# **Magnetic phase diagram of**  $\nu = 2$  **quantum Hall systems**

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We present the magnetic phase diagram of the  $\nu=2$  quantum Hall system on the whole  $(r_s, E_z)$  plane. We fix the phase boundaries of the paramagnetic and ferromagnetic states by looking for a softening of spin-density excitations in the time-dependent Hartree-Fock theory. A nontrivial phase is obtained in the self-consistent Hartree-Fock theory for  $r_s \sim 2$  and  $E_Z \le 0.06 \hbar \omega_c$ , where both the paramagnetic and ferromagnetic states show spin instability. We show that the obtained phase is the spin-density wave (SDW) state, and explain the mechanism how the SDW stabilizes.

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#### **I. INTRODUCTION**

The two-dimensional (2D) electron system in a strong magnetic field, the quantum Hall system, has been a major interest in condensed-matter physics because the large degeneracy of each Landau level is expected to exhibit new physics in the presence of the strong Coulomb repulsion. By tilting the magnetic field, we can lift the degeneracy of upand down-spin electrons, and can explore the system from a wider point of view.<sup>1</sup> Experimental investigations of these systems have been providing new insight into the correlation in the quantum Hall systems. $2-5$  At the same time, a lot of efforts have been made theoretically to examine the excited as well as the ground state of the system. $6-14$  At present, however, we are still waiting for a clear understanding even of the ground state of the system.

The two-dimensional electron gas (2DEG) with a neutralizing background is described by a single (Wigner-Seitz) parameter  $r_s$ , which is related to the electron density  $n$  by  $1/n = \pi (r_s a_0)^2$  with  $a_0$  being the Bohr radius. In a perpendicular magnetic field *B*, the kinetic energy is quantized into discrete Landau levels with equal spacing  $\hbar \omega_c$ , where  $\omega_c$  $=eB/mc$  is the cyclotron frequency of electron. Each Landau level (with a fixed spin direction) can accommodate electrons maximally at the density  $1/(2\pi l^2)$ , where  $l = \sqrt{\hbar c / eB}$  is the magnetic length. Then we define the filling factor  $\nu$  $=n/(2\pi l^2)$  for a system specified by the density *n* of electrons per unit area. By tilting the magnetic field, we can introduce another independent parameter  $E<sub>Z</sub>$ , the Zeeman splitting energy, because  $E<sub>Z</sub>$  is determined by the magnetic field *B* itself while  $\omega_c$  is fixed by its normal component. Therefore, the quantum Hall system in a tilted magnetic field may be specified by three parameters: the Wigner-Seitz parameter  $r_s$ , the filling factor  $\nu$ , and the Zeeman energy  $E_z$ .

In this work, we concentrate on the integer quantum Hall system with  $\nu = 2$  on the  $(r_s, E_z)$  plane. Figure [1](#page-0-0) summarizes the current knowledge of the phase diagram. In the small *rs* and small  $E<sub>Z</sub>$  region, we expect that the paramagnetic phase  $|P\rangle$  ( $\nu_{\uparrow} = \nu_{\downarrow} = 1$ ) would be the ground state. On the other hand, in the large  $r<sub>s</sub>$  or large  $E<sub>Z</sub>$  region, the system will be the ferromagnetic state  $|F\rangle$  ( $\nu_{\uparrow} = 2, \nu_{\downarrow} = 0$ ). Between these two limits has been the unknown area. At present, only two boundaries can be drawn in the phase diagram. One is the boundary of the first-order transition between  $|P\rangle$  and  $|F\rangle$  for

