

Critical sizes for the submersion of alkali clusters into liquid helium

Casey Stark and Vitaly V. Kresin

Department of Physics and Astronomy, University of Southern California, Los Angeles, California 90089-0484, USA

(Received 4 August 2009; revised manuscript received 23 December 2009; published 1 February 2010)

Alkali atoms do not stably embed in liquid helium-4 because the interatomic attractive potential is unable to overcome the short-range Pauli repulsion of the s electrons and the surface tension cost of the surrounding bubble. Similarly, small alkali complexes reside on the surface of helium nanodroplets instead of inside. However, as the size of the metal cluster increases, its van der Waals attraction to the helium matrix grows faster than the repulsive energies and above a certain size it should become favorable for clusters to submerge in the liquid. Based on an evaluation of the relevant energy terms, we characterize the bubble dimensions and estimate the critical submersion sizes. The latter range from $N \sim 20$ for Li_N and Na_N to $N > 100$ for Rb_N in helium-4 and from $N \sim 5$ for Li_N and Na_N to $N \sim 20$ for Cs_N in helium-3. These results are discussed in the context of nanodroplet pick-up experiments with alkali atoms.

DOI: [10.1103/PhysRevB.81.085401](https://doi.org/10.1103/PhysRevB.81.085401)

PACS number(s): 68.08.Bc, 34.20.Cf, 36.40.-c

I. INTRODUCTION

A powerful technique for the study of cold atoms, molecules, and clusters is helium nanodroplet isolation (see, e.g., the reviews in Refs. 1 and 2), whereby a beam of superfluid $^4\text{He}_n$ nanodroplets picks up, entraps, and transports one or more atoms or molecules, while cooling them to subkelvin temperatures. Interestingly, while most impurity atoms and molecules localize near the center of the droplet, alkali and alkaline atoms³⁻⁵ prefer to remain bound in surface “dimples” because the Pauli repulsion between their s valence electrons and those of the helium suppresses the interatomic attractive force.⁶⁻⁸ This mirrors the notable weakness of the interaction between helium films and alkali substrates.^{9,10}

As a result, one would expect alkali-metal clusters to have difficulty forming on the droplets: the addition of each new atom requires the dissipation of ~ 1 eV of condensation energy,^{11,12} an amount that is so much greater than the strength of the alkali atom-helium surface binding (~ 2 meV⁷) that the cluster would be immediately blown off. Indeed, initially only dimers and trimers in predominantly high-spin configurations were identified on droplet surfaces,¹³⁻¹⁵ consistent with the fact that such metastable states have a factor of $\sim 10-50$ lower binding energies. However, subsequent experiments have revealed that larger clusters do get produced by the He_n pick-up technique: Na_N and K_N ions up to $N=25$ have been detected^{16,17} (as well as Rb_{1-5}^+ and Cs_{1-3}^+). The probability of large complexes assembling in spin-polarized configurations is exceedingly low,¹⁸ therefore they are almost certainly in their ground-state metallic forms.

Do these larger clusters still hang onto the droplet surface, or do they sink into the liquid at some point? In this paper we present an estimate of the critical size for such a transition, which to our knowledge has not previously been addressed in any detail.

Similar to a submerged electron or alkali atom,⁸ an alkali cluster within liquid helium will become surrounded by a bubble caused by the Pauli repulsion at the metal-helium interface (Fig. 1). Hence the total binding energy $\mathcal{E}_{\text{solV}}$ of the

system will represent a balance of three interactions: the van der Waals attraction $E_{v,dW}$ between the cluster and the helium medium, the short-range repulsion E_{rep} of the alkali and helium atoms, and the surface tension cost of bubble formation. This needs to be compared with the energy $\mathcal{E}_{\text{surf}}$ of a cluster residing at the helium surface, where the surface-tension and repulsion effects are diminished, but the van der Waals attraction is also reduced. Thus if at a particular cluster size N_C the magnitude of $\mathcal{E}_{\text{solV}}$ exceeds that of $\mathcal{E}_{\text{surf}}$, solvation becomes beneficial for $N > N_C$.

