

**Ab initio derivation of electronic low-energy models for C<sub>60</sub> and aromatic compounds**Yusuke Nomura,<sup>1</sup> Kazuma Nakamura,<sup>1,2</sup> and Ryotaro Arita<sup>1,2,3</sup><sup>1</sup>*Department of Applied Physics, University of Tokyo, 7-3-1 Hongo, Bunkyo-ku, Tokyo JP-113-8656, Japan*<sup>2</sup>*JST CREST, 7-3-1 Hongo, Bunkyo-ku, Tokyo JP-113-8656, Japan*<sup>3</sup>*JST PRESTO, Kawaguchi, Saitama JP-332-0012, Japan*

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We present a systematic study for understanding the relation between electronic correlation and superconductivity in C<sub>60</sub> and aromatic compounds. We derived, from first principles, extended Hubbard models for twelve compounds: fcc K<sub>3</sub>C<sub>60</sub>, Rb<sub>3</sub>C<sub>60</sub>, Cs<sub>3</sub>C<sub>60</sub> (with three different lattice constants), A15 Cs<sub>3</sub>C<sub>60</sub> (with four different lattice constants), doped solid picene, coronene, and phenanthrene. We show that these compounds are strongly correlated and have similar energy scales of their bandwidths and interaction parameters. However, they have a different trend in the relation between the strength of the electronic correlation and superconducting-transition temperature. While the C<sub>60</sub> compounds have a positive correlation, the aromatic compounds exhibit a negative correlation.

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**I. INTRODUCTION**

Superconductivity in  $\pi$ -electron systems, the history of which dates back to studies on graphite-intercalation compounds in the 1960s,<sup>1</sup> has attracted broad interest in condensed-matter physics. Recently, two seminal discoveries for carbon-based superconductors have been reported. One is A15 and/or fcc Cs<sub>3</sub>C<sub>60</sub>, based on a new method to synthesize highly crystalline samples.<sup>2-6</sup> The observed superconducting-transition temperatures ( $T_c$ ) for the high-pressure samples are found to be as high as 38 K for the A15 and 35 K for the fcc compounds. The other is potassium-doped solid picene,<sup>7</sup> which opened a new avenue to various *aromatic superconductors* for which the maximum  $T_c$  has reached 33 K.<sup>8-11</sup>

The mechanism of superconductivity of these new superconductors has not been fully understood. For alkali-doped fullerides, while there are several experimental reports which seemingly support the conventional Bardeen-Cooper-Schrieffer (BCS) mechanism, various indications for unconventional superconductivity have been also observed. For example, although the positive correlation between  $T_c$  and the lattice constant found in K- and Rb-doped fullerides has been understood in terms of the standard BCS theory,<sup>12</sup> more recent experiments for larger cations have revealed that  $T_c$  does not necessarily behave monotonically as a function of the lattice parameters.<sup>2-6</sup> The fact that the superconducting phase has a domelike shape in the phase diagram is indeed reminiscent of cuprates<sup>13</sup> and unconventional organic superconductors such as bis(ethylenedithio)-tetrathiafulvalene (BEDT-TTF).<sup>14</sup> In addition, these new C<sub>60</sub> superconductors are insulators at ambient pressure,<sup>2-6</sup> indicating that the superconducting phase resides in the vicinity of an insulating phase. In fact, considering these characteristic features, it has been proposed that the interplay between the orbital, spin, and lattice degrees of freedom is the origin of the high- $T_c$  superconductivity.<sup>15</sup>

For aromatic superconductors, following the discovery of K-doped picene (C<sub>22</sub>H<sub>14</sub>),<sup>7</sup> it has been found that various hydrocarbon compounds such as coronene (C<sub>24</sub>H<sub>12</sub>), phenanthrene (C<sub>14</sub>H<sub>10</sub>), and 1,2:8,9-dibenzopentacene (C<sub>30</sub>H<sub>18</sub>) also exhibit superconductivity.<sup>8-11</sup> The diversity of hydrocarbon molecules suggests the possibility of new and higher- $T_c$

aromatic superconductors. So far, the electronic structure,<sup>16-20</sup> electronic correlations,<sup>21,22</sup> electron-phonon interactions,<sup>23,24</sup> exciton and plasmon properties of the normal state<sup>25-28</sup> have been studied while studies on superconducting properties are still limited. The pairing mechanism is totally an open question.<sup>29</sup>

There are several similarities between C<sub>60</sub> and aromatic superconductors; they are both molecular solids having narrow bands around the Fermi level, whose energy scales compete with those of the electron-phonon and electron-electron interactions. The competition among these three factors is a characteristic aspect of carbon-based materials. *Ab initio* derivations of effective low-energy models for these compounds are important to make the situation transparent and to clarify the origin of their high- $T_c$  superconductivity. By comparing the parameters in the effective models for the C<sub>60</sub> and aromatic superconductors, the differences and similarities are quantitatively identified and analyzed.

Recent methodology for the construction of the electronic model, based on the combination use of the maximally localized Wannier orbital (MLWO) (Ref. 30) and the constrained-random-phase approximation (cRPA),<sup>31</sup> extends its applicability range. It does not place limitations on the character of basis orbitals of the effective model whether atomic or molecular. Indeed, it has already been applied to various complex systems such as BEDT-TTF (Refs. 32 and 33) and zeolites.<sup>34</sup> While electronic-interaction parameters of the C<sub>60</sub> and aromatic superconductors have been estimated by various methods,<sup>26,35-38</sup> explicit and direct comparison of these systems by the same method has yet to be done. Thus, it is imperative to evaluate the interaction parameters of C<sub>60</sub> and aromatic superconductors by exploiting the state-of-the-art technique and perform a systematic comparison. In the present study, we constructed *ab initio* extended Hubbard models which describe the low-energy electronic structures of twelve examples of C<sub>60</sub> and aromatic compounds. The transfer integrals were given as matrix elements of the Kohn-Sham Hamiltonian in the Wannier basis. The interaction parameters were evaluated by calculating the Wannier-matrix elements of the screened Coulomb interaction, which is obtained by

cRPA. The estimated correlation strength as the ratio of the interaction energy to the kinetic one is nearly at or beyond unity for the studied materials, indicating that both the  $C_{60}$  and aromatic systems are classified as strongly correlated electron systems. On the other hand, we observed a notable difference between the two systems; for the  $C_{60}$  system, there exists a positive-correlation regime in the correlation strength and the experimental  $T_c$ . In contrast, the aromatic system exhibits negative correlation between these two quantities.

This paper is organized as follows. In Sec. II, we show how to construct the low-energy models from *ab initio* calculations. In Sec. III, we show the calculated band structure, MLWOs, transfer integrals, and effective interaction parameters. We discuss the material dependence of the derived parameters and relation between the strength of the electronic correlation and superconductivity in Sec. IV. Finally, we give a summary in Sec. V.

## II. METHODS

We derive electronic low-energy models with the combination of MLWOs and the cRPA. This method has widely been applied to the derivation of effective models for  $3d$  transition metals,<sup>39,40</sup> their oxides,<sup>39</sup> organic conductors,<sup>32,33</sup> zeolites,<sup>34</sup> iron-based superconductors,<sup>41,42</sup> and  $5d$ -transition-metal oxides.<sup>43</sup> We first perform band calculations based on density functional theory (DFT)<sup>44,45</sup> and choose “target bands” of the effective model. By constructing MLWOs for the target bands, we calculate transfer integrals and effective interactions in the effective model. In the calculation of the effective interaction, the screening by electrons besides target-band electrons is considered within the cRPA (see below).

