

**Negative differential conductance in nanojunctions: A current constrained approach**Prakash Parida,<sup>1</sup> Swapan K. Pati,<sup>1,2</sup> and Anna Painelli<sup>3</sup><sup>1</sup>*Theoretical Sciences Unit,*<sup>2</sup>*New Chemistry Unit, Jawaharlal Nehru Centre For Advanced Scientific Research,  
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A current constrained approach is proposed to calculate negative differential conductance in molecular nanojunctions. A four-site junction is considered where a steady-state current is forced by inserting only the two central sites within the circuit. The two lateral sites (representing, e.g., dangling molecular groups) do not actively participate in transport but exchange electrons with the two main sites. These auxiliary sites allow for a variable number of electrons within the junction, while as required by the current constrained approach, the total number of electrons in the system is kept constant. We discuss the conditions for negative differential conductance in terms of cooperativity, variability of the number of electrons in the junction, and electron correlations.

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**I. INTRODUCTION**

Understanding of charge transport through a low-dimensional electronic system has got tremendous impetus in recent years because of their huge potential in advanced electronic devices.<sup>1,2</sup> In particular, the possibility of switching a molecule between off and on states and of supporting decreasing current with increasing voltage, the so called negative differential conductance (NDC) behavior, are hot topics in the field of single molecule electronics. Since its first observation in the tunnel diode by Esaki in 1958,<sup>3</sup> NDC has been subject of many experimental and theoretical studies. NDC has been observed in a variety of experimental systems<sup>4,5</sup> and has been discussed theoretically, even if most of theoretical work was based on one-electron pictures.<sup>6-12</sup> Recent experiments demonstrated NDC in double-quantum dots junctions<sup>13,14</sup> and have rekindled interest in the phenomenon occurring in the low-temperature weak-coupling limit. Several theoretical studies on donor-acceptor double-quantum dot systems address strong rectification and NDC features within many-electron pictures.<sup>15-26</sup> However, all available theoretical studies of NDC are based on voltage constrained (VC) approaches where the electric current is driven through the junction by imposing a finite potential drop between two applied electrodes. Two semi-infinite electronic reservoirs are unavoidably introduced in VC models, posing some fundamental problems and leading to a picture difficult to reconcile with correlated electrons.<sup>27-29</sup>

As an alternative to VC approach, current constrained (CC) approaches have been developed to describe transport in both mesojunctions and molecular junctions. CC approaches avoid any reference to reservoirs and quite naturally apply to correlated electrons.<sup>27,28,30-35</sup> On physical grounds, a current can be forced in a circuit by driving a time-dependent magnetic flux through the circuit. The model, originally proposed by Kohn to describe optical conductivity in extended systems,<sup>36</sup> has been applied to describe electrical transport in mesoscopic systems<sup>27,31</sup> and more recently in molecular junctions.<sup>34,35</sup> Alternatively, variational techniques can be adopted and the system can be forced in a current-carrying nonequilibrium steady state introducing properly defined Lagrange multipliers

in the Hamiltonian. While offering an interesting alternative to the popular and successful VC methods, the CC approach suffers from several limitations that hinders its widespread use.<sup>35</sup> Not explicitly accounting for contacts, the CC approach relies on phenomenological models for the relaxation dynamics to describe dissipation. In polyatomic junctions constraints must be explicitly enforced to avoid charge accumulation at atomic sites within the junctions, leading to a cumbersome numerical problem for large and asymmetric molecular systems.<sup>35</sup> Finally, in VC approaches electrons can be exchanged between the electrodes and the molecular junction and charge injection/depletion phenomena can be observed upon changing the applied gate voltage. These phenomena are critical to many processes, including NDC, but cannot be described in CC approaches where the current is forced through a closed circuit and the total number of electrons in the junction is strictly constant.

Here we propose a simple and effective strategy to overcome the last general problem inherent to CC approach. Specifically, we demonstrate that if one or more auxiliary sites are attached to the main current-carrying nanojunction, they can exchange electrons with the junction, thus representing electron source or sink. Along these lines we present a calculation of NDC within a CC-based model. Describing NDC in an alternative picture with respect to the widespread VC-based models deepens our current understanding of the basic physics underlying this strongly nonlinear phenomenon, as required to control and optimize the performance of NDC-based devices.

**II. THE MODEL AND METHOD**

Previous work from two of the present authors based on the VC approach demonstrated interesting nonlinear behavior in the current-voltage characteristics of two-dot systems with correlated electrons.<sup>9,10</sup> Taking a clue from this work we explore transport behavior in two-dots junctions within the CC approach. The study becomes interesting especially in the context of the number of electrons within the junction

which plays an important role in the low-bias current-voltage characteristics.

