

## ***Ab initio* study of thermodynamic, electronic, magnetic, structural, and elastic properties of Ni<sub>4</sub>N allotropes**

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We have employed parameter-free density functional theory calculations to study the thermodynamic stability and structural parameters as well as elastic and electronic properties of Ni<sub>4</sub>N in eight selected crystallographic phases. In agreement with the experimental findings, the cubic structure with Pearson symbol cP5, space group  $Pm\bar{3}m$  (221) is found to be the most stable and it is also the only thermodynamically stable structure at  $T = 0$  K with respect to decomposition to the elemental Ni crystal and N<sub>2</sub> gas phase. We determine structural parameters, bulk moduli, and their pressure derivatives for all eight allotropes. The thermodynamic stability and bulk modulus is shown to be anticorrelated. Comparing ferromagnetic and nonmagnetic states, we find common features between the magnetism of elemental Ni and studied ferromagnetic Ni<sub>4</sub>N structures. For the ground-state Ni<sub>4</sub>N structure and other two Ni<sub>4</sub>N cubic allotropes, we predict a complete set of single-crystalline elastic constants (in the equilibrium and under hydrostatic pressure), the Young and area moduli, as well as homogenized polycrystalline elastic moduli obtained by different homogenization methods. We demonstrate that the elastic anisotropy of the ground-state Ni<sub>4</sub>N is qualitatively opposite to that in the elemental Ni, i.e., these materials have hard and soft crystallographic directions interchanged. Moreover, one of the studied metastable cubic phases is found auxetic, i.e., exhibiting negative Poisson ratio.

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### **I. INTRODUCTION**

Nickel nitrides are binary compounds of great importance for numerous industrial applications, e.g., when manufacturing electronic devices with GaN contacts<sup>1–4</sup> or producing Ni-containing stainless steels (see, e.g., Refs. 5 and 6). As far as the production of electronics is concerned, nickel nitrides appear in nickel thin films when manufacturing electronic chips and may have major influence on their conductivity. During the fabrication, nickel metal is first evaporated and afterwards deposited onto the basal surface, and the apparatus is irrigated by inert nitrogen atmosphere. As a result, nitrides may substantially alter technological properties of surface layers.

In the steel production, surfaces can be nitrided in order to increase their hardness via forming a variety of nitrides (see, e.g., Refs. 7–10). They improve, e.g., the strength of austenitic steels by forming nitrides at grain boundaries due to the very low solubility of nitrides. Motivated by these industrial applications, properties of nickel nitrides have been studied employing various experimental methods, including ordering tendencies of N atoms by neutron diffraction<sup>11</sup> or (100) surface reconstructions by scanning tunneling microscopy.<sup>12</sup>

A direct synthesis of nickel nitrides from nickel and nitrogen in laboratory conditions has not yet been reported. The value of the equilibrium pressure can be only estimated and is assumed to be very high.<sup>11</sup> Chemically pure nickel nitrides can be prepared at high temperatures, e.g., by the reduction of ammonia and nickel monoxide. The first reported synthesis of hexagonal Ni<sub>3</sub>N was performed at 450 °C and nickel powder and liquid ammonia were used.<sup>11</sup> When applying the disproportionation on Ni<sub>3</sub>N at 650 K, two other nickel nitrides can be synthesized,<sup>13</sup> namely cubic Ni<sub>4</sub>N and face-centered Ni<sub>8</sub>N (with the elementary unit cell Ni<sub>32</sub>N<sub>4</sub>).

Alternatively, when employing the thermal decomposition of metal precursors in a supercritical ammonia-methanol mixture, nanostructured nitrides can be produced.<sup>14</sup> Using nickel amidinate precursor and either ammonia (NH<sub>3</sub>) or a mixture of NH<sub>3</sub> and hydrogen (H<sub>2</sub>) gases as coreactants, smooth and continuous films of Ni<sub>x</sub>N (with the Ni/N atomic ratio ranging from 3:1 to 15:1) with excellent step coverage were deposited.<sup>15</sup>

As another synthesis route, nickel nitrides can be produced by sputtering of a nickel target with a beam of nitrogen ions that results in the formation of crystalline nickel nitride, which is deposited on cold surfaces surrounding the target.<sup>16</sup> When adding also Ti atoms, titanium-nickel nitride coatings (Ti-Ni-N) by unbalanced magnetron sputtering with a pulsed dc discharge at the targets may be produced.<sup>17</sup> Similarly, nickel layers can be implanted with nitrogen using plasma-based ion implantation in order to form Ni nitrides.<sup>18</sup> Using state-of-the-art preparation techniques, nitrides of other metals (such as Fe<sub>4</sub>N or Co<sub>4</sub>N) were prepared<sup>19</sup> including even the first binary nitride of the noble metals group (PtN) that was recently synthesized.<sup>20</sup>

Despite the experimental studies partly summarized above, relatively very little is known about materials characteristics of these nitrides. Therefore, we employ quantum-mechanical calculations in order to shed more light on thermodynamic, electronic, structural, magnetic, and elastic properties of this important class of materials. In the present study we focus on Ni<sub>4</sub>N. This compound is considered metastable based on previous thermodynamic assessments of Ni-N system.<sup>21–23</sup> When synthesized, Ni<sub>4</sub>N was experimentally found<sup>13,24–26</sup> to crystallize in a simple cubic structure with a five-atom basis (Pearson's symbol cP5, space group  $Pm\bar{3}m$  (221), prototype Fe<sub>4</sub>N or CaO<sub>3</sub>Ti). The nitrogen atom is situated at the position

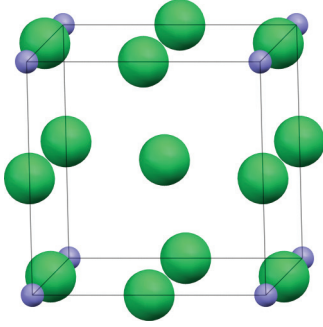


FIG. 1. (Color online) Schematic figure of the experimentally observed ground state of  $\text{Ni}_4\text{N}$  cubic allotrope (referred to as  $\alpha\text{-Ni}_4\text{N}$  in the text below). The Ni atoms are shown as larger green and the N ones as smaller blue spheres.

1a (0, 0, 0) and the Ni atoms at the positions 1b (1/2, 1/2, 1/2), and 3d (1/2, 0, 0), (0, 1/2, 0) a (0, 0, 1/2); see Fig. 1. Alternative to this crystallographic phase,  $\text{Ni}_4\text{N}$  in another cubic phase as well as in a tetragonal allotrope has been described in Refs. 27 and 28.

In this paper we extend our previous study of nickel nitrides,<sup>29</sup> where the focus was on the experimental cubic  $\text{Ni}_4\text{N}$  ground-state phase, to seven metastable structural phases with the same stoichiometry. We examine the thermodynamic stability of various  $\text{Ni}_4\text{N}$  allotropes (Tables I and II), determine their structural parameters, and compare them with the results of previous theoretical and experimental studies. Subsequently we predict both single-crystalline elastic constants and homogenized polycrystalline moduli of three cubic  $\text{Ni}_4\text{N}$  allotropes (the ground-state phase  $\text{Ni}_4\text{N}$  and of two other cubic phases) and their electronic structure characteristics.

The paper is organized as follows. After Introduction, the Methodology section describes our computational method, provides computational parameters, summarizes crystallographic characteristics of all studied allotropes, and introduces our approach to the determination of both single-crystalline and polycrystalline elastic properties. In Sec. III we first

TABLE I. Crystallographic description of the six studied  $\text{Ni}_4\text{N}$  allotropes (labeled by Greek symbols from  $\alpha$  to  $\theta$ ) including space groups and their corresponding numbers as well as Wyckoff positions and prototype compounds. Also added is the number of  $\mathbf{k}$  points used for each compound in our *ab initio* calculations. Two other allotropes studied, i.e., Lifshitz structures  $\beta\text{-Ni}_4\text{N}$  and  $\delta\text{-Ni}_4\text{N}$ , are visualized below in Figs. 3 and 2 and described separately in Table II (before the structural optimization). The optimized atomic positions in the  $\beta\text{-Ni}_4\text{N}$  and  $\delta\text{-Ni}_4\text{N}$  phases are given in Tables X and XI (see the Appendix).

$\text{Ni}_4\text{N}$	$\alpha$	$\gamma$	$\varepsilon$	$\zeta$	$\eta$	$\theta$
Group	$Pm\bar{3}m$	$Pnma$	$Pmmm$	$P43m$	$I43m$	$P4/nmm$
Group no.	221	62	47	215	217	129
Pearson	cP5	oP20	oP5	cP5	cI10	tP10
Positions N	1a	4c	1h	1a	2a	2c
Positions Ni	1b, 3d	4c, 8d	1a, 1b, 2l	4e	8c	2a, 2c, 4f
No. of $u$	0	7	1	1	1	3
Prototype	$\text{Fe}_4\text{N}$	$\text{B}_4\text{Mg}$	$\text{Ta}_4\text{O}$	$\text{Fe}_4\text{C}$	$\text{F}_4\text{Si}$	$\text{Be}_4\text{B}$
$\mathbf{k}$ points	40 000	38 400	40 320	40 000	40 960	38 880

TABLE II. Unrelaxed atomic positions (before full structural optimization) in the two cubic Lifshitz structures  $\beta\text{-Ni}_4\text{N}$  and  $\delta\text{-Ni}_4\text{N}$  that differ in Wyckoff positions of N atoms but have equal Wyckoff positions of Ni atoms. Internal atomic positions that are not dictated by symmetry are defined by internal parameters. For these we use the following general form  ${}^A u^{B-C}$  where  $A$  specifies atom (Ni or N),  $B$  is for the direction  $x$ ,  $y$  or  $z$ , and  $C$  is the name of a given Wyckoff sublattice. If  $B$  is not specified, the internal parameter is valid for both Ni and N atoms. If  $C$  is not used, the Wyckoff sublattice does not have a standardized name. Relaxed values of internal parameter of  $\beta\text{-Ni}_4\text{N}$  and also of other allotropes are listed in Table XI. The exact atomic positions of Ni atoms (after full structural optimization) in  $\delta\text{-Ni}_4\text{N}$  allotrope are listed in Table X.

