



Experimental realization of a quantum breathing pyrochlore antiferromagnet

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(Received 7 April 2014; revised manuscript received 25 July 2014; published 29 August 2014)

The synthesis and characterization of a Yb-based material $\text{Ba}_3\text{Yb}_2\text{Zn}_5\text{O}_{11}$ are reported. This material is identified as a model system of a pseudospin-1/2 quantum antiferromagnet on “breathing” pyrochlore lattice characterized by an alternating array of small and large Yb tetrahedra. Despite dominant antiferromagnetic interactions $J \sim 7$ K, a large amount of magnetic entropy (25%) remains at 0.38 K, indicating that each small Yb tetrahedron forms a unique doubly degenerate singlet state. These results are well described by the Heisenberg pseudospin-1/2 single tetrahedron model.

DOI: [10.1103/PhysRevB.90.060414](https://doi.org/10.1103/PhysRevB.90.060414)

PACS number(s): 75.40.Cx, 75.10.Kt, 75.50.—y

The search for novel and exotic phenomena associated with spin degrees of freedom has been central to condensed matter physics [1,2]. One of the most attractive systems in three dimensions is a pyrochlore lattice magnet, which consists of corner-sharing regular tetrahedra of magnetic ions [3]. The inherent geometrical frustration suppressing a conventional magnetic order often leads to various unusual properties [4]. Experimental examples include the spin-driven lattice distortion in chromium spinels $M\text{Cr}_2\text{O}_4$ [5,6] and the spin ice (-like) state in rare-earth pyrochlore oxides $\text{RE}_2\text{B}_2\text{O}_7$ [7–11].

Spin-1/2 quantum pyrochlore Heisenberg antiferromagnets are known to be promising candidates for three-dimensional quantum spin liquids [12–19]. Despite numerous experimental and theoretical efforts, their ground state properties have not yet been established because of the lack of a model material and the unavailability of exact solutions. A popular theoretical approach to this problem is to first decouple the full (i.e., uniform) pyrochlore lattice into a set of independent tetrahedra and then reconnect them perturbatively. Based on this approach, several types of singlet ground states were proposed [13–16]. For example, Tsunetsugu found a nonchiral dimerized ground state with a four-sublattice structure [15]. However, a question arises whether this cluster approach correctly describes the true ground state. Indeed, subsequent studies based on the fermionic mean field theory have suggested a chiral spin liquid state [18,19]. Therefore, a material composed of a regular spin-tetrahedral unit with an intertetrahedron coupling is of great interest because understanding these intertetrahedron couplings is expected to provide important insights on this issue. Moreover, such a material may show exotic magnetism based on the unique properties of the single tetrahedron associated with the spin chirality.

Recently, appropriate materials have been found in the spinel family, $\text{LiA}'\text{Cr}_4\text{O}_8$ ($A' = \text{In, Ga}$), which consist of an alternating array of small and large Cr^{3+} tetrahedra (spin-3/2); hence they are named “breathing” pyrochlore lattice [20]. The tunable ratio of exchange interactions in large (J') and small (J) tetrahedra, J'/J , makes these systems suitable for studying intertetrahedral coupling effects [20]. However, no breathing pyrochlore antiferromagnet with quantum spin-1/2 has been reported to date.

