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# Spin-orbit coupling and its effects in organic solids

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We present a detailed analysis of spin-orbit coupling (SOC) in  $\pi$ -conjugated organic materials and its effects on spin characteristics including the spin-relaxation time, spin-diffusion length, and g factor. While  $\pi$  electrons are responsible for low-energy electrical and optical processes in  $\pi$ -conjugated organic solids,  $\sigma$  electrons must be explicitly included to properly describe the SOC. The SOC mixes up- and down-spin states and, in the context of spintronics, can be quantified by an admixture parameter in the electron and hole polaron states in  $\pi$ -conjugated organics. Molecular geometry fluctuations such as ring torsion, which are common in soft organic materials and may depend on sample preparation, are found to have a strong effect on the spin mixing. The SOC-induced spin mixing leads to spin flips as polarons hop from one molecule to another, giving rise to spin relaxation and diffusion, which are examined by the time-dependent perturbation theory and density-matrix theory. The spin-relaxation rate is found to be proportional to the carrier hopping rate, or equivalently, carrier mobility. The spin-diffusion length depends on the spin mixing and hopping distance but is insensitive to the carrier mobility. An applied electric field causes spin drift and gives rise to upstream and downstream spin-diffusion lengths in the hopping-conduction regime. The SOC influences the g factor of the polaron state and makes it deviate from the free-electron value. The deviation is due to the mixing of different *orbitals* in the polaron state, which does not include the spin mixing within the same orbital, and therefore underestimates the SOC strength. In particular, the g factor is not sensitive to the molecular geometry fluctuations, where the spin mixing within the same orbital is dominant. The SOCs in tris-(8-hydroxyquinoline) aluminum (Alq<sub>3</sub>) and in copper phthalocyanine (CuPc) are particularly strong, due to the orthogonal arrangement of the three ligands in the former and Cu 3d orbitals in the latter. The theory quantitatively explains the recent measured spin-diffusion lengths in Alq<sub>3</sub> from muon spin rotation and in CuPc from spin-polarized two-photon photoemission.

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### I. INTRODUCTION

Organic spintronics combines the advantages spintronics<sup>1</sup> and low-cost fabrication of organic devices and has advanced rapidly since the discovery of large magnetoresistance in ferromagnet-organic-ferromagnet structures.<sup>2,3</sup> Weak spin-orbit couplings (SOCs) and hyperfine interactions (HFIs) are frequently invoked as one of major virtues of organic spintronics.<sup>4</sup> To date, however, there is little quantification of these interactions in individual organic materials, which prevents direct comparison among different organics and quantitative understanding of spin relaxation and transport in organic materials. While the HFI in an organic material in principle can be directly measured by the nuclear magnetic resonance and electron spin resonance (ESR) experiments, the SOC is not directly measurable and its meaning in the context of organic spintronics is often unclear. Although all organic materials contain the C element, which has a relatively small atomic SOC, many organic materials studied for spintronic applications also contain heavier elements, such as O in poly[2methoxy-5-(2'-ethylhexyloxy)-p-phenylene vinylene] (MEH-PPV), O, N, and Al in tris-(8-hydroxyquinoline) aluminum  $(Alq_3)$ , S in sexithienyl  $(T_6)$ , and Cu in copper phthalocyanine (CuPc), which have stronger atomic SOCs, as listed in Table I.<sup>5</sup> There is no systematic way available to estimate different elements' contributions to the total SOC in a given organic. Another complication is that in organic solids the organic molecules or oligomers are usually packed differently because organics are flexible and their geometry strongly fluctuates.<sup>6</sup> To meaningfully compare experiments, one needs to know to what extent the packing or the geometry variation can influence the SOC. Thus a good SOC measure that is relevant to spintronics and can be systematically evaluated in individual organics is acutely needed.

Understanding spin relaxation can help harness the spin degree of freedom effectively in device structures. While spin relaxation in inorganic metals and semiconductors is well understood, thanks largely to the classic and rigorous works by Elliott and Yafet (EY)<sup>7</sup> and D'yakonov and Perel' (DP),<sup>8</sup> very few studies of spin relaxation in organics were carried out, especially, relaxation caused by the SOC. Theories developed so far are usually primitive, inadequate, and sometimes even invalid. Because of the lack of systematic theoretical studies on organics in literature, theories for crystalline inorganic semiconductors and for isolated molecules in the ESR literature<sup>9</sup> have been frequently used to estimate important parameters such as spin lifetimes and spin-diffusion lengths in highly disordered organic solids with little justification. Such a casual use of existing theories resulted in wildly inconsistent estimates from different groups. For example, the spin lifetime estimated for Alq<sub>3</sub> ranges from  $10^{-6}$  to  $1 \text{ s.}^{3,10-12}$  One must keep in mind that EY and DP theories were developed for crystalline semiconductors and the corresponding expressions may not be applicable to the organic materials used in organic spintronic devices, which are dense films of randomly orientated conjugated oligomers or molecules. Nor can the spin relaxation theories of immobile electrons on isolate organic molecules $^{9,13}$  be directly applicable to the organic solids, for electrons (or, more precisely, polarons-electrons with local lattice distortions) in the organic solids are mobile. Thus it is imperative to establish systematic and rigorous theories

TABLE I. Atomic SOC strengths of common elements in organics.

Element	Orbital	$\xi (cm^{-1})$
C	2 <i>p</i>	11
N	2p	76
0	2p	151
Al	3p	112
S	3p	382
Cu	3 <i>d</i>	829

that consistently describe spin relaxation in organic solids. Experimentally, carrier spin-relaxation times (longitudinal  $T_1$  and transverse  $T_2$ ) in organics can be measured by electrically detected spin resonance.<sup>14</sup>

The spin-diffusion length poses a constrain on the channel length of a spintronic device and has recently been directly measured by muon spin rotation in Alq<sub>3</sub><sup>15</sup> and by spinpolarized two-photon photoemission in CuPc.<sup>16</sup> These measurements provide an excellent opportunity to compare theory with experiment. In literature, the formula  $L_s = \sqrt{DT_1}$ , with  $L_s$  and D being the spin-diffusion length and carrier diffusion constant, is often used to argue that the spin-diffusion length can be greatly enhanced if the carrier mobility is improved. This argument tacitly assumes that D and T<sub>1</sub> are independent of each other, which is questionable, for, fundamentally, the carrier spin relaxation must be closely related to the transport properties of the carriers, such as mobility.<sup>7,8</sup>

In organics devices, the carrier density is usually low and the electric field can be large. The electric field is found to significantly affect spin diffusion and spin injection in inorganic semiconductors.<sup>17</sup> The major difference between inorganic and organic solids is that electrical transport is via band conduction in the former and carrier hopping in the latter. It is interesting to know how the electric field affects spindiffusion length in the hopping regime. This understanding is crucial to describe the device characteristics and to manipulate spin by using the electric field, or equivalently, bias voltage.

HFIs can cause spin relaxation and diffusion as well.<sup>18,19</sup> The HFI implies isotope effects, which are found in PPV<sup>20</sup> but not in Alq<sub>3</sub>,<sup>21</sup> indicating that the relative importance of SOC and HFI varies among individual organic materials. Because of their different natures, the SOC and HFI will lead to different temperature and magnetic-field dependencies of spin relaxation and diffusion. Therefore it is valuable to establish the corresponding temperature and magnetic-field dependencies caused by the SOC and HFI, which can be used to determine the dominant spin-relaxation mechanisms experimentally in individual organics.

The g factors of electron and hole polarons in organic materials are influenced by the SOC and can be measured by ESR.<sup>9</sup> The measured g factor in disordered organic solids is an average over random orientations of oligomers or molecules. Since the g-factor deviation depends on the SOC, it is natural to ask whether the g-factor deviation can be used to adequately characterize the SOC in organics.

Here, we present a comprehensive study of the SOC and address all the issues enumerated above. We also compare our theoretical results with relevant experiments in literature whenever possible. Some preliminary results of this work have been reported in a short paper.<sup>22</sup> This article is organized as follows. After the introduction, we study the SOC and evaluate its strengths in various organics in Sec. II. Then we use the perturbation and density-matrix theories and provide rigorous results on spin relaxation in Sec. III and spin-diffusion length in Sec. IV. We examine the electric-field effect on spin diffusion in the hopping regime in Sec. V. In Sec. VI, we analyze the *g*-factor deviation in disordered organic solids due to the SOC. Finally, we summarize our results in Sec. VII.

### **II. SPIN-ORBIT COUPLING IN ORGANICS**

In  $\pi$ -conjugated organics, the electronic structure is derived from  $sp^2$  hybridization of the C atoms with the  $sp^2$  orbitals forming  $\sigma$  bonds and  $p_z$  orbitals forming  $\pi$  bonds. The electrical transport and optical properties are essentially controlled by the  $\pi$  electrons, for  $\sigma$  electrons are several eVs away in energy from the valence electrons. Thus most models for conjugated organics consider only  $\pi$  electrons explicitly, such as the well-known Su-Schrieffer-Heeger model.<sup>23</sup> These  $\pi$ -electron models, however, become inadequate in studying the SOC because, by definition, the SOC allows exchange between orbital and spin angular momenta. By neglecting the  $\sigma$  orbitals, i.e., the  $p_x$  and  $p_y$  orbitals, the orbital angular momentum is completely quenched and so is the SOC.<sup>24,25</sup> Hence one must explicitly take into account the  $\sigma$  orbitals when studying the SOC in organics. In fact, the  $\sigma$  orbitals are also needed to account for the HFI of the  $\pi$  electrons.<sup>26</sup> Without the  $\sigma$  orbitals, the isotropic HFI of  $\pi$  electrons would be zero.

Similar situation occurs in inorganic semiconductors like GaAs,<sup>27</sup> where the conduction band is formed primarily from the *s* orbital, which does not have any orbital angular momentum or SOC. The finite SOC of conduction-band electrons comes from the valence band, which is comprised of *p* orbitals. Just as one cannot confine oneself to the *s* orbitals in studying the SOC in semiconductors, one cannot study the SOC from the pure  $\pi$ -electron models in organics.

### A. Fictitious atom

For clarity, we first consider a fictitious atom in a 2p state, which is subjected to a potential field that lowers the energy of the  $p_z$  orbital relative to  $p_x$  and  $p_y$  by  $\Delta$ , mimicking the situation that the  $\sigma$  orbitals have a higher energy than  $\pi$  orbitals. The atomic SOC is  $H_{SO} = \xi l \cdot s$ . The spin quantization axis is assumed to be along the *z* axis and coincide with the  $p_z$  orbital. From the perturbation theory, the doubly degenerate eigenstates with the lowest energy are

$$|+\rangle = |p_z\uparrow\rangle + \frac{\xi}{2\Delta} |(p_x + ip_y)\downarrow\rangle, \qquad (2.1)$$

$$|-\rangle = |p_z\downarrow\rangle - \frac{\xi}{2\Delta} |(p_x - ip_y)\uparrow\rangle.$$
(2.2)

The energy correction due to the SOC, to the second order of  $\xi$ , is

$$\delta E = -\frac{\xi^2}{\Delta}.\tag{2.3}$$

The two states remain degenerate as required by the timereversal symmetry. We see that the SOC mixes up- and downspin in an eigenstate and renders the spin not a good quantum number. We can define the dimensionless measure of SOC as the admixture of up- and down-spin in an eigenstate,

$$\gamma^2 = \frac{\xi^2}{2\Delta^2}.\tag{2.4}$$

This measure reflects not only the atomic SOC ( $\xi$ ) but also the  $\pi$ - $\sigma$  energy splitting  $\Delta$ . It follows that the larger energy difference between  $\pi$  and  $\sigma$  orbitals, the smaller the effective SOC.

In organic solids experimentally studied, the orientation of individual oligomers or molecules (or, equivalently, orbitals) are random. However, the spin orientation is well defined, determined by either an applied magnetic field or the magnetization of the ferromagnetic electrode. Thus, in general, the  $\pi$  orbital in an organic can be oriented along an arbitrary direction ( $\theta_1$ ,  $\phi_1$ ) with respect to the spin quantization axis. In this case, the local  $\pi$  orbital,  $p'_z$ , and the other two local orbitals can be expressed as linear combinations of the three p orbitals for ( $\theta_1$ ,  $\phi_1$ ) = (0,0):

$$p'_{z} = \sin\theta_{1}\cos\phi_{1}p_{x} + \sin\theta_{1}\sin\phi_{1}p_{y} + \cos\theta_{1}p_{z}, \quad (2.5)$$

$$p'_{x} = \cos\theta_{1}\cos\phi_{1}p_{x} + \cos\theta_{1}\sin\phi_{1}p_{y} - \sin\theta_{1}p_{z}, \quad (2.6)$$

$$p'_{y} = -\sin\phi_{1}p_{x} + \cos\phi_{1}p_{y}.$$
 (2.7)

The eigenstates with the lowest energy, after including the SOC, are

$$|+'\rangle = |p'_{z}\uparrow\rangle + \frac{\xi}{2\Delta} [-i\sin\theta_{1}|p'_{y}\uparrow\rangle + e^{i\phi_{1}}|p'_{x}\downarrow\rangle + i\cos\theta_{1}e^{i\phi_{1}}|p'_{y}\downarrow\rangle], \qquad (2.8)$$

$$\begin{aligned} |-'\rangle &= |p'_{z}\downarrow\rangle + \frac{\xi}{2\Delta} [i\sin\theta_{1}|p'_{y}\downarrow\rangle e^{-i\phi_{1}}|p'_{x}\uparrow\rangle \\ &+ i\cos\theta_{1}e^{-i\phi_{1}}|p'_{y}\uparrow\rangle]. \end{aligned}$$
(2.9)

It is readily to verify that  $|+'\rangle$  and  $|-'\rangle$  are orthogonal,  $\langle +'|-'\rangle = 0$ . And  $\langle +'|+'\rangle = \langle -'|-'\rangle = 1 + \xi^2/2\Delta^2$ .

