Role of van der Waals corrections for the PtX_2 (X = O, S, Se) compounds

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Dispersion (van der Waals) forces play an important role in determining the structural properties of the systems where dispersion is crucial, for example, layerlike crystals (CdI₂ type). Thus, to contribute to the understanding of the role of van der Waals (vdW) corrections for the PtX₂ (X = O, S, Se) compounds, we report a density functional theory (DFT) investigation within nonlocal vdW corrections for the atomic structure and electronic properties of bulk PtX₂. From our calculations, we identified the lowest energy structures, CaCl₂ type for PtO₂ and CdI₂ type for both PtS₂ and PtSe₂, i.e., CdI₂ type is not the lowest energy structure for PtO₂. We reported the structural changes for higher energy PtX₂ systems and the changes in the stability order compared with plain DFT-PBE calculations. The structural changes induced by vdW corrections affects the electronic structure of the layered CdI₂-type structures (PtS₂ and PtSe₂), where a metallic behavior is obtained in contrast to PBE calculations, which yields an energy separation between the unoccupied and occupied states.

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I. INTRODUCTION

There is a great interest in platinum dioxide (PtO₂) and dichalcogenides (PtS₂, PtSe₂) systems due to the wide range of promising applications in electrochemistry and catalysis.^{1–4} For example, Sabourault *et al.*¹ reported that PtO_2 is a powerful hydrosilylation catalyst, while the CO oxidation on PtO₂ surfaces has been studied by Gong *et al.*³ and Ackermann *et al.*⁴ They observed a substantially higher catalytic activity than the bulk-terminated Pt surface (and other studied compounds). Dey et al.² suggested that platinum dichalcogenides are good catalysts for several reactions, e.g., hydrodesulfurization, hydrodenitrogenation, hydrogenation, and dehydrogenation reactions, etc. Furthermore, it has been reported that PtS₂ nanoclusters have promising band gap properties, constituting viable semiconductors to electronic applications.⁵ Although several studies have been reported for those compounds (PtO₂, PtS₂, and PtSe₂), a basic understanding of the structure and electronic properties remains incomplete and further studies are highly desirable due to the wide importance of platinum dioxide and dichalcogenides systems.

Experimentally, several studies have indicated that platinum dioxide (PtO₂) adopts the layered CdI₂-type and distorted Rutile (CaCl₂-type) structures,^{6–8} however, several experimental studies have identified only the Rutile-type structures.^{9,10} Platinum disulfides (PtS₂) and diselenides (PtSe₂) crystallize in the layered CdI₂-type structure,^{11,12} and there is no experimental observation of further crystal structures, which is in contrast with few crystal structures reported for PtO₂.^{6–10} CaCl₂ type is an orthorhombic structure which consists of a slightly distorted variant of the tetragonal Rutile-type structure. The CdI₂-type structure presents a laminar crystal structure, where each PtX₂ layer is made up of edge-sharing octahedra, and

the three-dimensional structure is obtained by stacking PtX_2 layers.

The interactions between the adjacent CdI₂ layers might be weak due to the large interlayer separation, e.g., $c_0 =$ 4.8 Å for PtO₂,⁶ and hence the asymptotic long range nonlocal van der Waals (vdW) interactions might play an important role.^{13,14} Standard first-principles calculations based on density-functional theory (DFT), using local or semilocal exchange-correlation (xc) functionals, cannot provide a correct description of the nonlocal vdW interactions (dispersion forces). For example, several plain DFT studies of layered materials have overestimated the equilibrium interlayer distances.^{15–20}

For PtO₂, contrary to experimental evidence, but in agreement with previous DFT calculations,^{21,22} the CdI₂ structure is less stable than CaCl₂-type structure. The theoretical CdI₂ destabilization could be due to the underestimation of the interlayer binding energy by plain DFT. Thus, could an appropriate vdW treatment improve the description of the interlayer interaction in CdI₂ and, consequently, stabilize the CdI₂ structure? Furthermore, for PtS₂ and PtSe₂ systems, for which DFT calculations have reported the CdI₂ type as the lowest energy structure, a theoretical treatment considering the vdW interactions could improve the agreement of structural and electronic properties compared with experimental results?

