circles without arrows in (b) represent the “ripple” sites (i.e., BL bases) generated by the BL recursive procedure. The indices l and m in (b), representing the site position of the resulting three-leg ladder model along the leg and rung directions, respectively, correspond to the ones in the new fermionic operator $(a_\sigma)_{lm} = a_{l,m,\sigma}$ in Eq. (15) and used to describe $\mathcal{H}_{\text{AIM}}^{\text{Q1D}}$ in Eq. (19). The “on-site potential” and “nearest-neighbor hopping” matrices, \hat{E}_l and \hat{T}_l , respectively, in Eq. (20) are also indicated in (b).

where

$$\mathcal{H}_c^{\text{WM}} = -t \sum_{\langle \mathbf{r}, \mathbf{r}' \rangle} \sum_{\sigma} (c_{\mathbf{r},\sigma}^\dagger c_{\mathbf{r}',\sigma} + \text{H.c.}), \quad (22)$$

$$\mathcal{H}_V^{\text{WM}} = V \sum_{\langle \mathbf{r}, \mathbf{r}' \rangle} \sum_{\sigma} (c_{\mathbf{r},\sigma}^\dagger c_{\mathbf{r}',\sigma} + \text{H.c.}), \quad (23)$$

and

$$\mathcal{H}_U^{\text{WM}} = \sum_{\mathbf{r} \in \text{Imp.}} U_{\mathbf{r}} (n_{\mathbf{r},\uparrow} - 1/2)(n_{\mathbf{r},\downarrow} - 1/2). \quad (24)$$

Here, $c_{\mathbf{r},\sigma}^\dagger$ ($c_{\mathbf{r},\sigma}$) is the electron creation (annihilation) operator at site \mathbf{r} on the honeycomb lattice with spin σ ($=\uparrow, \downarrow$) and $n_{\mathbf{r},\sigma} = c_{\mathbf{r},\sigma}^\dagger c_{\mathbf{r},\sigma}$. The sum $\langle \mathbf{r}, \mathbf{r}' \rangle$ in $\mathcal{H}_c^{\text{WM}}$ runs over all pairs of nearest-neighbor sites except for the ones connecting to the impurity sites, whereas the sum $\langle \mathbf{r}, \mathbf{r}' \rangle$ in $\mathcal{H}_V^{\text{WM}}$ runs over all pairs of nearest-neighbor sites only involving the impurity sites. $\mathcal{H}_U^{\text{WM}}$ represents the on-site Coulomb interaction at the impurity sites with site dependent interaction $U_{\mathbf{r}}$, and the sum in $\mathcal{H}_U^{\text{WM}}$ includes only the impurity sites. The two-impurity Wolff model described by \mathcal{H}_{WM} is a special case of the general Anderson impurity model \mathcal{H}_{AIM} in Eq. (1) with $N_i = 2$, $N_d = 1$, and $M = 2$.

Applying the BL recursive technique described in Sec. II A, we can readily show that the two-impurity Wolff model \mathcal{H}_{WM}

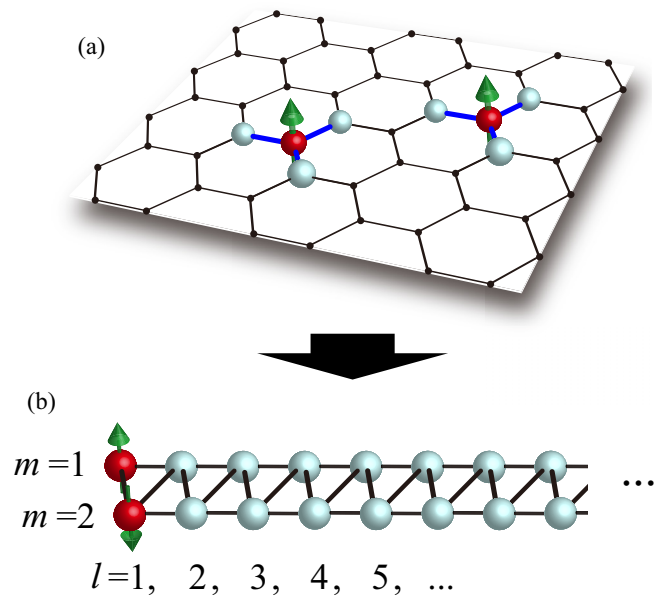


FIG. 2. (Color online) (a) Schematic representation of a two-impurity Wolff model on the honeycomb lattice described by \mathcal{H}_{WM} in Eq. (21) and (b) the semi-infinite Q1D ladder model obtained by the BL recursive technique. Solid red spheres with green arrows indicate the impurity sites. White spheres next to the impurity sites in (a) represent the first ripple states generated by the second ($l = 2$) BL iteration in Eq. (10). The hybridization (with its strength V) between the impurity sites and the conduction sites are indicated by bold blue lines in (a). The indices l and m in (b), representing the site position of the resulting Q1D ladder model along the leg and rung directions, respectively, correspond to the ones in the new fermionic operator $(a_\sigma)_{lm} = a_{l,m,\sigma}$ used in Eq. (25).

is mapped exactly to the Q1D ladder model described by the following Hamiltonian:

$$\begin{aligned} \mathcal{H}_{\text{WM}}^{\text{Q1D}} = & \sum_{m=1}^2 U_{\mathbf{r}_m} (n_{1,m,\uparrow} - 1/2)(n_{1,m,\downarrow} - 1/2) \\ & + \sum_{l=1}^L \sum_{m,m'=1}^2 \sum_{\sigma} \epsilon_{mm'}^l a_{l,m,\sigma}^\dagger a_{l,m',\sigma} \\ & + \sum_{l=1}^{L-1} \sum_{m,m'=1}^2 \sum_{\sigma} t_{mm'}^l (a_{l,m,\sigma}^\dagger a_{l+1,m',\sigma} + \text{H.c.}), \end{aligned} \quad (25)$$

where \mathbf{r}_m represents the position of m th impurity site, $\epsilon_{mm'}^l = (\hat{E}_l)_{m,m'}$, $t_{mm'}^l = (\hat{T}_l)_{m,m'}$, and $n_{1,m,\sigma} = a_{1,m,\sigma}^\dagger a_{1,m,\sigma} = c_{\mathbf{r}_m,\sigma}^\dagger c_{\mathbf{r}_m,\sigma}$. A schematic representation of this Q1D ladder model is shown in Fig. 2(b).

In the presence of the reflection or C_2 rotation point group symmetry at the center of two impurity sites, the Q1D model $\mathcal{H}_{\text{WM}}^{\text{Q1D}}$ in Eq. (25) can be further simplified by introducing symmetric and antisymmetric bases,

$$\begin{aligned} \gamma_{1,1,\sigma} &= (a_{1,1,\sigma} + a_{1,2,\sigma})/\sqrt{2} = (c_{\mathbf{r}_1,\sigma} + c_{\mathbf{r}_2,\sigma})/\sqrt{2}, \\ \gamma_{1,2,\sigma} &= (a_{1,1,\sigma} - a_{1,2,\sigma})/\sqrt{2} = (c_{\mathbf{r}_1,\sigma} - c_{\mathbf{r}_2,\sigma})/\sqrt{2}, \end{aligned} \quad (26)$$

as the initial BL bases for the BL iteration. It is then readily shown that the two-impurity Wolff model \mathcal{H}_{WM} in Eq. (21)

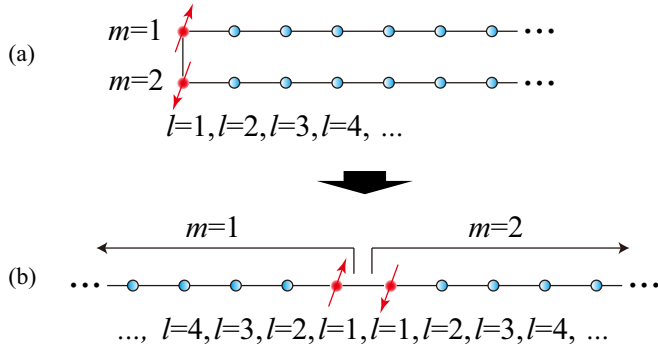


FIG. 3. (Color online) Schematic representation of a pure one-dimensional model mapped from the two-impurity Wolff model on the honeycomb lattice shown in Fig. 2(a). Using the symmetry adapted BL bases, (a) the hopping terms between the legs are completely eliminated except for the impurity sites and thus (b) the ladder model is further simplified to a pure one-dimensional model. Red solid circles with arrow indicate the impurity sites and blue circles without arrows represent the symmetry adapted BL bases generated by the BL iteration. The indices l and m represent the site position of the resulting one-dimensional model and correspond to the ones in the symmetry adapted fermionic operator $\gamma_{l,m,\sigma}$ used in Eq. (27).

can be mapped onto the following Q1D model:

$$\begin{aligned} \tilde{\mathcal{H}}_{\text{WM}}^{\text{Q1D}} = & \sum_{m=1}^2 \frac{U_{r_m}}{4} [(\gamma_{1,1,\uparrow}^\dagger + (-1)^{m+1} \gamma_{1,2,\uparrow}^\dagger) \\ & \times (\gamma_{1,1,\uparrow} + (-1)^{m+1} \gamma_{1,2,\uparrow}) - 1] \\ & \times [(\gamma_{1,1,\downarrow}^\dagger + (-1)^{m+1} \gamma_{1,2,\downarrow}^\dagger) \\ & \times (\gamma_{1,1,\downarrow} + (-1)^{m+1} \gamma_{1,2,\downarrow}) - 1] \\ & + \sum_{l=1}^L \sum_{m=1}^2 \sum_{\sigma} \tilde{\epsilon}_m^l \gamma_{l,m,\sigma}^\dagger \gamma_{l,m,\sigma} \\ & + \sum_{l=1}^{L-1} \sum_{m=1}^2 \sum_{\sigma} \tilde{t}_m^l (\gamma_{l,m,\sigma}^\dagger \gamma_{l+1,m,\sigma} + \text{H.c.}). \quad (27) \end{aligned}$$

Here, $\gamma_{l,m,\sigma}$ is the l th BL bases generated by the BL recursive technique with the initial BL bases $\gamma_{1,m,\sigma}$ given in Eq. (26). It should be noticed that, in contrast to the previous Q1D ladder model $\mathcal{H}_{\text{WM}}^{\text{Q1D}}$ in Eq. (25), the resulting Q1D model $\tilde{\mathcal{H}}_{\text{WM}}^{\text{Q1D}}$ is now completely decoupled [see Fig. 3(a)], owing to the symmetry adapted BL bases, except for the “initial” sites ($l = 1$), i.e., the interacting impurity sites. This form is particularly useful for the DMRG calculations because this Q1D model is regarded as a pure one-dimensional chain model, as schematically shown in Fig. 3(b). We should also note that, because of this choice of the initial BL bases in Eq. (26), the two-body Coulomb interaction terms in $\tilde{\mathcal{H}}_{\text{WM}}^{\text{Q1D}}$ contain intersite interactions between the impurity sites, although in the original representation of the Wolff model \mathcal{H}_{WM} the two-body interaction terms are local. However, this slight complexity does not cause any difficulty in applying the DMRG method to $\tilde{\mathcal{H}}_{\text{WM}}^{\text{Q1D}}$.

Three remarks are in order. First, exactly the same pure one-dimensional Hamiltonian $\tilde{\mathcal{H}}_{\text{WM}}^{\text{Q1D}}$ given in Eq. (27), including the two-body interaction part, can be constructed by using

the standard Lanczos tridiagonalization procedure applied separately to each symmetric and antisymmetric basis given in Eq. (26) as the initial Lanczos basis. Indeed, a similar idea using the standard Lanczos tridiagonalization procedure has been proposed for two-impurity models in the context of NRG [53,54]. Second, for the two-impurity Wolff model on the honeycomb lattice defined in Eqs. (21)–(24), the symmetric and antisymmetric BL bases in Eq. (26) can always decouple the Q1D ladder model $\mathcal{H}_{\text{WM}}^{\text{Q1D}}$ to a pure one-dimensional model $\tilde{\mathcal{H}}_{\text{WM}}^{\text{Q1D}}$, regardless of the location of two impurity sites. Third, this simplification is made possible solely because of the symmetry of the one-body part of the original Hamiltonian \mathcal{H}_{WM} . The similar simplification of the Q1D model using the symmetry adapted BL bases can be applied to more involved models, an example being discussed below in Sec. II D.

Let us now discuss the physical meaning of the BL bases generated by the BL recursive technique for the two-impurity Wolff model \mathcal{H}_{WM} on the honeycomb lattice. Since $a_{l,m,\sigma}^\dagger = \sum_{\mathbf{r}} c_{\mathbf{r},\sigma}^\dagger (\hat{P}_l)_{\mathbf{r},m}$ [see Eq. (16)], the m th BL bases generated after the l th BL iteration is represented by $(\hat{P}_l)_{\mathbf{r},m}$, where \mathbf{r} is a two-dimensional vector on the honeycomb lattice. Figure 4 shows \mathbf{r} dependence of $(\hat{P}_l)_{\mathbf{r},m}$ for $m = 1$ and 2 obtained with the initial BL bases \hat{P}_1 given in Eq. (9). In the standard Lanczos tridiagonalization procedure with the initial Lanczos basis similar to \hat{P}_1 , e.g., e_1 in Eq. (9), the Lanczos basis generated after the l th Lanczos iteration forms a s -wave “ripple” around the impurity site for any l and the size of the ripple increases with l [32,55,56]. Similarly, as shown in Figs. 4(a)–4(j), every BL iteration generates two orthogonal bases for $m = 1$ and 2, and each basis is like a propagating ripple centered at each impurity site. However, these BL bases generated are no longer s -wave-like once they overlap. This is simply because these two bases must be orthogonal and thus they can not be s -wave-like once these two ripples overlap each other [see Figs. 4(k)–4(p)].

