Exciton fine structure and spin decoherence in monolayers of transition metal dichalcogenides

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We study the neutral exciton energy spectrum fine structure and its spin dephasing in transition metal dichalcogenides such as $MoS₂$. The interaction of the mechanical exciton with its macroscopic longitudinal electric field is taken into account. The splitting between the longitudinal and transverse excitons is calculated by means of the both electrodynamical approach and $\mathbf{k} \cdot \mathbf{p}$ perturbation theory. This long-range exciton exchange interaction can induce valley polarization decay. The estimated exciton spin dephasing time is in the picosecond range, in agreement with available experimental data.

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Introduction. Monolayers (MLs) of transition metal dichalcogenides, in particular, $MoS₂$, form a class of novel two-dimensional materials with interesting electronic and optical properties. The direct band gap in these systems is realized at the edges of the Brillouin zone at points K_{+} and $K = [1]$ $K = [1]$. Strikingly, each of the valleys can be excited by the radiation of given helicity only [\[2–4\]](#page-3-0). Recent experiments have indeed revealed substantial optical orientation in ML $MoS₂$ related to selective excitation of the valleys by circularly polarized light [\[5–8\]](#page-4-0). Strong spin-orbit coupling in this material lifts the spin degeneracy of electron and hole states even at K_+ and K_- points of the Brillouin zone resulting in relatively slow spin relaxation of individual charge carriers, which requires their intervalley transfer $[9-13]$. However recent time-resolved measurements revealed surprisingly short, in the picosecond range, transfer times between valleys [\[14,15\]](#page-4-0). This could be due to excitonic effects which are strong in transition metal dichalcogenides $[16,17]$. Although individual carrier spin flips are energetically forbidden, spin relaxation of electron-hole pairs can be fast enough owing to the exchange interaction between an electron and a hole forming an exciton $[18,19]$, in close analogy to exciton dephasing in quantum wells [\[20\]](#page-4-0).

Here we study the energy spectrum fine structure of bright excitons in $MoS₂$ and similar compounds. We use both the electrodynamical approach where the interaction of the mechanical exciton (electron-hole pair bound by the Coulomb interaction) with the macroscopic longitudinal electric field is taken into account $[21,22]$ and $\mathbf{k} \cdot \mathbf{p}$ perturbation theory. We obtain the radiative lifetime of excitons as well as the splitting between the longitudinal and transverse modes. We compare the developed theory based on long-range exchange interaction (in contrast to calculations in Ref. [\[18\]](#page-4-0) based on short range interaction) with experimental results on spin decoherence in MoS_2 MLs $[7,14,15]$ and demonstrate an order of magnitude agreement between the experiment and the theory.

Model. The point symmetry group of $MoS₂$ -like dichalcogenide MLs is D_{3h} . Since the direct band gap is realized at the edges of hexagonal Brillouin zone, as shown in the inset

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to Fig. [1,](#page-1-0) the symmetry of the individual valley K_{\pm} is lower and is described by the *C*3*^h* point group. The schematic band structure of ML $MoS₂$ is presented in Fig. [1\(a\),](#page-1-0) where the bands are labeled according to the irreducible representations of *C*3*^h* group in notations of Ref. [\[23\]](#page-4-0). The analysis shows that all spinor representations of C_{3h} are one-dimensional; therefore, in each valley all the states are nondegenerate. The Kramers degeneracy is recovered taking into account that the time reversal couples K_+ and K_- valleys. The spin-orbit splitting between the valence subbands in a given valley (Γ_{10}) and Γ_{12} at K_+ point and Γ_9 and Γ_{11} at K_- point) is on the order of 100 meV [\[1\]](#page-3-0). The conduction band in each valley is also split into two subbands Γ_7 and Γ_8 with the splitting being about several meV [\[24–27\]](#page-4-0).