Finite nanodroplet size may introduce a correction to the interaction energy, as can the diffuseness of the droplet surface.¹⁹ For submerged alkali atoms, van der Waals interaction energies incorporating integration over a finite radius have been discussed in Ref. 20. Since we will only consider relatively large droplets, such corrections can be neglected.

Thus we can formulate the problem as follows: how does the binding energy of a spherical metal cluster M_N of N atoms, total radius R_N , submerged into a large volume of helium and surrounded by a spherical cavity (bubble) of radius $R_b = R_N + \tau$ (Fig. 1),

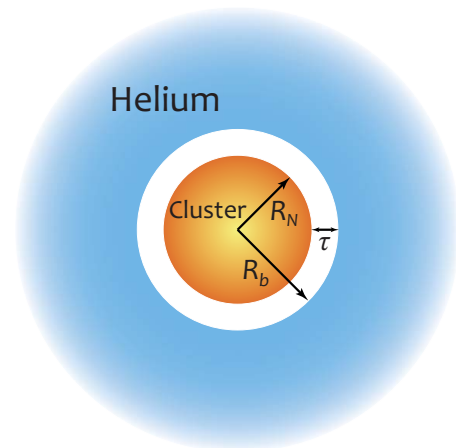


FIG. 1. (Color online) Model of an alkali nanocluster submerged in a liquid-helium bath, residing within a bubble.

TABLE I. Density and interatomic interaction parameters of the alkalis, and the calculated bubble dimensions and critical submersion cluster sizes for liquid ^4He and ^3He .

Cluster material	r_s^a	$C_6(E_h a_0^6)^b$	$C_{12}(E_h a_0^{12})^b$	^4He		^3He	
				$\tau(a_0)^c$	Submersion cluster size N_c^d	$\tau(a_0)^c$	Submersion cluster size N_c^d
Li	3.25	22.5	2.08×10^7	8.0	23	8.3	8
Na	3.93	24.7	2.78×10^7	7.8	21	8.1	7
K	4.86	38.9	8.47×10^7	8.6	78	8.9	13
Rb	5.20	44.6	1.11×10^8	8.8	131	9.1	16
Cs	5.63	51.2	1.71×10^8	9.1	625 ^e	9.4	24

^aReference 22.^bReference 7.^cMetal-helium gap calculated by using Eq. (6). Using the additive C_6 term in Eq. (1) or neglecting the spill-out effect reduces τ by only 4–7 %.^dSizes calculated using the expressions in Secs. III and IV.^eIndicative of lack of submersion, see text.

$$\mathcal{E}_{\text{solv}} = E_{\text{vdW}}^{M_N\text{-He}} + E_{\text{rep}}^{M_N\text{-He}} + 4\pi\sigma R_b^2, \quad (1)$$

vary with N , and compare with the surface binding energy of the same cluster?

II. ADDITIVE INTERACTION APPROXIMATION

The proposed model is close to that considered by Ancilotto *et al.*⁷ who examined the solvation of alkali atoms in helium by using the Lennard-Jones potential

$$V_{L-J}(r) = \frac{C_{12}}{r^{12}} - \frac{C_6}{r^6} \quad (2)$$

with the coefficients for metal atom-helium-atom interaction chosen to match Ref. 21 (see Table I). The surface tension of

the cavity walls included a curvature correction

$$\sigma(R_b) = \sigma_0(1 - \Delta/R_b) \quad (3)$$

($\sigma_0 \approx 2.4 \times 10^{-7} E_h a_0^{-2}$, $\Delta \approx 0.64 a_0$, where a_0 is the Bohr radius and E_h is the hartree energy unit⁷).