 $E_Z \sim \hbar \omega_c$  in the high-density  $(r_s \ll 1)$  region.<sup>6[–8,](#page-14-5)[10](#page-14-6)</sup> This is un-derstandable from Fig. [2](#page-1-0) that depicts the situation with  $r<sub>s</sub>$ = 0; the system makes a transition from  $|P\rangle$  to  $|F\rangle$  as  $E_z$ crosses  $\hbar \omega_c$  from below. For a finite but small  $r_s(\ll 1)$ , the transition takes place at  $E_Z < \hbar \omega_c$ , which corresponds to the situation (b) in Fig. [2](#page-1-0) due to the gain in the Coulomb  $ex$ change interaction in  $|F\rangle$ . The other is the second-order boundary between  $|P\rangle$  and the spin-density wave (SDW) (Refs. [15](#page-14-7) and [16](#page-14-8)) state at  $r_s = 2.01$  for  $E_z = 0$ ,<sup>14</sup> which shall be explained later. Though other possibilities have been also suggested,<sup>5[,12,](#page-14-9)[13](#page-14-10)[,17](#page-14-11)[–23](#page-14-12)</sup> the phase diagram on the  $(r_s, E_Z)$  plane has not been known to date.

In this situation, we determine the phase diagram of the  $\nu$ =2 system on the whole  $(r_s, E_z)$  plane in the framework of the self-consistent Hartree-Fock (HF) theory. To complete Fig. [1,](#page-0-0) we answer the following questions in this work. Where are the boundaries between  $|P\rangle$ ,  $|F\rangle$ , and the SDW? Does  $|F\rangle$  show the spin instability as  $|P\rangle$  does? If so, what is the new phase implied by the instability? Our main findings and the answers to the above questions shall be summarized by the phase diagram in Fig. [27](#page-11-0) that displays all possible phases and their boundaries on the  $(r<sub>s</sub>, E<sub>Z</sub>)$  plane. We shall see that the main features of the phase diagram are (i) a first-order boundary between  $|P\rangle$  and  $|F\rangle$  in the large  $E_z$  region and (ii) a second-order boundary between  $|P\rangle$  and the SDW, and a first-order boundary between  $|F\rangle$  and the SDW, in the small  $E<sub>Z</sub>$  region.

<span id="page-0-0"></span>

FIG. 1. Current knowledge of the phase diagram in the meanfield theory. Only two boundaries are known; one is the first-order boundary between  $|P\rangle$  and  $|F\rangle$  for  $E_z \sim \hbar \omega_c$  and  $r_s \ll 1$ . The other is the second-order boundary between  $|P\rangle$  and SDW at  $r_s = 2.01$  for  $E_Z$ =0. Unknown region is shown as a shaded area with a question mark.

<span id="page-1-0"></span>

FIG. 2. Effect of Zeeman splitting  $E_Z$  on the  $\nu=2$  system at  $r_s$  $= 0$  (without Coulomb interaction between electrons). As  $E_Z$  increases, the system changes from (a) the paramagnetic state  $|P\rangle$  $(\nu_{\uparrow} = \nu_{\downarrow} = 1)$  to (c) the ferromagnetic state  $|F\rangle$   $(\nu_{\uparrow} = 2, \nu_{\downarrow} = 0)$ . (a):  $|P\rangle$ for  $E_Z=0$ . (b):  $|P\rangle$  for  $E_Z\neq 0$ . (c):  $|F\rangle$ . Landau index is denoted as  $\alpha=0,1$ .

In principle, phase boundaries in the HF theory could be fixed by comparing energies of all possible HF solutions. Without any insight into the ground state, however, it is almost impossible to find HF solutions. We take, therefore, another way; we study dispersions of excited states on a HF solution to fix its boundary in the phase diagram. A vanishing excitation energy of a collective boson in the time-dependent Hartree-Fock (TDHF) theory means that the assumed ground state is no more the lowest energy Slater determinant. The most promising excitation mode is obviously the spindensity excitation  $(SDE)$ .<sup>[6](#page-14-3)[,12](#page-14-9)[,14,](#page-14-4)[19](#page-14-13)[,20](#page-14-14)</sup> In this case, the new ground state can be described as a condensate of the SDE boson with the vanishing excitation energy in a first approximation[.24](#page-14-15) This is sufficient to perform the HF calculation to obtain the exact form of the new ground state, which shall be shown to be the SDW state.

