

# Fractional quantum Hall effect and featureless Mott insulators

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We point out and explicitly demonstrate a close connection that exists between featureless Mott insulators and fractional quantum Hall liquids. Using *magnetic Wannier states* as the single-particle basis in the lowest Landau level (LLL), we demonstrate that the Hamiltonian of interacting bosons in the LLL maps onto a Hamiltonian of a featureless Mott insulator on triangular lattice, formed by the magnetic Wannier states. The Hamiltonian is remarkably simple and consists only of short-range repulsion and ring-exchange terms.

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## I. INTRODUCTION

The goal of this work is to explicitly demonstrate a close connection that exists between two paradigmatic strongly correlated systems: a Mott insulator and a fractional quantum Hall liquid (FQHL). The connection is to some degree almost obvious. Fractional quantum Hall effect (FQHE) arises when a two-dimensional (2D) liquid of interacting charged quantum particles (either fermions or bosons), placed in a perpendicular magnetic field, becomes incompressible at certain commensurate filling factors, i.e., ratios of the number of particles to the number of available degenerate single-particle states in lowest Landau level (LLL), which is equal to the number of magnetic-flux quanta piercing the sample. Mott insulator is a very similar thing: an incompressible state arising at specific filling factors, in this case given by the ratio of the number of particles to the number of available degenerate localized Wannier orbitals in a given crystal lattice. An important difference between a FQHL and a Mott insulator is that, while the FQHL is a *liquid*, i.e., is featureless and does not break any symmetries, a Mott insulator can be either a *liquid* or a *crystal*, i.e., either be featureless or break the underlying lattice symmetry. In fact, in most cases, at a general fractional filling factor (for bosons, the filling factor is defined here as the ratio of the number of particles to the number of orbitals; for electrons, it is half that ratio), a Mott insulator will break symmetry, as happens, for example, in the parent compounds of the cuprate superconductors.<sup>1</sup> The connection is thus between a FQHL and a *featureless Mott insulator*. This, in our opinion, is the main point that makes this connection interesting. Featureless Mott insulators have been actively searched for in recent years, both experimentally and theoretically.<sup>2</sup> Even though a lot of progress has been made, in particular concrete microscopic models with featureless Mott insulator ground states have been proposed,<sup>3</sup> the general ingredients, which are necessary in a microscopic model to obtain a featureless Mott insulator ground state, are not yet known. We believe that the FQHL connection may prove to be a useful contribution to this field.

While (at least superficially) rather obvious, the FQHL to Mott insulator connection has been largely unexplored. Only very recently, it was explicitly pointed out in a series of papers by Lee *et al.*<sup>4</sup> and by Bergholtz and Karlhede.<sup>5</sup> It was demonstrated in these works that for a quantum Hall system

on a torus, there exists a limit, namely, the quasi-one-dimensional (quasi-1D) limit, reached when one of the dimensions of the torus is made comparable to or even smaller than the magnetic length, in which the Mott insulator connection becomes simple and explicit and the fractional quantum Hall liquid becomes a simple crystal (not a featureless Mott insulator), with Landau-orbital positions playing the role of the “lattice sites.” It was further demonstrated that the evolution from the quasi-1D to the physical two-dimensional (2D) limit is (in many cases) smooth, with the 2D fractional quantum Hall liquid ground state inheriting the discrete degeneracy of the 1D crystal, but in the form of a topological degeneracy as the fractional quantum Hall liquid is featureless.

While very elegant and appealing, the picture of Refs. 4 and 5 has some imperfections. The first one is that, while the evolution from the quasi-1D to the 2D limit may be smooth, the 2D thermodynamic limit is still singular in the sense that the 2D fractional quantum Hall liquid is certainly not a simple crystal with a broken translational symmetry that obtains in the quasi-1D limit, but is a featureless liquid with topological order. Moreover, the evolution from the 1D to the 2D limits is in fact not always smooth: for example, it is not smooth in the case of the  $\nu=1/2$  composite fermion Fermi-liquid state.<sup>5</sup>

It would be more satisfying to have an approach that could establish the Mott insulator to FQHL connection directly in two dimensions. The main obstacle here is a problem with notation. Namely, the standard choices for LLL orbital eigenstates, such as, e.g., Landau-gauge orbitals used in Refs. 4 and 5, are delocalized. This means that the energy cost for doubly occupying such orbitals vanishes in the 2D thermodynamic limit. Then it becomes hard to make an analogy to Mott insulator, since the Mott insulator physics is most easily described in terms of prohibiting double occupation of some orbitals or nearest-neighbor groups of orbitals. This physics is completely obscured if one uses delocalized states as single-particle basis. What is needed to make the FQHL to Mott insulator connection is a single-particle LLL basis, that would consist of functions, localized in all directions in the 2D plane, analogous to Wannier functions in insulators. This appears to be problematic. It is well known<sup>6</sup> that constructing exponentially localized Wannier orbitals in the LLL is impossible: exponential localization and a nonvanishing topological invariant, the Chern number, which characterizes Landau levels and which is the source of the precisely quan-

