

Hall effect measurements on epitaxial SmNiO_3 thin films and implications for antiferromagnetismSieu D. Ha,^{1,*†} R. Jaramillo,^{1,†} D. M. Silevitch,² Frank Schoofs,¹ Kian Kerman,¹ John D. Baniecki,³ and Shriram Ramanathan¹¹*School of Engineering and Applied Sciences, Harvard University, Cambridge, Massachusetts 02138, USA*²*The James Franck Institute and Department of Physics, The University of Chicago, Chicago, Illinois 60637, USA*³*Fujitsu Laboratories, Atsugi 243-0197, Japan*

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The rare-earth nickelates ($R\text{NiO}_3$) exhibit interesting phenomena such as unusual antiferromagnetic order at wave vector $\mathbf{q} = (\frac{1}{2}, 0, \frac{1}{2})$ and a tunable insulator-metal transition that are subjects of active research. Here we present temperature-dependent transport measurements of the resistivity, magnetoresistance, Seebeck coefficient, and Hall coefficient (R_H) of epitaxial SmNiO_3 thin films with varying oxygen stoichiometry. We find that from room temperature through the high temperature insulator-metal transition, the Hall coefficient is holelike and the Seebeck coefficient is electronlike. At low temperature the Néel transition induces a crossover in the sign of R_H to electronlike, similar to the effects of spin density wave formation in metallic systems but here arising in an insulating phase ~ 200 K below the insulator-metal transition. We propose that antiferromagnetism can be stabilized by band structure even in insulating phases of correlated oxides, such as $R\text{NiO}_3$, that fall between the limits of strong and weak electron correlation.

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I. INTRODUCTION

Correlated-electron oxides are of intensive fundamental and applied interest due to properties such as colossal magnetoresistance, insulator-metal phase transitions, and emergent electron interactions in superlattices. However, many gaps remain in our understanding of the physics of these systems. In particular, phase transitions in nearly itinerant correlated electron systems often confound established models that apply in the limits of weak or strong electronic correlations. For example, in the colossal magnetoresistive manganites the insulator-metal transition is characterized by strong coupling between the spin, charge, orbital, and lattice degrees of freedom, but the charge ordered state bears important signatures of a weakly coupled density wave.¹ Similarly, the rare-earth nickelates ($R\text{NiO}_3$) feature nearly itinerant electrons with strongly coupled degrees of freedom, significant electron-phonon coupling, and small polaron conductivity, and spectroscopy reveals the importance of the large electron correlation energy at the insulator-metal transition.^{2,3} However, recent results on superlattices demonstrate that LaNiO_3 exhibits signatures of a weakly coupled spin density wave (SDW).⁴ The nickelates can be tuned from Fermi liquids to strongly renormalized bad metals to antiferromagnetic insulators through epitaxial strain and/or chemical substitution,^{3,5-7} and are therefore an important experimental platform for evaluating theories that bridge the limits of strong and weak electron correlation.

The $R\text{NiO}_3$ phase diagram [Fig. 1(a)] is controlled by the radius (r) of the R^{3+} ion, which controls the tilts of the $(\text{NiO}_6)^{3-}$ octahedra, which in turn control the electronic structure.^{7,8} LaNiO_3 is a paramagnetic metal (PM) at all temperatures, but for heavier/smaller R^{3+} the ground state is an antiferromagnetic insulator (AFI). For PrNiO_3 and NdNiO_3 the Néel and insulator-metal transitions occur at the same temperature, and $T_N (= T_{\text{IM}})$ increases with R atomic number. However, as R becomes heavier (r decreases) the transition temperatures separate, a paramagnetic insulator (PI) phase emerges in the range $T_N < T < T_{\text{IM}}$, and T_N decreases.

Contrasting theories have been proposed to explain the magnetic and electronic phase transitions in $R\text{NiO}_3$, but they have limited success in explaining the full phase diagram.^{9,10} Within a generic model for antiferromagnetic insulators a nonmonotonic dependence of T_N on the tuning parameter is associated with a crossover from localized to itinerant electrons.¹¹ For the Hubbard model with intrasite electron-electron interaction energy U and electronic bandwidth W , the limit $U/W \ll 1$ (weak correlations) corresponds to a metal with an SDW instability and T_N increases with U/W . In the opposite limit, $U/W \gg 1$ (strong correlations), the system is a magnetic insulator described by the Heisenberg Hamiltonian, and T_N decreases with U/W . The maximum in T_N therefore marks the point in the phase diagram that is most challenging to describe within the conceptual framework of either weak or strong coupling.^{11,12} For $R\text{NiO}_3$ this maximum is realized in SmNiO_3 .

Here we present Hall coefficient (R_H) measurements of SmNiO_3 thin films with varying oxygen content over a wide (30–400 K) temperature range through both Néel and insulator-metal phase transitions. There are few reports of Hall coefficient measurements on $R\text{NiO}_3$, and we are not aware of any for temperatures appreciably lower than T_{IM} .¹³⁻¹⁵ We find that R_H is holelike in the metallic phase and it increases as temperature is lowered into the PI phase. However, R_H unexpectedly changes sign to electronlike just below T_N . By varying T_N via oxygen stoichiometry we provide evidence that the crossover in the sign of R_H is connected to the onset of antiferromagnetism. We discuss the possible impact on our results of Hall coefficient anomalies arising from small polaron transport. We propose a mechanism to explain the crossover in R_H that is akin to SDW formation, which is principally associated with metals but here emerges in an insulating phase. Finally, we use the case of SmNiO_3 to illustrate connections between concepts developed to treat the limits of electron localization (oxide physics, superexchange magnetism) and delocalization (metals physics, band magnetism). These connections suggest a flexible conceptual framework with which