We start with the two trivial HF solutions  $|P\rangle$  and  $|F\rangle$ . Then we examine the SDE spectra on  $|P\rangle$  and  $|F\rangle$ , and find the spin instability that signals a new HF ground state. As a result, we end up with four solutions to the HF equation: the paramagnetic state  $|P\rangle$ , the SDW state  $|SDW(P)\rangle$  that originates from  $|P\rangle$ , the ferromagnetic state  $|F\rangle$ , and the SDW state  $|SDW(F)\rangle$  that derives from  $|F\rangle$ . By comparing energies of these four solutions, we determine the ground state on the whole  $(r_s, E_Z)$  plane.

The plan of the paper is the following. In Sec. [II,](#page-1-1) we briefly present general arguments on the HF and the TDHF theories to describe the ground and the excited states, respec-tively. In Sec. [III,](#page-4-0) we discuss the spin instability of  $|P\rangle$  and  $|F\rangle$  for  $E_Z=0$ . Then we determine the ground state for  $E_Z$  $= 0$  in Sec. [IV.](#page-6-0) Here we show that the SDW is the ground state for  $2.01 \le r_s \le 2.15$ , and explain in detail how the SDW gains energy. We generalize the analysis in Secs. [III](#page-4-0) and [IV](#page-6-0) to  $E_Z \neq 0$  systems in Secs. [V](#page-8-0) and [VI,](#page-10-0) respectively. In Sec. [VII,](#page-10-1) we make discussions on the basis of our results. Here we show the main result of the present work, the phase dia-

gram on the whole  $(r_s, E_z)$  plane in Fig. [27.](#page-11-0) Then we compare our results with other theoretical approaches and experimental results. In Sec. [VIII,](#page-12-0) we present conclusions.

#### **II. FORMALISM**

<span id="page-1-1"></span>In this section, we explain the general formalism to describe the instability of  $|P\rangle$  and its resultant appearance of the SDW for  $E_z = 0$ . The theoretical framework is the HF theory<sup>14[,16,](#page-14-8)[24](#page-14-15)</sup> and the TDHF theory.<sup>16,[20](#page-14-14)[,24](#page-14-15)</sup> We shall see that the extension of the framework to the analysis of  $|F\rangle$  or  $E_z$  $\neq 0$  cases is straightforward.

# **A. Landau level**

We consider the integer quantum Hall system in the *xy* plane of size  $L \times L$ , which is described by the following Hamiltonian:

$$
H = \sum_{i} \frac{1}{2m} \left( p_i + \frac{e}{c} A(r_i) \right)^2 + \frac{1}{2} \sum_{i \neq j} \frac{e^2}{|r_i - r_j|^2}.
$$
 (1)

We choose the Landau gauge,  $A = (0, Bx)$ , to describe an electron of charge −*e* in the *xy* plane with a magnetic field *B* in the *z* direction. Note that the ratio of the average electronelectron interaction,  $e^2/l$ , to the quanta of the kinetic energy,  $\hbar \omega_c$ , is given by

$$
\frac{e^2/l}{\hbar \omega_c} = \frac{l}{a_0} = \sqrt{\frac{\nu}{2}} r_s.
$$
 (2)

<span id="page-1-2"></span>For the  $\nu = 2$  system that we investigate in this work, the ratio is given just by  $r_{s}$ .

Now, we define the complete set of single-particle states by

$$
\frac{1}{2m}\left(p+\frac{e}{c}A\right)^2\psi_{\alpha k}(r)=\varepsilon_{\alpha}^{(0)}\psi_{\alpha k}(r),\qquad(3)
$$

<span id="page-1-4"></span>where the Landau-level wave function is given by

$$
\psi_{\alpha k}(r) = \frac{e^{iky}}{\sqrt{L}} \phi_{\alpha}(x + kl^2), \qquad (4)
$$

where  $\alpha = 0, 1, \dots$  is the Landau index. Here,  $\phi_{\alpha}$  is a onedimensional harmonic-oscillator eigenstate with oscillator frequency  $\omega_c$ , and  $\varepsilon_\alpha^{(0)} = \hbar \omega_c (\alpha + \frac{1}{2})$ . The single-particle basis, which is specified by the orbital and the spin part, is then given in the second quantized form as  $\{a_{\alpha\sigma}^{\dagger}(k)|0\rangle\}$ , where  $\sigma$  $= \uparrow, \downarrow$  stands for spin direction.

