

# Chemical stability of the magnetic oxide EuO directly on silicon observed by hard x-ray photoemission spectroscopy

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We present a detailed study of the electronic structure and chemical state of high-quality stoichiometric EuO and O-rich  $\text{Eu}_1\text{O}_{1+x}$  thin films grown directly on silicon without any buffer layer using hard x-ray photoemission spectroscopy (HAXPES). We determine the EuO oxidation state from a consistent quantitative peak analysis of  $4f$  valence band and  $3d$  core-level spectra. The results prove that nearly ideal, stoichiometric, and homogeneous EuO thin films can be grown on silicon, with a uniform depth distribution of divalent Eu cations. Furthermore, we identify the chemical stability of the EuO/silicon interface from Si  $2p$  core-level photoemission. This work clearly demonstrates the successful integration of high-quality EuO thin films directly on silicon, opening up the pathway for the future incorporation of this functional magnetic oxide into silicon-based spintronic devices.

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## I. INTRODUCTION

One major challenge in present-day spintronics research is the efficient electrical injection and detection of spin-polarized electrons in semiconductors (SCs). The most promising strategy is to integrate materials with large spin polarization with a SC, e.g., silicon, thus ultimately permitting the addition of the spin dimension to conventional electronic devices.<sup>1</sup> Owing to its ability to generate almost fully spin-polarized currents,<sup>2</sup> the magnetic oxide europium oxide (EuO) has recently been revisited as a material with outstanding potential for spintronics.<sup>3–6</sup> The intriguing coexistence of magnetic and insulating properties—although at low temperatures—makes EuO “spin-filter” tunnel barriers highly interesting for solving the long-standing conductivity mismatch problem of spin injection into semiconductors. Besides, the structural compatibility and predicted chemical stability with silicon<sup>7</sup> should allow for a seamless integration of EuO with this mainstay of semiconductors—opening up the perspective to add spin functionality into oxide-based spintronics devices.

Although an exhaustive body of work on the physical properties of EuO bulk single crystals has been compiled since the 1970s,<sup>8</sup> high-quality EuO thin films have been little investigated to date. One reason is that EuO is a notoriously difficult system when it comes to preparing stoichiometric and single crystalline thin films. This is due to the instantaneous reactivity of this metastable compound toward higher, nonmagnetic oxides, e. g.,  $\text{Eu}_2\text{O}_3$ , under excess oxygen atmosphere. Stoichiometric EuO is a SC with a band gap of 1.12 eV (Ref. 9) and orders ferromagnetically below a Curie temperature of  $T_C = 69.3$  K.<sup>10</sup> Its strong magnetism ( $M_S = 7.0 \mu_B/\text{Eu}^{2+}$ ) arises from the half-filled  $4f$  states, which lie  $\sim 2$  eV below the Fermi level and constitute the top of the valence bands, as confirmed by a recent low-energy

angle-resolved photoemission study<sup>11</sup> and calculations in the LSDA +  $U$  approximation<sup>9</sup> (see Fig. 1).

Despite its tremendous potential for spintronics, the direct stabilization of stoichiometric EuO thin films on silicon without any intermediate buffer layer has not been reported to date, and a detailed picture of the valence state of Eu and the electronic structure depending on the chemical composition is missing. A major reason is the difficulty to probe this highly reactive compound by conventional surface-sensitive photoelectron spectroscopy, given the necessity for a protective capping layer. In response to the need for a bulk-sensitive electronic structure probe, hard x-ray photoelectron spectroscopy (HAXPES) was recently developed as the high-photon energy variant of the well-established photoemission technique, where the photoelectron energy is extended up to several keV.<sup>12,13</sup> The average depth of photoelectron emission is primarily controlled by the inelastic mean free path (IMFP) which is found to increase as about  $(E_{\text{kin}})^{3/4}$  for electron energies exceeding about 1 keV, and thus reaches up to typically 10 nm at 10 keV for most systems.<sup>14,15</sup> This not only allows direct access to the bulk electronic structure of buried films, but also enables a depth profiling of their chemical homogeneity, which is not possible with conventional photoelectron spectroscopy (PES) at 1 keV or less.

In this work, we report a detailed study on the valence states and electronic structure of thin EuO films on silicon substrates using HAXPES. In particular, we demonstrate the successful chemical stabilization of stoichiometric EuO thin films grown directly on silicon without any buffer layer (see Fig. 2). We present hard x-ray photoemission spectroscopy experiments of EuO compounds with different chemical ground states, i. e., stoichiometric EuO and off-stoichiometric oxygen-rich  $\text{Eu}_1\text{O}_{1+x}$ , and carefully determine the Eu valence state via a quantitative analysis of core-level and valence spectra. We

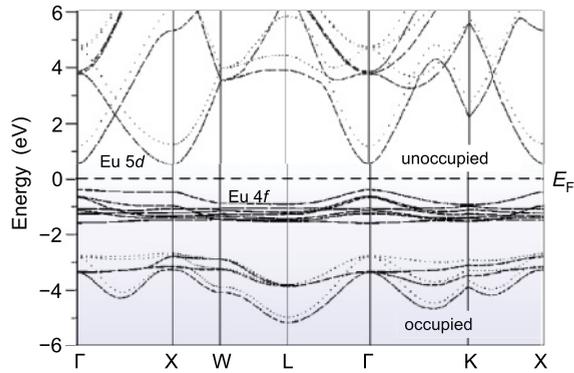


FIG. 1. Band structure of EuO calculated in the LSDA +  $U$  approximation. The top of the Eu  $4f$  valence band and the Eu  $5d$  conduction band are indicated. Adapted from Ghosh *et al.*<sup>9</sup>

performed depth-sensitive measurements and extracted the Eu valency in the bulk and interface regions, confirming that nearly ideal, homogeneous, and stoichiometric thin films of the magnetic oxide EuO can be grown on silicon, without any traces of interfacial silicon dioxide formed at the EuO/Si interface.