Figure 1(a) shows a rough sketch of the model. We consider a four-sites Hubbard model as described by the Hamiltonian

$$H_0 = \sum_{i=1}^4 \epsilon_i \hat{n}_i - \sum_{\sigma=\uparrow,\downarrow} \sum_{i=1}^3 t_{i,i+1} (a_{i,\sigma}^\dagger a_{i+1,\sigma} + \text{H.c.}) + \sum_{i=1}^4 U_i \hat{n}_{i\uparrow} \hat{n}_{i\downarrow}, \quad (1)$$

where  $a_i$  ( $a_i^\dagger$ ) annihilates (creates) an electron with spin  $\sigma$  on site  $i$  and  $\hat{n}_i = \sum_{\sigma} a_{i,\sigma}^\dagger a_{i,\sigma}$ . For the sake of simplicity in the following we set constant on-site repulsions  $U_i = U$  and hopping integrals  $t_{ij} = t$  even if different choices have been explored. Moreover, we consider an asymmetric junction with a weak bond  $-\epsilon_2 = \epsilon_3 = 2, t = 0.2$ .

The eigenstates of  $H_0$  are stationary states and do not sustain any current. To induce a steady current through the central (2–3) sites we insert these two sites into a closed circuit through which we impose a current according to the CC prescription<sup>32,33,37</sup>

$$H(\lambda) = H_0 - \lambda \hat{j}, \quad (2)$$

where  $\hat{j} = -it_{23} \sum_{\sigma} (a_{2,\sigma}^\dagger a_{3,\sigma} - \text{H.c.})$  measures the current flowing through the bond between sites 2 and 3 (the junction region). Here and in the following  $e$  and  $\hbar$  are adopted as units for charge and momentum, respectively. The field  $\lambda$  coupled to the current enters the Hamiltonian as a Lagrange multiplier whose value is fixed by the requirement that a finite current  $I = \langle G(\lambda) | \hat{j} | G(\lambda) \rangle$  flows through the system. The eigenstates of  $H(\lambda)$  describe electrons with a finite overall linear momentum which looks rather unphysical in an open circuit, as described by  $H_0$ . According to Burke *et al.*,<sup>34</sup> this paradox can be solved by connecting the two ends of the junction (sites 2 and 3 in our model system) through a long, thin, and ideally conducting wire so that electrons escaping from the right side of the junction immediately appear on the left side as to maintain a fixed number of electrons inside the junction. In other terms (as schematically shown in Fig. 1) the junction is inserted in a closed ideal circuit. This description allows us to assign a precise physical meaning to  $\lambda$ . Following the seminal work of Kohn<sup>36</sup> one can force a current through a closed ring threading an oscillating magnetic field of frequency  $\omega$  through the center of the ring to generate a spatially uniform oscillating electric field  $E$  across the junction. At the leading order in the field<sup>38</sup> the Hamiltonian in the presence of a magnetic flux can be written as in Eq. (2) with  $\lambda$  proportional to the amplitude of the vector potential. Quite interestingly a similar result has been recently reported via a general and exact projection operator technique.<sup>39</sup>

The two central sites (sites 2 and 3) are inserted in a closed circuit where the current is forced [cf. Fig. 1(a)] and take an active part in transport. The lateral sites (1 and 4) represent auxiliary sites. The current does not flow through these sites, but they are connected to the circuit and, exchanging electrons with the main sites (2 and 3), provide a source/sink for the injection/removal of electrons within the circuit. Being interested in NDC we set  $U \gg t$ . The model then describes

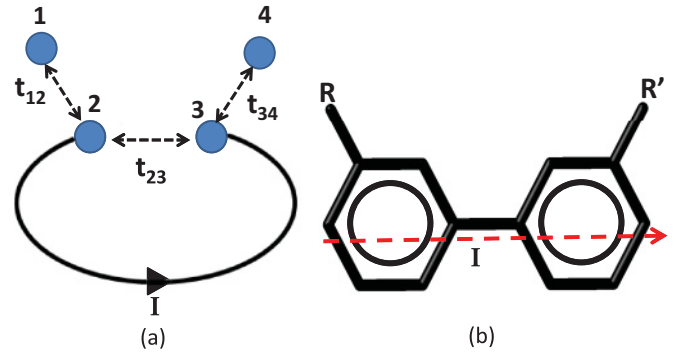


FIG. 1. (Color online) (a) A schematic representation of the four-site system where current flows through the two central sites in the closed circuit while the lateral sites behave as sources/sinks of electrons. (b) The biphenyl molecule with two dangling groups  $R$  and  $R'$  represents an example of a molecule that can be roughly described by the four-site model in (a). The red dashed arrow in panel (b) shows the direction of current flow.

four weakly connected quantum dots. Alternatively, the same model may represent a minimal model for a molecular junction with at least one weak (poorly conjugated) bond and with two dangling groups ( $R$  and  $R'$ ) connected to the current carrying skeleton as shown as an example in Fig. 1(b). From a chemical perspective, the groups  $R$  and  $R'$  modeled by the auxiliary sites of Fig. 1(a) can behave as electron withdrawing/donating groups with respect to the main sites.