We apply this scheme to the derivation of effective models, i.e., extended multiorbital Hubbard models, for the  $C_{60}$  and aromatic compounds. The Hamiltonian consists of the transfer part  $\mathcal{H}_t$ , the Coulomb-repulsion part  $\mathcal{H}_U$ , and the exchange-interactions and pair-hopping part  $\mathcal{H}_J$  defined as

$$\mathcal{H} = \mathcal{H}_t + \mathcal{H}_U + \mathcal{H}_J, \quad (1)$$

where

$$\mathcal{H}_t = \sum_{\sigma} \sum_{ij} \sum_{nm} t_{nm}(\mathbf{R}_{ij}) a_{in}^{\sigma\dagger} a_{jm}^{\sigma}, \quad (2)$$

$$\mathcal{H}_U = \frac{1}{2} \sum_{\sigma\rho} \sum_{ij} \sum_{nm} U_{nm}(\mathbf{R}_{ij}) a_{in}^{\sigma\dagger} a_{jm}^{\rho\dagger} a_{jm}^{\rho} a_{in}^{\sigma}, \quad (3)$$

$$\begin{aligned} \mathcal{H}_J = & \frac{1}{2} \sum_{\sigma\rho} \sum_{ij} \sum_{nm} J_{nm}(\mathbf{R}_{ij}) \\ & \times (a_{in}^{\sigma\dagger} a_{jm}^{\rho\dagger} a_{in}^{\rho} a_{jm}^{\sigma} + a_{in}^{\sigma\dagger} a_{in}^{\rho\dagger} a_{jm}^{\rho} a_{jm}^{\sigma}), \end{aligned} \quad (4)$$

with  $a_{in}^{\sigma\dagger}$  ( $a_{in}^{\sigma}$ ) being a creation (annihilation) operator of an electron with spin  $\sigma$  in the  $n$ th MLWO localized at a  $C_{60}$ - or aromatic-hydrocarbon molecule located at  $\mathbf{R}_i$  and where  $\mathbf{R}_{ij} = \mathbf{R}_i - \mathbf{R}_j$ . The parameters  $t_{nm}(\mathbf{R}_{ij})$  represent an on-site energy ( $\mathbf{R}_{ij} = \mathbf{0}$ ) and hopping integrals ( $\mathbf{R}_{ij} \neq \mathbf{0}$ ), which are described with the translational symmetry as

$$t_{nm}(\mathbf{R}) = \langle \phi_{n\mathbf{R}} | \mathcal{H}_{KS} | \phi_{m\mathbf{0}} \rangle, \quad (5)$$

where  $|\phi_{n\mathbf{R}_i}\rangle = a_{in}^{\dagger}|0\rangle$  and  $\mathcal{H}_{KS}$  is the Kohn-Sham Hamiltonian.<sup>46</sup>

To evaluate effective interaction parameters  $U_{nm}(\mathbf{R})$  and  $J_{nm}(\mathbf{R})$ , we calculate the screened Coulomb interaction  $W(\mathbf{r}, \mathbf{r}')$  at the low-frequency limit. We first calculate the noninteracting-polarization function  $\chi$ , excluding polarization processes within the target bands. Note that screening by the target electrons is considered when we solve the effective models so that we have to avoid double counting of it when we derive the effective models. With the resulting  $\chi$ , the  $W$  interaction is calculated as  $W = (1 - v\chi)^{-1}v$ , where  $v$  is the bare Coulomb interaction  $v(\mathbf{r}, \mathbf{r}') = \frac{1}{|\mathbf{r} - \mathbf{r}'|}$ .

Once the screened Coulomb interaction  $W(\mathbf{r}, \mathbf{r}')$  is calculated, the matrix elements of  $W$  are obtained as

$$\begin{aligned} U_{nm}(\mathbf{R}) &= \langle \phi_{n\mathbf{R}} \phi_{m\mathbf{0}} | W | \phi_{n\mathbf{R}} \phi_{m\mathbf{0}} \rangle \\ &= \int \int d\mathbf{r} d\mathbf{r}' \phi_{n\mathbf{R}}^*(\mathbf{r}) \phi_{n\mathbf{R}}(\mathbf{r}) W(\mathbf{r}, \mathbf{r}') \phi_{m\mathbf{0}}^*(\mathbf{r}') \phi_{m\mathbf{0}}(\mathbf{r}') \end{aligned} \quad (6)$$

and

$$\begin{aligned} J_{nm}(\mathbf{R}) &= \langle \phi_{n\mathbf{R}} \phi_{m\mathbf{0}} | W | \phi_{m\mathbf{0}} \phi_{n\mathbf{R}} \rangle \\ &= \int \int d\mathbf{r} d\mathbf{r}' \phi_{n\mathbf{R}}^*(\mathbf{r}) \phi_{m\mathbf{0}}(\mathbf{r}) W(\mathbf{r}, \mathbf{r}') \phi_{m\mathbf{0}}^*(\mathbf{r}') \phi_{n\mathbf{R}}(\mathbf{r}'). \end{aligned} \quad (7)$$

For comparison with the cRPA results, we calculate interaction parameters with different levels of screening. One is the unscreened one, i.e., the bare Coulomb interaction, and the other is the fully screened one wherein we calculate  $\chi$ , including the target-band screening. To distinguish these from the cRPA, we denote them as “bare” and “fRPA” (fully screened random-phase approximation).

## III. RESULTS

### A. Calculation conditions

We performed DFT band calculations with the TOKYO AB INITIO PROGRAM PACKAGE,<sup>47</sup> based on the pseudopotential-plus-plane-wave framework. We used the generalized-gradient approximation (GGA) exchange-correlation functional with the parametrization of Perdew-Burke-Ernzerhof<sup>48</sup> and Troullier-Martins norm-conserving pseudopotentials<sup>49</sup> in the Kleinman-Bylander representation.<sup>50</sup> The pseudopotentials for alkali metals, K, Rb, and Cs, were supplemented with partial core correction.<sup>51</sup> The cutoff energies for wave functions and charge densities were set to 36 Ry and 144 Ry, respectively, and we employed  $5 \times 5 \times 5$   $k$ -point sampling. We confirmed that this condition ensures well converged results.

The DFT calculations were performed for the following twelve materials: fcc  $K_3C_{60}$ , fcc  $Rb_3C_{60}$ , fcc  $Cs_3C_{60}$  with three different lattice parameters, A15  $Cs_3C_{60}$  with four different lattice parameters, doped solid picene, coronene, and phenanthrene. The lattice parameters were taken from the experiments, and internal coordinates were optimized.<sup>53</sup> In fcc  $A_3C_{60}$ , the disorder of the orientation of  $C_{60}$  molecules was ignored, so the crystal symmetry is lowered from  $Fm\bar{3}m$  to  $Fm\bar{3}$ .

Before presenting the computational results, we summarize the basic properties of the compounds studied in the present paper. Table I lists experimental values for the  $C_{60}$

TABLE I. Basic properties of fcc and A15 alkali-doped  $C_{60}$  compounds: the lattice parameter  $a$ , corresponding  $C_{60}^{3-}$  volume in the solid, and measured superconducting-transition temperature  $T_c$  or the Néel temperature  $T_N$ . For fcc  $Cs_3C_{60}$ , the three samples are specified with the  $C_{60}^{3-}$  volume and correspond to those in the superconducting phases with maximum  $T_c$  ( $V_{SC}^{opt-P}$ ), in the vicinity of the metal-insulator transition ( $V_{MIT}$ ), and in the antiferromagnetic-insulating phase ( $V_{AFI}$ ), respectively. For the A15 structure, we also list another sample with a higher pressure for which  $T_c$  is lower than that of  $V_{SC}^{opt-P}$  and is abbreviated to  $V_{SC}^{high-P}$ .

Compound	$a$ (Å)	Volume/ $C_{60}^{3-}$ (Å <sup>3</sup> )	Pressure (kbar)	$T_c$ ( $T_N$ ) (K)	Ref.
fcc-K	14.240	722	0	19	52
fcc-Rb	14.420	750	0	29	52
fcc-Cs( $V_{SC}^{opt-P}$ )	14.500	762	7	35	4
fcc-Cs( $V_{MIT}$ )	14.640	784	2	26	4
fcc-Cs( $V_{AFI}$ )	14.762	804	0	(2.2)	4
A15-Cs( $V_{SC}^{high-P}$ )	11.450	751	15	35	3
A15-Cs( $V_{SC}^{opt-P}$ )	11.570	774	7	38	3
A15-Cs( $V_{MIT}$ )	11.650	791	3	32	3
A15-Cs( $V_{AFI}$ )	11.783	818	0	(46)	3

compounds, including the lattice constant  $a$ , the volume per  $C_{60}^{3-}$  in the solid,<sup>57</sup> the applied pressure, and the measured superconducting-transition temperature  $T_c$  or the Néel temperature  $T_N$ . The  $a$  value and/or  $C_{60}^{3-}$  volume can be controlled by the chemical and external pressures. In this table, the samples are arranged in the order of increasing lattice constants. For fcc  $A_3C_{60}$ ,  $T_c$  first increases and reaches its maximum (35 K) around  $a = 14.500$  Å. Then, it decreases to  $T_c \sim 25$  K where the system experiences the metal-insulator transition (MIT) and becomes an antiferromagnetic insulator (AFI) for which the Néel temperature  $T_N$  is around 2.2 K. A similar behavior is observed in the A15 system while  $T_N$  is significantly higher (46 K). This is because the A15 structure is bipartite and therefore less frustrated.<sup>58</sup> Hereafter, we label the nine  $C_{60}$  compounds as fcc-K, fcc-Rb, fcc-Cs( $V_{SC}^{opt-P}$ ), fcc-Cs( $V_{MIT}$ ), fcc-Cs( $V_{AFI}$ ), A15-Cs( $V_{SC}^{high-P}$ ), A15-Cs( $V_{SC}^{opt-P}$ ), A15-Cs( $V_{MIT}$ ), and A15-Cs( $V_{AFI}$ ).