## II. EXPERIMENTAL DETAILS

The EuO compounds investigated in this study comprised (i) stoichiometric EuO and (ii) oxygen-rich  $\text{Eu}_1\text{O}_{1+x}$  films grown by molecular beam epitaxy (MBE) under ultrahigh vacuum (UHV) conditions with a base pressure of  $p_{\text{base}} \leq 1 \times 10^{-10}$  mbar. Si(001) substrates were etched in diluted hydrofluoric (HF) acid in order to remove the native  $\text{SiO}_2$  layer and to prepare a H-passivated surface. For the EuO synthesis, europium metal (99.99%) was e-beam evaporated with a constant Eu flux density  $\varphi_{\text{Eu}} = 2.8 \times 10^{13}$  atoms/cm<sup>2</sup>s. Molecular oxygen gas (99.998%) was simultaneously supplied through a differentially pumped oxygen line equipped with a leak valve, and its pressure was monitored by both an ion

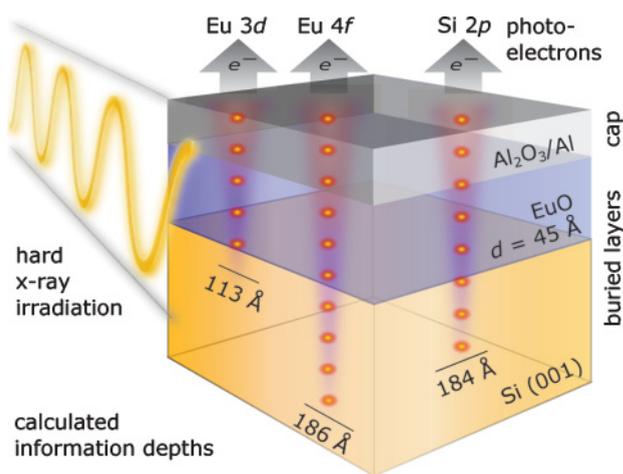


FIG. 2. (Color online) Schematics of the bulk-sensitive hard x-ray photoemission (HAXPES) experiment of a Al/EuO/silicon heterostructure. The calculated information depths for core level and valence band photoelectrons are indicated by arrows for normal emission ( $0^\circ$ ) geometry.

gauge and mass spectrometer. A precise control of Eu flux and oxygen partial pressure was maintained during the entire growth process in order to stay within the narrow parameter range where stoichiometric EuO can be stabilized. Taking advantage of the distillation condition bawering excess Eu during the oxide growth,<sup>16,17</sup> we fabricated 45-Å-thick EuO films with different stoichiometries (i) and (ii) using a constant  $\text{O}_2$  partial pressure in the range  $p_{\text{ox}}^{\text{partial}} = 2-4 \times 10^{-9}$  mbar at an elevated substrate temperature  $T_s = 350^\circ\text{C}$ . This yielded polycrystalline EuO as verified by x-ray diffraction. Details of the structural characterization will be reported elsewhere. Finally, the EuO samples were capped by a 40-Å-thick Al film to prevent oxidation in air. In order to account for the high reactivity of EuO, high-vacuum conditions were persistently maintained during sample storage and transport.

HAXPES experiments were performed at the undulator beamline P09 at PETRA III (DESY, Hamburg) using a UHV chamber equipped with a multichannel hemispherical electron energy analyzer (SPECS Phoibos 225 HV). The excitation energy was set to 4.2 keV, resulting in kinetic energies larger than 3 keV for the Eu  $3d$  photoemission lines, which is sufficient to obtain the required depth sensitivity. It is also noted that at these electron energies there are no interferences with Auger cascades from the Al (cap layer) and Si (substrate) K shell as well as from the Eu M shell. The total energy resolution at this photon energy was 500 meV using a Si(111) monochromator, and all spectra were recorded at room temperature. The binding energy scale was calibrated to the metallic Fermi edge of an Au foil in electrical contact with the sample, while the samples were grounded and no effects of charging have been observed during the measurements. In the geometry chosen for this experiment, the electron analyzer accepts emitted electrons at  $45^\circ$  relative to the incoming photons, and angle-dependent spectra in the horizontal plane were measured by rotating the sample around an axis vertical to the plane of x-ray incidence and photoelectron acceptance in order to take advantage of angle-resolved photoemission spectroscopy (ARPES) for varying depth sensitivity. In ARPES, the mean depth of electron emission varies as  $\cos(\alpha)$ , with  $\alpha$  being the off-normal emission angle.<sup>18</sup> Shirley-type backgrounds were subtracted from the raw spectra to account for inelastic scattering of the photoelectrons. In the following, we refer to the effective photoelectron information depth  $D_z$  as the depth  $z$  down to which 90% of the photoelectrons are emitted. In a consistent calculation, we determined  $D_z$  for our heterostructure ( $\text{Al}_2\text{O}_3^{\text{nm}}/\text{Al}^{\text{nm}}/\text{EuO}^{4.5\text{ nm}}/\text{Si}$ ) by taking into account the material-specific electron escape depth  $\lambda_{\text{eff}}$  and an exponential damping factor  $\exp(-z/\lambda_{\text{eff}})$  in each layer.<sup>15,19</sup> For  $4f$  photoelectrons emitted in normal emission (“ne”) and  $43^\circ$  off-normal emission (“oe”) geometry, respectively, we estimate  $D_{4f}^{\text{ne}} = 18.6$  nm and  $D_{4f}^{\text{oe}} = 13.4$  nm, whereas the Eu  $3d$  photoelectrons yield the information depths  $D_{3d}^{\text{ne}} = 11.3$  nm and  $D_{3d}^{\text{oe}} = 7.6$  nm, as indicated schematically in Fig. 2.