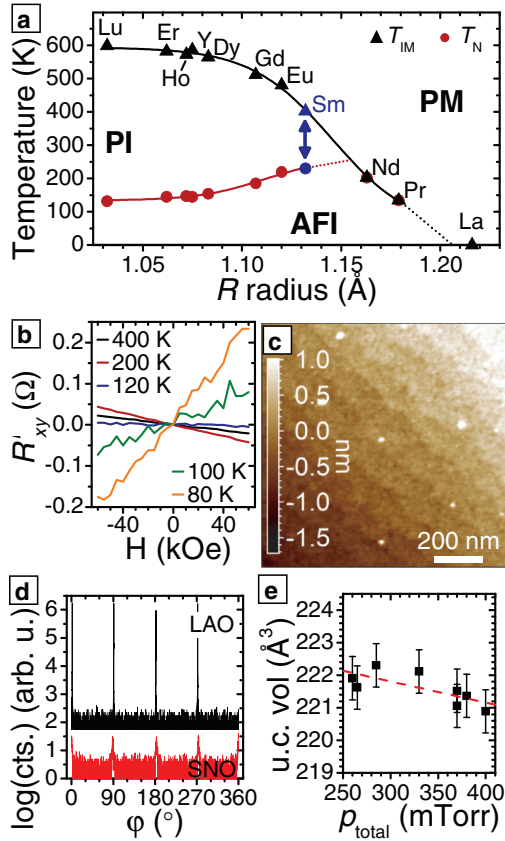


FIG. 1. (Color online) (a) Phase diagram of $RNiO_3$. See Refs. 6, 7, and 8 for selected source data. (b) Hall resistance R'_{xy} at select temperatures for SNO3, showing linearity and crossover in the sign of R_H below $T_N \sim 180$ K. (c) AFM micrograph of SNO2 showing atomic steps (0.379 nm) of $LaAlO_3$ (001) substrate. (d) XRD ϕ scans from SNO1 at (011) pseudocubic reflection of $LaAlO_3$ and (221) orthorhombic reflection of $SmNiO_3$. (e) Unit cell volume of $SmNiO_3$ grown on $LaAlO_3$ as a function of total sputtering pressure.

to understand antiferromagnetic order in the nickelates and other material systems that fall between the limits of strong and weak electron coupling.^{6,16–18}

II. EXPERIMENTAL METHODS

$RNiO_3$ are thermodynamically unfavorable at typical oxide growth temperatures.⁷ Most samples are actually $RNiO_{3-\delta}$ ($\delta > 0$), and the effects of nonzero δ can be significant.^{19,20} For this work we grew $SmNiO_3$ thin films with varying oxygen content onto single crystal $LaAlO_3$ (001) substrates (MTI Corporation) by RF magnetron sputtering in relatively high background pressure from a stoichiometric target (ACI Alloys).²¹ Sputtering conditions were 80/20 sccm Ar/O_2 gas flow ratio, 650 °C substrate temperature, and 200 W RF plasma power. Films were cooled in an ambient environment and no post-deposition annealing was performed. We controlled the oxygen stoichiometry by varying the high sputtering background pressure, as demonstrated in Ref. 21. The three samples studied in this work are labeled SNO1, SNO2, and SNO3, with growth pressures of 370, 360, and 260 mTorr and thicknesses of 15.5 ± 0.1 , 18.8 ± 0.1 , and 19.4 ± 0.1 nm, respectively. Growth rates were in the range of

3–5 nm/h. Statistically meaningful values of δ could not be determined, but SNO1 is the most stoichiometric film (smallest δ) and SNO3 is the least stoichiometric film (largest δ). We characterized thin film crystal structure by x-ray diffraction (XRD) using a four-circle Bruker D8 Discover diffractometer with a Göbel mirror, and we measured film thickness using x-ray reflectivity. We measured morphology by atomic force microscopy (AFM) using an Asylum MFP-3D system.

For Hall effect measurements we patterned our films into bars (channel dimensions $400 \times 2000 \mu m^2$) using dilute HCl as an etchant. We measured R_H in a cryostat (PPMS, Quantum Design) with cyclical magnetic field sweeps between ± 6 T at each temperature. In order to measure R_H over a wide temperature range we paid particular attention to heat sinking and thermal stabilization. The large temperature coefficient of resistivity and large overall resistivity in $RNiO_3$ may have limited Hall effect studies to date. The samples were heat sunk through the $LaAlO_3$ substrate, which was glued with silver epoxy to a sapphire support plate, which was in turn varnished to the cryostat cold plate. This thermal anchoring through the substrate was necessary to obtain reliable data despite the fact that the sample space in the cryostat is filled with helium exchange gas. We measured the Hall and longitudinal resistances R_{xy} and R_{xx} simultaneously. We averaged R_{xy} between consecutive forward and backward field sweeps to account for temperature drift, and we removed the contribution of magnetoresistance to the Hall voltage by subtracting the scaled R_{xx} , i.e., $R'_{xy}(H) = R_{xy}(H) - [R_{xy}(0)/R_{xx}(0)]R_{xx}(H)$. Our R_H results are quantitatively consistent whether fitting to the averaged and scaled data or to the raw data, but the former approach yields better statistics. In Fig. 1(b) we plot $R'_{xy}(H)$ measured on SNO3 at several temperatures above and below T_N (~ 180 K), showing linearity in $R'_{xy}(H)$ and a clear sign change in $R_H = dR'_{xy}(H)/dH$ below T_N .

The absolute magnitude of the resistivity for $RNiO_3$ can vary between bulk and thin films as reported in the literature, with thin films often being up to an order of magnitude more conductive in both the metallic and insulating phases than their bulk ceramic counterparts.^{21–25} While thin films probably suffer from higher point defect concentration, bulk sintered ceramic samples suffer from a high density of grain boundaries. As noted in Ref. 8 contact resistance can be significant and may account for some of the scatter in the reported resistivity. We avoid this complication by using four-terminal measurements for R_{xx} and making Ohmic contacts to our samples by sputtered Pt metal with no intervening adhesive layer. Contact resistances were measured directly using a transmission line test structure and were found to be on the order of 5 Ω at room temperature ($<0.1\%$ of the total measured resistance). Direct comparison of four-terminal and two-terminal resistance data recorded on the same films show that the contribution from contact resistance is consistently small throughout the full measured temperature range.

Thermopower was measured using Pt electrodes and the linear voltage technique on an as-grown samples (before etching into Hall bars).²⁶ The sample was suspended between two independent heaters in vacuum. At each temperature, a small thermal gradient of $\Delta T \leq 3$ K was applied to the

sample, and the sample was left to reach equilibrium. The steady-state voltage difference was measured, and the Seebeck coefficient was extracted from the slope of three to five such measurements at each temperature point.