In this Rapid Communication, we show the new material $\text{Ba}_3\text{Yb}_2\text{Zn}_5\text{O}_{11}$ to be a model system of a quantum breathing pyrochlore lattice antiferromagnet. It belongs to a family of $\text{Ba}_3\text{A}_2\text{Zn}_5\text{O}_{11}$ -type compounds ($A =$ trivalent ion). The crystal structure of this family was solved by the single crystal x-ray diffraction (XRD) technique [21,22]. They crystallize in the unique structure with the cubic space group $F\bar{4}3m$, in which the $A_4\text{O}_{16}$ cluster and $\text{Zn}_{10}\text{O}_{20}$ supertetrahedron align alternatively and Ba ions fill the interstices, as depicted in Fig. 1(a). Interestingly, A sites form a breathing pyrochlore lattice [Fig. 1(b)], although all reported compounds of this family have *nonmagnetic* A ions ($A = \text{In, Lu}$) [21,22]. Here we successfully synthesized $\text{Ba}_3\text{Yb}_2\text{Zn}_5\text{O}_{11}$, where the breathing pyrochlore lattice is formed by Yb^{3+} ions with *magnetic* Kramers doublets carrying pseudospin-1/2. Our magnetic and thermodynamic measurements revealed the formation of a unique spin-singlet state with a double degeneracy that can be labeled by scalar spin chirality.

Polycrystalline samples of $\text{Ba}_3\text{Yb}_2\text{Zn}_5\text{O}_{11}$ were prepared by the standard solid state reaction method. A stoichiometric mixture of BaCO_3 , Yb_2O_3 , and ZnO was heated at 1150 °C for 100 h with several intermediate grindings. The powder XRD pattern of the sample in the presence of a silicon standard (NIST 640d) was recorded by a RINT-2100 diffractometer (Rigaku) with Cu $K\alpha$ radiation at room temperature (T) and 20 K. Magnetization measurements down to 1.8 K and up to 7 T were performed using a commercial superconducting quantum interference device magnetometer (Quantum Design, MPMS). The specific heat was measured down to 0.38 K by means of a thermal relaxation method using a commercial calorimeter (Quantum Design, PPMS).

Figure 1(c) shows the XRD pattern taken at room temperature. The data was analyzed by the Rietveld method using the PDXL software (Rigaku). The crystal structure of $\text{Ba}_3\text{Lu}_2\text{Zn}_5\text{O}_{11}$ [22] was used as a starting model and, subsequently, the atomic positions and isotropic atomic displacement parameters of Ba, Yb, and Zn sites were refined. Three 2θ ranges exhibiting very weak peaks for impurities were excluded from the refinement [23]. The good agreement between observed and calculated patterns ($R_{\text{wp}} = 5.40$, $R_p = 4.21$, $S = 1.52$) ensures the $\text{Ba}_3\text{A}_2\text{Zn}_5\text{O}_{11}$ -type structure realized in the present compound, in which Yb^{3+}