The expectation values of the spin operator  $\hat{\sigma}_z$  in these two states are

$$p_{+} = \langle +' | \hat{\sigma}_{z} | +' \rangle = 1 - \frac{\xi^{2}}{2\Delta^{2}} \cos^{2} \theta_{1},$$
 (2.10)

$$p_{-} = \langle -'|\hat{\sigma}_{z}|-'\rangle = -\left(1 - \frac{\xi^{2}}{2\Delta^{2}}\cos^{2}\theta_{1}\right). \quad (2.11)$$

In Appendix A,  $|+'\rangle (|-'\rangle)$  is shown to have the maximal expectation value of  $\hat{\sigma}_z (-\hat{\sigma}_z)$  in any linear combination of  $|+'\rangle$  and  $|-'\rangle$ , and therefore is the quasi up-spin (down-spin) state.

Again in Eqs. (2.8) and (2.9), the SOC mixes up-spin and down-spin in an eigenstate, and its admixture is

$$\gamma_{\uparrow\downarrow}^2 = \left(\frac{\xi}{2\Delta}\right)^2 \frac{1}{2} (\cos^2 \theta_1 + 1). \tag{2.12}$$

In addition, the SOC also mixes orbitals with the same spin,

$$\gamma_{\uparrow\uparrow}^2 = \left(\frac{\xi}{2\Delta}\right)^2 \frac{1}{2} \sin^2 \theta_1. \tag{2.13}$$

Since the SOC is an intrinsic material property, which should not depend on the molecular orientation, a more suitable SOC measure can be constructed as

$$\gamma^2 = \gamma^2_{\uparrow\uparrow} + \gamma^2_{\uparrow\downarrow} = \frac{\xi^2}{2\Delta^2}, \qquad (2.14)$$

which is the combination of the orbital mixing and spin mixing and independent of the molecular orientation.

### B. First-principles approach for real molecules

Now we consider real organic molecules. In the context of spintronics, it is the carrier or polaron whose spin-dependent properties really matter. Thus we focus on the highest occupied molecular orbitals (HOMOs) of negatively charged and positively charged molecule or oligomer, which correspond to the electron polaron and hole polaron states. Note the HOMO here is half filled because of the presence of the carrier (polaron) and should not be confused with the completely filled HOMO in an intrinsic molecule. The total Hamiltonian of an organic molecule can be written as

$$H = H_0 + H_{\rm SO} = H_0 + \sum_i \xi_i l_i \cdot s_i, \qquad (2.15)$$

where  $H_0$  is the Hamiltonian without the SOC and  $H_{SO}$  is the summation of all atomic SOC contributions. Because of the generally weak atomic SOC strengths compared to bonding energies, in most first-principles calculations for organic materials, the SOC is completely neglected and the obtained eigenstates are for  $H = H_0$ .

In general, an eigenstate of  $H_0$ , which satisfies  $H_0|\psi_k\rangle = E_k|\psi_k\rangle$ , can be expressed in terms of the atomic orbitals,

$$|\psi_k\rangle = \sum_{i\alpha} c_{i\alpha}^{(k)} |\phi_i^{(\alpha)}\rangle, \qquad (2.16)$$

where *k* is the index of eigenlevels, *i* is the atom index and  $\alpha$  the orbital index,  $|\phi_i^{(\alpha)}\rangle$  are atomic orbitals at the *i*th molecule, and  $\phi^{(\alpha)} = 2s, 2p_x, 2p_y, 2p_z$  for O, N, C,  $\phi^{(\alpha)} = 1s$  for H, and  $\phi^{(\alpha)} = 3s, 3p_x, 3p_y, 3p_z$  for S and Al. These atomic orbitals are not orthogonal with one another and the normalization condition is

$$\sum_{i\alpha} \sum_{j\alpha'} c_{i\alpha}^{(k)} c_{j\alpha'}^{(k)} S_{ij}^{(\alpha\alpha')} = \delta_{kk'}, \qquad (2.17)$$

where

$$S_{ij}^{(\alpha\alpha')} = \left\langle \phi_i^{(\alpha)} \middle| \phi_j^{(\alpha')} \right\rangle \tag{2.18}$$

is the overlap integral between atomic orbitals.

Once the  $H_{SO}$  is included, for the HOMO, denoted as  $|\psi_0\rangle$ , the eigenstate for the quasi up spin, according to the perturbation theory, is

$$\begin{aligned} |\psi_{0}+\rangle &= |\psi_{0}\uparrow\rangle - \sum_{k\neq0\sigma} \frac{\langle\psi_{k}\sigma|\sum_{i}\xi_{i}l_{i}\cdot s_{i}|\psi_{0}\uparrow\rangle}{E_{k}-E_{0}}|\psi_{k}\sigma\rangle \\ &= |\psi_{0},\uparrow\rangle - \frac{1}{2}\sum_{k\neq0} \frac{\langle\psi_{k}|\sum_{i}\xi_{i}l_{iz}|\psi_{0}\rangle}{E_{k}-E_{0}}|\psi_{k}\uparrow\rangle \\ &- \frac{1}{2}\sum_{k\neq0} \frac{\langle\psi_{k}|\sum_{i}\xi_{i}(l_{ix}+il_{iy})|\psi_{0}\rangle}{E_{k}-E_{0}}|\psi_{k}\downarrow\rangle. \end{aligned}$$
(2.19)

Similarly, the eigenstate for the quasi down spin is

$$\begin{aligned} |\psi_{0}-\rangle &= |\psi_{0}\downarrow\rangle + \frac{1}{2}\sum_{k\neq 0}\frac{\langle\psi_{k}|\sum_{i}\xi_{i}l_{iz}|\psi_{0}\rangle}{E_{k}-E_{0}}|\psi_{k}\downarrow\rangle \\ &-\frac{1}{2}\sum_{k\neq 0}\frac{\langle\psi_{k}|\sum_{i}\xi_{i}(l_{ix}-il_{iy})|\psi_{0}\rangle}{E_{k}-E_{0}}|\psi_{k}\uparrow\rangle. \end{aligned} (2.20)$$

Using the relation  $\hat{l}_{iq} p_r^i = i\epsilon_{qrs} p_s^i$ , where  $\hat{l}_{iq}$  is the *q* component of the angular momentum operator for the *i*th atom and  $\epsilon_{qrs}$  is antisymmetric unit tensor of rank three, we can express the HOMO level as

$$|\psi_0+\rangle = |\psi_0\uparrow\rangle + \sum_{i\alpha} \left[ a_{i\alpha} \left| \phi_i^{(\alpha)} \uparrow \right\rangle + b_{i\alpha} \left| \phi_i^{(\alpha)} \downarrow \right\rangle \right], \quad (2.21)$$

and the spin admixture can be computed via

$$\gamma^{2} = \gamma^{2}_{\uparrow\uparrow} + \gamma^{2}_{\uparrow\downarrow} \equiv \sum_{ij} (a^{*}_{i\alpha}a_{j\alpha'} + b^{*}_{i\alpha}b_{j\alpha'})S^{(\alpha\alpha')}_{ij}.$$
 (2.22)

To verify the validity of the above approach, we consider a benzene molecule and use SEISTA,<sup>28</sup> which will be employed to perform first-principles calculations throughout this paper, to obtain all  $|\psi_k\rangle$ . We fix the spin-quantization axis along the *z* axis and rotate the benzene molecule with respect to the C<sub>3</sub>-C<sub>6</sub> axis. Figure 1 plots  $\gamma_{\uparrow\uparrow}^2$  and  $\gamma_{\uparrow\downarrow}^2$  for the HOMO in both negatively and positively charged benzene as a function of the rotation angle  $\theta$ . We see that  $\gamma_{\uparrow\downarrow}^2$  and  $\gamma_{\uparrow\uparrow}^2$  vary with  $\theta$  approximately as  $\sim (\cos^2 \theta + 1)/2$  and  $\sim \sin^2 \theta$ . The summation, however, is a constant and independent of  $\theta$ . Thus spin admixture  $\gamma^2$  can be reliably evaluated from first-principles approaches.



FIG. 1. (Color online) Spin admixture  $\gamma^2$  as a function of  $\theta$  for the electron (upper panel) and hole (lower panel) polarons in benzene. Circles and squares are the spin-mixing and orbital-mixing contributions,  $\gamma_{\uparrow\downarrow}^2$  and  $\gamma_{\uparrow\uparrow}^2$ , respectively. Triangles are the summation of the two contributions. The dashed line in the upper panel plots the function of  $\gamma^2(1 + \cos^2 \theta)/2$ .



FIG. 2. Molecular structure of CuPc and orbital pattern for a single d electron in a field of tetragonal symmetry.

### C. CuPc

Some  $\pi$ -conjugated organics studied for organic spintronics contain transition-metal ions, whose *d* electrons can have a very strong SOC. One representative example is copper phthalocyanine (CuPc), where the valence of Cu is 2<sup>+</sup> and the electron configuration is 3*d*<sup>9</sup>. To account for strong electron correlations in the 3*d* orbitals, sophisticated corrections must be included in first-principles calculations, which inevitably obscure the discussion of the spin mixing. Instead, we use the ligand-field theory<sup>29</sup> to evaluate spin admixture  $\gamma^2$  in CuPc.

CuPc has a planar structure (shown in Fig. 2), which can be regarded as a cubic structure with a very strong tetragonal distortion. For a strong ligand such as phthalocyanine, in a cubic structure, the fivefold degenerate 3*d* orbital splits into a threefold degenerate  $t_{2g}$  orbital and a twofold degenerate  $e_g$  orbital. Under a tetragonal distortion, the  $e_g$  orbitals further split, with  $d_{x^2-y^2}$  having a higher energy than  $d_{z^2}$ . This situation is very similar to that of high- $T_c$  superconducting copper oxides.

The  $3d^9$  configuration means a hole in the  $d_{x^2-y^2}$  or E'' orbital. Since the SOC couples 3d orbitals with different magnetic quantum numbers, the polaron states at  $d_{x^2-y^2}$ , after taking into account the SOC, become

$$|E''+\rangle = |d_{x^2-y^2}\uparrow\rangle + \frac{i\xi_{\rm Cu}}{\Delta_1}|d_{xy}\uparrow\rangle + \frac{\xi_{\rm Cu}}{\sqrt{2}\Delta_2}|-1\downarrow\rangle, \quad (2.23)$$

$$|E''-\rangle = |d_{x^2-y^2}\downarrow\rangle - \frac{i\xi_{\text{Cu}}}{\Delta_1}|d_{xy}\downarrow\rangle + \frac{\xi_{\text{Cu}}}{\sqrt{2}\Delta_2}|1\uparrow\rangle.$$
(2.24)

Here,  $\Delta_1$  and  $\Delta_2$  are the energy differences between  $3d_{x^2-y^2}$ and  $3d_{xy}$  and between  $3d_{x^2-y^2}$  and  $3d_{yz}$ . As shown in Fig. 2,  $3d_{xy}$  and  $3d_{yz}$  have slightly different energies.  $|1\rangle$  and  $|-1\rangle$  are 3d orbitals with magnetic quantum number of 1 and -1,

$$|1\rangle = -\frac{1}{\sqrt{2}}(d_{zx} + id_{yz}), \quad |-1\rangle = \frac{1}{\sqrt{2}}(d_{zx} - id_{yz}).$$
 (2.25)

The covalence bonding between the  $Cu^{2+}$  ion and four central N atoms in phthalocyanine in CuPc delocalizes the wave function of an eigenstate from the Cu ion over to the ligand,

$$d_{x^2-y^2} \to \eta d_{x^2-y^2} + \frac{1}{2}\sqrt{1-\eta^2}(\sigma_1 - \sigma_2 + \sigma_3 - \sigma_4),$$
 (2.26)

where  $\sigma_i$  (i = 1,2,3,4) is the atomic orbital of *i*th N atom and the linear combination of  $\sigma_i$  in the parenthesis has the same symmetry as the  $d_{x^2-y^2}$  orbital. Here, parameter  $\eta$  measures how much the electron wave function spreads into the N atoms due to the covalence bonding: the closer  $\eta$  to 1, the more confined the wave function to the Cu ion. Since the atomic SOC of N is negligible compared to that of Cu, the bonding between Cu<sup>2+</sup> and the ligand leads to an effective SOC in CuPc,

$$\tilde{\xi}_{\rm Cu} = \eta^2 \xi_{\rm Cu}. \tag{2.27}$$

The spin admixture in  $|E''\rangle$ , with the covalence bonding included, is

$$\gamma^{2} = \left(\frac{\tilde{\xi}_{Cu}}{\Delta_{1}}\right)^{2} + \frac{1}{2}\left(\frac{\tilde{\xi}_{Cu}}{\Delta_{2}}\right)^{2}.$$
 (2.28)

According to literature,<sup>30</sup>  $\Delta_1 = 31700$  cm<sup>-1</sup>,  $\Delta_2 = 29000$  cm<sup>-1</sup>, and  $\eta^2 = 0.79$ . Using these values, we obtain the spin admixture parameter in CuPc  $\gamma^2 = 6.8 \times 10^{-4}$ .