Our samples are epitaxial thin films, and appropriate care must be taken when interpreting our data as fundamental for SmNiO₃. Primary concerns are the effects of finite thickness and epitaxial strain. However, our films are significantly thicker than the regime (~ 5 unit cells) for which finite thickness strongly affects the electronic structure of the nickelates.^{14,27} For 15–20 nm films, the principal effect of compressive epitaxial strain by growth on LaAlO₃ is to shift T_{IM} downwards (see below). This is understood as the result of increasing the electronic bandwidth, which is equivalent to moving to the right in the phase diagram of Fig. 1(a). Particularly relevant for this work is the quantitative similarity between our R_H data on SmNiO₃ thin films and the results of Cheong *et al.* on bulk samples of PrNiO₃ and Nd_{0.98}Sr_{0.2}NiO₃, as we discuss below.¹³ This suggests that our results for R_H and interpretation in terms of the electronic structure apply to the nickelates generally.

III. RESULTS

A. Structural characterization

A representative AFM micrograph from sample SNO2 is shown in Fig. 1(c). Atomic step corrugation from the LaAlO₃ substrate is apparent, indicating that SmNiO₃ films grown at high sputtering pressure are smooth and continuous. The root mean square roughness of the image is ~ 4.5 Å. Epitaxial growth of SmNiO₃ is confirmed by representative φ scans from SNO1 of the (011) pseudocubic reflection of the LaAlO₃ substrate and the (221) orthorhombic reflection of the SmNiO₃ film [Fig. 1(d)]. The coincidence of peak angles between substrate and film shows pseudocube-on-pseudocube epitaxial growth. The lattice parameters of all SmNiO₃ thin films with variable oxygen stoichiometry were determined using XRD by measuring the d -spacing of asymmetric Bragg reflections. The four independent reflections (221), (223), (133), and (313) were measured, and the orthorhombic lattice constants were determined by a regression analysis. There is a clear trend of increasing unit cell volume with decreasing sputtering pressure due to reduced oxygen stoichiometry [Fig. 1(e)]. Unit cell volume expansion with decreasing oxygen content is in agreement with experiments on bulk NdNiO_{3- δ} samples.^{19,20}

B. Resistivity and T_N

In Fig. 2 we plot the resistivity (ρ) as a function of temperature for our films. We show ρ only for cooling and note that in previous work we did not observe appreciable thermal hysteresis (~ 1 – 2 K) between cooling and heating cycles for comparable samples.²¹ We define T_{IM} by the change in sign of the temperature coefficient of resistivity. For SNO1 $T_{IM} = 386 \pm 6$ K, close to the bulk value of 400 K and consistent with previous reports of SmNiO₃ compressively strained on LaAlO₃.^{21,28} For the more oxygen-deficient samples SNO2 and SNO3 $T_{IM} = 380.1 \pm 0.2$ and 375 ± 4 K, respectively. As oxygen vacancies are introduced, the insulating (metallic)

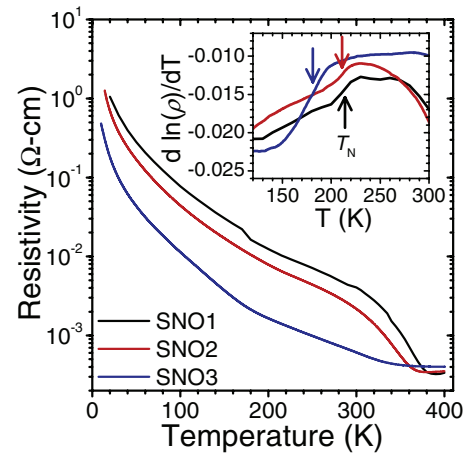


FIG. 2. (Color online) $\rho(T)$ for SNO1, SNO2, and SNO3 showing the effects of varying oxygen stoichiometry. Oxygen content is monotonically decreased from SNO1 to SNO3. Inset: $d(\ln \rho)/dT$, where the anomalous kink marks T_N .

phase becomes more (less) conductive. The same trends have been observed with Co doping in SmNi_{1-x}Co_xO₃,²⁹ Ca doping in Sm_{1-x}Ca_xNiO₃,³⁰ and oxygen reduction in NdNiO_{3- δ} .²⁰ Therefore oxygen vacancies are probably shallow dopants in the insulating phase and scattering sites in the metallic phase. In the inset of Fig. 2 we plot $d(\ln \rho)/dT$ over a narrow temperature range. The anomalous kink in $d(\ln \rho)/dT$ is known to mark T_N in RNiO₃, as directly determined by corresponding resistivity and susceptibility measurements.^{8,29} In SNO1 and SNO2 we find $T_N = 214 \pm 1$ and 211 ± 0.1 K, respectively, close to the bulk value of 220 K, while in SNO3 we find $T_N = 180.8 \pm 0.2$ K. The reduction of T_N with oxygen vacancies is similarly consistent with the effect of doping in SmNi_{1-x}Co_xO₃ and Sm_{1-x}Ca_xNiO₃.^{29,30}

C. Transverse magnetoresistance and Seebeck coefficient

In Figs. 3(a) and 3(b) we present the transverse magnetoresistance (MR) for SNO2 and SNO3. We show representative data from SNO3 at select temperature points in Fig. 3(a) and the temperature-dependent MR at 90 kOe from both samples in Fig. 3(b). There are two distinct regimes of negative MR: a broad regime of weak negative MR in the range $100 \text{ K} \leq T < T_{IM}$ (labeled “1”) and a narrow regime of stronger negative MR at low temperatures $T \leq 25$ K (labeled “2”). Negative MR for $T < 20$ K has been observed in LaNiO₃ and was attributed to weak localization.¹⁴ We similarly attribute the low-temperature negative MR shown here to weak localization. This is supported by the nonmonotonic $\rho(H)$ [Fig. 3(a)] for $T \leq 25$ K, which can be explained by weak localization in the presence of spin-orbit scattering, likely due to the heavy Sm³⁺ ions.³¹ The broad regime of negative MR at higher temperatures is not due to weak localization, as can be seen both from the temperature scale and from the clear temperature separation between the two regimes. We propose that this instead results from the suppression of spin fluctuations in an applied magnetic field. It is known that antiferromagnetic fluctuations persist well above T_N in SmNiO₃,²² and that the AF order parameter does not saturate

