

Nonadiabatic effects of rattling phonons and $4f$ excitations in $\text{Pr}(\text{Os}_{1-x}\text{Ru}_x)_4\text{Sb}_{12}$

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(Received 11 March 2010; revised manuscript received 24 May 2010; published 18 June 2010)

In the skutterudite compounds the anharmonic “rattling” oscillations of $4f$ -guest ions in the surrounding Sb_{12} host cages are found to have significant influence on the low-temperature properties. Recently specific-heat analysis of $\text{Pr}(\text{Os}_{1-x}\text{Ru}_x)_4\text{Sb}_{12}$ has shown that the energy of crystalline electric field singlet-triplet excitations increases strongly with Ru concentration x and crosses the almost constant rattling mode frequency ω_0 at about $x \approx 0.65$. Due to magnetoelastic interactions this may entail prominent nonadiabatic effects in inelastic neutron-scattering intensity and quadrupolar susceptibility. Furthermore the Ru-concentration dependence of the superconducting T_c , notably the minimum at intermediate x is explained as a crossover effect from pair-forming aspherical Coulomb scattering to pair-breaking exchange scattering.

DOI: [10.1103/PhysRevB.81.224305](https://doi.org/10.1103/PhysRevB.81.224305)

PACS number(s): 63.20.kd, 75.10.Dg, 74.70.Tx, 74.20.-z

I. INTRODUCTION

The tetrahedral rare-earth skutterudite compounds RM_4X_{12} (R =rare earth, M =Ru, Fe, and Os, and X =P, As, and Sb) are found to exhibit a great variety of electronic ground states driven by $4f$ -electron correlations.¹ Metallic heavy electron and mixed valent as well as Kondo semiconducting behavior may be found. The $4f$ ground state may show quadrupolar or more exotic multipolar order.²⁻⁴ In $\text{PrOs}_4\text{Sb}_{12}$ and substituted compounds superconductivity appears⁵⁻⁷ which is possibly of strongly anisotropic nature.⁷⁻⁹ The latter has recently also been found in $\text{PrPt}_4\text{Ge}_{12}$.¹⁰ It has been proposed^{11,12} that low-energy crystalline electric field (CEF) excitations play an important role in the formation of Cooper pairs and likewise in the quasi-particle mass enhancement.^{13,14} These only slightly dispersive excitations were identified in inelastic neutron-scattering (INS) experiments¹⁵ in $\text{PrOs}_4\text{Sb}_{12}$. Furthermore NMR/nuclear quadrupole resonance¹⁶ and ultrasonic^{17,18} measurements give evidence for the importance of anharmonic “rattling” oscillations of $4f$ -host ions in the cages formed by surrounding Sb_{12} icosahedrons on the low-temperature properties.

Recent systematic specific-heat measurements in the substitution series $\text{Pr}(\text{Os}_{1-x}\text{Ru}_x)_4\text{Sb}_{12}$ have shown¹⁹ that both CEF excitations and local rattling mode give contributions in addition to the usual Debye part. From the analysis Miyazaki *et al.* were able to obtain the dependence of the low-energy singlet-triplet CEF excitation $\Delta(x)$ and the rattling phonon frequency of the Pr guest $\omega_0(x)$ on the Ru concentration x . It was found that $\Delta(x)$ increases with Ru content (x) from $\Delta(0) \approx 8$ K to $\Delta(1) \approx 84$ K. For $x_c \approx 0.65$ the triplet excitation energy crosses the oscillator energy $\omega_0 \approx 45$ K of the low-energy anharmonic rattling phonon associated with the Pr oscillations in the cage. The latter is almost independent of the Ru concentration x . Earlier results⁶ showed that the critical temperature $T_c(x)$ varies between $T_c(0) = 1.85$ K and $T_c(1) = 1.20$ K and exhibits a minimum in between with $T_c(x_c) = 0.7$ K around the same Ru content x_c . This raises the question whether there is a connection between the crossing effect and the observed T_c minimum and whether the nonadiabatic effects play a role in the appearance of the minimum.

In this work we discuss a model for the nonadiabatic effects between rattling phonons and CEF singlet-triplet excitations in $\text{Pr}(\text{Os}_{1-x}\text{Ru}_x)_4\text{Sb}_{12}$. These effects should be primarily observable close to x_c where an anticrossing and formation of “vibronic” or mixed modes is expected. The latter have partly a phononic and partly a CEF excitation nature. Although similar effects are known for other rare-earth compounds²⁰ they have so far not been identified in the skutterudite family. The observed $\Delta(x)$, $\omega_0(x)$ behavior in $\text{Pr}(\text{Os}_{1-x}\text{Ru}_x)_4\text{Sb}_{12}$ however suggests their presence. The mixed mode formation should lead to a direct clear signature in the dipolar INS cross section, magnetic and quadrupolar susceptibility, as well as rattling phonon spectral function. Indirectly other physical quantities such as NMR relaxation, resistivity, and T_c suppression or enhancement should also be influenced. Some of these effects will be discussed in the present work within an exactly solvable bosonic model for the two types of coupled excitations.

In Sec. II the vibronic model is introduced and solved in Sec. III. The dynamical susceptibilities and associated structure functions, in particular, the dipolar one relevant for INS are derived in Sec. IV. Furthermore we investigate the influence of vibronic excitations on the formation or breaking of Cooper pairs and the resulting $T_c(x)$ variation with Ru content in Sec. V. Finally Sec. VI gives the summary and conclusion.

II. MODEL DEFINITION

First we consider the local $4f$ electronic part. The effect of a tetrahedral CEF on the Pr^{3+} has been studied in detail in Ref. 21. Its main consequence is a mixing of the cubic (O_h) Γ_4 and Γ_5 triplets to tetrahedral $\Gamma_4^{(1,2)}$ triplets which have both dipolar and quadrupolar transitions from the ground-state singlet Γ_1 . In the following we restrict to Γ_1 and lowest $\Gamma_4^{(2)} \equiv \Gamma_7$ triplet states as shown by Shiina.²² The singlet-triplet CEF Hamiltonian in $\text{Pr}(\text{Os}_{1-x}\text{Ru}_x)_4\text{Sb}_{12}$ may then be written in bosonic form as

$$H_{st} = \Delta(x) \sum_n \left(a_n^\dagger a_n + \frac{1}{2} \right) \quad (n = x, y, z), \quad (1)$$

where $\Delta(x)$ is the singlet-triplet CEF splitting of $\text{Pr}(\text{Os}_{1-x}\text{Ru}_x)_4\text{Sb}_{12}$ that varies from $\Delta(0) = 8$ K for the Os

compound to $\Delta(1)=84$ K for the Ru system. The triplet states are linear combinations of cubic Γ_5 and Γ_4 states²¹ described by

$$|\Gamma_t n\rangle = (1 - d^2)^{1/2} |\Gamma_5 n\rangle + d |\Gamma_4 n\rangle, \quad (2)$$

where d characterizes the strength of the tetrahedral CEF part (Appendix A). When the latter is small ($d \ll 1$) then Γ_t is close to the nonmagnetic cubic Γ_5 triplet. When the tetrahedral CEF part dominates we have $d^2 \rightarrow \frac{1}{2}$ [Eq. (A1)] and Γ_t is an equal-amplitude mixture of nonmagnetic Γ_5 and magnetic Γ_4 cubic triplets. The $|\Gamma_t n\rangle$ states are created by the bosonic operators a_n ($n=1-3$) according to $|\Gamma_t n\rangle = a_n^\dagger |\Gamma_s\rangle$ from the singlet ground state.^{22,23} They are related to a_n ($n=x, y, z$) through $a_1 = -(1/\sqrt{2})(a_x - ia_y)$; $a_2 = a_z$; $a_3 = (1/\sqrt{2})(a_x + ia_y)$. The singlet-triplet system has quadrupolar and, because of the tetrahedral mixing amplitude d , also dipolar matrix elements for inelastic transitions. In bosonic representation the dipole operators are given by