When the normal of the CuPc plane is tilted at  $(\theta, \phi)$  with respect to the spin quantization axis, the quasi-up- and downspin states become

$$|E''+\rangle = |d_{x^2-y^2}\uparrow\rangle + \frac{\tilde{\xi}_{Cu}}{\Delta_1}(i\cos\theta|d_{xy}\uparrow\rangle + i\sin\theta e^{i\phi}|d_{xy}\downarrow\rangle) + \frac{1}{2\sqrt{2}}\frac{\xi_{Cu}}{\Delta_2}[-\sin\theta|-1\uparrow\rangle - \sin\theta|1\uparrow\rangle + (1+\cos\theta)e^{i\phi}|-1\downarrow\rangle - (1-\cos\theta)e^{i\phi}|1\downarrow\rangle],$$
(2.29)

$$\begin{split} |E''-\rangle &= |d_{x^2-y^2}\downarrow\rangle + \frac{\tilde{\xi}_{\text{Cu}}}{\Delta_1} (-i\cos\theta |d_{xy}\downarrow\rangle + i\sin\theta e^{-i\phi} |d_{xy}\uparrow\rangle) \\ &+ \frac{1}{2\sqrt{2}} \frac{\xi_{\text{Cu}}}{\Delta_2} [\sin\theta |-1\downarrow\rangle + \sin\theta |1\downarrow\rangle \\ &+ (1+\cos\theta) e^{-i\phi} |1\uparrow\rangle - (1-\cos\theta) e^{-i\phi} |-1\uparrow\rangle]. \end{split}$$

$$(2.30)$$

It is readily verified that the spin admixture for  $|E''\pm\rangle$  in Eqs. (2.29) and (2.30), after including both the spin and orbital mixings, is identical to that given by Eq. (2.28). These wave functions will be used to evaluate spin-conserving and spin-flip hopping rates in Sec. IV.

#### D. Effect of molecular geometry

Simple  $\pi$ -conjugated organics tend to form planar structures, such as benzene and polyacetylene. In complex organic

molecules, however, geometry hindrance and bonding constrains can make the structure nonplanar. In addition, organic materials are flexible and the relative orientation between different parts in a molecule can fluctuate. To capture the essence of this seemingly tedious effect, we consider two simple cases. One is a molecule consisting of the two fictitious atoms introduced in Sec. II A and the other is a twisted biphenyl. In the former, the  $p_x$  orbitals of the two atoms are assumed to be parallel and forming a  $\sigma$  bond, and the  $\pi$ overlaps between  $p_y$  and  $p_z$  orbitals in the two atoms depend on the orientation around the  $\sigma$  bond. In the latter, there is a torsion angle between the two phenyl rings in biphenyl.

For the molecule consisting of two fictitious atoms, the Hamiltonian reads

$$H = H_0 + H_{\rm SO} + H_t, \tag{2.31}$$

where  $H_0$  includes orbital energies and the  $\sigma$  bonding the coupling between  $p'_x$  and  $p''_x$  of the two atoms,  $H_{SO}$  is the SOC in the two atoms,

$$H_{\rm SO} = \xi (\boldsymbol{l}_1 \cdot \boldsymbol{s}_1 + \boldsymbol{l}_2 \cdot \boldsymbol{s}_2), \qquad (2.32)$$

and  $H_t$  is the spin-independent  $\pi$ - $\pi$  coupling:

$$H_{t} = [t_{\pi} \cos(\theta_{2} - \theta_{1})(|p_{z}^{\prime} \uparrow\rangle \langle p_{z}^{\prime\prime} \uparrow| + |p_{y}^{\prime} \uparrow\rangle \langle p_{y}^{\prime\prime} \uparrow|) + t_{\pi} \sin(\theta_{2} - \theta_{1})(|p_{y}^{\prime} \uparrow\rangle \langle p_{z}^{\prime\prime} \uparrow| - |p_{z}^{\prime} \uparrow\rangle \langle p_{y}^{\prime\prime} \uparrow|) + \text{H.c.} + (\downarrow \rightarrow \uparrow)].$$
(2.33)

Here, we have used  $p'_q(p''_q)(q = x, y, z)$  to represent orbitals in the first (second) atom. If we consider  $H_0 + H_{SO}$  as the unperturbed Hamiltonian and  $H_t$  as a perturbation, the zerothorder wave functions are  $|\pm'\rangle$  and  $|\pm''\rangle$  introduced in Eqs. (2.8) and (2.9). Because of the spatial symmetry, we construct the basis set based on the even or odd parity,

$$|E_{\pm}\rangle = \frac{1}{\sqrt{2}}(|\pm'\rangle + |\pm''\rangle),$$
 (2.34)

$$|O_{\pm}\rangle = \frac{1}{\sqrt{2}} (|\pm'\rangle - |\pm''\rangle). \tag{2.35}$$

The nonzero matrix elements of  $H_t$  between these basis functions are

$$\langle E_+|H_t|E_+\rangle = \langle E_-|H_t|E_-\rangle = t_\pi \cos(\theta_1 - \theta_2), \quad (2.36)$$

$$\langle O_+|H_t|O_+\rangle = \langle O_-|H_t|O_-\rangle = -t_\pi \cos(\theta_1 - \theta_2), \quad (2.37)$$

$$\langle E_+|H_t|O_-\rangle = -\langle E_-|H|O_+\rangle = \frac{\xi t_\pi}{2\Delta}\sin(\theta_2 - \theta_1). \quad (2.38)$$

The nonzero matrix element of  $\langle E_+|H_t|O_-\rangle$  is due to the SOC-induced spin mixing. The eigenstate of the system with the quasi up spin is

$$\begin{split} |\tilde{+}\rangle &= |E_{+}\rangle - \frac{\xi}{4\Delta} \tan(\theta_{2} - \theta_{1})|O_{-}\rangle \\ &= \frac{1}{\sqrt{2}}(|p_{z}^{\prime}\uparrow\rangle + |p_{z}^{\prime\prime}\uparrow\rangle) + \frac{\xi}{2\sqrt{2}\Delta} \bigg[ (-i\sin\theta_{1}|p_{y}^{\prime}\uparrow\rangle) \\ &- i\sin\theta_{2}|p_{y}^{\prime\prime}\uparrow\rangle) + (e^{i\phi_{1}}|p_{x}^{\prime}\downarrow\rangle + e^{i\phi_{2}}|p_{x}^{\prime\prime}\downarrow\rangle) \\ &+ (i\cos\theta_{1}e^{i\phi_{1}}|p_{y}^{\prime}\downarrow\rangle + i\cos\theta_{2}e^{i\phi_{2}}|p_{y}^{\prime\prime}\downarrow\rangle) \\ &- \frac{\tan(\theta_{2} - \theta_{1})}{2}(|p_{z}^{\prime}\downarrow\rangle - |p_{z}^{\prime\prime}\downarrow\rangle) \bigg], \end{split}$$
(2.39)



FIG. 3. (Color online) Admixture  $\gamma^2$  as a function of torsion angle  $\theta$  between the two phenyl rings in biphenyl. Green (light gray) and red (dark gray) circles are for the electron and hole polarons, respectively. Filled circles describe the total spin admixture and open circles describe the spin-mixing contribution. The smooth line is the analytical result from Eq. (2.40).

and the spin admixture is

$$\gamma^{2} = \frac{\xi^{2}}{2\Delta^{2}} \bigg[ 1 + \frac{1}{8} \tan^{2}(\theta_{2} - \theta_{1}) \bigg].$$
 (2.40)

This expression suggests that the SOC is greatly enhanced when the two atoms have different orientations and  $\pi$  orbitals are not aligned. We notice that the term proportional to  $\tan^2(\theta_1 - \theta_2)$  originates from spin mixing within same orbitals,  $p'_{\tau}$  and  $p''_{\tau}$ .

We carry out a first-principles calculation as described in Sec. II B on biphenyl and display  $\gamma^2$  as a function of the torsion angle  $\theta \equiv \theta_2 - \theta_1$  between the two phenyl rings in Fig. 3. We see a strong enhancement of  $\gamma^2$  as the torsion angle increases, particularly when the angle is near  $\pi/2$ , where a "singularity" in the SOC seems to occur as indicated by Eq. (2.39).

We calculate the spin admixture parameter  $\gamma^2$  of electron and hole polarons in representative organic materials and list the obtained values in Table II.<sup>31</sup> The molecular geometry of the polaron states is optimized in the calculations. Among these organics, benzene, rubrene, poly(p-phenylene) (PPP), and  $C_{60}$  contain only C (and H). Others contain additional elements: polyaniline (PANI) and polypyrrole (PPy) have N, T<sub>6</sub> has S, MEH-PPV has O, Alq<sub>3</sub> has N, O, and Al, CuPc has Cu and N, and N,N'-bis(n-hepta uorobutyl)-3,4:9,10-perylene tetracarboxylic diimide (PTCDI-C4F7), a newly synthesized organic with a high electron mobility,<sup>32</sup> has N, O, and F. The explicit wave functions of Eq. (2.21) for the polaron states allow us to determine contributions from individual atoms to the total SOC. The SOC in Alq<sub>3</sub> is particularly strong, even larger than T<sub>6</sub> and CuPc, which is due mainly to the orthogonal arrangement of three ligands, as in the case of biphenyl. This geometry effect also results in a large SOC in C<sub>60</sub>, where  $\pi$  orbitals in the 60 C atoms on a sphere cannot maintain a parallel alignment with one another. The strong geometry dependence of the SOC suggests that material morphology and growth condition may lead to very different SOC strengths in nominally identical organic solids.

TABLE II. Spin admixture  $\gamma^2$  of the electron and hole polarons in representative organics.

Material	Electron polaron	Hole polaron
benzene	$1.32 \times 10^{-7}$	$5.46 \times 10^{-8}$
Alq <sub>3</sub>	$1.07 \times 10^{-3}$	$7.33 \times 10^{-5}$
MEH-PPV	$2.64 \times 10^{-7}$	$3.73 \times 10^{-6}$
T <sub>6</sub>	$4.54 \times 10^{-5}$	$2.53 \times 10^{-6}$
rubrene	$1.06 \times 10^{-7}$	$1.02 \times 10^{-7}$
PANI	$1.34 \times 10^{-7}$	$2.84 \times 10^{-7}$
PPP	$1.20 \times 10^{-7}$	$6.61 \times 10^{-8}$
C <sub>60</sub>	$1.12 \times 10^{-6}$	$1.31 \times 10^{-6}$
CuPc	$6.80 \times 10^{-4}$	$6.80  imes 10^{-4}$
PTCDIC4F7	$3.59 \times 10^{-6}$	$1.63 \times 10^{-5}$
PPy	$6.80 \times 10^{-7}$	$7.61 \times 10^{-8}$

### **III. SPIN RELAXATION CAUSED BY SOC**

Spin relaxation describes an irreversible decay of spin polarization due to chaotic environmental fluctuations and limits the operation speed of a spintronic device. Spin relaxation usually is characterized by two lifetimes,  $T_1$  and  $T_2$ , or the longitudinal and transverse spin lifetimes, which are introduced phenomenologically in the Bloch equation,<sup>9</sup>

$$\frac{d\boldsymbol{S}}{dt} = g\mu_B \boldsymbol{S} \times \boldsymbol{H}_0 - \frac{S_x \boldsymbol{e}_x + S_y \boldsymbol{e}_y}{T_2} - \frac{S_z - S_0}{T_1} \boldsymbol{e}_z, \quad (3.1)$$

where  $H_0$  is the applied magnetic field,  $S_0$  is the equilibrium value of S, and  $e_q$  (q = x, y, z) is the unit vectors along the qaxis. For carriers in organic solids, as we will show later, the two spin-relaxation times generally are equal. In this paper, we focus on carrier (mobile electron) spin relaxation caused by the SOC in disordered organic systems where electrical transport is due to polaron hopping, for the materials used for organic spintronics are usually in the form of dense film. We emphasize that the existing spin relaxation theories for crystalline solids<sup>7,8</sup> and for isolated molecule<sup>9,13</sup> are not directly applicable to this situation.

#### A. Spin-flip and spin-conserving hoppings

The polaron hopping in organic solids in the presence of the SOC can be symbolically expressed by the following Hamiltonian:

$$H = H_0 + H_{\rm SO} + V = \sum_{is} E_i a_{is}^{\dagger} a_{is} + H_{\rm SO} + \sum_{ijs} \langle j | V | i \rangle a_{js}^{\dagger} a_{is}.$$
(3.2)

Here,  $E_i$  is the polaron energy at site *i* and  $\langle j|V|i \rangle$  is the hopping integral from site *i* to site *j* facilitated by the electronlattice interaction. Both  $E_i$  and  $\langle j|V|i \rangle$  are independent of spin,  $s = \uparrow, \downarrow$ . To elucidate the effect of  $H_{SO}$  on the hopping process, we first consider hopping between the two molecules with orientations  $(\theta_1, \phi_1)$  and  $(\theta_2, \phi_2)$ . The polaron eigenstates of  $H_0 + H_{SO}$  are  $|\pm'\rangle$  at site 1 and  $|\pm''\rangle$  at site 2. Since the "up"-spin polaron eigenstate  $|+'\rangle$  contains a small down-spin component, the hopping from the polaron eigenstate for spin-up  $|+'\rangle$  to the eigenstate for spin-down  $|-''\rangle$  is finite even though the polaron hopping Hamiltonian *V* is spin independent. We display all four hopping matrix elements