# **B. Excited state**

<span id="page-1-3"></span>Here we explain the description of excited states on  $|P\rangle$  in the TDHF framework. We are interested in excitations on  $|P\rangle$ that exhibit softening and therefore signal the instability of  $|P\rangle$ . In this work, we examine the SDE, which are excited by  $\sigma_{\pm}e^{i\mathbf{q}\cdot\mathbf{r}}$ , because the charge-density excitation (CDE) excited by  $e^{iq \cdot r}$  has much higher excitation energy than the SDE due to the direct repulsive particle-hole (ph) interaction[.5,](#page-14-2)[12](#page-14-9)[,13,](#page-14-10)[19,](#page-14-13)[20](#page-14-14)[,22](#page-14-16)

<span id="page-2-0"></span>

FIG. 3. Excitations induced by  $\sigma_+(q)$  on  $|P\rangle$  with  $\nu=2$ . Landau indices are denoted by  $\alpha$ . Solid and hatched arrows represent, respectively, occupied and unoccupied spin states in  $|P\rangle$ . For the  $\nu$  $= 2$  system, Landau indices *h* and *p* in the text are given by  $h = 0$  and  $p=1, 2, \ldots$ , as indicated in the figure. Excitations by the first and the second terms in Eq.  $(6)$  $(6)$  $(6)$  correspond to the lines denoted by  $(a)$  and (b), respectively.

The external perturbation in the SDE channel,  $\sigma_+(q)$  $=\sigma_{+}e^{i\boldsymbol{q}\cdot\boldsymbol{r}}$  with wave number  $\boldsymbol{q}=(q_{x},q_{y})$ , is given in the second-quantized form as

<span id="page-2-7"></span>
$$
\sigma_{+}(q) = \sum_{\alpha\beta} \sum_{k} a_{\alpha\uparrow}^{\dagger} (k + q_{y}) a_{\beta\downarrow}(k) e^{-i\phi(k)} F_{\alpha\beta}(q)
$$

$$
= \sum_{\alpha\beta} C_{\alpha\beta}(q) F_{\alpha\beta}(q), \tag{5}
$$

where  $\phi(k) = (k + q_y/2)q_x l^2$ , and  $F_{\alpha\beta}(q)$  is defined in the Appendix. We have also defined  $C_{\alpha\beta}(q)$  in the second line. Now we adopt the convention to use *h* and *p*, respectively, for the Landau indices of occupied and unoccupied states. For the paramagnetic state  $|P\rangle$  ( $\nu_{\uparrow} = \nu_{\downarrow} = 1$ ), these Landau indices, *h* and *p*, take on  $h=0$  and  $p=1,2,...$ 

In the standard TDHF theory, we describe the creation operator of the *n*th excited state in the  $\sigma$  SDE channel as

$$
\mathcal{O}^{(n)}(\boldsymbol{q}) = \sum_{ph} \{ x_{ph}^{(n)} C_{ph}(\boldsymbol{q}) - y_{ph}^{(n)} C_{hp}(\boldsymbol{q}) \},\tag{6}
$$