tized Hall conductance, are incompatible. For our purposes, however, exponential localization is unnecessary: all we need is a basis of normalizable orbitals, which have a finite-energy cost of double occupation. It was explicitly demonstrated by Rashba *et al.*<sup>7</sup> that it is in fact possible to construct exactly such a basis of quasilocated Wannier-like orbitals, called *magnetic Wannier functions* in Ref. 7: these wave functions have a Gaussian core and a  $1/r^2$  tail,  $1/r^2$  being the fastest decay compatible with a nontrivial Chern number. While not exponentially localized, these magnetic Wannier orbitals are normalizable and have a finite-energy cost of double occupation. It will be demonstrated in this paper that using magnetic Wannier functions as a single-particle basis in the LLL, it is possible to map the problem of interacting particles in 2D in a strong magnetic field onto a problem of interacting particles on triangular lattice with one magnetic-flux quantum per unit cell, described by a short-range time-reversal invariant Hamiltonian. While we believe that the final result is valid, with possible minor modifications, for either bosons or fermions, the arguments, leading to this result, work only for bosons. We will thus focus henceforth on the FQHE of charged bosons. The above mapping then implies that at the filling factors, at which the bosons in the LLL exhibit FQHE, the ground state of the equivalent model on the triangular lattice is a featureless Mott insulator with topological order.

The rest of the paper is organized as follows. In Sec. II, we review, for reader's convenience, the construction of the magnetic Wannier basis and point out why its straightforward application to our problem is nontrivial. In Sec. III, it is demonstrated that the magnetic Wannier basis Hamiltonian has an emergent low-energy long-wavelength symmetry that drastically reduces the number of terms in the Hamiltonian and makes it possible to construct a simple short-range lattice Hamiltonian, faithfully representing bosons in the LLL. In Sec. IV, we explicitly discuss the physical properties of this lattice Hamiltonian and we conclude with a brief summary of the results in Sec. V.

## II. MAGNETIC WANNIER BASIS

We will start by reviewing, for reader's convenience, the construction of the magnetic Wannier basis, proposed in Ref. 7. One starts from the zero-angular-momentum symmetric gauge LLL wave function:

$$c_0(\mathbf{r}) = \frac{1}{\sqrt{2\pi\ell^2}} e^{-r^2/4\ell^2}, \quad (1)$$

where  $\ell$  is the magnetic length. This wave function has the form of an atomlike orbital, centered at the origin. To construct a complete set of such atomlike orbitals in the LLL, one can translate the above wave function, using magnetic translation operators, to sites of any 2D Bravais lattice. *A priori*, the only restriction one can place on the form of this lattice is that the unit cell must contain exactly one magnetic-flux quantum or, in other words, its area must be equal to  $2\pi\ell^2$ . However, it will be demonstrated below that in fact the most natural choice is the triangular lattice. Translating  $c_0(\mathbf{r})$  to sites of this triangular lattice, we obtain

$$c_{\mathbf{m}}(\mathbf{r}) = T_{m_1\mathbf{a}_1} T_{m_2\mathbf{a}_2} c_0(\mathbf{r}) = \frac{(-1)^{m_1 m_2}}{\sqrt{2\pi\ell^2}} e^{-(\mathbf{r}-\mathbf{r}_{\mathbf{m}})^2/4\ell^2 + (i/2\ell^2)\hat{z}\cdot(\mathbf{r}\times\mathbf{r}_{\mathbf{m}})}, \quad (2)$$

where  $\mathbf{a}_1 = a\hat{x}$ ,  $\mathbf{a}_2 = a(\hat{x} + \sqrt{3}\hat{y})/2$  are the basis vectors of the triangular lattice,  $\mathbf{m} = (m_1, m_2)$  with integer  $m_i$  label the lattice sites, and  $T_{\mathbf{R}} = \exp[-i\mathbf{R}\cdot(\mathbf{p} - e\mathbf{A}/c)]$  are the magnetic translation operators (we will take the charge of the bosons to be  $-e$  and assume the symmetric gauge  $\mathbf{A} = \frac{1}{2}\mathbf{B}\times\mathbf{r}$ ). The requirement that the unit cell contains exactly one magnetic-flux quantum gives  $|\mathbf{a}_1 \times \mathbf{a}_2| = 2\pi\ell^2$ , fixing the lattice constant in our case to be  $a = \sqrt{4\pi\ell^2/\sqrt{3}}$ .

The set of functions  $c_{\mathbf{m}}(\mathbf{r})$  looks similar to a complete but nonorthogonal set of atomic orbitals in a crystal. However, this appearance is deceptive, since this set of functions in fact possesses a very nontrivial property that makes it very different from a simple set of localized atomic orbitals. This property is embodied in the following identity, first established by Perelomov:<sup>8</sup>

$$\sum_{\mathbf{m}} (-1)^{m_1+m_2} c_{\mathbf{m}}(\mathbf{r}) = 0. \quad (3)$$

Equation (3) means that the set of functions  $c_{\mathbf{m}}(\mathbf{r})$  is in fact *overcomplete* by exactly one state. This identity is the origin of the nontrivial topological properties of the *magnetic Bloch states*, which we will construct below as linear combinations of  $c_{\mathbf{m}}(\mathbf{r})$ , namely, the nontrivial Chern number characterizing the LLL. It will also play a very important role in our analysis.