Table II shows the experimental lattice parameters for undoped solid picene, coronene, and phenanthrene and the  $T_c$  values observed for the doped systems. For doped solid picene, two different  $T_c$  values (18 K and 7 K) have been observed depending on the preparation conditions.<sup>7</sup> The superconductivity appears when the system is doped, but the details of the crystal structures in the superconducting phases have not been determined. Thus in the present study, the band calculations for aromatic compounds were performed for the

TABLE II. Lattice parameters for pristine solid picene, coronene, and phenanthrene and the superconducting-transition temperatures  $T_c$  observed for their doped systems.

Compound	$a$ (Å)	$b$ (Å)	$c$ (Å)	$\beta$ (°)	$T_c$ (K)	Refs.
picene	8.480	6.154	13.515	90.46	18,7	7,54
coronene	16.094	4.690	10.049	110.79	15	10,55
phenanthrene	8.453	6.175	9.477	98.28	5-6	8,9

artificially charged system for which three negative charges per one hydrocarbon molecule were doped with a uniform, compensating, positive background charge. For geometries, we employed the experimental ones listed in Table II. Hereafter, doped solid picene, coronene, and phenanthrene are referred to as solid picene<sup>3-</sup>, coronene<sup>3-</sup>, and phenanthrene<sup>3-</sup>, respectively.

### B. Band structure and density of states

Figure 1 shows our calculated GGA band structures for the fcc  $A_3C_{60}$  (upper five panels), A15  $Cs_3C_{60}$  (middle four panels), and aromatic compounds (lower three panels). These compounds have common features in their band structures; i.e., we see narrow bands near the Fermi level separated from other bands, being preferable when we choose the target bands to construct an effective model. In the  $C_{60}$  compounds, there are threefold-degenerate states, which form the so-called “ $t_{1u}$  band” near the Fermi level, and we construct effective models for these bands. For aromatic compounds, the target bands are made from the two lowest unoccupied molecular orbitals (LUMO and LUMO+1) in an isolated molecule.<sup>16,19,20</sup> It should be noted that unoccupied bands lie above the target bands more densely in the order of solid picene<sup>3-</sup>, solid coronene<sup>3-</sup>, and solid phenanthrene<sup>3-</sup>. Since conduction bands can generate stronger screening when they reside closer to the target bands, we expect a weak repulsive interaction in solid picene<sup>3-</sup> compared to the other two.

We show in Fig. 2 the calculated density of states (DOS) of the  $t_{1u}$  band for fcc  $A_3C_{60}$  [Fig. 2(a)] and A15  $Cs_3C_{60}$  [Fig. 2(b)]. For both fcc and A15 compounds, the bandwidth  $W$  monotonically increases as the lattice constant decreases, but the DOS profile does not change drastically. We list the values of  $W$  in Table III. The bandwidth of the A15 compound ( $\sim 0.6$  eV) tends to be larger than that of the fcc compound ( $\sim 0.4$  eV), which is due to the difference in the inter- $C_{60}$  contact, i.e., the “hexagon-to-hexagon” configuration for the A15 and “bond-to-bond” one for the fcc compound.<sup>59</sup> It was found that the bandwidths of the aromatic compounds are nearly 0.5 eV (see Table III).

### C. Maximally localized Wannier orbitals

Figure 3 shows a contour plot of one of the MLWOs for the  $t_{1u}$  bands of A15-Cs( $V_{AFI}$ ). The results of other  $C_{60}$  compounds are almost the same. From this figure we see that the resulting Wannier orbital is well localized at the single  $C_{60}$  molecule. In this plot, we displayed the same orbital along the three directions; Figs. 3(a), 3(b), and 3(c) correspond to the views along the  $x$ ,  $y$ , and  $z$  axes, respectively. We see a node in the center of this orbital for Figs. 3(b) and 3(c); thus, this orbital has  $p_x$ -like symmetry. Note that the view along the  $y$  axis is not identical to the view along the  $z$  axis, which is in contrast with the case of atomic  $p$  orbitals. We note that the other two  $p_y$ - and  $p_z$ -like Wannier orbitals are symmetrically equivalent to the presented  $p_x$ -like orbital. We also note that the weight of the Wannier orbitals concentrates in the vicinity of the cage of the  $C_{60}$  molecule and that there is little weight inside it.

We next show in Fig. 4 a contour plot of two MLWOs of solid phenanthrene<sup>3-</sup>. In the aromatic compounds, the two

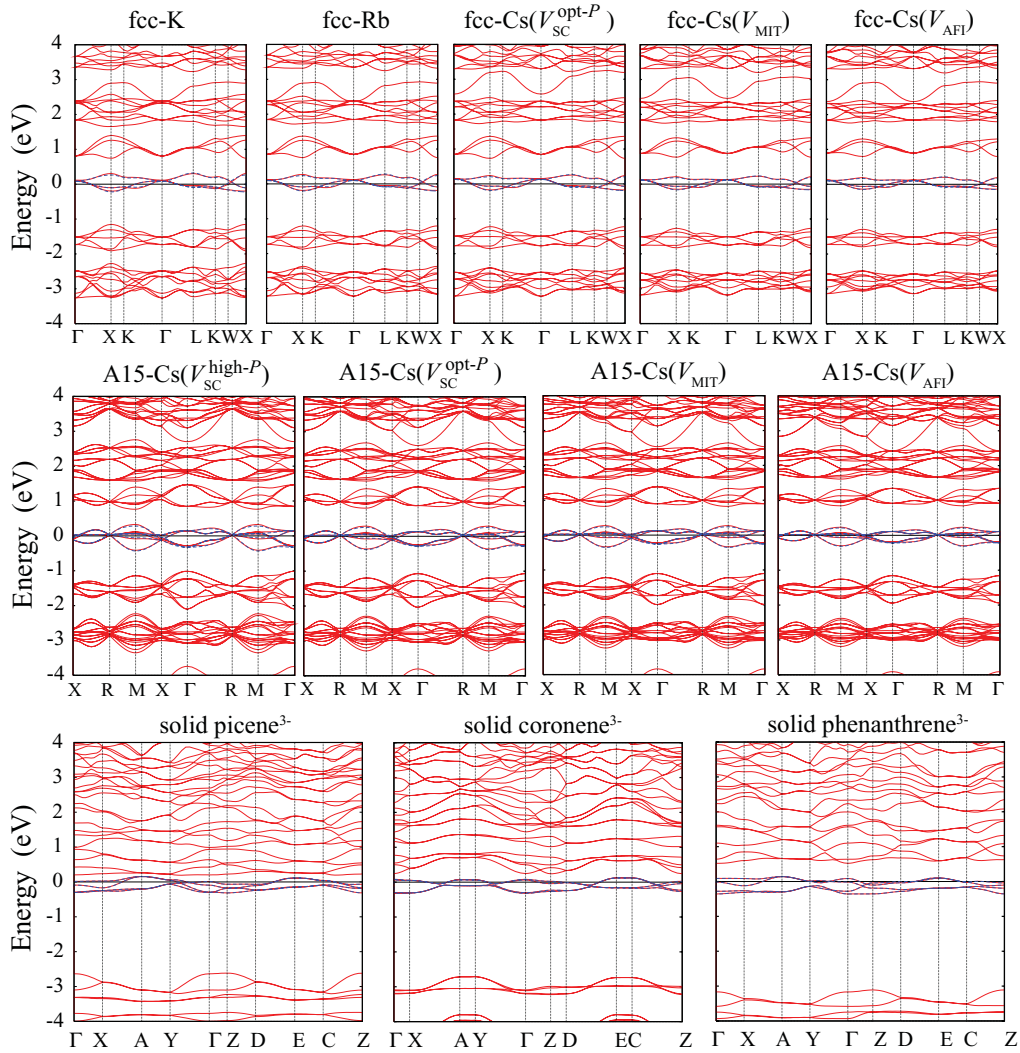


FIG. 1. (Color online) Calculated *ab initio* electronic band structure of fcc-K, fcc-Rb, fcc-Cs( $V_{SC}^{opt-P}$ ), fcc-Cs( $V_{MIT}$ ), fcc-Cs( $V_{AFI}$ ), A15-Cs( $V_{SC}^{opt-P}$ ), A15-Cs( $V_{SC}^{high-P}$ ), A15-Cs( $V_{MIT}$ ), A15-Cs( $V_{AFI}$ ), solid picene $^{3-}$ , solid coronene $^{3-}$ , and solid phenanthrene $^{3-}$ . In the case of aromatic compounds with monoclinic structures, the horizontal axis is labeled by the special points in the Brillouin zone with  $\Gamma$ , X, A, Y, Z, D, E, and C, respectively, corresponding to  $(0, 0, 0)$ ,  $(1/2, 0, 0)$ ,  $(1/2, 1/2, 0)$ ,  $(0, 1/2, 0)$ ,  $(0, 0, 1/2)$ ,  $(1/2, 0, 1/2)$ ,  $(1/2, 1/2, 1/2)$ , and  $(0, 1/2, 1/2)$ , respectively, in units of  $(a^*, b^*, c^*)$ . The interpolated-band dispersion with the derived tight-binding Hamiltonian is depicted as blue dashed lines.