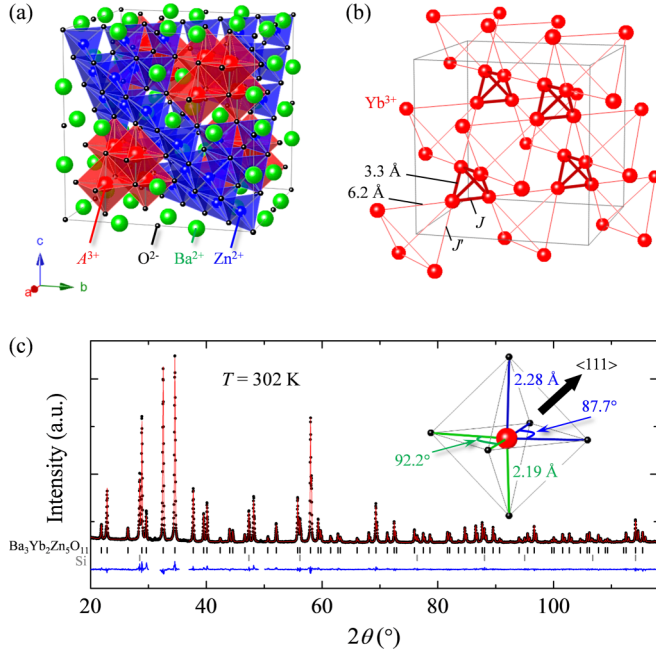


FIG. 1. (Color online) (a) Crystal structure of $\text{Ba}_3\text{A}_2\text{Zn}_5\text{O}_{11}$ ($A = \text{Lu}$ and Yb). A_4O_{16} cluster and $\text{Zn}_{10}\text{O}_{20}$ super-tetrahedron are depicted. (b) Breathing pyrochlore lattice formed by A^{3+} ions. Inter- and intratetrahedron distances are given for $A = \text{Yb}^{3+}$. (c) X-ray diffraction pattern (black circles) of $\text{Ba}_3\text{Yb}_2\text{Zn}_5\text{O}_{11}$ in the presence of a silicon standard at room temperature. The red line corresponds to the best fit from the Rietveld refinement based on the structural model of the Lu analog [22]. Upper and lower vertical marks denote the Bragg peak positions for $\text{Ba}_3\text{Yb}_2\text{Zn}_5\text{O}_{11}$ and the silicon standard, respectively. The bottom line represents the difference between experimental and calculated intensities. Inset: Local environment of Yb^{3+} and associated geometrical parameters. The crystalline electric field formed by six surrounding O^{2-} ions exhibits a cubiclike symmetry with a small trigonal distortion along the $\langle 111 \rangle$ direction.

ions form a breathing pyrochlore lattice. The lattice constant $13.4871(1) \text{ \AA}$ is larger than the value for the Lu analog 13.452 \AA [22], in agreement with the size of ionic radius $\text{Yb}^{3+} > \text{Lu}^{3+}$. Intra- and inter-Yb-tetrahedron distances are determined to be $\sim 3.288 \text{ \AA}$ and $\sim 6.248 \text{ \AA}$ [Fig. 1(b)]. The XRD pattern at 20 K did not show any peak splitting, confirming the absence of structural phase transition at least down to this temperature.

Analyses of the crystalline electric field (CEF) scheme of Yb^{3+} ions ($4f^{13}$) and magnetic susceptibility data provide strong evidence for the realization of a quantum breathing pyrochlore antiferromagnet. The true site symmetry of the Yb^{3+} ions ($3m$) requires six independent CEF parameters in the effective CEF Hamiltonian. However, a close look at the local environment [inset, Fig. 1(c)] shows small differences between Yb-O bond lengths [2.28 Å (blue) vs 2.19 Å (green)] and O-Yb-O angles [87.7° (blue) vs 92.2° (green)], indicating that the trigonal distortion along the $\langle 111 \rangle$ direction from the cubic octahedral O^{2-} coordination is relatively small. The CEF can thus be approximated by the cubic octahedral symmetry, and the resultant effective CEF Hamiltonian is written as [24]

$$H_{\text{CEF}} = (-2/3)B_4[O_4^0 - 20\sqrt{2}O_4^3] + (16/9)B_6[O_6^0 + 35\sqrt{2}/4O_6^3 + (77/8)O_6^6], \quad (1)$$

where the trigonal axis is taken as the quantized axis, and B_n are the CEF parameters and O_n^m are the Stevens operator equivalents [25]. The point charge model gives $B_4 < 0$ and $B_6 > 0$ for Yb^{3+} in the octahedral coordination [26]. To verify this approximation, the calculated magnetic susceptibility χ was compared with experimental results using the form of $\chi = \chi_{\text{dia}} + \chi_{\text{CEF}}/(1 + \lambda\chi_{\text{CEF}})$, where χ_{dia} is the core diamagnetic susceptibility fixed to be $-4.13 \times 10^{-4} \text{ emu/mol-Yb}$ [27], λ is a parameter describing uniform exchange interactions, and χ_{CEF} is the single ion CEF susceptibility given by the Van Vleck formula [27]:

$$\chi_{\text{CEF}} = \frac{N_A g_J^2 \mu_B^2}{k_B} \frac{\left(\frac{\sum_n |\langle n | \mathbf{J} | n \rangle|^2 e^{-E_n/T}}{T} + \sum_n \sum_{m \neq n} |\langle m | \mathbf{J} | n \rangle|^2 \frac{e^{-E_n/T} - e^{-E_m/T}}{E_m - E_n} \right)}{T}. \quad (2)$$