$\beta\text{-Ni}_4\text{N}$	$\delta\text{-Ni}_4\text{N}$
Nitrogen Wyckoff positions	
(1/4, 1/4, 1/4)	(0, 0, 0)
(3/4, 3/4, 1/4)	(1/2, 1/2, 1/2)
(3/4, 1/4, 3/4)	(1/4, 3/4, 1/4)
(1/4, 3/4, 3/4)	(3/4, 1/4, 3/4)
Nickel Wyckoff positions (same in $\beta\text{-Ni}_4\text{N}$ and $\delta\text{-Ni}_4\text{N}$ )	
(1/4, 0, 0); (1/4, 1/2, 0)	
(3/4, 0, 0); (3/4, 1/2, 0)	
$(1\text{-Ni}u^x, 1/4, 1/4)$ ; $(\text{Ni}u^x, 3/4, 1/4)$	
$(1/2\text{+Ni}u^x, 1/4, 1/4)$ ; $(1/2\text{-Ni}u^x, 3/4, 1/4)$	
(1/4, 0, 1/2); (1/4, 1/2, 1/2)	
(3/4, 0, 1/2); (3/4, 1/2, 1/2)	
$(\text{Ni}u^x, 1/4, 3/4)$ ; $(1\text{-Ni}u^x, 3/4, 3/4)$	
$(1/2\text{-Ni}u^x, 1/4, 3/4)$ ; $(1/2\text{+Ni}u^x, 3/4, 3/4)$	

compare thermodynamic, structural, and elastic properties of studied allotropes and analyze relations between thermodynamic stability and the bulk modulus. Subsequently we focus on calculated properties of the  $\text{Ni}_4\text{N}$  in the ground state. After studying its magnetic properties (also in comparison with fcc Ni), we further provide its electronic-structure characteristics and then analyze elastic properties at both zero pressure as well as at hydrostatic pressures ranging from tensile to compressive loads. The elastic characteristics, including Poisson ratios, of the ground-state phase are then compared to two other studied cubic phases. Section IV then summarizes the results and concludes the paper.

## II. METHODOLOGY

### A. Computational details

Our *ab initio* calculations are based on density functional theory (DFT)<sup>30,31</sup> using the generalized gradient approximation (GGA)<sup>32</sup> and the projector augmented wave approach (PAW) as implemented in the VASP code.<sup>33-35</sup> The plane-wave cutoff energy is 360 eV and the Monkhorst-Pack<sup>36</sup> scheme is used to sample the Brillouin zone. Convergence with respect to cutoff energy and  $\mathbf{k}$ -point sampling has been explicitly checked (for details, see Table I). In all cases studied, we started our calculations with the Ni atoms ferromagnetically spin polarized and we allowed for a full structural relaxation, i.e., the total energy was minimized as a function of both internal atomic positions, the unit-cell shape as well as the volume. We chose the Methfessel-Paxton scheme<sup>37</sup> for the Fermi level smearing and the corresponding smearing parameter equals to 0.1 eV.

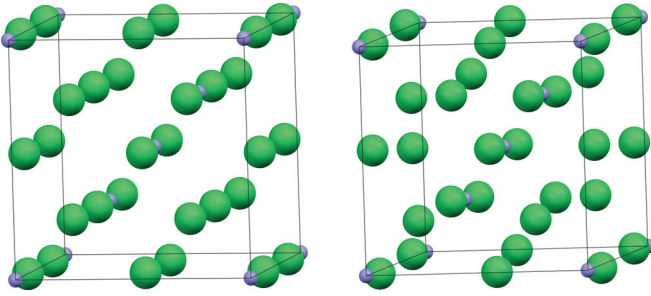


FIG. 2. (Color online) Schematic visualization of the Lifshitz structural allotrope  $\delta$ -Ni<sub>4</sub>N before structural relaxation (left) and after full geometry optimization of both the cell shape and internal atomic positions (right) with the Ni atoms shown as larger green and the N ones as smaller blue spheres.

### B. Description of crystal structures

The *ab initio* calculations have been performed for a series of different crystallographic variants that are labeled according to their formation energy (see a detailed discussion below) with respect to the experimentally observed ground-state phase using the Greek alphabet (the ground-state phase is then denoted as  $\alpha$ -Ni<sub>4</sub>N). Our choice of the structures (see Tables I and II) was motivated by experimental findings of another unidentified cubic Ni<sub>4</sub>N phase and one tetragonal Ni<sub>4</sub>N allotrope. We thus propose a few possible candidates and hope that our theoretical study will trigger an experimental investigation of these yet unidentified phases.

### C. Ab initio calculations of elastic constants

Single-crystal elastic constants  $C_{ij}$  can be routinely obtained from *ab initio* calculated changes of the total energy as a function of specific lattice distortions applied to the undistorted ground state (see, e.g., Refs. 38 and 39). For a cubic crystal (such as cP5 or cI10 phases of Ni<sub>4</sub>N) three elastic constants are needed, i.e., three different distortions have to be simulated. The first one is the isotropic volume change providing the energy-volume dependence, where the second derivative at the minimum of the energy-volume curve determines the bulk modulus  $B$ . Here we employ the Murnaghan equation of state.<sup>40</sup> The bulk modulus can also be expressed as a linear combination of two elastic constants:

$$V \left( \frac{\partial^2 E}{\partial V^2} \right)_{V_{\text{eq}}} = \frac{1}{3} (C_{11} + 2C_{12}) = B. \quad (1)$$

As the phase with the alternative cubic structure has not been clearly described in literature, we have analyzed a few frequently occurring binary structures with the 4:1 stoichiometry as well as two Lifshitz structures (see, e.g., Refs. 41–43)  $\beta$ -Ni<sub>4</sub>N and  $\delta$ -Ni<sub>4</sub>N as structural models of interstitial solid solutions of N in Ni. In Tables I and II (and also in Tables X and XI in the Appendix) we summarize crystallographic characteristics of all studied allotropes that are also depicted in Figs. 1–5. Atomic positions in Lifshitz structures  $\beta$ -Ni<sub>4</sub>N and  $\delta$ -Ni<sub>4</sub>N prior structural optimization are summarized in Table II. As the structure optimization resulted in rather significant changes in these atomic positions in the case of  $\delta$ -Ni<sub>4</sub>N, the structure-optimized (relaxed) atomic positions are given in Table X in the Appendix.

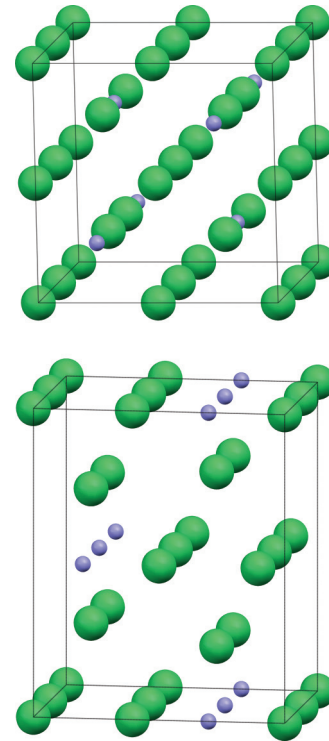


FIG. 3. (Color online) Lifshitz structural allotrope  $\beta$ -Ni<sub>4</sub>N (upper panel) and the  $\gamma$ -Ni<sub>4</sub>N phase (lower panel). The Ni atoms are shown as larger green and the N ones as smaller blue spheres.

The other two deformations were chosen as uniaxial distortions along the [001] and [111] directions and these can be expressed by the following strain matrices:

$$\epsilon^{[001]} = \begin{pmatrix} \delta/2 & 0 & 0 \\ 0 & \delta/2 & 0 \\ 0 & 0 & \frac{1}{(1+\delta/2)^2} - 1 \end{pmatrix}, \quad (2)$$

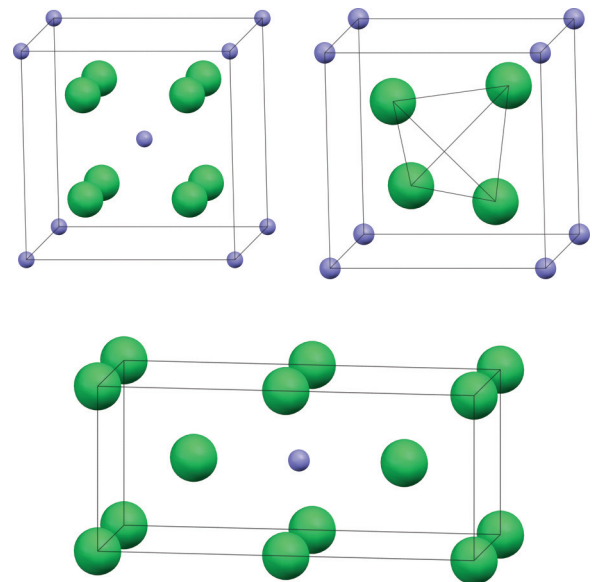


FIG. 4. (Color online) Structural allotropes  $\eta$ -Ni<sub>4</sub>N (upper left),  $\zeta$ -Ni<sub>4</sub>N (upper right), and  $\epsilon$ -Ni<sub>4</sub>N (lower panel) with the Ni atoms shown as larger green and the N ones as smaller blue spheres.