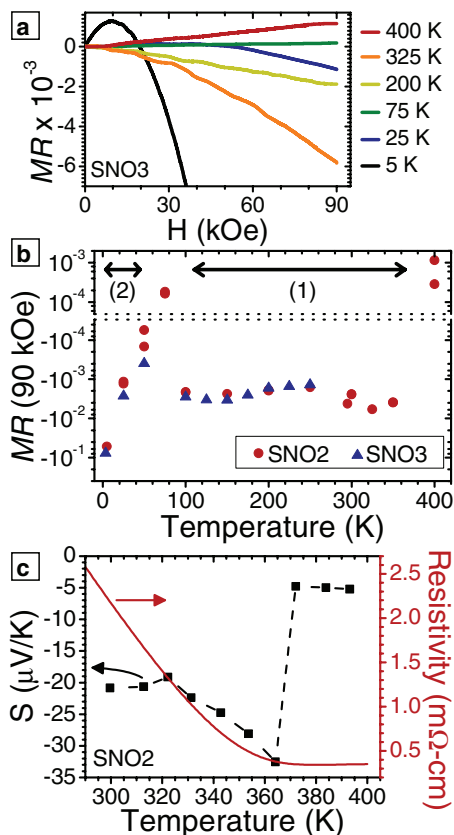


FIG. 3. (Color online) (a) Transverse magnetoresistance $MR(H) \equiv [\rho(H) - \rho(0)]/\rho(0)$ at select temperatures for SNO3. Data are collected in full ± 90 kOe field sweeps; the component even in H is extracted and plotted here. (b) $MR(90 \text{ kOe})$ as a function of temperature for SNO2 and SNO3. $MR < 0$ and $MR > 0$ data are shown on separate logarithmic plots. (1) and (2) denote distinct regimes of negative MR . (c) Seebeck coefficient measured on SNO2 showing electronlike majority carriers in insulating and metallic phases above room temperature.

with cooling until $T < 100 \text{ K}$.³² The high temperature regime of weak, negative MR therefore coincides with the broad regime in which magnetic fluctuations are expected. This hypothesis could be tested by measurements of MR in PrNiO_3 and NdNiO_3 , for which the PI phase is absent and the magnetic order parameter saturates rapidly for $T < T_N$.

We measured the Seebeck coefficient (S) from room temperature through the insulator-metal transition for SNO2, as shown in Fig. 3(c). S is negative from room temperature across T_{IM} , indicating electronlike majority carriers, in agreement with thermopower measurements on LaNiO_3 ,³³ PrNiO_3 ,³⁴ and NdNiO_3 .³⁵ The abrupt jump in S near T_{IM} is similarly observed in PrNiO_3 and NdNiO_3 and is due to the enhanced thermopower associated with semiconductor materials with respect to metals.^{34,35} The metallic phase thermopower in the aforementioned systems has an absolute value of ~ 10 – $20 \mu\text{V/K}$, somewhat larger but within the same range ($\sim 5 \mu\text{V/K}$) as observed here for SNO2. The thermopower temperature coefficient (dS/dT) in the metallic phase for SNO2 is $-0.021 \pm 0.002 \mu\text{V/K}^2$, similar to that of LaNiO_3 [$-(0.04\text{--}0.05) \mu\text{V/K}^2$] and NdNiO_3 ($-0.029 \mu\text{V/K}^2$).^{33,35}

D. Hall coefficient

In Fig. 4 we present $R_H(T)$ for our three films. In the metallic phase, the sign and magnitude of R_H agree well with published measurements on LaNiO_3 ,³³ PrNiO_3 ,¹³ and NdNiO_3 .¹⁵ The most notable feature occurs with decreasing temperature as R_H crosses over from holelike at high temperature to electronlike at low temperature. This indicates that SmNiO_3 is a multiple-band system with both electron- and holelike carriers, as has been shown by angle-resolved photoelectron spectroscopy (ARPES), Seebeck effect, and Hall effect measurements on LaNiO_3 ,^{33,36} PrNiO_3 ,^{13,34} and NdNiO_3 .^{15,35} Indeed, as shown in Fig. 3(c), we also find electronlike carriers in thermopower measurements on SNO2 above room temperature. This disagreement in the sign of majority charge carriers between Hall and thermopower measurements indicates charge compensation. Evidence of charge compensation has also been observed in thermopower measurements of bulk NdNiO_3 .³⁵

Calculation of carrier densities and Hall mobilities in a multiple-band system is not straightforward. If we assume that there is only one hole and one electron band that contribute to the Hall effect, then the Hall coefficient at low field is given by $R_H = (p\mu_p^2 - n\mu_n^2)/e(p\mu_p + n\mu_n)^2$, where p (n) and μ_p (μ_n) are the hole (electron) densities and Hall mobilities, respectively. With only resistivity and R_H data we cannot directly solve for p , n , or $\mu_{p,n}$. However, we can make additional assumptions to attempt to extract quantitative estimates of the transport parameters for the metallic phase as follows: (1) Assume $\mu_p = \mu_n = \mu$, which is reasonable given that the electron- and holelike states are both derived from the same degenerate Ni $3d e_g$ orbitals, and therefore their bandwidths and scattering rates should be comparable. (2) Assume $p + n = K$, a T -independent constant where $K = 1 e^-/\text{Ni}$, the free electron density appropriate for the nominal $t_{2g}^6 e_g^1$ electronic configuration of RNiO_3 .³⁵ With these assumptions and the unit cell volumes measured directly by

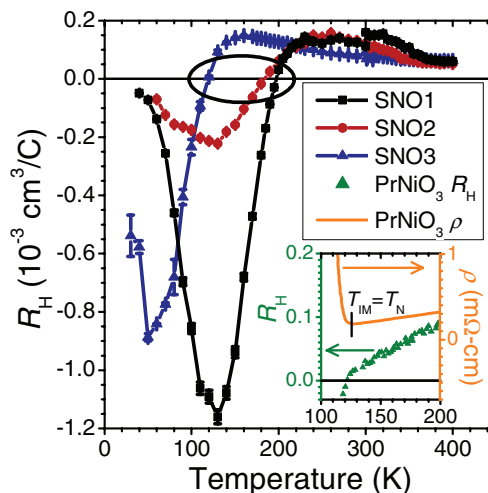


FIG. 4. (Color online) $R_H(T)$ for SNO1, SNO2, and SNO3. The crossover in sign tracks the evolution of T_N with oxygen stoichiometry. Inset: $R_H(T)$ and $\rho(T)$ for PrNiO_3 with $T_{IM} = T_N$ marked. Reprinted from Ref. 13, Copyright 1994, with permission from Elsevier.