In Eq. (2), N_A is the Avogadro number, k_B is the Boltzmann constant, μ_B is the Bohr magneton, g_J is the Lande g factor ($g_J = 8/7$), \mathbf{J} is the angular momentum operator, and E_n is the energy of the n th level in units of T . The red line in Fig. 2(a) represents the best fit for $T > 20 \text{ K}$ with $B_4 = -0.6 \text{ K}$, $B_6 = 0.002 \text{ K}$, and $\lambda = -5.0 \text{ emu/mol}$ (antiferromagnetic) and shows good agreement between experiment and calculations, validating the cubic approximation. The obtained CEF scheme is illustrated in Fig. 2(a). The ground state corresponds to a magnetic Kramers doublet with an effective g factor (g_{eff})

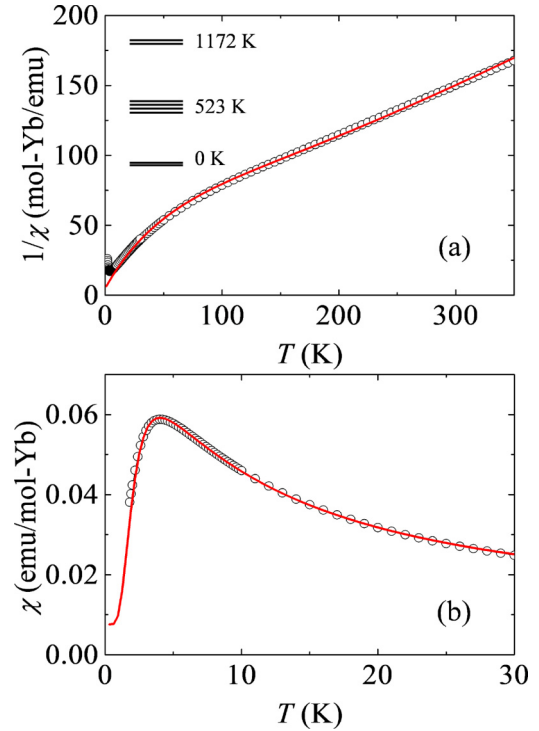


FIG. 2. (Color online) (a) Temperature dependence of the inverse magnetic susceptibility $1/\chi(T)$ measured at a field of 0.1 T for $T < 30 \text{ K}$ and 1 T for $T > 30 \text{ K}$. The red line is the calculated curve based on the cubic CEF. The corresponding CEF scheme is illustrated. (b) $\chi(T)$ below $T = 30 \text{ K}$. The red line shows the fit of the single tetrahedral Heisenberg model (see text).

of 2.66 for pseudospin-1/2, which is fully isotropic because of the cubic approximation. The very large gap (>500 K) between ground state and excited states (a quartet and a doublet) ensures that the low-temperature properties are described by pseudospin-1/2.

To examine correlations among pseudospin-1/2, we turn to $\chi(T)$ at low temperature. For $T < 30$ K, $\chi(T)$ shows no signs of conventional long-range ordering down to 1.8 K but exhibits a broad maximum at around 4 K [Fig. 2(b)]. For $10 \text{ K} < T < 30$ K, the data obeys the Curie-Weiss law, $\chi(T) = C/(T - \theta_{\text{CW}}) + \chi_0$, where the constant term χ_0 is the sum of χ_{dia} and the Van Vleck contribution $\chi_{\text{vv}} = 7.3 \times 10^{-3}$ emu/mol-Yb calculated from the CEF scheme [27]. The fit yields a negative Weiss temperature $\theta_{\text{CW}} = -6.7(1)$ K, indicative of sizable antiferromagnetic interactions among pseudospin-1/2. The g_{eff} value of 2.66 calculated from the Curie constant C is consistent with the CEF analysis.