The most elementary way to extend this approach to a cluster of N alkali atoms ($R_N \approx a_0 r_s N^{1/3}$, r_s is the Wigner-Seitz parameter, see Table I) is to assume that the interaction in Eq. (2) is additive, and to approximate both materials by uniform continua of atom number densities n_M and n_{He} . Then the interaction energy is

$$E_{L-J}^{M_N\text{-He}} = \int_{0 \leq r_{cl} \leq R_N} dV_{cl} \int_{R_b \leq r_{\text{He}} \leq \infty} dV_{\text{He}} n_M n_{\text{He}} V_{L-J}(|\vec{r}_{cl} - \vec{r}_{\text{He}}|). \quad (4)$$

Here $n_M \approx (\frac{4}{3}\pi r_s^3)^{-1} a_0^{-3}$ and $n_{\text{He}} \approx 3.2 \times 10^{-3} a_0^{-3}$.

Integration over the cluster and helium volumes yields

$$E_{L-J}^{M_N\text{-He}} = \frac{16\pi^2 n_{\text{He}} n_M C_{12}}{135} \frac{R_N^3 R_b^3 (5R_N^4 + 14R_N^2 R_b^2 + 5R_b^4)}{(R_b^2 - R_N^2)^8} - \frac{\pi^2 n_{\text{He}} n_M C_6}{3} \left[\frac{2R_N R_b (R_N^2 + R_b^2)}{(R_b^2 - R_N^2)^2} + \ln \left(\frac{R_b - R_N}{R_N + R_b} \right) \right]. \quad (5)$$

The total binding energy is obtained by adding this expression to the surface-tension energy in Eq. (1), and the equilibrium bubble radius is then determined by minimizing this total energy with respect to R_b . Carrying out this procedure, we make the useful and plausible finding that the metal-helium separation gap τ remains essentially constant for all cluster sizes.²³ These gap values (Table I) are consistent with the bubble radii calculated for single atoms in Ref. 7. With this knowledge of R_b , the total binding energy $\mathcal{E}_{\text{solv}}$ can now be calculated as a function of N .

III. VAN DER WAALS ENERGIES

We should keep in mind, however, that computing the van der Waals interaction between extended bodies by pairwise addition is well known to be inaccurate: one obtains answers that are of the correct order of magnitude, but potentially off by a significant numerical factor.²⁴ A more rigorous approach is based on evaluating the energy of the normal modes of the system, as defined by the boundaries and the dielectric functions of its constituent bodies. Fortunately, for the sphere-in-

a-spherical-void geometry depicted in Fig. 1 the solution is available from Refs. 24 and 25. In these references the answer is given for the interaction free energy at a finite temperature, as a summation over discrete (imaginary) Matsub-

ara frequencies $i\xi_n$, where $\xi_n = n2\pi k_B T$. Since our focus is on the low-temperature case, we can convert the summation over n into an integration over ξ_n via the Euler-Maclaurin formula, resulting in the van der Waals energy

$$E_{vdW}^{M_N\text{-He}} = \frac{\hbar}{2\pi} \sum_{m=1}^{\infty} (2m+1) \int_0^{\infty} d\xi \ln \left[1 - \frac{m(m+1)(\varepsilon_M - 1)(\varepsilon_{\text{He}} - 1)}{[m\varepsilon_M + m + 1][(m+1)\varepsilon_{\text{He}} + m]} \left(\frac{R_N}{R_b} \right)^{2m+1} \right]. \quad (6)$$

The gap between the metal particle and the bubble wall is assigned $\varepsilon = 1$, and the metal (ε_M) and helium (ε_{He}) dielectric functions are evaluated at the imaginary frequencies $i\xi$.

For the dilute and weakly interacting liquid helium we can relate ε_{He} to the atomic dynamical polarizability, approximating the characteristic resonance frequency with the atomic ionization potential ($I_{\text{He}} = 0.90E_h$) (Ref. 24)

$$\varepsilon_{\text{He}} \approx 1 + 4\pi n_{\text{He}} \alpha_{\text{He}}(i\xi) \approx 1 + 4\pi n_{\text{He}} \frac{e^2}{m_e} \frac{2}{I_{\text{He}}^2 + \xi^2}. \quad (7)$$

Here m_e is the electron mass and 2 is the number of valence electrons in the atom, i.e., the oscillator strength.