It is also interesting to see the ripples for $\gamma_{l,m,\sigma}^\dagger$ generated after the l th BL iterations using the symmetric and antisymmetric initial BL bases given in Eq. (26). In general, the off-diagonal terms in \hat{E}_l as well as \hat{T}_l are due to the interference between the two ripples for $m = 1$ and 2 once the two ripples overlap [see Figs. 4(k)–4(p)]. However, because the BL iteration respects the symmetry of Hamiltonian $\mathcal{H}_0^{\text{WM}} = \mathcal{H}_c^{\text{WM}} + \mathcal{H}_v^{\text{WM}}$, the BL bases generated still preserve the symmetric and antisymmetric characteristics even for $l > 1$ if the symmetric and antisymmetric initial BL bases are used. This can be clearly seen in Fig. 5. Both before [Figs. 5(a)–5(j)] and after [Figs. 5(k)–5(p)] the two ripples overlap, they are clearly symmetric and antisymmetric with respect to C_2 rotation (or reflection) at the center of two impurity sites. Therefore the off-diagonal elements in \hat{E}_l and \hat{T}_l are zero when the symmetry adapted BL bases are appropriately used.

Indeed, $a_{l,m,\sigma}$ and $\gamma_{l,m,\sigma}$ relate to each other and the relation depends on the relative position of two impurity sites on the honeycomb lattice. When the two impurity sites are located on different sublattices of the honeycomb lattice, the parameters $\epsilon_{mm'}^l$ and $t_{mm'}^l$ in Eq. (25) satisfy

$$\begin{aligned} \epsilon_{11}^l &= \epsilon_{22}^l, & \epsilon_{12}^l &= \epsilon_{21}^l, \\ t_{11}^l &= t_{22}^l, & t_{12}^l &= t_{21}^l = 0. \end{aligned} \quad (28)$$

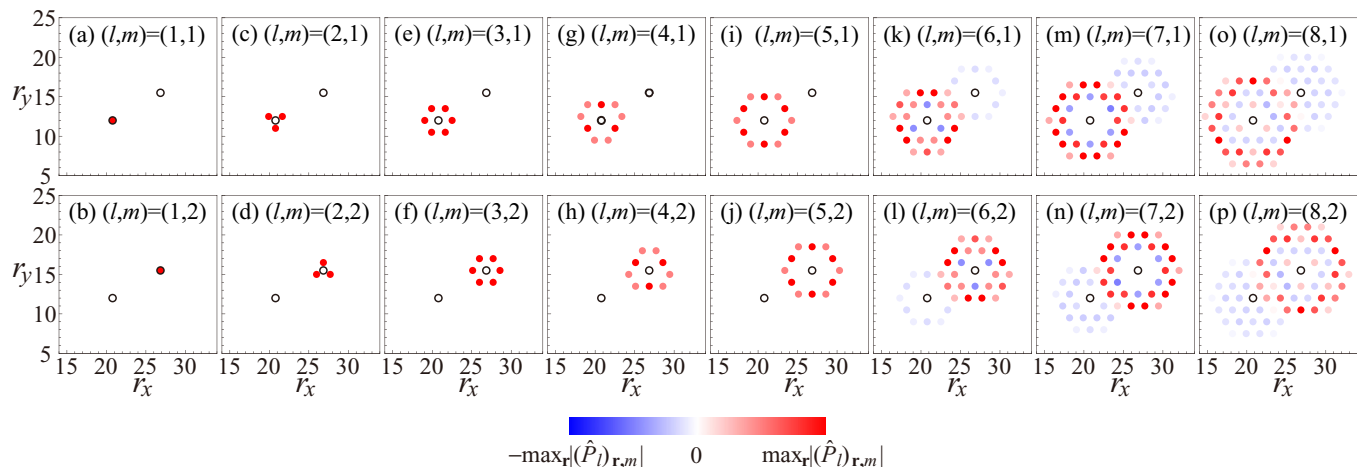


FIG. 4. (Color online) Intensity plots of $(\hat{P}_l)_{\mathbf{r},m}$ at $\mathbf{r} = (r_x, r_y)$, i.e., the real-space distribution of the m th BL bases generated after the l th BL iteration, for the two-impurity Wolff model on the honeycomb lattice \mathcal{H}_{WM} in Eq. (21). The BL bases for different l and m (indicated in the figures) are generated starting with the initial BL bases \hat{P}_1 given in Eq. (9), thus corresponding to $a_{l,m,\sigma}^\dagger$ used in $\mathcal{H}_{\text{WM}}^{\text{QID}}$ [Eq. (25)]. The two impurity sites are located at $\mathbf{r}_1 = (12\sqrt{3}, 12)$ and $\mathbf{r}_2 = (15.5\sqrt{3}, 15.5)$, indicated by open black circles.

Therefore, in this case, $\gamma_{l,m,\sigma}$ for any $l (> 1)$ is related to $a_{l,m,\sigma}$ via the following simple relations:

$$\begin{aligned}\gamma_{l,1,\sigma} &= (a_{l,1,\sigma} + a_{l,2,\sigma})/\sqrt{2}, \\ \gamma_{l,2,\sigma} &= (a_{l,1,\sigma} - a_{l,2,\sigma})/\sqrt{2}.\end{aligned}\quad (29)$$

On the other hands, when the two impurity sites are located on the same sublattices, the parameters in Eq. (25) satisfy

$$\epsilon_{11}^l = \epsilon_{12}^l = \epsilon_{21}^l = \epsilon_{22}^l = 0. \quad (30)$$

Therefore $\gamma_{l,m,\sigma}$ are determined so as to diagonalize 2×2 matrix $t_{mm'}^l$ with respect to m and m' in Eq. (25).

Finally, we note briefly another scheme which can be used to reduce the computational cost in DMRG calculations. This can be applied when the one-body part of the Hamiltonian is separated for up and down electrons, as in \mathcal{H}_{AIM} [Eq. (1)] [62]. In this case, the one-body part of the Q1D model obtained by the BL recursive technique is also separated for up and down

electrons [see $\mathcal{H}_{\text{AIM}}^{\text{Q1D}}$ in Eq. (19)]. Therefore the Q1D model is described by two decoupled semi-infinite Q1D Hamiltonians, one for up electron sites and the other for down electron sites, which connect to each other via two-body part of the Hamiltonian at the impurity sites, as schematically shown in Fig. 6(a). By stretching the up electron part of the Q1D Hamiltonian to the left, we can finally obtain the infinite Q1D model, as shown in Fig. 6(b).

The total Hilbert space in DMRG calculations is proportional to $s_D^2 m_D^2$, where m_D is the number of density-matrix eigenstates kept in DMRG calculations and s_D is the number of local states for added sites, i.e., the number of local states at each rung in the Q1D model. Therefore, in the case of two-impurity Wolff model \mathcal{H}_{WM} , s_D is reduced from 16 to 4 by using this reduction scheme for spin degrees of freedom. Although we can no longer use the fact that the total S_z is a good quantum number to reduce the dimension of the Hilbert space, we find that this reduction scheme is still useful when

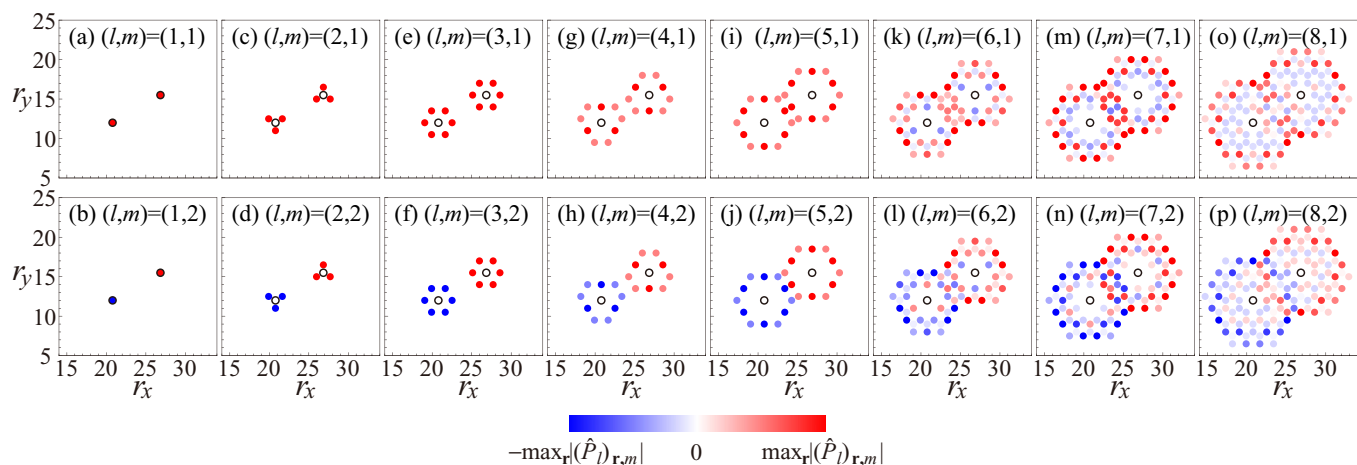


FIG. 5. (Color online) Intensity plots of $(\hat{P}_l)_{\mathbf{r},m}$ at $\mathbf{r} = (r_x, r_y)$, i.e., the real-space distribution of the m th BL bases generated after the l th BL iteration, for the two-impurity Wolff model on the honeycomb lattice \mathcal{H}_{WM} in Eq. (21). The BL bases for different l and m (indicated in the figures) are generated starting with the symmetric and antisymmetric initial BL bases, $\gamma_{l,1,\sigma}^\dagger$ and $\gamma_{l,2,\sigma}^\dagger$, given in Eq. (26), thus corresponding to $\gamma_{l,m,\sigma}^\dagger$ used in $\mathcal{H}_{\text{WM}}^{\text{QID}}$ [Eq. (27)]. The two impurity sites are located at $\mathbf{r}_1 = (12\sqrt{3}, 12)$ and $\mathbf{r}_2 = (15.5\sqrt{3}, 15.5)$, indicated by open black circles.

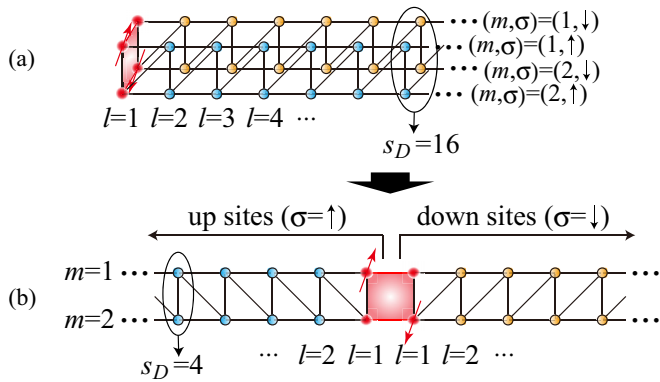


FIG. 6. (Color online) Schematic representation of a reduction scheme to save the computational cost in DMRG calculations by using spin degrees of freedom for a single-orbital two-impurity Anderson model \mathcal{H}_{AIM} with $N_i = 2$, $N_d = 1$, and $M = 2$. (a) The semi-infinite ladder model obtained by the BL recursive technique. Here the up and down electron sites (indicated by cyan and orange circles, respectively) are explicitly represented. The impurity sites are denoted by red spheres at the left edge. The red shaded plaquette at the left edge indicates where the two-body interaction part \mathcal{H}_U is active at the impurity sites. The local degrees of freedom at each rung in this representation is $s_D = 16$. (b) Using the fact that the one-body part of the Q1D model is decoupled for up and down electron sites (except for the impurity sites), the up electron part in (a) can be simply stretched to the left to form an infinite ladder model, which contains less local degrees of freedom at each rung, i.e., $s_D = 4$. The indices l and m , representing the site position of the ladder model along the leg and rung directions, respectively, correspond to the ones in the new fermionic operator $(\mathbf{a}_\sigma)_{lm} = a_{l,m,\sigma}$ used in Eq. (20).

there is no point group symmetry available to construct the symmetry adapted BL bases. We will use this reduction scheme in Sec. III C 3 for systems where the symmetry adapted BL bases are not easily constructed.

C. Calculations for spatially dependent quantities

The BL-DMRG method allows us to calculate spatially dependent quantities in real space, such as correlation functions between any sites and local density of states at any conduction sites. For example, to calculate correlation functions between the impurity site \mathbf{r}_{imp} and the conduction site \mathbf{r} , we can simply take the impurity site(s) and the conduction site of interest as the initial BL bases. The resulting Q1D model constructed by the BL recursive technique contains explicitly the impurity site \mathbf{r}_{imp} as well as the conduction site \mathbf{r} , for which the correlation functions are readily evaluated using the DMRG method. This scheme is explained schematically for a single-impurity Wolff model in Fig. 7.