## III. MAGNETIC PROPERTIES OF EUO/SILICON

With the aim to corroborate the electronic structure analysis by magnetization ( $M$ ) measurements, in a first step, we investigated the magnetic properties of both types of EuO/Si heterostructures (i) and (ii) using a Quantum Design superconducting quantum interference device (SQUID) magnetometer.

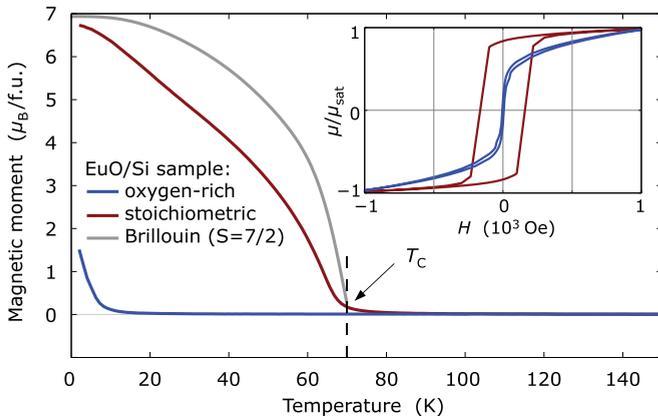


FIG. 3. (Color online) Temperature-dependent magnetization of (i) stoichiometric EuO and (ii) O-rich  $Eu_1O_{1+x}$  thin films on Si(001). The inset shows the normalized magnetization  $\mu/\mu_{sat}$  vs applied field  $H$  for sample types (i) and (ii).

Figure 3 shows the  $M(T)$  and  $M(H)$  characteristics for (i) stoichiometric EuO and (ii) oxygen-rich  $Eu_1O_{1+x}$  thin films on silicon. In stoichiometric EuO,  $M(T)$  roughly follows a Brillouin function with spin angular momentum  $S = 7/2$ .<sup>8</sup> We determined a strong magnetic saturation moment of  $M_S = 6.7 \mu_B$ , which is just slightly reduced from the bulk value of  $7 \mu_B$  per  $Eu^{2+}$  expected for a  $4f^7$  system. Likewise, the normalized  $M(H)$  characteristics taken at 2 K (see inset of Fig. 3) displays a clear squarelike ferromagnetic hysteresis with a coercive field of  $H_c \approx 100$  Oe, indicative of the high magnetic quality of the (i) stoichiometric EuO/Si(001) heterostructure.

For oxygen-rich EuO, in contrast, the  $M(T)$  curve in Fig. 3 is almost completely suppressed. Consequently, a strongly reduced magnetic saturation moment  $M_S = 1.5 \mu_B$  is determined at 2 K, which is due to the large fraction of antiferromagnetic  $Eu^{3+}$  cations in  $Eu_2O_3$  that instantaneously forms under oxygen excess. This dominating antiferromagnetic behavior is also reflected in the  $M(H)$  curve of oxygen-rich EuO in the inset of Fig. 3 in which a hysteretic behavior is hardly identified. Remarkably, the precisely controlled oxygen partial pressure supplied during EuO synthesis differs by only  $2 \times 10^{-9}$  mbar for EuO/Si samples (i) and (ii), this in thus being an extremely important parameter in determining the quality of the EuO films.

#### IV. HAXPES: Eu 4f VALENCE BANDS

The most direct information on the oxidation state of Eu compounds is obtained from the 4f photoemission. Due to the highly localized character of the 4f valence band with a dispersion of up to only 0.3 eV,<sup>9,20</sup> hybridization with other ligand states is weak, and photoemission from the deeper bound oxygen 2p valence band becomes well distinguishable. In contrast to core-level spectroscopy, also final-state screening effects of the 4f photohole should play a less important role. Depending on the  $Eu_1O_{1+x}$  stoichiometry, Eu cations will be either in a divalent  $Eu^{2+}$  initial state with half filled  $4d^{10} 4f^7$  shell and a ferromagnetic moment of  $7.0 \mu_B$ , or exist as trivalent  $Eu^{3+}$  occupying a  $4d^{10} 4f^6$  level, which is chemically shifted toward higher binding energies by reduced Coulomb