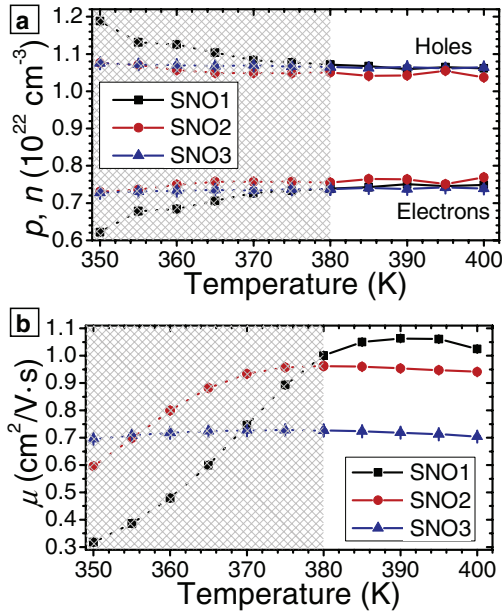


FIG. 5. (Color online) (a) Estimated hole and electron carrier densities and (b) Hall mobilities for SNO1, SNO2, and SNO3 in and near the metallic regime extracted from Hall coefficient data. Transport data are calculated assuming $\mu_p = \mu_n = \mu$ and $p + n = K$, a constant. The hatched area represents the likely breakdown of the assumptions for $T < T_{IM}$ (see text).

XRD we obtain the results in Fig. 5. We calculate the transport parameters only for temperatures in and near the metallic phase because the above assumptions are likely to break down in the insulating phase (hatched regions). We see that the hole (electron) density in the metallic phase converges towards $1.0 \times 10^{22} \text{ cm}^{-3}$ ($0.75 \times 10^{22} \text{ cm}^{-3}$), independent of stoichiometry. The metallic Hall mobility for the most stoichiometric sample SNO1 is $\sim 1 \text{ cm}^2/\text{V s}$ and the mobility decreases with increasing oxygen vacancy concentration. This is consistent with the assumption that oxygen vacancies act as scattering sites in the metallic phase, reducing the mobility. Note that quantitative analysis of R_H is complicated by polaronic effects that can distort the Hall mobility relative to the drift mobility even in the metallic phase (see Sec. III E),³⁷ and therefore the results in Fig. 5 are only valid within the assumption that the Hall and drift mobilities are equal.

R_H in Fig. 4 evolves nonmonotonically with temperature and exhibits a sign crossover from holelike to electronlike upon cooling through the insulating phase for all the SmNiO₃ films. We find that R_H changes sign at $197.8 \pm 0.4 \text{ K}$ (SNO1), $184 \pm 4 \text{ K}$ (SNO2), and $117 \pm 4 \text{ K}$ (SNO3). This crossover occurs somewhat below T_N and the crossover temperatures track the evolution of T_N with oxygen stoichiometry. This implies that the change in majority carrier type with temperature may be associated with a change in electronic structure due to antiferromagnetic ordering. The connection between the Néel transition and the sign change of R_H in our SmNiO₃ thin films is supported by data on bulk ceramic samples of PrNiO₃ and Nd_{0.98}Sr_{0.2}NiO₃ published by Cheong *et al.*¹³ We reproduce their results for PrNiO₃ in Fig. 4 (inset); their results for Nd_{0.98}Sr_{0.2}NiO₃ are quantitatively similar. For both PrNiO₃

and Nd_{0.98}Sr_{0.2}NiO₃, R_H changes sign near T_{IM} and for these materials $T_N = T_{IM}$. The quantitative agreement between our results on SmNiO₃, for which $T_N < T_{IM}$, and those of Cheong *et al.* on materials for which $T_N = T_{IM}$ illustrates that the crossover in R_H is not specific to thin films and it suggests an underlying cause common to the Néel transition in RNiO₃. We discuss below a possible mechanism for the AF order in SmNiO₃ thin films based on the electronic band structure that can explain both the unusual antiferromagnetic wave vector and the link between T_N and R_H , including the observation that the crossover in R_H occurs somewhat below T_N .

R_H appears to tend toward zero as $T \rightarrow 0 \text{ K}$, suggesting nearly perfect charge compensation at very low temperature. Similar behavior has been observed in the AF phase of the correlated electron insulator Na_{0.5}CoO₂,³⁸ and it has been ascribed to formation of doubly and singly occupied sublattices in the ground state, where the singly occupied sublattice is antiferromagnetic and the ground state has particle-hole symmetry.¹⁷

E. Small polarons, hopping conductivity, and the Hall coefficient

Quantitative interpretation of R_H is complicated by the presence of small polarons in RNiO₃. In particular, the Hall mobility μ_H may differ significantly from the drift mobility for $T > T_t$, where $T_t \sim (1/2)\Omega/k_B$ and Ω is a characteristic optical phonon frequency.^{37,39} For polaronic materials such as RNiO₃ T_t is the temperature above which electronic band structure is no longer a valid concept because rapid phonon-assisted transitions smear out individual states in reciprocal space.³⁹ For RNiO₃ we estimate $T_t \sim 450 \text{ K}$, using for Ω the frequency 600 cm^{-1} of the NiO₆ octahedra breathing mode that is thought to be most responsible for the formation of small polarons.⁴⁰ For $T > T_t$ charge transport is expected to occur by diffusive hopping, and μ_H is controlled by the connectivity and dimensionality of the lattice. For RNiO₃ this means that μ_H for $T > T_t$ will depend strongly on t'/t , where t and t' are the nearest- and next-nearest neighbor hopping integrals that describe hopping between Ni sites along a square edge and a square diagonal, respectively. This would be an interesting direction for future study but may be complicated by the thermodynamic instability of RNiO₃ at high temperatures.