If the auxiliary sites (1 and 4) are disconnected from the main circuit ( $t_{12} = t_{34} = 0$ ) the number of electrons participating in transport is strictly constant. Inserting the two central sites in an (ideal) closed circuit implies that electrons escaping from site 3 immediately enters on 2 (or vice versa). The obvious constraint of constant charge in a closed circuit hinders the description of phenomena related to charge injection/depletion processes that are instead easily captured in VC approaches where electrons are exchanged between the junction and the leads. However, if the auxiliary sites are connected to the junction the number of electrons within the circuit  $n = \langle \hat{n}_2 + \hat{n}_3 \rangle$  becomes a variable. Finite  $t_{12}$  and/or  $t_{34}$  allow for charge injection/depletion within the junction.

The Hubbard Hamiltonian in Eq. (1) and its current-carrying version in Eq. (2) can be written on a real-space basis and the resulting matrix can be diagonalized exactly. The real-space basis is defined by the complete and orthonormal set of functions ( $|p\rangle$ ) that specify the occupation of site spin orbitals. We adopt a graphical representation where a generic state  $|p\rangle = |0, \uparrow, \downarrow, \downarrow \uparrow\rangle$  represents the state where no electrons are found in the first site, one electron with spin  $\alpha$  and one electron with spin  $\beta$  are located on sites 2 and 3, respectively, and site 4 is doubly occupied. In more formal language  $|0, \uparrow, \downarrow, \downarrow \uparrow\rangle = a_{2,\alpha}^\dagger a_{3,\beta}^\dagger a_{4,\beta}^\dagger a_{4,\alpha}^\dagger |0\rangle$ , where  $a_{i,\sigma}^\dagger$  are the electron creation operators entering the Hamiltonian in Eq. (1) and  $|0\rangle$  is vacuum state. Both the total number of electrons  $N$  and the  $z$  component of the total spin  $S_z$  commute with the Hamiltonian and the Hamiltonian matrix can be defined for a particular charge and spin sector. Here we set  $N = 4$  and work in the subspace relevant to the

ground state, that is,  $S_z = 0$ , for a grand total of 256 basis states.

The definition of characteristic current-voltage curves is quite subtle in CC approaches. The Hamiltonian in Eq. (2) describes (at the leading order in the vector potential) the effect of an oscillating magnetic flux driving a current through a circuit. In this view  $\lambda$  is simply proportional to the corresponding electrical field  $E \propto \omega\lambda$ .<sup>36,38</sup> However in the DC limit,  $\omega \rightarrow 0$ , the driving field and hence the driving potential vanish, leading to the unphysical result of a finite current at zero bias. This zero-resistance picture emerges because relaxation dynamics is neglected. In fact a finite potential drop is required to sustain current just to overcome friction in the junction. The calculation of characteristic curves in the CC approach then requires a model for relaxation dynamics. In particular, the current-carrying state  $|G(\lambda)\rangle$  is a nonequilibrium state.<sup>37</sup> Work has to be spent on the system in order to maintain it in the nonequilibrium state against relaxation phenomena that would drive the system back to the ground state  $|G(0)\rangle$ . The amount of power spent to sustain the system in the nonequilibrium current-carrying state is set by the relaxation dynamics. The faster the relaxation the more power must be spent to sustain the current. The Joule law defines the relation between the electrical power spent on the molecule  $W$  and the potential drop needed to sustain the current  $W = IV$ . Since  $I$  is known, characteristic  $I(V)$  curves can be obtained from  $W$ .<sup>35</sup>