Within the $\mathbf{k} \cdot \mathbf{p}$ model we introduce basic Bloch functions $U_i^{\tau}(r)$, which describe electron states at the K_+ ($\tau = 1$) or $K_ (\tau = -1)$ point of the Brillouin zone transforming according to the irreducible representation Γ_i of C_{3h} point group. In the minimal approximation where contributions from distant bands are ignored, the Bloch amplitudes U_7^{τ} and U_8^{τ} of the conduction band states can be recast as products of spinors χ_{s} corresponding to the spin *z* component, $s_z = \pm 1/2$, and orbital Bloch amplitudes U_1^{τ} . Similarly, the valence band states in the K_+ valley, U_{10}^{+1} and U_{12}^{+1} , are the products of χ_{s_z} and orbital function U_3^{+1} , while the valence band states in the K_- valley, U_{11}^{-1} and U_9^{+1} , originate from the orbital Bloch amplitude U_2^{-1} . The Bloch amplitudes in the tight-binding formalism are given, e.g., in Refs. [\[1,](#page-3-0)[25\]](#page-4-0); see also Refs. [\[24,27\]](#page-4-0). Such a four-band $\mathbf{k} \cdot \mathbf{p}$ model is described by 4 parameters: three energy gaps, namely, the band gap E_g , the spin splittings in the conduction and valence bands λ_c , λ_v , respectively, and interband momentum matrix element $p_{cv} = \langle U_1^{+1} | (p_x + p_y) \rangle$ $i p_y$)/ $\sqrt{2} |U_3^{+1}\rangle = \langle U_1^{-1}|(p_x - ip_y)/\sqrt{2}|U_2^{-1}\rangle$. The latter definition is in agreement with remarkable optical selection rules [\[2–4\]](#page-3-0): At a normal incidence of radiation the optical transitions in σ^+ polarization take place in the K_+ valley only between Γ_{10} and Γ_7 or Γ_{12} and Γ_8 states, while the transitions in σ^- polarization take place in the K^- valley and involve either Γ_9 and Γ_8 or Γ_{11} and Γ_7 states. Each conduction subband is mixed by $\mathbf{k} \cdot \mathbf{p}$ interaction with the only valence subband having the same spin component. As an example we present below the wave functions obtained in the first order of the $k \cdot p$ interaction for the bottom conduction subband (cf.

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FIG. 1. (Color online) (a) Schematic illustration of $MoS₂$ band structure. The bands are labeled by the corresponding irreducible representations with arrows in parentheses demonstrating electron spin orientation. Solid and dashed arrows show the transitions active at the normal incidence in σ^+ and σ^- polarization, respectively. An inset sketches the Brillouin zone. The order of conduction band states is shown in accordance with Ref. [\[25\]](#page-4-0). (b) Optical selection rules of the two bright A-exciton states with the small center of mass wave vector K and their long-range Coulomb exchange coupling, Eq. (9) . The ⇑ *,* ⇓ symbols represent the exciton pseudospin in the reducible representation $\Gamma_2 + \Gamma_3$.

Ref. [\[21\]](#page-4-0)):

ψ−¹

$$
\psi_{c,k}^{+1}(\boldsymbol{r}) = e^{ik\boldsymbol{r}} \bigg[U_{7,c}^{+1}(\boldsymbol{r}) + \frac{\hbar p_{cv}^{*} k_{+}}{m_{0} E_{g}} U_{10,v}^{+1}(\boldsymbol{r}) \bigg], \qquad (1a)
$$

$$
b_{c,k}^{-1}(\mathbf{r}) = e^{ik\mathbf{r}} \bigg[U_{8,c}^{-1}(\mathbf{r}) + \frac{\hbar p_{cv}^* k_-}{m_0 E_g} U_{9,v}^{-1}(\mathbf{r}) \bigg], \qquad (1b)
$$

and for the topmost valence subband

$$
\psi_{v,k}^{+1}(\mathbf{r}) = e^{ik\mathbf{r}} \bigg[U_{10,v}^{+1}(\mathbf{r}) - \frac{\hbar p_{cv} k_{-}}{m_0 E_g} U_{7,c}^{+1}(\mathbf{r}) \bigg], \qquad (2a)
$$

$$
\psi_{v,k}^{-1}(\boldsymbol{r}) = e^{ik\boldsymbol{r}} \bigg[U_{9,v}^{-1}(\boldsymbol{r}) - \frac{\hbar p_{cv} k_+}{m_0 E_g} U_{8,c}^{-1}(\boldsymbol{r}) \bigg]. \tag{2b}
$$

Here *k* is the wave vector reckoned from the K_+ or K_- Here *k* is the wave vector reckoned from the *K*₊ or *K*₋ point, $k_{\pm} = (k_x \pm ik_y)/\sqrt{2}$, and the asterisk denotes complex conjugate. The wave functions are taken in the electron representation and the factors $\exp(i\mathbf{K}_{\pm}\mathbf{r})$ are included in the definitions of Bloch amplitudes.