For temperatures $T < T_t \sim 450 \text{ K}$ the effect of small polarons on R_H is much less pronounced, and μ_H is close to the standard drift mobility of a band insulator or conductor.³⁷ In particular the *sign* of μ_H corresponds to the sign of the charge carriers, and therefore our interpretation of the crossover of R_H below T_N remains valid. However, the magnitude of R_H still depends on the ratio t'/t . This dependence complicates any quantitative analysis of R_H , and may affect the precise temperature of the sign crossover.

The dominant transport mechanism for $T < T_{IM}$ is not well understood. $\rho(T < T_{IM})$ for the nickelates is not well modeled by a single mechanism and is likely due to an interplay of thermal activation and hopping in the presence of disorder.⁴¹ Our data in Fig. 2 is consistent with this picture and is not well described by a model of thermal activation or variable range hopping over any appreciable temperature range, although for temperatures below 100 K there is evidence (not shown)

that mobility is controlled by variable range hopping in the presence of Coulomb interactions. As with the above case of diffusive transport of small polarons R_H can be affected by the hopping mechanism, and therefore the precise temperature of the sign crossover in R_H may be affected. It is possible that the vanishing of R_H as $T \rightarrow 0$ K may be connected to emergence of variable range hopping as the mobility limiting mechanism at low temperatures. However without a complete understanding of the transport mechanism, and in particular the ratio t'/t , it is challenging to estimate this effect.

IV. DISCUSSION

A. Nickelate magnetism and the case of $T_N = T_{IM}$

Antiferromagnetism in $RNiO_3$ develops at wave vector $\mathbf{q} = (\frac{1}{2}, 0, \frac{1}{2})$, unique among the perovskite-derived complex oxides, and it cannot be described by a set of local magnetic interactions with the symmetry of the orthorhombic crystal lattice.³² An explanation requires either some local order that yields site-dependent magnetic interactions or a nonlocal mechanism. The nominal electronic configuration $t_{2g}^6 e_g^1$ of Ni^{3+} in $RNiO_3$ is orbitally degenerate, which led to early proposals that collective Jahn-Teller orbital order was responsible for the antiferromagnetism.^{32,42} This possibility has since been ruled out by symmetry: The symmetry required for a description involving orbital order is $Bb2_1m$ but the symmetry in the AFI phase is $P2_1/n$.^{32,43} Furthermore, experiments have searched for and have not found orbital order using resonant x-ray diffraction.^{43,44} Adjacent NiO_6 octahedra do differentiate by bond length below T_{IM} ,⁴⁵ but whether this is the result of charge transfer or a heterogeneous assortment of covalentlike and ioniclike Ni-O bonds remains unclear.^{10,44,46,47} A recent study using dynamical mean field theory found differentiation into covalentlike and ioniclike octahedra without charge transfer but incorrectly predicts a ferromagnetic ground state,¹⁰ thus suggesting that nonlocal interactions are needed to properly describe the antiferromagnetism.

The necessary nonlocal mechanism could come from the band structure. In Fig. 6(a) we present the Fermi surface of metallic $LaNiO_3$ calculated with a two-band tight-binding model by Lee *et al.*⁹ The Fermi surface features a large, well nested holelike cube (blue hatching) centered at the R point and a small electronlike pocket (red hatching) centered at the Γ point. Additional band structure calculations using density functional theory⁴⁸ and measurements on $LaNiO_3$ using ARPES³⁶ are in good agreement with Lee *et al.* for metallic $RNiO_3$. For this band structure the spin susceptibility peaks at a nesting vector [Fig. 6(a)] equal to the experimentally measured AF wave vector \mathbf{q} .⁹ The band structure therefore provides a natural explanation for the observed antiferromagnetic order in terms of Fermi surface nesting, and it correctly predicts the signs of the Hall and Seebeck coefficients in the metallic phase.⁹

The band structure can also explain the experimentally observed crossover in R_H from holelike to electronlike occurring at or below T_N . Calculations show that only the holelike surface is nested by \mathbf{q} and is gapped by the onset of antiferromagnetism. The Néel transition therefore affects charge compensation by preferentially moving holelike states

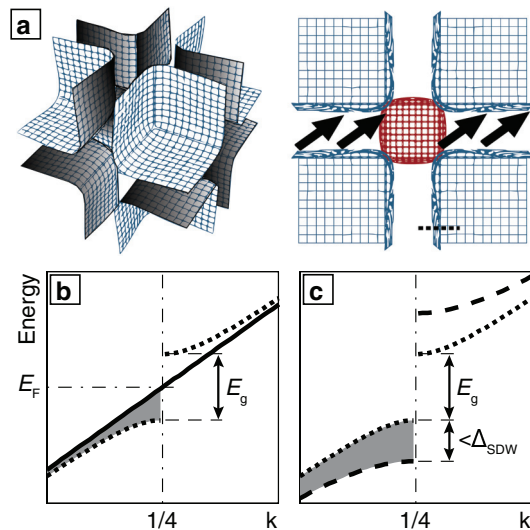


FIG. 6. (Color online) (a) Fermi surface of $LaNiO_3$. The holelike cube (blue) is shown in the left panel; the right panel shows both the electronlike pocket (red) and the holelike surfaces in a side view projection, with the calculated nesting vector illustrated. Adapted with permission from Ref. 9. Copyrighted by the American Physical Society. Short dashed line is cut along which dispersion is plotted in lower panel. (b) and (c) Schematic of dispersion along a short line crossing the nested Fermi surface as the temperature is lowered sequentially through T_{IM} and T_N . (b) Dispersion for $T > T_{IM}$ (solid line) and $T_{IM} > T > T_N$ (dotted line) for a generic insulating band gap. Occupied states are lowered in energy by $E_g/2$, as illustrated by the gray filling, and unoccupied states are raised. (c) Dispersion for $T_{IM} > T > T_N$ (dotted line) and $T_N > T$ (dashed line) for $2\Delta_{SDW}/E_g = 2$. The energy of the occupied states at $k = \frac{1}{4}$ is not lowered by the full Δ_{SDW} , but the overall energy lowering (gray filling) may be significant.