### SPIN-ORBIT COUPLING AND ITS EFFECTS IN ...

between  $|\pm'\rangle$  and  $|\pm''\rangle$ :

$$V_{+''+'} = \langle p_z'' | V | p_z' \rangle - \frac{i\xi}{2\Delta} (\cos \theta_1 \langle p_z'' | V | p_y' \rangle - \sin \theta_2 \langle p_y'' | V | p_z' \rangle), \qquad (3.3)$$

$$V_{-''-'} = \langle p_z'' | V | p_z' \rangle + \frac{i\xi}{2\Delta} (\cos \theta_1 \langle p_z'' | V | p_y' \rangle - \sin \theta_2 \langle p_y'' | V | p_z' \rangle), \qquad (3.4)$$

$$V_{-''+'} = \frac{\xi}{2\Delta} (ie^{i\phi_1} \cos\theta_1 \langle p_z'' | V | p_y' \rangle - ie^{i\phi_2} \cos\theta_2 \langle p_y'' | V | p_z' \rangle + e^{i\phi_1} \langle p_z'' | V | p_x' \rangle + e^{i\phi_2} \langle p_x'' | V | p_z' \rangle),$$
(3.5)

$$V_{+''-'} = \frac{\xi}{2\Delta} (-ie^{-i\phi_1} \cos \theta_1 \langle p_z'' | V | p_y' \rangle + ie^{-i\phi_2} \cos \theta_2 \\ \times \langle p_y'' | V | p_z' \rangle + e^{-i\phi_1} \langle p_z'' | V | p_x' \rangle + e^{-i\phi_2} \langle p_x'' | V | p_z' \rangle).$$
(3.6)

Here,  $p'_q$  and  $p''_q$  (q = x, y, z) are the local p orbitals in the first and second atoms and their expressions are displayed in Eqs. (2.5)– (2.7) with corresponding  $(\theta_i, \phi_i)$  (i =1,2). These four complex matrix elements can be described by a spin-independent scalar  $V_0$  and a real vector V = $(V_x, V_y, V_z)^T$ :

$$\begin{pmatrix} V_{+''+'} & V_{+''-'} \\ V_{-''+'} & V_{-''-'} \end{pmatrix} = V_0 \hat{1} + \sum_q \hat{\sigma}_q V_q, \qquad (3.7)$$

where  $\hat{\sigma}_q$  is the Pauli's matrix. If we assume that when the two molecules are aligned,  $(\theta_1, \phi_1) = (\theta_2, \phi_2) = (0,0)$ , hopping takes place only between same orbitals,  $\langle p''_q | V | p'_{q'} \rangle = v_0 \delta_{qq'}$ , for randomly orientated molecules, the spin-conserving hopping, after averaging over the molecular orientation, is

$$\overline{V_0^2} = \frac{1}{3}v_0^2. \tag{3.8}$$

And the averaged spin-dependent components are

$$\overline{V_x^2} = \overline{V_y^2} = \overline{V_z^2} = \left(\frac{\xi}{2\Delta}\right)^2 \frac{4}{9} v_0^2. \tag{3.9}$$

By defining  $\chi_q^2$  as

$$\chi_q^2 = \frac{\overline{V_q^2}}{\overline{V_0^2}} = \frac{2}{3}\gamma^2 \equiv \chi^2,$$
 (3.10)

The expectation values of spin at t = 0 and t are

the ratio between spin-flip hopping rate to spin-conserving one is

$$\frac{w^{+-}}{w^0} = \frac{\overline{|V_{-''+'}|^2}}{\overline{|V_{+''+'}|^2}} = \chi_x^2 + \chi_y^2 = \frac{4}{3}\gamma^2, \qquad (3.11)$$

which, as shown in Appendix B, is invariant under any SU(2) rotation. Thus each polaron hop involves a small probability of spin flip, which is characterized by the spin admixture parameter  $\gamma^2$ .

#### B. Two-site system: time-dependent perturbation theory

To demonstrate that the spin flip discussed above is indeed related to spin relaxation, we consider hopping from site 1 to site 2 using the time-dependent perturbation theory. In this twosite system, the time-dependent wave function can be written as a sum

$$|\Psi(t)\rangle = \sum_{k=1}^{4} a_k(t)e^{-i\omega_k t}|k\rangle, \qquad (3.12)$$

where  $|1(2)\rangle = |+'(-')\rangle$ ,  $|3(4)\rangle = |+''(-'')\rangle$  and  $\hbar\omega_k$  is the polaron energy of  $|k\rangle$ . The time-dependent coefficient  $a_k(t)$  satisfies the following equation:<sup>33</sup>

$$i\hbar \frac{da_k}{dt} = \sum_m V_{km}(t)a_m, \qquad (3.13)$$

where  $V_{km}(t) = V_{km}e^{i\omega_{km}t}$  with  $V_{km}$  being the hopping matrix element,  $V_{km} = \langle k | V | m \rangle$ , and  $\omega_{km} = \omega_k - \omega_m$ . The nonzero  $V_{km}$  are  $V_{31} = V_{13}^* = V_{+''+'}$ ,  $V_{42} = V_{24}^* = V_{-''-'}$ ,  $V_{41} = V_{41}^* = V_{-''+'}$ , and  $V_{32} = V_{23}^* = V_{+''-'}$ .

Suppose, at t = 0, the electron is at state  $|+'\rangle$ , i.e.,  $a_1^{(0)} = 1$  and  $a_k^{(0)} = 0$  for  $k \neq 1$ . Integrating the above equations, we obtain

$$a_1(t) = a_1^{(0)} = 1, \quad a_2(t) = a_2^{(0)} = 0,$$
 (3.14)

$$a_{3}(t) = a_{3}^{(1)}(t) = -V_{+''+'} \frac{e^{i\omega t} - 1}{\hbar\omega},$$
 (3.15)

$$a_4(t) = a_4^{(1)}(t) = -V_{-''+'} \frac{e^{i\omega t} - 1}{\hbar\omega},$$
(3.16)

where  $\hbar\omega$  is the polaron-energy difference between sites 2 and 1.

$$s_{z}(0) = \frac{1}{2} \frac{\langle +' | \sigma_{z} | +' \rangle}{\langle +' | +' \rangle} = \frac{1 - \frac{\xi^{2}}{2\Delta^{2}} \cos^{2} \theta_{1}}{2\left(1 + \frac{\xi^{2}}{2\Delta^{2}}\right)},$$
(3.17)

$$s_{z}(t) = \frac{1}{2} \frac{\langle \Psi(t) | \sigma_{z} | \Psi(t) \rangle}{\langle \Psi(t) | \Psi(t) \rangle} = \frac{1 - \frac{\xi^{2}}{2\Delta^{2}} \cos^{2} \theta_{1} + \left[ |a_{3}(t)|^{2} - \left| a_{4}^{2}(t) \right|^{2} \right] \left( 1 - \frac{\xi^{2}}{2\Delta^{2}} \cos^{2} \theta_{2} \right) + C}{2[1 + |a_{3}(t)|^{2} + |a_{4}(t)|^{2}] \left( 1 + \frac{\xi^{2}}{2\Delta^{2}} \right)},$$
(3.18)

where

$$C = -\frac{\xi^2}{2\Delta^2} \sin\theta_2 \cos\theta_2 [a_3^*(t)a_4(t)e^{i\phi_2} + a_4^*(t)a_3(t)e^{-i\phi_2}].$$
(3.19)

The change in spin,  $\Delta s_z \equiv s_z(t) - s_z(0)$ , due to the polaron hopping is

$$\Delta s_{z}(t) = \frac{|a_{3}(t)|^{2} \frac{\xi^{2}}{2\Delta^{2}} (\cos^{2}\theta_{1} - \cos^{2}\theta_{2}) - |a_{4}(t)|^{2} \left[2 - \frac{\xi^{2}}{2\Delta^{2}} (\cos^{2}\theta_{1} + \cos^{2}\theta_{2})\right] + C}{2[1 + |a_{3}(t)|^{2} + |a_{4}(t)|^{2}] \left(1 + \frac{\xi^{2}}{2\Delta^{2}}\right)}.$$
(3.20)  
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Now we examine individual terms in Eq. (3.20). First, the cross term *C* is negligible because (1) it is in third order of  $\xi/\Delta$ , (ii)  $\langle +'|V|+'\rangle$  and  $\langle -'|V|+'\rangle$  can have an arbitrary phase difference and the time average over their product will become zero, and (iii) it is averaged to be zero over the molecular orientation ( $\theta_2, \phi_2$ ). The term proportional to  $|a_3(t)|^2$  in the numerator is also zero after averaging the relative angle between sites 1 and 2. Hence, to the second order of  $\xi/\Delta$ ,

$$\Delta s_z(t) = -\frac{|a_4(t)|^2}{1+|a_3(t)|^2+|a_4(t)|^2} \simeq -|a_4(t)|^2, \quad (3.21)$$

where we have used  $|a_3(t)| \ll 1$  and  $|a_4(t)| \ll 1$ , as required by the validity of perturbation theory. Using the relation  $\lim_{t\to\infty} \sin^2 \omega t / \pi t \omega^2 = \delta(\omega)$  together with Eq. (3.16), we obtain the spin-relaxation rate in this two-site system as

$$-\frac{d\Delta s_z}{dt} = \frac{d|a_4(t)|^2}{dt} = \frac{2\pi}{\hbar} |V_{-''+'}|^2 \delta(\omega), \qquad (3.22)$$

which is identical to the spin-flip rate  $w^{+-}$  introduced earlier. This indicates that spin mixing indeed reduces the expectation value of spin as a polaron hops. In the above example, the initial state has a pure up spin and therefore only spin flip from up to down,  $w^{+-}$ , contributes to spin relaxation. Spin flip from down to up,  $w^{-+}$ , will also contribute to spin relaxation if the initial state contains down-spin component, which will be automatically included in a more general density-matrix theory developed in Sec. III D.

### C. Fluctuating-magnetic field approach

Spin relaxation in the ESR literature is often formulated by regarding the environmental fluctuation as a time-dependent magnetic field, under which the spin dynamics is described by the following equation:

$$\frac{d\boldsymbol{S}}{dt} = \frac{g\mu_B}{\hbar} \boldsymbol{S} \times [\boldsymbol{H}_0 + \boldsymbol{h}(t)], \qquad (3.23)$$

where  $H_0$  is the applied external field and h(t) is a fluctuating field. The fluctuating field has a temporal correlation time  $\tau_c$ , beyond which the fluctuations are considered unrelated,<sup>9</sup>

$$\overline{h_p(t+\tau)h_q(t)} = \delta_{pq}\overline{h_q^2}e^{-|\tau|/\tau_c}.$$
(3.24)

Based on the perturbation theory, the spin-relaxation lifetimes  $T_1$  and  $T_2$  are

$$\frac{1}{T_1} = \left(\frac{g\mu_B}{\hbar}\right)^2 \left(\overline{h_x^2} + \overline{h_y^2}\right) \frac{\tau_c}{1 + \omega_0^2 \tau_c^2},\tag{3.25}$$

$$\frac{1}{T_2} = \left(\frac{g\mu_B}{\hbar}\right)^2 \left[\tau_c \overline{h_z^2} + \frac{1}{2} \left(\overline{h_x^2} + \overline{h_x^2}\right) \frac{\tau_c}{1 + \omega_0^2 \tau_c^2}\right], \quad (3.26)$$

where  $\hbar \omega_0 = g \mu_B H_0$  is the Zeeman energy.

Here, we show that this approach can also be used in the situation of spin relaxation of polarons. If the reference system is chosen such that the mobile polaron is at rest, the polaron hopping between different sites can be regraded as a temporal variation of the environment, or a local magnetic field  $h_q$ ,

$$g\mu_B h_q = V_q, \tag{3.27}$$

and for  $\omega_0 \tau_c \ll 1$ , which is usually satisfied in organics because their small  $\tau_c$ . According to Eqs. (3.25) and (3.26),

$$\frac{1}{T_1} = \frac{1}{T_2} = \frac{1}{\hbar^2} (\overline{|V_x|^2} + \overline{|V_y|^2}) \tau_c.$$
(3.28)

We emphasize that  $1/\tau_c$  is not the hopping rate, although they are related. Since hopping can be considered as a tunneling process between the two polaron states, which is large when the two states are in phase, or correlated, over a long period time. This can be seen from the Fermi golden rule,

$$w^{0} = \frac{2\pi}{\hbar} \overline{|\langle p_{z}^{\prime\prime}|V|p_{z}^{\prime}\rangle|^{2}} \rho(E), \qquad (3.29)$$

where  $\rho(E)$  represents the density of states in the final state, which, after taking into account energy broadening due to the finite correlation time, is

$$\rho(E) = \frac{\hbar \tau_c^{-1}}{\pi} \frac{1}{E^2 + (\hbar \tau_c^{-1})^2},$$
(3.30)

where *E* is the energy difference between the initial and final states. For  $E \ll \hbar/\tau_c$ ,

$$w^{0} = \frac{2}{\hbar^{2}} \overline{|\langle p_{z}^{\prime\prime}|V|p_{z}^{\prime}\rangle|^{2}} \tau_{c}.$$
(3.31)

By substituting  $\tau_c$  in Eq. (3.28) with Eq. (3.31), the spinrelaxation time is

$$\frac{1}{T_1} = \frac{1}{T_2} = \frac{8}{3}\gamma^2 w^0 = w_{+-} + w_{-+}.$$
 (3.32)

Again the spin-relaxation rate is proportional to hopping rate  $w^0$  and spin admixture parameter  $\gamma^2$ .

## D. Density-matrix theory

Spin relaxation can be more rigorously discussed by using the density-matrix theory. The hopping among polaron eigenstates at different sites can be written as  $\hat{V} = \sum_{ij} \hat{V}_{ij}$ , where

$$\hat{V}_{ij} \equiv \langle i \pm | V | j \pm \rangle = V_{ij}^0 \hat{1} + \sum_q \hat{\sigma}_q V_{ij}^q.$$
(3.33)

The spin-polarized carrier density can be expressed in a similar form,  $\hat{\rho} = \sum_i \hat{\rho}_i$  with  $\hat{\rho}_i = \rho_i^0 \hat{1} + \sum_q \hat{\sigma}_q \rho_i^q$ ,  $\rho_i^0$  the equilibrium up- or down-spin carrier density in the absence of spin polarization, and  $\rho_i^q$  magnetization at site *i*. The density matrix obeys the following Redfield equation in the interaction representation,<sup>9</sup>

$$\frac{d\hat{\rho}}{dt} = -\frac{i}{\hbar} [\hat{V}(t), \hat{\rho}(0)] - \frac{1}{\hbar^2} \int_0^\infty d\tau \overline{[\hat{V}(t), [\hat{V}(t-\tau), \hat{\rho}(0)]]},$$
(3.34)

where  $\hat{\rho}(0)$  is the density matrix at t = 0.