<span id="page-2-4"></span>where the first term describes ph excitations along the line (a) in Fig. [3,](#page-2-0) and the second term corresponds to the *ph* de-excitations along the line (b). Here the amplitudes  $x_{ph}^{(n)}$  and  $y_{ph}^{(n)}$  are determined by the TDHF equation in the following matrix form:

$$
\begin{pmatrix} A(q) & B(q) \ B^*(-q) & A^*(-q) \end{pmatrix} \begin{pmatrix} \mathcal{X}^{(n)} \\ \mathcal{Y}^{(n)} \end{pmatrix} = E^{(n)} \begin{pmatrix} \mathcal{X}^{(n)} \\ -\mathcal{Y}^{(n)} \end{pmatrix}, \tag{7}
$$

<span id="page-2-3"></span>where  $E^{(n)}$  is the excitation energy of the *n*th excited state and the column vectors  $\mathcal{X}^{(n)}$  and  $\mathcal{Y}^{(n)}$  are specified by  $\{\mathcal{X}^{(n)}\}_{ph} = x_{ph}^{(n)}$  and  $\{\mathcal{Y}^{(n)}\}_{ph} = y_{ph}^{(n)}$ , respectively. The matrices *A* and *B* are defined as

$$
\{A(q)\}_{ph,p'h'} = (\varepsilon_p - \varepsilon_h) \,\delta_{p,p'} \,\delta_{h,h'} - X_{ph'p'h}(q),
$$

$$
\{B(q)\}_{ph,p'h'} = -X_{pp'h'h}(q),\tag{8}
$$

<span id="page-2-5"></span>where diagrammatic expressions for  $X_{ph'p'h}(q)$  and  $X_{pp'h'h}(\boldsymbol{q})$  are given in Fig. [4.](#page-2-1) Here,  $X_{\alpha\alpha'\beta\beta'}(\boldsymbol{q})$  represents a special combination of the matrix elements of Coulomb interaction in the *ph* channel, and is defined in the Appendix. The HF single-particle energy is denoted by

<span id="page-2-1"></span>

FIG. 4. Diagrammatic expression for the ph interaction in the (*ph*, *p*<sup>*h*</sup>) component of Eq. ([8](#page-2-5)). Left:  $X_{ph'p'h}(q)$  in matrix  $A(q)$ . Right:  $X_{pp'h'h}(\boldsymbol{q})$  in matrix  $B(\boldsymbol{q})$ . See the Appendix for detail.

$$
\varepsilon_{\alpha} = \varepsilon_{\alpha}^{(0)} + \Sigma_{\alpha}^{\text{HF}},\tag{9}
$$

<span id="page-2-8"></span>where  $\Sigma_{\alpha}^{\text{HF}}$  is the Fock exchange self-energy of level  $\alpha$ shown in Fig. [5,](#page-2-2) and is given by

$$
\Sigma_{\alpha}^{\text{HF}} = -\sum_{h} X_{\alpha h h \alpha}(0). \tag{10}
$$

<span id="page-2-6"></span>We present several examples of  $X_{\alpha\alpha'\beta\beta'}(0)$  explicitly in the Appendix.

To summarize, the lowest energy solution of Eq.  $(7)$  $(7)$  $(7)$  as a function of  $q = |q|$  for a fixed value of  $r_s$  determines the dispersion of the SDE.

## **C. Ground state**

<span id="page-2-9"></span>The paramagnetic state  $|P\rangle$   $(\nu_{\uparrow} = \nu_{\downarrow} = 1)$  constitutes a solution to the HF equation for any value of  $r<sub>s</sub>$ , and the same is true for the ferromagnetic state  $|F\rangle$  ( $\nu_1 = 2, \nu_1 = 0$ ). Suppose we solve Eq. ([7](#page-2-3)) to obtain the excitation energy  $E^{(n)}$  as a function of  $q=|q|$  for a fixed value of  $r_s$ . If  $|P\rangle$  is a stable Slater determinant for the fixed  $r<sub>s</sub>$ , all the excitations would appear with real positive energies. Once we observe a vanishing excitation energy  $(E^{(n)} \rightarrow 0)$ , however, we need to search for a new ground state. Here the correspondence is exact; the vanishing excitation energy in the TDHF theory means that the assumed HF state is no more the local minimum on the energy surface spanned by Slater determinants, signaling a second-order transition to a new HF ground state. $16,24$  $16,24$ 