For the alkali metals, in turn, we use the plasma-pole approximation

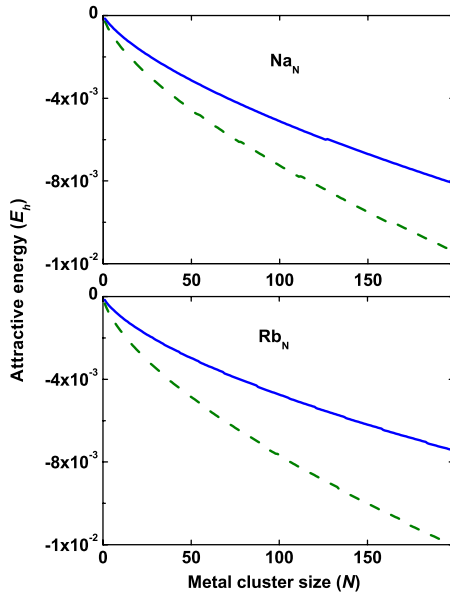


FIG. 2. (Color online) Examples of differences in the attractive cluster-helium van der Waals energy calculated by pairwise addition of the interatomic C_6/r^6 potential (dashed line) and the full expression in Eq. (6) (solid line). The energy scale is in atomic units, and N is the number of atoms in the cluster particle. Top plot: sodium and bottom plot: rubidium.

$$\varepsilon_M = 1 + \frac{\tilde{\omega}_p^2}{\xi^2}, \quad (8)$$

where $\tilde{\omega}_p$ is the metal plasma frequency reduced by the so-called electron spill-out correction. The correction reflects the fact that in a small nanocluster a non-negligible fraction of the electron cloud extends beyond the boundary of the ionic core, decreasing the effective electron density and thereby the plasma frequency. A convenient way to parametrize it is by introducing an adjusted electron-cloud radius²⁶ $R = R_N + \delta$, i.e., by writing

$$\tilde{\omega}_p^2 \approx \frac{4\pi n_M e^2}{m_e} \frac{R_N^3}{(R_N + \delta)^3}. \quad (9)$$

We use the value $\delta = 2a_0$, which is the average derived from two recent polarizability measurements on sodium-cluster beams,^{27,28} and should be an adequate approximation for the other alkalis as well.^{29,30} As will be seen later, the

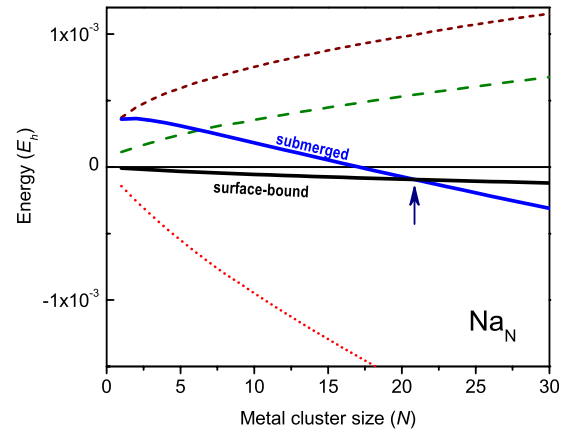


FIG. 3. (Color online) Appearance of cluster submersion with increasing size, for the example, of Na_N . The three dashed curves depict the three contributions to Eq. (1): van der Waals attraction [Eqs. (6)–(9), red dots], short-range repulsion [first term in Eq. (5), green long dash], and the surface-tension energy of the cavity wall [last term in Eq. (1) with the use of Eq. (3), brown short dash]. The solid blue line is the sum of these, i.e., the total binding energy of a solvated cluster, $\mathcal{E}_{\text{solv}}$. The solid black line is the binding energy at the surface, $\mathcal{E}_{\text{surf}}$, Eqs. (10)–(12). Submersion corresponds to the crossing of these lines, as marked by an arrow.

spill-out has a strong effect on the submersion size.