To contribute to the solution of those open questions, we have performed a first-principles investigation of the atomic structures of the PtO_2 , PtS_2 , and $PtSe_2$ systems employing DFT within vdW corrections to the semilocal functionals. We found that vdW correction is fundamental to obtain a reliable description of the equilibrium volume of the CdI₂ structure, while the stability of the CdI₂ structure is decreased compared with the CaCl₂ structure for PtO₂.

II. THEORETICAL APPROACH AND COMPUTATIONAL DETAILS

Our calculations are based on the spin-polarized DFT within the generalized gradient approximation (GGA) proposed by Perdew, Burke, and Erzenholf²⁴ (PBE), as implemented in the Vienna *ab initio* simulation package (VASP).^{25,26} To provide a better description of the nonlocal vdW interactions, which has been known to play an important role in several systems, e.g., layered crystals,^{27,28} adsorbate systems,^{29–31} and etc., we employed the vdW correction proposed by Grimme (DFT + D3)^{32,33} and implemented in VASP by Möllmann,³⁴ which yields better results than the previous Grimme's formulation called DFT + D2.³⁵

In the DFT + D3 framework, the total energy E_{DFT+D3} is obtained by the sum of the self-consistent DFT total energy E_{DFT} , with the vdW correction E_{disp} , i.e.,

$$E_{\rm DFT+D3} = E_{\rm DFT} + E_{\rm disp},\tag{1}$$

where E_{disp} is the sum of the two- and three-body energies, i.e., $E_{\text{disp}} = E^{(2)} + E^{(3)}$, with

$$E^{(2)} = \sum_{AB} \sum_{n=6,8,10,\dots} s_n \frac{C_n^{AB}}{r_{AB}^n} f_{d,n}(r_{AB}).$$
 (2)

The first sum is over all atom pairs, while C_n^{AB} are the averaged *n*th-order dispersion coefficients (n = 6, 8, 10, ...) for the atom pairs AB.³³ r_{AB} is the internuclear distance and s_n is the scaling factor, which depends on the exchange-correlation (xc) functional, e.g., $s_n = 0.75$ for PBE. To avoid singularities for small interatomic distances r_{AB} , and double-counting effects of correlation at intermediate distances, a damping function $f_{d,n}$ is used, which controls the range of the dispersion interaction,³⁶ and it is given by

$$f_{d,n}(r_{AB}) = \frac{1}{1 + 6[r_{AB}/(s_{r,n}R_0^{AB})]^{\alpha_n}},$$
(3)

where $s_{r,n}$ is the order-dependent scaling factor of the cutoff radii. R_0^{AB} and α_n are adjusted parameters in such a way that the dispersion correction is less than 1% of the maximum E_{disp} . The three-body term is given by

$$E^{(3)} = \sum_{ABC} f_{d,(3)} (r_{ABC}^{av}) E^{ABC}, \qquad (4)$$

where the sum is over all atom triples *ABC* in the system. $_{ABC}^{av}$ is the averaged radius used as a damping function, and E^{ABC} is the nonadditive dispersion term from the third-order perturbation theory.^{37,38} Further details can be found in Refs. 32 and 33. From now, DFT-PBE and DFT-PBE + D3 will be called shortly by PBE and PBE + D3, respectively.

The Kohn-Sham equations are solved with the projected augmented wave^{39,40} (PAW) method, as implemented in VASP.^{25,26} The equilibrium volume (lattice constants) and atomic positions of all crystal configurations of the PtX₂ (X = O, S, Se) compounds were obtained by minimizing the atomic forces and stress tensor using a plane-wave cutoff energy of 800 eV. For the Brillouin zone integration of the PtO₂ system in the CaCl₂-type structure, we employed a **k** mesh of $11 \times 11 \times 16$, and the same **k**-point density was used for all the remaining bulk calculations.

To obtain a better understanding of the role of the PBE + D3 functional (vdW corrections to the PBE functional) in the crystal structures of the PtO₂, PtS₂, and PtSe₂ compounds, we selected a set of trial crystal structures: (i) Experimentally reported x-ray diffraction (XRD) structures, i.e., CdI₂ type,¹¹ CaCl₂ type,⁶⁻¹⁰ Rutile type^{9,10} for PtO₂, CdI₂ type¹² for PtS₂, and CdI₂ type¹¹ for PtSe₂. (ii) Crystal structures selected among different oxides, e.g., the beta-tridymite, quartz, cristobalite, tridymite-ortho structures from the ZrO₂ and SiO₂ oxides, the anatase and Rutile from TiO₂, the PbCl₂ type from chlorides, and CaF₂ type from fluorides. All the selected crystal configurations are shown in Fig. 1 for the particular case of PtO₂.