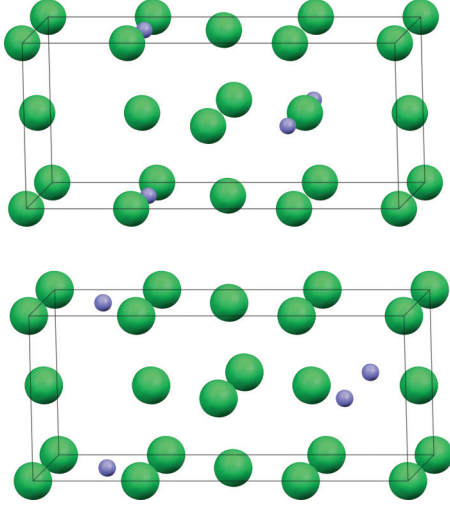


FIG. 5. (Color online) Visualization of structural allotrope  $\theta$ -Ni<sub>4</sub>N before structural relaxation (upper panel) and after full geometry optimization of both the cell shape and internal atomic positions (lower panel) with the Ni atoms shown as larger green and the N ones as smaller blue spheres.

$$\epsilon^{[111]} = \begin{pmatrix} 0 & \delta/2 & \delta/2 \\ \delta/2 & 0 & \delta/2 \\ \delta/2 & \delta/2 & 0 \end{pmatrix}, \quad (3)$$

where  $\delta$  is a parameter characterizing the magnitude of strain. In this study, the maximum lattice distortion was limited to  $\pm 0.04$  in order to avoid nonlinearities. The corresponding changes of the total energy  $E(\delta)$  with respect to the energy of the ground state  $E_0$  are then divided by the volume  $V$  in order to calculate the elastic energy density  $U(\delta)$ . This density is linked for each kind of distortion to specific elastic constants as

$$\frac{\partial^2 U^{[001]}}{\partial \delta^2} = \frac{3}{2}(C_{11} - C_{12}) = 3C', \quad (4)$$

$$\frac{\partial^2 U^{[111]}}{\partial \delta^2} = 3C_{44}. \quad (5)$$

Since in essentially all synthesis routes polycrystalline rather than single-crystalline material is produced, single-crystal elastic constants are homogenized in a scale-bridging manner. To predict elastic moduli, such as the shear modulus  $G$  or Young modulus  $Y$ , various homogenization approaches have been proposed in the past. The Voigt scheme<sup>44</sup> assumes that the local strain is equal in all the grains of a polycrystal and the corresponding polycrystalline shear modulus  $G_V$  is then expressed as

$$G_V = \frac{C_{11} - C_{12} + 3C_{44}}{5} = \frac{2C' + 3C_{44}}{5}. \quad (6)$$

The Reuss homogenization<sup>45</sup> is based on the assumption of a constant local stress and the corresponding polycrystalline shear modulus  $G_R$  can be expressed as a function of elastic compliances  $S_{ij}$ :

$$G_R = \frac{5}{4(S_{11} - S_{12}) + 3S_{44}}. \quad (7)$$

Here the relationship between  $S_{ij}$  and  $C_{ij}$  for materials with cubic symmetry is

$$S_{11} = \frac{C_{11} + C_{12}}{(C_{11} - C_{12})(C_{11} + 2C_{12})}, \quad (8)$$

$$S_{12} = \frac{-C_{12}}{(C_{11} - C_{12})(C_{11} + 2C_{12})}, \quad (9)$$

$$S_{44} = \frac{1}{C_{44}}. \quad (10)$$

Equation (7) can be rewritten in terms of  $C_{ij}$  as

$$G_R = \frac{5(C_{11} - C_{12})C_{44}}{4C_{44} + 3(C_{11} - C_{12})} = \frac{10C'C_{44}}{4C_{44} + 6C'}. \quad (11)$$

For the Hershey method, the homogenized polycrystalline shear modulus  $G_H$  is given as a root of a polynomial. Two different expressions of this polynomial are available in the literature. The original paper by Hershey<sup>46</sup> gives the following quartic equation:

$$64G_H^4 + 16(4C_{11} + 5C_{12})G_H^3 + [3(C_{11} + 2C_{12}) \times (5C_{11} + 4C_{12}) - 8(7C_{11} - 4C_{12})C_{44}]G_H^2 - (29C_{11} - 20C_{12})(C_{11} + 2C_{12})C_{44}G_H - 3(C_{11} + 2C_{12})^2(C_{11} - C_{12})C_{44} = 0. \quad (12)$$

This fourth-order polynomial is used in the majority of recently published papers (see, e.g., Refs. 38, 47, and 48), but it can be transformed to a third-order polynomial (Refs. 49–51),

$$G_H^3 + \frac{1}{8}(5C_{11} + 4C_{12})G_H^2 - \frac{1}{8}C_{44}(7C_{11} - 4C_{12})G_H - \frac{1}{8}C_{44}(C_{11} - C_{12})(C_{11} + 2C_{12}) = 0, \quad (13)$$

proposed by Ledbetter.<sup>52</sup> For systems fulfilling the conditions of mechanical stability, i.e.,  $B > 0$ ,  $C_{44} > 0$ ,  $C' > 0$ , both Eqs. (12) and (13) change the sign of their coefficients only once and therefore have only one real root for a given set of elastic constants. This single root is identical in Eqs. (12) and (13).

Another computationally very simple method has been proposed by Hill<sup>53</sup> and Gilvarry.<sup>54</sup> This is the Reuss-Voigt-Hill-Gilvarry (RVHG) method according to which the polycrystalline shear modulus is assumed to be the arithmetic mean of the Reuss and Voigt values:

$$G_{RVHG} = \frac{1}{2}(G_R + G_V). \quad (14)$$

The homogenized bulk modulus  $B_0$  for systems with cubic symmetry as considered here is given by the same expression, Eq. (1), in all four methods.

Once the homogenized elastic constants are known, other homogenized elastic quantities, such as the Young modulus  $Y$  or Poisson ratio  $\nu$ , can be easily obtained:

$$Y = \frac{9B_0G}{3B_0 + G}, \quad \nu = \frac{1}{2} \frac{3B_0 - 2G}{3B_0 + 2G}. \quad (15)$$

Here,  $G$  is equal to  $G_V$ ,  $G_R$ ,  $G_H$ , or  $G_{RVHG}$ .

### III. RESULTS AND DISCUSSION

The computed dependences of the differences in the total energy  $\Delta E_{TOT}$  (in eV per atom) as a function of volume  $V$  for different structural variants are displayed in Fig. 6. The phase with the lowest value of the total energy in its equilibrium

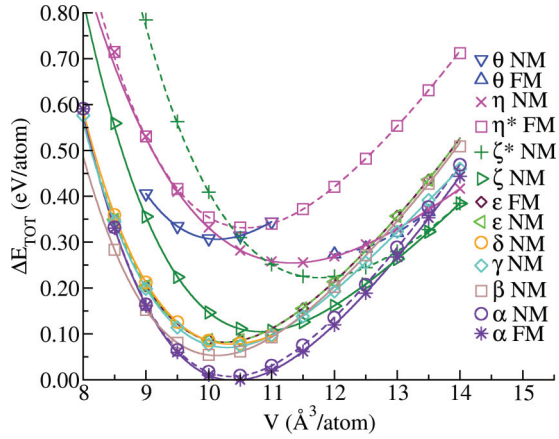


FIG. 6. (Color online) Total energy with respect to the minimum of the ground-state energy  $\Delta E_{TOT}$  as a function of volume  $V$  (in  $\text{\AA}^3$  per atom) for different ferromagnetic (FM) and nonmagnetic (NM)  $\text{Ni}_4\text{N}$  allotropes. Curves  $\eta^*$  and  $\zeta^*$  correspond to states with the internal parameters kept constant and equal to 0.25 (see the explanation in Sec. III).

state, and therefore the most stable structure, is the  $\alpha$ - $\text{Ni}_4\text{N}$  allotrope in agreement with experimental findings; all total energy differences are computed with respect to this energy. Let us note that in case of the  $\theta$ - $\text{Ni}_4\text{N}$ , we have encountered convergence problems in the region of volumes of 11-12  $\text{\AA}^3$  where we detected a phase transition from the FM state (at higher volumes) into an NM one (at lower volumes). This prevented us from determining the total energy minimum for the FM state. Therefore, unless explicitly mentioned, in the following we discuss properties of the NM  $\theta$ - $\text{Ni}_4\text{N}$  phase only. Further, the local magnetic moments of Ni atoms in the  $\delta$ - $\text{Ni}_4\text{N}$  phase are so small and so close to our numerical accuracy that we consider this state as effectively non-magnetic. Here the energies of both FM and NM states are nearly identical.

The calculated structural characteristics are summarized in Tables III and IV for each structure in its minimum-energy state. In particular, the equilibrium volume  $V_{eq}$  (in  $\text{\AA}^3$  per atom), the lattice parameter  $a$  (in  $\text{\AA}$ ) and the  $b/a$  and  $c/a$  ratios in the case of noncubic phases, as well as relaxed internal parameters of Ni (N) atomic positions  ${}^{\text{Ni}}u$  ( ${}^{\text{N}}u$ ) are listed. The

TABLE III. *Ab initio* calculated equilibrium values of the atomic volume  $V_{eq}$ , lattice parameter  $a$ , the ratios between lattice parameters  $b/a$  and  $c/a$ , and calculated values of the magnetic moment  $\mu$  per Ni atom of ferromagnetic (FM) states. The  $\delta$ - $\text{Ni}_4\text{N}$  phase is effectively non-magnetic (NM).

$\text{Ni}_4\text{N}$	$V_{eq}$ ( $\text{\AA}^3/\text{atom}$ )	$a$ ( $\text{\AA}$ )	$b/a$	$c/a$	$\mu$ ( $\mu_B/\text{Ni}$ )
$\alpha$ (FM)	10.40	3.73	1	1	0.396
$\alpha$ expt. <sup>13</sup>	10.72	3.77	1	1	
$\beta$ (NM)	10.16	5.75	1	1.07	
$\gamma$ (NM)	10.28	5.02	1.2	1.36	
$\delta$ (NM)	10.37	6.07	0.96	0.96	0.038
$\varepsilon$ (FM)	10.35	6.44	0.44	0.44	0.247
$\zeta$ (NM)	10.82	3.78	1	1	
$\eta$ (NM)	11.33	4.84	1	1	
$\theta$ (NM)	10.14	3.55	1	2.27	

TABLE IV. Ground-state values of internal structural parameters determining atomic positions (see Table XI in the Appendix for details) on different Wyckoff sublattices (these are indicated by superscripts).

$\text{Ni}_4\text{N}$	$\beta$	$\gamma$	$\varepsilon$	$\zeta$	$\eta$	$\theta$
${}^{\text{N}}u^x$		0.0 <sup>4c</sup>				
${}^{\text{N}}u^z$		0.0 <sup>4c</sup>				0.164 <sup>2c</sup>
${}^{\text{Ni}}u^x$	0.034	0.197 <sup>4c</sup>	0.219 <sup>2l</sup>	0.268 <sup>4c</sup>	0.287 <sup>8c</sup>	
${}^{\text{Ni}}u^z$		0.5 <sup>4c</sup>				0.5 <sup>2c</sup>
${}^{\text{Ni}}u^x$		0.5 <sup>8d</sup>				
${}^{\text{Ni}}u^y$		0.5 <sup>8d</sup>				
${}^{\text{Ni}}u^z$		0.717 <sup>8d</sup>				0.286 <sup>4f</sup>

volumetric dependences of internal parameters  ${}^{\text{Ni}}u$  and  ${}^{\text{N}}u$  defined for the  $\beta$ - $\text{Ni}_4\text{N}$ ,  $\gamma$ - $\text{Ni}_4\text{N}$ ,  $\varepsilon$ - $\text{Ni}_4\text{N}$ ,  $\zeta$ - $\text{Ni}_4\text{N}$ ,  $\eta$ - $\text{Ni}_4\text{N}$ , and  $\theta$ - $\text{Ni}_4\text{N}$  structural variants are shown in Figs. 7 and 8.