$$\mathbf{J} = b_D(a_x + a_x^\dagger, a_y + a_y^\dagger, a_z + a_z^\dagger), \quad (3)$$

where $b_D = 2\sqrt{\frac{5}{3}}d$ is the dipolar matrix element $\sim d$. The Γ_5 -type quadrupolar operators O_n ($n=yz, zx, xy$) are generally given in terms of the total angular momentum components J_n ($n=x, y, z$). In bosonic representation one has

$$\begin{aligned} O_{yz} &= J_y J_z + J_z J_y = ib_Q(a_x - a_x^\dagger), \\ O_{xz} &= J_x J_z + J_z J_x = ib_Q(a_y - a_y^\dagger), \\ O_{xy} &= J_x J_y + J_y J_x = ib_Q(a_z - a_z^\dagger), \end{aligned} \quad (4)$$

where $b_Q = \frac{2}{\sqrt{3}}\sqrt{35(1-d^2)}$ is the quadrupolar singlet-triplet matrix element which is maximal for $d=0$, contrary to b_D . These are the order parameters in the field-induced antiferroquadrupolar phase of $\text{PrOs}_4\text{Sb}_{12}$ (Refs. 22 and 24–27) and their dynamics corresponds to the excitation of quadrupolar excitons.^{15,23}

Now we introduce the rattling phonon part which may be seen as a low-frequency optical phonon corresponding to the anharmonic movement of the heavy Pr ion in the wide cage formed by the Sb_{12} icosahedron. Such almost dispersionless rare-earth host modes lying within the acoustic-phonon bands are reported in Ref. 28 for the Ce skutterudite. They belong to T_1 representation of T_h and therefore are triply degenerate, corresponding to the three Cartesian directions of rattling motion in the cage. Due to the anharmonic potential of the cage the effective rattling frequency $\omega_e(T)$ may be temperature dependent, similar as in the β -pyrochlore superconductor KOs_2O_6 .^{29,30} On the other hand the low-temperature effective rattling frequency $\omega_0 = \omega_e(T=0)$ is almost independent of Ru content x with $\omega_0(x) \approx 45$ K. Therefore, as observed in Ref. 19 the singlet-triplet energy $\Delta(x)$ crosses the rattling frequency around $x_c \approx 0.65$ (dashed lines in Fig. 1) which is, incidentally, close to the Ru concentration where $T_c(x)$ shows its minimum. In the quasiharmonic approximation³⁰ the rattling phonon part at low-temperature is given by

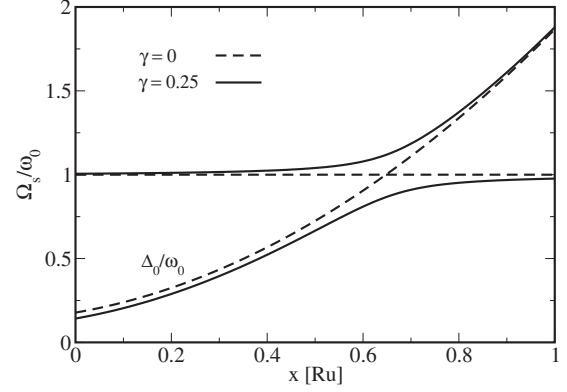


FIG. 1. Mode frequencies as function of Ru content x . At zero coupling γ (dashed lines) flat rattling mode and increasing singlet-triplet CEF excitation cross around $x_c \approx 0.65$. A finite coupling γ leads to anticrossing vibronic modes Ω_ϕ (upper mode) and Ω_ψ (lower mode) around x_c .

$$H_r = \omega_0 \sum_n \left(b_n^\dagger b_n + \frac{1}{2} \right), \quad (5)$$

where $n=1-3$ denotes one of the triply degenerate guest modes which are created by the phonon operators b_n^\dagger .

The coupling of rattling modes and local CEF excitations (all dispersive effects in phonons and CEF excitations are neglected) may be written in terms of displacements and $4f$ quadrupoles as³¹

$$H_{r-4f} = g_0 Q_0 \sum_{in} [b_n(i) + b_n^\dagger(i)] O_n(i), \quad (6)$$

where $Q_0 = (2MN\omega_0)^{-1/2}$ (M =mass of Pr and N =number of sites i) and g_0 is the coupling constant. Expressing the quadrupole operators with singlet-triplet boson operators the total Hamiltonian for each site is given by

$$\begin{aligned} H = \sum_n \left[\frac{1}{2} \omega_0 (b_n^\dagger b_n + b_n b_n^\dagger) + \frac{1}{2} \Delta (a_n^\dagger a_n + a_n a_n^\dagger) \right. \\ \left. + i \tilde{g}_0 b_Q (b_n a_n - b_n^\dagger a_n^\dagger + b_n^\dagger a_n - b_n a_n^\dagger) \right]. \end{aligned} \quad (7)$$

This is the bosonic model Hamiltonian used in the following analysis. The first part describes three degenerate rattling phonon modes the second singlet-triplet CEF excitations and the last one their local magnetoelastic interactions.

It is bilinear in the (a) singlet-triplet CEF and (b) phononic boson operators and may therefore be diagonalized. For that purpose we write it in matrix form as

$$H = \sum_n \begin{pmatrix} a_n^\dagger & b_n^\dagger & a_n & b_n \end{pmatrix} \begin{pmatrix} \frac{\Delta}{2} & -i\hat{g}_0 & 0 & -i\hat{g}_0 \\ i\hat{g}_0 & \frac{\omega_0}{2} & -i\hat{g}_0 & 0 \\ 0 & i\hat{g}_0 & \frac{\Delta}{2} & i\hat{g}_0 \\ i\hat{g}_0 & 0 & -i\hat{g}_0 & \frac{\omega_0}{2} \end{pmatrix} \begin{pmatrix} a_n \\ b_n \\ a_n^\dagger \\ b_n^\dagger \end{pmatrix}. \quad (8)$$

Here we defined $\tilde{g}_0 = g_0(2M\omega_0)^{-1/2}$ and $\hat{g}_0 = b_{Q2}\tilde{g}_0$.