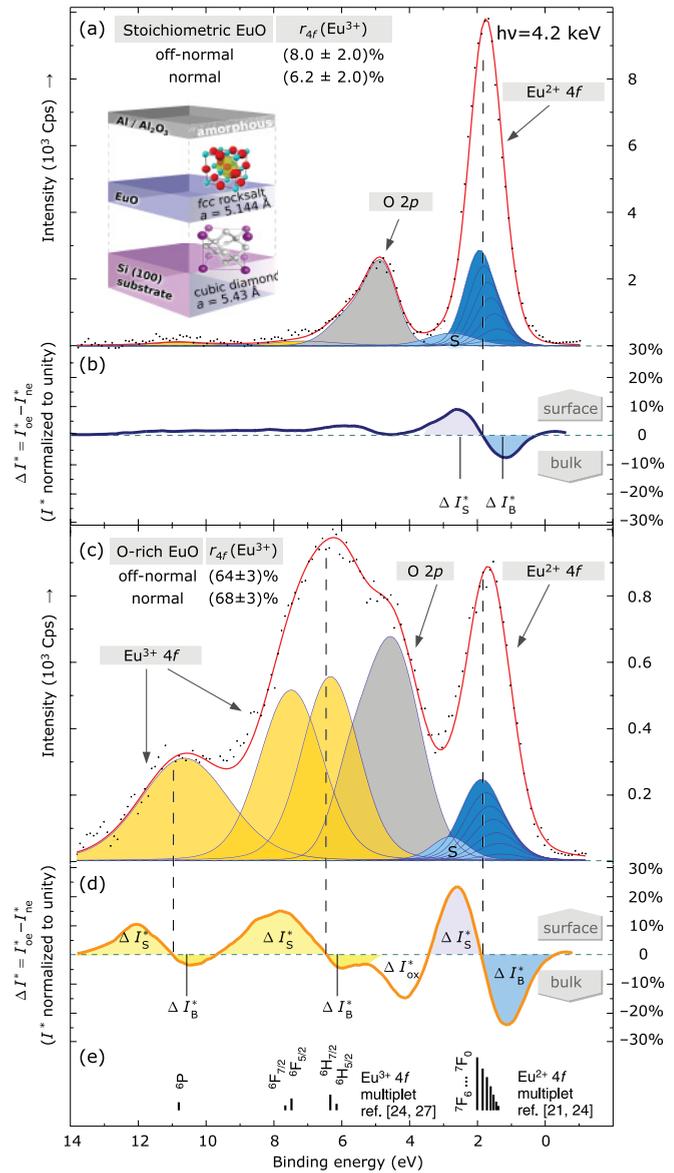


FIG. 4. (Color online) 4f photoemission spectra of (a) stoichiometric EuO and (c) O-rich EuO on Si recorded at  $h\nu = 4.2$  keV in normal emission geometry. The spectra (dots) are fitted well by Gaussian-Lorentzian lines (solid curves) related to emission from divalent and trivalent Eu cations. Panels (b) and (d) show the difference curves of normal and off-normal emission for the normalized 4f spectra.

repulsion and couples antiferromagnetically; this  $4f^6$  configuration will exhibit more complex final-state multiplet effects that have been studied elsewhere.<sup>21</sup>

Figures 4(a) and 4(c) depict 4f photoemission of both EuO compounds (i) and (ii), respectively, recorded in normal emission geometry. A pronounced peak centered at 1.8 eV below  $E_F$  is observed in both spectra, which can be clearly identified as due to electron emission from the divalent  $Eu^{2+}$  initial state with  $4f^7 \rightarrow 4f^6$  final-state configuration. The peak shape agrees well with the calculated divalent Eu 4f multiplet depicted in Fig. 4(e).<sup>22</sup> In Fig. 4(a), a broad spectral contribution centered at  $\sim 4.8$  eV below  $E_F$  is assigned to the

TABLE I. Binding energies  $E_B$ , surface shifts  $\delta_S$ , and  $\text{Eu}^{3+}$  valency ratios  $r^{\text{Eu}^{3+}}$  for (i) EuO and (ii)  $\text{Eu}_1\text{O}_{1+x}$ .

Eu $4f$		$E_B(\text{Eu}^{2+})$	$\delta_S(\text{Eu}^{2+})$	$E_B(\text{Eu}^{3+})$	$\delta_S(\text{Eu}^{3+})$	$r_{4f}^{\text{Eu}^{3+}}$						
EuO		1.8 eV	1.2 eV	7.0–11.1 eV	<sup>a</sup>	$7.4 \pm 1.2\%$						
$\text{Eu}_1\text{O}_{1+x}$		1.64 eV	1.3 eV	7.0–11.1 eV	1.6 eV	$66 \pm 2\%$						
Eu $3d$		$E_B(\text{Eu}^{2+})$		$\delta_S(\text{Eu}^{2+})$		$E_B(\text{Eu}^{3+})$	$\delta_S(\text{Eu}^{3+})$		$r_{3d}^{\text{Eu}^{3+}}$			
$j$	$\frac{5}{2}$	$\frac{3}{2}$	SO	$\frac{5}{2}$	$\frac{3}{2}$	$\frac{5}{2}$	$\frac{3}{2}$	SO	$\frac{5}{2}$	$\frac{3}{2}$		
EuO	1124.9 eV	1154.1 eV	29.2 eV	1.4 eV	1.4 eV	1134.7 eV	1164.4 eV	29.7 eV	<sup>a</sup>		4.1%	3.2%
$\text{Eu}_1\text{O}_{1+x}$	1125.0 eV	1154.3 eV	29.3 eV	2.1 eV	2.9 eV	1134.8 eV	1164.5 eV	29.7 eV	2.0 eV	2.4 eV	59%	49%

<sup>a</sup>This result cannot be determined for the particular peaks due to their low intensity.

emission from O  $2p$  states, which originate from both EuO and  $\text{Al}_2\text{O}_3$ . The absence of a second multiplet in the higher binding energy region in Fig. 4(a) indicates that the Eu cations in compound (i) are mainly of divalent valency.

In Fig. 4(c), in contrast, the  $4f$  spectrum of the EuO compound (ii) shows a large additional multiplet structure in the binding energy region from 5–13 eV below  $E_F$ , which corresponds to the  $4f^6 \rightarrow 4f^5$  final-state multiplet of trivalent  $\text{Eu}^{3+}$ . This broad final-state structure is observed in accordance with previous experimental works on  $\text{Eu}^{3+}$  compounds<sup>23–26</sup> and calculated multiplet lines<sup>21,27</sup> [see Fig. 4(e)]. The contribution of the overlapping O  $2p$  peak has significantly increased. From the presence of both  $4f^6$  and  $4f^5$  final-state multiplets, we anticipate that the initial-state valency of Eu in compound (ii) is of mixed divalent and trivalent nature.