Inspecting the volumetric dependences in Figs. 7 and 8 we see that the internal parameter  ${}^{\text{Ni}}u$  in the  $\eta$ - $\text{Ni}_4\text{N}$  structural variant is rather constant for lower volumes, equal to the value 0.25 that corresponds to the Ni atoms being located in exactly one-quarter along the body diagonal of the elementary unit cell (see the upper left part of Fig. 4). This trend changes at about 8.5  $\text{\AA}^3$  per atom and the internal parameter  ${}^{\text{Ni}}u$  increases for higher volumes. In order to examine the impact of these changes on the energetics of the  $\eta$ - $\text{Ni}_4\text{N}$  allotrope (see a similar analysis in the case of  $\text{MoSi}_2$  in Refs. 55 and 56), we have performed also a series of calculations with  ${}^{\text{Ni}}u = 0.25$  and these data points are marked as  $\eta^*$  in Fig. 6. Apparently, rather small changes in the value of the internal parameter  ${}^{\text{Ni}}u$  result in quite significant reduction of the total energy of the  $\eta$ - $\text{Ni}_4\text{N}$  compound.

Similarly, a geometrically ideal position with  ${}^{\text{Ni}}u = 0.25$  may occur in the  $\zeta$ - $\text{Ni}_4\text{N}$  phase that, in contrast to the trend detected in the  $\eta$ - $\text{Ni}_4\text{N}$  allotrope, reaches this value only for the highest studied volumes. Also in this case we fixed the internal parameter  ${}^{\text{Ni}}u$  to 0.25 and the corresponding data points are

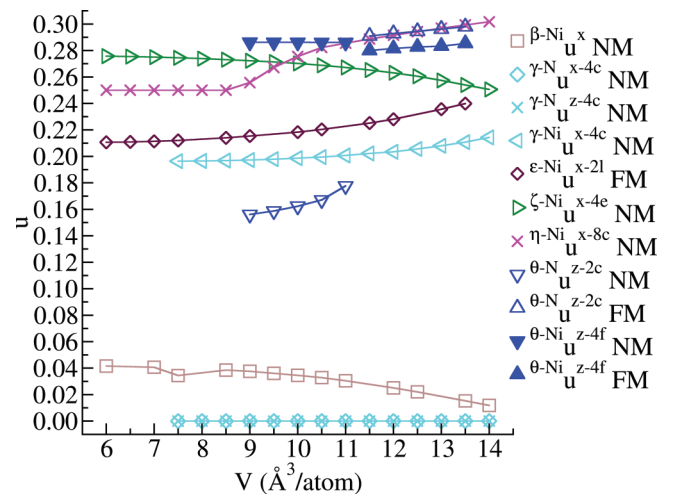


FIG. 7. (Color online) *Ab initio* calculated volumetric dependences of internal atomic-position parameter  ${}^{\text{N}}u$  and  ${}^{\text{Ni}}u$  (see also Table IV) in  $\beta$ - $\text{Ni}_4\text{N}$ ,  $\varepsilon$ - $\text{Ni}_4\text{N}$ ,  $\zeta$ - $\text{Ni}_4\text{N}$ ,  $\gamma$ - $\text{Ni}_4\text{N}$ ,  $\eta$ - $\text{Ni}_4\text{N}$ , and  $\theta$ - $\text{Ni}_4\text{N}$  allotropes.

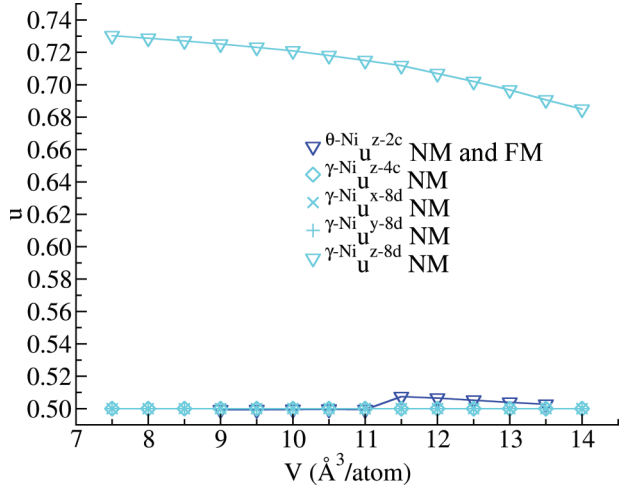


FIG. 8. (Color online) *Ab initio* calculated volumetric dependences of internal atomic-position parameter  $Ni_u$  (see also Table IV) in  $\gamma$ - $Ni_4N$  and  $\theta$ - $Ni_4N$  allotropes.

labeled  $\zeta^*$  in Fig. 6. Again, a significant reduction of the total energy is seen when compared with the unconstrained states.

Employing the Murnaghan equation of state<sup>40</sup> for the total energy  $E$ ,

$$E(V) = E_0 + \frac{B_0 V}{B'_0} \left( \frac{(V_0/V)^{B'_0}}{B'_0 - 1} + 1 \right) - \frac{B_0 V_0}{B'_0 - 1},$$

we can express the energy  $E$  as a function of the pressure  $p$  applying the analysis performed recently by Holec *et al.*,<sup>57</sup>

$$E(p) = E_0 + \frac{B_0 V_0}{B'_0 - 1} \left[ \frac{\frac{p}{B_0} + 1}{\sqrt{\frac{B'_0}{B_0} p + 1}} - 1 \right],$$

using the pressure dependence derived from the Murnaghan equation of state:

$$p = -\frac{\partial E}{\partial V} \Rightarrow V(p) = \frac{V_0}{\sqrt{\frac{B'_0}{B_0} p + 1}}.$$

The pressure dependences of the atomic volume for all studied phases determined in this way are shown in Fig. 9. Based on this relation, the enthalpy  $H(p) = E[V(p)] + pV(p)$  can be evaluated analytically and the corresponding pressure dependences are visualized in Fig. 10. The results indicate that within the considered pressure range the order of thermodynamic stability is not altered with the only exception being the two phases with the highest energies considered in our study,  $\eta$  and  $\theta$ , for which enthalpies cross at  $\approx 8$  GPa.

As far as elastic parameters are concerned, selected computed values are summarized in Table V for each structure. In particular, the bulk modulus  $B$  (in GPa) and its pressure derivative  $B'$  (as a representative of third-order elastic constants) are listed. It is worth noting that the most stable  $\alpha$ - $Ni_4N$  allotrope (see a detailed analysis below) possesses also the highest value of the bulk modulus  $B = 197$  GPa. In contrast, the lowest value is predicted for  $\eta$ - $Ni_4N$ .

In order to obtain energy-related quantities characterizing the thermodynamic stability, such as the formation energy of the studied nickel nitrides, the ground-state energies of

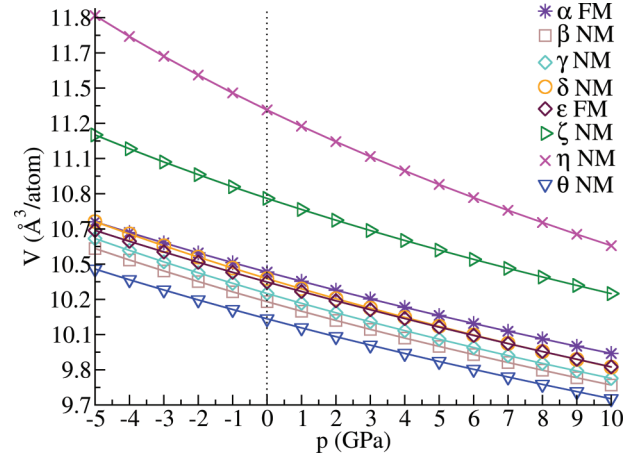


FIG. 9. (Color online) Atomic volume  $V$  (in  $\text{\AA}^3$  per atom) as function of pressure of the various  $Ni_4N$  phases.

the elemental constituents must be known. Therefore, we calculated the energy-volume dependence for ferromagnetic (FM) and nonmagnetic (NM) fcc and bcc nickel. To obtain an upper bound for the nitrogen chemical potential, we employ a large cube-shaped supercell (with the lattice parameter  $a = 11$   $\text{\AA}$ ) with a two-atomic nitrogen molecule  $N_2$  inside and optimized the N-N interatomic distance so as to minimize the total energy. The calculated lattice parameter of FM fcc Ni (3.52  $\text{\AA}$ ) agrees excellently with the measured value (3.524  $\text{\AA}$ <sup>58</sup>). Similarly, good agreement is reached between computed (1.117  $\text{\AA}$ ) and measured (1.097  $\text{\AA}$ ) interatomic distance in the  $N_2$  molecule.<sup>59</sup>

Having obtained the energies of the elemental constituents, we determined the thermodynamic characteristics such as (i) the values of total energy differences  $\Delta E_{TOT}$  with respect to the most stable structure (the  $\alpha$ - $Ni_4N$  allotrope) and (ii) energies of formation  $E_f$  at  $T = 0$  K. The formation energy  $E_f$  of the studied compounds was calculated using the formula

$$E_f(Ni_m N_n) = \frac{E(Ni_m N_n) - mE^{Ni} - nE^N}{m + n},$$

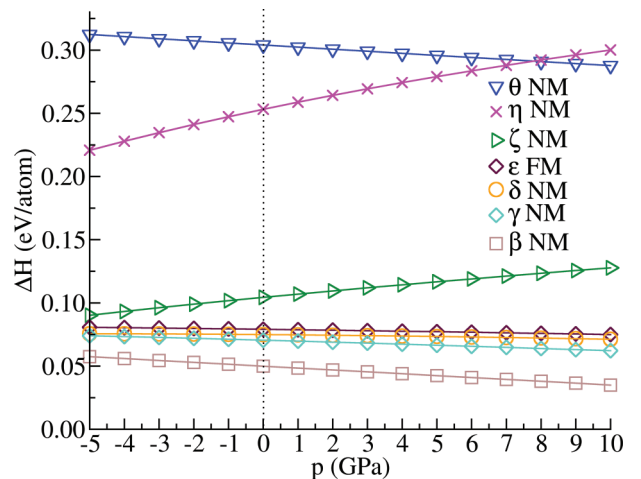


FIG. 10. (Color online) *Ab initio* computed pressure dependence of the enthalpy  $H$  for the various  $Ni_4N$  phases visualized for each pressure relatively with respect to the enthalpy of the FM  $\alpha$ - $Ni_4N$ .

