Scaling of electrical and thermal conductivities in an almost integrable chain

Yichen Huang,^{1,*} C. Karrasch,^{1,2} and J. E. Moore^{1,2}

¹Department of Physics, University of California, Berkeley, California 94720, USA ²Materials Sciences Division, Lawrence Berkeley National Laboratory, Berkeley, California 94720, USA (Received 7 December 2012; revised manuscript received 29 August 2013; published 16 September 2013)

Many low-dimensional materials are well described by integrable one-dimensional models such as the Hubbard model of electrons or the Heisenberg model of spins. However, the small perturbations to these models required to describe real materials are expected to have singular effects on transport quantities: integrable models often support dissipationless transport, while weak nonintegrable terms lead to finite conductivities. We use matrix-product-state methods to obtain quantitative values of spin/electrical and thermal conductivities in an almost integrable gapless (XXZ-like) spin chain. At low temperatures, we observe power laws whose exponents are solely determined by the Luttinger liquid parameter. This indicates that our results are independent of the actual model under consideration.

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The physics of many one-dimensional systems with idealized interactions is rather special: the quantum Hamiltonian has infinitely many independent conserved quantities that are sums of local operators. Such Hamiltonians are called "integrable" in analogy with classical Hamiltonian systems that decompose into independent action and angle variables. Examples relevant to experiments on crystalline materials include the Hubbard model of electrons and the *XXZ* model of spins; ultracold-atomic systems can realize integrable continuum models of bosons. However, in all these cases it is expected that integrability is only an approximation to reality and that experimental systems have integrability-breaking perturbations which, while small, drastically change some of the physical properties.

Transport properties provide an experimentally important example of the effects of nonintegrable perturbations. In integrable systems, parts of charge, spin, or energy currents are conserved, and thus transport is dissipationless even at nonzero temperature. This corresponds to a finite "Drude weight" D in the frequency-dependent conductivity:^{1–16}

$$\sigma(\omega) = 2\pi D\delta(\omega) + \sigma_{\rm reg}(\omega). \tag{1}$$

In reality, many quasi-one-dimensional (quasi-1D) systems are expected to be well described by integrable Hamiltonians *plus weak nonintegrable perturbations*. The zerofrequency conductivity is regularized (D = 0) by these perturbations.^{4–7,12,14,17–21} An experimental example is the large (but not dissipationless) anisotropic thermal transport observed in Sr₁₄Cu₂₄O₄₁ attributed to a long mean-free path of quasi-1D magnons.^{22–24} However, computing $\sigma_{reg}(\omega)$ quantitatively for a microscopic nonintegrable Hamiltonian is a challenging problem.

We study a generic gapless nonintegrable system (an *XXZ*like spin chain) using density matrix renormalization group (DMRG) methods, which were developed in the past few years to access finite-temperature dynamics of correlated systems. Using linear prediction, we extrapolate current correlation functions to large times. This allows us to quantitatively observe the destruction of the thermal and electrical Drude weights; we compute the corresponding conductivities and analyze how they depend on temperature and the strength of the nonintegrable perturbation. Our key observation is power-law scaling behavior at low temperatures. The corresponding exponents are functions of the equilibrium Luttinger liquid parameter, which indicates that our results should hold for any gapless nonintegrable model in which no conserved quantity protects the current. We compare our numerics to a low-energy field-theory calculation adapting previous bosonization techniques^{8,9} to the nonintegrable perturbation in our model.

Model. We use the *XXZ* model in presence of a staggered magnetic field which breaks integrability (which we will demonstrate explicitly by considering level statistics). Its Hamiltonian is given by $H = \sum_{i=1}^{L} h_i$ with

$$h_i = S_i^x S_{i+1}^x + S_i^y S_{i+1}^y + \Delta S_i^z S_{i+1}^z + (-1)^i h S_i^z.$$
(2)

