Spin-one Heisenberg antiferromagnetic chain with exchange and single-ion anisotropies

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Using density-matrix renormalization group calculations, ground-state properties of the spin-1 Heisenberg chain with exchange and single-ion anisotropies in an external field are studied. Our findings confirm and refine recent numerical and analytic results by Sengupta and Batista [Phys. Rev. Lett. **99**, 217205 (2007)] on the same model. In particular, we present evidence for two types of biconical (or supersolid) and for two types of spin-flop (or superfluid) structures for chains of finite length. Basic features of the quantum phase diagram may be interpreted qualitatively in the framework of classical spin models.

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Recently, low-dimensional quantum anisotropic Heisenberg antiferromagnets have been shown to exhibit the analog of the supersolid phase,¹⁻⁴ usually denoted in magnetism as "intermediate," "mixed," or biconical⁵ phase in which both order parameters of the bordering antiferromagnetic (AF) and spin-flop phases do not vanish. Indeed, already some decades ago, in 1956, Matsubara and Matsuda⁶ pointed out the correspondence between quantum lattices and anisotropic Heisenberg models, when expressing Bose operators by spin operators. Using mean-field theory for calculating groundstate and thermal properties, supersolid or biconical structures have been observed in the uniaxially anisotropic XXZ Heisenberg antiferromagnets with additional single-site terms due to crystal-field anisotropies or with more-thannearest neighbor interactions^{7,8} (note that the mean-field approximation of the quantum models corresponds to that of classical models). Such phases may give rise to interesting multicritical behavior, especially to tetracritical points.^{9,10}

In the last few years, biconical structures and phases in classical *XXZ* Heisenberg antiferromagnets with and without single-ion anisotropies in two as well as three dimensions have been studied using ground-state considerations and Monte Carlo techniques.^{11–13} Many experimental studies related to biconical or mixed phases have been performed over the years.^{14–18}

The current search for biconical phases in quantum magnets^{1,3,4} seems to be partly motivated by the fact that they are analogs to the supersolid phases.^{19–22} Of course, it is also of much interest to study the impact of quantum fluctuations on the phases known to occur in classical anisotropic Heisenberg antiferromagnets in a magnetic field. In the following we shall address both aspects.

Specifically, we shall analyze ground-state properties, T = 0, of the spin-1 XXZ Heisenberg antiferromagnetic chain with a single-ion anisotropy in a field *B*. Using quantum Monte Carlo simulations, namely, stochastic series expansions, for chains with periodic boundary conditions as well as solving exactly the low-energy limit being valid in the strongly anisotropic regime, Sengupta and Batista¹ showed

that its quantum phase diagram at zero temperature displays a field-induced supersolid phase. The model is described by the Hamiltonian

$$\mathcal{H} = \sum_{i} \left[J(S_{i}^{x} S_{i+1}^{x} + S_{i}^{y} S_{i+1}^{y} + \Delta S_{i}^{z} S_{i+1}^{z}) + D(S_{i}^{z})^{2} - BS_{i}^{z} \right], (1)$$

where *i* denotes the lattice sites. For $\Delta > 1$ and D > 0, the exchange and single-ion terms describe competing, uniaxial (along the direction of the field *B*, B > 0, and the *z* direction) and planar anisotropies. Following the previous analysis,¹ we shall deal with the case $D = \Delta/2$, restricting the analysis to the $(\Delta, B/J)$ plane.

To study the model, we here apply density-matrix renormalization group (DMRG) techniques, yielding accurate results at zero temperature.^{23,24} In particular, we considered chains with open boundary conditions (allowing the study of fairly long chains). To monitor finite-size effects, the number of sites *L* ranged from 15 up to 128. Usually, a random state was chosen as initial state. To get reliable data, up to 500 states during up to 120 sweeps were kept, with a total truncation error of 10^{-7} and a total energy variance of about 10^{-5} .

At given chain length *L*, exchange anisotropy Δ , and field B/J, the total magnetization $M = \sum_i \langle S_i^z \rangle$ follows from minimization of the energy $E(M,B) = E_0(M) - MB$, where $E_0(M)$ is the ground-state energy at B=0 obtained from the DMRG calculations. Here and in the following, brackets, $\langle \ldots \rangle$, denote quantum mechanical expectation values. For fixed total magnetization various physical quantities of interest were determined, including the profile of the *z* component of the magnetization, $m_i = \langle S_i^z \rangle$, longitudinal, and transverse correlators, $\langle S_i^z S_{i+r}^z \rangle$ and, e.g., $\langle S_i^z S_{i+r}^z \rangle$, as well as possible order parameters of the various structures and phases.¹

According to the previous analysis,¹ there are six distinct phases in the $(\Delta, B/J)$ plane at T=0, as depicted in Fig. 1. The Haldane phase (HP) (Ref. 25) occurs at small fields and anisotropies. Of course, the ferromagnetic (or normal fluid in quantum lattices) phase (FP) occurs for all anisotropies at sufficiently large fields. Furthermore, there are two solid⁷ or

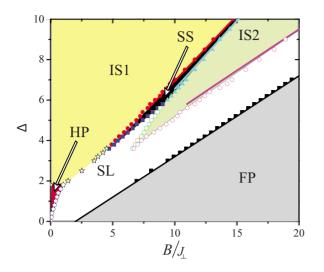


FIG. 1. (Color online) Ground-state phase diagram of Hamiltonian (1) with $D=\Delta/2$, as has been obtained by Sengupta and Batista (Ref. 1). J_{\perp} in this figure is denoted by J in the text.