FIG. 1. (Color online) Crystal structures models employed for PtO_2 systems (the same set of structures have been employed for PtS_2 and $PtSe_2$ systems) [details in the Supplemental Material (Ref. 23)]. The Pt and O atoms are indicated by gray (large) and red (small) balls, respectively. The unit cells are indicated by continue black lines. The structure type, crystalline system, and space group are indicated below the unit cells.

TABLE I. Equilibrium lattice parameters (a_0 , b_0 , c_0), Pt–X (X = O, S, Se) bond lengths, and the relative total energies in relation to the CdI₂-type structure ΔE_{tot} for different configurations of the PtO₂, PtS₂, and PtSe₂ compounds.

Structure type	a_0 (Å)		b_0 (Å)		<i>c</i> ₀ (Å)		Pt–X (Å)		$\Delta E_{\rm tot} \ ({\rm eV})$	
PtO ₂	PBE	PBE + D3	PBE	PBE + D3	PBE	PBE + D3	PBE	PBE + D3	PBE	PBE + D3
Cristobalite	6.30	6.28	6.30	6.28	6.30	6.28	1.93	1.92	2.304	2.662
Beta-tridymite	6.21	6.16	6.21	6.16	10.54	10.61	1.93	1.92	2.289	2.645
Quartz	4.61	4.52	4.61	4.52	7.50	7.59	1.95	1.94	1.693	1.716
CaF ₂	3.57	3.56	3.57	3.56	3.57	3.56	2.19	2.18	1.283	1.054
Tridymite-ortho	6.29	6.30	6.29	6.30	7.19	6.79	1.91	1.90	0.890	0.900
Anatase	4.02	3.98	4.02	3.98	5.67	5.61	2.04	2.03	0.721	0.704
CdI ₂	3.15	3.16	3.15	3.16	4.93	4.02	2.05	2.04	0.000	0.000
Rutile	4.58	4.55	4.58	4.55	3.23	3.23	2.02	2.01	0.112	-0.097
PbCl ₂	9.60	9.57	4.66	4.55	3.15	3.15	2.05	2.04	-0.002	-0.112
ZrO ₂	5.22	5.21	4.54	4.50	5.60	5.59	2.03	2.02	0.079	-0.141
CaCl ₂	4.59	4.56	4.55	4.53	3.18	3.17	2.03	2.02	-0.010	-0.227
PtS ₂	PBE	PBE+D3	PBE	PBE+D3	PBE	PBE + D3	PBE	PBE+D3	PBE	PBE + D3
Cristobalite	7.35	7.33	7.35	7.33	7.35	7.33	2.25	2.24	2.658	3.290
Beta-tridymite	7.33	7.31	7.33	7.31	12.06	12.01	2.25	2.24	2.652	3.282
CaF ₂	4.22	4.17	4.22	4.17	4.22	4.17	2.58	2.56	1.913	1.806
Anatase	4.71	4.67	4.71	4.67	6.82	6.75	2.41	2.39	1.199	1.331
Quartz	5.00	4.91	5.00	4.91	9.63	9.67	2.33	2.31	1.225	1.287
Rutile	5.45	5.36	5.45	5.36	3.82	3.82	2.40	2.38	1.050	0.964
ZrO_2	6.11	6.10	5.39	5.28	6.66	6.61	2.42	2.40	0.751	0.628
Tridymite-ortho	7.33	7.25	7.33	7.25	7.48	7.42	2.34	2.33	0.737	0.594
CaCl ₂	5.34	5.26	5.53	5.47	3.70	3.70	2.42	2.40	0.719	0.587
PbCl ₂	10.88	10.78	5.83	5.67	3.59	3.58	2.41	2.39	0.281	0.218
CdI ₂	3.57	3.60	3.57	3.60	6.32	4.60	2.40	2.40	0.000	0.000
PtSe ₂	PBE	PBE+D3	PBE	PBE + D3	PBE	PBE + D3	PBE	PBE+D3	PBE	PBE + D3
Beta-tridymite	7.84	7.81	7.84	7.81	12.75	12.73	2.40	2.39	2.835	3.628
Cristobalite	7.83	7.80	7.83	7.80	7.83	7.80	2.40	2.39	2.834	3.628
Quartz	5.73	5.59	5.73	5.59	9.36	9.49	2.44	2.42	1.453	1.774
CaF ₂	4.45	4.40	4.45	4.40	4.45	4.40	2.72	2.69	1.479	1.507
Anatase	5.06	5.05	5.06	5.05	7.01	6.78	2.55	2.53	1.110	1.354
Rutile	5.78	5.69	5.78	5.69	4.02	4.02	2.54	2.51	1.010	1.069
Tridymite-ortho	7.76	7.67	7.76	7.67	8.03	7.94	2.47	2.45	0.690	0.638
ZrO_2	6.57	6.55	5.44	5.34	7.20	7.13	2.56	2.54	0.587	0.573
CaCl ₂	5.48	5.37	5.99	5.94	3.91	3.90	2.56	2.55	0.558	0.536
PbCl ₂	11.37	11.20	6.14	5.97	3.79	3.77	2.54	2.53	0.251	0.270
CdI ₂	3.75	3.79	3.75	3.79	6.55	4.75	2.53	2.53	0.000	0.000