Without the staggered field, (2) is gapless for $|\Delta| \leq 1$ and gapped for $|\Delta| > 1$. Bosonization leads to the low-energy effective Hamiltonian for $-1 < \Delta \leq 1$ and infinitesimal *h*:

$$H = \frac{v}{2} \int dx (\Pi^2 + (\partial_x \phi)^2) + ch \int dx \cos(2\sqrt{\pi K}\phi) + H_{\text{umklapp}} + H_{\text{band curv.}} + H_{\text{higher terms in }h}, \qquad (3)$$

where Π is the conjugate momentum of the bosonic field ϕ with the canonical commutation relation $[\phi(x), \Pi(y)] = i\delta(x - y)$. The first term in (3) describes a Luttinger liquid. The Luttinger liquid parameter *K* is given through Bethe ansatz: $2K \arccos(-\Delta) = \pi$, and the coefficients *v* and *c* are also known exactly.^{28,29} As the scaling dimension of *h* is 2 - K, the second term in (3) is relevant and opens a gap for K < 2 or $-\sqrt{2}/2 < \Delta \leq 1$; the term is irrelevant and (2) remains in the gapless Luttinger liquid phase for K > 2 or $-1 < \Delta < -\sqrt{2}/2$ (Fig. 1). In the regime where *h* is relevant, its effects have been studied perturbatively^{30,31} and compared to experiments on spin diffusion in copper benzoate.^{32,33}

Integrability is well defined in classical mechanics, but the definition of its quantum counterpart remains a subject of debate.³⁴ It is generally believed that the level-spacing distribution (the distribution of the differences of the adjacent eigenenergies) is the exponential distribution for an integrable

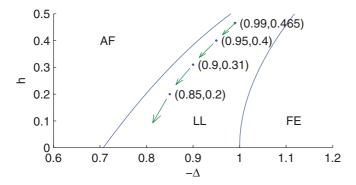


FIG. 1. (Color online) The phase diagram (Refs. 25 and 26) of (2). The points share the same Luttinger liquid parameter $K \approx 2.4$ computed by DMRG (Ref. 27).

model, as levels appear as a Poisson process, and the Wigner-Dyson distribution for a nonintegrable model. Intuitively, two nearby levels in an integrable model likely have different values of at least one integrable quantity, and thus live in different sectors of Hilbert space that are independent of each other; hence, their energies are uncorrelated. Nonintegrable models do not have an extensive number of such sectors and show energy-level repulsion. The belief has been verified numerically on a variety of models.^{35,36} We perform an exact diagonalization of (2) with periodic boundary conditions. Figure 2 shows the level-spacing distributions, and the crossover from Poissonian behavior at h = 0 to the Wigner-Dyson distribution at nonzero h is clear. Hence, (2) is nonintegrable for nonzero h.

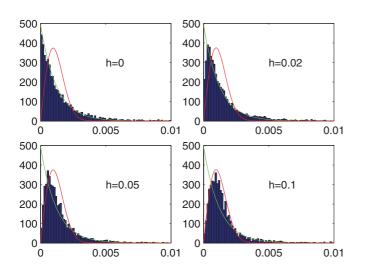


FIG. 2. (Color online) The distributions of $\{E_{i+1} - E_i\}$, where $E_1 \leq E_2 \leq \cdots \leq E_{4862}$ are the eigenenergies of (2) for $L = 18, \Delta = -0.8$ in the $S_z = 1, k = 2\pi/9$ sector (the total magnetization $S^z = \sum_{i=1}^{L} S_i^z$ and the momentum *k* are conserved). The green curve and red curve are best-fit exponential and Wigner-Dyson (orthogonal ensemble) distributions, respectively. Neither the exponential nor the Wigner-Dyson distribution appears clearly if we do not restrict to a symmetry sector of the model. The crossover to Wigner-Dyson with increasing *h* is observed independent of the choice of Δ and symmetry sector.