To study spin relaxation of carriers, it is useful to introduce the spin-dependent electrochemical potentials, which deviate from the Fermi level in the presence of spin polarization of carriers. Spin-dependent electrochemical potentials suggest that up- and down-spin carriers are distinguishable and can reach their own quasiequilibrium states, which are justified when the spin lifetime is long. While the (spin-polarized) carrier density and the (spin-polarized) electrochemical potential are closely related, the electrochemical potential varies much slower in space than the carrier density, which can fluctuate at the small scale of the Debye length, and is therefore advantageous in describing transport. For the Boltzmann distribution,<sup>34</sup>

$$\rho_i^q = \rho_i^0 \mu_i^q / k_B T, \qquad (3.35)$$

where  $k_B$  is the Boltzmann constant and *T* the temperature, and  $\mu_i^q$  is the splitting in spin-polarized electrochemical potentials along the *q* axis. As the spin-polarized chemical density, the spin-polarized electrochemical potential can be written as  $\hat{\mu}_i = \mu_i^0 \hat{1} + \sum_q \hat{\sigma}_q \mu_i^q$ . The matrix form is necessary when a common spin quantization axis in a system cannot be defined, e.g., when spin precession occurs. As a result, Eq. (3.34) can be rewritten as

$$\sum_{i} \rho_i^0 \frac{d\mu_i^q}{dt} = \frac{2}{\hbar^2} \sum_{ijrqs\alpha\beta} (\alpha |\hat{\sigma}_q|\beta) (\beta | [[\hat{\sigma}_r, \hat{\sigma}_q], \hat{\sigma}_s] | \alpha) \times L_{qq}^{ij}(\omega) \rho_j^0 \mu_j^s, \qquad (3.36)$$

where  $\alpha$  and  $\beta$  represent spin and  $\alpha(\beta) = \uparrow(\downarrow)$ ,  $\hbar\omega$  is the energy difference between sites *i* and *j*, and

$$L_{qq}^{ij}(\omega) = \int_{0}^{+\infty} \overline{V_{ji}^{q}(t)V_{ij}^{q}(t+\tau)} e^{-i\omega\tau} d\tau.$$
 (3.37)

The spin-conserving hopping rate can be also expressed in terms of the temporal correlation function,<sup>13</sup>

$$w_{ij}^{0} = \frac{1}{\hbar^{2}} \int_{0}^{+\infty} \overline{V_{0}^{ji}(t+\tau)V_{0}^{ij}(t)} e^{i(E_{j}-E_{i})\tau/\hbar} d\tau, \quad (3.38)$$

and  $L_{qq}^{ij}(\omega) = \chi^2 w_{ij}^0$  according to Eq. (3.10). Equation (3.36) is then reduced to

$$\rho_i^0 \frac{d\mu_i^q}{dt} = \sum_j \left[ (1 - \chi^2) \rho_j^0 w_{ji}^0 \mu_j^q - (1 + \chi^2) \rho_i^0 w_{ij}^0 \mu_i^q \right].$$
(3.39)

In the absence of electric field, the detailed balance requires  $\rho_i^0 w_{ii}^0 = \rho_i^0 w_{ii}^0$ , and the above equation is further simplified,

$$\frac{\rho_i^0}{k_B T} \frac{d\mu_i^q}{dt} = \sum_j Z_{ij}^{-1} \left[ (1 - \chi^2) \mu_j^q - (1 + \chi^2) \mu_i^q \right], \quad (3.40)$$

where  $Z_{ij}^{-1} = \rho_i^0 w_{ij}^0 / k_B T = \rho_j^0 w_{ji}^0 / k_B T$ .

To determine the carrier spin-relaxation time, we track the time evolution of a spatially homogeneous spin polarization,  $\mu^q$ . In this case, Eq. (3.40) reads

$$\frac{d\mu^q}{dt} = -\frac{2\chi^2 \mu^q k_B T \sum_{ij} Z_{ij}^{-1}}{\sum_i \rho_i^0} \equiv -\mu^q / T_1, \quad (3.41)$$

and the spin-relaxation rate is

$$\frac{1}{T_1} = \frac{8\gamma^2}{3} \frac{k_B T \sum_{ij} Z_{ij}^{-1}}{\sum_i \rho_i^0}.$$
 (3.42)

Since, fundamentally, carrier spin relaxation should be closely related to carrier's motion, we establish the relation between the spin lifetime and electrical transport properties in organics. According to the Einstein relation, the diffusion constant *D* and the mobility v are related by  $v = eD/k_BT$ . Using the Kubo formula for mobility, the diffusion constant of the system is expressed as<sup>35</sup>

$$D = \frac{k_B T}{3} \sum_{q} \int_0^\infty dt e^{-\delta t} \int_0^{1/k_B T} d\lambda \langle v_q(-i\lambda)v_q(t) \rangle, \quad (3.43)$$

where  $\langle \rangle$  denotes the average with the weighting functional  $\exp[-(H_0 + H_{SO})/k_BT]$ , and  $\delta = 0^+$  is introduced to ensure the above expression is convergent as  $t \to \infty$ . The velocity operator  $\boldsymbol{v}$  can be obtained from

$$\boldsymbol{v} = \frac{i}{\hbar} [H, \boldsymbol{R}] \equiv \frac{i}{\hbar} [H_0 + H_{\rm SO} + V, \boldsymbol{R}], \qquad (3.44)$$

where  $\mathbf{R} = \sum_{i} \mathbf{R}_{i} a_{i}^{\dagger} a_{i}$  is the polaron position operator. For localized polarons in the hopping regime, the velocity operator has nonzero matrix elements only between different sites,

$$\langle j | \boldsymbol{v} | i \rangle = \frac{i}{\hbar} \langle j | V | i \rangle (\boldsymbol{R}_j - \boldsymbol{R}_i).$$
(3.45)

Here, we neglect all spin-flip terms because they are proportional to the small spin admixture parameter  $\gamma$ . The DC diffusion constant, in the limit of  $|E_i - E_j| \ll \hbar/\tau_c$ ,

$$D = \frac{1}{3} \frac{1}{Z} \sum_{i} e^{-E_i/k_B T} \sum_{j} \sum_{q} |V_{ij}^0|^2 R_{ij}^2 \rho_{ij}(E), \quad (3.46)$$

where  $Z = \sum_{i} e^{-E_i/k_B T}$ . After averaging over the molecular orientations, Eq. (3.46) reduces to

$$D = \frac{1}{6} \frac{\sum_{i} \rho_{i}^{0} w_{ij}^{0} \bar{R}^{2}}{\sum_{i} \rho_{i}^{0}},$$
(3.47)

where  $\bar{R}$  is the average hopping distance. Comparing Eq. (3.47) with Eq. (3.42), we obtain

$$T_1^{-1} = T_2^{-1} = \frac{8}{3}\gamma^2 \frac{\sum_i \rho_i^0 w_{ij}^0}{\sum_i \rho_i^0} = \frac{16\gamma^2 D}{\bar{R}^2}.$$
 (3.48)

According to Eq. (3.48) and the Einstein relation,  $T_1 \propto$  $D^{-1} \propto \nu^{-1}$ , i.e., the higher mobility, the shorter the spinrelaxation time. It is interesting to compare the above theory with the EY mechanism in crystalline semiconductors. While the EY mechanism is also due to the SOC-induced spin mixing, the disorientation of spin occurs in the process of momentum scattering instead of carrier hopping. Consequently, the spinrelaxation rate  $1/T_1$  in the EY mechanism is proportional to the momentum scattering rate  $1/\tau_p$  ( $\tau_p$  is the carrier mean free time). Since in a crystal, the carrier mobility can be written as  $v = e\tau_p/m^*$  (m<sup>\*</sup> is the carrier's effective mass), the experimental signature of the EY mechanism in crystalline semiconductors is  $T_1 \propto \nu$ , i.e., the spin-relaxation time is longer for a higher mobility.<sup>7</sup> The opposite mobility dependencies of the spin lifetime in crystalline semiconductors and in disordered organic solids are due to the distinct carrier transport mechanisms: band conduction in the former, where phonon scattering *reduces*  $\tau_p$  and carrier mobility, and hopping conduction in the latter, where phonons facilitate electron hopping and enhances carrier mobility.

Based on the above discussion, we expect that the spin lifetime will decrease with temperature as the mobility generally increases with temperature in the hopping regime. The magnetic field effect should be weak as it does not affect the spin mixing due to the SOC.

### **IV. SPIN DIFFUSION LENGTH**

The spin-diffusion length, which measures how far a spin imbalance can traverse in the material, plays a central role in spintronics because it limits the channel length of spintronic devices. To determine the spin-diffusion length in organics, we examine the spatial dependence of spin polarization in a steady state  $(d\mu_i^q/dt = 0)$  from Eq. (3.40). By expanding  $\mu_i^q$  over distance,

$$\mu^{q}(\boldsymbol{r}_{j}) = \mu^{q}(\boldsymbol{r}_{i}) + \boldsymbol{R}_{ji} \cdot \nabla \mu^{q}(\boldsymbol{r}_{i}) + \frac{1}{2} \boldsymbol{R}_{ji} \boldsymbol{R}_{ji} : \nabla \nabla \mu^{q}(\boldsymbol{r}_{i}),$$
(4.1)

where  $\mathbf{R}_{ji} = \mathbf{R}_j - \mathbf{R}_i$ , and summing over *i*, Eq. (3.40) is reduced to

$$\sum_{ij} Z_{ij}^{-1} \left[ -2\chi^2 \mu^q(\boldsymbol{r}_j) + \frac{1}{6} R_{ij}^2 \nabla^2 \mu^q(\boldsymbol{r}_j) \right] = 0. \quad (4.2)$$

Comparing it with the definition of spin-diffusion length  $L_s$ ,

$$\left(\nabla^2 - L_s^{-2}\right)\mu^q(\mathbf{r}) = 0,$$
 (4.3)

we find

$$L_{s} = \frac{1}{4\gamma} \sqrt{\frac{\sum_{ij} Z_{ij}^{-1} R_{ij}^{2}}{\sum_{ij} Z_{ij}^{-1}}} \equiv \frac{1}{4\gamma} \bar{R}.$$
 (4.4)

This remarkably simple expression suggests that the spindiffusion length in organic solids is essentially determined by the spin admixture and average hopping distance and does not depend on the carrier mobility. Hence in contrast to the common assumption, the spin-diffusion length cannot be significantly increased by improving the carrier mobility in organics if the SOC is the main source of spin relaxation.

Recently, spin-diffusion lengths were directly measured by muon spin rotation in Alq<sub>3</sub> at low temperatures<sup>15</sup> and by spin-polarized two-photon photoemission in CuPc at room temperature.<sup>16</sup> These experiments provide valuable information on spin transport and allow a direct comparison with theory. Here, we analyze the two systems using the above theory.

## A. Spin diffusion in Alq<sub>3</sub>

According to the muon experiment, the spin-diffusion length decreases as temperature increases and levels off when the temperature is above 80 K, as shown in Fig. 4. Here, we show that the experimental data can be consistently explained by our theory after noticing that polarons can take advantage of variable-range hopping (VRH) at low temperatures.

In disordered systems, VRH is frequently observed at low temperatures.<sup>36</sup> The origin of VRH is that the hopping probability depends on two factors: the electron wave-function overlap, which decays exponentially over the hopping distance, and the energy difference between hopping sites, which tends to be small when the hopping distance is large. The competition between the two factors results in a temperature-dependent average hopping distance. The signature of the VRH is that the conductivity of the material has a temperature dependence of



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FIG. 4. (Color online) Spin-diffusion length as a function of temperature in Alq<sub>3</sub>. Circles are experimental values and the squares are theoretical values obtained by solving Eq. (3.40) in steady state on a  $32 \times 32 \times 32$  lattice with the lattice constant a = 11.5Å. The average hopping distances are represented by triangles.

 $\exp[-(T/T_0)^{1/4}]$ . When the Coulomb interaction is important, the temperature dependence becomes  $\exp[-(T/T_0)^{1/2}]$ .<sup>37</sup> The average hopping distance is  $\bar{R} \sim T^{-1/4}$ ,<sup>36</sup> or  $\bar{R} \sim T^{-1/2}$  for the strong Coulomb interaction case. The VRH has been observed in organic solids<sup>38</sup> and biological systems.<sup>40</sup> Thus the experimentally measured spin-diffusion length in Alq<sub>3</sub> is consistent with Eq. (4.4) in the VRH regime.