We adopt a simple phenomenological model for relaxation dynamics that is widely used in spectroscopy. The model is general and properly obeys basic physical requirements, including detailed balance. In particular relaxation is described by introducing the density matrix ( $\sigma$ ) written on the basis of the eigenstates of  $H(0)$ . On this basis the equilibrium density matrix is diagonal with diagonal elements describing the Boltzmann populations of the relevant states. Relaxation dynamics of diagonal elements of  $\sigma$  are only affected by inelastic scattering and are written as<sup>40,41</sup>

$$(\dot{\sigma}_R)_{kk} = \sum_m \gamma_{km} \sigma_{mm} - \sum_m \gamma_{mk} \sigma_{kk}, \quad (3)$$

where  $\gamma_{km}$  measures the probability of the transition from  $m$  to  $k$  due to inelastic scattering events. Off-diagonal elements of the relaxation matrix may be written as

$$(\dot{\sigma}_R)_{km} = -\Gamma_{km} \sigma_{km}, \quad (4)$$

where

$$\Gamma_{km} = (\gamma_{kk} + \gamma_{mm})/2 + \gamma'_{km} \quad (5)$$

accounts for both inelastic scattering events via the population inverse lifetimes  $\gamma_{kk} = \sum_m \gamma_{mk}$  and for elastic scattering events via  $\gamma'_{km}$  that describes the loss of coherence due to pure dephasing phenomena.<sup>40,41</sup>

The total work exchanged by the junction  $\text{Tr}(\dot{\sigma}_R \hat{H})$  has two nonvanishing contributions.  $W = -\lambda \text{Tr}(\dot{\sigma}_R \hat{j})$  that represents the work done on the junction to sustain the current and a second contribution  $W_d = \text{Tr}(\dot{\sigma}_R H_0)$  that measures the work dissipated by the junction. Since the current operator  $\hat{j}$  has vanishing diagonal elements on the real eigenstates of  $H(0)$ ,  $W$  is only affected by the relaxation of off-diagonal elements

of the density matrix. As a result, both elastic and inelastic scattering events affect  $W$ . This is a physically relevant result; in fact both phenomena concur to build up the resistance in the junction. On the opposite, the power dissipated by the junction  $W_d = \text{Tr}(\dot{\sigma}_R H_0)$  is only affected by inelastic scattering events. It represents just a fraction of the total power dissipated on the junction, thus suggesting that some dissipation must occur at the electrodes.<sup>35</sup>

While the relaxation model is fairly crude and requires invoking electrodes to actually balance the energy, it has the advantage of setting on a firm basis the relation between the molecular resistance and dissipation phenomena. In particular we notice that the proposed model properly predicts the maximum conductivity of the simplest junction,  $e^2/\hbar$ , based on the simple consideration that the maximum lifetime of the electron within the junction cannot be longer than the time required for the electron to cross the junction.<sup>35</sup> This observation drives us to the nontrivial role of electrodes on relaxation dynamics. In the case of very weak contacts the presence of metallic surfaces close to the molecular junction is expected to open a new channel for energy dissipation then reducing the population lifetimes.<sup>42</sup> On the opposite, in the strong contact regime the mixing of the discrete molecular eigenstates with the continuum of states of the metallic leads is responsible for increased dephasing rates.<sup>24</sup> A very simple model for the relaxation matrix can then be obtained in the strong contact regime where depopulation contributions to  $\Gamma_{km}$  can be neglected and in the hypothesis that the effects of the electrodes on the dephasing rates is similar on all states so that  $\Gamma_{km} = \Gamma$ . In this hypothesis  $W = \lambda \Gamma I$  and the potential drop is simply proportional to  $\lambda$ ,  $V = \lambda \Gamma$ .<sup>35</sup> Without loss of generality we set  $\Gamma = 1$  in the following.

### III. RESULTS AND DISCUSSION

We consider two different choices for the energies of the auxiliary sites. In the first model (a) we set  $\epsilon_1 = -\epsilon_4$  to mimic groups with opposite electron donating/accepting characteristics. In the second model (b) we set  $\epsilon_1 = \epsilon_4$  mimicking two equivalent side groups added to the main chain [ $R = R'$  in Fig. 1(b)]. Quite interestingly the second model can also describe the effects of charge injection/depletion in the junction as a result of an applied gate voltage. The color maps in Fig. 2 show the differential conductance ( $\frac{\partial I}{\partial V}$ ) calculated as a function of the potential drop ( $V$ ) and of the energies of the auxiliary sites  $\epsilon_1 = -\epsilon_4$  (left panel) and  $\epsilon_1 = \epsilon_4$  (right panel) for  $U = 5$ . NDC is not observed in either case. This result, confirmed at different  $U$  values, is not surprising. In fact NDC suggests a largely nonlinear behavior of the junction with a bistable  $V(I)$  characteristic. Such a behavior requires cooperativity and hence the introduction of some self-consistent interaction in the model.