Although a similar idea has been applied in the NRG method [55], the BL-DMRG method has several advantages over the NRG method in calculating spatially dependent quantities: (i) the BL-DMRG method can treat any conduction Hamiltonians in real space, (ii) the reduction scheme to save the computational cost is available for the BL-DMRG method by using the symmetry adapted BL bases if the one-body part of the Hamiltonian has an appropriate symmetry, and (iii) the

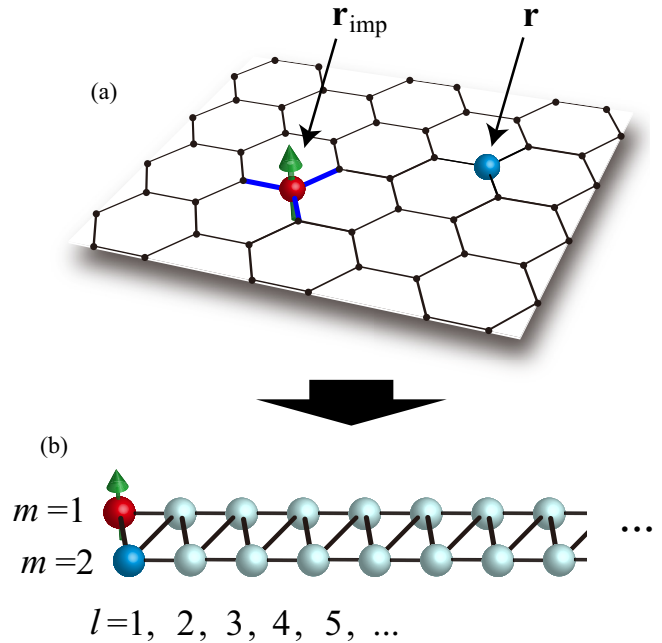


FIG. 7. (Color online) Schematic representation of the Q1D mapping for a single-impurity Wolff model on the honeycomb lattice to calculate correlation functions between the impurity site (denoted by red sphere with green arrow) at \mathbf{r}_{imp} and a conduction site (denoted by blue sphere) at \mathbf{r} . White spheres in (b) indicate the ripple states (i.e., BL bases) generated by the BL recursive technique with taking the impurity site \mathbf{r}_{imp} and the conduction site \mathbf{r} as the initial BL bases. The indices l and m in (b) correspond to the site position of the resulting semi-infinite ladder model along the leg and rung directions, respectively. The same Q1D mapping is used to calculate, e.g., local density of states at the conduction site \mathbf{r} .

reduction scheme using spin degrees of freedom can also be applied in the BL-DMRG method if the one-body part of the Hamiltonian is separated for up and down electrons.

Instead of constructing a different Q1D model for each conduction site of interest, as shown in Fig. 7(b), it is in principle possible to calculate physical quantities involving the conduction sites by using Eq. (17) directly for a single Q1D model constructed with the initial BL bases containing only the impurity sites. However, this approach suffers several problems. First of all, it is not necessarily true that the well-defined nonsingular $(N + M) \times (N + M)$ unitary matrix \hat{P} in Eq. (12) is always obtained by the BL iterations in Eq. (10). This is simply because the BL bases generated by the BL recursive technique belong to a certain irreducible representation determined by the initial BL bases. The bases belonging to other representations are not generated because these bases are decoupled to the impurity sites. Second, the BL iterations are very often terminated with a finite number L of iterations, specially when we consider the conduction sites in the thermodynamics limit $N \rightarrow \infty$. In this case, \hat{P} is a rectangular $(N + M) \times (LM)$ matrix and thus the inverse of \hat{P} can not be defined to describe the operator $\mathbf{c}_\sigma^\dagger$ for the conduction sites using the BL bases operator $\mathbf{a}_\sigma^\dagger$ [59]. In spite of all these difficulties, if we obtained the well-defined unitary matrix \hat{P} , we would then represent the physical quantities

using the BL bases, e.g.,

$$c_{n,\sigma}^\dagger c_{n',\sigma'} = \sum_{l,l'} \sum_{m,m'} (\hat{P}_l^\dagger)_{m,n} (\hat{P}_{l'}^{\dagger})_{n',m'} a_{l,m,\sigma}^\dagger a_{l',m',\sigma'}. \quad (31)$$

However, we would still have to carry out these matrix multiplications for all $a_{l,m,\sigma}^\dagger a_{l',m',\sigma}$, separately, which is computationally very demanding. On the other hand, any operator involving the conduction sites can be incorporated exactly in the Q1D model generated by the BL recursive technique if the conduction sites are included explicitly in the initial BL bases (see Fig. 7).

D. Multiorbital systems

It is rather straightforward to extend the BL-DMRG method for a multiple-impurity Anderson model to a multiorbital single-impurity Anderson model. For completeness and for possible future applications, we shall here briefly describe the formulation of the BL-DMRG method for a multiorbital single-impurity Anderson model and discuss the symmetry of the BL bases.

As an example, we shall consider a five d -orbital single-impurity Anderson model. The Hamiltonian is given by Eq. (1) with $N_i = 1$ and $N_d = 5$. Assuming that the impurity site is in a tetragonal environment with D_{4h} point group symmetry, the five-fold degenerate d orbitals are reducible and contain the following irreducible representations: a_{1g} ($d_{3z^2-r^2}$ orbital), b_{1g} ($d_{x^2-y^2}$ orbital), b_{2g} (d_{xy} orbital), and $(e_{g,1}, e_{g,2})$ [(d_{yz}, d_{zx}) orbitals]. For the two-body part of the Hamiltonian, we can consider, e.g., the most complete interactions,

$$\begin{aligned} \mathcal{H}_U^d &= U \sum_m n_{m,\uparrow} n_{m,\downarrow} + U' \sum_{m < m'} \sum_{\sigma} n_{m,\sigma} n_{m',\bar{\sigma}} \\ &+ (U' - J) \sum_{m < m'} n_{m,\sigma} n_{m',\sigma} \\ &- J \sum_{m \neq m'} c_{m,\uparrow}^\dagger c_{m,\downarrow} c_{m',\downarrow}^\dagger c_{m',\uparrow} \\ &+ J' \sum_{m \neq m'} c_{m,\uparrow}^\dagger c_{m,\downarrow}^\dagger c_{m',\downarrow} c_{m',\uparrow}, \end{aligned} \quad (32)$$

where U , U' , J , and J' are intraorbital Coulomb interaction, interorbital Coulomb interaction, Hund's coupling, and pair-hopping, respectively. Here, $m = (a_{1g}, b_{1g}, b_{2g}, e_{g,1}, e_{g,2})$, $n_{m,\sigma} = d_{m,\sigma}^\dagger d_{m,\sigma}$ and $\bar{\sigma}$ indicates the opposite spin of σ . Applying the BL recursive technique, the five d -orbital single-impurity Anderson model is mapped onto a semi-infinite five-leg ladder model, as shown in Fig. 8.

Let us now discuss the symmetries of the BL bases, i.e., ripple states, generated by the BL recursive technique. For simplicity, we further assume that the conduction bands coupled to the impurity site are formed by s orbitals on the square lattice and the impurity site is embedded in one of the sites forming the square lattice. Then, the five d -orbital single-impurity Anderson model is describe by the following Hamiltonian:

$$\mathcal{H}_d = \mathcal{H}_c^s + \mathcal{H}_V^{sd} + \mathcal{H}_U^d, \quad (33)$$

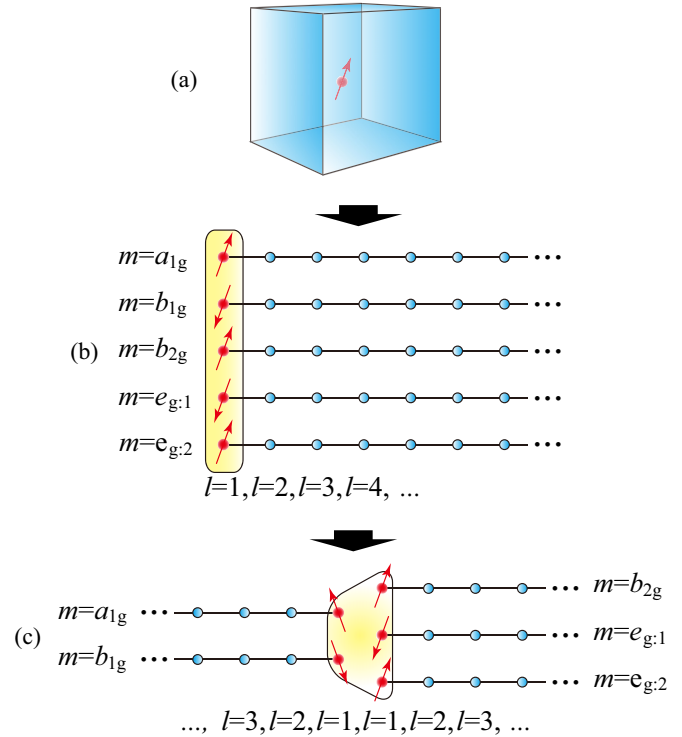


FIG. 8. (Color online) Schematic representation of the Q1D mapping for a five d -orbital single-impurity Anderson model. The BL recursive technique transforms the five d -orbital single-impurity Anderson model onto a semi-infinite five-leg ladder model. A blue cube represents the conduction sites in (a) and blue circles indicate the BL bases in (b) and (c). Red circles with arrows denote the impurity site with orbital $m = (a_{1g}, b_{1g}, b_{2g}, e_{g,1}, e_{g,2})$. The yellow shaded regions in (b) and (c) indicate where the two-body Coulomb interaction \mathcal{H}_U^d is active at the impurity sites. The indices l and m in (b) and (c), representing the site position of the resulting Q1D model along the leg and rung directions, respectively, correspond to the ones in the new fermionic operator $(a_\sigma)_{lm} = a_{l,m,\sigma}$ in Eq. (15) and used to describe the Q1D model in Eq. (19).

where

$$\mathcal{H}_c^s = -t \sum_{\langle \mathbf{r}, \mathbf{r}' \rangle} \sum_{\sigma} (c_{\mathbf{r},\sigma}^\dagger c_{\mathbf{r}',\sigma} + \text{H.c.}) \quad (34)$$

and

$$\begin{aligned} \mathcal{H}_V^{sd} &= V_1 \sum_{\mathbf{e}=\pm\mathbf{e}_x, \pm\mathbf{e}_y} \sum_{\sigma} (c_{\mathbf{r}_{\text{imp}}+\mathbf{e},\sigma}^\dagger d_{a_{1g},\sigma} + \text{H.c.}) \\ &+ V_2 \sum_{\mathbf{e}=\pm\mathbf{e}_x} \sum_{\sigma} (c_{\mathbf{r}_{\text{imp}}+\mathbf{e},\sigma}^\dagger d_{b_{1g},\sigma} + \text{H.c.}) \\ &- V_2 \sum_{\mathbf{e}=\pm\mathbf{e}_y} \sum_{\sigma} (c_{\mathbf{r}_{\text{imp}}+\mathbf{e},\sigma}^\dagger d_{b_{2g},\sigma} + \text{H.c.}). \end{aligned} \quad (35)$$

Here, the sum in \mathcal{H}_c^s runs over all nearest-neighbor sites, \mathbf{r} and \mathbf{r}' , excluding the impurity site \mathbf{r}_{imp} . \mathcal{H}_V^{sd} represents the hybridization between the impurity site and the surrounding nearest-neighbor conduction sites. \mathbf{e}_x and \mathbf{e}_y are the lattice unit vectors along x - and y -directions on the square lattice, respectively. The symmetry of the d orbitals is reflected with the sign of the hybridization parameters in \mathcal{H}_V^{sd} and also causes

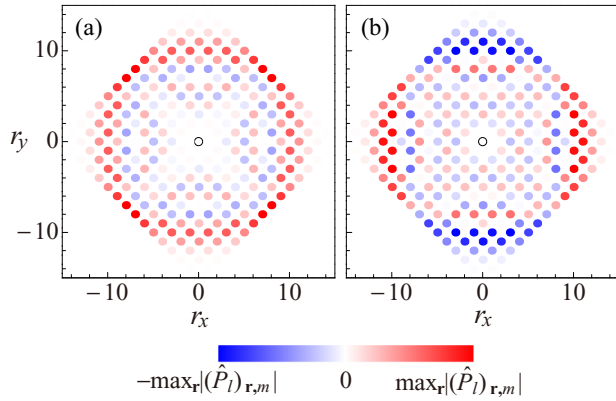


FIG. 9. (Color online) Intensity plots of $(\hat{P}_l)_{\mathbf{r},m}$ at $\mathbf{r} = (r_x, r_y)$, i.e., the real-space distribution of the m th BL bases generated after the l th BL iteration, for the d -orbital single-impurity Anderson model on the square lattice \mathcal{H}_d in Eq. (33). The BL bases for (a) $m = a_{1g}$ and (b) $m = b_{1g}$ are generated with taking the d orbitals as the initial BL bases. Here, the results for $l = 15$ are shown. The impurity site is located at $\mathbf{r} = (0,0)$, indicated by open black circle.

zero hybridization between d_{xy} , d_{yz} , and d_{zx} orbitals and s orbital. Moreover, here we simply ignore the one-body term at the impurity site.

Applying the BL recursive technique with taking the d orbitals as the initial BL bases, we can generate the BL bases which belong to the same irreducible representation with the initial BL bases. This can be best seen in \mathbf{r} dependence of $(\hat{P}_l)_{\mathbf{r},m}$ [Eq. (16)], i.e., the m th BL bases generated after the l th BL iteration. A typical example is shown in Fig. 9. Although in this case only $d_{3z^2-r^2}$ and $d_{x^2-y^2}$ orbitals hybridize with the conduction s orbitals, Fig. 9 clearly demonstrates that the each symmetry of the initial BL bases is preserved even after the BL iterations are executed. Because of the different irreducible representations, there is no matrix element in the resulting Q1D model between the BL bases $a_{l,m,\sigma}$ with different m 's for $l > 1$ [see Fig. 8(b)].

Even when the conduction bands formed by p orbitals are considered, the same conclusion is reached as long as the symmetry is respected correctly in the Hamiltonian. In this case, one can show that the five d orbitals are all coupled to the conduction sites with finite hybridization, and the BL bases generated by the BL iterations preserve the same irreducible representation of the five d orbitals when they are used for the initial BL bases. The resulting Q1D model is a semi-infinite five-leg ladder model, where different legs belong to different irreducible representations and thus the legs are decoupled to each other except for the impurity site, as shown in Fig. 8(b). More generally, in many cases, a multiorbital single-impurity Anderson model possesses a specific point group symmetry, and therefore the corresponding Q1D model is decoupled according to the irreducible representation of the bases [63].