basis orbitals of the effective model are not symmetrically equivalent, and therefore, we specify these orbitals as “lower” and “higher” orbitals in terms of the on-site level of the MLWOs. The lower and higher orbitals are shown in Figs. 4(a)

and 4(b), respectively. We again see the resulting orbitals are well localized at the single molecules. The MLWOs of solid picene $^{3-}$  and coronene $^{3-}$  are similar to those of undoped systems calculated in Refs. 16 and 20.

TABLE III. Calculated bandwidth  $W$  of the target band and spatial Wannier spread  $\Omega$  for twelve materials: fcc-K, fcc-Rb, fcc-Cs( $V_{SC}^{opt-P}$ ), fcc-Cs( $V_{MIT}$ ), fcc-Cs( $V_{AFI}$ ), A15-Cs( $V_{SC}^{high-P}$ ), A15-Cs( $V_{SC}^{opt-P}$ ), A15-Cs( $V_{MIT}$ ), A15-Cs( $V_{AFI}$ ), solid picene $^{3-}$ , solid coronene $^{3-}$ , and solid phenanthrene $^{3-}$ . For the aromatic compounds, the two values of  $\Omega$  are listed; the left is the “lower-level” orbital, and the right is the “higher-level” one. Units are given in meV for  $W$  and  $\text{\AA}$  for  $\Omega$ .

	fcc $A_3C_{60}$					A15 $Cs_3C_{60}$				Aromatic compounds		
	K	Rb	Cs( $V_{SC}^{opt-P}$ )	Cs( $V_{MIT}$ )	Cs( $V_{AFI}$ )	$V_{SC}^{high-P}$	$V_{SC}^{opt-P}$	$V_{MIT}$	$V_{AFI}$	picene $^{3-}$	coronene $^{3-}$	phenanthrene $^{3-}$
$W$	502	454	427	379	341	740	659	614	535	477	447	505
$\Omega$	4.28	4.21	4.19	4.14	4.10	4.27	4.20	4.16	4.12	4.08, 4.13	3.64, 3.67	3.20, 3.08

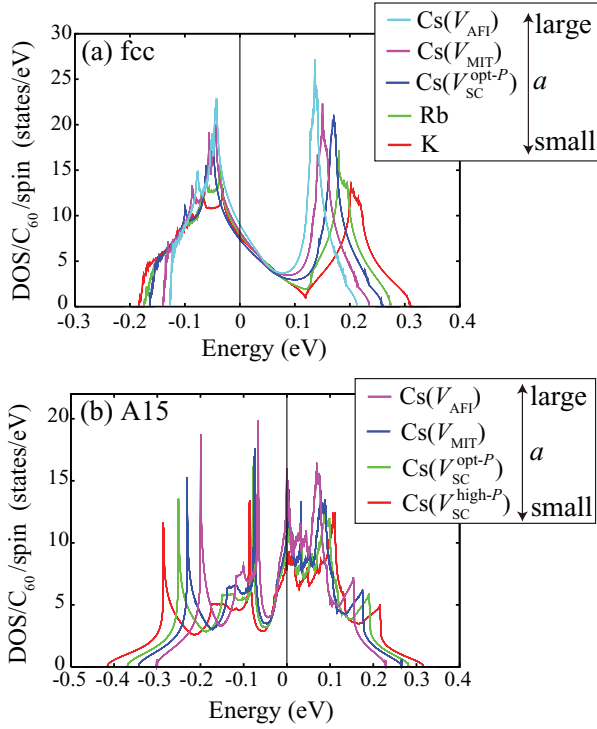


FIG. 2. (Color online) (a) Our calculated density of states (DOS) for the  $t_{1u}$  band of fcc-K (red), fcc-Rb (green), fcc-Cs( $V_{SC}^{opt-P}$ ) (blue), fcc-Cs( $V_{MIT}$ ) (purple), and fcc-Cs( $V_{AFI}$ ) (light blue). (b) DOS for the  $t_{1u}$  band of A15-Cs( $V_{SC}^{high-P}$ ) (red), A15-Cs( $V_{SC}^{opt-P}$ ) (green), A15-Cs( $V_{MIT}$ ) (blue), and A15-Cs( $V_{AFI}$ ) (purple).

We list in Table III our calculated spatial spread  $\Omega_n$  of the MLWOs for the 12 materials wherein  $\Omega_n$  is defined as

$$\Omega_n = \sqrt{\langle \phi_{n0} | r^2 | \phi_{n0} \rangle - |\langle \phi_{n0} | \mathbf{r} | \phi_{n0} \rangle|^2}. \quad (8)$$

In the  $C_{60}$  compounds, the calculated Wannier spread is roughly 4 Å, and thus, the estimated effective volume  $\frac{4}{3}\pi\Omega^3$  is  $\sim 268 \text{ \AA}^3$ . The value is compared with the  $C_{60}^{3-}$  volume listed in Table I ( $\sim 720\text{--}820 \text{ \AA}^3$ ), clearly indicating the well-localized nature of the MLWO on the single molecule. We see that the Wannier spread has a weak positive correlation with the bandwidth  $W$ . In the aromatic compounds, the molecular sizes themselves are different from each other, resulting in the appreciable difference in  $\Omega$  values. Note that  $\Omega$  has no clear correlation with  $W$ .

#### D. Transfer integrals

Let us move on to transfer integrals. For the  $C_{60}$  compounds, the band dispersion of the target band was found to be well reproduced only with nearest-neighbor (NN) and next-nearest-neighbor (NNN) transfers. The orbital indices 1, 2, and 3 denote  $p_x$ -,  $p_y$ -, and  $p_z$ -like orbitals, respectively. On-site energies for the three MLWOs are set to zero. From now on, “site” means one molecule, and the coordinate of site  $\mathbf{R}$  is defined as the center of the molecule. The transfer integrals  $t_{nm}(\mathbf{R})$  are represented as  $3 \times 3$  matrices. In the fcc (A15) structure, there are 12 (8) NN sites and 6 (6) NNN sites per molecule. From transfers to the specific site, other transfers to the equivalent sites are reproduced by proper

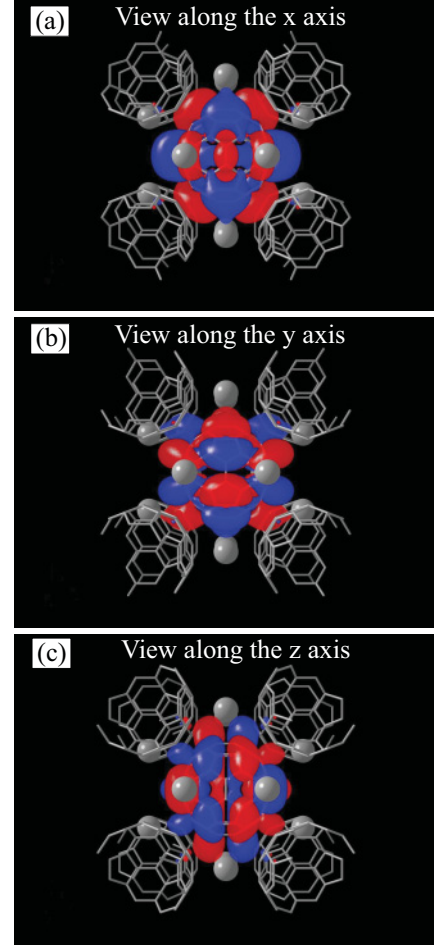


FIG. 3. (Color online) Isosurfaces of our calculated  $p_x$ -like maximally localized Wannier orbital of A15-Cs( $V_{AFI}$ ) viewed along the (a)  $x$  axis, (b)  $y$  axis, (c)  $z$  axis, drawn by VESTA (Ref. 60). The red surfaces indicate positive isosurfaces, and the blue surfaces indicate negative isosurfaces.