Given the set of atomlike wave functions  $c_{\mathbf{m}}(\mathbf{r})$ , one can follow the standard procedure to construct magnetic Wannier functions. One first constructs Bloch functions out of linear combinations of  $c_{\mathbf{m}}(\mathbf{r})$  as

$$\Psi_{\mathbf{k}}(\mathbf{r}) = \frac{1}{\sqrt{N_{\phi}\nu(\mathbf{k})}} \sum_{\mathbf{m}} c_{\mathbf{m}}(\mathbf{r}) e^{i\mathbf{k}\cdot\mathbf{r}_{\mathbf{m}}}. \quad (4)$$

Here,  $N_{\phi}$  is the number of degenerate states in the LLL, which is equal to the number of magnetic-flux quanta piercing the sample, and  $\nu(\mathbf{k})$  is a normalization factor. Assuming Bloch functions are normalized to unity over the sample area, the normalization factor is given by

$$\nu(\mathbf{k}) = \sum_{\mathbf{m}} (-1)^{m_1 m_2} e^{-\mathbf{r}_{\mathbf{m}}^2/4\ell^2} e^{-i\mathbf{k}\cdot\mathbf{r}_{\mathbf{m}}}. \quad (5)$$

The momentum  $\mathbf{k}$  belongs to the first Brillouin zone (BZ) of the triangular lattice and is given by  $\mathbf{k} = k_1\mathbf{b}_1 + k_2\mathbf{b}_2$ , where  $\mathbf{b}_1 = (\hat{x} - \hat{y}/\sqrt{3})/a$ ,  $\mathbf{b}_2 = 2\hat{y}/a\sqrt{3}$  are the basis vectors of the reciprocal lattice. Imposing periodic boundary conditions with respect to *magnetic translations* along the basis directions  $\mathbf{a}_{1,2}$  fixes  $k_{1,2}$  to be  $k_{1,2} = 2\pi n_{1,2}/\sqrt{N_{\phi}}$  with integers  $n_{1,2}$  satisfying  $-\sqrt{N_{\phi}}/2 \leq n_{1,2} < \sqrt{N_{\phi}}/2$ . It follows from Eq. (3) that the normalization factor  $\nu(\mathbf{k})$  vanishes at  $\mathbf{k} = \mathbf{k}_0$  corresponding to  $(k_1, k_2) = (\pi, \pi)$ . As shown in Ref. 7, the Bloch function at this momentum is still, however, well defined and can be found by carefully taking the limit  $\mathbf{k} \rightarrow \mathbf{k}_0$  in Eq. (3). Magnetic Wannier functions are then obtained from the Bloch functions by the inverse Fourier transform

$$\phi_{\mathbf{m}}(\mathbf{r}) = \frac{1}{\sqrt{N_\phi}} \sum_{\mathbf{k}} \Psi_{\mathbf{k}}(\mathbf{r}) e^{-i\mathbf{k}\cdot\mathbf{r}_{\mathbf{m}}}. \quad (6)$$

These functions form a complete orthonormal set of states by construction. For further in-depth discussion of the properties of these wave functions, see Ref. 7.

Given the complete orthonormal set of magnetic Wannier functions  $\phi_{\mathbf{m}}(\mathbf{r})$ , we can write down the Hamiltonian of interacting bosons, projected to the LLL, using this basis. The Hamiltonian has the following general form:

$$H = \sum_{\mathbf{m}_1, \dots, \mathbf{m}_4} \langle \mathbf{m}_1 \mathbf{m}_2 | V | \mathbf{m}_3 \mathbf{m}_4 \rangle b_{\mathbf{m}_1}^\dagger b_{\mathbf{m}_2}^\dagger b_{\mathbf{m}_4} b_{\mathbf{m}_3}, \quad (7)$$

where  $b_{\mathbf{m}}^\dagger$  creates a boson in a magnetic Wannier state  $\phi_{\mathbf{m}}(\mathbf{r})$  and we will assume the repulsive interaction between the bosons  $V$  to be a contact interaction:  $V(\mathbf{r}-\mathbf{r}') = V\delta(\mathbf{r}-\mathbf{r}')$ . The matrix elements in Eq. (7) can be easily evaluated numerically. One finds that all these matrix elements are non-zero in the thermodynamic limit and are short range, in the (imprecise) sense of decreasing in magnitude rapidly with the separation between the sites. However, even if one assumes that only the matrix elements between nearest-neighbor sites may be retained, one still obtains a very complex Hamiltonian with a lot of distinct terms, since the only obvious symmetry Eq. (7) possesses is the symmetry of the triangular lattice. In its raw form, the magnetic Wannier basis Hamiltonian is then rather useless. It turns out, however, that this Hamiltonian does in fact possess a hidden symmetry, which is revealed in the low-energy long-wavelength limit, in the sense to be defined precisely below.