III. VIBRONIC EXCITATIONS

The eigenstates of the model Hamiltonian in Eq. (8) are the local vibronic modes of Pr^{3+} , i.e., mixed rattling phonon and singlet-triplet CEF excitations. The mode mixing becomes strong close to the crossing of $\Delta(x)$ with ω_0 at x_c . The formation of vibronic modes therefore should influence physical properties, in particular, close x_c . To calculate the vibronic modes we express the model Hamiltonian in 2×2 block form,

$$H = \sum_{n=x,y,z} \begin{pmatrix} a_n^\dagger & \alpha_n \end{pmatrix} \begin{pmatrix} D_{1n} & D_{2n} \\ D_{3n} & D_{4n} \end{pmatrix} \begin{pmatrix} \alpha_n \\ a_n^\dagger \end{pmatrix}, \quad (9)$$

where $\alpha_n^\dagger = (a_n^\dagger b_n^\dagger)$ and $D_{3n} = D_{2n}^\dagger$. The D matrices are the 2×2 blocks in Eq. (8). This quadratic form can be diagonalized by a generalized Bogoliubov or paraunitary transformation θ (Ref. 32) with the property $\theta I \theta^\dagger = I$, where $I = \text{diag}(1, 1, -1, -1)$. In the following the mode degeneracy index ($n=x, y, z$) will be suppressed. Applying the paraunitary transformation we get $D\theta^{-1} = I\theta^{-1}L$ where the column vectors w_ρ ($\rho=1-4$) of θ^{-1} are the eigenvectors of the equation $(ID - \lambda_\rho I)w_\rho = 0$ corresponding to the eigenvalue λ_ρ determined by the secular equation $\det(D - \lambda_\rho I) = 0$. Furthermore $L = \text{diag}(\lambda_+, \lambda_-, -\lambda_+, -\lambda_-)$. The vibronic eigenvalues can be obtained as

$$\lambda_\pm = [\epsilon_0 \pm R]^{1/2},$$

$$\epsilon_0 = \frac{1}{2} \left(\frac{\omega_0^2}{4} + \frac{\Delta_0^2}{4} \right),$$

$$R = \frac{1}{2} \left[\left(\frac{\omega_0^2}{4} - \frac{\Delta_0^2}{4} \right)^2 + 4\hat{g}_0^2 \omega_0 \Delta \right]^{1/2}. \quad (10)$$

The (transposed) eigenvector column w_λ of θ^{-1} corresponding to eigenvalue $\lambda(\lambda_\rho, \rho=1-4)$ is given by

$$w_\lambda^T = \nu_\lambda \begin{pmatrix} 1, -\frac{2i\hat{g}_0 \frac{\Delta}{2}}{\left(\frac{\Delta}{2} + \lambda\right)\left(\frac{\omega_0}{2} - \lambda\right)}, -\frac{\frac{\Delta}{2} - \lambda}{\frac{\Delta}{2} + \lambda}, \\ -\frac{2i\hat{g}_0 \frac{\Delta}{2}}{\left(\frac{\Delta}{2} + \lambda\right)\left(\frac{\omega_0}{2} + \lambda\right)} \end{pmatrix} \quad (11)$$

with a normalization constant,

$$|\nu_\lambda|^2 = \frac{\left(\frac{\Delta}{2} + \lambda\right)^2 \left(\frac{\omega_0}{2} + \lambda\right)^2 \left(\frac{\omega_0}{2} - \lambda\right)^2}{4\frac{\Delta}{2} |\lambda| \left[\left(\frac{\omega_0}{2} + \lambda\right)^2 \left(\frac{\omega_0}{2} - \lambda\right)^2 + 4\hat{g}_0^2 \frac{\Delta}{2} \frac{\omega_0}{2} \right]}. \quad (12)$$

The paraunitary transformation defines the vibronic normal mode coordinates γ via $\eta = \theta^{-1} \gamma$, where $\eta = (\alpha^\dagger, \alpha) = (a^\dagger b^\dagger ab)$ are the original boson coordinates. Like the latter, the vibronic normal modes fulfill the bosonic commutation relations $[\gamma_\rho, \gamma_{\rho'}^\dagger] = I_{\rho\rho'}$. Explicitly we write $\gamma^\dagger = (\phi^\dagger, \psi^\dagger, \phi, \psi)$. They diagonalize the Hamiltonian in Eqs. (8) and (9) finally leading to

$$H = \sum_n \Omega_\phi \left(\phi_n^\dagger \phi_n + \frac{1}{2} \right) + \sum_n \Omega_\psi \left(\psi_n^\dagger \psi_n + \frac{1}{2} \right), \quad (13)$$

where the triply degenerate ($n=x, y, z$) normal-mode frequencies $\Omega_s^2 = \Omega_s$ ($s=\phi, \psi$) are given by $\Omega_\phi = 2\lambda_+$ and $\Omega_\psi = 2\lambda_-$. The relation between the noninteracting η bosons and the new normal-mode coordinates γ is explicitly given by

$$\begin{pmatrix} a_n \\ b_n \\ a_n^\dagger \\ b_n^\dagger \end{pmatrix} = \begin{pmatrix} w_{\lambda_1}^1 & w_{\lambda_2}^1 & w_{\lambda_3}^1 & w_{\lambda_4}^1 \\ w_{\lambda_1}^2 & w_{\lambda_2}^2 & w_{\lambda_3}^2 & w_{\lambda_4}^2 \\ w_{\lambda_1}^3 & w_{\lambda_2}^3 & w_{\lambda_3}^3 & w_{\lambda_4}^3 \\ w_{\lambda_1}^4 & w_{\lambda_2}^4 & w_{\lambda_3}^4 & w_{\lambda_4}^4 \end{pmatrix} \begin{pmatrix} \phi_n \\ \psi_n \\ \phi_n^\dagger \\ \psi_n^\dagger \end{pmatrix}, \quad (14)$$

where the matrix elements $w_{\lambda_j}^k$ are obtained from Eqs. (11) and (12) using the eigenvalues in Eq. (10).

IV. DYNAMICAL SUSCEPTIBILITIES AND STRUCTURE FUNCTIONS

With the above closed solution all interesting susceptibilities and dynamical structure functions of the model may be calculated analytically. The dipolar structure function is proportional to the INS cross section and is therefore the most direct means to observe the vibronic modes. Furthermore they may influence the NMR rate which is obtained from the dynamical dipolar susceptibility. The dynamics of quadrupole moments and the phonon spectral functions may be obtained in a similar way.

A. Dipolar susceptibility and INS spectral function

Using the representation in Eq. (3) for the dipole operator the dipolar susceptibility may be expressed as

$$\chi_D(\omega) = ib_D^2 \int_{-\infty}^{\infty} dt e^{i\omega t} \langle [(a+a^\dagger)_r, (a+a^\dagger)_0] \rangle \theta_H(t), \quad (15)$$

where $\theta_H(t)$ is the Heaviside function. Applying the paraunitary transformation we obtain

$$a + a^\dagger = u_{D\phi}(\phi + \phi^\dagger) + u_{D\psi}(\psi + \psi^\dagger) \quad (16)$$

with $u_{D_s}(s=\phi, \psi)$ given by

$$u_{D_s}^2 = \frac{\Omega_s}{\Delta} \frac{(\omega_0^2 - \Omega_s^2)^2}{(\omega_0^2 - \Omega_s^2)^2 + \gamma^2 \Delta \omega_0}, \quad (17)$$

where we defined $\gamma = 4\hat{g}_0 = 4b_Q g_0 (2M\omega_0)^{-1/2}$. This leads to the dynamical dipolar susceptibility

$$\chi_D(\omega) = -b_D^2 \sum_s u_{D_s}^2 D_s(\omega), \quad (18)$$

where $D_s(\omega)$ is the retarded Green's function of normal-mode bosons according to

$$\begin{aligned} D_\phi(\omega) &= i \int_{-\infty}^{\infty} dt e^{i\omega t} \langle [(\phi + \phi^\dagger)_r, (\phi + \phi^\dagger)_0] \rangle \theta_H(t) \\ &= \frac{2\Omega_\phi}{(\omega + i\delta)^2 - \Omega_\phi^2} \end{aligned} \quad (19)$$

and a similar equation for $D_\psi(\omega)$. The corresponding spectral function is given by

$$\hat{S}_s(\omega) = -\frac{2}{1 - e^{-\beta\omega}} D_s''(\omega). \quad (20)$$