Let us finally discuss the reduction scheme for the multiorbital systems to save the computational cost. First, it is trivial to apply the reduction scheme using the spin degrees of freedom (see Fig. 6). Second, as shown above, a Q1D model mapped from a multiorbital single-impurity Anderson model is

a semi-infinite ladder model with decoupled chains, except for the impurity site, because there is no matrix element between the bases with different irreducible representations. This can be used to reduce the computational cost by, e.g., putting two of the five semi-infinite legs on the left and the other three on the right, ending up with an infinite ladder model with less number of legs, as shown in Fig. 8(c). Although this scheme introduces an imbalance of the Hilbert space between the left and right sides of the system when the impurity contains an odd number of orbitals, we still find this scheme to be very effective to save the computational cost.

The BL-DMRG method introduced here can be readily extended to any multiorbital multiple-impurity Anderson models. Therefore we expect that the BL-DMRG method is efficiently applied as an impurity solver of DMFT for multiorbital Hubbard models [52] and for realistic electronic structure calculations of correlated materials [64]. With straightforward extension, the BL-DMRG method is applied also to Kondo impurity models where localized spins are coupled to conduction sites.

III. APPLICATION: MAGNETIC IMPURITY PROBLEMS IN GRAPHENE

In this section, using the BL-DMRG method introduced in Sec. II, we shall study three different single-impurity Anderson models for graphene with a single structural defect and with a single adatom. We first introduce the models in Sec. III A and explain briefly the numerical details in Sec. III B, followed by the numerical results for the local magnetic susceptibility in Sec. III C 1, the local electronic density of states in Sec. III C 2, and the spin-spin correlation functions between the impurity site and the conduction sites in Sec. III C 3.

A. Models

We study three different single-impurity Anderson models in this section. The Hamiltonians \mathcal{H}_Γ of these three models ($\Gamma = \text{I, II, and III}$) are given as

$$\mathcal{H}_\Gamma = \mathcal{H}_t + \mathcal{H}_V + \mathcal{H}_U, \quad (36)$$

where

$$\mathcal{H}_t = -t \sum_{\langle \mathbf{r}, \mathbf{r}' \rangle} \sum_{\sigma} (c_{\mathbf{r},\sigma}^\dagger c_{\mathbf{r}',\sigma} + \text{H.c.}), \quad (37)$$

$$\mathcal{H}_V = V \sum_{\mathbf{r} \in \mathcal{S}} \sum_{\sigma} (c_{\mathbf{r},\sigma}^\dagger c_{\mathbf{r}_{\text{imp}},\sigma} + \text{H.c.}), \quad (38)$$

and

$$\mathcal{H}_U = U (n_{\mathbf{r}_{\text{imp}},\uparrow} - 1/2)(n_{\mathbf{r}_{\text{imp}},\downarrow} - 1/2). \quad (39)$$

Here, $c_{\mathbf{r},\sigma}^\dagger$ ($c_{\mathbf{r},\sigma}$) is the electron creation (annihilation) operator at site \mathbf{r} and spin $\sigma (= \uparrow, \downarrow)$. \mathcal{H}_t describes the conduction sites with the nearest-neighbor hopping t and thus the sum for $\langle \mathbf{r}, \mathbf{r}' \rangle$ runs over all nearest-neighbor pairs of conduction sites at \mathbf{r} and \mathbf{r}' on the honeycomb lattice. \mathcal{H}_V describes the hybridization between the impurity site at \mathbf{r}_{imp} and the conduction site at \mathbf{r} where the sum over $\mathbf{r} \in \mathcal{S}$ is taken for the conduction sites connected to the impurity site through V . \mathcal{H}_U describes the impurity site with the on-site interaction

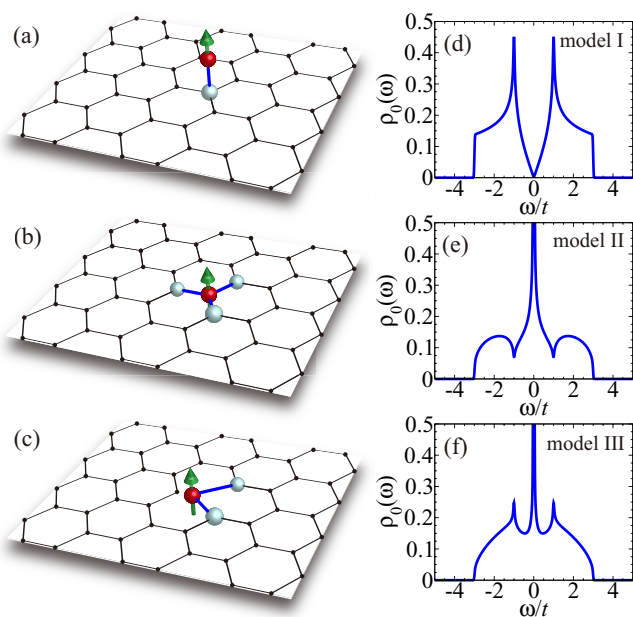


FIG. 10. (Color online) (a)–(c) Schematic representation of (a) a single adatom on the honeycomb lattice (model I), (b) a substitutional impurity in the honeycomb lattice (model II), and (c) an effective model for a single structural defect (vacancy) in graphene (model III). A red sphere with a green arrow indicates the impurity site. The conduction sites connected to the impurity site through the hybridization V (denoted by bold blue lines) are represented by cyan spheres and other conduction sites are indicated by black dots. The hopping t is finite only between the nearest-neighbor conduction sites, indicated by thin black lines. (d)–(f) Local density of states $\rho_0(\omega)$ per spin for \mathcal{H}_I projected onto the second Lanczos basis with $l = 2$ in Eq. (10), i.e., the conduction sites connected to the impurity site through V , as indicated by cyan spheres in (a)–(c). Three models are indicated in figures (d)–(f).

U and $n_{\mathbf{r}_{\text{imp}},\sigma} = c_{\mathbf{r}_{\text{imp}},\sigma}^\dagger c_{\mathbf{r}_{\text{imp}},\sigma}$. The models described by \mathcal{H}_I correspond to a special case of the general Anderson impurity model \mathcal{H}_{AIM} with $N_i = N_d = M = 1$ in Eq. (1).

These three models are different in the location of the impurity site and the way how the impurity site hybridizes with the conduction sites. The first model, model I, is for a single impurity absorbed (i.e., a single adatom) on the honeycomb lattice, as depicted in Fig. 10(a). The impurity site is located on top of one of the conduction sites in the honeycomb lattice and hybridizes with only this conduction site. The second model, model II, represents a substitutional impurity in the honeycomb lattice, i.e., a single-impurity Wolff model, as depicted in Fig. 10(b). One of the conduction sites in the honeycomb lattice is replaced by the impurity site, which hybridizes with the three nearest neighboring conduction sites. The third model, model III, represents an effective model for a single structural defect in graphene [see Fig. 10(c)]. In this model, the impurity site is composed of a localized sp^2 dangling orbital, which hybridizes with the two neighboring sites, as indicated in Fig. 10(c). Model III is obtained from model II with deleting one of the hybridizing bonds between the impurity site and the conduction sites in Model II.

Model III deserves more explanation. In the presence of a single structural defect (i.e., vacancy) in graphene, three

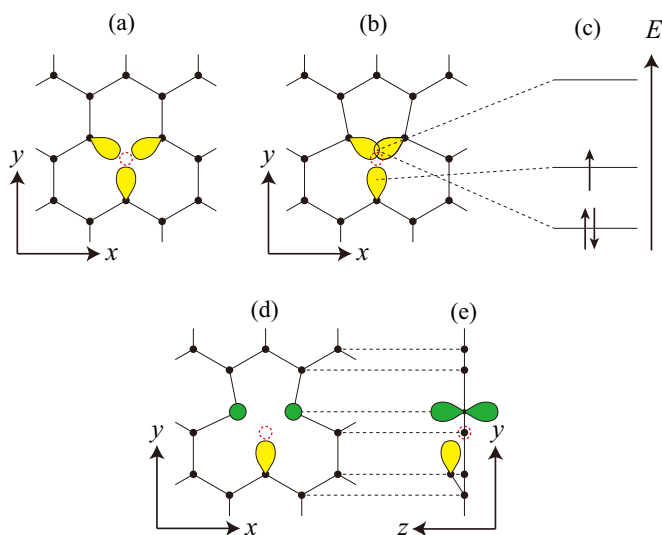


FIG. 11. (Color online) Schematic representation of local orbitals of carbon atoms (black dots) around the vacancy (red dashed circles) and a local molecular orbital energy diagram for model III [19]. (a) sp^2 dangling orbitals (yellow leaves) of the three carbon atoms surrounding the vacancy without structural distortion. (b) Same as (a) but with structural distortion reported by first-principles band structure calculations [22–25]. Two of the three carbon atoms surrounding the vacancy are closer to each other. (c) The resulting local molecular orbital energy diagram for (b). Without distortion and hybridization, the three dangling orbitals are degenerate. The lowest and highest levels correspond to the bonding and antibonding states, respectively, composed mostly of the dangling orbitals of the two carbon atoms closer to each other. The second lowest level corresponds to the nonbonding state composed mostly of the remaining dangling orbital. Since there are three electrons (arrows) in these dangling orbitals, the second lowest level is half-filled. (d) The half-filled dangling orbital (yellow leaf) and p_z orbitals (green circles) of the other two neighboring carbon atoms surrounding the vacancy. Without additional distortion, the half-filled dangling orbital does not hybridize with other orbitals. (e) Same as (d) but the view from the in-plane axis of graphene. The green leaves indicate the p_z orbitals. According to first-principles band structure calculations, the additional out-of-plane distortion takes place in the presence of vacancy [22–25], which induces nonzero hybridization between the half-filled dangling orbital and the p_z orbitals of the other two neighboring carbon atoms.

dangling orbitals appear around the defect, which are formed by sp^2 orbitals of three carbon atoms surrounding the defect, each carbon atom contributing a single sp^2 orbital, and are pointing towards the defect [see Fig. 11(a)]. Without additional structural distortion and hybridization, these three dangling orbitals are degenerate. However, according to first-principles band-structure calculations [22–25], because of the additional structural distortion around the defect, these three fold degenerate dangling orbitals are split into three nondegenerate levels, as shown in Figs. 11(b) and 11(c). As a result, two of the three unpaired electrons in the sp^2 dangling orbitals occupy the lowest nondegenerate level and the remaining electron occupies the second lowest level, forming the localized state located mostly at one of the nearest neighboring carbon atoms around the defect [22–25]. Therefore we can ignore the paired

electrons occupying the lowest level and consider only the half-filled second lowest level as an impurity site. Note that the second lowest level is mainly composed of the sp^2 dangling orbital, which points towards the defect and thus hybridizes mostly with the p_z orbitals of the other two neighboring carbon atoms surrounding the defect, due to the additional out-of-plane distortion, as depicted in Figs. 11(d) and 11(e), but not with the p_z orbital at the same carbon atom because it is symmetrically forbidden [19]. Therefore, in model III, the p_z orbital is also present at the same site where the impurity exists, although there is no direct hybridization between these two orbitals [see Fig. 10(c)]. As mentioned above, the difference between model II and model III is the number of conduction sites which hybridize with the impurity site.

As explained in details in Appendix, the impurity properties of Anderson impurity models are determined solely by the hybridization function $\Delta(\omega)$ [10,33]. The hybridization function for models I–III is expressed as

$$\Delta(\omega) = \pi |T_1|^2 \rho_0(\omega), \quad (40)$$

where T_1 is the matrix element of $\mathcal{H}_t + \mathcal{H}_V$ between the first Lanczos basis, i.e., the impurity site, and the second Lanczos basis (see Sec. II A and Appendix). As described in Appendix, we can readily show that $T_1 = V$ for model I, $T_2 = \sqrt{3}V$ for model II, and $T_1 = \sqrt{2}V$ for model III. $\rho_0(\omega)$ in Eq. (40) is the local density of states per spin for \mathcal{H}_t projected onto the second Lanczos basis and is evaluated as

$$\rho_0(\omega) = \frac{1}{N} \sum_{k=1}^N \left(\frac{1}{\sqrt{N_S}} \sum_{\mathbf{r} \in S} u_{\mathbf{r},c}^{(k)} \right)^2 \delta(\omega - \epsilon_{c,k}), \quad (41)$$

where $u_{\mathbf{r},c}^{(k)}$ is the k th eigenstate of \mathcal{H}_t at site \mathbf{r} with its eigenvalue $\epsilon_{c,k}$. The sum over $\mathbf{r} \in S$ in Eq. (41) is taken for the conduction sites connected to the impurity site through V , as indicated by cyan spheres in Figs. 10(a)–10(c), and N_S is the number of these sites. Since the hybridization function $\Delta(\omega)$ is proportional to the local density of state $\rho_0(\omega)$, we can capture the fundamental difference among the three models simply by comparing $\rho_0(\omega)$.

As shown in Fig. 10(d), $\rho_0(\omega)$ for model I is exactly the same as the local density of states for the pure honeycomb lattice model. Therefore model I is equivalent to the so-called pseudogap Kondo problem [10,12–15]. The pseudogap Kondo problem has been studied both analytically and numerically based on the low-energy calculations [9–15]. The previous studies have found that the ground state is always in the local magnetic moment phase and hence no Kondo screening occurs as long as the system is particle-hole symmetric. As will be shown below, our numerical calculations also find that the Kondo screening is absent for model I when the particle-hole symmetry is preserved at half-filling.