### III. MAGNETIC WANNIER BASIS HAMILTONIAN IN THE LONG-WAVELENGTH LIMIT

To proceed, let us consider our Hamiltonian not in the Wannier but in the magnetic Bloch basis, given by Eq. (4). Explicitly evaluating the matrix element of the contact interaction in the Bloch basis, we obtain

$$H = \sum_{\mathbf{k}, \mathbf{q}, \mathbf{q}', \mathbf{q}''} I(\mathbf{k}, \mathbf{q}, \mathbf{q}', \mathbf{q}'') \delta_{\mathbf{q}+\mathbf{q}', \mathbf{q}''+\mathbf{G}} b_{\mathbf{k}+\mathbf{q}}^\dagger b_{\mathbf{k}+\mathbf{q}'}^\dagger b_{\mathbf{k}+\mathbf{q}''} b_{\mathbf{k}}, \quad (8)$$

where  $\mathbf{k}, \mathbf{q}, \mathbf{q}', \mathbf{q}''$  belong to the first BZ,  $\delta_{\mathbf{q}+\mathbf{q}', \mathbf{q}''+\mathbf{G}}$  expresses momentum conservation modulo a reciprocal-lattice vector  $\mathbf{G}$ , and the interaction matrix element is given by

$$\begin{aligned} I(\mathbf{k}, \mathbf{q}, \mathbf{q}', \mathbf{q}'') &= V \int d\mathbf{r} \Psi_{\mathbf{k}+\mathbf{q}}^*(\mathbf{r}) \Psi_{\mathbf{k}+\mathbf{q}'}^*(\mathbf{r}) \Psi_{\mathbf{k}+\mathbf{q}''}(\mathbf{r}) \Psi_{\mathbf{k}}(\mathbf{r}) \\ &= \frac{V/4\pi\ell^2}{\sqrt{\nu(\mathbf{k}+\mathbf{q})\nu(\mathbf{k}+\mathbf{q}')\nu(\mathbf{k}+\mathbf{q}'')\nu(\mathbf{k})}} \\ &\quad \times \frac{1}{N_{\phi_{\mathbf{m}_1, \mathbf{m}_2, \mathbf{m}_3}}} \sum_{\mathbf{m}_1, \mathbf{m}_2, \mathbf{m}_3} (-1)^{m_{11}m_{12}+m_{21}m_{22}+m_{31}m_{32}} \\ &\quad \times e^{-(1/8\ell^2)[r_{\mathbf{m}_1}^2+r_{\mathbf{m}_2}^2+(r_{\mathbf{m}_1}-r_{\mathbf{m}_3})^2+(r_{\mathbf{m}_2}-r_{\mathbf{m}_3})^2]} \\ &\quad \times e^{(i/4\ell^2)\hat{z}\cdot[(r_{\mathbf{m}_1}+r_{\mathbf{m}_2})\times r_{\mathbf{m}_3}]} e^{-i(\mathbf{k}+\mathbf{q})\cdot\mathbf{r}_{\mathbf{m}_1}} e^{-i(\mathbf{k}+\mathbf{q}')\cdot\mathbf{r}_{\mathbf{m}_2}} \\ &\quad \times e^{i(\mathbf{k}+\mathbf{q}'')\cdot\mathbf{r}_{\mathbf{m}_3}}. \end{aligned} \quad (9)$$

We now note the following property of the magnetic Bloch functions. Using Eq. (3), we can rewrite Eq. (4) for the magnetic Bloch function as

$$\Psi_{\mathbf{k}}(\mathbf{r}) = \frac{1}{\sqrt{N_\phi\nu(\mathbf{k})}} \sum_{\mathbf{m}} c_{\mathbf{m}}^*(\mathbf{r}) e^{i[\mathbf{k}+(1/\ell^2)\hat{z}\times\mathbf{r}]\cdot\mathbf{r}_{\mathbf{m}}}. \quad (10)$$

Using the complex conjugate of the Perelomov overcompleteness identity Eq. (3), we then find that the zeros of the Bloch function  $\Psi_{\mathbf{k}}(\mathbf{r})$  are located at

$$\mathbf{r}_{\mathbf{mk}} = \mathbf{r}_{\mathbf{m}} + \frac{1}{2}(\mathbf{a}_1 + \mathbf{a}_2) + \ell^2\hat{z} \times \mathbf{k}. \quad (11)$$

The zeros of the magnetic Bloch functions thus form a triangular lattice, with one magnetic-flux quantum per unit cell. Different values of the first BZ momentum  $\mathbf{k}$  label different positions of this lattice of zeros relative to the lattice formed by the basis magnetic Wannier states. Since wave functions in the LLL are fully specified, up to phase factors, by their zeros, it follows that the Bloch functions can be identified with the Abrikosov vortex lattice states, which form the set of ground states of Eq. (8) at large filling factors. From the viewpoint of the Hamiltonian in the magnetic Bloch basis, the Abrikosov vortex lattice states correspond to condensation of the bosons in states with a particular momentum  $\mathbf{k}$ . ‘‘Condensation’’ here should be understood in the sense of the Bloch states being the solutions of the LLL-projected Gross-Pitaevskii equation, which is satisfied by the boson fields  $b_{\mathbf{k}}$  (which become  $c$  numbers in the limit of large filling factor)

$$\frac{\partial H}{\partial b_{\mathbf{k}}^*} - \mu b_{\mathbf{k}} = 0, \quad (12)$$

where  $\mu$  is the chemical potential. The solution of this equation, corresponding to the triangular Abrikosov vortex lattice, is given by

$$\mu = 2I(\mathbf{k}, 0, 0, 0) |b_{\mathbf{k}}|^2, \quad (13)$$

which determines the filling factor  $\nu = |b_{\mathbf{k}}|^2/N_\phi$  in terms of the chemical potential. It will be demonstrated below that  $I(\mathbf{k}, 0, 0, 0)$  is independent of  $\mathbf{k}$ , so that all such solutions describe degenerate states at the same filling factor, as they should.