Using the fluctuation dissipation theorem $\hat{S}_s(-\omega) = e^{-\beta\omega} \hat{S}_s(\omega)$ ($\beta = 1/kT$) the total dipolar spectral function corresponding to Eq. (18) is then obtained as

$$\begin{aligned} S_D(\omega) &= 2\pi b_D^2 \sum_s \frac{\Omega_s}{\Delta} \frac{(\omega_0^2 - \Omega_s^2)^2}{(\omega_0^2 - \Omega_s^2)^2 + \gamma^2 \Delta \omega_0} \\ &\quad \times [(n_s + 1)\delta(\omega - \Omega_s) + n_s \delta(\omega + \Omega_s)]. \end{aligned} \quad (21)$$

Here $n_s = (e^{\beta\Omega_s} - 1)^{-1}$ is the Bose distribution function. When we include a constant finite linewidth Γ_s for the ϕ, ψ bosons the delta functions have to be replaced by Lorentzians. Then at zero temperature we obtain

$$S_D(\omega) = 2\pi b_D^2 \sum_s \frac{\Omega_s}{\Delta} \frac{(\omega_0^2 - \Omega_s^2)^2}{(\omega_0^2 - \Omega_s^2)^2 + \gamma^2 \Delta \omega_0} \cdot \frac{\Gamma_s/\pi}{(\omega - \Omega_s)^2 + \Gamma_s^2}. \quad (22)$$

Finally, using $D_s'(0) = -(2/\Omega_s)$ we obtain the zero-temperature static dipolar susceptibility as

$$\chi_D(\omega=0) = \left(\frac{2b_D^2}{\Delta} \right) \sum_s \frac{(\omega_0^2 - \Omega_s^2)^2}{(\omega_0^2 - \Omega_s^2)^2 + \gamma^2 \Delta \omega_0} \equiv \frac{2b_D^2}{\Delta}. \quad (23)$$

Therefore the static dipolar susceptibility of the vibronic system for $\gamma \neq 0$ is unchanged from the dipolar Van Vleck susceptibility of the uncoupled ($\gamma=0$) singlet-triplet CEF states because the formation of vibronic modes involves only the

quadrupolar CEF excitations. The result in Eq. (22) together with Eq. (10) gives the frequency and x, γ dependence of the dipolar spectral function which may be compared with INS results. This will be further discussed in Sec. IV C.

B. Quadrupolar susceptibility and rattling phonon spectral function

The dynamical quadrupolar susceptibility, the phonon Green's function, and their associated spectral function may be obtained in a completely analogous way. Using the bosonic representation of quadrupolar operators in Eq. (5) we have

$$\chi_Q(\omega) = -ib_Q^2 \int_{-\infty}^{\infty} dt e^{i\omega t} \langle [(a-a^\dagger)_r, (a-a^\dagger)_0] \rangle \theta_H(t). \quad (24)$$

Again replacing the a bosons with ϕ, ψ bosons by the paraunitary transformation we get

$$a - a^\dagger = u_{Q\phi}(\phi - \phi^\dagger) + u_{Q\psi}(\psi - \psi^\dagger), \quad (25)$$

where now we have a slightly different

$$u_{Q_s}^2 = \frac{\Delta}{\Omega_s} \frac{(\omega_0^2 - \Omega_s^2)^2}{(\omega_0^2 - \Omega_s^2)^2 + \gamma^2 \Delta \omega_0}. \quad (26)$$

Similar as before the quadrupolar spectral function is obtained as

$$\begin{aligned} S_Q(\omega) &= 2\pi b_Q^2 \sum_s \frac{\Delta}{\Omega_s} \frac{(\omega_0^2 - \Omega_s^2)^2}{(\omega_0^2 - \Omega_s^2)^2 + \gamma^2 \Delta \omega_0} [(n_s + 1)\delta(\omega - \Omega_s) \\ &\quad + n_s \delta(\omega + \Omega_s)] \end{aligned} \quad (27)$$

and for finite boson linewidth and in the limit $T=0$ we likewise obtain

$$S_Q(\omega) = 2\pi b_Q^2 \sum_s \frac{\Delta}{\Omega_s} \frac{(\omega_0^2 - \Omega_s^2)^2}{(\omega_0^2 - \Omega_s^2)^2 + \gamma^2 \Delta \omega_0} \cdot \frac{\Gamma_s/\pi}{(\omega - \Omega_s)^2 + \Gamma_s^2}. \quad (28)$$

Furthermore the static zero-temperature quadrupolar susceptibility may be obtained as

$$\chi_Q(0) = \left(\frac{2b_Q^2}{\Delta} \right) \sum_s \left(\frac{\Delta}{\Omega_s} \right)^2 \frac{(\omega_0^2 - \Omega_s^2)^2}{(\omega_0^2 - \Omega_s^2)^2 + \gamma^2 \Delta \omega_0}. \quad (29)$$

The prefactor is the quadrupolar Van Vleck susceptibility of the uncoupled singlet-triplet states. The quantity in Eq. (29) depends on γ and therefore on the mode splitting, contrary to $\chi_D(0)$. It is, in principle, accessible in ultrasonic experiments where it determines the velocity or elastic constant change for $T \rightarrow 0$. The latter is given by $\Delta c_{44}(T \rightarrow 0) = -g_{44}^2 \chi_Q$, where g_{44} is the magnetoelastic coupling constant of the c_{44} transverse mode propagating along (001) direction.³¹

Now we consider the retarded propagator of the rattling phonon which is defined by

$$D_r(\omega) = -i \int_{-\infty}^{\infty} dt e^{i\omega t} \langle [(b + b^\dagger)_r, (b + b^\dagger)_0] \rangle \theta_H(t). \quad (30)$$

Applying the paraunitary transformation we may express

$$b + b^\dagger = u_\phi(\phi - \phi^\dagger) + u_\psi(\psi - \psi^\dagger), \quad (31)$$

where $u_s = i|u_s|$ and the modulus is now given by

$$|u_s|^2 = \frac{\omega_0}{\Omega_s} \frac{\gamma^2 \Delta \omega_0}{(\omega_0^2 - \Omega_s^2)^2 + \gamma^2 \Delta \omega_0}. \quad (32)$$

This leads to a spectral function of the rattling phonon propagator,

$$S_r(\omega) = 2\pi \sum_s \frac{\omega_0}{\Omega_s} \frac{\gamma^2 \Delta \omega_0}{(\omega_0^2 - \Omega_s^2)^2 + \gamma^2 \Delta \omega_0} \times [(n_s + 1)\delta(\omega - \Omega_s) + n_s \delta(\omega + \Omega_s)]. \quad (33)$$

Including the finite linewidth Γ_s for the normal modes we obtain the zero-temperature limit,

$$S_r(\omega) = 2\pi \sum_s \frac{\Delta}{\Omega_s} \frac{\gamma^2 \Delta \omega_0}{(\omega_0^2 - \Omega_s^2)^2 + \gamma^2 \Delta \omega_0} \cdot \frac{\Gamma_s / \pi}{(\omega - \Omega_s)^2 + \Gamma_s^2}. \quad (34)$$

The rattling phonon spectral function in Eq. (34) is complementary to the dipolar and quadrupolar spectral function. In our localized model they are momentum independent. However in the INS cross section the latter is multiplied by the square of the electronic form factor $F(\mathbf{Q})$ of the $4f$ shell which decreases with $|\mathbf{Q}|$ while the former is multiplied by $|\mathbf{Q}|^2$. Therefore the dipolar excitation may be seen at small and the rattling phonon part at large total momentum transfer.