TABLE V. *Ab initio* calculated values of the bulk modulus  $B$  (in GPa) and its pressure derivative  $B'$  obtained from the Murnaghan equation<sup>40</sup> for  $V_{\text{eq}}$  (in  $\text{\AA}^3$  per atom).

Ni <sub>4</sub> N	$B$ (GPa)	$B'$
$\alpha$ (FM)	197	4.9
$\beta$ (NM)	193	4.4
$\gamma$ (NM)	182	5.6
$\delta$ (NM)	176	5.2
$\varepsilon$ (FM)	189	4.2
$\zeta$ (NM)	168	5.8
$\eta$ (NM)	120	4.6
$\theta$ (NM)	195	4.9

where  $E_f(\text{Ni}_m\text{N}_n)$  is the total energy (per formula unit) of the  $\text{Ni}_m\text{N}_n$  compound containing  $m$  Ni atoms and  $n$  N atoms and  $E^{\text{N}}$  and  $E^{\text{Ni}}$  are the total energies per atom of N and Ni in their ground-state phases, i.e., in the  $\text{N}_2$  molecule and in ferromagnetic fcc Ni, respectively. These results are listed in Table VI.

Focusing on the  $T = 0$  K properties, in particular on the above discussed relation between the thermodynamic stability and the bulk modulus, we can extend our previous discussion about the maximum bulk modulus  $B$  found for the most stable compound  $\alpha$ -Ni<sub>4</sub>N (value of 197 GPa). We therefore combine the bulk modulus values in Table V with the total energy differences  $\Delta E_{\text{TOT}}$  with respect to the  $\alpha$ -Ni<sub>4</sub>N listed in Table VI; we can also connect the lowest bulk modulus  $B$  predicted for the  $\eta$ -Ni<sub>4</sub>N phase with the highest value of the total energy differences  $\Delta E_{\text{TOT}}$ , or equivalently with the lowest stability, predicted for the  $\eta$ -Ni<sub>4</sub>N compound. In Fig. 11 we draw the dependence of  $\Delta E_{\text{TOT}}$  as a function of the bulk modulus  $B$  for all studied compounds. An almost linear relation is observed. The only deviating data point corresponds to the nonmagnetic  $\theta$ -Ni<sub>4</sub>N allotrope. Here we speculate that this compound is so distinctly different from the other studied phases due to its very peculiar cell shape described by a  $c/a$  ratio equal to 2.27. Since this phase is also thermodynamically highly unfavorable, we exclude this phase from our subsequent analysis.

Considering the results for the seven remaining compounds, the level of anticorrelation can be mathematically quantified by evaluating the sample correlation coefficient  $r$ . For two data

TABLE VI. *Ab initio* calculated values of total-energy differences  $\Delta E_{\text{TOT}}$  with respect to the most stable structure ( $\alpha$ -Ni<sub>4</sub>N), energy of formation  $E_f$ , and for NM phases, the total densities of states (DOS) at the Fermi level.

Ni <sub>4</sub> N	$\Delta E_{\text{TOT}}$ (meV/atom)	$E_f$ (meV/atom)	DOS <sup>NM</sup> at $E_F$
$\alpha$ (FM)	0	-48	1.50
$\beta$ (NM)	53	5	3.50
$\gamma$ (NM)	70	21	0.96
$\delta$ (NM)	77	29	
$\varepsilon$ (FM)	84	35	1.12
$\zeta$ (NM)	106	57	0.81
$\eta$ (NM)	255	206	1.47
$\theta$ (NM)	306	258	1.55

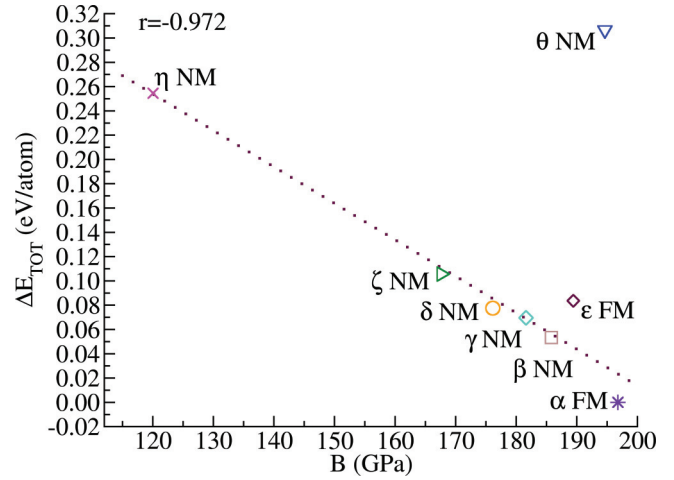


FIG. 11. (Color online) Relation between the total energy difference  $\Delta E_{\text{TOT}}$  with respect to the most stable structure  $\alpha$ -Ni<sub>4</sub>N and the bulk modulus  $B$  (in GPa).

sets  $f_i$  and  $g_i$ , this coefficient is defined as

$$r = \frac{n \sum_{i=1}^n f_i g_i - \sum_{i=1}^n f_i \sum_{i=1}^n g_i}{\sqrt{n \sum_{i=1}^n f_i^2 - (\sum_{i=1}^n f_i)^2} \sqrt{n \sum_{i=1}^n g_i^2 - (\sum_{i=1}^n g_i)^2}}$$

If  $f_i$  and  $g_i$  are strongly anticorrelated, the coefficient approaches  $-1$ .

It is seen that the thermodynamical stability (expressed by the total energy difference  $\Delta E_{\text{TOT}}$  with respect to the total energy of the ground-state phase) depends linearly on the bulk modulus  $B$  and both quantities are strongly anticorrelated with the sample correlation coefficient  $r$  equal to  $-0.972$ . The slope of this linear dependence is  $-3.2$  meV/atom per GPa, i.e., an increase of the total energy difference  $\Delta E_{\text{TOT}}$  (or of the formation energy  $E_f$ ) by  $3.2$  meV/atom results in a reduction of the bulk modulus  $B$  by 1 GPa. This finding is in agreement with recent results related to Ti-Nb bcc alloys<sup>60</sup> or fcc alloys.<sup>61</sup> We note, however, that only the  $\alpha$ -Ni<sub>4</sub>N compound has negative formation energy  $E_f$  indicating that only this phase is thermodynamically stable, whereas all other allotropes are unstable with respect to a decomposition into elemental nickel and nitrogen. This finding is consistent with experimental observations.

After examining selected thermodynamic and elastic properties of the studied Ni<sub>4</sub>N allotropes, we further analyze their magnetic characteristics. The calculated values of the atomic magnetic moment  $\mu$  (per Ni atom) of ferromagnetic (FM) states are listed in Table III. Inspecting the values in Table III we find that only for the ground state  $\alpha$ -Ni<sub>4</sub>N phase and, to a lesser extent  $\varepsilon$ -Ni<sub>4</sub>N allotrope, a significant magnetic moment occurs. As we can see from Fig. 6, the ground state of  $\theta$ -phase is probably also FM. Unfortunately, due to convergence problems, we were not able to determine this ground state accurately enough. We can also compare the magnetic moments from Table III with electronic densities of states at the Fermi level (DOS( $E_F$ )) computed for NM phases (see Table VI) and consider the Stoner criterion for the occurrence of magnetism. Interestingly, we do not find any reasonable correlation between a high NM DOS( $E_F$ ) and the onset of magnetism.

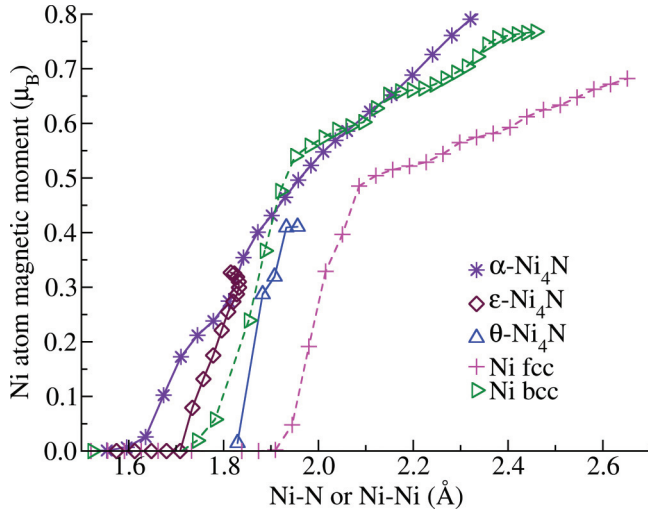


FIG. 12. (Color online) *Ab initio* calculated dependences of magnetic moments  $\mu$  (per Ni atom) in ferromagnetic (FM)  $\text{Ni}_4\text{N}$  allotropes and fcc and bcc FM Ni as functions of the first-nearest neighbor interatomic distance Ni-N (in case of nickel nitrides) or Ni-Ni (in case of cubic nickel).

In order to compare magnetism in the above mentioned three FM  $\text{Ni}_4\text{N}$  compounds with the FM bcc and fcc Ni phases, we analyze the dependence of the local Ni magnetic moment  $\mu$  as a function of the distance between the nearest neighboring atoms, i.e., either N-Ni (in  $\text{Ni}_4\text{N}$  compounds) or Ni-Ni (in fcc and bcc FM Ni). The trends are depicted in Fig. 12. It turns out the onset of magnetism in the  $\text{Ni}_4\text{N}$  FM compounds and in elemental Ni is connected with the interatomic distances between 1.6 and 1.9 Å with the lower value found mostly for nickel nitrides and the upper value in the elemental FM fcc Ni. The trends in Fig. 12 are qualitatively quite similar for nickel nitrides and pure Ni, though not identical. Both bcc and fcc Ni exhibit a well-pronounced kink on the dependence of the local magnetic moment on the nearest-neighbor interatomic distance that is shifted to a lower value in the case of hypothetical bcc Ni. In contrast to that, dependences obtained for nickel nitrides exhibit also changes of the slope but these are less pronounced.