In the case of models II and III, $\rho_0(\omega)$ has a singularity at the Fermi level ($\omega = 0$), as shown in Figs. 10(e) and 10(f). The appearance of the zero-energy singularity is understood as follows. Recall first that $\rho_0(\omega)$ is the local density of states for \mathcal{H}_t projected onto the conduction sites next to the impurity site connected through V in the honeycomb lattice. Therefore, assuming that these conduction sites belong to B sublattice, the number N_A of the conduction sites on A sublattice is smaller by one than the number N_B of the conduction sites

on B sublattice, i.e., $N_A = N_B - 1$, where the total number N of the conduction sites is $N_A + N_B$. Consequently, a single zero-energy state is induced when there is no hopping between the same sublattices because the rank of $N \times N$ matrix for \mathcal{H}_t is $N - 1$. The zero-energy state is localized mostly around the impurity site and the amplitude of the wave function of this state is finite only on B sublattice. This zero-energy state causes logarithmically diverging behavior in $\rho_0(\omega)$ at $\omega = 0$ [26,27]. We thus expect that the impurity properties for models II and III would be similar but different qualitatively from the ones for model I.

B. Numerical details

As already indicated in Eq. (39), in this paper, we consider only the particle-hole symmetric case at half-filling. Therefore the local electron density is always one, including at the impurity site, irrespectively of U and V values.

To avoid unnecessary finite size effect [44], we always terminate the BL iteration at an even number L of iterations when the Q1D model is constructed. Therefore the resulting Q1D model has the even number L of sites along the leg direction. For the calculations of physical quantities depending only on the impurity site, the resulting Q1D model is a pure one-dimensional chain and we consider L up to 200 with keeping $m_D \sim 12L$ density-matrix eigenstates in the DMRG calculations. For the calculations of physical quantities involving the conduction site, i.e., the spin-spin correlation functions between the impurity site and the conduction sites, the resulting Q1D model is a two-leg ladder model and we consider L up to 240 with keeping $m_D \sim 16L$ density-matrix eigenstates. The discarded weights are typically of the order 10^{-8} and the error of the ground state energy is $\sim 10^{-4}t$. We should emphasize that the resulting Q1D model with hundreds of L sites along the leg direction corresponds to the original system \mathcal{H}_Γ with tens of thousands of conduction sites N in two spatial dimensions. For example, the Q1D model with $L = 240$ represents the original model \mathcal{H}_Γ with at least $N \sim 180\,000$.

To calculate the dynamical quantities, we employ the correction vector method [65,66]. Although the dynamical quantities can be evaluated with other methods, e.g., by expanding spectral functions into a continued fraction [67,68], using Chebyshev polynomials [69], or Fourier transforming the corresponding real-time dynamics [70], the correction vector method is most promising for our purpose because it is a direct calculation of the dynamical quantity by including the Hilbert space for the excited states and thus there is no additional error caused, e.g., by the numerical integration or by terminating the finite number of polynomials.

C. Results

1. Local magnetic susceptibility at the impurity site

Let us first examine the magnetic properties. For this purpose, here we calculate the local magnetic susceptibility $\chi_i(\omega)$ at the impurity site defined as

$$\chi_i(\omega) = -\frac{1}{\pi} \text{Im} \langle \psi_0 | S_{\mathbf{r}_{\text{imp}}}^z (\omega + i\eta + \mathcal{H}_\Gamma - E_0)^{-1} S_{\mathbf{r}_{\text{imp}}}^z | \psi_0 \rangle, \quad (42)$$

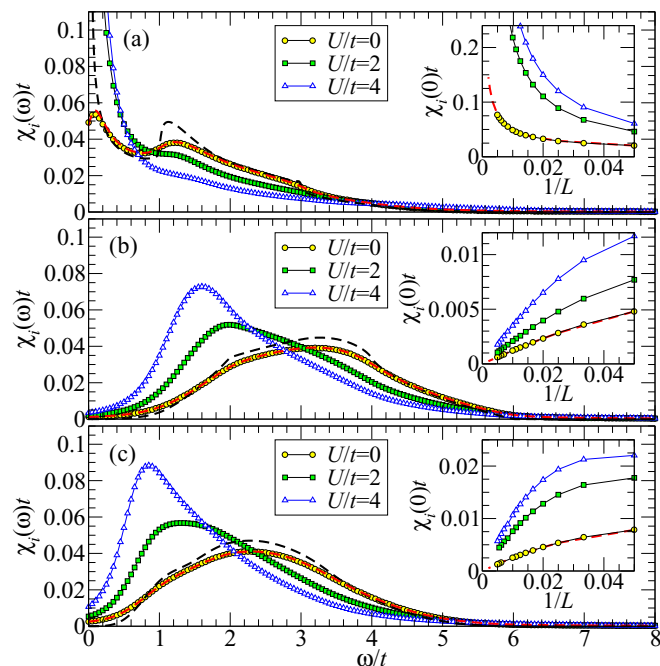


FIG. 12. (Color online) Local magnetic susceptibility $\chi_i(\omega)$ at the impurity site calculated for models (a) I, (b) II, and (c) III. The parameters used are $L = 100$, $V = t$, and $\eta = 20t/L$ for $U/t = 0$ (circles), 2 (squares), and 4 (triangles). For comparison, $\chi_i^0(\omega)$ for the noninteracting limit calculated using Eq. (43) is also shown in red (black) dashed lines with $L = 100$ (1000) and $\eta = 20t/L$. (Insets) L dependence of $\chi_i(0)$ with keeping $\eta = 20/L$. For comparison, $\chi_i^0(0)$ calculated using Eq. (43) is also plotted by red dashed lines.

where $S_{\mathbf{r}_{\text{imp}}}^z = (n_{\mathbf{r}_{\text{imp}},\uparrow} - n_{\mathbf{r}_{\text{imp}},\downarrow})/2$ is the z -component of spin operator at the impurity site \mathbf{r}_{imp} , $|\psi_0\rangle$ is the ground state of \mathcal{H}_Γ with its energy E_0 , and $\eta (> 0)$ is a broadening factor (a real number).

In the noninteracting limit with $U = 0$, $\chi_i(\omega)$ can be obtained directly using the k th eigenstate $\mathbf{u}^{(k)}$ with its eigenvalue ε_k of the one-body part of \mathcal{H}_Γ described either by the conduction site bases \mathbf{c}_σ as in \hat{H}_0 in Eq. (8) or by the Lanczos bases \mathbf{a}_σ as in \hat{H}_0^{BL} in Eq. (14), i.e.,

$$\chi_i^0(\omega) = \frac{\eta}{2\pi} \sum_{k \in (\varepsilon_k < \mu)} \sum_{k' \in (\varepsilon_{k'} > \mu)} \frac{|u_{\mathbf{r}_{\text{imp}}}^{(k)} u_{\mathbf{r}_{\text{imp}}}^{(k')}|^2}{(\omega - \varepsilon_{k'} + \varepsilon_k)^2 + \eta^2}, \quad (43)$$

where $u_{\mathbf{r}}^{(k)}$ is the site \mathbf{r} component of $\mathbf{u}^{(k)}$ and μ is the chemical potential. Since models I, II, and III are all particle-hole symmetric at half-filling, the chemical potential is $\mu = 0$. It is very intriguing to find that $\chi_i^0(\omega)$ can be calculated more accurately, in a sense that it is closer to the one in the thermodynamic limit, by using \hat{H}_0^{BL} than \hat{H}_0 , as long as the same matrix sizes of \hat{H}_0^{BL} and \hat{H}_0 are taken. This is simply because more important degrees of freedom around the impurity site are extracted in \hat{H}_0^{BL} already for relatively small L .

The results of $\chi_i^0(\omega)$ for the three different models in the noninteracting limit are shown in Fig. 12. It is clearly observed in Fig. 12 that $\chi_i^0(\omega)$ diverges in the limit of $\omega \rightarrow 0$ for model I, while it converges to zero for models II and III.

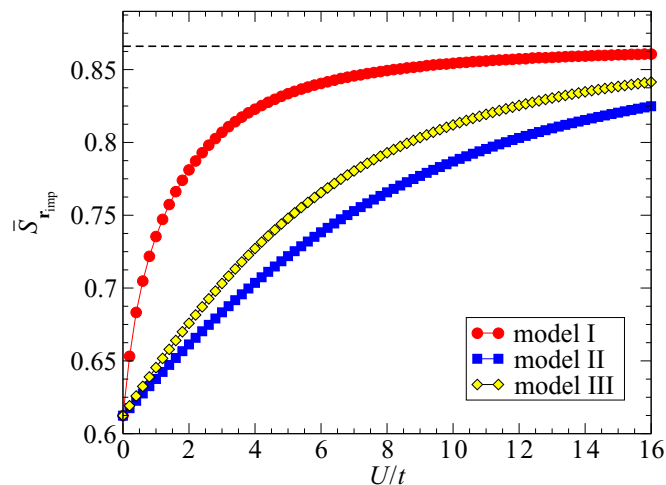


FIG. 13. (Color online) Local spin $\bar{S}_{\mathbf{r}_{\text{imp}}}$ at the impurity site for $V = t$, $L = 200$, and various values of U . For comparison, $\bar{S}_{\mathbf{r}_{\text{imp}}}$ in the strong coupling limit ($U \rightarrow \infty$) is indicated by dashed line.

The different behavior of $\chi_i^0(\omega)$ in the limit of $\omega \rightarrow 0$ can be easily understood by recalling that $\chi_i^0(\omega)$ is proportional to the convolution of the local density of states, i.e.,

$$\chi_i^0(\omega) \propto \int d\omega' \rho_i^0(\omega' - \omega) \rho_i^0(\omega') \Theta(\omega - \omega') \Theta(\omega'), \quad (44)$$

where $\Theta(\omega)$ is the Heaviside step function and $\rho_i^0(\omega)$ is the local density of state at the impurity site [see Eq. (50)]. The diverging behavior of $\chi_i^0(0)$ for model I is due to the presence of the zero-energy state, which causes the zero-energy peak at the Fermi level in the local density of state at the impurity site [see also in Fig. 14(a)]. In contrast, the local density of states at the impurity site for models II and III has the pseudogap structure at the Fermi level, i.e., $\rho_i^0(\omega) \propto |\omega|$, and hence $\chi_i^0(\omega) \propto \omega^3$. The diverging behavior of the local density of states at $\omega = 0$ in the noninteracting limit for model I is due to the fact that the numbers of sites (including the impurity site) on A and B sublattices, N_A and N_B , respectively, are different for model I, but the same for models II and III, the similar discussion being given in the last part of Sec. III A for $\rho_0(\omega)$.

The results of $\chi_i(\omega)$ calculated using the dynamical DMRG method for the three models are shown in Fig. 12. First, it is noticed in Fig. 12 that the dynamical DMRG calculations well reproduce $\chi_i^0(\omega)$ obtained using Eq. (43) with the same L and η for the noninteracting limit. In the case of finite interaction U , we find that $\chi_i(\omega)$ for model I diverges in the limit of $\omega \rightarrow 0$, which indicates the presence of free magnetic moment at the impurity site. Although the diverging behavior of $\chi_i(0)$ for finite U seems similar to the one found in $\chi_i^0(0)$ for the noninteracting limit, we find in Fig. 13 that the local spin $\bar{S}_{\mathbf{r}_{\text{imp}}}$ at the impurity site,

$$\bar{S}_{\mathbf{r}_{\text{imp}}} = \sqrt{\langle \psi_0 | \mathbf{S}_{\mathbf{r}_{\text{imp}}} \cdot \mathbf{S}_{\mathbf{r}_{\text{imp}}} | \psi_0 \rangle}, \quad (45)$$

is sizably large for finite U as compared to the one for the noninteracting limit. Here, the spin operator $\mathbf{S}_{\mathbf{r}}$ at site \mathbf{r} is

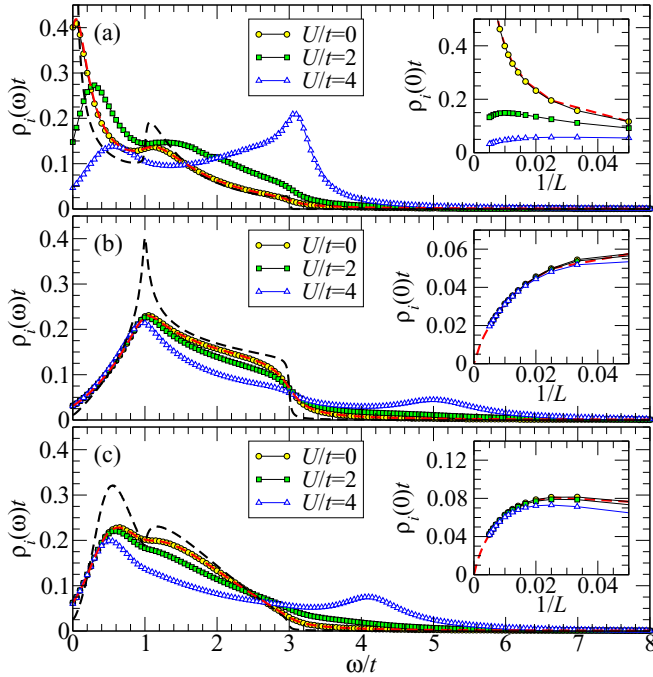


FIG. 14. (Color online) Local density of states $\rho_i(\omega)$ at the impurity site calculated using the dynamical DMRG method for models (a) I, (b) II, and (c) III. The parameters used are $L = 100$, $V = t$, and $\eta = 20t/L$ for $U/t = 0$ (circles), 2 (squares), and 4 (triangles). For comparison, $\rho_i^0(\omega)$ for the noninteracting limit calculated using Eq. (50) is also shown in red (black) dashed lines with $L = 100$ (1000) and $\eta = 20t/L$. (Insets) L dependence of $\rho_i(0)$ with keeping $\eta = 20t/L$. For comparison, $\rho_i^0(\omega)$ calculated using Eq. (50) is also plotted by red dashed lines.

defined as

$$(\mathbf{S}_r)_v = \frac{1}{2} \sum_{\sigma_1, \sigma_2} c_{r, \sigma_1}^\dagger \hat{\sigma}_{\sigma_1, \sigma_2}^v c_{r, \sigma_2} \quad (46)$$

and $\hat{\sigma}^v$ ($v = x, y, z$) is the v component of Pauli matrices. In addition, as will be discussed later in Fig. 14, the local density of states at the impurity site is zero at the Fermi level for finite U , qualitatively different from the case for noninteracting limit. Therefore we conclude that in the ground state of model I the local magnetic moment is not screened but rather isolated, and thus no Kondo screening occurs. This is in good accordance with the previous studies for the pseudogap Kondo problem [9–15].