Optical excitation gives rise to the electron-hole pairs bound into excitons by the Coulomb interaction. Excitonic states transform according to the representations $\Gamma_c \times \Gamma_v^*$, where Γ_c is the representation of the conduction band state and Γ_v is the representation of the valence band state, and in the C_{3h}

group the time-reversed representation $\mathcal{K}\Gamma_v = \Gamma_v^*$. As a result, at normal incidence the optically active states are given by [see Fig. 1(b)]

$$
\Gamma_7 \times \Gamma_{10}^* = \Gamma_8 \times \Gamma_{12}^* = \Gamma_2 \quad \text{active in } \sigma^+, \qquad (3a)
$$

$$
\Gamma_8 \times \Gamma_9^* = \Gamma_7 \times \Gamma_{11}^* = \Gamma_3 \quad \text{active in } \sigma^-.
$$
 (3b)

The aim of this Rapid Communication is to study the fine structure of bright excitonic states and its consequences on the valley dynamics. Therefore, we do not address here the calculation of the "mechanical" exciton (the direct Coulomb problem) [\[16,28\]](#page-4-0); we assume that the relative electron-hole motion can be described by an envelope function $\varphi(\rho)$, with ρ being the coordinate electron and hole relative motion [\[29\]](#page-4-0). The bright exciton fine structure can be calculated either within the $\mathbf{k} \cdot \mathbf{p}$ perturbation theory taking into account the long-range exchange interaction or by the electrodynamical approach where the interaction of the mechanical exciton with generated electromagnetic field is taken into account. We start with the latter and then demonstrate its equivalence with the $\mathbf{k} \cdot \mathbf{p}$ calculation.

In the electrodynamic treatment the exciton frequencies can be found from the poles of the reflection coefficient of the two-dimensional structure [\[30\]](#page-4-0). For simplicity we consider a ML of $MoS₂$ situated in the (xy) plane surrounded by dielectric media with high-frequency dielectric constant x_b ; the contrast of background dielectric constants is disregarded [\[31\]](#page-4-0). The geometry is illustrated in Fig. 2. We solve Maxwell equations for electromagnetic field taking into account the the excitonic contribution to the dielectric polarization. The latter is calculated within the first-order perturbation theory using the dipole approximation for exciton-light coupling as (cf. Ref. [\[32\]](#page-4-0))

$$
\boldsymbol{P}(z) = \frac{\delta(z)|\varphi(0)|^2 \boldsymbol{E}(z)}{\omega_0 - \omega + i\Gamma} \frac{e^2|p_{\text{cv}}|^2}{\hbar \omega_0^2 m_0^2}.
$$
 (4)

Here ω is the incident radiation frequency, ω_0 is the exciton resonance frequency determined by the band gap and binding energy, and Γ is its nonradiative damping. The factor $\delta(z)$ ensures that the dipole moment is induced only in the ML of $MoS₂$ whose width is negligible compared with the light wavelength. At a normal incidence of radiation the amplitude

FIG. 2. (Color online) Schematic illustration of the system geometry with *s* (TE mode) and *P* (TM mode) polarized incident light.

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reflection coefficient of a ML has a standard form $r(\omega)$ = $i\Gamma_0/[\omega_0 - \omega - i(\Gamma_0 + \Gamma)]$ [\[32\]](#page-4-0), where

$$
\Gamma_0 = \frac{2\pi q e^2 |p_{cv}|^2}{\hbar x_b \omega_0^2 m_0^2} |\varphi(0)|^2
$$
\n(5)

is the radiative decay rate of an exciton in the MoS₂ ML; $q = \sqrt{\frac{\chi_b \omega}{c}}$ is the wave vector of radiation. The parameters of the pole in the reflectivity describe the eigenenergy and decay rate of the exciton with allowance for the light-matter interaction. In agreement with symmetry, the reflection coefficient at a normal incidence is polarization independent.