Numerical approach. The dc charge (c) and heat (h) conductivities can be computed via the Kubo formula

$$\sigma = \lim_{t_M \to \infty} \lim_{L \to \infty} \frac{1}{LT} \operatorname{Re} \int_0^{t_M} \langle J(t) J(0) \rangle \, dt, \qquad (4)$$

where the corresponding current operators $J = \sum_{i=1}^{L} j_i$ are defined through a continuity equation⁴

$$\partial_{t}h_{i} = j_{h,i} - j_{h,i+1} \Rightarrow J_{h} = i \sum_{i=2}^{L} [h_{i-1}, h_{i}],$$

$$\partial_{t}S_{i}^{z} = j_{c,i} - j_{c,i+1} \Rightarrow J_{c} = i \sum_{i=2}^{L} [h_{i-1}, S_{i}^{z}].$$
(5)

The current correlation functions $\langle J(t)J(0)\rangle$ can be computed efficiently using the real-time finite-temperature density matrix renormalization group (DMRG) algorithm^{37–46} introduced in Ref. 11. DMRG is essentially controlled by the so-called discarded weight ϵ (we ensure that ϵ is chosen small enough and that *L* is chosen large enough to obtain numerically exact results in the thermodynamic limit). The simulation is stopped when the DMRG block Hilbert space dimension χ reaches $\chi \sim 1000-1500$. This allows us to access time scales $t \sim 10-20$ which are larger than the inherent microscopic scale t = 1.

Results for $\langle J(t)J(0)\rangle$ are shown in Fig. 3. In the integrable case h = 0, the heat and charge Drude weights

$$D = \lim_{t \to \infty} \lim_{L \to \infty} \frac{\operatorname{Re}\langle J(t)J(0) \rangle}{2LT}$$
(6)

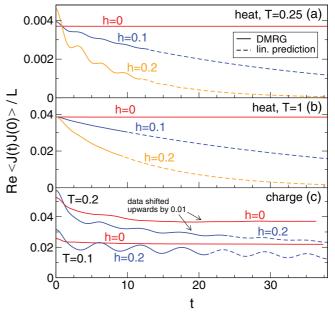


FIG. 3. (Color online) Heat and charge current correlation functions $\langle J(t)J(0)\rangle$ at $\Delta = -0.85$. Their Fourier transform determines the corresponding conductivities through Eq. (4). Our data are consistent with the following picture: the Drude weight [Eq. (6)] is nonzero only in the integrable case h = 0; a nonintegrable perturbation h > 0 renders the conductivity finite. DMRG data (solid lines) are extrapolated using linear prediction (dashed lines).

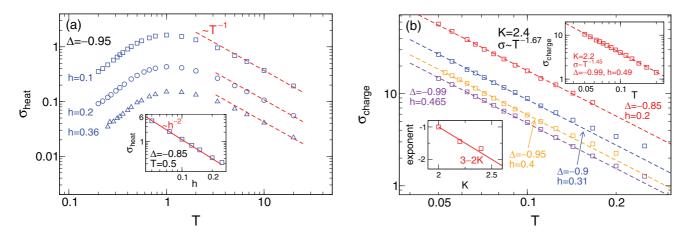


FIG. 4. (Color online) Scaling of the heat and charge conductivities of a nonintegrable gapless spin chain. At low temperatures, $\sigma_c(T) \sim T^{\alpha_c(K)}$ is governed by power laws whose exponents are a universal function of the Luttinger liquid parameter *K* only. At fixed *T*, σ diverges as h^{-2} for $h \to 0$ with *h* being the strength of the nonintegrable perturbation (Ref. 21). Note that our definition of σ_h via Eq. (4) differs from the most common one (Ref. 14) by a factor T^{-1} . The conductivity data points shown have a statistical error of at most 5%. This error results from the extrapolation of $\langle J(t)J(0) \rangle$ via linear prediction and can be estimated by carrying out linear prediction using a variety of fit parameters. The error associated with the error of the raw DMRG data for $\langle J(t)J(0) \rangle$ is negligible compared to this.

are finite. A nonzero h > 0 renders the model nonintegrable; one expects that the current correlation functions decay to zero at large times and that the conductivities become finite. Our data are consistent with this. In order to compute the integral in Eq. (4) quantitatively, $\langle J(t)J(0)\rangle$ needs to be extrapolated. The heat current correlation function at intermediate to large temperatures $0.5 \leq T \leq \infty$ [see Fig. 3(b)] can be fitted by a single exponential function $\exp(-\lambda t)$.⁴⁷ Oscillations develop at small T, but it is reasonable to assume that $\langle J(t)J(0)\rangle$ can be described by sums of exponentially decaying terms $\exp(-\lambda_n t + i\omega_n t)$ (the same holds for the charge current correlation function). This motivates us to use so-called linear prediction^{37,45,48} as an extrapolation procedure. Its stability can be tested by varying fit parameters (e.g., the number of terms or the fit interval) and by checking sum rules (see following); we can obtain accurate results for the heat conductivity at any h and temperatures $0.2 \lesssim T \leqslant \infty$ as well as for the charge conductivity at intermediate to large *h* and small $T \leq 0.3$.