To provide a detailed understanding of spin diffusion in Alq<sub>3</sub>, we solve transport equations Eq. (3.40) in a  $32 \times 32 \times 32$  cubic lattice, where each lattice site represents an Alq<sub>3</sub> molecule. A spin imbalance is injected into the lattice at the edge plane of x = 0, and at the other edge plane x = 31a (*a* is the lattice constant), the spin imbalance is set zero. To avoid possible boundary effects, we artificially increase  $\gamma^2$  from 0.00107 listed in Table II to 0.0375 and multiply the numerically obtained diffusion length by  $(0.0375/0.0107)^{1/2}$  to compare with experiment. The lattice constant is chosen to be 11.6 Å, similar to the size of the Alq<sub>3</sub> molecule. We assume the hopping rate between sites *i* and *j* has the following Efros-Shklovskii form,<sup>37</sup>

$$w_{ij}^{0} = v_0 \exp[-2R_{ij}/\ell - (E_i - E_j - E_C/R_{ij})/2k_BT], \quad (4.5)$$

where  $E_C$  is the Coulomb gap and  $\ell$  is the polaron delocalization length and their values are set  $E_C = 0.3$  eV and  $\ell = 0.64 \text{ Å}^{-1}$  in the calculations. We assume that the polaron energy fluctuations are negligible compared to the Coulomb gap,  $|E_i - E_i| \ll E_C$ , and are set zero. In the numerical calculations, we allow polarons to hop between any two sites, and therefore VRH is automatically included in the model. The spin imbalance is found to exponentially decay over distance,  $\mu^q(x) \sim e^{-x/L_s}$ , and the extracted spin-diffusion length is plotted in Fig. 4. We also show in Fig. 4 the averaged hopping distance  $\bar{R}$  defined in Eq. (4.4). We see an excellent agreement between the experiment and theory as well as a close correlation between the hopping distance and spin-diffusion length. The leveled spin-diffusion length occurs when the hopping distance reaches the lattice constant a, the minimal hopping distance possible, as the temperature increases. Thus we expect that the spin-diffusion length in organics should decrease with temperature relatively slowly, following  $L_s \sim T^{-1/2}$  or  $L_s \sim T^{-1/4}$ .

#### B. Spin diffusion in CuPc

The spin-diffusion length in CuPc at room temperature has been measured by the spin-polarized two-photon photoemission. It is found that the polaron mean free path is about 1 nm and the spin-diffusion length, or the spin-flip length, is about 10 nm. To explain the experiment, we must examine the hopping among 3d orbitals.

CuPc has an electronic configuration of  $3d^9$ , which is equivalent of one hole in a completely filled 3d shell. In the hole representation, the electron or hole polaron occupies  $|E''\rangle$  in each molecule and hopping takes place between these states at different sites.

If we denote the hopping matrix element between aligned 3d orbitals as  $V_0$ , the spin-conserving hopping averaged over random orientations of the molecules is

$$\begin{split} |\langle E'' + '' | V | E'' + '\rangle|^2 &= |\langle d''_{x^2 - y^2} | V | d'_{x^2 - y^2} \rangle|^2 \\ &= \frac{1}{4} (\overline{|D_{22}^{(2)}|^2} + \overline{|D_{2-2}^{(2)}|^2} + \overline{|D_{-22}^{(2)}|^2} + \overline{|D_{-2-2}^{(2)}|^2}) V_0^2 \\ &= \frac{1}{5} V_0^2. \end{split}$$
(4.6)

Here,  $D_{mm'}^{(2)}$  is the Wigner D matrix<sup>33</sup> for j = 2 required to transform  $d_m$  in one rotation frame to  $d_{m'}$  in another and its average over molecular orientations is explained in Appendix C. The spin-flip hopping matrix element can be written as

$$\begin{split} \langle E'' - '' \, | V | E'' +' \rangle &= \frac{\tilde{\xi}_{\text{Cu}}}{\Delta_1} (-i \sin \theta'' e^{i \phi''} \langle d''_{x^2 - y^2} | V | d'_{xy} \rangle + i \sin \theta' e^{i \phi'} \langle d''_{xy} | V | d''_{x^2 - y^2} \rangle) + \frac{\sqrt{2}}{2} \frac{\xi_{\text{Cu}}}{\Delta_2} \bigg( \cos^2 \frac{\theta''}{2} e^{i \phi''} \langle d''_{x^2 - y^2} | V | d''_{xy} \rangle \\ &+ \cos^2 \frac{\theta'}{2} e^{i \phi'} \langle 1'' | V | d'_{x^2 - y^2} \rangle - \sin^2 \frac{\theta''}{2} e^{i \phi''} \langle d''_{x^2 - y^2} | V | 1'' \rangle \\ &- \sin^2 \frac{\theta'}{2} e^{i \phi'} \langle -1'' | V | d''_{x^2 - y^2} \rangle \bigg), \end{split}$$

and the hopping rate, after averaging over molecular orientations, is

$$\overline{|\langle E'' - '' | V | E'' + '\rangle|^2} = \frac{2}{5} \left[ \left( \frac{\tilde{\xi}_{\text{Cu}}}{\Delta_1} \right)^2 + \frac{1}{2} \left( \frac{\tilde{\xi}_{\text{Cu}}}{\Delta_2} \right)^2 \right] V_0^2.$$
(4.7)

Thus the ratio of the spin-flip hopping to the spin-conserving one is

$$\frac{\overline{|\langle E'' - '' | V | E'' + '\rangle|^2}}{|\langle E'' + '' | V | E'' + '\rangle|^2} = \frac{4}{3}\gamma^2.$$
(4.8)

Since the mean free path, beyond which the carrier transport is incoherent, in CuPc films is about l = 1 nm, which is comparable to the size of the molecule (1.4 nm), we can regard l as the hopping distance and obtain the spin-diffusion length,

$$L_s = \frac{R}{4\gamma} = \frac{l}{4\gamma} = 9.6 \text{ nm}, \qquad (4.9)$$

which is in excellent agreement with experimental value,  $L_s = 10$  nm.

Table III summarizes our calculated spin-diffusion lengths for different materials, where DO-PPV refers to poly(2,5dioctyloxy-1,4-phenylenevinylene). We assume that the hopping takes place between nearest neighbors and thus the spin-diffusion lengths correspond to their high-temperature values. The average hopping distance is estimated from  $\bar{R} = \Omega^{1/3}$ , where  $\Omega$  is the molecular volume in the corresponding molecular crystal based on the x-ray data in literature. Experimentally, the spin-diffusion length is *directly* measured only in Alq<sub>3</sub> and in CuPc. More frequently, the spin-diffusion length in organics is extracted from the magnetoresistance (MR) in organic spin-valve (OSV) structures and involves some uncertainties, depending on the fitting expressions used. These *indirect* measurements yield  $L_s = 70$  nm in T<sub>6</sub> at room temperature,<sup>2</sup> 45 nm in Alq<sub>3</sub> at 11 K,<sup>3</sup> and 13 nm in rubrene at 0.45 K.<sup>38</sup> The values for  $T_6$  and Alq<sub>3</sub> are in good agreement with the theoretical estimates but the rubrene value is much smaller than what the theory predicts. Apart from the experimental uncertainties, it is possible that the HFI, which is not included in these calculations, is important in rubrene.

We emphasize that a longer spin diffusion does not necessarily translate to a larger MR in an OSV. Experimentally, the measured MR values in OSVs with Alq<sub>3</sub> scatter over a broad range for different electrodes and temperatures.<sup>3,15</sup> Although a consistent picture of the MR in OSVs is not yet available, it is fair to say that the MR may be more sensitive to the spin injection efficiency than the spin-diffusion length. In fact, in inorganic systems, spin injection into a metal is generally more efficient than into a semiconductor, although the spin-diffusion length in a semiconductor is much longer than in a metal.<sup>1</sup> In general, spin injection depend on many material properties in a device, including magnetizations of the ferromagnets and electrical transport properties of the organic. Since electrical transport in an organic may strongly depend

TABLE III. Spin diffusion lengths of the electron and hole polarons in various organics at room temperature.

Material	hopping distance (Å)	electron (nm)	hole (nm)
benzene	4.9	337	524
Alq <sub>3</sub>	14.8	11.2	60
DO-PPV	10.1	491	131
T <sub>6</sub>	12.8	47	201
rubrene	14.1	1083	1103
CuPc	10	10	10
PPP	5.9	426	574
$C_{60}$	20.0	472	437
PTCDIC4F7	11.3	149	70

on the material thickness (for example, its resistance increases nonlinearly with the thickness), it is possible that a pronounced MR only appears in an OSV with the channel length much shorter than the spin-diffusion length. This may explain the much longer estimated spin-diffusion length of  $C_{60}$  in Table III than the channel length of  $C_{60}$ -based OSVs that show sizable MR.<sup>39</sup>

# V. ELECTRICAL-FIELD EFFECTS ON SPIN DIFFUSION

Most organic materials in spintronic devices are undoped and do not have carriers on their own. All carriers in an organic device are therefore injected from the electrodes. Thus the electric field in organic devices is in general significant. In addition, the MR in OSVs is found to be very sensitive to the bias voltage, suggesting a strong electric-field effect on spin transport. Since the MR in OSVs is pronounced only at relatively low voltages ( $\leq 1$  V),<sup>2,3,15,38,39</sup> we focus on how spin diffusion is affected by a small to moderate electric field.

While the distribution of the carrier density in an organic device structure can be very inhomogeneous, the electrochemical potential varies slowly in space. In fact, in equilibrium, the electrochemical potential (Fermi level) is a constant throughout the device. Similarly, the splitting in the spinpolarized electrochemical potentials, which describes spin imbalance of carriers, does not change as significantly as the spin-polarized carrier density in device structures and is largely independent of charge transport, which is controlled by the spin-independent electrochemical potential  $\mu_0$  introduced in Sec. III D. Thus the effect of electric field can be conveniently studied by Eq. (3.39) without explicitly considering the charge transport. An electric field tilts the polaron energy difference between two sites, and for electron polarons, the hopping probability from site i to site j in the presence of an electric field *E* can be written as

$$\tilde{w}_{ij}^{0} = w_{ij}^{0} e^{eE \cdot (\mathbf{R}_{i} - \mathbf{R}_{j})/2k_{B}T} \equiv w_{ij}^{0} e^{eE \cdot \mathbf{R}_{ij}/2k_{B}T}, \qquad (5.1)$$

where *e* is the absolute value of the electron charge. The presence of an electric field renders  $\rho_i^0 \tilde{w}_{ij}^0 \neq \rho_j^0 \tilde{w}_{ji}^0$ , and in the steady state, Eq. (3.39) becomes

$$0 = \sum_{j} \left[ (1 - \chi^2) \rho_j^0 \tilde{w}_{ji}^0 \mu_j^q - (1 + \chi^2) \rho_i^0 \tilde{w}_{ij}^0 \mu_i^q \right].$$
(5.2)

By expanding the exponential in Eq. (5.1) for the field with  $eE\bar{R}/k_BT < 1$  and using Eq. (4.1), the spin imbalance satisfies the following equation:

$$0 = \sum_{j} \left[ -2\chi^{2}\mu_{i}^{q} - \frac{qR_{ij}^{2}}{6k_{B}T}\boldsymbol{E} \cdot \nabla\mu_{i}^{q} + \frac{1}{6}R_{ij}^{2}\nabla^{2}\mu_{i}^{q} \right], \quad (5.3)$$

or, equivalently,

$$\nabla^2 \mu^q - \frac{q}{k_B T} \boldsymbol{E} \cdot \nabla \mu^q - 16\gamma^2 \frac{\mu^q}{\bar{R}^2} = 0, \qquad (5.4)$$

which resembles the spin-drift-diffusion equation obtained in inorganic semiconductors. The electric field gives rise to a



FIG. 5. (Color online) Averaged spin imbalance in the *y*-*z* plane as a function of *x* in a  $32 \times 32 \times 32$  cubic lattice for different electric fields. The spin imbalance is injected at x = 0 and the electric field is along the *x* axis. Solid lines, from top to bottom, correspond to Ea = -0.006, -0.003, 0, -0.003, and 0.006 V. T = 110 K and  $\gamma^2 = 0.0375$ . Other parameters are the same as in Fig. 4.

spin-drift term in Eq. (5.4), which leads to the down- and upstream spin-diffusion lengths,

$$\frac{L_d}{a} = \left[ -\frac{q|E|a}{2k_BT} + \sqrt{\left(\frac{q|E|a}{2k_BT}\right)^2 + \frac{16\gamma^2 a^2}{\bar{R}^2}} \right]^{-1}, \quad (5.5)$$

$$\frac{L_u}{a} = \left[\frac{q|E|a}{2k_BT} + \sqrt{\left(\frac{q|E|a}{2k_BT}\right)^2 + \frac{16\gamma^2 a^2}{\bar{R}^2}}\right]^{-1}.$$
 (5.6)

For a more detailed understanding, we numerically solve Eq. (5.2) using the hopping probability of Eq. (5.1) in the  $32 \times 32 \times 32$  cubic lattice. The spin imbalance also decays exponentially over the distance with the decay rate strongly depending on the magnitude and direction of the electric field, as shown in Fig. 5. The extracted spin-diffusion lengths, shown in Fig. 6, confirm that the presence of electric field results in the upstream and downstream diffusion lengths. The numerical results are similar to the analytical results of Eqs. (5.5) and (5.6), and their quantitative difference is due mainly to the small field approximation used in deriving Eq. (5.3).

The field-dependent spin diffusion is not caused by the change in hopping distance, which is negligible and depends slightly on the absolute value of electric field, as shown in Fig. 6. Rather, it is due to the electric field induced drift. The electric-field effect on spin diffusion suggests that a bias voltage can strongly modify the spin transport behavior and must be included to understand the MR in OSVs.

### VI. g FACTOR IN ORGANIC MATERIALS

The SOC also affects the spin resonance frequency of the polaron state and makes the *g* factor deviate from the freeelectron value of  $g_e = 2.0023$ . Since the *g*-factor deviation can be measured by ESR, the SOC in individual organics in principle can be characterized by the *g*-factor deviation. Thus it is also useful to understand the relation between the *g*-factor deviation and spin admixture  $\gamma^2$ .



FIG. 6. (Color online) Spin-diffusion length as a function of electric field. Black and red (gray) circles are upstream and downstream spin-diffusion lengths extracted from numerical solutions of Eq. (5.2). Black and red (gray) dashed lines plot Eqs. (5.5) and (5.6). Blue triangles are the average hopping distance as a function of electric field. Other parameters are the same as in Fig. 5.