symmetry operations. As a representative NN site, we choose  $\mathbf{R} = (R_x, R_y, R_z) = (0.5, 0.5, 0.0)$  and  $(0.5, 0.5, 0.5)$  for the fcc and A15 structures, respectively, where the coordinate is based on the conventional cell. The transfer matrices to these sites are

$$\begin{pmatrix} F_1 & F_2 & 0 \\ F_2 & F_3 & 0 \\ 0 & 0 & F_4 \end{pmatrix} \quad \text{and} \quad \begin{pmatrix} A_1 & A_{2(3)} & A_{3(2)} \\ A_{3(2)} & A_1 & A_{2(3)} \\ A_{2(3)} & A_{3(2)} & A_1 \end{pmatrix} \quad (9)$$

for the fcc and A15 structures, respectively. In the A15 structure, the two  $C_{60}$  molecules in the unit cell (denoted as the  $A$ - and  $B$ -sites) are not equivalent in terms of their orientations. So in the matrix in Eq. (9), we show both the transfers from the  $A$ -site to the  $B$ -site and from the  $B$ -site to the  $A$ -site (in parentheses). We choose  $\mathbf{R} = (1, 0, 0)$  for a representative NNN site for both structures, and then the transfer matrices are written as

$$\begin{pmatrix} F_5 & 0 & 0 \\ 0 & F_6 & 0 \\ 0 & 0 & F_7 \end{pmatrix} \quad \text{and} \quad \begin{pmatrix} A_4 & 0 & 0 \\ 0 & A_{5(6)} & 0 \\ 0 & 0 & A_{6(5)} \end{pmatrix} \quad (10)$$

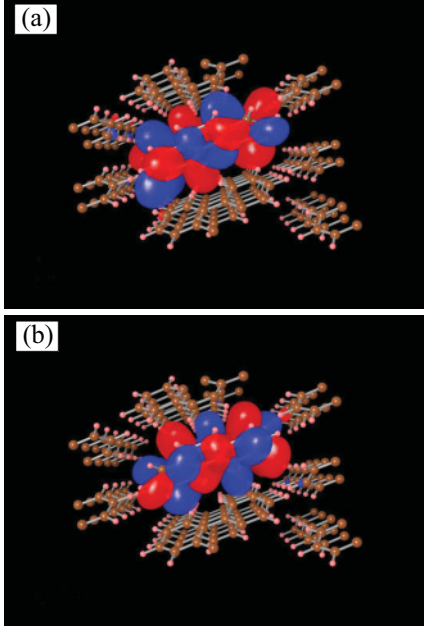


FIG. 4. (Color online) Isosurfaces of maximally localized Wannier orbitals of solid phenanthrene<sup>3-</sup> with (a) lower on-site energy and (b) higher on-site energy, drawn by VESTA (Ref. 60). The red surfaces indicate positive isosurfaces, and the blue surfaces indicate negative isosurfaces.

for the fcc and A15 structures, respectively. The transfer matrix for the A15 structure represents the  $A$ - $A$  transfer, and the  $B$ - $B$  one is in parentheses. Table IV shows the value of the parameters from  $F_1$  to  $F_7$  for the fcc  $C_{60}$  compounds. We note that  $F_6 \neq F_7$  is due to the lowering of the symmetry from  $Fm\bar{3}m$  to  $Fm\bar{3}$ . Table V shows the values of parameters from  $A_1$  to  $A_6$  for A15  $Cs_3C_{60}$ . For both systems, the values of the hopping parameters decrease as those of the lattice parameters increase.

We next describe the procedure for obtaining transfers to the other NN sites or NNN sites. First, we consider the NN case. For the fcc structure, the transfer matrices to the other five NN sites are written with  $F_1$ – $F_4$  as follows:

$$\begin{pmatrix} F_4 & 0 & 0 \\ 0 & F_1 & F_2 \\ 0 & F_2 & F_3 \end{pmatrix} \text{ for } \mathbf{R} = (0.0, 0.5, 0.5),$$

$$\begin{pmatrix} F_4 & 0 & 0 \\ 0 & F_1 & -F_2 \\ 0 & -F_2 & F_3 \end{pmatrix} \text{ for } \mathbf{R} = (0.0, 0.5, -0.5),$$

TABLE IV. Hopping parameters for fcc  $A_3C_{60}$  in Eqs. (9) and (10). Units are given in  $10^{-4}$  eV.

Compound	$F_1$	$F_2$	$F_3$	$F_4$	$F_5$	$F_6$	$F_7$
fcc-K	-40	-339	421	-187	-94	-14	-2
fcc-Rb	-16	-306	392	-159	-75	-8	15
fcc-Cs( $V_{SC}^{opt-P}$ )	26	-299	372	-120	-60	-3	36
fcc-Cs( $V_{MIT}$ )	15	-267	332	-104	-40	1	30
fcc-Cs( $V_{AFI}$ )	13	-241	302	-94	-33	1	24

TABLE V. Hopping parameters for A15  $Cs_3C_{60}$  in Eqs. (9) and (10). Units are given in  $10^{-4}$  eV.

Compound	$A_1$	$A_2$	$A_3$	$A_4$	$A_5$	$A_6$
A15-Cs( $V_{SC}^{high-P}$ )	-297	448	67	74	-105	-289
A15-Cs( $V_{SC}^{opt-P}$ )	-262	400	61	74	-97	-239
A15-Cs( $V_{MIT}$ )	-239	371	57	76	-89	-212
A15-Cs( $V_{AFI}$ )	-206	329	53	73	-79	-180

$$\begin{pmatrix} F_3 & 0 & F_2 \\ 0 & F_4 & 0 \\ F_2 & 0 & F_1 \end{pmatrix} \text{ for } \mathbf{R} = (0.5, 0.0, 0.5),$$

$$\begin{pmatrix} F_3 & 0 & -F_2 \\ 0 & F_4 & 0 \\ -F_2 & 0 & F_1 \end{pmatrix} \text{ for } \mathbf{R} = (-0.5, 0.0, 0.5),$$

$$\begin{pmatrix} F_1 & -F_2 & 0 \\ -F_2 & F_3 & 0 \\ 0 & 0 & F_4 \end{pmatrix} \text{ for } \mathbf{R} = (0.5, -0.5, 0.0).$$

For the A15 structure, we have

$$\begin{pmatrix} A_1 & A_{2(3)} & -A_{3(2)} \\ A_{3(2)} & A_1 & -A_{2(3)} \\ -A_{2(3)} & -A_{3(2)} & A_1 \end{pmatrix} \text{ for } \mathbf{R} = (0.5, 0.5, -0.5),$$

$$\begin{pmatrix} A_1 & -A_{2(3)} & A_{3(2)} \\ -A_{3(2)} & A_1 & -A_{2(3)} \\ A_{2(3)} & -A_{3(2)} & A_1 \end{pmatrix} \text{ for } \mathbf{R} = (0.5, -0.5, 0.5),$$

$$\begin{pmatrix} A_1 & -A_{2(3)} & -A_{3(2)} \\ -A_{3(2)} & A_1 & A_{2(3)} \\ -A_{2(3)} & A_{3(2)} & A_1 \end{pmatrix} \text{ for } \mathbf{R} = (0.5, -0.5, -0.5).$$

The remaining transfers to the NN sites are reproduced by the relation  $t_{nm}(\mathbf{R}) = t_{nm}(-\mathbf{R})$ .

Similarly, the transfers to the other NNN sites are described as

$$\begin{pmatrix} F_7(A_{6(5)}) & 0 & 0 \\ 0 & F_5(A_4) & 0 \\ 0 & 0 & F_6(A_{5(6)}) \end{pmatrix} \text{ for } \mathbf{R} = (0, 1, 0),$$

$$\begin{pmatrix} F_6(A_{5(6)}) & 0 & 0 \\ 0 & F_7(A_{6(5)}) & 0 \\ 0 & 0 & F_5(A_4) \end{pmatrix} \text{ for } \mathbf{R} = (0, 0, 1),$$

for the fcc (A15) structures, and the remaining NNN transfers are generated according to  $t_{nm}(\mathbf{R}) = t_{nm}(-\mathbf{R})$ .