Under oblique incidence in the (*xz*) plane the solution of the Maxwell equation yields two eigenmodes of electromagnetic field: *s*-polarized (TE-polarized) wave with $E \parallel y$ (perpendicular to the light incidence plane) and *p*-polarized (TM-polarized) wave with E in the incidence plane; see Fig. [2.](#page-1-0) The reflection coefficients in a given polarization $\alpha = s$ or *p* are given by the pole contributions with the modified parameters

$$
r_{\alpha}(\omega) = \frac{i\Gamma_{0\alpha}}{\omega_{0\alpha} - \omega - i(\Gamma_{0\alpha} + \Gamma)},
$$
\n(6)

where

$$
\Gamma_{0s} = \frac{q}{q_z} \Gamma_0, \quad \Gamma_{0p} = \frac{q_z}{q} \Gamma_0.
$$
 (7)

Here $q_z = (q^2 - q_{\parallel}^2)^{1/2}$ is the *z* component of the light wave vector, q_{\parallel} is its in-plane component, and $\omega_{0\alpha} \equiv \omega_0(q_{\parallel}) =$ $\omega_0 + \hbar q_{\parallel}^2/(2M)$ is the mechanical exciton frequency; *M* is the exciton effective mass [\[33\]](#page-4-0).

The light-matter interaction results in the radiative decay of the excitons with the wave vectors inside the light cone, $q_{\parallel} \leq \sqrt{\varkappa_b} \omega/c$. For the excitons outside the light cone, *qz* becomes imaginary and corresponding exciton-induced electromagnetic field decays exponentially with the distance from the ML. Therefore, exciton interaction with the field results in renormalization of its frequency rather than its decay rate [\[32,34,35\]](#page-4-0). Formally, it corresponds to imaginary $\Gamma_{0\alpha}$ in Eqs. (7). Introducing the notation $K = q_{\parallel}$ for the center of mass wave vector of an exciton, we obtain from the poles of reflection coefficients the splitting between the longitudinal $(P \parallel K)$ and transverse $(P \perp K)$ exciton states:

$$
\Delta E = \hbar \Gamma_0 \frac{K^2}{q\sqrt{K^2 - q^2}} \approx \hbar \Gamma_0 \frac{K}{q},\tag{8}
$$

where the approximate equation holds for $K \gg q$ and one can replace ω by $\omega_0(K)$ or even by $\omega_0(0)$ in the definition of q. For excitons outside the light cone and arbitrary in-plane direction of *K* one can present an effective Hamiltonian describing their fine structure in the basis of states Γ_2 and Γ_3 [see Eqs. [\(3\)](#page-1-0)] as

$$
\mathcal{H}_X(\boldsymbol{K}) = \begin{pmatrix} 0 & \alpha(K_x - iK_y)^2 \\ \alpha(K_x + iK_y)^2 & 0 \end{pmatrix} = \frac{\hbar}{2} (\boldsymbol{\Omega}_K \cdot \boldsymbol{\sigma}).
$$
\n(9)

Here $\alpha = \hbar \Gamma_0 / (2Kq)$, $\sigma = (\sigma_x, \sigma_y)$ are two pseudospin Pauli matrices and the effective spin precession frequency vector has the components $\hbar\Omega_x = \Delta E \cos 2\vartheta$ and $\hbar\Omega_y = \Delta E \sin 2\vartheta$, where ϑ is the angle between **K** and the in-plane axis x.

Now we demonstrate that the treatment based on electrodynamics presented above is equivalent to the $\mathbf{k} \cdot \mathbf{p}$ calculation of the long-range exchange interaction. Specifically, we consider the exchange interaction between two electrons ψ_m and ψ_n occupying the Γ_7 band in the K_+ valley and the Γ_9 electron in the *K* − valley, respectively. The wave functions of these states are given by Eqs. [\(1a\)](#page-1-0) and [\(2b\)](#page-1-0) with the wave vectors k_1 and k_2 , respectively. The final states for the pair are the conduction band *F*⁸ state in *K* − valley, $\psi_{m'}$, and the valence band Γ_{10} state in the K_+ valley, $\psi_{n'}$, characterized by the wave vectors k'_1 and k'_2 and described by the wave functions Eqs. $(1b)$, $(2a)$, respectively. According to the general theory [\[21\]](#page-4-0) the exchange matrix element of the Coulomb interaction $V(r_1 - r_2)$ can be written as

$$
\langle m'n'|V(\mathbf{r}_1 - \mathbf{r}_2)|mn\rangle = -V_{k'_1 - k_2} \delta_{k_1 + k_2, k'_1 + k'_2} \frac{\hbar^2 |p_{cv}|^2}{m_0^2 E_g^2} \times (k_{2,+} - k'_{2,+})(k_{1,+} - k'_{1,+}).
$$
\n(10)