Now we are to search for the new HF ground state for each value of  $r<sub>s</sub>$ , for which the SDE dispersion exhibits instability of  $|P\rangle$ . This can be achieved using the density matrix in the following manner.<sup>14</sup>

Let us first denote the density matrix of an arbitrary Slater determinant  $|\Psi\rangle$  as

$$
\rho_{\alpha\sigma,\beta\tau}(k,k') = \langle \Psi | a_{\beta\tau}^{\dagger}(k') a_{\alpha\sigma}(k) | \Psi \rangle, \tag{11}
$$

<span id="page-2-2"></span>where  $\rho^2 = \rho$  is required for  $|\Psi\rangle$  being a Slater determinant.<sup>16,[24](#page-14-15)</sup> As an example, for the paramagnetic state

α



FIG. 5. Hartree-Fock exchange self-energy  $\Sigma_{\alpha}^{\text{HF}}$  of Eq. ([10](#page-2-6)).

<span id="page-3-0"></span>

FIG. 6. Effects of spin fluctuations on the paramagnetic state  $|P\rangle$ with  $\nu = 2$ . Lines denoted by (a), (b), and (c) indicate mixing of single-particle states induced by the self-consistent HF calculation. (a): mixing in the zeroth order in the Coulomb interaction. (b): mixing in the first order. (c): mixing in the second order. Notation is the same as for Fig. [3.](#page-2-0)

 $|\Psi\rangle = |P\rangle$  with  $\nu = 2$ , where single-particle states created by  $a_{0\uparrow}^{\dagger}(k)$  and  $a_{0\downarrow}^{\dagger}(k)$  with all possible *k* values are occupied, the only nonzero element of the density matrix is given by  $\rho_{0\sigma,0\sigma}(k,k)=1$  for  $\sigma=\uparrow,\downarrow$ .

Let  $|\Psi\rangle$  be the new HF ground state which replaces  $|P\rangle$ . Then the general form of the density matrix of  $|\Psi\rangle$  can be deduced by examining the effect of  $\sigma_{+}(q)$  on  $|P\rangle$ . It is easily seen that  $\sigma_{+}(q)$  first mixes single-particle states along the line (a) in Fig. [6](#page-3-0) to induce a component  $a_{p\uparrow}^{\dagger}(k+q_y)a_{h\downarrow}(k)|P\rangle$ in  $|\Psi\rangle$ . This in turn gives a nonzero value to the matrix element

$$
\rho_{p\uparrow,h\downarrow}(k+q_{y},k) = \langle \Psi | a_{h\downarrow}^{\dagger}(k) a_{p\uparrow}(k+q_{y}) | \Psi \rangle, \tag{12}
$$

and its Hermitian conjugate in the first order of the external perturbation. This is not, however, the whole story. The above element of  $\rho$  immediately gives a mean field that mixes single-particle states along the line  $(b)$ , and then  $(c)$  in Fig. [6.](#page-3-0) Note that the condensate of the SDE boson in the  $\sigma_{+}$ channel necessarily makes a mean field that produces a mixture of states along the line (b) and therefore the condensate of  $\sigma$  SDE boson. A little consideration along the above line shows that the density matrix should have the following structure for each combination of Landau indices  $\alpha\beta$  and wave number *k*:

$$
\rho = \begin{pmatrix} \rho_{\alpha\uparrow,\beta\uparrow}(k+q_y, k+q_y) & \rho_{\alpha\uparrow,\beta\downarrow}(k+q_y, k) \\ \rho_{\alpha\downarrow,\beta\uparrow}(k, k+q_y) & \rho_{\alpha\downarrow,\beta\downarrow}(k, k) \end{pmatrix} . \tag{13}
$$