III. RESULTS

A. Relative total energies

The equilibrium lattice constants (a_0, b_0, c_0) , the nearest neighbor distances (Pt–*X*), and the relative total energies per formula unit ($\Delta E_{tot} = E_{tot}^{trial} - E_{tot}^{Cdl_2}$) are summarized in Table I for all structures and xc functionals. The atomic positions of the nonequivalent atoms for each crystal structure are reported in the Supplemental Material.²³ We found that both PBE and PBE + D3 functionals yield the same lowest energy structures for PtX₂, i.e., the distorted Rutile structure (CaCl₂ type) for PtO₂ and the CdI₂-type structure for both PtS₂ and PtSe₂ systems, however, we would like to mention that the vdW corrections affect the relative total energies, in particular, for PtO₂.

Among the 11 configurations calculated for PtO_2 , we found that the lowest energy configurations (PBE and PBE + D3) are composed by a set of five nearly degenerated structures,

namely, CdI_2 type, $CaCl_2$ type, Rutile type,⁴¹ and the two structures (PbCl₂ type and ZrO₂ type) previously reported in Ref. 22. The maximum energy separation among the five lowest configurations is 0.122 eV (PBE) and 0.227 eV (PBE + D3), i.e., it depends on the functional. Although the lowest energy configuration is the same for both PBE and PBE + D3 functionals, there are changes in the relative energy differences. For example, the PBE energy difference among the layered CdI₂ and CaCl₂ structures is 0.010 eV, while it is 0.227 eV using PBE + D3, i.e., the vdW correction increases the energy difference. It is important to notice that the vdW correction does not favor layered structures in which the dispersion correction was expected to play an important role. Furthermore, we found that the vdW corrections increase the stability of the PbCl₂-type and ZrO₂-type structures.

In contrast with PtO₂, we found only two nearly degenerated structures for both dichalcogenides within the same relative energy range, which indicates a different behavior compared with PtO₂. Both structures are the same using PBE or PBE + D3, Table I. The lowest energy structure obtained for PtS₂ and PtSe₂ is the CdI₂-type structure for both functionals, which is in excellent agreement with previous results.^{11,42,43} The relative energy differences between the first and the second lowest energy structures for PtS₂ and PtSe₂ are 0.281 eV/f.u. (0.218 eV/f.u.) and 0.251 eV/f.u. (0.270 eV/f.u.), respectively, for PBE (PBE + D3), which confirms the high stability of layered CdI₂-type structure for platinum dichalcogenides. Furthermore, from Table I we can see a similar stability order for PtS₂ and PtSe₂, using PBE and PBE + D3, except for the PtS₂ anatase-type and quartz-type structures and PtSe₂ quartz-type and CaF₂-type structures.