The g factor is defined via the Zeeman energy splitting between up-spin and down-spin states under a given magnetic field, B,

$$H_Z = \mu_B \boldsymbol{B} \cdot \boldsymbol{g} \cdot \boldsymbol{S}, \tag{6.1}$$

where the effective g factor  $\mathbf{g}$  is a tensor. The Zeeman energy contains contribution from both the orbital and spin angular momenta,

$$H_Z = \mu_B \boldsymbol{B} \cdot (\boldsymbol{L} + g_e \boldsymbol{S}). \tag{6.2}$$

By comparing the two Hamiltonians in the eigenstate basis set, the *g*-factor tensor  $g_{pq}$  and its deviation from the free-electron value,  $\delta g_{pq} \equiv g_{pq} - g_e \delta_{pq}$ , can be obtained.

### A. The fictitious atom and molecule

First, we evaluate the g factor change due to the SOC in the fictitious atom introduced in Sec. II A with its  $\pi$  orbital oriented along  $(\theta, \phi)$ . The eigenstates of  $\pi$ -electrons, after including the SOC, are  $|+'\rangle$  and  $|-'\rangle$  in Eqs. (2.8) and (2.9). Note that orbitals  $p'_q$  there are defined in the local coordinates of the atom  $(p'_z)$  is the  $\pi$  orbital), which differ by a rotation from the laboratory coordinates in which Hamiltonian (6.2) is defined. While one can use Eqs. (2.5)–(2.7) to express  $p'_q$  in terms of  $p_q$  in the laboratory coordinates, a more efficient and elegant way is to use equalities of rotation operators on the angular momentum operators. For example,

$$e^{-i\phi L_z} L_x e^{i\phi L_z} = L_x \cos\phi + L_y \sin\phi, \qquad (6.3)$$

$$e^{-i\phi L_z} L_y e^{i\phi L_z} = -L_x \sin \phi + L_y \cos \phi. \tag{6.4}$$

This approach becomes particularly useful when dealing with orbitals with a high angular momentum like 3d orbitals. The

calculated matrix elements of Hamiltonian (6.2) between  $|\pm'\rangle$  are

$$\mu_{B}^{-1}\langle +'|H_{Z}|+'\rangle = -\mu_{B}^{-1}\langle -'|H_{Z}|-'\rangle$$
  
=  $\frac{g_{e}}{2}B_{z} + \frac{\xi}{\Delta}(-\sin^{2}\theta B_{z} + \sin\theta\cos\theta\sin\phi),$   
+  $\sin\theta\cos\theta\cos\phi B_{x} + \sin\theta\cos\theta\sin\phi),$   
(6.5)

$$\mu_B^{-1} \langle +'|H_Z|-'\rangle = \mu_B^{-1} \langle -'|H_Z|+'\rangle^*$$
  
=  $\frac{g_e}{2} (B_x - iB_y) + \frac{\xi}{\Delta} e^{-i\phi} [\sin\theta\cos\theta B_z - (i\sin\phi + \cos^2\theta\cos\phi)B_x + (i\cos\phi - \cos^2\theta\sin\phi)B_y].$  (6.6)

Comparing the above matrix with Hamiltonian (6.1) in the  $2 \times 2$  spin space,

$$H_Z = \frac{\mu_B}{2} \sum_{pq} B_p g_{pq} \hat{\sigma}_q, \qquad (6.7)$$

we obtain all components of the g-factor tensor,

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$$g_{xx} = g_e - \frac{2\xi}{\Delta} (\cos^2 \theta \cos^2 \phi + \sin^2 \phi), \qquad (6.8)$$

$$g_{yy} = g_e - \frac{2\xi}{\Delta} (\cos^2 \theta \sin^2 \phi + \cos^2 \phi), \qquad (6.9)$$

$$g_{zz} = g_e - \frac{2\xi}{\Delta} \sin^2 \theta, \qquad (6.10)$$

$$g_{xy} = g_{yx} = \frac{2\xi}{\Delta} (1 - \cos^2 \theta) \sin \phi \cos \phi, \quad (6.11)$$

$$g_{xz} = g_{zx} = \frac{2\xi}{\Delta} \sin\theta \cos\theta \cos\phi,$$
 (6.12)

$$g_{yz} = g_{zy} = \frac{2\xi}{\Delta} \sin\theta \cos\theta \sin\phi.$$
 (6.13)

In disordered organic solids, the molecules are oriented randomly, and therefore the experimentally measured g factor from ESR should be an ensemble average over different molecular orientations. Since the direction of an applied magnetic field in ESR is well defined, say along the z axis, the measured g-factor deviation would be  $\delta g_{zz}$ . From Eqs. (6.7)–(6.9), the g-factor deviations, after the orientation average, are

$$\overline{\delta g_{zz}} = \overline{\delta g_{xx}} = \overline{\delta g_{yy}} = -\frac{4\xi}{3\Delta}.$$
 (6.14)

It is desirable to obtain the averaged g-factor deviation from an invariant quantity of the g-factor tensor, which would allow a theoretical determination of the averaged g-factor deviation by studying a molecule with a single orientation. We find two invariances from Eqs. (6.7)–(6.12),

$$\overline{\delta g} \equiv \frac{1}{3} (\delta g_{xx} + \delta g_{yy} + \delta g_{zz}) = -\frac{4\xi}{3\Delta}, \qquad (6.15)$$

$$\overline{|\delta g|} \equiv \sqrt{\sum_{pq} g_{pq}^2} = \frac{2\sqrt{2\xi}}{\Delta}, \qquad (6.16)$$

which are independent to the molecular orientation with the ratio of their magnitudes being  $\sqrt{2}/3$ . In particular,  $\overline{\delta g}$  is identical to the ensemble averaged  $\overline{\delta g_{qq}}$ .

We see that both  $\overline{\delta g}$  and  $|\overline{\delta g}|$  are proportional to the spin admixture  $\gamma$  introduced earlier. Thus in principle they can be used to measure the SOC. However, by inspecting Hamiltonian (6.2), it is clear that the *g*-factor deviation comes from mixing between different *orbitals*. It does not include contribution from the spin mixing within the same orbital and therefore may underestimate the spin-mixing effect. This is readily seen in the molecule consisting of two fictitious atoms studied in Sec. II D. For the eigenstates of the two-atom molecule,  $|\pm\rangle$  in Eq. (2.39), the matrix elements of the Zeeman energy, to the first order of  $\xi/\Delta$ , is

$$\langle \tilde{\pm} | \boldsymbol{L} + g_e \boldsymbol{S} | \tilde{\pm} \rangle = \frac{1}{2} (\langle \pm' | \boldsymbol{l}_1 + g_e \boldsymbol{s}_1 | \pm' \rangle + \langle \pm'' | \boldsymbol{l}_2 + g_e \boldsymbol{s}_2 | \pm'' \rangle).$$
(6.17)

Equation (6.16) suggests that the *g*-factor deviation  $\delta g$  in this molecule would be the same as that in an isolated atom. The large spin mixing of the eigenstate Eq. (2.39) will not be reflected in the *g*-factor deviation, because it occurs mainly within the same orbital. Therefore the *g*-factor deviation is not well suited to characterize the spin mixing in organics.

### B. CuPc

The polaron in CuPc occupies the  $d_{x^2-y^2}(E'')$  state, which mixes with  $d_{xy}$  and  $d_{zx}$  and  $d_{yz}$  via the SOC, as expressed in Eqs. (2.29) and (2.30). The matrix elements of Hamiltonian (6.2) in the space spanned by the eigenstates  $|E''\pm\rangle$  are

$$\mu_{B}^{-1} \langle E'' + |H_{Z}|E'' + \rangle$$

$$= -\mu_{B}^{-1} \langle E'' - |H_{Z}|E'' - \rangle$$

$$= \frac{g_{e}}{2} B_{z} + \left(\frac{4\tilde{\xi}_{Cu}}{\Delta_{1}}\cos^{2}\theta + \frac{\tilde{\xi}_{Cu}}{\Delta_{2}}\sin^{2}\theta\right) B_{z}$$

$$+ \left(\frac{4\tilde{\xi}_{Cu}}{\Delta_{1}} - \frac{\tilde{\xi}_{Cu}}{\Delta_{2}}\right) \sin\theta\cos\theta\cos\phi B_{x}$$

$$+ \left(\frac{4\tilde{\xi}_{Cu}}{\Delta_{1}} - \frac{\tilde{\xi}_{Cu}}{\Delta_{2}}\right) \sin\theta\cos\theta\sin\phi B_{y}, \qquad (6.18)$$

$$\mu_{D}^{-1}(E'' + |H_{Z}|E'' - )$$

$$= \mu_B^{-1} \langle E'' - |H_Z|E'' + \rangle^*$$

$$= \frac{g_e}{2} (B_x - iB_y) + e^{-i\phi} \left(\frac{4\tilde{\xi}_{Cu}}{\Delta_1} - \frac{\tilde{\xi}_{Cu}}{\Delta_2}\right) \sin\theta\cos\theta B_z$$

$$+ e^{-i\phi} \left[\frac{4\tilde{\xi}_{Cu}}{\Delta_1}\sin^2\theta\cos\phi + \frac{\tilde{\xi}_{Cu}}{\Delta_2}(\cos^2\theta\cos\phi + i\sin\phi)\right] B_x + e^{-i\phi} \left[\frac{4\tilde{\xi}_{Cu}}{\Delta_1}\sin^2\theta\sin\phi + \frac{\tilde{\xi}_{Cu}}{\Delta_2}(\cos^2\theta\sin\phi - i\cos\phi)\right] B_y.$$
(6.19)

We obtain the g-factor deviation due to the SOC,

$$\delta g_{zz} = \frac{8\bar{\xi}_{Cu}}{\Delta_1}\cos^2\theta + \frac{2\bar{\xi}_{Cu}}{\Delta_2}\sin^2\theta, \qquad (6.20)$$

$$\delta g_{xx} = \frac{8\xi_{\text{Cu}}}{\Delta_1} \sin^2 \theta \cos^2 \phi + \frac{2\xi_{\text{Cu}}}{\Delta_2} (\sin^2 \phi + \cos^2 \theta \cos^2 \phi),$$
(6.21)

$$\delta g_{yy} = \frac{8\tilde{\xi}_{Cu}}{\Delta_1}\sin^2\theta\sin^2\phi + \frac{2\tilde{\xi}_{Cu}}{\Delta_2}(\cos^2\phi + \cos^2\theta\sin^2\phi),$$
(6.22)

$$\delta g_{xy} = \delta g_{yx} = \left(\frac{8\tilde{\xi}_{\text{Cu}}}{\Delta_1} - \frac{2\tilde{\xi}_{\text{Cu}}}{\Delta_2}\right)\sin^2\theta\sin\phi\cos\phi,$$
(6.23)

$$\delta g_{xz} = \delta g_{zx} = \left(\frac{8\tilde{\xi}_{Cu}}{\Delta_1} - \frac{2\tilde{\xi}_{Cu}}{\Delta_2}\right)\sin\theta\cos\theta\cos\phi,$$
(6.24)

$$\delta g_{yz} = \delta g_{zy} = \left(\frac{8\xi_{\text{Cu}}}{\Delta_1} - \frac{2\xi_{\text{Cu}}}{\Delta_2}\right)\sin\theta\cos\theta\sin\phi.$$
(6.25)

Again  $\overline{\delta g}$  and  $\overline{|\delta g|}$  defined earlier are invariant with respect of any orientation change,

$$\overline{\delta g} = \frac{8\tilde{\xi}_{\rm Cu}}{3\Delta_1} + \frac{4\tilde{\xi}_{\rm Cu}}{3\Delta_2},\tag{6.26}$$

$$\overline{|\delta g|} = \sqrt{\left(\frac{8\tilde{\xi}_{\rm Cu}}{\Delta_1}\right)^2 + 2\left(\frac{2\tilde{\xi}_{\rm Cu}}{\Delta_2}\right)^2}.$$
 (6.27)

In crystalline CuPc, when the magnetic field is along the normal of the molecular plane, the *g*-factor change is

$$\delta g_{zz} = \frac{8\xi_{\rm Cu}}{\Delta_1}.\tag{6.28}$$

When the magnetic field is in the molecular plane, the *g*-factor change is

$$\delta g_{xx} = \delta g_{yy} = \frac{2\xi_{\rm Cu}}{\Delta_2}.\tag{6.29}$$

The experimental values are  $\delta g_{zz} = 0.164$  and  $\delta g_{xx} = 0.05$ .<sup>30</sup>



FIG. 7. (Color online) g-factor deviation as a function of  $\theta$  for the electron (upper panel) and hole (lower panel) polarons in benzene.  $\theta$  is defined as in Fig. 1. Diamonds, up triangles, and down triangles correspond to  $\delta g_{zz}$ ,  $\delta g_{xx}$ , and  $\delta g_{yy}$ , respectively. Circles and squares represent  $\overline{\delta g}$  and  $|\overline{\delta g}|$ , respectively.



FIG. 8. (Color online) *g*-factor as a function of the torsion angle in twisted biphenyl for the electron (upper panel) and hole (lower panel) polarons. Diamonds, up triangles, and down triangles correspond to  $\delta g_{zz}$ ,  $\delta g_{xx}$ , and  $\delta g_{yy}$ , respectively. Circles and squares represent  $\overline{\delta g}$  and  $|\overline{\delta g}|$ , respectively.

For disordered CuPc films, the averaged g-factor deviations are

$$\overline{\delta g_{zz}} = \overline{\delta g_{xx}} = \overline{\delta g_{yy}} = \overline{\delta g} \simeq 0.088, \qquad (6.30)$$

and  $\overline{|\delta g|} \simeq 0.174$ .