Scaling of the conductivities: Numerical results. DMRG data for the heat and charge conductivities are shown in Fig. 4. For fixed T and small h, one expects σ to diverge as²¹

$$\sigma \sim h^{-2} \quad \text{for} \quad h \to 0.$$
 (7)

This is consistent with our results [for the thermal case see the inset to Fig. 4(a); data for $\Delta = -0.95$ (not shown) are similar]. At small *T*, σ_c features power laws with nontrivial exponents:

$$\sigma_c \sim T^{\alpha_c} \quad \text{for} \quad T \to 0.$$
 (8)

Our model is a Luttinger liquid at low energies and one thus expects α_c to be a universal function of the Luttinger liquid parameter *K* only. This is verified in Fig. 4(b) which shows $\sigma_c(T)$ for different parameter sets (Δ, h) sharing the same *K* [*K* is a continuous function of *h* and Δ ; it can be obtained from an independent ground-state DMRG calculation,²⁷ and the parameter sets (Δ, h) in Fig. 4(b) are determined numerically such that they all correspond to the same *K*]. The exponent $\alpha_c(K)$ varies strongly with *K*; it is consistent with the analytic

prediction $\alpha_c = 3 - 2K$ established below [see the insets to Fig. 4(b)]. The heat conductivity is only accessible at intermediate to high temperatures $T \gtrsim 0.2$; our data in this regime are almost independent of *K* [see Fig. 4(a)], suggesting that we have not reached the limit of low *T*.

Since for h = 0 the heat current is conserved by the Hamiltonian, the ac conductivity $\sigma_h(\omega, h = 0) = 2\pi D\delta(\omega)$ features a Drude peak only. By generalizing Eq. (4) to finite frequencies,^{8,9} we can straightforwardly compute $\sigma_h(\omega, h)$ and demonstrate that it indeed becomes a δ -function series for $h \rightarrow 0$; the frequency-integrated heat conductivity just yields the Drude weight ("sum rule"). This is illustrated in Fig. 5 and provides an independent test for the reliability of our extrapolation procedure.

Bosonization. We now present an analytic calculation of the low-temperature behavior of the charge conductivity using bosonization. We closely follow Refs. 8 and 9 which derive a parameter-free result for σ_c that is supposed to be

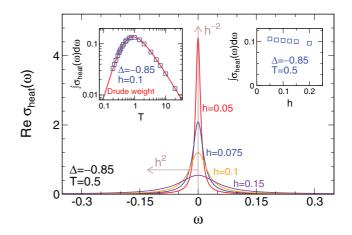


FIG. 5. (Color online) at heat conductivity. A Drude peak emerges as $h \rightarrow 0$: the integrated conductivity is independent of *h* (right inset) and equal to the Drude weight (left inset).

correct if no conserved quantity protects the Drude weight. The current operator (5) reads as $J_c = -v\sqrt{K/\pi} \int dx \Pi$, and the Kubo formula for the ac conductivity reduces to $\sigma_c(\omega) = iK\omega\langle\phi\phi\rangle_r(\omega)/\pi$. The retarded correlation function $\langle\phi\phi\rangle_r$ is obtained by a perturbative field-theory calculation to leading order in *h*, in H_{umklapp} , and in $H_{\text{band curv.}}$. The overall leading term governing the dc conductivity reads as $\sigma_c = h^{-2}T^{3-2K}/C(K)$, which is consistent with Eq. (10).⁴⁹ This term can be attributed to the staggered field, i.e., the term which breaks integrability in the lattice model.