B. Equilibrium lattice constants

In the five lowest energy PBE and PBE + D3 configurations for PtO₂, the Pt and O atoms form almost perfect octahedron motifs, i.e., the Pt atoms are surrounded by six O atoms. The Pt–O distances are 2.02–2.05 Å (PBE), while the PBE + D3 Pt–O distances are 0.5% smaller than the PBE results. Thus, the vdW correction almost does not affect the Pt-O bond. The PbCl₂ structure is characterized by the presence of large empty spaces (called holes in this work), which contract by about 0.3% and 2.4% along of the a_0 and b_0 directions, respectively, due to the vdW corrections. The CdI₂ structure is formed by the stacking of PtO₂ layers, for which $c_0 = 4.93$ Å (PBE) and 4.02 Å (PBE + D3), i.e., a contraction of 18.5% upon the addition of the vdW correction to the PBE functional. Thus, these results indicate clearly that the interaction between the layers is dominated by nonlocal vdW interactions. However, we would like to point out that a better description of the CdI₂ structure does not necessarily imply an increasing in the energetic stability, as discussed above.

In the lowest energy PtS₂ and PtSe₂ structures, the S and Se atoms form octahedron motifs with the Pt atoms, except for the Trydimite-ortho-type structure, where the Pt atoms are surrounded by 4 O atoms, i.e., forming planes. The Pt–S and Pt–Se distances are in the range 2.34–2.42 Å (PBE) for PtS₂ and from 2.47 to 2.56 Å for PtSe₂, which the PBE + D3 functional reduces the bond lengths by about 0.4% and 0.8%, respectively. The bond Pt–S and Pt–Se lengths are larger than the Pt–O, which is expected based on the atomic radius of the S and Se species.⁴⁴

We found that the vdW corrections improve the agreement with the experimental results, in particular, for the CdI₂-type structure, which is among the five lowest energy structures for PtO₂, and the lowest energy structure for PtS₂ and PtSe₂. Furthermore, we observed improvements even for the lowest energy CaCl₂-type structure for PtO₂, e.g., the experimental lattice parameters are $a_0 = 4.48, b_0 = 4.54$, and $c_0 = 3.14$ Å,⁴⁵ while the PBE (PBE+D3) results differ by +2.5% (+1.8%), +0.2% (-0.2%), +1.3% (+0.9%), respectively. For PtS₂ and PtSe₂ in the CdI₂-type structure, the experimental lattice constants are $a_0 = 3.54, c_0 = 5.04$ Å, and $a_0 = 3.73, c_0 = 5.08$ Å,¹¹ respectively, while the PBE results are overestimated by 0.8%, 25.4%, and 0.5%, 28.9%, respectively. The PBE + D3 results deviates by +1.7%, -8.7%, and +1.6%, -6.5% for PtS₂ and PtSe₂, respectively. We noticed that PBE strongly overestimates c_0 in the CdI₂-type structure, which is expected as PBE does not provide a reliable description of weak interacting vdW systems, i.e., in general PBE overestimates the equilibrium distances of weak interacting systems.^{15,17–19} Furthermore, we found that PBE + D3 underestimates the lattice c_0 constant by a substantial value, i.e., about 6%–8%, which indicates that the parameters employed in the DFT + D3 framework might overestimate the strength of the vdW correction. Although the DFT + D3 formulation is very simple, it relies heavily on the calculation of the C_6^{AB} parameters from molecular systems, which directly determines the quality of the results.

C. Interlayer spacing

To obtain a better understanding of the role of the vdW corrections for the CdI₂-type structure for the PtX₂ compounds, we calculated the total energy as a function of the interlayer separation. For each layer separation, a_0 was set up to the equilibrium value and the atomic positions of the atoms were optimized. The interlayer interacting for the PtO₂, PtS₂, and PtSe₂ systems are shown in Fig. 2. Employing the PBE functional, we obtained an interacting energy among the layers of 2 meV for PtO₂, 5 meV for PtS₂, and 6 meV for PtSe₂, while for the PBE + D3 functional, we obtained 310, 380, and 490 meV, respectively. Thus, the vdW correction plays an important role in improving the description of the CdI₂ structure, however, as mentioned above, it does not increase the relative stability of the CdI₂ structure compared with other trial structures.

Björkman *et al.*²⁸ has simulated exfoliation for a series of multilayer systems by peeling off the top layer and the difference between interlayer binding energy and exfoliation energy is smaller than 4%, because of surface relaxation effects, and hence, the interlayer binding energy is related to the exfoliation energy. For the CdI₂-type compounds, we found 310, 380, and 490 meV for PtO₂, PtS₂, and PtSe₂, respectively. Thus, the exfoliation energy is larger for the PtSe₂ system, while it is smaller for PtO₂.