In order to quantify the initial-state valency of both EuO compounds (i) and (ii), we determine the spectral contributions of divalent ( $n = 7$ ) and trivalent ( $n = 6$ ) Eu cations from the respective  $4f^{n-1}$  peak intensities. However, the EuO surface electronic structure may significantly differ from that of bulk EuO, due to changes in local atomic geometry or coordination in direct proximity to the  $\text{Al}_2\text{O}_3$  capping layer. Consequently, a photoemission peak may be composed of surface-like and bulk contributions, shifted in binding energy  $E_B$  by  $\delta_S = E_B^{\text{surface}} - E_B^{\text{bulk}}$ . To discriminate between bulk and surface-like emission, one has to substantially vary the depth sensitivity of the HAXPES experiment. This has been achieved by recording spectra in normal emission and  $43^\circ$  off-normal emission geometry. From our calculated energy and angle-dependent information depths  $D_{4f}$  of photoelectrons,<sup>15,19</sup> an enhanced surface sensitivity of 30% for  $4f$  photoemission in oe geometry compared to the bulk-sensitive ne geometry can be expected.

Depth-dependent information can be extracted from Figs. 4(b) and 4(d), which display the difference intensity curves of the normalized and background-corrected  $4f$  spectra recorded in oe and ne geometries,  $\Delta I_{4f}^* = I_{\text{oe}}^* - I_{\text{ne}}^*$ . From these plots, modifications in spectral weight of the Eu  $4f$  final states originating from the changed surface sensitivity between oe and ne geometries are illustrated. In particular,  $\Delta I^* < 0$  ( $> 0$ ) refers to contributions from bulk ( $I_B^*$ ) [surface ( $I_S^*$ )] emission. In Figs. 4(b) and 4(d), for both EuO compounds (i) and (ii), we observe a redistribution in peak intensity within the divalent  $\text{Eu}^{2+} 4f^6$  final state from the lower binding energy side ( $-\Delta I_B^*$ ) toward the higher binding energy side ( $+\Delta I_S^*$ ). This result suggests that the  $4f^6$  final-state multiplet of both EuO compounds is composed of a bulk component  $B$ , located

at a binding energy of  $E_B \cong 1.6$  eV, and a surface component  $S$  shifted toward higher binding energy. The  $\text{Eu}^{2+} 4f$  binding energy shifts for the EuO compounds (i) and (ii) amount to  $\delta_S^{(i)} = 1.2$  eV and  $\delta_S^{(ii)} = 1.3$  eV, respectively. A quantitative analysis of the  $\text{Eu}^{3+} 4f$  interface shift is impeded by the overlap with the O  $2p$  states.

Proceeding with the quantitative analysis of the  $4f$  photoemission spectra in Figs. 4(a) and 4(c), the line shapes of the  $4f^{n-1}$  multiplets were fitted using convoluted Gaussian-Lorentzian curves. Three tunable parameters were employed for least-squares fitting, namely, the energy separation between the divalent and trivalent Eu contributions, their intensity ratio and the spectral width (FWHM). For  $\text{Eu}^{2+}$  peak fitting, a multiplet fine structure was employed in accordance with theoretical calculations in Ref. 28. The result of the fitting procedure is summarized in Table I and shown by the solid curves in Figs. 4(a) and 4(c), which match the experimental data points very well. From the integrated spectral intensities  $A$  of the  $\text{Eu}^{2+}$  and  $\text{Eu}^{3+}$  components, we derive the relative fraction of trivalent Eu as  $r^{\text{Eu}^{3+}} = A^{\text{Eu}^{3+}} / (A^{\text{Eu}^{2+}} + A^{\text{Eu}^{3+}})$ . For the EuO compound (i), we determine a mainly integral divalent chemical state of the Eu cations (trivalent contribution  $r_{4f}^{\text{Eu}^{3+}} \approx 6\%$ ). For the EuO compound (ii), in contrast, a mixed initial valency with a trivalent contribution of  $r_{4f}^{\text{Eu}^{3+}} \approx 68\%$  is derived. Hence, we can refer to the EuO compounds as (i) stoichiometric EuO and (ii) oxygen-rich  $\text{Eu}_1\text{O}_{1+x}$ . The  $\text{Eu}^{3+}$  spectral fit neglects  $\text{Al}3s$  and  $\text{Al}^{3+}3s$  contributions from the cap layer in this energy region,<sup>29</sup> which may lead to a slight overestimation of  $r_{4f}^{\text{Eu}^{3+}}$ . Our quantitative Eu  $4f$  photoemission analysis is corroborated by the magnetic measurements (see Fig. 3); the magnetic saturation moment  $M_S$  for the stoichiometric thin EuO film (i) was determined as  $M_S = 6.7 \mu_B$ , reduced by only about 5% compared to the bulk value of  $7 \mu_B$ . For the O-rich EuO sample (ii), a significantly reduced  $M_S = 1.5 \mu_B$  was measured due to the large fraction of antiferromagnetic  $\text{Eu}^{3+}$  cations.