These results therefore establish that $\text{Ba}_3\text{Yb}_2\text{Zn}_5\text{O}_{11}$ is a quantum pseudospin-1/2 breathing pyrochlore antiferromagnet. The next task is to characterize the magnetism of this unique spin system in more detail. Because the Yb-Yb distance differs significantly in intra- and intertetrahedra [Fig. 1(c)], intratetrahedron couplings J are expected to largely surpass intertetrahedron couplings J' . Therefore, the broad maximum in $\chi(T)$ observed at around 4 K [Fig. 2(b)] suggests the formation of a quantum spin-singlet state in a small Yb tetrahedron. This is supported by the magnetization curves at selected temperatures (Fig. 3). Although linear above 4 K, the magnetization curve shows a clear nonlinear increase at $B \sim 3$ T below 4 K—a signature of the singlet-triplet crossover.

In the single tetrahedron approximation, the effective Hamiltonian for the pseudospin-1/2 is written as

$$\mathcal{H}_{\text{tetra}} = -J \sum_{i < j} \mathbf{S}_i \cdot \mathbf{S}_j + g_{\text{eff}} \mu_B \mathbf{H} \cdot \sum_i \mathbf{S}_i, \quad (3)$$

where \mathbf{S}_i is the pseudospin-1/2 operator of the i th Yb^{3+} ion in a small tetrahedron ($i = 1, 2, 3,$ and 4), J is the Heisenberg exchange interactions, and \mathbf{H} is an external magnetic field. At $\mathbf{H} = 0$, the quantum states of the tetrahedron are characterized

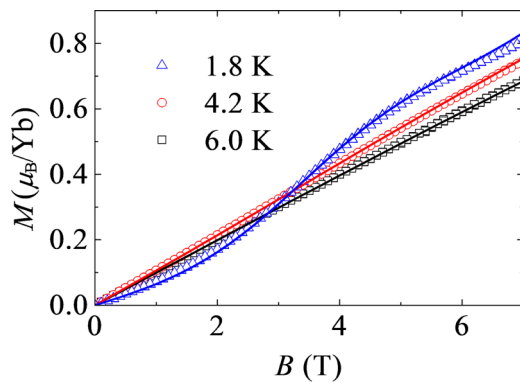


FIG. 3. (Color online) Magnetic field dependence of the magnetization at selected temperatures. Open black squares (6.0 K), red circles (4.2 K), and blue triangles (1.8 K) represent experimental data. Solid lines correspond to values calculated using Eq. (3) with a set of parameters obtained from the fitting of $\chi(T)$.

by the total pseudospin, $S_T = 0, 1,$ or 2 , where $S_T \equiv \sum_i S_i$. For antiferromagnetic $J < 0$, the ground state is a *doubly* degenerate singlet with $S_T = 0$ and energy $\epsilon = 3J/2$, the first excited state a triply degenerate triplet with $S_T = 1$ and $\epsilon = J/2$, and the next excited state a nondegenerate quintet with $S_T = 2$ and $\epsilon = -3J/2$.

To compare the experimental results and model, the susceptibility is defined as $\chi(T) = \chi_{\text{tetra}}(T) + \chi_0$, where χ_0 is the constant term and $\chi_{\text{tetra}}(T)$ is the intrinsic susceptibility of the single tetrahedron. Given the energy levels as above, $\chi_{\text{tetra}}(T)$ per Yb ion is expressed as

$$\chi_{\text{tetra}}(T) = \frac{N_A g_{\text{eff}}^2 \mu_B^2}{2k_B T} \frac{5 + 3e^{-2J/T}}{5 + 9e^{-2J/T} + 2e^{-3J/T}}. \quad (4)$$

In the fitting procedure, we treat J , g_{eff} , and χ_0 as adjustable parameters. Good agreement is obtained between the model and experiment for $T < 30$ K with $J = -6.43(1)$ K, $g_{\text{eff}} = 2.569(3)$, and $\chi_0 = 7.5(1) \times 10^{-3}$ emu/mol-Yb, as indicated by the red line in Fig. 2(b). This g_{eff} value is consistent with the CEF calculation and χ_0 is comparable with the sum of χ_{dia} and χ_{vv} . Moreover, the magnetization curves above 1.8 K are successfully reproduced with the same set of parameters obtained from $\chi(T)$ (solid lines, Fig. 3).