With this requirement in mind, we modify the model to account for possible effects of the voltage drop on the auxiliary sites. In fact sites 1 and 4 do not play any active role in transport but can be affected by the voltage drop at the junction. In particular, being directly connected to sites 2 and 3 (device region) they can experience at least a fraction of the electrical field required to sustain the current in the main junction. As

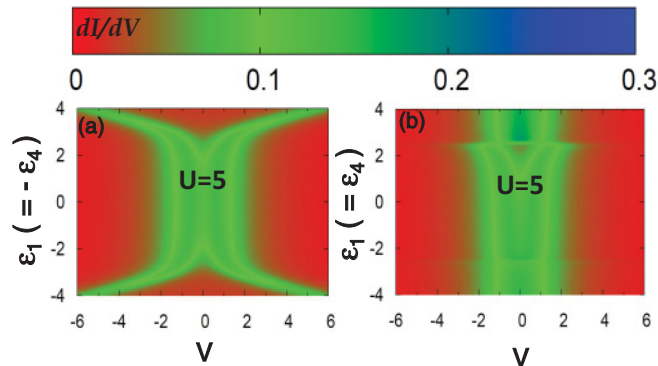


FIG. 2. (Color online) The color maps show differential conductance ( $\frac{\partial I}{\partial V}$ ) as a function of potential drop ( $V$ ) and of the energies of the auxiliary sites satisfying the relations (a)  $\epsilon_1 = -\epsilon_4$  and (b)  $\epsilon_1 = \epsilon_4$ . Results are shown for  $U = 5$ .

a result, a term is added to the Hamiltonian affecting the auxiliary-site energies as follows:

$$xV(\hat{n}_4 - \hat{n}_1), \quad (6)$$

where  $x$  measures the fraction of the potential drop that is felt by sites 1 and 4. We observe that only the energy of auxiliary sites must be explicitly modified by the potential. The effect of the potential on the junction (sites 2 and 3) is already accounted for by the Hamiltonian in Eq. (2). The correction term in Eq. (6) introduces cooperativity in the model. The on-site energies  $\epsilon_1$  and  $\epsilon_4$  do depend in fact on the current flowing through the junction that in turn is affected by the on-site energies. Color maps in Fig. 3 obtained setting  $x = 0.5$  show NDC regions demonstrating the cooperative nature of the phenomenon. Figure 3 summarizes the main results of this paper showing the differential conductance calculated for the two choices (a)  $\epsilon_1 = -\epsilon_4$  (left columns) and (b)  $\epsilon_1 = \epsilon_4$  (right columns) and  $U = 5$  and 2 (top and bottom panels, respectively). NDC feature is definitely more prominent in model (b) than in model (a) and is suppressed upon decreasing electron correlation strength  $U$  (this conclusion is also supported by extensive calculations run at different  $U$ ). These findings are in line with results obtained in the VC approach, showing that strong on-site  $e - e$  correlations favor NDC in double-quantum dot systems.<sup>26</sup> Note that the ( $\frac{\partial I}{\partial V}$ ) map (and the  $I - V$  characteristics as well) are asymmetric because of the inherent asymmetry in the device region with sites 2 and 3 having different on-site energies.

Due to symmetry reasons, the number of electrons in the junction is constant in model (a)  $n = 2$ . In model (b)  $n$  is instead variable. Since the hybridization energy  $t$  is small with respect to on-site energies,  $n(V, \epsilon_1)$  assumes almost integer and constant values (shown as italic numbers in the figures) in different regions of the  $V, \epsilon_1$  plane connected by very sharp borders. Quite impressively NDC features are observed for model (b) just at these borders, suggesting a strong relation between NDC and sharp variations of  $n$ . Upon decreasing  $U$  the variation of  $n$  becomes smooth and the NDC regions become less prominent. Minor NDC features are also observed in model (a) with constant  $n$  in regions where the occupancy of the two auxiliary sites abruptly changes from  $\langle \hat{n}_1 - \hat{n}_4 \rangle = 0$  to  $\pm 2$ .