Having found common features in the magnetism of  $\text{Ni}_4\text{N}$  compounds and Ni states, we continue our study with a detailed description of single-crystalline elastic properties of the ground-state cubic  $\alpha\text{-Ni}_4\text{N}$  phase. The comparison of these results with single-crystalline elastic constants computed for fcc FM Ni is given in Table VII (results for noncubic

TABLE VII. Summary of *ab initio* calculated and experimental second order single-crystal elastic constants  $C_{11}, C_{12}, C_{44}$  and the Zener anisotropy ratio  $A_Z = 2C_{44}/(C_{11} - C_{12})$  of ferromagnetic (FM) fcc nickel and  $\alpha\text{-Ni}_4\text{N}$ ,  $\zeta\text{-Ni}_4\text{N}$ , and  $\eta\text{-Ni}_4\text{N}$ .

	$C_{11}$ (GPa)	$C_{12}$ (GPa)	$C_{44}$ (GPa)	$A_Z$
Ni this work	268	151	129	2.20
Ni expt. <sup>58</sup>	248	155	124	2.67
$\alpha\text{-Ni}_4\text{N}$ (FM)	348	121	43	0.38
$\zeta\text{-Ni}_4\text{N}$ (NM)	181	161	114	11.4
$\eta\text{-Ni}_4\text{N}$ (NM)	251	55	50	0.51

TABLE VIII. *Ab initio* calculated polycrystalline shear moduli  $G$ , Young moduli  $Y$ , and Poisson ratios  $\nu$  of the cubic  $\alpha\text{-Ni}_4\text{N}$ ,  $\zeta\text{-Ni}_4\text{N}$ , and  $\eta\text{-Ni}_4\text{N}$  phases. Voigt (V), Reuss (R), Hershey (H), as well as Reuss-Voigt-Hill-Gilvarry (RVHG) homogenization schemes are used. Specifically for the Hershey's homogenization method we also list  $B/G$  ratios. For the sake of completeness, Cauchy pressures  $C_{12}-C_{44}$  are given, too.

	$\alpha\text{-Ni}_4\text{N}$	$\zeta\text{-Ni}_4\text{N}$	$\eta\text{-Ni}_4\text{N}$	fcc FM Ni
$G_V$ (GPa)	71	72	69	101
$Y_V$ (GPa)	191	190	174	257
$\nu_V$	0.306	0.276	0.223	0.239
$G_H$ (GPa)	64	49	65	94
$Y_H$ (GPa)	173	133	166	243
$\nu_H$	0.322	0.338	0.234	0.251
$B/G_H$	3.09	3.44	1.84	2.01
$C_{12}-C_{44}$	78	47	5	22
$G_{RVHG}$ (GPa)	64	47	66	94
$Y_{RVHG}$ (GPa)	174	130	167	242
$\nu_{RVHG}$	0.321	0.342	0.233	0.252
$G_R$ (GPa)	57	22	62	87
$Y_R$ (GPa)	156	63	159	227
$\nu_R$	0.338	0.419	0.244	0.266

tetragonal-symmetry  $\beta\text{-Ni}_4\text{N}$  are summarized in Table XII in the Appendix for a future reference). For nitrides possessing a cubic symmetry, we calculated all three single-crystalline elastic constants as well as homogenized polycrystalline elastic moduli and compared the results with those obtained for fcc FM Ni (see Table VIII). As seen in Table VII, our theoretically predicted single-crystalline elastic constants of FM fcc Ni agree very well with the measured ones.<sup>58</sup>

The anisotropic single-crystalline elastic response is conveniently visualized by calculating the directional dependence of the Young modulus  $Y(\mathbf{r})$  and our results are shown in Fig. 13. Apparently, the  $\alpha\text{-Ni}_4\text{N}$  compound is both quantitatively and qualitatively different from the elemental fcc Ni. The maximum values (indicated by dark blue-red color) are comparable but the crystallographic directions are complementary, i.e., the [001] is the softest and the [111] the hardest direction in the case of  $\alpha\text{-Ni}_4\text{N}$  phase, but it is the other way around in FM fcc Ni. The difference may also be conveniently characterized by Zener's anisotropy  $A_Z$  ratio that for the three cubic  $\text{Ni}_4\text{N}$  allotropes reaches rather extreme values. In contrast to FM fcc Ni with the Zener's ratio equal to 2.20, its value is as low as 0.38 and 0.51 for  $\alpha\text{-Ni}_4\text{N}$  and  $\eta\text{-Ni}_4\text{N}$ , respectively, but as high as 11.4 in case of  $\zeta\text{-Ni}_4\text{N}$ . The very low values predicted for  $\alpha\text{-Ni}_4\text{N}$  and  $\eta\text{-Ni}_4\text{N}$  are clearly due to rather low values of  $C_{44}$  (see Table VII) similarly as, e.g., in the case of  $\alpha\text{-Po}$  crystallizing in the simple cubic structure (for details, see Refs. 62–64). Exactly in opposite manner, the very high value of 11.4 computed for  $\zeta\text{-Ni}_4\text{N}$  is due to an extremely low value of  $C' = 1/2(C_{11} - C_{12})$ .

Additionally, we also list in Table VIII the ratio of the bulk and shear moduli employing Hershey's homogenization method as well as the Cauchy pressures. The former parameter, the  $B/G$  ratio, was found to be correlated with the ductility of materials.<sup>65</sup> We find all phases to have the ratio above the threshold of 1.75 separating materials behaving in a brittle



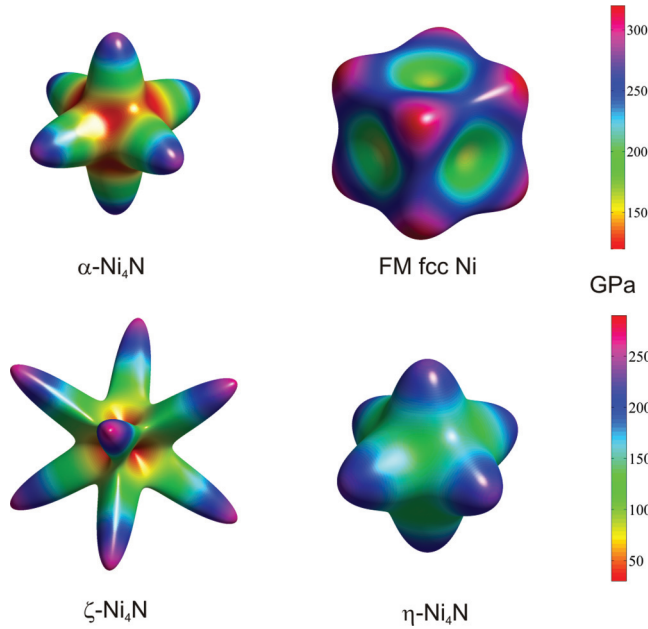


FIG. 13. (Color online) *Ab initio* predicted directional dependence of single-crystalline Young modulus for FM  $\alpha$ -, NM  $\zeta$ -, and NM  $\eta$ -Ni<sub>4</sub>N, as well as for ferromagnetic fcc Ni.

manner ( $B/G < 1.75$ ) from those that are ductile ( $B/G > 1.75$ ) and we thus expect these compounds to be ductile rather than brittle.

Also the positive Cauchy pressure supports this prediction as negative values are commonly interpreted as a fingerprint of covalent bonds<sup>66</sup> appearing in brittle materials. Recently, however, it was demonstrated that the Cauchy pressure is negative not because of covalent bonding as originally suggested but because of long-range electrostatic potential contributions.<sup>67</sup>

To study the elastic response of Ni<sub>4</sub>N cubic phases to biaxial strains, we have also analyzed directional dependence of the area modulus  $A(\mathbf{r})$  as recently introduced, e.g., in Refs. 68 and 69. The area modulus is a two-dimensional counterpart of the Young's modulus. In a similar manner as the Young's modulus describes uniaxial loadings along a vector  $\mathbf{r}$ , the area modulus describes the change of the area within a plane with the plane normal vector  $\mathbf{r}$ . Specifically, for cubic systems, the area modulus  $A(\mathbf{r})$  may be obtained from the relation

$$\frac{1}{A(\mathbf{r})} = \frac{1}{Y(\mathbf{r})} + \frac{1}{3B}. \quad (16)$$

The area modulus is a useful visualization of the amount of elastic energy that is necessary, for example, for epitaxial deposition of a studied material on a substrate in a fully coherent manner. Figure 14 can be thus interpreted that the elastic energy will be lowest when  $\alpha$ -Ni<sub>4</sub>N is grown to interface a substrate by its {111} planes and highest for {100} planes. Similar conclusions can be drawn for the  $\eta$ -Ni<sub>4</sub>N allotrope. For the  $\zeta$ -Ni<sub>4</sub>N phase, due to its qualitatively opposite elastic anisotropy as compared with  $\alpha$ -Ni<sub>4</sub>N, planes minimizing and maximizing the elastic energy in case of epitaxial growth will be exactly inverted.