C. Numerical results for spectral function and static susceptibilities

The basic feature of the vibronic mode formation is shown in Fig. 1. At the crossing of the bare ($\gamma=0$, dashed lines) rattling mode $\omega_0(x)$ and $\Delta_0(x)$ a repulsion takes place for finite magnetoelastic coupling γ and anticrossing mixed modes (full lines) Ω_ϕ (upper mode) and Ω_ψ (lower mode) are formed. Their splitting increases with coupling strength. At the crossing where $\omega_0(x_c) = \Delta(x_c)$ we have $\delta = \Omega_\phi - \Omega_\psi \approx \gamma$ as long as $\gamma/\omega_0 \ll 1$. For $\gamma=0.25$ the splitting is still moderate enough to be compatible with the mode energies determined from specific-heat analysis.¹⁹ The determination of the mode splitting and $\Omega_s(x)$ ($s = \phi, \psi$) requires the investigation of the dynamical magnetic and phononic structure function in INS experiments. The former should be proportional to $S_D(\omega)$ and the latter to $S_r(\omega)$. These functions are calculated from Eqs. (22) and (34), respectively, and are shown in Fig. 2. Away from the anticrossing region $S_D(\omega)$ has appreciable intensity only around the bare CEF excitation $\Delta(x)$ and $S_r(\omega)$ only around the bare rattling phonon frequency ω_0 . In the anticrossing region $S_{D,r}(\omega)$ have equal intensity at both split modes $\Omega_s(x)$. Observation of this feature by future INS experiments would directly confirm the vibronic mixed mode formation in $\text{Pr}(\text{Os}_{1-x}\text{Ru}_x)_4\text{Sb}_{12}$.

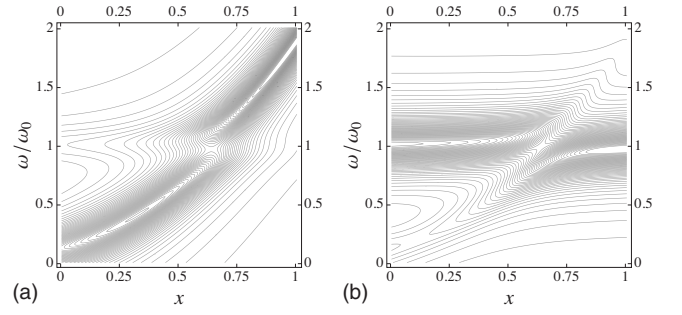


FIG. 2. Contour plots of dipolar $S_D(\omega)$ (left) and rattling phonon $S_r(\omega)$ (right) spectral functions. For better visibility of the vibronic effect we choose the coupling constant $\gamma=0.3$ and a linewidth $\Gamma_s/\omega_0=0.15$.

The static dipolar and quadrupolar susceptibilities $\chi_{D,Q}(\omega)$ are also accessible in experiments. However the former does not show an effect of the mode coupling γ but remains the unrenormalized singlet-triplet Van Vleck susceptibility [Eq. (23)]. Therefore no information on the mode splitting can be gained from it. The behavior of $\chi_D(x)$ ($T \rightarrow 0$) using a constant matrix element b_D in comparison to experimental data from Ref. 6 is shown on the top of Fig. 3. Up to $x \approx 0.4$ the behavior is in agreement however for larger x the experimental values show no further decrease. This may be in part due to the increasing relative importance of higher levels when the singlet-triplet $\Delta(x)$ increases (Fig. 1). Furthermore if the tetrahedral CEF part increases with x the dipolar matrix element $b_D \sim d$ will also increase leading to a larger χ_D at higher x . There is evidence from the superconducting pair-breaking behavior discussed in the next section that this is indeed the case. The quadrupolar (Γ_5 -type) susceptibility may be obtained from the $T=0$ suppression of the appropriate (c_{44}) symmetry elastic constant. The suppression gets larger for increasing vibronic coupling γ at small x . Therefore, measuring $\Delta c_{44}(T \rightarrow 0; x)$ may be used as an indirect means to determine the coupling strength.

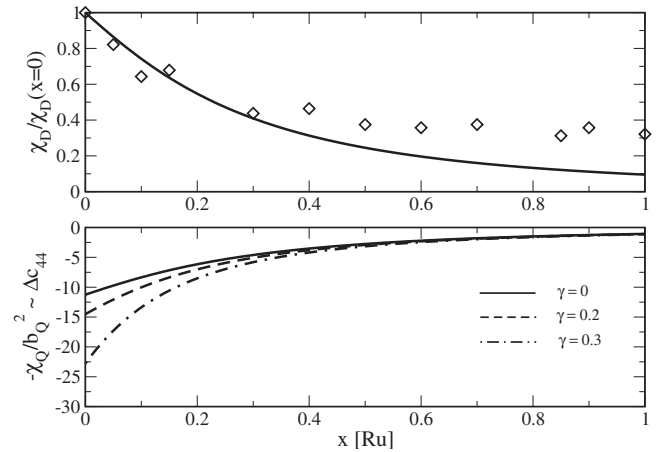


FIG. 3. The top panel shows the calculated $T=0$ dipolar susceptibility (which is independent of vibronic coupling γ) in comparison to experimental values from Ref. 6. Bottom panel shows the (γ -dependent) $T=0$ quadrupolar susceptibility. It is proportional to the $T=0$ elastic constant reduction Δc_{44} .

V. SUPERCONDUCTING PAIR FORMING AND PAIR BREAKING BY VIBRONIC EXCITATIONS

The effective pairing interaction for the formation of Cooper pairs in skutterudites consists of three contributions: (i) harmonic phonons, (ii) local CEF excitations, and (iii) low-energy rattling (anharmonic) phonons. The former two have been included in the model for $\text{La}_{1-y}\text{Pr}_y\text{Os}_4\text{Sb}_{12}$ (Ref. 11) ($y=\text{Pr}$ concentration) and the latter were proposed for the pyrochlore superconductor KOs_2O_6 in Ref. 29. However the NMR relaxation,¹⁶ ultrasonic experiments,¹⁷ and specific-heat measurements¹⁹ have indicated that rattling phonons are also present in La-, Pr-skutterudite compounds and therefore may contribute to the effective pairing mechanism. In fact the INS experiments in $\text{CeRu}_4\text{Sb}_{12}$ have shown²⁸ the existence of a flat optical-phonon mode at $\omega_0=6$ meV within the acoustic-phonon band which shows little hybridization with the latter. The flat optical mode was interpreted as a (Ce-) guest (rattling) mode within the rigid cage structure formed by Sb_{12} icosahedrons. This mode is anharmonic and the effective frequency $\omega_e(T)$ is temperature dependent. Around T_c , however, this may be neglected since $\omega_e(T)$ has reached its low-temperature asymptotic value ω_0 . In the case of $\text{La}_{1-y}\text{Pr}_y\text{Os}_4\text{Sb}_{12}$ ($x=0$) which was studied in Ref. 11 one has $\Delta \ll \omega_0$, therefore the interaction of rattling phonon and singlet-triplet excitation may be neglected. This may not hold in $\text{Pr}(\text{Os}_{1-x}\text{Ru}_x)_4\text{Sb}_{12}$ for general x because $\Delta(x)$ crosses ω_0 at $x_c \sim 0.65$. Therefore, the nonadiabatic vibronic spectral function should be used in modeling the effective pairing interaction for arbitrary Ru content x . We mention that “nonadiabatic” refers to the localized $4f$ electron-phonon interaction, not to the conduction electron-phonon term.