Ising-type phases: the usual antiferromagnetic or Néel phase, the "IS1" phase in the notation of Sengupta and Batista, and—at rather large anisotropies—the "IS2" phase with $M \approx L/2$. Actually, the IS2 phase corresponds to the (10) phase in the Ising limit of Hamiltonian (1), the antiferromagnetic Blume-Capel model.^{26,27} The other two ground-state phases are the superfluid⁷ or spin-liquid¹ (SL) phase, usually called in magnetism the spin-flop phase, and the supersolid⁷ (SS) or biconical⁵ phase.

Indeed our analysis at selected values of Δ , $3 \le \Delta \le 7$, confirms the phase diagram¹ (see Fig. 1). Moreover, we find evidence for two types of biconical as well as two types of spin-liquid structures. The evidence stems, especially, from the magnetization profiles, m_i . These profiles strongly depend on whether the number of sites, L, is odd or even, due to the open boundary conditions. In addition, finite-size effects may be important when attempting to identify the ground-state structures and transition points of the infinite chain.

As illustrated in Figs. 2 and 3, for odd L, the biconical structures in between the IS1 and IS2 phases differ remarkably from those in between the IS1 and spin-flop phases. The first situation is exemplified in Fig. 2 for $\Delta = 7$. We show magnetization profiles m_i in the supersolid phase. Close to the center of the chain, i.e., in the "bulk," finite effects have been found to be weak. Increasing the total magnetization Mfrom 0 to about L/2 one encounters antiferromagnetic and supersolid ground states belonging to increasing fields B/J. In the bulk, m_i is observed to stay close to one for odd sites *i*, while it changes to almost zero at the even sites on approach to the IS2 phase, $M \approx L/2$. One may describe that behavior in two ways: The spins on the odd sites always point in the field or z direction, while they turn, starting from the z direction, in the IS1 phase more and more with larger values of M toward the xy plane on the even sites. Corresponding classical biconical configurations have been depicted, e.g., in Refs. 11 and 12. Alternatively, one may interpret the behavior in the framework of the antiferromagnetic Blume-Capel chain. At T=0, there is a direct transition from

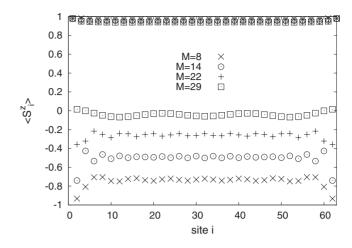


FIG. 2. Magnetization profiles m_i for various total magnetizations M in the SS phase in between the IS1 and IS2 phases at $\Delta = 7(L=63)$. The lower magnetizations belong to even sites i; the upper ones to odd sites. The profiles describe ground states in the range 10.1 < B/J < 10.7

the antiferromagnetic to the (10) phase, fixing D and enlargening the field. Along that transition line, there is a high degeneracy in configurations, where arbitrary fractions of spins in the state "-1" of the antiferromagnetic configuration are replaced by spins in the state "0." These degenerate structures seem to give rise to the biconical phase in the strongly anisotropic quantum Heisenberg model. Attention may be drawn to the weak but clearly visible modulations in the magnetization profiles in these supersolid structures (see Fig. 2).

Let us now consider the supersolid or biconical structures in between the IS1 and SL phases. Results for local magnetizations, m_i , are depicted in Fig. 3 for Δ =5. Now, most importantly, on approach to the SL phase, the magnetization, in the bulk, at the odd sites becomes much lower than one. The difference between the local magnetizations m_i in the center of the chain on odd and even sites is now getting

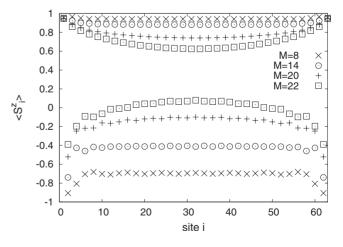


FIG. 3. Magnetization profiles in the SS phase in between the IS1 and SL phases for various values of the total magnetization M at $\Delta = 5(L=63)$. The lower and upper values belong to even and odd sites, respectively. The profiles describe ground states in the range 7.0 < B/J < 7.5.