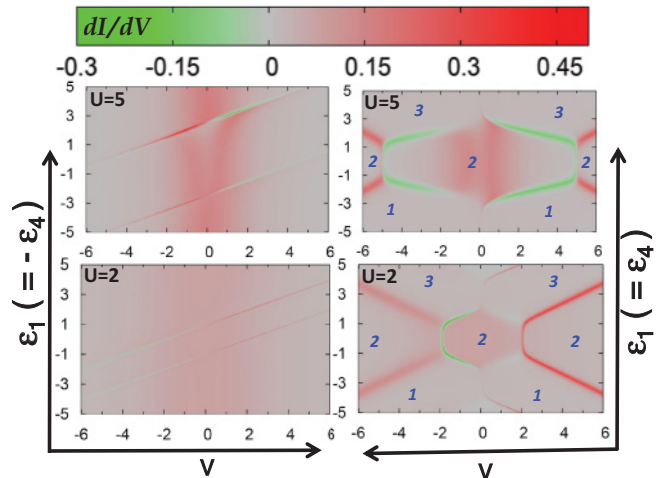


FIG. 3. (Color online) Color maps showing differential conductance ( $\frac{\partial I}{\partial V}$ ) as a function of potential drop ( $V$ ) and the energies of the auxiliary sites for  $\epsilon_1 = -\epsilon_4$  (left panels) and  $\epsilon_1 = \epsilon_4$  (right panels) for two different  $U$  values. The blue italic numbers in the right panels show the approximate value of the number of electrons in the junction  $n$  in the different regions. The same number is constant ( $n = 2$ ) in the left panels.

To obtain a more detailed picture of the microscopic origin of NDC, we disentangle the contributions to the current from the different states. The current-carrying ground state has complex coefficients  $c_p$  in the real space basis  $|G(\lambda)\rangle = \sum_p c_p |p\rangle$  and the expectation value of the current operator can be written as  $I = \sum_{pr} I_{pr}$ , where  $I_{pr} = c_p c_r^* j_{pr}$  and  $j_{pr} = \langle p | \hat{j} | r \rangle$  is an off diagonal element of the current operator on the chosen basis (diagonal elements vanish). For the sake of clarity, we set  $U = 5$  in the following discussion. Figure 4 shows the results relevant to model (a) with  $\epsilon_1 = -\epsilon_4 = 3$ . In this case, as noticed before,  $n = 2$ . Two main contributions to  $I$  can be recognized as  $I_1 = I_{|\uparrow, \uparrow, 0, \downarrow\rangle |\uparrow, \downarrow, \uparrow, \downarrow\rangle} + I_{|\downarrow, \uparrow, \downarrow, 0, \uparrow\rangle |\downarrow, \uparrow, \downarrow, \uparrow\rangle}$  and  $I_2 = I_{|0, \uparrow, \downarrow, 0, \uparrow, \downarrow\rangle |0, \uparrow, \downarrow, \uparrow, \downarrow\rangle} + I_{|0, \uparrow, \downarrow, 0, \uparrow, \downarrow\rangle |0, \downarrow, \uparrow, \uparrow, \downarrow\rangle}$ . Quite interestingly, as shown in Fig. 4, the sum of these two contributions quantitatively matches with the total  $I(V)$  characteristic. The states involved in  $I_1$  and in  $I_2$  only differ by the occupation at first and fourth sites. The states involved in  $I_1$  show single occupancy of sites 1 and 4, while the states involved in  $I_2$  have zero occupation at site 1 and double occupation at site 4. The position and height of the NDC peak of  $I_2(V)$  exactly matches with the NDC peak of the  $I(V)$  characteristics. The fall of  $I_2$  and the rise of  $I_1$  at  $V \sim 0.75$  causes the NDC feature in  $I(V)$  characteristics. For  $V > 0.75$   $I_2$  starts decreasing to zero, while  $I_1$  increases to meet  $I_2$  at  $V = 1.04$  resulting in a valley in  $I(V)$ . So for  $V > 1.04$   $I_1$  dominates over  $I_2$  resulting in further increase of total  $I$ .

The bottom panel of Fig. 4 shows the weight of the states that mainly contribute to the current (as shown in the figure label). The probability of states contributing to  $I_1$  (blue lines) vanishes for  $V < 0.75$ ,  $I_1 = 0$  in that region.  $I_1$  instead contributes significantly to total  $I$  for  $V > 0.75$ , where the contribution from  $I_2$  becomes negligible. The current flows only through the central bond and, in a system with  $n = 2$  and  $\epsilon_2 = -\epsilon_3 = 2$ , it is associated with off-diagonal elements of  $\hat{j}$