Further information on low- T properties is provided by the magnetic specific heat C_M down to $T = 0.38$ K after subtracting the lattice contribution C_L from the measured specific heat C_P [Fig. 4(a)]. C_L was approximated by the specific heat of the nonmagnetic analog $\text{Ba}_3\text{Lu}_2\text{Zn}_5\text{O}_{11}$. C_M exhibits a broad peak associated with the singlet formation without any signs of long-range order. The corresponding magnetic entropy S_M obtained by integrating $C_M(T)/T$ from 0.38 to 20 K is shown in Fig. 4(b). The saturated value at 20 K is close to 75% of the value expected for a standard two-level system $R \ln(2)$, and 25% of magnetic entropy remains below 0.38 K. This value is fully consistent with the double degeneracy of the singlet ground state expected for the single tetrahedron model [Eq. (3)]. In this model, C_M is given by

$$C_M = \frac{9N_A k_B J^2}{2T^2} \frac{10e^{-2J/T} + 5e^{-3J/T} + e^{-5J/T}}{(5 + 9e^{-2J/T} + 2e^{-3J/T})^2}. \quad (5)$$

Experimental C_M is well reproduced by Eq. (5) by using only one adjustable parameter J [red line, Fig. 4(a)]. The fit yields $J = -7.1(1)$ K, comparable to $J = -6.43(1)$ K obtained from $\chi(T)$.

All the data presented here clearly demonstrate that the Heisenberg pseudospin-1/2 single tetrahedron model correctly approximates the low- T properties of $\text{Ba}_3\text{Yb}_2\text{Zn}_5\text{O}_{11}$ [28]. One characteristic feature of this model is the double degeneracy of the singlet ground state. This ground state is interesting because it can be written by the complex chiral basis states, which are actually eigenfunctions of the scalar spin chirality defined as $\mathbf{S}_i \cdot (\mathbf{S}_j \times \mathbf{S}_k)$ [14,15]. This suggests that $\text{Ba}_3\text{Yb}_2\text{Zn}_5\text{O}_{11}$ may possess the degree of freedom associated with the spin chirality at least down to $T = 0.38$ K.

An intriguing question is the lifting process of the singlet degeneracy. Small extra exchange interactions that are symmetrically allowed in a single tetrahedron with T_d symmetry [31,32] do not lift any singlet degeneracy because they are transformed as a basis of the E representation of the T_d group [15]. One