farther from the Fermi energy, and the crossover in R_H occurs when a sufficient fraction of the holelike states have been removed from the population of mobile carriers. A crossover in the sign of R_H due to density wave formation is an understood phenomenon in electronic conductors; examples include $NbSe_2$,⁴⁹ α -U,⁵⁰ and tungsten bronze.⁵¹ The temperature of the crossover depends on the precise details of the band structure and the evolution of the magnetic order parameter. For perfectly nested holelike surfaces the crossover should closely coincide with T_N . For a realistic Fermi surface the crossover should occur somewhat below T_N , as an increasing fraction of holelike states are gapped by the increasing magnetic order parameter.

Therefore, as has been noted previously,^{9,52} antiferromagnetism in those materials ($PrNiO_3$, $NdNiO_3$) for which $T_N = T_{IM}$ is consistent with the SDW mechanism. The nested holelike Fermi surface justifies the otherwise unexplained wave vector \mathbf{q} . The signs of S and R_H , including the crossover in R_H below T_N , are also explained by the calculated band structure. Fermi surface nesting alone does not determine the magnetic energy scale, which is required to understand the magnitude of T_N and the saturated ordered magnetic moment. In a material with strong electron-electron and electron-phonon interactions the magnetic energy scale is

enhanced relative to the case of an SDW in a noninteracting material (see below). For $RNiO_3$, the energy scale is likely determined by the superexchange mechanism.⁸

B. Band structure and antiferromagnetism in the case of $T_N < T_{IM}$

The concept of SDW antiferromagnetism is conventionally expected to be inapplicable to those materials (e.g., $SmNiO_3$) for which $T_N < T_{IM}$ and antiferromagnetic order develops from an insulator. However, SDW antiferromagnetism may yet apply to materials that are insulating, but for which electronic band structure remains a valid concept (i.e., a “collective electron” insulator with b larger than but nearly equal to b_c , in the language of Goodenough,¹¹ where b is a measure of interactions between neighboring d electrons and b_c is the minimum value of b for which band theory is applicable). For such materials crystal momentum is a good quantum number, and it is meaningful to consider how the occupied single particle energy levels are affected by a SDW. Here we discuss why the SDW mechanism might apply to $SmNiO_3$.

The nickelates are frequently classified as charge transfer insulators with respect to the Zaanen-Sawatzky-Allen scheme for correlated-electron oxides.⁵³ This means that in the insulating phase the valence band is derived from oxygen $2p$ orbitals ($3d^7 2p^6$ configuration), the conduction band is the upper Hubbard band derived from correlated Ni $3d$ e_g orbitals ($3d^8 \underline{L}$ configuration, \underline{L} a ligand hole), and the band gap depends on the energy (Δ) of the transition $3d^7 2p^6 \rightarrow 3d^8 \underline{L}$. However, based on the results of photoemission and x-ray absorption spectroscopy it is known that the valence band of $RNiO_3$ has significant e_g character and that the electronic ground state is a mixture of $3d^7 2p^6$ and $3d^8 \underline{L}$.^{54,55} For example, based on photoelectron spectra and a configuration interaction calculation Mizokawa *et al.* found that the valence band of $PrNiO_3$ in the AFI phase is majority $3d^8 \underline{L}$ in character.⁵⁴ Moreover, based on an analysis of x-ray absorption Medarde *et al.* found a significant $3d^8 \underline{L}$ contribution to the valence band of $PrNiO_3$ and $NdNiO_3$, and that the mixing between $3d^7 2p^6$ and $3d^8 \underline{L}$ changes little across T_{IM} .⁵⁵ The same authors suggested that $RNiO_3$ might be a negative- Δ charge transfer insulator if not for thermopower results showing negative charge carriers; Hall results showing positive carriers were not available at that time. No matter whether Δ is small and positive, or small and negative, $RNiO_3$ is a covalent insulator with significant e_g orbital contribution to the occupied valence band in the insulating phase.

As discussed in Ref. 9 the low energy (near E_F) electronic features of the PM phase are captured by the band structure arising from one electron in the e_g manifold, and the details do not depend strongly on the precise covalency. Therefore Fermi surface nesting is robust for metallic $RNiO_3$, and the e_g character of the valence band for $T < T_{IM}$ implies that states near the nested Fermi surface for $T > T_{IM}$ remain occupied for $T < T_{IM}$. The question then becomes: How do the electronic energy levels change upon entering the PI phase?

The origin of the insulating phase in the nickelates remains poorly understood. We do not ascribe a specific mechanism here, but regardless, we emphasize that crystal momentum remains a good quantum number (i.e., electronic band structure

likely remains a valid concept) for $T < T_{IM}$. The alternative is that the insulating phase is characterized by diffusive motion of small polarons.³⁹ However, for polaronic materials such as $RNiO_3$ electronic band structure is a valid concept at low temperature and breaks down above temperature $T_i \sim (1/2)\Omega/k_B \sim 450$ K (see Sec. III E). It is therefore apparent that the PI phase of $RNiO_3$ is a “collective electron” insulator as described by Goodenough,¹¹ for which crystal momentum remains a good quantum number and it is meaningful to construct a band diagram for single quasiparticle energy levels.

Without ascribing a particular mechanism to the insulator-metal transition, we can still describe the change in electronic structure at the nested Fermi surface upon cooling through T_{IM} . In Fig. 6(b) we illustrate the effect of opening a band gap at the Fermi surface due to unit cell doubling: the occupied states are lowered in energy, and the unoccupied states are raised.¹¹ This generic mechanism would apply to $SmNiO_3$ in most of the scenarios proposed in the literature to explain the insulator-metal transition, including charge disproportionation, orbital order such as a collective Jahn-Teller distortion, or bond order driven by electron correlation energy such as a site-selective Mott transition.^{25,43,45,52,56} The salient point for this study is that for small values of the band gap the valence band can remain somewhat well nested even for $T < T_{IM}$, and this allows for further lowering of the net system energy by SDW formation.