<span id="page-3-1"></span>Then, a straightforward calculation in the standard HF framework shows that the single-particle HF Hamiltonian has exactly the same structure as that of  $\rho$  of Eq. ([13](#page-3-1)) to give

$$
h = \begin{pmatrix} h_{\alpha\uparrow,\beta\uparrow}(k+q_{y},k+q_{y}) & h_{\alpha\uparrow,\beta\downarrow}(k+q_{y},k) \\ h_{\alpha\downarrow,\beta\uparrow}(k,k+q_{y}) & h_{\alpha\downarrow,\beta\downarrow}(k,k) \end{pmatrix}, \qquad (14)
$$

<span id="page-3-6"></span><span id="page-3-2"></span>where each component is given by

$$
h_{\alpha\uparrow,\beta\uparrow} = \varepsilon_{\alpha}\delta_{\alpha\beta} - X_{\alpha\alpha'\beta'\beta}(0)\rho_{\beta'\uparrow,\alpha'\uparrow},\tag{15}
$$

$$
h_{\alpha\uparrow,\beta\downarrow} = -X_{\alpha\alpha'\beta'\beta}(q)\rho_{\beta'\uparrow,\alpha'\downarrow},\tag{16}
$$

$$
h_{\alpha\downarrow,\beta\uparrow} = -X_{\alpha'\alpha\beta\beta'}(q)\rho_{\beta'\downarrow,\alpha'\uparrow},\tag{17}
$$

$$
h_{\alpha\downarrow,\beta\downarrow} = \varepsilon_{\alpha}\delta_{\alpha\beta} - X_{\alpha\alpha'\beta'\beta}(0)\rho_{\beta'\downarrow,\alpha'\downarrow}.
$$
 (18)

Here repeated Landau indices are assumed to be summed over the whole model space, and the arguments of  $\rho$  and  $h$ are specified by Eqs.  $(13)$  $(13)$  $(13)$  and  $(14)$  $(14)$  $(14)$ , respectively. Now we can write the HF eigenvalue equation in this system as

$$
\sum_{\beta\tau} h_{\alpha\sigma,\beta\tau} D_{\beta\tau,j} = \epsilon_j D_{\alpha\sigma,j}, \quad j = 1, 2, \dots, \tag{19}
$$

<span id="page-3-3"></span>which gives *j*th eigenenergy  $\epsilon$  and the corresponding eigenvector  $D_{\alpha\sigma,j}$ . The HF ground state  $|\Psi\rangle$  can be obtained by filling the lowest  $\nu$  eigenstates  $(j=1,\ldots,\nu)$  of Eq. ([19](#page-3-3)). These new single-particle states are created by the following operators specified by  $D_{\alpha\sigma,i}$ :

$$
c_j^{\dagger}(k) = \sum_{\alpha} \{ a_{\alpha \uparrow}^{\dagger}(k + q_{y}) D_{\alpha \uparrow, j} + a_{\alpha \downarrow}^{\dagger}(k) D_{\alpha \downarrow, j} \}.
$$
 (20)

<span id="page-3-4"></span>Then the density matrix of Eq.  $(13)$  $(13)$  $(13)$  can be given as

$$
\rho_{\alpha\sigma,\beta\tau} = \sum_{j} D_{\alpha\sigma,j} D_{\beta\tau,j}^*.
$$
\n(21)

<span id="page-3-7"></span>Note that the new basis  $\{c_j^{\dagger}(k)|0\rangle\}$  is defined as a mixture of up- and down-spin states as is clear from Eq.  $(20)$  $(20)$  $(20)$ , it implies that the new HF state obtained here is featured by the spin densities

<span id="page-3-8"></span>
$$
\langle \psi_{\uparrow}^{\dagger}(\mathbf{r}) \psi_{\uparrow}(\mathbf{r}) \rangle = \frac{1}{2 \pi l^2} \sum_{\alpha} \rho_{\alpha \uparrow, \alpha \uparrow},
$$
  

$$
\psi_{\uparrow}^{\dagger}(\mathbf{r}) \psi_{\downarrow}(\mathbf{r}) \rangle = \sum_{\alpha, \beta} \rho_{\beta \downarrow \alpha \uparrow} \frac{1}{2 \pi l^2} F_{\alpha \beta}(\mathbf{q}) e^{-i \mathbf{q} \cdot \mathbf{r}}.
$$
 (22)