From the point of view of the field theory alone, the umklapp and staggered field terms have the same cosine structure; one of those terms is insufficient to break integrability of the *field theory*. One might thus question the above reasoning and expect different temperature exponents in the conductivity depending on which of the two cosines was the "integrabilitybreaking small perturbation" on the integrable theory obtained by the other (i.e., different exponents for large and small h). As mentioned above, this picture is not supported by our DMRG data for the lattice model which agrees with the bosonization result over a broad range of values of h; however, we can not rule out different behavior at even lower temperatures.

Scaling analysis. We finally carry out a simple scaling analysis. Combining several assumptions, which are likely to hold for other 1D models, we establish a scaling form for the conductivity in which all the exponents are determined up to one number, the scaling dimension of the integrability-breaking perturbation. For our model, this is consistent with the bosonization result (which also yields the scaling dimension).

It is reasonable to assume that $\operatorname{Re}\langle J(t)J(0)\rangle/LT \approx A(\Delta,h,T)\exp[-\gamma(\Delta,h,T)t]$ at long time as correlations typically decay exponentially at finite *T*. The oscillation of this correlation function (see Fig. 3) is not taken into account, as it cancels out in computing the integral (4). We also assume the amplitude $A(\Delta,h,T)$ does not vanish as $T \to 0$ [note that the Drude weight $D(\Delta,h=0,T)$ is nonzero and continuous as $T \to 0$]. Then, (4) implies $\sigma_c \sim \gamma^{-1}$, and σ_c takes the scaling form

$$\sigma_c(\Delta, h, T) = f(\Delta/T^{[\Delta]}, h/T^{[h]})/T, \qquad (9)$$

where $[\Delta]$ and [h] are the scaling dimensions of Δ and h, respectively. Note that $\sigma_c \sim T^{-1}$ for $[\Delta] = [h] = 0$ or at the phase transition $\Delta = -\sqrt{2}/2, h = o(1)$.

In the perturbative regime (i.e., infinitesimal h), $[\Delta] = 0$ as there is no renormalization of Δ (it is exactly marginal). Then, (9) simplifies to $\sigma_c(\Delta, h, T) = f(\Delta, h/T^{[h]})/T$. As one expects σ to diverge as h^{-2} by a golden-rule argument²¹ unless this perturbation is insufficient in inducing scattering, we take $f(\Delta, x) \sim x^{-2}$ [the coefficient (not shown) is a function of Δ only]. Then,

$$\sigma_c \sim h^{-2} T^{2[h]-1} = h^{-2} T^{3-2K}, \tag{10}$$

where in the second equality we have substituted the bosonization result [h] = 2 - K. Note that $\sigma_c \sim T^{-1}$ for K = 2 or at the phase transition $\Delta = -\sqrt{2}/2, h = o(1)$.

The scaling-analysis result is consistent with the bosonization calculation, which more convincingly justifies the assumptions in this section. However, it is worth pointing out that from the scaling analysis we still expect scaling of conductivity at low temperature in a gapless 1D system even when bosonization is inapplicable. In general, a gapless 1D system with a single velocity of low-energy excitations will be described by a conformal field theory (CFT) at long distances, and such theories are effectively ballistic as left- and right-moving excitations decouple. We expect that the basic picture that conductivity is controlled by the leading irrelevant operator that induces scattering will still apply even when the CFT is more complicated than a free boson.

Outlook. Our work demonstrates an approach valid for many actual 1D materials, in which integrability-breaking terms are likely to be present but small. We studied one specific model but expect that our key result, a power-law scaling of the conductivity $\sigma \sim T^{\alpha}$ with a universal exponent determined by the Luttinger liquid parameter, should be a general qualitative feature of any gapless nonintegrable model in which no conserved operator protects the current. Quantitative results for other nonintegrable perturbations can be obtained by the numerical framework used in this paper. It should be possible to compute optical charge conductivities for comparison to experiments on conducting polymers and other systems.

On a more basic level, quantum critical transport in one dimension is controlled by the leading irrelevant operators if and only if those destroy integrability. In higher dimensions, quantum critical transport is different because the critical theory is believed to be nonintegrable, and transport properties are actively being studied by methods from high-energy physics. Our results provide a constraint on these methods in a case where direct computation of transport coefficients is possible.

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^{*}yichenhuang@berkeley.edu

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