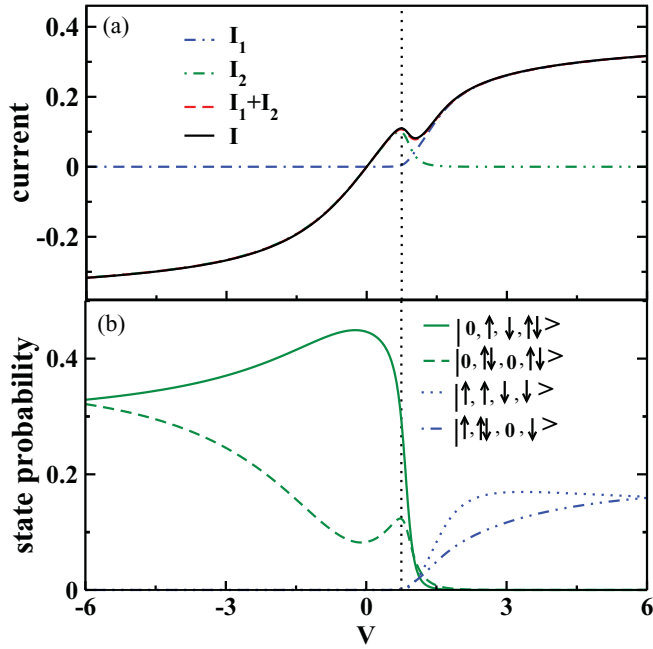


FIG. 4. (Color online) Results for  $\epsilon_1 = -\epsilon_4 = 3$  and  $U = 5$ . The continuous black line in the top panel shows the characteristic curve. The dot-dashed blue and the double-dot-dashed green lines in the same panel show the two major contributions to the current as discussed in the text, the red dashed line shows the sum of the two contributions, and it is almost indistinguishable from the total current. In the bottom panel the blue dotted and double-dot-dashed (green continuous and dashed) lines show the weight of the two states responsible for the  $I_1$  ( $I_2$ ) as discussed in the text. The black dotted line is shown as a guide for the eyes.

mixing up states where both electrons reside on site 2 or are equally distributed in sites 2 and 3. NDC is observed when the occupancy of the auxiliary sites changes. More precisely, the NDC feature occurs when the population of states contributing to  $I_2$ , characterized by  $\langle \hat{n}_4 - \hat{n}_1 \rangle = 2$ , start decreasing at the expense of the increasing population of states contributing to  $I_1$ , characterized by  $\langle \hat{n}_4 - \hat{n}_1 \rangle = 0$ .

Model (b) is more complex, as shown in Fig. 5 that collects results obtained for  $\epsilon_1 = \epsilon_4 = 2$  and  $U = 5$ . In this case a variable number of electrons is found in the junction [as shown by the blue lines in Fig. 5(a)]. The  $I - V$  characteristic (black line) shows NDC features both in the negative and positive  $V$  regions, with a more prominent feature at  $V \sim 2$ . In the region of interest for NDC the current is mainly due to three specific contributions [as shown in Fig. 5(b)]. The three contributions are  $I_1 = I_{|\uparrow, \uparrow, \downarrow, 0, \downarrow\rangle} + I_{|\downarrow, \uparrow, \downarrow, 0, \uparrow\rangle}$ ,  $I_2 = I_{|0, \uparrow, \downarrow, \uparrow, \downarrow\rangle} + I_{|0, \downarrow, \downarrow, \uparrow, \uparrow\rangle}$ , and  $I_3 = I_{|\downarrow, \uparrow, \downarrow, \uparrow, 0\rangle} + I_{|\uparrow, \uparrow, \downarrow, 0, \downarrow\rangle}$ . The states involved in  $I_1$  have two electrons in the junction ( $n = 2$ ) while the states contributing to  $I_2$  and  $I_3$  have  $n = 3$ . As expected,  $I_2$  and  $I_3$  contributions become sizable for large negative and positive  $V$ , respectively. However it is clear from the figure that the NDC features observed in the characteristic  $I(V)$  are only due to the NDC features observed in the  $I_1(V)$  contribution to the total current. For a better understanding, Fig. 5(c) shows the probability of states playing a main role in transport.  $I_1$  drops at the NDC features because the relevant states having two