D. Electronic structure

Among the 11 studied configurations, we selected five crystal structures, namely, CdI₂, Rutile, PbCl₂, ZrO₂, and CaCl₂, for the analysis of the vdW corrections in the total density of states (TDOS), which are shown in Fig. 3 for the PtO_2 , PtS_2 , and $PtSe_2$ compounds and PBE and PBE + D3functionals. The differences in the PBE and PBE + D3 TDOS are indirect changes due to the changes in the equilibrium volume, which affects the electron density, and hence, the electronic states. The PBE and PBE + D3 functionals yields nearly the same TDOS for all compounds and structures, except for PtS_2 and $PtSe_2$ in the CdI_2 structure. For example, for CdI₂, the PBE functional yields an energy band gap of 1.37 and 0.78 eV for PtS_2 and $PtSe_2$, respectively, however, the addition of the nonlocal vdW correction to the PBE functional turns the systems into metals, while the bottom of the valence band shifts down.

In contrast, there is almost no difference in the PBE and PBE + D3 TDOS for PtO₂ in the CdI₂ structure, which can be



FIG. 2. (Color online) Relative total energy as function of the c_0 parameter for PtO₂, PtS₂, and PtSe₂ in the CdI₂-type structure. The black (circles) and red (squares) lines are the calculated CdI₂-type configurations for PBE and PBE + D3, respectively.

explained as follows. For PtO_2 , we found a contraction in the lattice parameter c_0 upon the vdW correction of about 18%, while for the PtS_2 and $PtSe_2$ systems in the same structure, the

contraction of the lattice parameter c_0 is about 27% upon the vdW correction, i.e., substantially larger than for PtO₂ in the CdI₂ structure. The changes in the TDOS can be also correlated



FIG. 3. (Color online) Total density of states (TDOS) for the five lowest energy PtO_2 structures in arbitrary units in the first column and the TDOS for the same structures for PtS_2 (second column) and $PtSe_2$ (third column) compounds. The black and red lines are the TDOS for PBE and PBE + D3 calculations, respectively. The vertical dashed lines indicate the Fermi level (zero energy).

Previous results^{27,42} have suggested a semiconductor character for PtS₂ in the CdI₂ structure with an energy band separating the *d*-band manifold. This result is consistent with the PBE results, however, the addition of the vdW correction to the PBE functional yields a contraction of 27% of c_0 , which in turns strongly affects the band gap, however, we mentioned above that the equilibrium PBE + D3 lattice constant is about 7% smaller than the experimental, and hence, it affects the value of the energy band gap. For PtSe₂, the experimental studies have reported a semiconductor state⁴⁶ and a semimetal state,^{27,42,47} which shows similar problem as discussed for PtS₂, i.e., a strong overestimation of the lattice parameter c_0 affects directly the comparison between experimental and theoretical results.

IV. SUMMARY

In this work we investigated the role of the vdW corrections added to the PBE functional (PBE + D3) in the structural, energetics, and electronic properties of the PtX₂ (X = O, S, Se) compounds. We found that the PBE and PBE + D3 functionals yield the same lowest energy configuration for the PtO₂, PtS₂, and PtSe₂ compounds, i.e., CaCl₂ for PtO₂ (in agreement with previous DFT calculations^{21,22}), and CdI₂ for PtS₂ and PtSe₂ (which is consistent with experimental observations^{11,12}). However, we found that the PBE + D3functional changes the relative total energy among the configurations compared with PBE results, in particular, for the PtO₂ system. As expected, we found that the vdW correction plays a fundamental role in the equilibrium volume of the CdI2 structure, i.e., it compresses the lattice parameter c_0 compared with experimental results. Furthermore, PBE + D3 decreases the relative error compared with experimental results from about +27% (PBE) to about -7%, however, it does not increase the stability of the CdI₂ structure compared with other systems for which the vdW correction does not play a major role. Due to the indirect effects (volume compression), we found that the density of states of the PtS₂ and PtSe₂ in the ground state structure is strongly affected the vdW correction, which is overestimated due to the underestimation of the equilibrium volume.

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