The fact that the magnetic Bloch functions correspond to Abrikosov vortex lattice states now leads us to the following observation: the functions  $\Psi_{\mathbf{k}}(\mathbf{r})$  at different  $\mathbf{k}$  must be related to each other by magnetic translations. Indeed, we find the following relation:

$$\Psi_{\mathbf{k}}(\mathbf{r}) = e^{i\gamma_{\mathbf{k}}} e^{(i/2)\mathbf{k}\cdot\mathbf{r}} \Psi_0(\mathbf{r} - \ell^2\hat{z} \times \mathbf{k}). \quad (14)$$

Here, the factor  $e^{(i/2)\mathbf{k}\cdot\mathbf{r}}$  is an Aharonov-Bohm phase factor from the magnetic translation operator and  $e^{i\gamma_{\mathbf{k}}}$  is given by

$$\begin{aligned}
e^{i\gamma\mathbf{k}} &= \frac{\Psi_0^*(-\ell^2\hat{z}\times\mathbf{k})}{\Psi_{\mathbf{k}}(0)} \\
&= \frac{1}{\sqrt{\nu(0)\nu(\mathbf{k})}} \sum_{\mathbf{m}} (-1)^{m_1 m_2} e^{-(1/4\ell^2)(\mathbf{r}_{\mathbf{m}} + \ell^2\hat{z}\times\mathbf{k})^2 - (i/2)\mathbf{k}\cdot\mathbf{r}_{\mathbf{m}}}.
\end{aligned} \tag{15}$$

It is important to note that while  $\Psi_{\mathbf{k}+\mathbf{G}}(\mathbf{r}) = \Psi_{\mathbf{k}}(\mathbf{r})$  as it should,  $e^{i\gamma\mathbf{k}+\mathbf{G}} \neq e^{i\gamma\mathbf{k}}$ . From Eq. (14), it immediately follows that the interaction matrix element in Eq. (8) can be written as

$$I(\mathbf{k}, \mathbf{q}, \mathbf{q}', \mathbf{q} + \mathbf{q}') = I(0, \mathbf{q}, \mathbf{q}', \mathbf{q} + \mathbf{q}') f^*(0, \mathbf{q}, \mathbf{q}') f(\mathbf{k}, \mathbf{q}, \mathbf{q}'), \tag{16}$$

where all the  $\mathbf{k}$  dependence is contained in the function

$$f(\mathbf{k}, \mathbf{q}, \mathbf{q}') = e^{-i(\gamma_{\mathbf{k}+\mathbf{q}'} + \gamma_{\mathbf{k}+\mathbf{q}} - \gamma_{\mathbf{k}} - \gamma_{\mathbf{q}+\mathbf{q}'})}. \tag{17}$$

It is clear from the above expressions that all Abrikosov lattice states, corresponding to condensation of the bosons in states with different  $\mathbf{k}$ , are degenerate, as they should be.

Let us now see what the Abrikosov vortex lattice states correspond to in the Wannier basis. Transforming the boson creation operator from the Bloch to the Wannier basis

$$b_{\mathbf{m}}^{\dagger} = \frac{1}{\sqrt{N_{\phi}}} \sum_{\mathbf{k}} b_{\mathbf{k}}^{\dagger} e^{i\mathbf{k}\cdot\mathbf{r}_{\mathbf{m}}}, \tag{18}$$

one can immediately see that the condensation (in the sense defined above) of the bosons into Bloch states corresponds to states with uniform phase winding along the basis directions of the triangular lattice in the Wannier basis, with the phase gradient given by the momentum  $\mathbf{k}$ . This nature of the Abrikosov vortex lattice states has important consequences.

First consequence, that can be seen immediately, is that the imaginary-time action, corresponding to long-wavelength boson field phase fluctuations about a given Abrikosov state, will lack the usual  $(\nabla\theta)^2$  term, characteristic of superfluids, since all states with uniform phase gradients have the same energy. Instead, the action will have the form (after appropriate rescaling of the time and spatial coordinates)

$$S \sim \int d\tau d\mathbf{r} [(\partial_{\tau}\theta)^2 + (\nabla^2\theta)^2]. \tag{19}$$

It then follows that the dispersion of small fluctuations around an Abrikosov lattice state is quadratic instead of linear (this holds provided the LLL approximation is valid)  $\omega \sim \mathbf{q}^2$ . This result is well known and has been obtained before by a number of authors.<sup>9-12</sup> The above derivation of this result, using magnetic Wannier functions, is probably the simplest and the most physically transparent. The fact that the excitation spectrum is quadratic, instead of linear, immediately leads one to the conclusion<sup>12</sup> that Bose condensation or true off-diagonal long-range order is absent in this system. This does not necessarily mean, however, that the system is not superfluid: as was shown in Ref. 12, the vortices are still localized at large filling factors and thus the superfluid stiffness is finite. As the filling factor is reduced, however, one expects a transition from the Abrikosov vortex lattice state (a

vortex solid) into vortex liquid states, some of which will be incompressible quantum Hall liquids.<sup>13</sup> It is these states that are of primary interest to us.