Here V_q is the two-dimensional Fourier transform of the Coulomb potential. Standard transformations from the electron-electron representation to the electron-hole representation in Eq. (10) [\[21\]](#page-4-0), averaging over the relative motion wave function as well as inclusion of high-frequency screening (see Refs. [\[34,36,37\]](#page-4-0)) yields off-diagonal element $\langle \Gamma_3 | H_X(K) | \Gamma_2 \rangle$ in Eq. (9). We stress that the Coulomb interaction is long range; it does not provide intervalley transfer of electrons. However, the exchange process involves one electron from the K_+ and another one from the $K_$ valley. As a result, states active in σ^+ and σ^- polarizations can be mixed (this fact was ignored in Ref. [\[18\]](#page-4-0)).

In order to evaluate theoretically the exciton decoherence rate it is convenient to describe the dynamics of bright exciton doublet within the pseudospin formalism, where the 2×2 density matrix in the basis of Γ_2 and Γ_3 excitonic states is decomposed $N_K/2 + S_K \cdot \sigma$ with N_K being the occupation of a given K state and S_K being the pseudospin. It satisfies the kinetic equation

$$
\frac{\partial S_K}{\partial t} + S_K \times \Omega_K = Q\{S_K\},\tag{11}
$$

where Ω_K is defined by Eq. (9) and $Q\{S_K\}$ is the collision integral. Similarly to the case of free excitons in quantum wells [\[20,32\]](#page-4-0) different regimes of spin decoherence can be identified depending on the relation between the characteristic spin precession frequency and scattering rates. Here we assume the strong scattering regime, where $\Omega \tau \ll 1$, where Ω is the characteristic precession frequency and τ is the characteristic scattering time; the exciton spin is lost by the Dyakonov-Perel' type mechanism [\[38\]](#page-4-0). Hence, the spin decay law is exponential and spin relaxation rates are given by [\[20,32\]](#page-4-0)

$$
\frac{1}{\tau_{zz}} = \frac{2}{\tau_{xx}} = \frac{2}{\tau_{yy}} = \langle \Omega_K^2 \tau_2 \rangle, \tag{12}
$$

where the angular brackets denote averaging over the energy distribution and $\tau_2 = \tau_2(\varepsilon_K)$ is the relaxation time of second angular harmonics of the distribution function.

Discussion. Our theory allows us to predict exciton spin or valley decoherence due to the long-range exchange interaction. Below we show how the key parameters can be extracted

FIG. 3. (Color online) (a) Left axis: Time-integrated PL intensity as a function of emission energy. Right axis: Polarization of PL emission. (b) Left axis: PL emission intensity (black line) detected at maximum of A-exciton PL, $E_{\text{det}} = 1.867 \text{ eV}$, as a function of time and laser reference pulse (green dashed line). Right axis: Calculated evolution of PL polarization $\propto e^{-t/\tau_{zz}}$ using $\tau_{zz} \approx 4$ ps from Eq. (14) (blue dotted line). $T = 4$ K, $E_{\text{exc}} = 1.965$ eV, $P_{\text{exc}} = 5 \mu W / \mu m^2$. Same sample as in Ref. [\[7\]](#page-4-0).

from experimental data for comparison with our theory; as an example we use the well-characterized sample from Ref. [\[7\]](#page-4-0). Figure 3 presents the results of photoluminescence (PL) experiments carried out on the $MoS₂ ML$ deposited on the $SiO₂/Si$ substrate. Both PL intensity and circular polarization degree were recorded as a function of emission energy. As the simplest possible model, we assume that the stationary (time integrated) polarization is determined by the initially created polarization P_0 , the lifetime of the electron-hole pair τ , and the polarization decay time τ_{zz} through $P_c = P_0/(1 + \tau/\tau_{zz})$ [\[39\]](#page-4-0). In Fig. 3(a) we measure an average, time-integrated PL polarization of $P_c \approx 60\%$ in the emission energy range 1.82...1.91 eV. The emission time measured in time-resolved PL is $\tau \simeq 4.5$ ps, extracted from Fig. 3(b) in agreement with earlier measurements on the same sample in Ref. [\[7\]](#page-4-0); see also Ref. [\[40\]](#page-4-0) for comparison with highly nonresonant excitation. Note that it is not clear at this stage whether the measured emission time is an intrinsic, radiative lifetime or limited by nonradiative processes. For $P_0 = 100\%$ we find an estimate of $\tau_{zz} \simeq 7$ ps.