# C. Real organic materials

Using the polaron eigenstates from first principles, Eqs. (2.19) and (2.20), we can calculate the *g*-factor deviation in representative organics via

$$\delta g_{pq} = -2\sum_{k\neq 0} \frac{\langle \psi_0 | \sum_i \xi_i l_{ip} | \psi_k \rangle \langle \psi_k | \sum_j l_{jp} | \psi_0 \rangle}{E_k - E_0}, \quad (6.31)$$

and determine  $\overline{\delta g}$  and  $\overline{|\delta g|}$ .

To test this approach, we first examine  $\delta g_{pq}$  for the electron and hole polaron states in benzene as a function of the molecular orientation  $\theta$  and display the results in Fig. 7. It is shown that while  $\delta g_{qq}$  depends on the molecular orientation, both  $\overline{\delta g}$  and  $\overline{|\delta g|}$  are independent of  $\theta$  and the ratio of their magnitudes is close to  $\sqrt{2}/3$  as predicted from Eqs. (6.15) and (6.16). Thus one can reliably estimate the *g*-factor deviation in a disordered organic material from first-principles calculations.

We also study the *g*-factor deviation as a function of torsion angle in a twisted biphenyl. We see from Fig. 8 that the averaged  $\overline{\delta g}$  is virtually independent of the torsion angle  $\theta$ except at the angle where the singularity in SOC takes place. The overall change in  $\delta g_{qq}$  over the entire range of  $\theta$  is very small compared to the change in  $\gamma^2$ , as suggested in Eq. (6.17).

We then carry out first-principles calculations on real organic molecules. The theoretical values of the disordered organics and the corresponding experimental values in literature are listed in Table IV. For Alq<sub>3</sub>, the obtained g-factor deviation is an average over the HOMO and HOMO  $\pm 1$  levels for the electron (hole) polaron because the two levels are almost degenerate in energy. The agreement between theory and experiment is overall good with a relatively large discrepancy in rubrene than in other materials. The agreement for Alq<sub>3</sub> is particularly encouraging, for the experimental data of Alq<sub>3</sub> are measured in device structures under working conditions.<sup>43</sup> The g-factor changes due to the SOC in organics are generally small, and similar in amplitude except those involving transition-metal ions like Cu, where the g-factor deviation is large. It is worth pointing out that the g-factor deviation in Alq<sub>3</sub> is minute compared to its large spin admixture  $\gamma^2$ . This contrast confirms that g-factor underestimates the SOC and therefore is not a good measure of the spin mixing in  $\pi$ -conjugated organics.

## VII. SUMMARY

We present a comprehensive study of the SOC in  $\pi$ conjugated organic materials and its effects on the spinrelaxation time, spin-diffusion length, and g factor. To adequately describe the SOC in  $\pi$ -conjugated organics, one must explicitly include  $\sigma$  orbitals in addition to  $\pi$  orbitals. The major effect of the SOC in  $\pi$ -conjugated organics is that it mixes upand down-spin states, and in the context of spintronics, can be characterized by an admixture parameter in the electron and

TABLE IV. g-factors deviation  $\overline{\delta g}$  and  $\overline{|\delta g|}$  for the electron and hole polarons in various organics. The experimental values are for organics in the form of disordered films.

Material	electron $\overline{\delta g}$ ( $\overline{ \delta g }$ )	$\exp\left(g-g_e\right)$	hole $\overline{\delta g}$ ( $\overline{ \delta g }$ )	$\exp\left(g-g_e\right)$
benzene	0.00035 (0.00078)	0.0005 <sup>41</sup>	0.0005 (0.0012)	0.0002 <sup>42</sup>
Alq <sub>3</sub>	0.00075 (0.00347)	$0.0009^{43}$	0.0017 (0.0032)	0.001943
MEH-PPV	0.00046 (0.00100)	$0.0005^{44}$	0.0013 (0.0036)	
T <sub>6</sub>	0.0026 (0.0057)	$0.006^{45}$	0.00078 (0.00174)	$0.0008^{46}$
PANI	0.00039 (0.00086)		0.00065 (0.0014)	
PPP	0.00044 (0.00098)		0.00045 (0.0011)	
C <sub>60</sub>	0.00041 (0.00074)	$-0.00098^{47}$	0.00043 (0.00099)	0.000348
rubrene	0.00045 (0.00093)		0.00048 (0.00096)	0.0034 <sup>49</sup>
CuPc	0.088 (0.174)		0.088 (0.174)	
PTCDIC4F7	0.0013 (0.0025)		0.00015 (0.0010)	
PPy	0.00036 (0.00077)		0.00043 (0.0010)	
•	. ,			

hole polaron states. The admixture parameters in individual organics can be systematically evaluated from first-principles calculations. Among commonly used  $\pi$ -conjugated organics for spintronic application, the spin admixture parameters can differ by orders of magnitude just like in inorganic materials, indicating that caution must be taken when making general statements on the SOC in organics. Molecular geometry fluctuations, which depend on sample preparation, are found to have a strong effect on the spin mixing. This may explain that many spin-dependent experiments in organic materials are not always reproducible by different groups.

The spin mixing due to the SOC effects spin flips as polarons hop from one molecule to another, giving rise to spin relaxation and diffusion. Thus, in disordered organic solids, the spin lifetime would become longer if the carrier mobility is reduced, which is opposite to the EY spin-relaxation mechanism in crystalline semiconductors and metals, where the spin lifetime is proportional to the materials mobility, although the EY mechanism also originates from the SOCinduced spin mixing. Another interesting finding is that the spin-diffusion length in organics is largely independent of the carrier mobility and essentially controlled by the spin admixture parameter, suggesting that the spin-diffusion length cannot be greatly enhanced by improving the carrier mobility.

An electric field can significantly affect spin transport in the hopping regime, leading to upstream and downstream spin-diffusion lengths. This effect can be used to control spin transport in organic spintronic devices and may be responsible for the ubiquitous strong bias dependence of MR observed in OSVs.

The presence of SOC modifies the g factor of the polaron states from its free-electron value. The g-factor deviation in organics, however, includes only the spin mixing at different orbitals and therefore tends to underestimate the SOC in organics. In particular, the g-factor deviation is not sensitive to the molecular geometry fluctuations, which mainly affect the spin mixing within the same orbital.

The SOCs in Alq<sub>3</sub> and in CuPc are particularly strong, due to the orthogonal arrangement of the three ligands in the former and Cu 3*d* orbitals in the latter. The spin-diffusion lengths in these systems are directly measured by muon spin rotations for Alq<sub>3</sub> and spin-polarized two-photon photoemission for CuPc. Both experiments are quantitatively explained by the SOCinduced spin diffusion.

The other important interaction that influences electron spins in organics is the HFI. The relative importance of the SOC and HFI in organics may vary from material to material, as indicated by the presence and absence of the isotope effect in various organics. A quantitative study of the HFI and its effect on spin-dependent properties in organics would help understand the relative importance of SOC and HFI in individual organic materials and design organic spintronic structures exploiting these interactions.

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## APPENDIX A: QUASI-UP- AND DOWN-SPIN STATES

Here, we show that  $|+'\rangle$  in Eq. (2.8) has the maximal expectation value of the spin operator  $\hat{\sigma}_z$ . An arbitrary linear combination of  $|+'\rangle$  and  $|-'\rangle$  can be generally written as

$$|\theta\rangle = \cos\frac{\theta}{2}|+'\rangle + \sin\frac{\theta}{2}e^{-i\phi}|-'\rangle,$$
 (A1)

and the spin expectation value is

$$p_{\theta} \equiv \langle \theta | \hat{\sigma}_{z} | \theta \rangle = \cos \theta \left( 1 - \frac{\xi^{2}}{2\Delta^{2}} \cos^{2} \theta_{1} \right) + \sin \theta \cos(\phi_{1} - \phi) \left( - \frac{\xi^{2}}{2\Delta^{2}} \sin \theta_{1} \cos \theta_{1} \right),$$
(A2)

where we have used the matrix elements

$$\langle +'|\hat{\sigma}_{z}|-'\rangle = \langle -'|\hat{\sigma}_{z}|+'\rangle^{*} = -\frac{\xi^{2}}{2\Delta^{2}}\sin\theta_{1}\cos\theta_{1}e^{i\phi_{1}}.$$
 (A3)

To find the maximum of  $p_{\theta}$ , we determine  $\theta$  and  $\phi$  by solving  $\partial p_{\theta} / \partial \phi = \partial p_{\theta} / \partial \theta = 0$ . We obtain

$$\phi = \phi_1, \quad \tan \theta = \frac{-\frac{\xi^2}{2\Delta^2} \sin \theta_1 \cos \theta_1}{1 - \frac{\xi^2}{2\Delta^2} \cos^2 \theta_1} \simeq -\frac{\xi^2}{2\Delta^2} \sin \theta_1 \cos \theta_1,$$
(A4)

and the corresponding maximum of  $p_{\theta}$  is

$$p_{\theta} = \cos\theta \left( 1 - \frac{\xi^2}{2\Delta^2} \cos^2\theta_1 \right) + \sin\theta \left( -\frac{\xi^2}{2\Delta^2} \sin\theta_1 \cos\theta_1 \right),$$
(A5)

which, to the second order of  $\xi/\Delta$ , is

$$p_{\theta} = 1 - \frac{\xi^2}{2\Delta^2} \cos^2 \theta_1 \equiv p_+.$$
 (A6)

Similarly,  $p_{-}$  is the largest spin expectation value along the -z direction. Thus one can regard  $|+'\rangle (|-'\rangle)$  as the quasi-up-(down-)spin state.

## APPENDIX B: SU(2) INVARIANCE OF SPIN-FLIP HOPPING RATE

The spin-flip hopping rate is independent of any linear combination, or equivalently, an SU(2) rotation of the eigenstate  $|\pm\rangle$ . We denote the four eigenstates,  $|\pm'\rangle$  and  $|\pm''\rangle$ , after a rotation, become (1',2') in the first molecule and (1'',2'') in the other. The new hopping matrix becomes

$$\begin{pmatrix} V_{1''1'} & V_{1''2'} \\ V_{2''1'} & V_{2''2'} \end{pmatrix} = \begin{pmatrix} \cos\frac{\theta}{2} & \sin\frac{\theta}{2}e^{i\phi} \\ -\sin\frac{\theta}{2}e^{-i\phi} & \cos\frac{\theta}{2} \end{pmatrix} \begin{pmatrix} V_{+''+'} & V_{+''-'} \\ V_{-''+'} & V_{-''-'} \end{pmatrix} \times \begin{pmatrix} \cos\frac{\theta}{2} & -\sin\frac{\theta}{2}e^{i\phi} \\ \sin\frac{\theta}{2}e^{-i\phi} & \cos\frac{\theta}{2} \end{pmatrix}$$
(B1)

The new splin-flip hopping probability is

$$\overline{|V_{1''2'}|^2} = \cos^4 \frac{\theta}{2} \overline{|V_{+''-'}|^2} + \sin^4 \frac{\theta}{2} \overline{|V_{-''+'}|^2} + \sin^2 \frac{\theta}{2} \cos^2 \frac{\theta}{2} \overline{|V_{+''+'} - V_{-''-'}|^2} = \overline{|V_{+''-'}|^2}, \quad (B2)$$

where we have used  $\overline{|V_{+''+'} - V_{-''-'}|^2} = 2\overline{|V_{+''-'}|^2} = 4\overline{V_z^2}.$ 

# APPENDIX C: AVERAGE OVER MOLECULAR ORIENTATIONS FOR 3d ORBITALS

The spin-flip hopping matrix element between 3d orbitals of two different sites can be expressed in terms of the rotational matrix  $D_{mm'}^{(2)}(\tilde{\alpha},\tilde{\beta},\tilde{\gamma})$ , where  $\tilde{\alpha},\tilde{\beta},\tilde{\gamma}$  are three Euler angles. For example, the hopping matrix element between  $d_{z^2}$  at two different site is

$$\langle d_{z^2}^{\prime\prime} | V | d_{z^2}^{\prime} \rangle = D_{00}^{(2)}(\tilde{\alpha}, \tilde{\beta}, \tilde{\gamma}) V_0.$$
 (C1)

Using the properties of  $D_{mm'}^{(2)}$ ,<sup>33</sup>

$$\overline{D_{m_1m_2}^{(2)*}(\tilde{\alpha},\tilde{\beta},\tilde{\gamma})D_{n_1n_2}^{(2)}(\tilde{\alpha},\tilde{\beta},\tilde{\gamma})} = \delta_{m_1n_1}\delta_{m_2n_2} \left| D_{m_1m_2}^{(2)}(\tilde{\alpha},\tilde{\beta},\tilde{\gamma}) \right|^2 = \delta_{m_1n_1}\delta_{m_2n_2} \frac{1}{5},$$
(C2)

we obtain

$$\overline{|\langle d'_{x^2-y^2}|V|d''_{xy}\rangle|^2} = \frac{V_0^2}{4} \left( \overline{|D_{22}^{(2)}|^2} + \overline{|D_{2-2}^{(2)}|^2} + \overline{|D_{-22}^{(2)}|^2} + \overline{|D_{-2-2}^{(2)}|^2} \right) = \frac{1}{5} V_0^2, \tag{C3}$$

$$\overline{|\langle -1'|V|d_{x^2-y^2}'\rangle|^2} = \frac{V_0^2}{2} \left( \overline{|D_{-12}^{(2)}|^2} + \overline{|D_{-1-2}^{(2)}|^2} \right) = \frac{1}{5} V_0^2, \tag{C4}$$

$$\overline{|\langle d'_{xy}|V|1''\rangle|^2} = \frac{V_0^2}{2} \left( \overline{|D_{21}^{(2)}|^2} + \overline{|D_{-21}^{(2)}|^2} \right) = \frac{1}{5} V_0^2.$$
(C5)

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