Due to the fact that both thin films and grain-boundary precipitates typically exist in rather complex stress/strain states, we also calculated the pressure dependence of single-

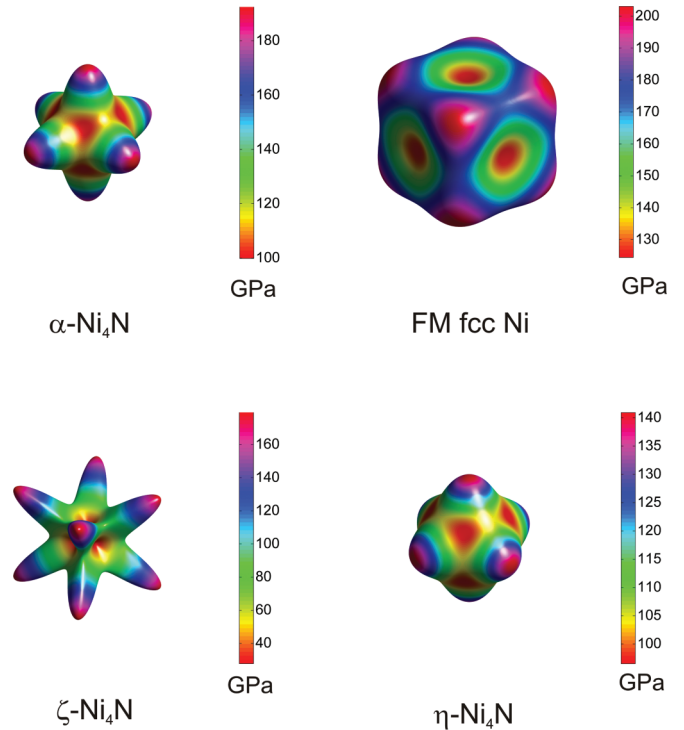


FIG. 14. (Color online) Computed directional dependences of single-crystalline area modulus  $A$  of FM  $\alpha$ -Ni<sub>4</sub>N, fcc FM Ni, NM  $\zeta$ -Ni<sub>4</sub>N, and NM  $\eta$ -Ni<sub>4</sub>N.

crystalline elastic constants. As shown in Figs. 15, 16, and 17  $C_{11}$  and  $C_{44}$  increase with increasing hydrostatic pressure but  $C_{12}$  decreases. The computed trends allow for comparison with those predicted by *ab initio* methods in other nitrogen-containing cubic intermetallics and alloys, such as zinc-blende III-N nitrides,<sup>70,71</sup> cubic boron nitrides,<sup>72-74</sup> superhard semiconducting C<sub>3</sub>N<sub>2</sub>,<sup>75</sup> cubic spinel SiGe<sub>2</sub>N<sub>4</sub>,<sup>76</sup> cubic antiperovskites (i) ANSr(3) ( $A = \text{As, Sb, and Bi}$ ),<sup>77</sup> (ii) AsNb<sub>3</sub> and SbNb<sub>3</sub>,<sup>78</sup> and (iii) MNi<sub>3</sub> ( $M = \text{Zn, Cd, Mg, Al, Ga, In, Sn, Sb, Pd, Cu, Ag, and Pt}$ ),<sup>79</sup> cubic TiN and AlN,<sup>80</sup> cubic phases of NbN<sup>81</sup> and GaN,<sup>82</sup> zinc-blende and rocksalt BN,<sup>83</sup> ultraincompressible bimetallic interstitial

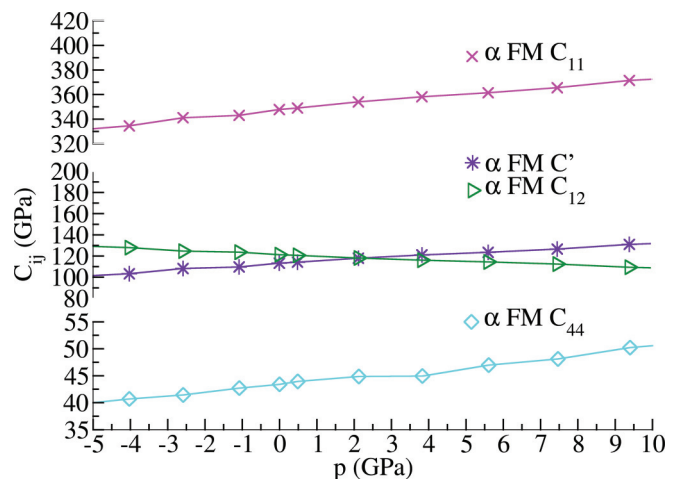


FIG. 15. (Color online) *Ab initio* calculated pressure dependences of single-crystalline elastic constants of the FM  $\alpha$ -Ni<sub>4</sub>N phase.

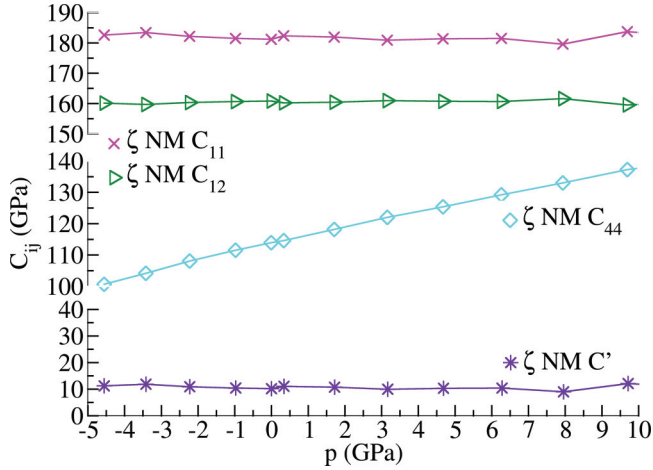


FIG. 16. (Color online) *Ab initio* calculated pressure dependences of single-crystal elastic constants of the NM  $\zeta$ -Ni<sub>4</sub>N phase.

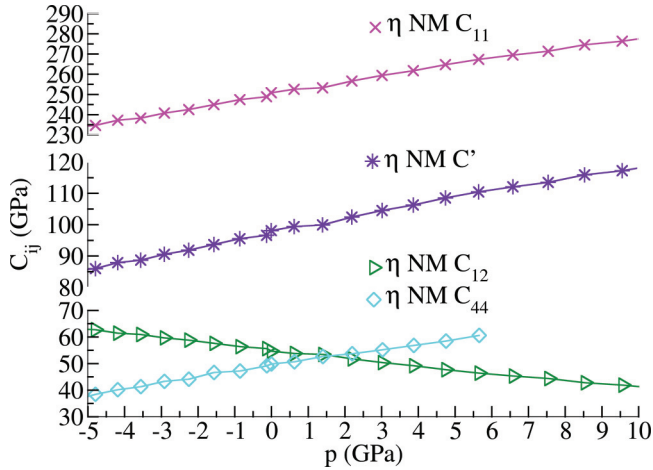


FIG. 17. (Color online) *Ab initio* calculated pressure dependences of single-crystal elastic constants of the NM  $\eta$ -Ni<sub>4</sub>N phase.

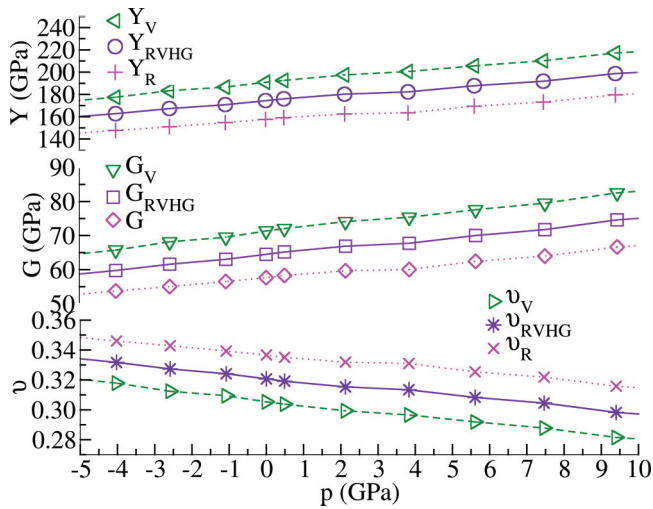


FIG. 18. (Color online) Calculated pressure dependences of homogenized elastic moduli (Young  $Y$  and shear  $G$ ) together with the Poisson ratio  $\nu$  of the FM  $\alpha$ -Ni<sub>4</sub>N phase as obtained employing Voigt, Reuss, and Reuss-Voigt-Hill-Gilvarry (RVHG) homogenization schemes. The data points using the Hershey's method are not shown as they are very similar to the RVHG ones.

TABLE IX. Extremal values of Poisson ratio  $\nu(\mathbf{n}, \mathbf{m})$  for a uniaxial loading along the vector  $\mathbf{n}$  and perpendicular strain in the direction  $\mathbf{m}$ .

	$\nu_{\min}$	$\mathbf{n}$	$\mathbf{m}$	$\nu_{\max}$	$\mathbf{n}$	$\mathbf{m}$
$\alpha$ -Ni <sub>4</sub> N	0.127	[1 1 0]	[0 0 1]	0.635	[1 1 0]	[1 $\bar{1}$ 0]
$\zeta$ -Ni <sub>4</sub> N	-0.625	[1 1 0.388]	[1 $\bar{1}$ 0]	1.43	[1 1 0]	[0 0 1]
$\eta$ -Ni <sub>4</sub> N	0.115	[1 1 0]	[0 0 1]	0.476	[1 1 0]	[1 $\bar{1}$ 0]

nitrides Ni<sub>2</sub>Mo<sub>3</sub>N, Pd<sub>2</sub>Mo<sub>3</sub>N, Pt<sub>2</sub>Mo<sub>3</sub>N, Co<sub>3</sub>Mo<sub>3</sub>N, and Fe<sub>3</sub>Mo<sub>3</sub>N,<sup>84</sup> or C<sub>3</sub>N<sub>2</sub>,<sup>85</sup> Zr<sub>3</sub>N<sub>4</sub>, and Hf<sub>3</sub>N<sub>4</sub>.<sup>86</sup>

By applying homogenization techniques, the pressure dependence of polycrystalline Young and shear moduli as well as of the Poisson ratio  $\nu$  have been obtained for FM  $\alpha$ -Ni<sub>4</sub>N allotrope and are visualized in Fig. 18. It can be seen that polycrystalline elastic moduli increase with increasing hydrostatic pressure while the Poisson ratio decreases.

We have also tested stability conditions based on these single-crystalline elastic constants at different hydrostatic pressures  $p$  (for details see, e.g., Refs. 87–90),

$$C_{11} - C_{12} - p > 0, \quad (17)$$

$$C_{11} + 2C_{12} + 2p > 0, \quad (18)$$

$$C_{44} - p/2 > 0, \quad (19)$$

within the studied pressure range. These stability conditions are the same as, e.g., relations (C.5) from Ref. 89 or the lowest set of relations (48) from Ref. 90. For the  $\alpha$ -Ni<sub>4</sub>N phase all conditions are obeyed and we can conclude that it is predicted to be mechanically stable.

Finally, our extended analysis of single-crystalline elastic constants for all three cubic variants allows one to examine Poisson ratios for loadings along different directions. Following the analysis by Norris,<sup>69</sup> extremal values have been determined and these are summarized in Table IX. Seeing negative values of Poisson's ratio in the case of  $\zeta$ -Ni<sub>4</sub>N we can conclude that, if this phase could be prepared (e.g., in thin films under external constraints), it would have auxetic properties on the single-crystalline level, i.e., due to an uniaxial tension along the [1 1 0.388] direction, this material would expand in the [1  $\bar{1}$  0] direction perpendicular to the loading axis.