## V. HAXPES: Eu $3d$ CORE LEVELS

In order to check for the consistency of our quantitative analysis of the Eu initial-state valency, we proceed by investigating the more deeply bound Eu  $3d$  core levels, which are well accessible by HAXPES. We note that analyzing the  $3d$  core-level spectra has a significant advantage for the determination of the initial-state Eu valency compared, e.g., to

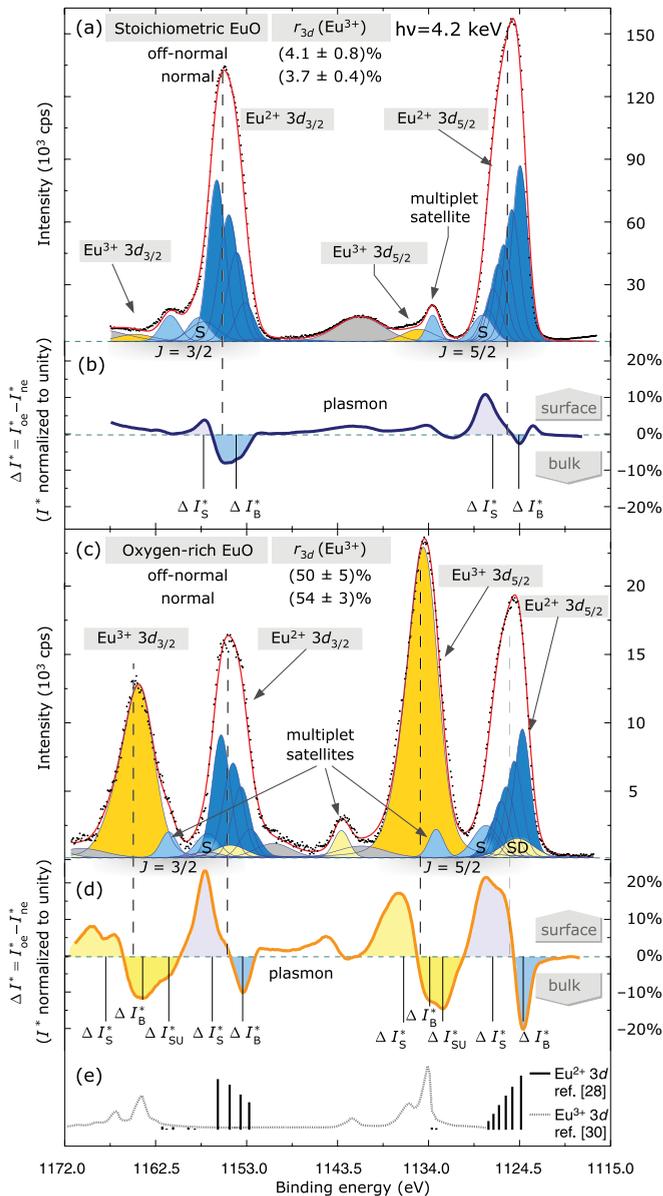


FIG. 5. (Color online)  $3d$  core-level photoemission spectra for (a) stoichiometric EuO and (c) O-rich  $\text{Eu}_1\text{O}_{1+x}$  films on Si measured in normal emission and at  $h\nu = 4.2$  keV. (b) and (d) Difference intensity curves  $\Delta I^*$  for  $3d$  normal and off-normal emissions.

the  $4d$  core levels, because the  $3d$  states show a much weaker multiplet splitting and larger photoexcitation cross section.

Figures 5(a) and 5(c) display the Eu  $3d$  photoemission spectra for EuO compounds (i) and (ii), recorded in ne geometry. The spectra consist of two main groups, the  $3d_{5/2}$  and  $3d_{3/2}$  structures, which are clearly separated due to a large spin-orbit splitting of 29.2 eV, in excellent agreement with previous works.<sup>26</sup> The broad structure in the center is assigned to plasmon excitations caused by fast  $3d$  photoelectrons passing the Al top layer,<sup>22</sup> as it occurs at the correct value of energy loss (15.8 eV) from the  $3d_{5/2}$  peak (and  $3d_{3/2}$  peak, which is out of the diagram range).

For (i) stoichiometric EuO, one main peak is observable in the Eu  $3d_{5/2}$  and  $3d_{3/2}$  groups in Fig. 5(a). The observed asymmetry in the line shapes is perfectly consistent with

theoretical calculations of the divalent Eu  $3d$  multiplet, as depicted for comparison in Fig. 5(e).<sup>28</sup> We identify a satellite peak in the high binding energy region of the Eu  $3d_{5/2}$  and  $3d_{3/2}$  multiplet, respectively, which is separated by 7.8 eV (6.3 eV) from the  $3d_{5/2}$  ( $3d_{3/2}$ ) main peak. These main peak/satellite doublets are assigned to the divalent  $\text{Eu}^{2+}$  multiplet of the  $3d^9 4f^7$  final state. Both energy splitting and intensity ratios compare well with previous reports of calculated and measured multiplet spectra of divalent Eu compounds.<sup>28,30</sup>

Moving to the oxygen-rich EuO compound (ii) in Fig. 5(c), we observe prominent double-peak structures in the  $3d_{5/2}$  ( $3d_{3/2}$ ) regions, which are separated by 10.45 eV (10.90 eV). We assign these features to divalent  $\text{Eu}^{2+}$  ( $3d^9 4f^7$  final state) and trivalent  $\text{Eu}^{3+}$  ( $3d^9 4f^6$  final state) spectral contributions. The  $\text{Eu}^{3+} 3d$  multiplet consists of a doublet where the satellite peak appears at 6.9-eV higher binding energy below the  $3d_{5/2}$  main peak. The energy positions of the  $\text{Eu}^{2+}$  and  $\text{Eu}^{3+} 3d$  multiplet structures agree very well with previous experiments<sup>25,31,32</sup> and theoretical calculations, as shown in Fig. 5(e).<sup>28,30</sup>