On the other hand, as shown in Fig. 12, $\chi_i(\omega)$ for models II and III monotonically decreases with decreasing ω for small ω and it becomes zero in the limit of $\omega \rightarrow 0$, which indicates the absence of free magnetic moment at the impurity site. Since $\lim_{\omega \rightarrow 0} \chi_i^0(\omega) \rightarrow 0$ already in the noninteracting limit for models II and III, the absence of free magnetic moment for a small U region is related to the formation of bonding orbital composed of the impurity site and the surrounding conduction sites. However, as shown in Fig. 13, the local spin $\bar{S}_{r_{\text{imp}}}$ at impurity site indeed increases with increasing U smoothly to the strong coupling limit (i.e., $U \rightarrow \infty$), where a single electron is completely localized at the impurity site and only the spin degree of freedom is left. Therefore these results imply

that there is the crossover from a small U region to a large U region where the screening mechanisms are different: for a small U region, the absence of free magnetic moment is due to the formation of bonding orbital, whereas for a large U region the local magnetic moment is screened by the surrounding conduction electrons, i.e., the formation of a Kondo singlet state [32].

Other noticeable effects of U on $\chi_i(\omega)$ are summarized as follows. First, the line shape of $\chi_i(\omega)$ changes systematically with increasing U : the overall weight moves downward to a lower energy region with increasing U . This is associated with the decrease of the effective exchange interaction between the impurity site and the conduction site with increasing U in the strong coupling limit. Second, the total spectral weight increases with U . Notice that the total spectral weight is related to the local spin $\bar{S}_{r_{\text{imp}}}$ at the impurity site, i.e., $\int_0^\infty \chi_i(\omega) d\omega = \bar{S}_{r_{\text{imp}}}^2/3$. The larger U increases the tendency of single occupancy at the impurity site with less charge fluctuations, which in turn increases the local magnetic moment, as seen in Fig. 13.

2. Local density of states at the impurity site

The local density of states $\rho(\mathbf{r}, \omega)$ at site \mathbf{r} is defined as

$$\rho(\mathbf{r}, \omega) = \begin{cases} -\frac{1}{\pi} \text{Im} G_e(\mathbf{r}, \omega + i\eta) & \text{for } \omega > 0 \\ -\frac{1}{\pi} \text{Im} G_h(\mathbf{r}, \omega + i\eta) & \text{for } \omega < 0 \end{cases}, \quad (47)$$

where $G_e(\mathbf{r}, z)$ and $G_h(\mathbf{r}, z)$ are

$$G_e(\mathbf{r}, z) = \langle \psi_0 | c_{r, \sigma}(z - \mathcal{H}_r + E_0)^{-1} c_{r, \sigma}^\dagger | \psi_0 \rangle \quad (48)$$

and

$$G_h(\mathbf{r}, z) = \langle \psi_0 | c_{r, \sigma}^\dagger(z + \mathcal{H}_r - E_0)^{-1} c_{r, \sigma} | \psi_0 \rangle, \quad (49)$$

respectively. The local density of states $\rho_i(\omega)$ at the impurity site \mathbf{r}_{imp} is thus $\rho_i(\omega) = \rho(\mathbf{r}_{\text{imp}}, \omega)$.

Figure 14 shows the results of $\rho_i(\omega)$ for the three models calculated using the dynamical DMRG method. Since these models are particle-hole symmetric at half-filling and the spectra are symmetric at $\omega = 0$, we show $\rho_i(\omega)$ only for $\omega \geq 0$ in Fig. 14. For comparison, we also calculate the local density of states $\rho_i^0(\omega)$ at the impurity site for the noninteracting limit by numerically diagonalizing the one-body part of the Hamiltonian \mathcal{H}_r described by the Lanczos bases \mathbf{a}_σ as in \hat{H}_0^{BL} in Eq. (14), i.e.,

$$\rho_i^0(\omega) = \frac{\eta}{\pi} \sum_{k \in (\varepsilon_k > \mu)} \frac{|u_{r_{\text{imp}}}^{(k)}|^2}{(\omega - \varepsilon_k)^2 + \eta^2} \quad (50)$$

for $\omega \geq 0$. As shown in Fig. 14, the dynamical DMRG calculations well reproduce $\rho_i^0(\omega)$ obtained using Eq. (50) with the same L and η .

Let us first focus on $\rho_i(\omega)$ for model I. As shown in Fig. 14(a), the spectral weight is redistributed drastically with increasing U . The diverging behavior of $\rho_i(\omega)$ in the limit of $\omega \rightarrow 0$ for $U = 0$ is strongly suppressed and the low-energy spectral weight is transferred to a higher energy region with increasing U . As shown in the inset of Fig. 14(a), we find that $\rho_i(0)$ for finite U approaches to zero in the limit of $L \rightarrow \infty$. This implies that for model I a small U region is qualitatively different from the noninteracting

limit but rather smoothly connected to the strong coupling limit where the charge fluctuations are completely suppressed and only the spin degree of freedom is left at the impurity site.

It is also observed in Fig. 14(a) that the lowest peak in $\rho_i(\omega)$ at $\omega/t \sim 0.3$ (0.5) for $U/t = 2$ (4) becomes broader and the peak position shifts slightly to higher energy as U increases. This is indeed consistent with the previous study of the same model using the QMC method [71]. In addition, we find that with increasing U the spectral weight in a much higher energy region is enhanced and gradually forms a peak structure, e.g., at $\omega/t \sim 3$ for $U/t = 4$.

We shall next examine $\rho_i(\omega)$ for models II and III. As shown in Figs. 14(b) and 14(c), we find that (i) $\rho_i(\omega)$ for the low-energy region of $\omega/t \lesssim 0.5$ is almost insensitive to the values of U and (ii) $\rho_i(0)$ clearly becomes 0 in the limit of $L \rightarrow \infty$, thus exhibiting a pseudogap structure similar to the one for the noninteracting limit. The pseudogap structure in $\rho_i(\omega)$ is also found even for much larger U (not shown). The fact that $\rho_i(\omega)$ for the low-energy region is insensitive to U is in good qualitative agreement with the previous study by the perturbation theory for the conventional Anderson impurity model, in which $\rho_i(\omega)$ at $\omega \sim 0$ for finite U remains the same as the one for $U = 0$ [72,73].

We also find in Figs. 14(b) and 14(c) that the spectral weight in the high-energy region of $\omega/t > 3$ increases with U , which is transferred from the low-energy region below $\sim 3t$. This spectral weight redistribution with increasing U is also very similar to the one in the conventional Anderson impurity model [72,73], where the spectral weight in the low-energy region is suppressed and the high-energy peaks, corresponding to the lower and upper Hubbard peaks at $\omega \sim \pm U/2$, gradually emerge with increasing U , although the excitation energy of the high-energy peak found in Figs. 14(b) and 14(c) is significantly different from $U/2$. Therefore U dependence of the spectral weight for models II and III can be qualitatively explained by the conventional Anderson impurity picture, except for the absence of Kondo resonance peak, which is simply due to the pseudogap structure in $\rho_i^0(\omega)$ at $\omega \sim 0$ for the noninteracting limit.

3. Spin-spin correlation functions between the impurity site and the conduction sites

Finally, we shall calculate the spin-spin correlation functions $S_i(\mathbf{r})$ between the magnetic impurity site at \mathbf{r}_{imp} and the conduction site at \mathbf{r} ,

$$S_i(\mathbf{r}) = \langle \psi_0 | \mathbf{S}_{\mathbf{r}_{\text{imp}}} \cdot \mathbf{S}_{\mathbf{r}} | \psi_0 \rangle. \quad (51)$$

As described in Sec. II B, the ladder model is constructed separately for each conduction site \mathbf{r} to which the spin-spin correlation function $S_i(\mathbf{r})$ is evaluated.

We should first note that one can easily construct the symmetric and antisymmetric BL bases for model II because the symmetry of the lattice structure remains the same as the one for the honeycomb lattice. However, it is not straightforward to construct the symmetric and antisymmetric BL bases for models I and III. In the case of model I, we can in principle perform the BL iterations taking as the initial BL bases two conduction sites, i.e., the conduction

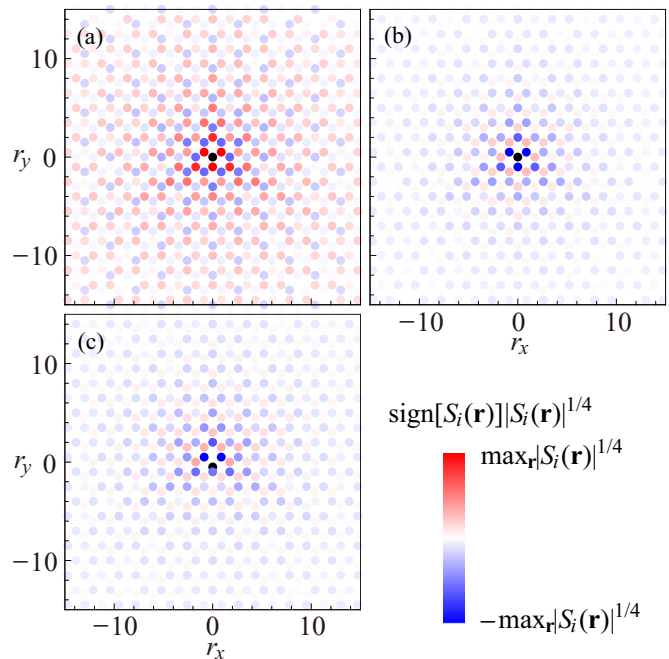


FIG. 15. (Color online) Intensity plot of the spin-spin correlation functions $S_i(\mathbf{r})$ between the impurity site and the conduction sites for models (a) I, (b) II, and (c) III. The impurity site is located at $\mathbf{r}_{\text{imp}} = (r_x, r_y) = (0, 0)$ for (a) and (b), and $\mathbf{r}_{\text{imp}} = (0, -0.5)$ for (c), indicated by black circles. The parameters used are $U/t = 4$ and $V/t = 1$. The system size L is chosen to satisfy $L = l_{\text{path}} + 100 + \text{mod}(l_{\text{path}}, 2)$ where l_{path} is the minimum path length to reach the conduction site from the impurity site in the honeycomb lattice. Notice that the color intensity used here is for $|S_i(\mathbf{r})|^{1/4} \text{sign}[S_i(\mathbf{r})]$, instead of $S_i(\mathbf{r})$ itself, for clarity.

site of interest and the conduction site connected to the impurity site via V [the conduction site denoted by cyan sphere in Fig. 10(a)]. After the BL iterations are completed, the impurity site can be added to the resulting ladder model. With this slightly modified implementation, we can readily construct the symmetry adapted BL bases and the resulting ladder model is essentially decoupled for the symmetric and antisymmetric BL bases, as explained in Sec. II B. However, this implementation naturally introduces an odd number of sites, which is problematic in the DMRG calculations. In the case of model III, it is generally difficult to construct the symmetry adapted BL bases. Therefore we use the reduction scheme for spin degrees of freedom described in Sec. II B (see also Fig. 6) for models I and III.

Figure 15 shows the spatial distribution of the spin-spin correlation functions $S_i(\mathbf{r})$ for the three models. Because of the bipartite nature of the honeycomb lattice and the particle-hole symmetry at half-filling, we can clearly see in Fig. 15 the alternating dependence of the sign of $S_i(\mathbf{r})$ for all models: the spin-spin correlation functions $S_i(\mathbf{r})$ at the conduction site belonging to the same (different) sublattice of the impurity site is positive (negative). We can also notice in Fig. 15 that model I exhibits relatively strong ferromagnetic correlations, while antiferromagnetic correlations are dominant for models II and III. The different behavior among the models is attributed to the fact that the ground state of model I is characterized with

the appearance of unscreened local magnetic moment but the ground states of models II and III are instead both spin singlet, as discussed above in Sec III C 1 and Sec. III C 2.

To discuss more details of the spin structures around the impurity site, the log-log scale plots of the spin-spin correlation functions $S_i(\mathbf{r})$ for the three models are shown in Fig. 16. We should notice first that the correlation functions can be very small for large $|\mathbf{r}|$, as small as $\sim 10^{-9}$ – 10^{-8} at the maximum distance studied in Fig. 16. However, we can still distinguish clearly the significant difference in the asymptotic behavior of $S_i(\mathbf{r})$ for these models. We find in Fig. 16 that the spin-spin correlation functions $S_i(\mathbf{r})$ between the impurity site and the conduction sites decay as

$$S_i(\mathbf{r}) \propto \begin{cases} 1/|\mathbf{r}|^3 & \text{for model I} \\ 1/|\mathbf{r}|^4 & \text{for models II and III} \end{cases} \quad (52)$$

in the asymptotic $|\mathbf{r}|$. These calculations thus demonstrate the capability of the BL-DMRG method to study spatially dependent quantities with extremely high accuracy.