The second and the most important consequence for our purposes is that the lack of the  $(\nabla\theta)^2$  term in the phase action actually follows from an *emergent conservation law*: namely, the conservation of the center of mass of the bosons in any collision process, which becomes exact at long wavelengths. To see this, we again return to the expression for the interaction matrix element in the Bloch basis, Eqs. (16) and (17). It may seem at first sight that all the  $\mathbf{k}$ -dependent phase factors, which appear in Eq. (17), could be removed by a gauge transformation of the boson creation-annihilation operators, i.e.,  $b_{\mathbf{k}} e^{i\gamma\mathbf{k}} \rightarrow b_{\mathbf{k}}$ , accompanied by the corresponding redefinition of the Bloch functions  $\Psi_{\mathbf{k}}(\mathbf{r}) e^{-i\gamma\mathbf{k}} \rightarrow \Psi_{\mathbf{k}}(\mathbf{r})$ . This is, however, generally not possible due to the fact that  $e^{i\gamma\mathbf{k}}$  does not have the same periodicity in the reciprocal space as the Bloch functions (the whole function  $f$ , of course, does have the same periodicity as the Bloch functions). To proceed, we will make an approximation: we will assume that we can restrict ourselves to configurations of the boson fields, corresponding to long-wavelength distortions of the classical Abrikosov lattice ground states. This is certainly a harmless approximation in the vortex lattice state itself and should remain harmless even for vortex liquids as long as the vortex lattice correlation length  $\xi$  is larger than the magnetic length. This is somewhat analogous to the semiclassical nonlinear-sigma-model treatment of low-dimensional quantum antiferromagnets,<sup>14</sup> which can successfully describe quantum-disordered states in these systems. This approximation implies smallness of the excitation momenta  $\mathbf{q}, \mathbf{q}'$  compared to the reciprocal-lattice momenta. We introduce a cut-off scale  $\Lambda$  for the momenta  $\mathbf{q}, \mathbf{q}'$ , so that  $|\mathbf{q}|, |\mathbf{q}'| < \Lambda$ , and assume that  $\Lambda$  satisfies the inequality  $1/\xi \ll \Lambda \ll 1/\ell$ . To leading order in the small parameter  $\Lambda\ell$ , we can then set  $f(\mathbf{k}, \mathbf{q}, \mathbf{q}') \approx 1$  and the interaction matrix element in Eq. (8) becomes independent of  $\mathbf{k}$ . Transforming the Hamiltonian to the magnetic Wannier basis, we obtain Eq. (7), with  $\mathbf{m}$  labeling the magnetic Wannier states and the interaction matrix element given by

$$\langle \mathbf{m}_1 \mathbf{m}_2 | V | \mathbf{m}_3 \mathbf{m}_4 \rangle = g_{\Lambda}(\mathbf{m}_1 - \mathbf{m}_4, \mathbf{m}_2 - \mathbf{m}_3) \delta_{\mathbf{m}_1 + \mathbf{m}_2, \mathbf{m}_3 + \mathbf{m}_4}, \tag{20}$$

where the function  $g_{\Lambda}$  depends on the (very loosely defined) momentum cutoff  $\Lambda$  and its explicit form is thus rather meaningless (in addition, in a more careful derivation, this function would be modified by integrating out excitations with  $|\mathbf{q}|, |\mathbf{q}'| > \Lambda$ ). The physically meaningful information in Eq. (20) is contained in the Kronecker delta symbol, which expresses the conservation of the center-of-mass position of the boson pairs, mentioned above, and is a consequence of the approximate independence of the interaction matrix element in Eq. (8) on  $\mathbf{k}$ . Such a center-of-mass position conservation, but only in one spatial direction, is obvious and exact in the Landau-gauge orbital basis, where it appears as a direct consequence of the momentum conservation in the transverse direction.<sup>4</sup> In our formulation, first BZ momentum is no longer exactly conserved (it is conserved up to a

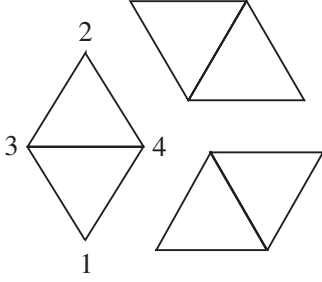


FIG. 1. Three types of smallest-size four-site plaquettes  $P$  in Eq. (21) on the triangular lattice. Ring-exchange term hops a pair of bosons on sites 1 and 2 to sites 3 and 4 and back.

reciprocal-lattice vector), since our choice of the single-particle basis explicitly breaks translational symmetry (this is a price we have to pay for using spatially localized single-particle states). The center-of-mass conservation then becomes an emergent conservation law, which becomes exact at long wavelengths.

#### IV. SHORT-RANGE RING-EXCHANGE MODEL ON TRIANGULAR LATTICE

The center-of-mass conservation law, derived above, drastically reduces the number of terms in the Wannier basis Hamiltonian. The final approximation we will make, the justification for which will be provided below, is that we can retain only the shortest-range terms in the Wannier Hamiltonian. This is a harmless approximation provided the characteristic range of the matrix element Eq. (20), which is of order  $1/\Lambda$ , is much smaller than the vortex lattice correlation length  $\xi$ . This was precisely the assumption we made in the argument leading to Eq. (20) and thus Eq. (20) and the above approximation are consistent with each other.