#### IV. CONCLUSIONS

With the help of *ab initio* methods, we studied thermodynamic, structural, magnetic, elastic, and electronic properties of eight allotropes of Ni<sub>4</sub>N. Our theoretical results confirm, in agreement with experimental findings, that the cubic allotrope [Pearson symbol cP5, space group  $Pm\bar{3}m$  (221)] is the most stable one within the studied set. It is also the only one thermodynamically stable at  $T = 0$  K with respect to the decomposition to the elemental Ni and the N<sub>2</sub> gas phase. For all eight allotropes, we determined the lattice and internal structural parameters, as well as the bulk moduli and their pressure derivatives. The thermodynamic stability and bulk modulus were found to be anticorrelated.

By analyzing both spin-polarized ferromagnetic and non-magnetic states we find similarities between the behavior of magnetic moment as a function of Ni-N and Ni-Ni nearest neighbor distance in the elemental Ni and studied ferromagnetic phases of Ni<sub>4</sub>N. Aiming mostly at the ground-state Ni<sub>4</sub>N

allotrope, a complete set of single-crystalline elastic constants is calculated at both zero and gigapascal hydrostatic pressures. These values are further homogenized in order to predict polycrystalline elastic moduli employing Voigt, Reuss, Hershey, and Reuss-Voigt-Hill-Gilvarry homogenization methods.

Finally, we demonstrate that the elastic anisotropy of the ground-state Ni<sub>4</sub>N allotrope is both quantitatively and qualitatively different from that in elemental fcc Ni as they have opposite hard and soft crystallographic directions. Further, for one of the cubic phase we show that it possesses a negative Poisson ratio, indicating an auxetic material on the single-crystalline level. As there is very little experimental information on metastable phases of Ni<sub>4</sub>N that can possibly exist at elevated temperatures as well as on their materials properties, most of the present results are theoretical predictions which may motivate future experimental work.

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**APPENDIX**

Tables X, XI, and XII contain optimized atomic positions, crystallographic description of the studied phases, and *ab initio*

TABLE X. Optimized atomic positions in the δ-Ni<sub>4</sub>N Lifshitz structure after full relaxation. Let us note that the relaxed nickel Wyckoff positions are quite different from the “ideal” unrelaxed ones that are given in Table II.

Wyckoff positions Ni
(0.3028, -0.0211, 0.0211); (0.2500, 0.5219, 0.0219) (0.6972, 0.0211, -0.0211); (0.7500, 0.4781, -0.0219) (0.0000, 0.2281, 0.2281); (-0.0528, 0.7711, 0.2289) (0.5000, 0.2719, 0.2719); (0.5528, 0.7289, 0.2711) (0.2500, -0.0219, 0.4781); (0.1972, 0.5211, 0.4789) (0.7500, 0.0219, 0.5219); (0.8028, 0.4789, 0.5211) (0.0528, 0.2289, 0.7711); (0.0000, 0.7719, 0.7719) (0.4472, 0.2711, 0.7289); (0.5000, 0.7281, 0.7281)

calculated single-crystalline elastic constants of the β-Ni<sub>4</sub>N phase, respectively.

TABLE XI. Crystallographic description of the studied phases (without the Lifshitz structure δ-Ni<sub>4</sub>N, which is given in Table II). For the notation of the internal parameter *u*, see the caption of Table II.

Ni <sub>4</sub> N	Wyckoff positions N	Wyckoff positions Ni
α	1a (0, 0, 0)	1b (1/2, 1/2, 1/2) 3d (1/2, 0, 0) (0, 1/2, 0) (0, 0, 1/2)
β	(1/4, 1/4, 1/4) (3/4, 3/4, 1/4) (3/4, 1/4, 3/4) (1/4, 3/4, 3/4)  Ni <sub>u<sup>x</sup></sub> = 0.034	(1/4, 0, 0) (3/4, 0, 0) (1/4, 1/2, 0) (3/4, 1/2, 0) (1/4, 0, 1/2) (1/4, 1/2, 1/2) (3/4, 0, 1/2) (3/4, 1/2, 1/2) (1 - Ni <sub>u<sup>x</sup></sub> , 1/4, 1/4) (Ni <sub>u<sup>x</sup></sub> , 3/4, 1/4) (1/2 + Ni <sub>u<sup>x</sup></sub> , 1/4, 1/4) (1/2 - Ni <sub>u<sup>x</sup></sub> , 3/4, 1/4) (Ni <sub>u<sup>x</sup></sub> , 1/4, 3/4) (1 - Ni <sub>u<sup>x</sup></sub> , 3/4, 3/4) (1/2 - Ni <sub>u<sup>x</sup></sub> , 1/4, 3/4) (1/2 + Ni <sub>u<sup>x</sup></sub> , 3/4, 3/4)
γ	4c (u <sup>x</sup> , 1/4, u <sup>z</sup> ) (1/2 - u <sup>x</sup> , 3/4, 1/2 + u <sup>z</sup> ) (1 - u <sup>x</sup> , 3/4, 1 - u <sup>z</sup> ) (1/2 + u <sup>x</sup> , 1/4, 1/2 - u <sup>z</sup> )  Ni <sub>u<sup>x-4c</sup></sub> = 0.0 Ni <sub>u<sup>z-4c</sup></sub> = 0.0 Ni <sub>u<sup>x-4c</sup></sub> = 0.197 Ni <sub>u<sup>z-4c</sup></sub> = 0.5 Ni <sub>u<sup>x-8d</sup></sub> = 0.5 Ni <sub>u<sup>y-8d</sup></sub> = 0.5 Ni <sub>u<sup>z-8d</sup></sub> = 0.717	4c (u <sup>x</sup> , 1/4, u <sup>z</sup> ) (1/2 - u <sup>x</sup> , 3/4, 1/2 + u <sup>z</sup> ) (1 - u <sup>x</sup> , 3/4, 1 - u <sup>z</sup> ) (1/2 + u <sup>x</sup> , 1/4, 1/2 - u <sup>z</sup> ) 4c (u <sup>x</sup> , 1/4, u <sup>z</sup> ) (1/2 - u <sup>x</sup> , 3/4, 1/2 + u <sup>z</sup> ) (1 - u <sup>x</sup> , 3/4, 1 - u <sup>z</sup> ) (1/2 + u <sup>x</sup> , 1/4, 1/2 - u <sup>z</sup> ) 8d (u <sup>x</sup> , u <sup>y</sup> , u <sup>z</sup> ) (1 - u <sup>x</sup> , 1 - u <sup>y</sup> , 1 - u <sup>z</sup> ) (1/2 - u <sup>x</sup> , 1 - u <sup>y</sup> , 1/2 + u <sup>z</sup> ) (1/2 + u <sup>x</sup> , u <sup>y</sup> , 1/2 - u <sup>z</sup> ) (1 - u <sup>x</sup> , 1/2 + u <sup>y</sup> , 1 - u <sup>z</sup> ) (u <sup>x</sup> , 1/2 - u <sup>y</sup> , u <sup>z</sup> ) (1/2 + u <sup>x</sup> , 1/2 - u <sup>y</sup> , 1/2 - u <sup>z</sup> ) (1/2 - u <sup>x</sup> , 1/2 + u <sup>y</sup> , 1/2 + u <sup>z</sup> )
ε	1h (1/2, 1/2, 1/2)  Ni <sub>u<sup>x-2l</sup></sub> = 0.219	1a (0, 0, 0) 1b (1/2, 0, 0) 2l (u <sup>x</sup> , 1/2, 1/2) (1 - u <sup>x</sup> , 1/2, 1/2)
ζ	1a (0, 0, 0)  Ni <sub>u<sup>x-4c</sup></sub> = 0.268	4e (u <sup>x</sup> , u <sup>x</sup> , u <sup>x</sup> ) (1 - u <sup>x</sup> , 1 - u <sup>x</sup> , u <sup>x</sup> ) (1 - u <sup>x</sup> , u <sup>x</sup> , 1 - u <sup>x</sup> ) (u <sup>x</sup> , 1 - u <sup>x</sup> , 1 - u <sup>x</sup> )
η	2a (0, 0, 0) (1/2, 1/2, 1/2)  Ni <sub>u<sup>x-8c</sup></sub> = 0.287	8c (u <sup>x</sup> , u <sup>x</sup> , u <sup>x</sup> ) (1 - u <sup>x</sup> , 1 - u <sup>x</sup> , u <sup>x</sup> ) (1 - u <sup>x</sup> , u <sup>x</sup> , 1 - u <sup>x</sup> ) (u <sup>x</sup> , 1 - u <sup>x</sup> , 1 - u <sup>x</sup> ) (1/2 + u <sup>x</sup> , 1/2 + u <sup>x</sup> , 1/2 + u <sup>x</sup> ) (1/2 - u <sup>x</sup> , 1/2 - u <sup>x</sup> , 1/2 + u <sup>x</sup> ) (1/2 - u <sup>x</sup> , 1/2 + u <sup>x</sup> , 1/2 - u <sup>x</sup> ) (1/2 + u <sup>x</sup> , 1/2 - u <sup>x</sup> , 1/2 - u <sup>x</sup> )
θ	2c (0, 1/2, z) (1/2, 0, 1 - u <sup>z</sup> )  Ni <sub>u<sup>z-2c</sup></sub> = 0.164 Ni <sub>u<sup>z-2c</sup></sub> = 0.5 Ni <sub>u<sup>z-4f</sup></sub> = 0.286	2a (0, 0, 0) (1/2, 1/2, 0) 2c (0, 1/2, u <sup>z</sup> ) (1/2, 0, 1 - u <sup>z</sup> ) 4f (0, 0, u <sup>z</sup> ) (1/2, 1/2, u <sup>z</sup> ) (1/2, 1/2, 1 - u <sup>z</sup> ) (0, 0, 1 - u <sup>z</sup> )

TABLE XII. *Ab initio* calculated single-crystalline elastic constants of  $\beta$ -Ni<sub>4</sub>N (following the computational approach published in Ref. 91).

	$C_{11}$ (GPa)	$C_{12}$ (GPa)	$C_{13}$ (GPa)	$C_{33}$ (GPa)	$C_{44}$ (GPa)	$C_{66}$ (GPa)
$C_{ij}$ $\beta$ -Ni <sub>4</sub> N	230	153	156	235	64	40

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