Experimentally it was found early in Ref. 6 that $T_c(x)$ has a minimum close to $x_c \approx 0.65$. Therefore, the question arises whether this is tied to a suggested vibronic mode splitting or to a different origin. The most convenient starting point for a theoretical description is the $T_c^0(x)$ background variation in $\text{La}(\text{Os}_{1-x}\text{Ru}_x)_4\text{Sb}_{12}$ which is determined by the harmonic and rattling phonon mechanism. The microscopic model behind will not be further specified. The symmetry of the superconducting order parameter in the skutterudites is presumably of (anisotropic) extended s -wave type.^{9,11} For the present purpose we ignore the superconducting gap anisotropy. The presence of $4f$ states in $\text{Pr}(\text{Os}_{1-x}\text{Ru}_x)_4\text{Sb}_{12}$ leads to a scattering of conduction electrons from singlet-triplet CEF excitations. This modifies the pair amplitude and changes the background $T_c^0(x)$ of the La compound to a renormalized $T_c(x)$. This process is due to exchange and aspherical Coulomb scattering of conduction electrons from the $4f$ shell given by¹¹

$$H_{sf} = -I_{ac} \sum_{\mathbf{q}\mathbf{k}, n\sigma} f_n(\mathbf{q}) O_{\mathbf{q}\mathbf{n}} c_{\mathbf{k}\sigma}^\dagger c_{\mathbf{k}+\mathbf{q}\sigma} - (g_J - 1) I_{ex} \sum_{\mathbf{q}\mathbf{k}, n\sigma} \sigma_n^{\sigma\sigma'} J_{\mathbf{q}\mathbf{n}} c_{\mathbf{k}\sigma}^\dagger c_{\mathbf{k}+\mathbf{q}\sigma'}. \quad (35)$$

Here $O_{\mathbf{q}\mathbf{n}} = \sum_{\mathbf{i}} O_n(\mathbf{i}) \exp(i\mathbf{q}\mathbf{R}_i)$ ($n=yz, zx, xy$) and $J_{\mathbf{q}\mathbf{n}} = \sum_{\mathbf{i}} J_n(\mathbf{i}) \exp(i\mathbf{q}\mathbf{R}_i)$ ($n=x, y, z$) are quadrupolar and dipolar operators, respectively. Furthermore $g_J=4/5$ is the Landé factor and $f_{n\mathbf{q}} = \hat{q}_y \hat{q}_z, \hat{q}_z \hat{q}_x, \hat{q}_x \hat{q}_y$ are quadrupolar form factors

($\hat{\mathbf{q}} = \mathbf{q}/|\mathbf{q}|$). The principal effect of H_{sf} on superconducting properties of $4f$ compounds with CEF splitting has been investigated in Ref. 33. It was found that for singlet superconductors aspherical Coulomb (quadrupolar) scattering which supports pair formation and enhances T_c^0 because O_n [Eq. (5)] is even under time reversal. In contrast the exchange term leads to pair breaking and reduces the background T_c^0 because J_n is odd under time reversal. For the case of having only a twofold Kramers degenerate ground-state level the latter is described by the well-known Abrikosov-Gorkov³⁴ theory. The modified $T_c(x)$ of $\text{Pr}(\text{Os}_{1-x}\text{Ru}_x)_4\text{Sb}_{12}$ includes both effects because the singlet-triplet excitations have dipolar as well as quadrupolar character due to the tetrahedral CEF (Sec. II). In the present case their magnetoelastic interaction with rattling phonons leads to vibronic excitation modes with modified dipolar and quadrupolar matrix elements. Generalization of the expressions for pure CEF systems in Refs. 11 and 33 to vibronic excitations leads to an equation for the renormalized T_c given by

$$-\frac{8}{\pi} \left(\frac{T_c}{T_c^0} \right) \ln \left(\frac{T_c}{T_c^0} \right) = \rho_Q \sum_s u_{Qs}^2(\Omega_s) F \left(\frac{\Omega_s}{2T_c} \right) + \rho_D \sum_s u_{Ds}^2(\Omega_s) G \left(\frac{\Omega_s}{2T_c} \right). \quad (36)$$

Here u_{Ds}^2, u_{Qs}^2 are given by Eqs. (17) and (26). The dimensionless vibronic pair forming and breaking strengths $\rho_Q(x)$ and $\rho_D(x)$ for the quadrupolar and dipolar conduction electron scattering channels due to H_{sf} are given by

$$\rho_Q(x) = y \hat{\rho}_Q(x) \frac{b_Q^2(x)}{b_Q^2(0)} \frac{1}{t_c^0(x)},$$

$$\hat{\rho}_Q(x) = \frac{2\pi N_F I_{ac}^2 \langle f^2 \rangle}{T_c^0(0)} 3b_Q^2(0),$$

$$\rho_D(x) = y \hat{\rho}_D(x) \frac{b_D^2(x)}{b_D^2(0)} \frac{1}{t_c^0(x)},$$

$$\hat{\rho}_D(x) = \frac{2\pi N_F I_{ex}^2 (g_J - 1)^2}{T_c^0(0)} 3b_D^2(0), \quad (37)$$

where y is the Pr content. In the present case of $\text{Pr}(\text{Os}_{1-x}\text{Ru}_x)_4\text{Sb}_{12}$ we have $y=1$. Furthermore N_F is the conduction electron density of states (DOS) of $\text{La}(\text{Os}_{1-x}\text{Ru}_x)_4\text{Sb}_{12}$ at the Fermi level and $t_c^0(x) = T_c^0(x)/T_c^0(0)$ the normalized background transition temperature of $\text{La}(\text{Os}_{1-x}\text{Ru}_x)_4\text{Sb}_{12}$ ($y=0$) with $T_c(0)=0.74$ K. The quadrupolar and dipolar matrix elements b_Q, b_D are given in Appendix A and $\langle f^2 \rangle$ is the Fermi-surface averages of the quadrupolar form factors (independent of n). The functions F, G in Eq. (36) which correspond to pair formation and pair breaking, respectively, in principle, depend explicitly on temperature via the thermal occupation of excited vibronic levels.³³ However in the present case we have $\Delta(x)/2T_c^0(x) \gg 1$ and therefore $\Omega_s(x)/2T_c^0(x) \gg 1$ for all Ru concentrations x . Then we may use the low-temperature limit for F, G in which case we have, defining $x_s = \Omega_s/2T_c$,

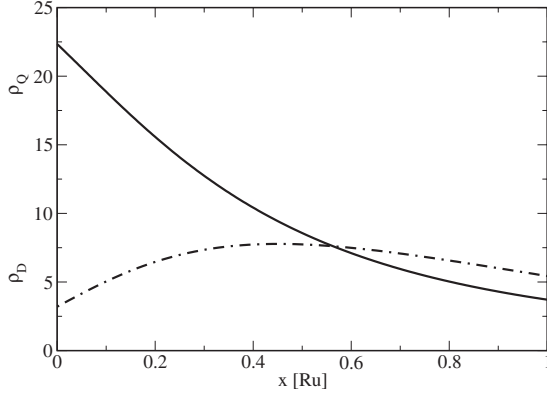


FIG. 4. Crossover from pair forming ($T_c > T_c^0$) at $x=0$ with $\rho_Q > \rho_D$ to pair breaking at $x=1$ with $\rho_Q < \rho_D$ ($T_c < T_c^0$). At $x=0$ $\rho_D/\rho_Q=1/7$ as in Ref. 11 which produces the experimental enhancement $T_c/T_c^0=2.5$. At $x=1$ $\rho_D/\rho_Q=1.43$ is adjusted to the experimental depression $T_c/T_c^0=0.34$.