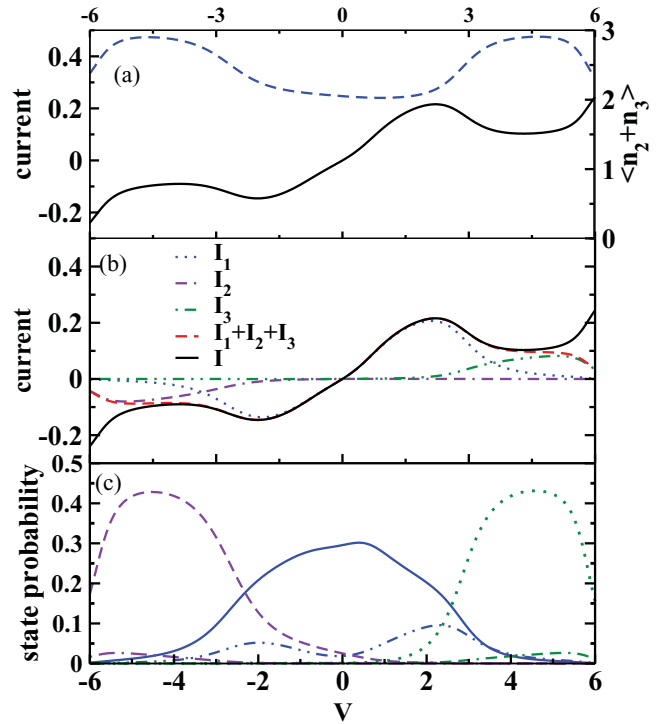


FIG. 5. (Color online) Results for  $\epsilon_1 = \epsilon_4 = 2$  and  $U = 5$ . (a) Characteristic curve (black continuous line) and total number of electrons in the junction (blue dashed line). (b) The three main contributions to the current in the NDC regions, as discussed in the text. The red dashed lines shows their sum to be compared to the total current (black line). (c) The weight of states contributing to  $I_1$  (blue continuous and double-dot dashed lines),  $I_2$  (violet dashed and dot-dashed lines), and  $I_3$  (green dot-dashed lines). More specifically, the blue continuous and double-dot dashed line refer to states  $|\uparrow, \downarrow, \uparrow, \downarrow\rangle$  and  $|\uparrow, \downarrow, 0, \downarrow\rangle$ , respectively; the green dotted and double-dash-dotted lines refer to  $|\downarrow, \downarrow, \uparrow, 0\rangle$  and  $|\downarrow, \uparrow, \downarrow, 0\rangle$ , respectively; the violet dashed and dot-dashed lines refer to  $|0, \downarrow, \uparrow, \downarrow\rangle$  and  $|0, \uparrow, \downarrow, \downarrow\rangle$ , respectively.

electrons in the junction lose their weight and the increasing contributions of either  $I_2$  in the negative  $V$  region or of  $I_3$  in the positive  $V$  region related to states with  $n = 3$  are not able to fully compensate for the loss.

#### IV. CONCLUSIONS

The current constrained approach offers an alternative picture of transport in nanojunctions with respect to the widespread voltage-constrained approaches. In the CC approach Lagrange multipliers are used to force the system into a current carrying state, avoiding any explicit reference to semi-infinite electronic reservoirs. The method then applies quite naturally to describe transport in systems with strongly correlated electrons. However, the total number of electrons taking part in transport is fixed in CC approaches and this has so far hindered the application of the method to problems where charge injection/depletion in the junction is important. This problem could be solved by introducing an effective relaxation model that, accounting for the exchange of electrons between the junction and the electrodes, allows the system to relax toward states with different number of electrons.

This approach however requires a fairly complex model for relaxation dynamics. Here we borrow from the field of optical spectroscopy a simple phenomenological model for relaxation that maintains a constant number of electrons, and overcome the limitation of fixed number of electrons connecting one or more auxiliary sites to the main junction. While the current does not flow through the auxiliary sites, they exchange electrons with the junction, allowing for a variable number of electrons taking part in transport.

Along these lines we attacked the problem of NDC in a very simple model with a two-site junction connected to two auxiliary sites. NDC is a highly nonlinear phenomenon. The bistable behavior of the  $V(I)$  curve at NDC can only be observed in largely cooperative systems. We introduced cooperativity imposing a dependence of the on-site energies of the auxiliary sites on the voltage drop. Since the voltage required to support the current depends in turn on the energy of the auxiliary sites, the problem becomes self-consistent and the model supports NDC. Quite interestingly, dangling bonds (side groups) connected to the main current-carrying unit have recently been discussed as responsible for nonlinearity in the characteristic curves. In particular side groups may be responsible for quantum interference phenomena that drastically suppress current.<sup>43</sup> Our study suggests that a proper choice of the chemical nature of the side groups can not only reduce the current but eventually lead to NDC.

Here we just investigated an asymmetric junction with weak bonds and a grand total of four electrons in two different cases. In the first case we choose equal and opposite on-site energies for the two auxiliary sites leading to a constant number of electrons in the junction ( $n = 2$ ). Minor NDC features are observed in this case, mainly related to an abrupt variation of the occupation of the auxiliary sites. More prominent NDC features are observed in the second model where, having fixed the energies of the auxiliary sites to the same value, the number of electrons involved in the transport is variable. NDC is related in this case to an abrupt variation with the applied potential of the number of electrons in the junction. In all cases large  $U$  and small  $t$  are needed to observe NDC. Weak bonds and large correlations in fact ensure the abrupt variation in the nature of the current carrying state  $|G(\lambda)\rangle$ , as required for us to observe NDC.

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