A theoretical estimate of τ_{zz} can be obtained taking into account that, in realistic experimental conditions, owing to the fast energy relaxation in the system, the spread of excitons in the energy space is limited by the collisional broadening, $~\sim$ \hbar/τ_2 , rather than by the kinetic energy distribution. Under this assumption, the trend for the spin decoherence rate can be obtained from Eqs. [\(12\)](#page-2-0) taking into account that (cf. Ref. [\[20\]](#page-4-0))

$$
\left\langle \Omega_K^2 \tau_2 \right\rangle \simeq \Omega_{K_\Gamma}^2 \tau_2, \tag{13}
$$

where $K_{\Gamma} = \sqrt{2M\Gamma_h/\hbar^2}$ and $\Gamma_h = 1/(2\tau_2)$ describes the *k*space extension of an excitonic "packet." The scattering time cancels on the right-hand side of Eq. (13) and

$$
\tau_{zz} = \frac{4\hbar (q \tau_{\text{rad}})^2}{M}.
$$
 (14)

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For an order of magnitude estimate, we set exciton mass *M* equal to the free electron mass [\[16\]](#page-4-0). We also set $\tau \approx$ $\tau_{rad} = 4.5$ ps. Assuming the PL decay time is governed by radiative processes (realistically *τ* should be regarded as a lower bound of τ_{rad}), we estimate the radiation wave vector *d* = $\sqrt{\chi_b \omega_0/c}$ assuming $\hbar \omega_0 = 1.867$ eV and $\chi_b = 5$ (being half of the substrate high-frequency dielectric constant), and obtain $\tau_{zz} \approx 4$ ps. This value is in reasonable agreement with the value of $\tau_{zz} \simeq 7$ ps estimated from PL experiments and with recent pump-probe measurements [\[14,15\]](#page-4-0). We stress that even with a value of τ_{zz} in the ps range a high polarization can be obtained in time-integrated measurements as the PL decay time τ is also ultrashort. This is visualized in Fig. 3(b), which allows us to compare the theoretically predicted polarization decay and the measured PL intensity decay.

Similarly to the circular polarization degree whose decay is governed by τ_{zz} , the linear polarization decay for the neutral A exciton is governed by the in-plane pseudospin relaxation times τ_{xx} and τ_{yy} . As follows from Eq. [\(12\)](#page-2-0) they are of the same order of magnitude as τ_{zz} . Interestingly, the observation of linearly polarized emission under the linearly polarized excitation was reported for the neutral A-exciton transition in ML WSe₂ [\[41\]](#page-4-0). Since the band structure and parameters of $WSe₂$ and $MoS₂$ are similar, it is reasonable to assume that the decay of linear polarization, i.e., intervalley coherence of excitons, is also strongly influenced by the long-range exchange interaction between an electron and a hole.

Conclusions. To conclude, we have presented the theory of the bright exciton fine structure splitting and exciton spin decoherence in MLs of transition metal dichalcogenides. Using the electrodynamical approach we have calculated eigenfrequencies of excitons taking into account their interaction with longitudinal electromagnetic field, which gives rise to the longitudinal and transverse splitting of the bright excitonic doublet. The magnitude of the splitting is expressed via the exciton center of mass wave vector and its radiative decay rate Γ_0 . This splitting acts as an effective magnetic field and provides spin relaxation/decoherence of both free and localized excitons. We provided a quantitative estimation of spin decoherence rate of A excitons in $MoS₂$ MLs from the developed theory using input from experimental data on optical orientation from a well-characterized sample [\[7\]](#page-4-0). The developed theory is in agreement with experiments probing the exciton valley dynamics.

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