$$F(x_s) = -\frac{1}{x_s} + S_1(x_s),$$

$$G(x_s) = \frac{1}{x_s} - S_1(x_s) + S_2(x_s) = -F(x_s) + S_2(x_s). \quad (38)$$

Here, $S_{1,2}(x_s)$ are combinations of digamma functions derived in Ref. 33 and given in Appendix B for completeness. The dimensionless $\rho_Q(x)$, $\rho_D(x)$ parameters in Eqs. (36) and (37) depend on the Ru content x via three factors: (i) the quadrupolar and dipolar matrix elements $b_i(x)$ ($i=Q, D$) which are determined by the x -dependent CEF parameters $x_{CF}(x)$ and $y_{CF}(x)$ (Appendix A) (ii) The dimensionless interaction constants $\hat{\rho}_i(x)$ depend on x through conduction electron DOS N_F and possibly also through the interaction strengths I_{ac}, I_{ex} . (iii) The background normalized transition temperature $t_c^0(x)$.

A model for these Ru concentration dependences is needed as input to calculate the $T_c(x)$ for the Pr compound from Eq. (36) or $T_c(x)/T_c^0(x)$ normalized to the $\text{La}(\text{Os}_{1-x}\text{Ru}_x)_4\text{Sb}_{12}$ value. The model for $b_i(x)$ ($i=Q, D$) and $\hat{\rho}_i(x)$ is described in Appendix A. The background $T_c^0(x)$ is determined experimentally only for the stoichiometric cases ($x=0, 1$). The interpolation in Fig. 5 is used for intermediate concentrations. The resulting x dependence of pair-forming and pair-breaking parameters $\rho_Q(x)$ and $\rho_D(x)$ obtained from Eq. (37) is shown in Fig. 4 and fit procedure and parameters are explained in Appendix A and in the caption. The decrease in ρ_Q is mostly due to the increase in $t_c^0(x) = T_c^0(x)/T_c^0(0)$ (dashed-dotted curve in Fig. 5). On the other hand ρ_D has to increase with x in order to achieve the crossover from T_c enhancement [$T_c(0)/T_c^0(0)=2.5$] at $x=0$ to T_c depression [$T_c(1)/T_c^0(1)=0.34$] at $x=1$. This observed crossover from pair forming to pair breaking by CEF excitations is only possible if the dipolar scattering strength increases with x . This is in part due to the increase in the tetrahedral CEF parameter y_{CF} (Appendix A) since for small y_{CF} the dipolar matrix element $d \sim y_{CF}^2$ shows a strong increase with y_{CF} . The latter is compensated by the $t_c^0(x)$ increase leading

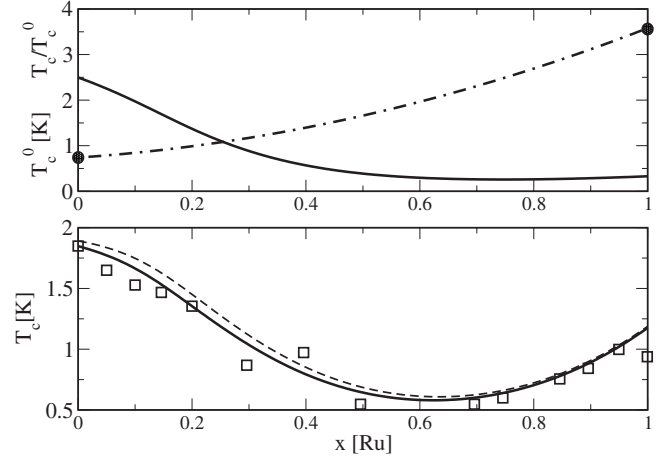


FIG. 5. Dependence of critical temperature on x (Ru content). Top: dashed-dotted line shows interpolated $T_c^0(x)$ curve for $\text{La}(\text{Os}_{1-x}\text{Ru}_x)_4\text{Sb}_{12}$. Only $T_c^0(0)=0.74$ K and $T_c^0(1)=3.58$ K (full circles) are experimentally known values. Full line shows the calculated renormalization factor $T_c(x)/T_c^0(x)$ (with $\gamma=0$). Note that $T_c^0(0) < T_c(0)=1.85$ K but $T_c^0(1) > T_c(1)=1.20$ K. Bottom: $T_c(x)$ for $\text{Pr}(\text{Os}_{1-x}\text{Ru}_x)_4\text{Sb}_{12}$. Full line is obtained from top panel. The experimental T_c data (squares) are taken from susceptibility results in Ref. 6. The minimum is due to a decreasing $T_c(x)/T_c^0(x)$ and an increasing $T_c^0(x)$. Dashed line ($\gamma=0.15$) shows that effect of vibronic level splitting on $T_c(x)$ is small.

to an almost flat $\rho_D(x)$ for large x . The associated crossover from $T_c(x)/T_c^0$ enhancement to reduction obtained from Eq. (36) is shown in Fig. 5 with an almost flat reduction factor at large x . Altogether, because the background $T_c^0(x)$ strongly increases with x , the renormalized $T_c(x)$ exhibits a minimum for intermediate concentrations (bottom Fig. 5). The experimental values from Ref. 6 are shown for comparison (squares). The $T_c(x)$ curve was calculated for $\gamma=0$ and moderate $\gamma=0.15$ with only small difference, especially for larger x (around the minimum region). The precise form of $\rho_Q(x)$ and $\rho_D(x)$ cannot be determined presently because no reliable information on CEF parameters and matrix elements for intermediate x is available. However, the crossover from mainly pair forming at small x to pair-breaking behavior at large x (Fig. 4) is robust. We conclude that the vibronic splitting does not play an essential role in the $T_c(x)$ minimum formation. This is due to the fact that for $x \approx x_c$ close to the crossing region already $\Omega_s(x_c)/2T_c \gg 1$ where the pair-breaking functions $S_{1,2}(x_s)$ (Appendix B) vary slowly with x_s . Therefore, the coupling $\gamma > 0$ hardly affects T_c for larger x . Its effect would be much bigger if the mode crossing would appear at energies comparable to T_c , i.e., $\Omega_s(x)/2T_c \approx 1$. In the case of $\text{Pr}(\text{Os}_{1-x}\text{Ru}_x)_4\text{Sb}_{12}$ this is not possible because already for $x=0$ we have $\Delta_0/2T_c^0=5.4$.

One may conclude that the $T_c(x)$ minimum is not directly linked to the crossing of rattling phonon mode and CEF excitation found in Ref. 19. It is rather a combined effect involving the crossover from T_c/T_c^0 enhancement to reduction and the increase in the background $T_c^0(x)$. In this scenario the observed $T_c(x)$ minimum also does not imply or suggest a symmetry change in the order parameter from below to above the Ru concentration at the minimum.