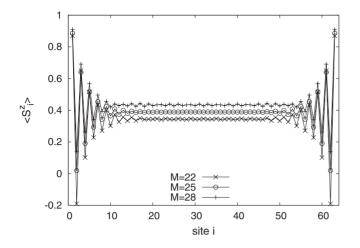


FIG. 4. Magnetization profiles in the SL phase with M < L/2(L=63) at $\Delta=3.5$. The fields of the corresponding ground states are in the range 5.0 < B/J < 5.8.

smaller and smaller. Such a behavior may be expected in the biconical phase in between the AF and spin-flop phases from findings on the classical anisotropic Heisenberg antiferromagnets.^{7,8,12} The spins on both sublattices turn gradually and intercorrelated toward their common spin-flop orientation. Note that the biconical phase is stable in a much wider range in the classical version of Hamiltonian (1) than in the quantum case.

In contrast to the classical variant of the model, the transverse components of the spins show no long-range (antiferromagnetic) order in the quantum case. Instead, the transverse correlations seem to decay algebraically.

Of course, it would be interesting to clarify whether the two types of supersolid or biconical structures are separated by a sharp transition or not and to locate and characterize that possible transition. If there are two distinct phases, one may speculate that they differ in the decay of the transverse correlations at odd and even sites, possibly decaying significantly faster when the magnetization remains close to one at odd sites. Our preliminary results suggest that the SL phase seems to disappear at about $5 < \Delta < 5.5$ (see also Fig. 1). Note that in this region there are strong finite-size effects for the magnetization close to the center of the chain, and care is needed to discriminate SS and SL structures. For instance, at $\Delta = 5.0$, we analyzed chains with up to 127 sites, identifying then the spin-flop phase. The possible transition between the two distinct supersolid structures may be argued to occur in that part of the phase diagram. Detailed investigations would require very long chains and/or a sound finite-size theory, and they are beyond the scope of this paper.

In the spin-liquid phase we observe different magnetization pattern for M < L/2 and for M > L/2 at all values of Δ we studied, i.e., independently of the SL phase being separated by the IS2 phase or not (see Fig. 1). In Figs. 4 and 5, magnetization profiles, m_i , illustrate both situations. We emphasize again that our observation holds for finite chains.

As exemplified in Fig. 4, for M < L/2, the local magnetization displays an extended plateau in the center of the chain in the SL phase, similar to the behavior in the spin-flop phase for classical XXZ Heisenberg antiferromagnets without and

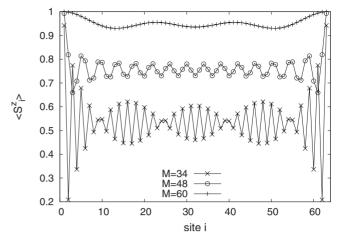


FIG. 5. Magnetization profiles m_i in the SL phase with M > L/2(L=63) at $\Delta=3.5$. The fields of the corresponding ground states are in the range 6.8 < B/J < 10.7.

with additional (competing) single-ion anisotropy.¹² In Fig. 4 tiny modulations associated with odd and even sites are seen, which, however, are even reduced when considering longer chains, possibly vanishing for infinite chains.

Increasing M, M > L/2, but staying in the SL phase, the magnetization pattern changes significantly, as depicted in Fig. 5. One first observes a beatlike modulation about the mean magnetization, as being well known from superimposing two sine waves with slightly different wavelengths. The wavelength of the envelope of the beat is decreasing when enlargening M. Eventually, the modulation about the increasing mean value takes on a simple (nearly) sinusoidal form, with the wavelength getting larger and the amplitude getting smaller when increasing the total magnetization M. Of course, at M=L, the perfect ferromagnetic profile, with M_i =1 for all sites i, is reached. Going from the beatlike to the sinusoidal profiles, one increases systematically the average distance between successive extrema, reflecting, presumably, a quasicontinuous increase in the winding number of the modulation. Hence, it seems tempting to suggest the SL phase at M > L/2 to be of incommensurate type while it seems to be of commensurate type for $M \le L/2$. A similar distinction for the spin-flop phase may have been discussed before for a different part of the ground-state phase diagram of Hamiltonian (1).²⁸ It is worth mentioning that the amplitude of the, presumably, Friedel-type oscillations (see Fig. 5) seems to decay to a nonzero value, roughly with 1/L, as we found, e.g., at $\Delta = 5$ with L ranging from 15 to 127, M/L ≈ 0.55 . More elaborate analyses are desirable. As in the case of the biconical phase, the transverse components of the magnetization show no long-range order in the SL phase of the quantum model, 1,29,30 in contrast to the situation in the classical case. Spin-flop phases with beatlike or sinusoidal modulations in m_i do not occur in the classical variant of Hamiltonian (1) for finite and infinite chains.

To summarize our findings, we have studied the spin-1 uniaxially anisotropic XXZ Heisenberg antiferromagnetic chain with a competing, planar single-ion anisotropy. Using DMRG calculations, we confirmed and refined the groundstate phase diagram obtained recently by Sengupta and Batista.¹ In particular, we presented evidence for two distinct types of biconical or supersolid structures and for two distinct types of spin-flop or superfluid structures in the finite chains we studied. More detailed finite-size analyses are encouraged. We compared the findings for the quantum case with results on related classical magnets.

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