We then arrive at the following simple short-range lattice Hamiltonian on the triangular lattice, which we conjecture faithfully represents interacting bosons in the LLL

$$H = -K \sum_P b_{m_1}^\dagger b_{m_2}^\dagger b_{m_4} b_{m_3} + U \sum_{\mathbf{m}} n_{\mathbf{m}}^2 + \sum_{\mathbf{m}\mathbf{m}'} V_{\mathbf{m}\mathbf{m}'} n_{\mathbf{m}} n_{\mathbf{m}'}. \quad (21)$$

Equation (21) is the main result of our paper. The first term in Eq. (21) is the shortest-range ring-exchange term on the triangular lattice (there are three distinct kinds of plaquettes  $P$ , as shown in Fig. 1), which is the shortest range and thus the dominant center-of-mass conserving pair hopping term. The second term is on-site repulsion term ( $n_{\mathbf{m}} = b_{\mathbf{m}}^\dagger b_{\mathbf{m}}$ ). The third term represents longer-range repulsion. The relevant range of  $V_{\mathbf{m}\mathbf{m}'}$  depends on the boson Landau-level filling factor  $\nu$  (i.e., should be at least of the order of the mean interparticle distance for a given filling factor) and can be restricted to only nearest-neighbor repulsive interactions at  $\nu = 1/2$ .

The sign of  $K$  is important and can be fixed by requiring that Eq. (21) reproduce the correct ground state at large filling factors, i.e., the Abrikosov vortex lattice. It is easy to see that at large filling factors the ground state of Eq. (21) with

$K > 0$  is in fact the Abrikosov vortex lattice. Indeed, in this classical limit, we may replace boson operators by  $c$  numbers. The dominant repulsive interaction term in Eq. (21) is the on-site repulsion term. In the classical limit, this will favor equal boson density on all the lattice sites. The nature of the ground state will then be determined by the ring-exchange term, which is the only term in Eq. (21), that depends on the phases of the bosons and has the form

$$H = -2K \sum_P \cos(\theta_{m_1} + \theta_{m_2} - \theta_{m_3} - \theta_{m_4}), \quad (22)$$

where  $\theta_{\mathbf{m}}$  is the phase of the boson field  $b_{\mathbf{m}}$ . It is easy to show<sup>15</sup> that the set of ground states of Eq. (22) with  $K > 0$  corresponds to all possible uniform phase gradients along the basis directions  $\mathbf{a}_{1,2}$  of the triangular lattice. As already shown in Sec. III, this corresponds precisely to Abrikosov vortex lattice states. Since all states with uniform phase gradients are degenerate, one also obtains the quadratic dispersion for small phase fluctuations around any of the ground states. The fact that Eq. (21) correctly reproduces both the ground state and the excitation spectrum of the original boson Hamiltonian Eq. (7) at large filling factors reassures us that it will in fact faithfully represent Eq. (7) at all filling factors, with properly chosen ring exchange and interaction parameters.

In particular, let us now consider the case of the filling factor  $\nu = 1/2$ . For bosons with contact interaction, the exact ground state in this case is the  $\nu = 1/2$  Laughlin liquid<sup>16</sup>

$$\Psi(z_1, \dots, z_N) = \prod_{i < j} (z_i - z_j)^2 \exp\left(-\sum_i |z_i|^2 / 4\ell^2\right). \quad (23)$$

By our conjecture that Eq. (21) faithfully represents bosons in the LLL, the ground state of this Hamiltonian at filling factor  $1/2$  is then a featureless Mott insulator with topological order. We expect this to be true when  $K < V$ , where  $V$  is the strength of the nearest-neighbor repulsive interactions ( $U$  is the dominant interaction energy scale and can be taken to be large compared to  $V$ ). Assuming  $U \gg K, V$ , the bosons can be taken to be hard core, i.e., with double occupation of any site of the lattice prohibited. Using Holstein-Primakoff transformation<sup>17</sup> between hard-core bosons and spins of magnitude  $1/2$ , we can rewrite Eq. (21) as the following model of interacting spins  $\frac{1}{2}$  on the triangular lattice

$$H = -K \sum_P S_{m_1}^+ S_{m_2}^+ S_{m_4}^- S_{m_3}^- + V \sum_{\langle \mathbf{m}\mathbf{m}' \rangle} S_{\mathbf{m}}^z S_{\mathbf{m}'}^z. \quad (24)$$

The ground state of Eq. (24) at  $K=0$  has extensive degeneracy, corresponding to all possible configurations of  $S_i^z$  with at most one unsatisfied bond per every triangular plaquette of the lattice.<sup>18,19</sup> When this degeneracy is lifted by a small two-spin interaction term of the form  $-J(S_i^+ S_j^- + \text{H.c.})$ , the ground state is known to be a *supersolid*,<sup>20</sup> i.e., a state which has both long-range order in the  $x, y$  components of the spin and a finite-wave vector ordering of the  $z$  components. Our mapping between Eq. (24) and the  $\nu = 1/2$  fractional quantum Hall liquid means that the ground state of Eq. (24), in contrast, is a *spin liquid*, i.e., a state with a gapped excitation spectrum and topology-dependent ground-state degeneracy,

which is the same as a featureless Mott insulator in the bosonic language. When  $K \gg V$ , we expect the ground state to be a compressible liquid with a quadratic excitation spectrum,<sup>15</sup> most likely a superfluid.