Figure 2 illustrates that as expected, pairwise addition of interatomic C_6/r^6 van der Waals attraction [second term in Eq. (5)] and the many-body expression (6) yield values that are analogous, but numerically distinct. Since the critical submersion sizes N_c are determined by the balance of several relatively shallow curves, this distinction leads to strong deviations in N_c .

We now employ Eqs. (6)–(9) as the attraction term $E_{vdW}^{M_N-\text{He}}$ in the total-energy expression (1). For the repulsion term $E_{rep}^{M_N-\text{He}}$, we continue to use the original C_{12} term on the right-hand side of Eq. (5), since its near-range character makes it quite a bit more local than the van der Waals attractive interaction.

Using this composition, the total binding energy $\mathcal{E}_{\text{solv}}$ from Eq. (1) can again be minimized to find the optimal bubble radius R_b (that is, the optimal metal-helium separation gap τ) for a given cluster size R_N . The resultant values of τ are listed in Table I. Again, they are found to be independent of cluster size,²³ and in fact very close to the values obtained from the more elementary calculation in Sec. II.

Using thus determined bubble dimensions, the total binding energy $\mathcal{E}_{\text{solv}}$ can now be calculated as a function of N . Figure 3 shows an example of the behavior of the three contributions entering Eq. (1) and of the total binding energy. This quantity can now be compared with the binding energy of the competing geometry: a nanocluster residing at the helium surface.

IV. SURFACE BINDING

For an estimate of $\mathcal{E}_{\text{surf}}$, the binding energy of a nanocluster residing at the surface of a helium droplet or a helium reservoir, we adopt the simple picture of a metal particle positioned a short distance d away from a plane surface of liquid helium. This evaluation does not incorporate the appearance of a size- and material-dependent dimple structure in the reduced-density surface layer of the liquid,^{1–3,31} but it has the advantage of being analytically tractable while yielding results of a reasonable magnitude.³² This picture involves two terms

$$\mathcal{E}_{\text{surf}} = E_{vdW,\text{surf}}^{M_N-\text{He}} + E_{rep,\text{surf}}^{M_N-\text{He}}. \quad (10)$$

The first is the van der Waals attraction between a metallic sphere and a dielectric plane, and is given²⁴ by an analog of Eq. (6)

$$E_{vdW,\text{surf}}^{M_N-\text{He}} = -\frac{\hbar}{8\pi} \left(\frac{R_N}{d} + \frac{R_N}{2R_N+d} \right) + \ln \frac{d}{2R_N+d} \int_0^\infty d\xi \frac{(\epsilon_M - 1)(\epsilon_{\text{He}} - 1)}{(\epsilon_M + 1)(\epsilon_{\text{He}} + 1)}. \quad (11)$$

For the minimum cluster-liquid separation distance we take the calculated³¹ width of the ^4He surface region: $d \approx 5 \text{ \AA}$. The dielectric functions are evaluated as in Eqs. (7)–(9).

The second term in Eq. (10) is calculated by pairwise summation of the Lennard-Jones C_{12} repulsion interaction

over the metallic nanocluster sphere and the bulk liquid. An expression for this sum has been derived in Ref. 33

$$E_{rep,\text{surf}}^{M_N-\text{He}} = \frac{\pi^2 n_{\text{He}} n_M}{7560} C_{12} \left[\frac{8R_N + d}{(2R_N + d)^7} + \frac{6R_N - d}{d^7} \right]. \quad (12)$$

As one might anticipate, this term makes only a small contribution to the total energy.

V. CRITICAL SIZES FOR SUBMERSION

Figure 3 illustrates the evolution of $\mathcal{E}_{\text{solv}}$ and $\mathcal{E}_{\text{surf}}$. Whereas the cluster-surface interaction, $\mathcal{E}_{\text{surf}}$, is attractive throughout, in the case of submersion the repulsive parts of the total energy initially dominate. As the cluster size increases, $\mathcal{E}_{\text{solv}}$ first changes sign and then finally exceeds the magnitude of $\mathcal{E}_{\text{surf}}$ at a certain cluster size N_c . This signifies that particles larger than this find it energetically beneficial to become submerged within the liquid. The corresponding critical cluster sizes N_c are tabulated in Table I.