Using these NN- and NNN-transfer parameters, we construct the transfer part  $\mathcal{H}_t$  in Eq. (2) of the effective model. The band dispersion for the  $C_{60}$  compounds calculated from the resulting  $\mathcal{H}_t$  is depicted as blue dashed lines in Fig. 1 from which we see that the original GGA band dispersion is satisfactorily reproduced.

For the aromatic compounds, since there is no simple symmetry operation, their transfers are difficult to show concisely.<sup>16,20</sup> For the aromatic compounds, we describe only some characteristic features of the transfers. The aromatic compounds are regarded as the stacking-layered systems, so we expect a two-dimensional hopping structure. However, in the present transfer analysis, we found that the anisotropy

TABLE VI. Comparison between the maximum absolute values of the intralayer transfer  $t_{\parallel}$  and interlayer transfer  $t_{\perp}$  for aromatic compounds. For the three directions in  $t_{\parallel}$ , see Fig. 5. Units are given in meV.

Compound	$t_{\parallel 1}^{\max}$	$t_{\parallel 2}^{\max}$	$t_{\parallel 3}^{\max}$	$t_{\perp}^{\max}$
solid picene <sup>3-</sup>	48	39	59	20
solid coronene <sup>3-</sup>	7	87	7	14
solid phenanthrene <sup>3-</sup>	49	32	73	36

of the transfers is not so simple. Table VI compares the maximum absolute values of the intralayer transfers ( $t_{\parallel}$ ) with those of the interlayer transfers ( $t_{\perp}$ ) for which the intralayer is defined as the  $ab$  plane. The intralayer transfers are further decomposed in the three directions and compared with each other (see Fig. 5 for the definition of the three directions). The anisotropy ( $t_{\perp}^{\max}/t_{\parallel}^{\max}$ ) is not so appreciable for solid picene<sup>3-</sup>, estimated as  $20/59 \sim 0.34$ , and for phenanthrene<sup>3-</sup> as  $\sim 0.49$ . In contrast, the anisotropy of coronene<sup>3-</sup> is significant and is  $\sim 0.16$ . In the case of coronene<sup>3-</sup>, the intralayer anisotropy is even strong:  $t_{\parallel 1}^{\max}/t_{\parallel 2}^{\max} = 7/87 \sim 0.08$ ; this system is an almost quasi-one-dimensional chain along the  $b$  axis. We note that the original GGA band dispersion is well reproduced by short-range-transfer hoppings (Fig. 1).

### E. Effective interaction parameters

We performed random-phase-approximation (RPA) calculations to evaluate the screened Coulomb interaction  $W(\mathbf{r}, \mathbf{r}')$  in Eqs. (6) and (7) in which the dielectric function was expanded in plane waves with an energy cutoff 7.5 Ry for fcc  $A_3C_{60}$  and aromatic compounds and 5.0 Ry for A15  $Cs_3C_{60}$ . The total number of bands considered in the polarization function was set to 335 (120 occupied, 3 target, and 212 unoccupied) for fcc  $A_3C_{60}$ , 670 (240 occupied, 6 target, and 424 unoccupied) for A15  $Cs_3C_{60}$ , 310 (102 occupied, 4 target, and 204 unoccupied) for solid picene<sup>3-</sup>, 315 (108 occupied, 4 target, and 203 unoccupied) for solid coronene<sup>3-</sup>, and 270 (66 occupied, 4 target, and 200 unoccupied) for solid phenanthrene<sup>3-</sup>. The Brillouin-zone integral on the wave vector was evaluated by the generalized-tetrahedron method.<sup>61</sup> A problem due to the singularity of the long-wavelength-limit Coulomb interaction in the evaluation of the Wannier-matrix elements,  $U_{nm}(\mathbf{R})$  in Eq. (6) and  $J_{nm}(\mathbf{R})$  in Eq. (7), was treated in the manner described in Ref. 62.

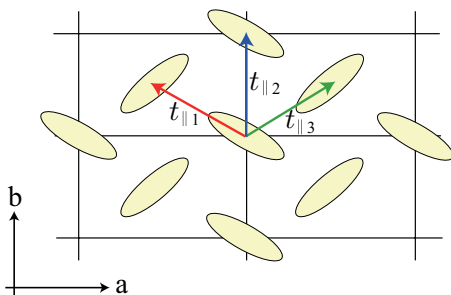


FIG. 5. (Color online) Schematic picture of the intralayer transfer  $t_{\parallel}$  along the three directions in the aromatic compounds. The ellipses indicate molecules.

The on-site interactions are specified by  $U = U_{nn}(\mathbf{0})$ ,  $U' = U_{nm}(\mathbf{0})$ , and  $J = J_{nm}(\mathbf{0})$  for  $n \neq m$ . In the case of  $C_{60}$  compounds,  $U$ ,  $U'$ , and  $J$  take only one value, according to the symmetry. For aromatic compounds,  $U$  is different for two orbitals, so we present two values. We also denote the Coulomb repulsion between the neighboring sites as  $V$ .

Table VII shows our calculated interaction parameters  $U$ ,  $U'$ ,  $J$ , and  $V$  with three screening levels (bare, cRPA, and fRPA). We see that the value of the Coulomb repulsion decreases as the screening processes increase. In the  $C_{60}$  compounds, the bare value is  $\sim 3.4$  eV, and after considering the screening by cRPA, the value is reduced to  $\sim 1$  eV. By taking account of the intra-target-band screening by fRPA, the value is further reduced to  $\sim 0.1$  eV. It should be noted here that the material dependences of the bare values in the fcc and A15 structures are small, for example, 3.27 eV for fcc-K and 3.37 for fcc-Cs( $V_{AFI}$ ). The difference is nearly 3%. This difference is ascribed to the difference in the spatial spread of the MLWOs (see Table III). On the other hand, the material difference in the cRPA values is beyond 20%: 0.82 eV for fcc-K and 1.07 for fcc-Cs( $V_{AFI}$ ). Indeed, this appreciable difference originates from the difference in the macroscopic dielectric constant defined as

$$\epsilon_M^{\text{cRPA}} = \lim_{\mathbf{Q} \rightarrow 0} \lim_{\omega \rightarrow 0} \frac{1}{\epsilon_{\text{GG}}^{\text{cRPA}-1}(\mathbf{q}, \omega)}, \quad (11)$$

with  $\omega$  being the frequency and  $\mathbf{Q} = \mathbf{q} + \mathbf{G}$ , where  $\mathbf{q}$  is a wave vector in the first Brillouin zone and  $\mathbf{G}$  is a reciprocal lattice vector. We list the values at the bottom of the table. We see that the material dependence of  $\epsilon_M^{\text{cRPA}}$  is appreciable as 5.6 for fcc-K and 4.4 for fcc-Cs( $V_{AFI}$ ), clearly indicating the importance of the screening effect in addition to the spatial Wannier spread.

For the aromatic compounds, differences in both the bare interaction and the screening effect contribute to the material dependence of the cRPA values. The bare interactions are  $U_{\text{bare}}^{\text{picene}^{3-}} \sim U_{\text{bare}}^{\text{coronene}^{3-}} < U_{\text{bare}}^{\text{phenanthrene}^{3-}}$ , and after consideration of the cRPA screening, we obtain  $U_{\text{cRPA}}^{\text{picene}^{3-}} < U_{\text{cRPA}}^{\text{coronene}^{3-}} \sim U_{\text{cRPA}}^{\text{phenanthrene}^{3-}}$ . Especially in picene<sup>3-</sup>, the dielectric constant is markedly high ( $\sim 12$ ),<sup>63</sup> making the cRPA- $U$  value appreciably small.