The energy lowering possible through SDW formation at $T_N < T_{IM}$ depends on the relative values of the insulating band gap (E_g) and the SDW spin-flip energy ($2\Delta_{SDW}$); for $2\Delta_{SDW} \sim E_g$ or $2\Delta_{SDW} > E_g$ the energy lowering may be appreciable. E_g in $RNiO_3$ is not well known, but it is small and temperature dependent. Electrical measurements on $NdNiO_3$ suggest $E_g \sim 50$ meV,^{35,41} and if we fit our $\rho(T)$ data to an activated form we find $E_g \sim 100$ meV for temperatures near T_N . We can estimate $2\Delta_{SDW}$ from the expression $2\Delta_{SDW} = ck_B T_N$. For weakly coupled systems the prefactor $c = 3.5$, but it is enhanced in the presence of strong electron correlation and electron-phonon coupling.⁵⁷ Here we use $c = 10$,⁵⁸ an approximate lower bound determined from studies of charge order in $La_{1-x}Ca_xMnO_3$. With $T_N = 220$ K we find $2\Delta_{SDW} \sim 200$ meV. These conservative estimates give $2\Delta_{SDW}/E_g \sim 2$. The ordering of energy scales $2\Delta_{SDW} \geq E_g$ is notable given that $T_N < T_{IM}$. However, it is consistent with the suppression of the critical temperature (represented by the factor c) for itinerant density waves in materials with strongly coupled degrees of freedom.

The net energy lowering due to SDW formation at $T_N < T_{IM}$ is illustrated in Fig. 6(c), where we plot the band dispersion for the case $2\Delta_{SDW}/E_g = 2$. Due to the preexisting insulating gap the energy of states at $k = \frac{1}{4}$ is not lowered by the full Δ_{SDW} . However, the net energy lowering can be large if the bands remain somewhat well nested. The SDW affects most strongly those states for which $|E(\mathbf{k} \pm \mathbf{q}) - E(\mathbf{k})| \leq \Delta_{SDW}$ and therefore the net energy lowering and the amplitude of the SDW is further enhanced if the bandwidth is small, as expected for a polaronic material. For the illustration in Fig. 6 we have used the estimate $2\Delta_{SDW}/E_g = 2$, but this mechanism should remain valid as long as $2\Delta_{SDW}$ is comparable in magnitude to E_g .

It is remarkable to see that the influence of the band structure may extend deep within the PI phase of SmNiO_3 where the Fermi surface no longer exists. This concept of a density wave driven by band structure, but not necessarily the Fermi surface *per se*, has emerged recently in theoretical treatments of systems that fall between the limits of weak and strong electronic correlation. SDW magnetism in the iron pnictides is stabilized by band structure, but the Fermi surface may not play a determinative role.¹⁸ Another example is the correlated covalent insulator $\text{Na}_{0.5}\text{CoO}_2$, which shares with SmNiO_3 an AFI ground state, a crossover in R_H near T_N , and a nonmonotonic $R_H(T)$ with $R_H \rightarrow 0$ as $T \rightarrow 0$.¹⁷ In $\text{Na}_{0.5}\text{CoO}_2$ an SDW is responsible for a crossover in the sign of R_H at T_N ,¹⁶ but both the exchange and electron correlation interactions must be considered to describe the low-temperature transport and the nonmonotonic $R_H(T)$. Likewise, for RNiO_3 the SDW is not responsible for the insulating state, but both the SDW mechanism and the strong correlations must be considered to describe the AFI state.

C. Connections between band and superexchange magnetism

For R heavier than Sm the dependence of T_N on bandwidth is in qualitative agreement with the theory of superexchange magnetism.⁸ This agreement starts breaking down for Sm, although it is unclear whether this represents a true breakdown of the superexchange theory or a more complicated dependence of the parameters on $r(R^{3+})$ combined with greater difficulty in interpreting the experimental data.⁸ In either case, the antiferromagnetic energy scale is largely determined by the superexchange mechanism. However, nonlocal interactions remain essential to understanding the observed antiferromagnetic order. $\mathbf{q} = (\frac{1}{2}, 0, \frac{1}{2})$ can in fact be derived from superexchange interactions in the strong coupling limit but only by considering both nearest- and next-nearest-neighbor hopping.⁹ The importance of nonzero next-nearest-neighbor hopping supports our claim that band structure is a valid concept in the insulating phases of RNiO_3 .

V. CONCLUSIONS

We present resistivity, magnetoresistance, Seebeck coefficient, and Hall coefficient measurements of epitaxial SmNiO_3 thin films with varying oxygen content. The Hall coefficient

measurements span a wide (30–400 K) temperature range through both Néel and insulator-metal phase transitions. We observe a holelike Hall coefficient and electronlike Seebeck coefficient from room temperature through the insulator-metal transition. By varying the oxygen stoichiometry of our films we show that the Néel transition induces a crossover in the sign of the Hall coefficient from hole- to electronlike. We suggest that valence band states in the insulating phase support the observed antiferromagnetic order via a mechanism akin to SDW magnetism in metallic systems. Electronic structure calculations in the PI phase will be necessary to confirm the proposed model but at present are challenging due to uncertainty over the nature of the insulating state. We also note that the magnetic phase diagram of RNiO_3 looks like that of a band of correlated electrons at half-filling instead of quarter-filling.¹¹ This observation may be useful in ongoing efforts to understand the bandwidth-controlled insulator-metal transition in RNiO_3 .

The case of SmNiO_3 illustrates connections between concepts developed to treat the limits of electron localization (oxide physics, superexchange magnetism) and delocalization (metals physics, band magnetism). SmNiO_3 marks the point in the nickelate phase diagram that is most challenging to describe within the conceptual framework of either weak or strong coupling.^{11,12} Therefore it is perhaps unsurprising to see that band structure plays an important role in the insulating phase, or that the superexchange interaction is the dominant magnetic energy scale in a system with significant next-nearest-neighbor hopping. These connections suggest a flexible conceptual framework with which to understand the unusual antiferromagnetic order in the nickelates.

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