For a depth-sensitive analysis of the  $3d$  core levels, the energy and angle-dependent information depths are calculated as  $D_{3d}^{\text{ne}} \approx 113 \text{ \AA}$  and  $D_{3d}^{\text{oc}} \approx 76 \text{ \AA}$ , indicating an increase in surface sensitivity of 39% for both emission geometries compared to  $4f$  photoemission. Figures 5(b) and 5(d) show the  $3d$  difference intensity curves  $\Delta I_{3d}^* = I_{\text{oc}}^* - I_{\text{ne}}^*$  for both EuO compounds (i) and (ii). In contrast to the  $4f$  spectra, however, an imbalance in intensity transfer between bulk and surface photoemission contributions is apparent, with  $|\Delta I_B^*| \leq |\Delta I_S^*|$ . This eminent spectral intensity from more surface-like states is most likely explained by the significantly enhanced surface sensitivity of the  $3d$  photoelectrons.

For the quantitative analysis of the Eu  $3d$  core levels, we performed the curve fitting of the  $\text{Eu}^{2+} 3d$  multiplet fine structure in accordance with Ref. 28 using convoluted Gaussian-Lorentzian lines with consistent intensity ratios, peak widths and energy differences. For the fitting of the  $\text{Eu}^{3+} 3d$  line shapes, we used single Gaussian-Lorentzian peaks, respectively, since the explicit fine structure of the trivalent Eu  $3d$  multiplet is unknown. Moreover, we assumed contributions from a well-known  $\text{Eu}^{3+} 3d$  final-state effect,<sup>31</sup> labeled as SD (a shake-down satellite) and  $\Delta_{\text{SD}}^*$  in Figs. 5(c) and 5(d). For  $\text{Eu}^{3+}$  cations, a shake-down satellite may transfer intensity on the low-binding-energy side of the main photoemission peak. Its origin is the electrostatic interaction between the  $3d$  core hole and the unoccupied  $4f$  level,<sup>25</sup> described by the Anderson impurity model.<sup>33</sup> Furthermore, for both  $\text{Eu}^{2+} 3d$  and  $\text{Eu}^{3+} 3d$  main peaks, a small surface spectral contribution  $S$  is incorporated on the higher binding energy side to account for the increased surface sensitivity of  $3d$  photoemission. The result of the fitting procedure, shown by the solid lines in Figs. 5(a) and 5(c), shows a very good agreement with the experimental data.

We determined the relative fraction of  $\text{Eu}^{3+}$  cations as  $r_{3d}^{\text{Eu}^{3+}} \approx 0.04$  for EuO compound (i) and  $r_{3d}^{\text{Eu}^{3+}} \approx 0.54$  for sample (ii). This result is in good agreement with the quantitative analysis of the  $4f$  valence states, as summarized in Table I. For EuO compound (i), we determine a just slightly reduced fraction of  $\text{Eu}^{3+}$  cations from the  $3d$  core levels (4%) compared to the  $4f$  valence states (6%). This result reveals the excellent

chemical quality of the MBE-deposited EuO thin films, with a homogeneous depth distribution of Eu cations.

For (ii) oxygen-rich EuO, we extract an about 15% reduced fraction of  $\text{Eu}^{3+}$  cations from the more interface-sensitive  $3d$  compared to the  $4f$  emission, indicating a small accumulation of  $\text{Eu}^{2+}$  cations at the Al interface. The feature is consistently observed for both emission geometries in the Eu $4f$  and Eu $3d$  peak analysis. Given the larger Gibbs free energy of formation  $G_f^\circ$  for  $\alpha\text{-Al}_2\text{O}_3$  ( $-1582$  kJ/mole) compared to  $\text{Eu}_2\text{O}_3$  ( $-1559$  kJ/mole),<sup>34</sup> excess oxygen originating from the oxygen-rich EuO film is preferably bound in  $\alpha\text{-Al}_2\text{O}_3$  at the interface, reducing the fraction of  $\text{Eu}^{3+}$ . The counteracting chemical reaction, i. e., the reduction of EuO ( $-1680$  kJ/mole) with metallic Al into  $\text{Al}_2\text{O}_3$  ( $-1582$  kJ/mole) and metallic Eu, is thermodynamically unfavored.

## VI. HAXPES: Si $2p$ CORE LEVELS

Finally, we investigate the chemical state of the EuO/silicon interface, which is the relevant interface for applications in spin-dependent transport devices. Photoemission from the Si  $2p$  core level was recorded in ne and oe geometry. In this way, the information depth  $D_z$  of the Si  $2p$  photoelectrons is varied between  $\sim 184$  Å(ne) and  $\sim 132$  Å(oe), respectively, which allows one to distinguish spectral contributions from bulk and interface-like electronic states of the buried Si substrate.

For (i) stoichiometric EuO/Si(100), we observe a well resolved Si  $2p$  doublet structure in Fig. 6(a), which is indicative of an integral  $\text{Si}^0$  valency both in the bulk and interface regions of the substrate. The spectrum can be decomposed into the Si  $2p_{1/2}$  and Si  $2p_{3/2}$  lines, with a peak intensity ratio of 0.5 and separated by a spin-orbit splitting of 0.6 eV, in perfect agreement with literature.<sup>35</sup> Photoemission contributions from other Si  $2p$  valences are absent in the spectrum.