We finally remark on some points. As for the  $C_{60}$  compounds, the equality  $U' \sim U - 2J$  holds among effective parameters. This relationship also roughly holds for the aromatic compounds. The present  $U$  values of cRPA for the  $C_{60}$  compounds are small compared to the previous estimates of  $U$  ( $\sim 1$ – $1.5$  eV).<sup>35–38</sup> For all materials, the cRPA Coulomb interaction decays as  $1/(\epsilon_M^{\text{cRPA}} r)$  with  $r$  being the distance between the centers of the MLWOs while the fRPA Coulomb interaction is limited to be short ranged due to the metallic screening (see Table VII). We note that the fRPA  $U$  gives an opposite trend to the bare and cRPA- $U$  values; for example, in the fcc  $C_{60}$  compounds, the fRPA value slightly decreases from fcc-K to fcc-Cs( $V_{AFI}$ ). This is due to the fact that the Coulomb interaction is efficiently screened due to the increase in the density of states accompanied by the decrease of bandwidth. We also found that, in these systems, the exchange interactions  $J$  are also efficiently screened; i.e.,  $J_{\text{cRPA}}/J_{\text{bare}} \sim 0.3$ . This makes a clear contrast to the case of the inorganic materials as  $J_{\text{cRPA}}/J_{\text{bare}} \sim 0.8$  such as the

TABLE VII.  $U$ ,  $U'$ ,  $J$ , and  $V$  with three different screening levels [unscreened (bare), constrained RPA (cRPA), and fully screened RPA (fRPA)] for the twelve compounds: fcc-K, fcc-Rb, fcc-Cs( $V_{SC}^{opt-P}$ ), fcc-Cs( $V_{MIT}$ ), fcc-Cs( $V_{AFI}$ ), A15-Cs( $V_{SC}^{high-P}$ ), A15-Cs( $V_{SC}^{opt-P}$ ), A15-Cs( $V_{MIT}$ ), A15-Cs( $V_{AFI}$ ), solid picene $^{3-}$ , solid coronene $^{3-}$ , and solid phenanthrene $^{3-}$ . For the aromatic compounds, two values of  $U$  are presented; the left is the lower-level orbital, and the right is the higher-level one. For bare and cRPA  $U$ ,  $U'$ , and  $V$  values, the units are given in eV, and  $J$  is given in meV. For fRPA, the units are given in meV. At the bottom of the table, we present our calculated cRPA-macroscopic-dielectric constant  $\epsilon_M^{cRPA}$  in Eq. (11).

Constant	fcc $A_3C_{60}$			A15 $Cs_3C_{60}$			Aromatic compounds					
	K	Rb	Cs( $V_{SC}^{opt-P}$ )	Cs( $V_{MIT}$ )	Cs( $V_{AFI}$ )	$V_{SC}^{high-P}$	$V_{SC}^{opt-P}$	$V_{MIT}$	$V_{AFI}$	picene $^{3-}$	coronene $^{3-}$	phenanthrene $^{3-}$
$U_{bare}$	3.27	3.31	3.32	3.35	3.37	3.36	3.39	3.40	3.42	4.43,4.41	4.64,4.59	5.05,5.17
$U'_{bare}$	3.08	3.11	3.12	3.15	3.17	3.16	3.18	3.20	3.22	3.55	4.33	4.55
$J_{bare}$	96	99	100	101	102	97	99	100	101	166	129	275
$V_{bare}$	1.31–1.37	1.30–1.35	1.29–1.34	1.28–1.33	1.27–1.32	1.37–1.38	1.36–1.37	1.35–1.36	1.34–1.34	2.08–2.32	2.79–2.84	2.29–2.43
$U_{cRPA}$	0.82	0.92	0.94	1.02	1.07	0.93	1.02	1.07	1.14	0.73,0.74	1.29,1.26	1.33,1.37
$U'_{cRPA}$	0.76	0.85	0.87	0.94	1.00	0.87	0.95	0.99	1.06	0.58	1.15	1.17
$J_{cRPA}$	31	34	35	35	36	30	36	36	37	53	58	101
$V_{cRPA}$	0.24–0.25	0.26–0.27	0.27–0.28	0.28–0.29	0.30	0.30	0.31	0.32	0.34	0.26	0.59–0.60	0.47–0.48
$U_{fRPA}$	93	91	91	86	83	107	102	99	93	155,151	149,120	166,172
$U'_{fRPA}$	41	39	39	35	32	50	45	42	37	51	53	60
$J_{fRPA}$	25	26	26	26	25	28	28	28	28	38	39	57
$V_{fRPA}$	1–3	1–3	1–3	1–3	1–3	2–3	2	2	1–2	1–4	1–4	2
$\epsilon_M^{cRPA}$	5.6	5.1	4.9	4.6	4.4	4.7	4.4	4.3	4.1	12.0	5.5	6.3



3d transition metals,<sup>39</sup> its oxides SrVO<sub>3</sub>,<sup>39</sup> and iron-based superconductors.<sup>41,42</sup> As for the alkali-metal intercalation, since it might cause a substantial change in the band structures of the aromatic compounds,<sup>16,17,20</sup> a careful reexamination for the quantitative values of the effective interactions will be desired after the determination of the experimental structures, which remain to be explored.

## IV. DISCUSSION

### A. Material dependence of effective parameters

Let us move on to a comparison of the effective interaction parameters among the 12 compounds. Figure 6 summarizes the results of the cRPA calculations: the on-site Coulomb repulsion  $\bar{U}$  averaged over the MLWOs derived from the target band, the on-site exchange interaction  $J$ , the off-site interaction  $\bar{V}$  averaged over the nearest-neighbor sites, and

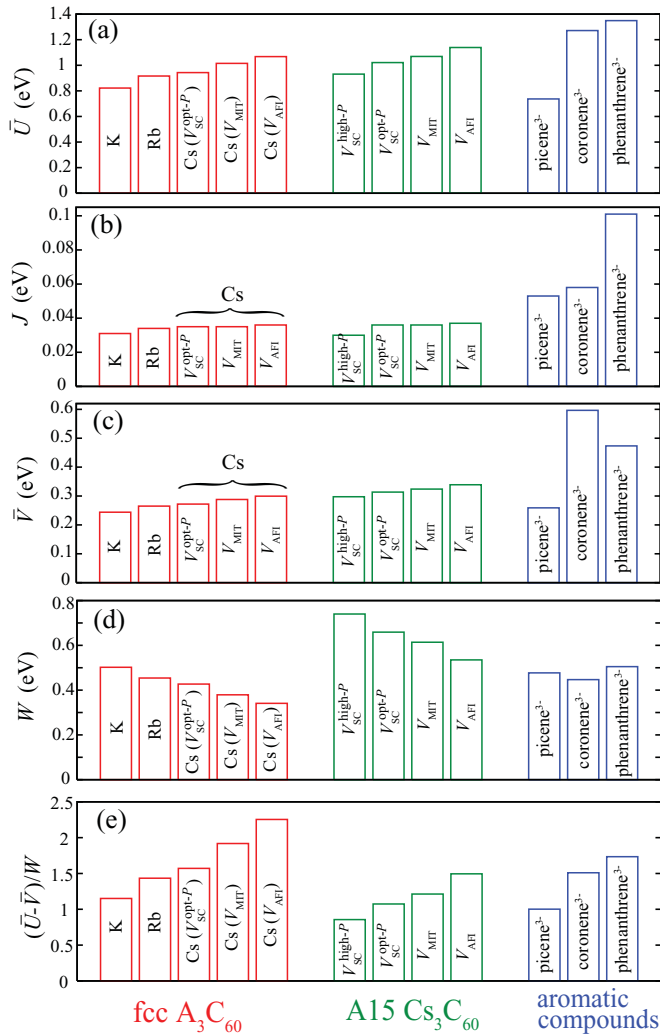


FIG. 6. (Color online) Material dependence of (a) the average of the on-site effective Coulomb repulsion  $\bar{U}$ , (b) the on-site effective exchange interaction  $J$ , (c) the average of the off-site effective Coulomb repulsion between neighboring sites  $\bar{V}$ , (d) the bandwidth of the target band  $W$ , and (e) the correlation strength  $(\bar{U} - \bar{V})/W$ , which are derived within the cRPA method.

the ratio  $(\bar{U} - \bar{V})/W$  which measures the correlation strength in the system. Note that the net interaction is estimated as  $\bar{U} - \bar{V}$ , based on the analysis of the extended Hubbard model.<sup>64</sup>

As for the C<sub>60</sub> systems, we see that  $\bar{U}$  has appreciable material dependence, ranging from 0.8 eV to 1.1 eV [Fig. 6(a)]. This is ascribed to the differences in the size of the Wannier orbitals and dielectric screening (see Sec. III E). On the other hand, the material dependence of  $J$  is weak, and the value itself is negligibly small as  $\sim 0.03$  eV [Fig. 6(b)]. In general, small  $J$  favors low-spin states as is observed in experiments.<sup>3-5,65</sup> It is interesting to note that there is a proposal that the Jahn-Teller coupling dominates over the Hund's rule coupling  $J$  and induces superconductivity with the help of sufficiently large  $U$ .<sup>15</sup> Compared to  $J$ , we found that  $\bar{V}$  is substantially large as  $\sim 0.3$  eV, being as large as  $\sim 25\%$  of  $\bar{U}$  [Fig. 6(c)]. As for  $W$ , which measures kinetic energy, we observed a decreasing trend as the lattice constant increases [Fig. 6(d)]. We also note that the energy scale for A15 Cs<sub>3</sub>C<sub>60</sub> is larger than that of fcc A<sub>3</sub>C<sub>60</sub> as mentioned in Sec. III B. The derived correlation strength of  $(\bar{U} - \bar{V})/W$  exhibits a rather simple monotonic increasing behavior [Fig. 6(e)] with the lattice constant increase. The presented  $(\bar{U} - \bar{V})/W \sim 1$  indicates that C<sub>60</sub> superconductors are categorized as strongly correlated electron systems.