To better understand these results, we also calculate the spin-spin correlation function $S_i^0(\mathbf{r})$ for the noninteracting limit, which is given as

$$S_i^0(\mathbf{r}) = \frac{3}{2} \sum_{k \in (\varepsilon_k < \mu)} \sum_{k' \in (\varepsilon_{k'} > \mu)} (u_{\mathbf{r}_{\text{imp}}}^{(k)})^* u_{\mathbf{r}_{\text{imp}}}^{(k')} (u_{\mathbf{r}}^{(k')})^* u_{\mathbf{r}}^{(k)}. \quad (53)$$

In the noninteracting limit, the spin-spin correlation functions between any two sites on the same sublattice are exactly zero, whereas they are negative between any two sites on the different sublattices. As shown in Fig. 16, we find that in the noninteracting limit the spin-spin correlation functions decay as

$$S_i^0(\mathbf{r}) \propto \begin{cases} 1/|\mathbf{r}|^2 & \text{for model I} \\ 1/|\mathbf{r}|^4 & \text{for models II and III} \end{cases} \quad (54)$$

in the asymptotic $|\mathbf{r}|$. Therefore the interaction U drastically changes the exponent of the spin-spin correlation functions for model I. The asymptotic behavior of $S_i(\mathbf{r})$ for model I with finite U is rather the same as the one for Ruderman-Kittel-Kasuya-Yosida (RKKY) interaction [74–76] between two magnetic impurities coupled through the Dirac electrons on the honeycomb lattice at half-filling, which has been indeed found to be as $\propto |\mathbf{r}|^{-3}$ [77–83]. In sharp contrast, the exponent remains the same for models II and III with and without U . The different effect of U on the asymptotic behavior of $S_i(\mathbf{r})$ for the three models is understood because the magnetic moment at the impurity site is not screened but rather isolated in the ground state of model I while the impurity moment is screened by the conduction electrons to form the spin-singlet ground state for models II and III, as discussed in Sec. III C 1 and Sec. III C 2.

It is also noticed in Fig. 16 that the absolute value of the spin-spin correlation functions are suppressed with increasing U for model I, but they are enhanced for models II and III with positive (negative) values between the same (opposite) sublattices. These different behaviors are also understood by considering the different nature of the ground states of these models. The former results are due to the increase of unscreened local magnetic moment at the impurity site with

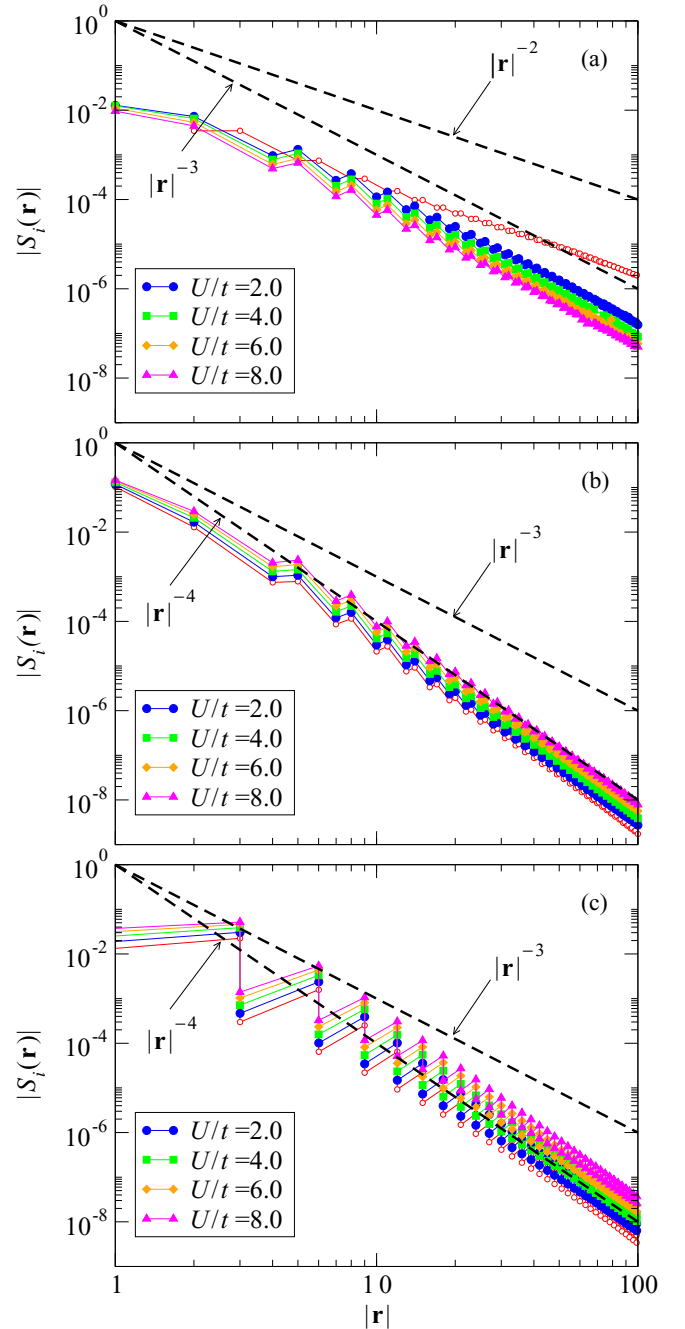


FIG. 16. (Color online) Log-log scale plots of the spin-spin correlation functions $S_i(\mathbf{r})$ between the impurity site and the conduction sites for models (a) I, (b) II, and (c) III. The impurity site is located at $\mathbf{r}_{\text{imp}} = (x, y) = (0, 0)$ and the conduction sites \mathbf{r} are chosen along $(0, 1)$ direction (see Fig. 15). The parameters used are $V/t = 1$ and different values of U/t indicated in the figures. The system size L is chosen to satisfy $L = l_{\text{path}} + 100 + \text{mod}(l_{\text{path}}, 2)$ where l_{path} is the minimum path length to reach the conduction site from the impurity site in the honeycomb lattice. The spin-spin correlation functions $S_i^0(\mathbf{r})$ for the noninteracting limit calculated using Eq. (53) are shown by red open circles. For comparison, $|\mathbf{r}|^{-\alpha}$ with different exponent α is also plotted by black dashed lines.

increasing U (see also Fig. 13). The latter results are because the ground states for models II and III are both spin singlet,

where the increased ferromagnetic correlations have to be compensated by enhancing the antiferromagnetic correlations.

IV. SUMMARY AND DISCUSSION

We have introduced the BL-DMRG method for single- as well as multiple-impurity Anderson models in any spatial dimensions. The BL recursive technique is employed to map, without losing any geometrical information of the lattice, a general Anderson impurity model onto a Q1D model, to which the DMRG method can be applied with high accuracy. One of the key ideas in the BL-DMRG method is to include, as the initial BL bases, the Anderson impurity sites where the two-body interactions are finite. With this choice of the initial BL bases, the two-body interactions remain local in the resulting Q1D model. We have also introduced two reduction schemes to save the computational cost for the DMRG calculations. One is to construct the symmetry adapted BL bases when the Hamiltonian possesses a certain point group symmetry such as rotation and reflection. The other is to use spin degrees of freedom when the one-body part of the Hamiltonian is separated for up and down electrons. We have also discussed briefly the extension of the BL-DMRG method and the symmetry adapted BL bases for a multiorbital single-impurity Anderson model. Furthermore, we have demonstrated how the BL-DMRG method is applied to calculate spatially dependent quantities such as spin-spin correlation functions and local density of states at the conduction sites.

We should emphasize that the resulting Q1D model in the BL bases with L sites along the leg direction represents the original Anderson impurity model in real space with approximately at least πL^2 and $4\pi L^3/3$ conduction sites in two and three spatial dimensions, respectively. Therefore, as long as the impurity properties are concerned, the BL-DMRG method can treat quite large systems for a wide class of Anderson impurity models, which are currently out of reach with the direct application of the QMC methods and the Lanczos exact diagonalization method. The spatially dependent quantities are rather difficult to calculate with the NRG method. Therefore the BL-DMRG method has a great advantage on this aspect as well over the NRG method.

As an application of the BL-DMRG method, we have studied the ground state properties of single-impurity Anderson models for graphene with an adatom and with a structural defect (vacancy). For this purpose, we have considered three different models: (i) a single impurity absorbed on the honeycomb lattice (model I), (ii) a substitutional impurity in the honeycomb lattice (model II), and (iii) an effective model for graphene with a single vacancy of carbon atom where the impurity site represents one of the sp^2 dangling orbitals at the carbon atoms surrounding the vacancy (model III). We have focused only on the particle-hole symmetric case at half-filling and thus the electron density is always one, including at the impurity site. Our numerical results for the local magnetic susceptibility, the local spin, and the local density of states at the impurity site clearly show that the magnetic moment at the impurity site is not screened but rather isolated, and thus no Kondo screening occurs in the ground state of model I, while the impurity moment is screened by the

conduction electrons to form the spin-singlet ground state in models II and III.

Moreover, we have applied the BL-DMRG method to calculate, with extremely high accuracy, the spin-spin correlation functions $S_i(\mathbf{r})$ between the impurity site and the conduction sites for the three models. We have found the qualitative difference in the spatial distribution of the spin structures of the conduction electrons around the impurity site. The spin-spin correlation functions $S_i(\mathbf{r})$ decay asymptotically as $\propto |\mathbf{r}|^{-3}$ for model I, the same asymptotic behavior as the one for the RKKY interaction between two magnetic impurities coupled to the Dirac conduction electrons, but qualitatively distinct from the one for the noninteracting limit ($\propto |\mathbf{r}|^{-2}$). On the other hand, the spin-spin correlation functions $S_i(\mathbf{r})$ decay asymptotically as $\propto |\mathbf{r}|^{-4}$ for models II and III, which are exactly the same as the ones for the noninteracting limit. This difference can be understood because the magnetic moment in the ground state of model I is isolated but the spin singlet is formed in the ground state of models II and III.

It is now interesting to discuss these results based on Lieb's theorem [84]. According to Lieb's theorem for bipartite lattice systems with no hopping between the same sublattices (except for the on-site potential), the total spin S_{tot} of the ground state at half-filling is $S_{\text{tot}} = |N_A - N_B|/2$, where N_A (N_B) is the number of sites belonging to A sublattice (B sublattice) [84]. Regardless of the rigorous condition for Lieb's theorem [85], the theorem can be applied to the three models studied here because all models are bipartite and at half-filling. Since model I has different number of sites (including the impurity site) on A and B sublattices, $|N_A - N_B| = 1$, the theorem predicts the total spin of the ground state is $1/2$, which can be regarded as the isolated impurity spin. On the other hand, in models II and III, $N_A = N_B$ and thus the theorem predicts that the ground state of these models is spin singlet, which is also in accordance with our numerical results.

We shall now discuss our results in comparison with the recent experiments on graphene. The experiments on graphene with hydrogen or fluorine adatoms as well as with structural defects (vacancies) have revealed that these systems carry magnetic moments with spin $1/2$ per adatom or vacancy and that these magnetic moments behave paramagnetically even at lowest temperatures [6,7]. Therefore these experiments strongly indicate that no Kondo screening occurs. On the other hand, different experiments on graphene with vacancies have observed the Kondo-like signature in the temperature dependence of the resistivity [8]. Although we have focused only on single impurity models with the particle-hole symmetry at half-filling, our results should be relevant to these experiments as long as the number of adatoms or vacancies are dilute. We have found that the magnetic moment is unscreened but rather isolated in the ground state of model I, which therefore can explain, at least qualitatively, the spin $1/2$ free moment per adatom observed experimentally on graphene with hydrogen or fluorine adatoms [6,7]. On the other hand, we have found that the ground state of model III, a model for graphene with a single structural defect, is spin singlet and no free magnetic moment is found. Therefore our results for model III are not in accordance with the experimental observation reported in Ref. [6] but seem to be consistent qualitatively with experiments in Ref. [8].

There are two comments regarding our results for model III and the experiments on graphene with vacancies reported in Ref. [8]. First, it is reasonable that the impurity moment is screened to form the spin-singlet ground state in model III. The reason is as follows. The number of electrons and the number of sites are both even in model III and therefore the ground state is closed shell in the noninteracting limit. Assuming the adiabatic evolution of the ground state with interaction U , the ground state must be total spin $S_{\text{tot}} = 0$ unless the correlation induces a level cross between the ground state and a low-lying excited state. In the experiments, the number of electrons removed by introducing vacancies must be even (i.e., six electrons removed per vacancy), and thus the system easily forms a close shell state with the total spin $S_{\text{tot}} = 0$ or possibly nonzero integer spin, but not with $S_{\text{tot}} = 1/2$. Second, although our results for model III seem to be consistent with the experimental observation in Ref [8], there is the following fundamental discrepancy. By controlling the number of electrons through gate voltage, it is found experimentally that the highest Kondo temperature appears away from half-filling [8]. This observation seems contradict to our calculations because the diverging hybridization function $\Delta(\omega)$ at $\omega = 0$ should induce the most tightly screened state and thus the highest Kondo temperature at half-filling, but not away from half-filling, for model III. The discrepancy between our results and the experiments as well as the disagreement between the two experiments suggest that the understanding of physics of graphene with vacancies and the corresponding magnetic properties would be beyond the simple model studied here and deserve further investigation both theoretically and experimentally.

Finally, we shall briefly comment on further possible extensions of the BL-DMRG method. The method is quite general and can be applied to general Anderson impurity models in any spatial dimensions. One major advantage of this method is its flexibility for the form of the conduction Hamiltonian. In this paper, we have studied Anderson impurity models in the real-space representation. However, the BL-DMRG method can be applied, without any difficulties, to Anderson impurity models in the energy-space representation (see Appendix). The BL-DMRG method in the energy-space representation allows us, in principle, to do the calculations in the thermodynamic limit once the hybridization function $\Delta(\omega)$ is evaluated accurately (see Appendix). The implementation of these extensions is straightforward and we believe that the BL-DMRG method in the energy-space representation should be valuable, e.g., for application as an impurity solver of DMFT for realistic electronic structure calculations of correlated materials [64]. Research along this line is now in progress [86].