The very large critical size calculated for Cs shows that for this metal the ratio of van der Waals attraction to short-range repulsion is particularly weak. This ties in with the experimental fact that bulk Cs is the only material not wetted by superfluid helium.³⁴ In fact, in view of the model's approximate nature, the value of $N_c \sim 600$ should be taken not literally, but rather as a signal of failing to submerge.³⁵

Although the electron spill-out correction to ω_p may seem moderate, leaving it out would decrease all N_c values by approximately 60% to $N_c \approx 10, 10, 30, 55, 200$ for Li, Na, K, Rb, Cs, respectively. The use of pairwise-additive C_6 energy from Sec. II would lead to $N_c \approx 5, 5, 10, 15, 25$. This estimate appears too low (for example, it would imply too efficient a wetting for Cs; furthermore, ionization profiles of sodium clusters on ^4He nanodroplets suggest¹⁶ that Na_n remains on the surface at least up to $n \sim 10$) and illustrates the merit of treating van der Waals interactions in a many-body fashion.²⁴

An analogous model calculation can be carried out for ^3He whose atomic-number density and surface tension are approximately 25% and 60% lower than those of ^4He , the latter factor reducing the energy cost of creating a cavity. Using the same values for C_6 , C_{12} , and Δ as before, and additional parameters from Refs. 7 and 31 ($n_{\text{He}} \approx 2.4 \times 10^{-3} a_0^{-3}$, $\sigma_0 \approx 1.0 \times 10^{-7} E_h a_0^{-2}$, and $d \approx 6 \text{ \AA}$), we obtain numbers that are also listed in Table I. They are substantially smaller than in the case of ^4He .

While the evaluation of N_c presented here obviously is to be viewed as an estimate that involves a number of approximations (e.g., inexact treatment of the helium surface tension and metal electron spill-out, pairwise addition of repulsive forces, a fairly schematic model of surface binding), it offers useful guidance regarding the balance of the relevant energies, and regarding the relative affinity of various alkali nanoclusters for submersion in liquid helium and helium droplets. For example, the fact that large Na and K but only small Rb and Cs clusters ions were detected upon pickup and photoionization in Ref. 17 correlates with the higher binding energies of the former two elements and therefore an enhanced stability against desorption. In experimental work on nanodroplet pickup, it may be possible to establish the tran-

sition from surface to interior location of alkali clusters from the threshold shape of the electron-impact ionization yield: this shape reflects the relative contributions of Penning (impurity interaction with He*) vs charge-transfer (interaction with He⁺) ionization channels, the former making a larger contribution for surface-bound species.^{5,16}

We also hope that this work will motivate further theoretical investigations at a microscopic level. It is in agreement with theory and experiment that alkali atoms on both ⁴He and ³He prefer surface states,^{7,31,36} as do alkali dimers and trimers on ⁴He (as described in Sec. I), but we are not aware of detailed theoretical studies for larger clusters.

In summary, we have considered solvation of alkali nano-clusters in liquid helium by analyzing the competition between the metal-helium van der Waals attraction on one hand, and the costs of the short-range repulsion and the surface tension of the surrounding bubble on the other hand.

The bubble dimensions and the binding energy were evaluated using first the model of pairwise addition of the Lennard-Jones potential [Eq. (2)] and then a more accurate many-body expression for the van der Waals interaction [Eq. (6)]. The bubble gap separating the metal and the helium has been found to remain essentially constant for all cluster sizes. The critical cluster sizes at which full submersion becomes energetically favorable have been estimated. They increase with the atomic mass and are substantially smaller for ³He than for ⁴He.

ACKNOWLEDGMENTS

We thank the referees for their constructive suggestions. This work was supported by the National Science Foundation and by the USC Undergraduate Research Associates Program.

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