For the aromatic superconductors, we found that the energy scale of  $\bar{U}$  is similar to that of the C<sub>60</sub> superconductors [Fig. 6(a)]. On the other hand, it is interesting to note that the aromatic superconductors tend to have larger  $J$  and  $\bar{V}$  values [Figs. 6(b) and 6(c)]. We can also see that the material dependence of the interaction parameters among the aromatic superconductors is also more significant since the sizes and shapes of the aromatic molecules are quite different from each other. As for  $W$ , they are similar for the aromatic and C<sub>60</sub> superconductors [Fig. 6(d)]. We found that aromatic superconductors are also in as strongly correlated regimes as the C<sub>60</sub> ones, based on the analysis of the correlation strength [Fig. 6(e)].

### B. Relation between electronic correlation and superconductivity

Next, let us discuss the relation between electronic correlation and superconductivity for the C<sub>60</sub> and aromatic superconductors. In Figs. 7(a) and 7(b), we plot the superconducting-transition temperature  $T_c$  and the Néel temperature  $T_N$  as a function of the volume occupied per fulleride anion ( $V_0$ ) for fcc A<sub>3</sub>C<sub>60</sub> and A15 Cs<sub>3</sub>C<sub>60</sub>, respectively (see also Table I). To see the relation between the electron correlation and the superconductivity, we superimpose a plot of  $(\bar{U} - \bar{V})/W$  on the phase diagram. We see that while  $(\bar{U} - \bar{V})/W$  and  $T_c$  have a positive correlation up to  $V_0 \sim 760-770 \text{ \AA}^3$ , for larger  $V_0$ , electron correlation becomes fatal for superconductivity, and the system eventually becomes an insulator. We note that the critical value of  $(\bar{U} - \bar{V})/W$  for the MIT sample is larger for fcc A<sub>3</sub>C<sub>60</sub> ( $\sim 1.9$ ) than A15 Cs<sub>3</sub>C<sub>60</sub> ( $\sim 1.2$ ). As discussed in Ref. 59, it is important to consider the influence of the lattice and orbital structure on the MIT.<sup>15,66</sup>

In Fig. 7(c), we plot  $T_c$  and  $(\bar{U} - \bar{V})/W$  for the three aromatic superconductors, which show a negative correlation. Therefore, it seems that electronic correlation does not

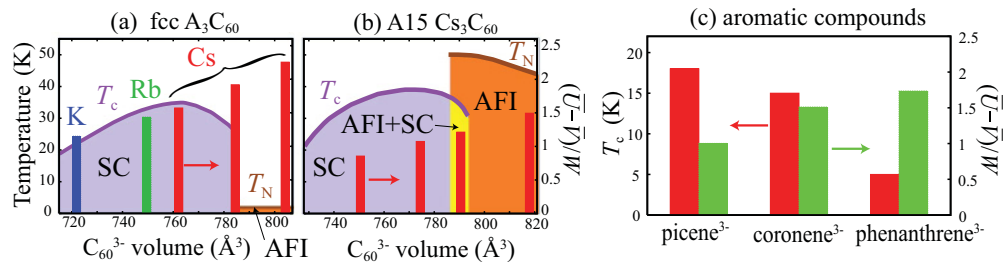


FIG. 7. (Color online) Relations between the experimental curves of the superconducting- and magnetic-transition temperatures ( $T_c, T_N$ ) as functions of the  $C_{60}^{3-}$  volume and the estimated correlation strength  $(\bar{U} - \bar{V})/W$  (vertical bar) for (a) fcc  $A_3C_{60}$  and (b) A15  $Cs_3C_{60}$ . (c) For aromatic compounds, the measured  $T_c$  in Table II and the calculated correlation strength are compared where picene $^{3-} = (C_{22}H_{14})^{3-}$ , coronene $^{3-} = (C_{24}H_{12})^{3-}$ , and phenanthrene $^{3-} = (C_{14}H_{10})^{3-}$ . For the panels (a) and (b), the experimental phase diagrams were taken from Ref. 4 for the fcc and Ref. 3 for the A15 compounds.

favor superconductivity in these aromatic superconductors. Recently, doped 1,2:8,9-dibenzopentacene was found to have a quite high  $T_c \sim 33$  K. Since 1,2:8,9-dibenzopentacene is a bigger molecule than picene, coronene, or phenanthrene, the former interaction is expected to be small compared to the latter ones, reflecting the large Wannier spread of the 1,2:8,9-dibenzopentacene molecule. If there is no drastic change in the bandwidth  $W$ , which is probable in terms of the tendency shown in Fig. 6(d), the weakest electronic correlation will be realized in doped 1,2:8,9-dibenzopentacene. This trend is consistent with Fig. 7(c).

Regarding the role of the electronic correlation in the  $C_{60}$  and aromatic superconductors, there are two possibilities. Either, the pairing mechanism in these compounds has a common root, or these superconductors have completely different pairing glues. If we assume that the aromatic superconductors reside in the vicinity of the border between the superconducting and insulating phases, the first scenario is (at least partially) explicable in relation to the behavior in Fig. 7. On the other hand, in the second scenario, the electronic correlation enhances superconductivity of the  $C_{60}$  compounds and inversely suppresses that of the aromatic compounds. In order to clarify this issue, experimental studies to determine the phase diagram for aromatic superconductors against temperature and volume occupied per anion are highly desired.<sup>67</sup> Theoretically, microscopic calculations considering both electronic correlation and electron-lattice coupling are needed, which will be an interesting future problem.

## V. SUMMARY

To provide insight into the role of electronic correlation in  $C_{60}$  and aromatic superconductors, we derived effective models for a wide range of examples: fcc-K, fcc-Rb, fcc-Cs( $V_{SC}^{opt-P}$ ), fcc-Cs( $V_{MIT}$ ), fcc-Cs( $V_{AFI}$ ), A15-Cs( $V_{SC}^{high-P}$ ), A15-Cs( $V_{SC}^{opt-P}$ ), A15-Cs( $V_{AFI}$ ), solid picene $^{3-}$ , solid coronene $^{3-}$ , and solid phenanthrene $^{3-}$ . To define the basis orbital of the effective model, we constructed MLWOs of isolated bands around the Fermi level. Transfer parameters were derived by evaluating the matrix elements of the Kohn-Sham Hamiltonian between the MLWOs. The low-energy electronic structures of the  $C_{60}$  compounds are

highly symmetric and isotropic, so the original GGA band is reproduced with only six or seven parameters. On the other hand, the aromatic compounds have quite anisotropic electronic structures.

To quantify the strength of electronic correlation in these compounds, we estimated the effective interaction parameters, such as  $U$ ,  $V$ , and  $J$ , by means of the cRPA method. It was found that in addition to the appreciable reduction of the diagonal part of the Coulomb interaction ( $U$  and  $V$ ), the off-diagonal part  $J$  is also efficiently screened. Interestingly, all the  $C_{60}$  and aromatic superconductors studied in the present work have a similar energy scale for the bandwidth and interaction parameters:  $W \sim 0.5$  eV,  $U \sim 1$  eV,  $J \sim 0.05$  eV, and  $V \sim 0.3$  eV. This parameter range suggests that these compounds are a strongly correlated electron system. However, after examination of the material dependence, we found a clear difference between the  $C_{60}$  and aromatic compounds in the relation between electronic-correlation strength and  $T_c$ ; i.e., a positive correlation in the  $C_{60}$  system and a negative correlation in the aromatic system.

In the present study, we focused on the derivation for the electronic part of the effective model. For a thorough understanding of the low-energy physics for carbon-based materials, however, the derivation of the electron-phonon interaction part is also imperative. The derivation for this part includes subtle problems concerning the definition of the basis for the phonon mode (see Refs. 68 and 69) and/or the exclusion of the double counting of the screening of the low-energy degree of freedoms, which requires future study.

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