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APPENDIX: THE HYBRIDIZATION FUNCTION OF A GENERAL ANDERSON IMPURITY MODEL

As mentioned in Sec. III A, the difference among different Anderson impurity models appears only through the hybridization function as long as the Anderson impurity terms are the same. Therefore the hybridization function determines the physics of Anderson impurity models. In this Appendix, we shall derive the hybridization function for a general Anderson impurity model described by the Hamiltonian \mathcal{H}_{AIM} in Eq. (1), and show that indeed the model difference appears through the hybridization function. The hybridization function is also required to apply the BL-DMRG method to Anderson impurity models in the energy-space representation.

To this end, we shall use the path integral formulation for a general Anderson impurity model \mathcal{H}_{AIM} . The partition function Z for \mathcal{H}_{AIM} is given as

$$Z = \int Dd^* Dd Dc^* Dc \exp[-S(d^*, d, c^*, c)], \quad (\text{A1})$$

where

$$S(d^*, d, c^*, c) = S_0(d^*, d, c^*, c) + S_U(d^*, d), \quad (\text{A2})$$

and

$$S_0(d^*, d, c^*, c) = -\text{Tr}_{i\omega_n} \sum_{\sigma} (\mathbf{d}_{\sigma}^{\dagger}(i\omega_n), \mathbf{c}_{\sigma}^{\dagger}(i\omega_n)) \times \begin{pmatrix} i\omega_n - \hat{H}_d & -\hat{V} \\ -\hat{V}^{\dagger} & i\omega_n - \hat{H}_c \end{pmatrix} \begin{pmatrix} \mathbf{d}_{\sigma}(i\omega_n) \\ \mathbf{c}_{\sigma}(i\omega_n) \end{pmatrix}. \quad (\text{A3})$$

Here, $S_0(d^*, d, c^*, c)$ [$S_U(d^*, d)$] is the one-body part (the two-body part) of the total action $S(d^*, d, c^*, c)$, and

$$\mathbf{d}_{\sigma}^{\dagger}(i\omega_n) = (d_{1,\sigma}^*(i\omega_n), d_{2,\sigma}^*(i\omega_n), \dots, d_{M,\sigma}^*(i\omega_n)) \quad (\text{A4})$$

and

$$\mathbf{c}_{\sigma}^{\dagger}(i\omega_n) = (c_{1,\sigma}^*(i\omega_n), c_{2,\sigma}^*(i\omega_n), \dots, c_{N,\sigma}^*(i\omega_n)) \quad (\text{A5})$$

are Grassmann variables, corresponding to $d_{m,\sigma}^{\dagger}$ and $c_{n,\sigma}^{\dagger}$, respectively, at Matsubara frequency $i\omega_n$. $\text{Tr}_{i\omega_n}$ indicates the sum over the Matsubara frequencies. The matrices \hat{H}_d , \hat{H}_c , and \hat{V} are defined in Eq. (8).

Carrying out the Gaussian integrals over variable c^* and c in Eq. (A1), we obtain an effective action $S_{\text{eff}}(d^*, d)$ for variables d^* and d , i.e.,

$$S_{\text{eff}}(d^*, d) = S_0(d^*, d) + S_U(d^*, d), \quad (\text{A6})$$

where

$$S_0(d^*, d) = -\text{Tr}_{i\omega_n} \sum_{\sigma} \mathbf{d}_{\sigma}^{\dagger}(i\omega_n) \times (i\omega_n - \hat{H}_d - \hat{\Gamma}(i\omega_n)) \mathbf{d}_{\sigma}(i\omega_n) \quad (\text{A7})$$

and $\hat{\Gamma}(z)$ is the hybridization function for a complex frequency z defined as

$$\hat{\Gamma}(z) = \hat{V}(z - \hat{H}_c)^{-1} \hat{V}^{\dagger}. \quad (\text{A8})$$

To derive the above formula, we have used the following identity on Grassmann variables:

$$\int \prod_{i=1}^N dx_i^* dx_i \exp[-\mathbf{x}^\dagger \hat{A} \mathbf{x} + \mathbf{x}^\dagger \hat{B}^\dagger \mathbf{y} + \mathbf{y}^\dagger \hat{B} \mathbf{x}] = \det(\hat{A}) \exp[\mathbf{y}^\dagger \hat{B} \hat{A}^{-1} \hat{B}^\dagger \mathbf{y}], \quad (\text{A9})$$

where \hat{A} is a regular $N \times N$ matrix, \hat{B} is a $M \times N$ matrix, and

$$\mathbf{x}^\dagger = (x_1^*, x_2^*, \dots, x_N^*), \quad (\text{A10})$$

$$\mathbf{y}^\dagger = (y_1^*, y_2^*, \dots, y_M^*), \quad (\text{A11})$$

are the vector representations for Grassmann variables x_i^* and y_i^* , respectively.

It is now obvious from Eqs. (A6) and (A7) that the effective action $S_{\text{eff}}(d^*, d)$ for the impurity sites depends on the conduction sites only through the hybridization function $\hat{\Gamma}(z)$. Therefore all properties at the impurity sites are determined solely by $\hat{\Gamma}(z)$ when the Anderson impurity term \mathcal{H}_d is the same. In other words, as long as the impurity properties are concerned, any models with the same \mathcal{H}_d and \mathcal{H}_U are equivalent if \mathcal{H}_c and \mathcal{H}_V generates the same $\hat{\Gamma}(z)$. Therefore we can even consider Eqs. (A6) and (A7) as an effective Anderson impurity model in the complex-frequency representation which describes exactly the same physics of the original model \mathcal{H}_{AIM} in the real-space representation.

Next, to derive the relation between the hybridization function $\hat{\Gamma}(z)$ for a complex frequency z and the noninteracting Green's function, and also the recurrence relation for the noninteracting Green's function, we will use the following basic matrix algebra. Assuming that matrix \hat{X} is a regular square matrix,

$$\hat{X} = \begin{pmatrix} \hat{X}_{11} & \hat{X}_{12} \\ \hat{X}_{21} & \hat{X}_{22} \end{pmatrix}, \quad (\text{A12})$$

with \hat{X}_{11} being a $r \times r$ matrix, the first $r \times r$ elements \hat{Y}_{11} of the inverse matrix of \hat{X} is the inverse of the Schur complement of \hat{X}_{22} , i.e.,

$$\hat{Y}_{11} = (\hat{X}_{11} - \hat{X}_{12} \hat{X}_{22}^{-1} \hat{X}_{21})^{-1}, \quad (\text{A13})$$

where

$$\begin{pmatrix} \hat{X}_{11} & \hat{X}_{12} \\ \hat{X}_{21} & \hat{X}_{22} \end{pmatrix} \begin{pmatrix} \hat{Y}_{11} & \hat{Y}_{12} \\ \hat{Y}_{21} & \hat{Y}_{22} \end{pmatrix} = \hat{1}, \quad (\text{A14})$$

and we assume that \hat{X}_{22} is a regular matrix.

We shall now derive the formula for the hybridization function $\hat{\Gamma}_{\text{BL}}(z)$ in the BL bases $\mathbf{a}_\sigma^\dagger$ and \mathbf{a}_σ [Eq. (15)], which block-tridiagonalize \hat{H}_0 in the form of \hat{H}_0^{BL} , as shown in Eq. (14). First, notice that since the noninteracting Green's function for a complex frequency z is defined as

$$\hat{G}(z) = \begin{pmatrix} \hat{G}_{dd}(z) & \hat{G}_{dc}(z) \\ \hat{G}_{cd}(z) & \hat{G}_{cc}(z) \end{pmatrix} = \begin{pmatrix} z - \hat{H}_d & -\hat{V} \\ -\hat{V}^\dagger & z - \hat{H}_c \end{pmatrix}^{-1} \quad (\text{A15})$$

in the original conduction site bases $\mathbf{c}_\sigma^\dagger$ and \mathbf{c}_σ [Eq. (6)], the impurity-site components of the Green's function, $\hat{G}_{dd}(z)$, is related to the hybridization function $\hat{\Gamma}(z)$

through

$$\hat{\Gamma}(z) = z - \hat{H}_d - \hat{G}_{dd}^{-1}(z). \quad (\text{A16})$$

Next, we introduce the following matrix $\hat{G}_{\text{BL}}^{(l)}(z)$ in the BL bases $\mathbf{a}_\sigma^\dagger$ and \mathbf{a}_σ , defined as a part of the block matrices in \hat{H}_0^{BL} :

$$\hat{G}_{\text{BL}}^{(l)}(z) = \begin{pmatrix} \hat{G}_{11}^{(l)}(z) & \hat{G}_{12}^{(l)}(z) & \cdots \\ \hat{G}_{21}^{(l)}(z) & \hat{G}_{22}^{(l)}(z) & \cdots \\ \vdots & \vdots & \ddots \end{pmatrix} = \begin{pmatrix} z - \hat{E}_{L-l} & -\hat{T}_{L-l} & \cdots & 0 \\ -\hat{T}_{L-l}^\dagger & z - \hat{E}_{L-l+1} & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & z - \hat{E}_L \end{pmatrix}^{-1}, \quad (\text{A17})$$

where $l = 0, 1, 2, \dots, L-1$ and $\hat{G}_{\text{BL}}^{(0)}(z) = \hat{G}_{11}^{(0)}(z) = (z - \hat{E}_L)^{-1}$. The noninteracting Green's function is then expressed simply as $\hat{G}_{\text{BL}}^{(L-1)}(z)$ in the BL bases obtained after the L th BL iteration. Therefore the hybridization function $\hat{\Gamma}_{\text{BL}}(z)$ in the BL bases is given as

$$\hat{\Gamma}_{\text{BL}}(z) = z - \hat{E}_1 - [\hat{G}_{11}^{(L-1)}(z)]^{-1}. \quad (\text{A18})$$

It is important to notice here that because of the block-tridiagonal form of the matrix $\hat{G}_{\text{BL}}^{(l)}(z)$ in Eq. (A17), the following recurrence relation is satisfied:

$$\hat{G}_{11}^{(l)}(z) = (z - \hat{E}_{L-l} - \hat{T}_{L-l} \hat{G}_{11}^{(l-1)}(z) \hat{T}_{L-l}^\dagger)^{-1}. \quad (\text{A19})$$

This can be readily shown by using Eq. (A13). Finally, using Eqs. (A18) and (A19), we obtain the following form for the hybridization function $\hat{\Gamma}_{\text{BL}}(z)$ for a complex frequency z in the BL bases:

$$\hat{\Gamma}_{\text{BL}}(z) = \hat{T}_1 \hat{G}_{11}^{(L-2)}(z) \hat{T}_1^\dagger = \hat{T}_1 [z - \hat{E}_2 - \hat{T}_2 (z - \hat{E}_3 - \cdots)^{-1} \hat{T}_2^\dagger]^{-1} \hat{T}_1^\dagger. \quad (\text{A20})$$

Clearly, this is a matrix extension of the continued fraction formula [87] and a similar formula has been used in the recursive Green's function technique [88–90]. The recursive form for $\hat{\Gamma}_{\text{BL}}(z)$ in Eq. (A20) allows us to evaluate the hybridization function very accurately as compared to the simple full diagonalization method since the recursive method can treat much larger matrix sizes.

Now, recall that

$$\hat{G}_{\text{BL}}^{(L-1)}(z) = \begin{pmatrix} z - \hat{E}_1 & -\hat{T}_1 & 0 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & \hat{G}_{\text{BL}}^{(L-2)}(z)^{-1} & \cdots & \cdots & \cdots \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & \vdots & \vdots & \ddots & \vdots \end{pmatrix}^{-1}, \quad (\text{A21})$$

and therefore the matrix representation of the local density of states $\hat{\rho}_0(\omega)$ for \mathcal{H}_0 at the second BL bases (i.e., at the sites next to the impurity sites in the Q1D model $\mathcal{H}_{\text{AIM}}^{\text{Q1D}}$ with $\hat{T}_1 = 0$ [see also Fig. 1(b)] is

$$\begin{aligned}\hat{\rho}_0(\omega) &= -\frac{1}{\pi} \lim_{\delta \rightarrow 0^+} \hat{G}_{22}^{(L-1)}(z) \Big|_{z=\omega+i\delta, \hat{T}_1=0} \\ &= -\frac{1}{\pi} \lim_{\delta \rightarrow 0^+} \hat{G}_{11}^{(L-2)}(z) \Big|_{z=\omega+i\delta} \\ &= -\frac{1}{\pi} \lim_{\delta \rightarrow 0^+} (z - \hat{E}_2 - \hat{T}_2(z - \dots)^{-1} \hat{T}_2^\dagger)^{-1} \Big|_{z=\omega+i\delta}.\end{aligned}\quad (\text{A22})$$

Here, 0^+ is positive infinitesimal and we have used Eq. (A19) in the third equality. Hence we finally obtain the hybridization

function $\hat{\Delta}(\omega)$ for a real frequency ω as

$$\begin{aligned}\hat{\Delta}(\omega) &= -\text{Im} \hat{\Gamma}_{\text{BL}}(\omega + i0^+) \\ &= \pi \hat{T}_1 \hat{\rho}_0(\omega) \hat{T}_1^\dagger,\end{aligned}\quad (\text{A23})$$

where we have used Eq. (A20). Since $\hat{\Gamma}(z)$ for a complex frequency z is related to $\hat{\Delta}(\omega)$ for a real frequency ω ,

$$\hat{\Gamma}(z) = \frac{1}{\pi} \int_{-\infty}^{\infty} d\omega \frac{1}{z - \omega} \hat{\Delta}(\omega), \quad (\text{A24})$$

all properties at the impurity sites are determined by the hybridization function $\hat{\Delta}(\omega)$ for a real frequency ω .

Now, consider the single-impurity Anderson models studied in Sec. III A. In this case, $M = 1$ in \mathcal{H}_{AIM} and thus \hat{T}_1 and $\hat{\rho}_0(\omega)$ are simply scalar. Therefore we can readily find that $\hat{T}_1 = V$ for model I, $\hat{T}_1 = \sqrt{3}V$ for model II, and $\hat{T}_1 = \sqrt{2}V$ for model III.

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