A somewhat subtle issue, which requires special consideration, is the issue of the ground-state degeneracy of the featureless Mott insulator ground state of Eq. (24) and the nature of its quasiparticle excitations. The ground-state degeneracy of the  $\nu=1/2$  Laughlin liquid on a torus is twofold and the quasiparticles are anyons of charge  $\pm e/2$ .<sup>21</sup> Both the twofold degeneracy and the anyonic nature of the quasiparticles depend crucially on the fact that the time-reversal symmetry is broken by the perpendicular magnetic field.<sup>21,22</sup> However, the Hamiltonians (21) and (24) are manifestly time-reversal invariant. The information about the time-reversal symmetry breaking is contained in the Wannier functions  $\phi_{\mathbf{m}}(\mathbf{r})$ , but not in the center-of-mass conserving interaction matrix elements  $\langle \mathbf{m}_1 \mathbf{m}_2 | V | \mathbf{m}_3 \mathbf{m}_4 \rangle$ , which are all real, as can be seen by inspection of Eq. (9). The matrix elements, which do carry the information about the time-reversal breaking, are the ones that do not conserve the center of mass, as these matrix elements are in general complex. The simplest kind of such a matrix element, and also the one that has the largest magnitude at short distances, is the ‘‘correlated hopping’’-type matrix element with, for example,  $\mathbf{m}_1 = \mathbf{m}_3, \mathbf{m}_2 \neq \mathbf{m}_4$ . It is clear that such a matrix element is, in general, complex. It is also very easy to see why such matrix elements are irrelevant at long distances (but see below): one simply needs to notice that

$$\sum_{\mathbf{m}} \langle \mathbf{m} \mathbf{m}_1 | V | \mathbf{m} \mathbf{m}_2 \rangle \sim \delta_{\mathbf{m}_1, \mathbf{m}_2}. \quad (25)$$

Our main assumption is that this irrelevance continues to hold even at low filling factors, such as  $\nu=1/2$ . This should be true as long as the correlation length in a given state is significantly larger than the magnetic length. The only problem with this is that the topological degeneracy on a torus of the incompressible liquid ground state of Eq. (24), which is time-reversal invariant, has to be equal to 4 (assuming the quasiparticle charge is  $\pm e/2$ , as in the Laughlin state),<sup>22</sup> i.e., double the degeneracy of the Laughlin liquid.

The most natural resolution of this apparent paradox seems to be as follows. The set of four degenerate ground states of Eq. (24) must consist of two pairs of degenerate states, each pair corresponding to the Laughlin liquid with the magnetic field directed along  $\hat{z}$  or  $-\hat{z}$ , as Eq. (24) is invariant under time reversal. It then follows that each such pair of states breaks time-reversal symmetry spontaneously. The spin liquid ground state of Eq. (24) is then a Kalmeyer-Laughlin-type chiral liquid,<sup>23</sup> which spontaneously breaks parity and time-reversal symmetry.<sup>24</sup> The quasiparticle excitations above such a state are charge  $\pm e/2$  anyons, as in the

Laughlin liquid.<sup>25</sup> The role of the complex center-of-mass nonconserving matrix elements  $\langle \mathbf{m}_1 \mathbf{m}_2 | V | \mathbf{m}_3 \mathbf{m}_4 \rangle$ , which explicitly break time-reversal symmetry, such as the correlated hopping matrix elements mentioned above, is to act as a small ‘‘symmetry-breaking field’’ that lifts the degeneracy between the two pairs of states, but is otherwise unimportant. One then obtains a twofold-degenerate ground state on a torus with anyonic quasiparticle excitations, exactly as in the  $\nu=1/2$  Laughlin liquid. This scenario is very appealing, especially in light of the fact that there are so far only two examples of microscopic models in the literature, which have been shown to have a chiral spin liquid ground state.<sup>26,27</sup> Both these models, however, are significantly more complicated than Eq. (24). Our result is of course only a conjecture at this point and needs to be verified by an explicit numerical simulation.

## V. CONCLUSIONS

In conclusion, we have derived an explicit mapping between the Hamiltonian of interacting bosons in the LLL and a time-reversal invariant Hamiltonian of interacting bosons on the triangular lattice with one flux quantum per unit cell, Eq. (21). At the filling factors, at which the bosons in the LLL condense into incompressible quantum Hall liquid states (such as  $\nu=1/2$ ), the ground state of this lattice Hamiltonian is a featureless Mott insulator with topological order and spontaneously broken time-reversal symmetry. The ground-state degeneracy of the featureless Mott insulator state on a torus is thus predicted to be equal to twice the ground-state degeneracy of the corresponding Laughlin state, i.e., four in the case of filling factor 1/2. By the same logic, at odd-denominator filling factors, such as  $\nu=1/3$ , the ground states of Eq. (21) should be compressible but nonsuperfluid liquids (‘‘Bose metals’’),<sup>28</sup> corresponding to composite fermion Fermi-liquid ground states of 2D bosons in magnetic field.<sup>29</sup> All these predictions are testable by either quantum Monte Carlo simulations, since Eq. (21) does not have a sign problem, or by exact diagonalization of Eq. (21). While we have demonstrated the FQHL to featureless Mott insulator connection for the case of interacting bosons, we believe that our conclusions also hold, with possible minor modifications, in the case of interacting fermions as well, since the physics of the FQHE and of Mott insulators does not depend significantly on the statistics of the particles.

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