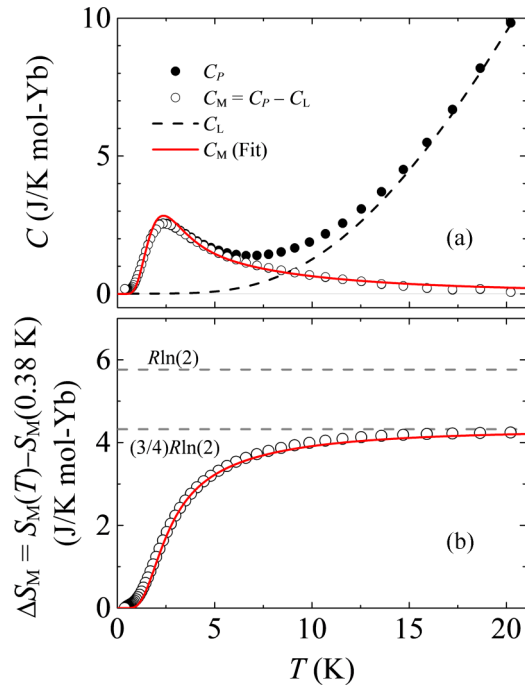


FIG. 4. (Color online) (a) Temperature dependence of the magnetic specific heat C_M (open circles) after subtracting the lattice contribution C_L (dashed line) from the measured specific heat C_P (closed circles). The red solid line is a fit of Eq. (5), which yields $J = -7.1$ K. (b) Corresponding magnetic entropy expressed as a variation from $T = 0.38$ K, $\Delta S_M = S_M(T) - S_M(0.38 \text{ K})$. The solid line is calculated using Eq. (5) with $J = -7.1$ K. Dashed lines represent the entropy for a two-level system $R \ln(2)$ and a single tetrahedron model $(3/4)R \ln(2)$. The difference between the two corresponds to the remaining entropy due to the singlet degeneracy.

possible scenario is spontaneous lattice distortion resulting from magnetoelastic coupling. This so-called spin Jahn-Teller mechanism was originally proposed to explain the structural transition in frustrated spin-1 vanadium spinels [33] and, subsequently, in spin-3/2 chromium spinels [34]. Here, a cubic to tetragonal structural phase transition is expected [33], resulting in a three-dimensional valence bond crystal. A more interesting scenario is based on intertetrahedron interactions, J' . A theoretical study on the Heisenberg J - J' model has

proposed a nonchiral spin-singlet order with novel singlet excitations [15]. Alternatively, given the finite anisotropy in the real material, other types of exotic states such as chiral spin liquid may be expected. Although J' is supposed to be much smaller than J because of the large distance between neighboring tetrahedra $\sim 6 \text{ \AA}$ [Fig. 1(b)], it is in principle non-negligible. At present, the ground state of $\text{Ba}_3\text{Yb}_2\text{Zn}_5\text{O}_{11}$ cannot be determined. However, this compound is expected to illustrate novel physics which has never been preceded experimentally. Specific heat, magnetization, and neutron scattering measurements below $T = 0.4$ K are highly desired to examine its ground state.

Furthermore, two important aspects of $\text{Ba}_3\text{Yb}_2\text{Zn}_5\text{O}_{11}$ deserve attention. First, because $\text{Ba}_3\text{Yb}_2\text{Zn}_5\text{O}_{11}$ presents a unique breathing pyrochlore structure, understanding the effects of the intertetrahedral coupling J' promises to provide information on the physics of uniform pyrochlore lattice antiferromagnets. This Yb-based compound benefits from an appropriate strength of the dominant exchange energy $J \sim 7$ K, facilitating access to a full phase diagram by a laboratory magnetic field. Second, $\text{Ba}_3\text{Yb}_2\text{Zn}_5\text{O}_{11}$ is a rare example that consists of the regular spin tetrahedra, except for the famous spinel and pyrochlore compounds. Therefore, the present study stimulates further exploration of novel frustrated spin systems.

In summary, through the analyses of the crystalline electric field scheme and the magnetic susceptibility data, we have shown that the pseudospin-1/2 antiferromagnetic breathing pyrochlore lattice is realized in the compound $\text{Ba}_3\text{Yb}_2\text{Zn}_5\text{O}_{11}$. Low-temperature thermodynamic measurements revealed that each small Yb tetrahedron forms a unique doubly degenerate singlet state at $T = 0.38$ K. The lifting mechanism of the degeneracy appears to involve new physics, which may relate to the exotic states of the quantum pyrochlore antiferromagnet.

We thank H. Kawamura, T. Sakakibara, T. Shimokawa, H. Tsunetsugu, and Y. Wakabayashi for helpful discussions. We also thank H. Tada for specific heat measurements. This work was partially supported by JSPS KAKENHI (Grant No. 25707030) and by PRESTO of JST, Japan. Part of this work was carried out under the Commission Researcher's Program of the Institute for Solid State Physics, the University of Tokyo.

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