VI. SUMMARY AND CONCLUSION

In this work the possible nonadiabatic effects of CEF singlet-triplet excitations and rattling phonons of rare-earth guests in the host cages of $\text{Pr}(\text{Os}_{1-x}\text{Ru}_x)_4\text{Sb}_{12}$ have been investigated. This is suggested by specific-heat experiments of Miyazaki *et al.*¹⁹ which show a crossing of triplet excitation and rattling phonon energies at an intermediate Ru content.

It has been proposed that a magnetoelastic coupling between the singlet-triplet excitations and the local rattling modes should lead to vibronic splitting and mixed-mode formation around the crossing point. These features can be detected in the spectral functions measured by INS experiments. It should also be observable in the low-temperature depression of the symmetry elastic constant as function of Ru concentration which measures directly the quadrupolar susceptibility of the vibronic excitations. On the other hand the magnetic susceptibility is not affected by the mode splitting. Its comparison with experiment indicates an increase in dipolar matrix elements for increasing x and possibly the influence of the higher lying triplet $\Gamma_4^{(1)}$.

The superconducting $T_c(x)$ behavior of $\text{Pr}(\text{Os}_{1-x}\text{Ru}_x)_4\text{Sb}_{12}$ shows an enhancement at small and reduction at larger Ru concentration compared to the background $T_c^0(x)$ of $\text{La}(\text{Os}_{1-x}\text{Ru}_x)_4\text{Sb}_{12}$. It also exhibits a minimum at intermediate concentration. The analysis presented here suggest that this behavior is the result of a crossover between primarily quadrupolar pair formation and mainly dipolar pair-breaking mechanism originating in the singlet-triplet excitations. The vibronic coupling has little influence on the existence of the minimum (Fig. 5) because of the large singlet-triplet splitting for $x \approx 0.65$ in comparison to T_c . The minimum is rather a combined effect of a decreasing enhancement factor and the increasing background $T_c^0(x)$. An essential feature of the model is a growing dipolar (magnetic) character of the triplet for larger Ru content which may be due to an increase in the tetrahedral crystal-field part. For a better determination of the model parameters it would therefore be important to determine the CEF parameters for intermediate Ru content by INS experiments. The experimental knowledge of $T_c^0(x)$ of $\text{La}(\text{Os}_{1-x}\text{Ru}_x)_4\text{Sb}_{12}$ in the whole Ru concentration range would also allow further improvement of the model.

ACKNOWLEDGMENTS

The author would like to thank Y. Aoki and H. Sato for discussion and for drawing his attention to the subject.

APPENDIX A

In this appendix we summarize basic properties of the tetrahedral CEF model and interaction model needed in the analysis. The CEF potential in tetrahedral symmetry is given in Ref. 21. Aside from an overall scale W it is determined by two parameters $x_{\text{CF}}(x)$, $y_{\text{CF}}(x)$ which characterize fourfold cubic and tetrahedral contributions, respectively. These parameters will depend on the Ru content x . The same is then true for dipolar and quadrupolar matrix elements $b_D^2(x) = (20/3)d^2(x)$ and $b_Q^2(x) = (140/3)[1 - d^2(x)]$ which depend on a mixing parameter d given by²²

$$d^2(x) = \frac{1}{2} \left\{ 1 - \frac{3 + 2x_{\text{CF}}(x)}{[3 + 2x_{\text{CF}}(x)]^2 + 1008y_{\text{CF}}(x)^2} \right\}. \quad (\text{A1})$$

It is a measure of the tetrahedral CEF since $d=0$ for $y_{\text{CF}}=0$. In this model the singlet-triplet $\Gamma_1 - \Gamma_4^{(2)}$ splitting is given by²²

$$\Delta(x) = 2W[36 - 58x_{\text{CF}}(x)] - 4W\{[3 + 2x_{\text{CF}}(x)]^2 + 1008y_{\text{CF}}^2(x)\}^{1/2}. \quad (\text{A2})$$

For $\text{PrOs}_4\text{Sb}_{12}$ ($x=0$) we use $x_{\text{CF}}(0)=0.45$ and $y_{\text{CF}}(0)=0.1$.²² In $\text{PrRu}_4\text{Sb}_{12}$ ($x=1$) the CEF splitting is much larger. This suggests that $x_{\text{CF}}(1)$ is close to zero according to Ref. 35. Furthermore for $x=1$ the dipolar matrix element b_D^2 and hence y_{CF} has to increase. Therefore, we use the set $x_{\text{CF}}(1)=0.0$ and $y_{\text{CF}}(1)=0.2$. It leads to comparable intensities for the $\Gamma_1 \rightarrow \Gamma_4^{(2)}$ (84 K) and $\Gamma_1 \rightarrow \Gamma_4^{(2)}$ (145 K) transitions in qualitative agreement with INS results in Ref. 36. Furthermore the CEF scale factor in Eq. (A2) is given by $W \approx 1.9$ K leading to $\Delta(0)=8$ K and $\Delta(1)=84$ K. For general x , an interpolation between values at $x=0,1$ is employed according to

$$x_{\text{CF}}(x) = x_{\text{CF}}^0(1-x) + x_{\text{CF}}^1x + x_{\text{CF}}^2x(1-x) \quad (\text{A3})$$

and similar for $y_{\text{CF}}(x)$. The interpolation parameter sets $(x_{\text{CF}}^0, x_{\text{CF}}^1, x_{\text{CF}}^2) = (0.45, 0, 0)$ and $(y_{\text{CF}}^0, y_{\text{CF}}^1, y_{\text{CF}}^2) = (0.1, 0.2, 0.1)$ have been used for calculating $d^2(x)$ from Eq. (A1) and then $b_i^2(x)$ ($i=Q, D$) needed in Eq. (37). These CEF parameters also reproduce the experimental $\Delta(x)$ behavior.

For the calculation of pair-forming and pair-breaking functions in Eq. (37) one needs the dimensionless interaction constants $\hat{\rho}_i(x)$ ($i=Q, D$) in addition to the CEF matrix elements. The former are obtained by a similar interpolation as in Eq. (A3) with the parameter sets $(\hat{\rho}_Q^0, \hat{\rho}_Q^1, \hat{\rho}_Q^2) = (22.34, 22.34, 0)$ and $(\hat{\rho}_D^0, \hat{\rho}_D^1, \hat{\rho}_D^2) = (3.2, 10.3, 6.0)$. The $\hat{\rho}_i^{0,1}$ are chosen such that for $x=0,1$ the absolute value of $T_c(x)$ corresponds to the experimental one in Fig. 5. Finally the $\hat{\rho}_i^2$ are adjusted to obtain the minimum position and value of $T_c(x)$.

APPENDIX B

The functions $S_{1,2}(x)$ in Eq. (38) were derived in Ref. 33 and are given here for completeness,

$$S_1(x) = \frac{4x}{\pi^4} \text{Re} \sum_{n=0}^{\infty} \frac{1}{\left(n + \frac{1}{2}\right)} \frac{1}{\left(n + \frac{1}{2} - \frac{ix}{\pi}\right)^2} \left[\psi\left(1 + n - \frac{ix}{\pi}\right) - \psi\left(\frac{1}{2}\right) \right],$$

$$S_2(x) = \frac{8}{\pi^3} \text{Im} \sum_{n=0}^{\infty} \frac{1}{\left(n + \frac{1}{2} - \frac{ix}{\pi}\right)^2} \left[\psi\left(1 + n - \frac{ix}{\pi}\right) - \psi\left(\frac{1}{2}\right) \right], \quad (\text{B1})$$

where $\psi(z) = d \ln \Gamma(z) / dz$ is the digamma function.³⁷

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