In (ii) oxygen-rich EuO, in contrast, besides the sharp  $\text{Si}^0 2p$  peak, a small, broad feature can be observed on the higher binding energy side in Fig. 6(b), which is chemically shifted

by  $\sim 3.1$  eV. This finding suggests the formation of silicon oxide  $\text{SiO}_x$ , however, in case of a complete  $\text{SiO}_2$  oxidation, the full chemical shift of the corresponding  $\text{Si}^{4+} 2p$  state would amount  $\sim 4$  eV.<sup>14</sup> We therefore assume that also intermediate oxidation states  $\text{Si}^{3+}$  exist in addition to  $\text{Si}^{4+}$  contributions.<sup>35</sup>

Analyzing the difference intensity curves  $\Delta I^* = I_{\text{oe}}^* - I_{\text{ne}}^*$  of the normalized Si  $2p$  spectra recorded in ne and oe geometry gives further insight into the differences in the local electronic structure between Si bulk and at the EuO/silicon interface. In Fig. 6(c), we observe a nearly featureless  $\Delta I^*$  curve for (i) stoichiometric EuO. This result reveals that no silicon dioxide is formed at the EuO/Si interface, if the Si substrate is covered with (i) stoichiometric EuO. This experimentally observed chemical stability of the EuO/silicon interface is also corroborated by thermodynamic calculations.<sup>7</sup>

For (ii) oxygen-rich EuO, however, a pronounced redistribution of Si  $2p$  spectral weight  $|\Delta I_{\text{B}}^*| \leq |+\Delta I_{\text{I}}^*|$  is observed in Fig. 6(c) in the  $\text{Si}^0$  and  $\text{Si}^{3+}/\text{Si}^{4+}$  regions, respectively. We assume the following mechanisms to happen at the O-rich EuO interface; on the one hand, the enhanced  $\text{Si}^{3+}/\text{Si}^{4+}$  contributions underline that silicon oxide is mainly formed at the oxygen-rich EuO/Si interface. Due to the low amount of excess oxygen during synthesis of oxygen-rich EuO, also spectral contributions from the lower  $\text{Si}^{3+}$  oxidation state are observed. On the other hand, we assign the enhanced spectral contribution on the lower  $\text{Si}^0 2p$  binding energy side to interfacial Si-H bonds—caused by HF etching of the Si substrate prior to deposition—which are in the order of  $< 1$  eV.<sup>35</sup> Further HAXPES studies are underway to monitor the chemical state of the EuO/silicon interface depending on the preparation conditions of  $\text{Eu}_1\text{O}_{1+x}$  in more detail.

From the above results, we conclude that the chemical state of the EuO/Si(001) interface directly correlates with the specific EuO growth conditions at elevated substrate temperatures. In particular, any oxygen excess during EuO synthesis not only leads to the formation of antiferromagnetic  $\text{Eu}_2\text{O}_3$ , but also promotes an oxidation of the EuO/Si interface. Only if the Eu distillation process and specific range of oxygen supply are precisely matched during synthesis, high-quality EuO thin films can be grown directly on silicon without interface oxidation and can be ultimately integrated on silicon as efficient “spin-filter” tunnel injector and collector contacts.

## VII. SUMMARY

In summary, we have presented a detailed study of the valence state and electronic structure of high-quality EuO and oxygen-rich  $\text{Eu}_1\text{O}_{1+x}$  thin films grown directly on silicon without buffer layer using HAXPES. We extracted the initial-state EuO valency from a quantitative peak analysis of  $4f$  valence and  $3d$  core-level photoemission spectroscopy, and positively identified a mainly integral divalent Eu valency in stoichiometric EuO thin films. We find a homogenous distribution of Eu cations in the bulk and surface regions, emphasizing the high quality of the MBE-deposited EuO thin films. A chemically stable EuO/silicon interface is identified from Si  $2p$  core-level spectroscopy, which is the relevant transport interface for spintronics applications. Our study explicitly demonstrates the successful stabilization of stoichiometric EuO thin films directly on silicon, and points

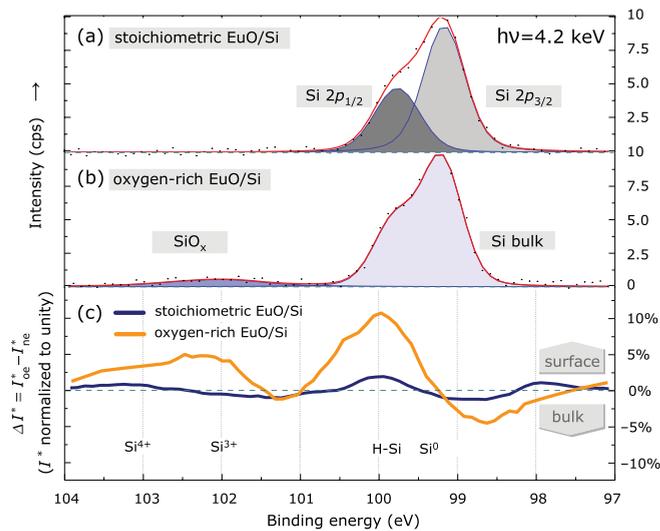


FIG. 6. (Color online) Silicon  $2p$  core-level photoemission spectra for (a) stoichiometric EuO and (b) O-rich  $\text{Eu}_1\text{O}_{1+x}$  films on Si, both measured in normal emission at  $h\nu = 4.2$  keV. (c) Difference intensity curves of the normalized Si  $2p$  core level spectra recorded in ne and oe geometry.

encouragingly toward the future integration of this functional magnetic oxide into silicon-based spintronic devices.

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