These expressions show that  $\langle \sigma_z \rangle$  is a constant in space, and  $\langle \sigma_{\rm v} \rangle$  and  $\langle \sigma_{\rm v} \rangle$  show a spiral structure with the wave number *q*, indicating that the new HF state is a spiral SDW state[.16](#page-14-8)

 $\langle$ 

The expectation value of the Hamiltonian,  $\langle \Psi | H | \Psi \rangle$ , of a Slater determinant  $|\Psi\rangle$  is a function of the density matrix  $\rho$ .<sup>[16,](#page-14-8)[24](#page-14-15)</sup> Then the total energy per particle,  $E[\rho]$ , reads

<span id="page-3-5"></span>
$$
E[\rho] = \frac{1}{N} \langle \Psi | H | \Psi \rangle = \frac{1}{\nu} \sum_{\alpha} \sum_{\sigma} \varepsilon_{\alpha}^{(0)} \rho_{\alpha\sigma,\alpha\sigma}
$$
  

$$
- \frac{1}{2\nu} \sum_{\alpha,\alpha'} \sum_{\sigma} \rho_{\beta'\sigma,\alpha\sigma} \rho_{\beta\sigma,\alpha'\sigma} X_{\alpha\alpha'\beta\beta'}(0)
$$
  

$$
- \frac{1}{\nu} \sum_{\alpha,\alpha'} \rho_{\beta'\downarrow,\alpha\uparrow} \rho_{\beta\uparrow,\alpha'\downarrow} X_{\alpha\alpha'\beta\beta'}(q) = E_1 + E_2 + E_3,
$$
  

$$
\rho_{\beta'} \qquad (23)
$$

which stands for the total energy per electron *N*  $= \nu L^2 / (2 \pi l^2)$  being the total number of electrons]. In the last line, we have defined  $E_1$ ,  $E_2$ , and  $E_3$  for later use. Here  $E_1$  is the kinetic energy and  $E_2 + E_3$  is the exchange energy in terms of the new basis states of Eq.  $(20)$  $(20)$  $(20)$ . Note that  $E_3$  derives from the mixture of single-particle states with momentum  $k+q_y$  and *k* in Eq. ([20](#page-3-4)), and is absent for  $|P\rangle$ .

To summarize, we have presented the HF theory to describe the SDW ground state in terms of the density matrix. The minimum of  $E[\rho]$  of Eq. ([23](#page-3-5)) subject to  $\rho^2 = \rho$  can now be obtained by the self-consistent solution of Eqs.  $(15)$  $(15)$  $(15)$ – $(19)$  $(19)$  $(19)$ and ([21](#page-3-7)). The solution should be the SDW state where  $|P\rangle$ exhibits spin instabilities.

At the end, we emphasize that  $X_{\alpha\alpha'\beta\beta'}(q)$  of Eqs. ([A7](#page-13-0)) and  $(A8)$  $(A8)$  $(A8)$ , which is a special combination of the matrix elements of Coulomb interaction, appears in Eq.  $(7)$  $(7)$  $(7)$  in the study of the excited states, and in Eqs.  $(14)$  $(14)$  $(14)$  and  $(23)$  $(23)$  $(23)$  in the study of the SDW ground state. This fact clearly indicates that the softening of the SDE and the stabilization of the SDW phase can be investigated in a unified way in terms of  $X_{\alpha\alpha'\beta\beta'}(q)$ , the exchange interaction defined in the *ph* channel.

## **D. Numerical recipes**

Here we make several points on numerical calculations for the  $\nu = 2$  system. In the TDHF calculation, the model space to give convergent results depends on  $r_s$  and  $q$ . For  $r_s \sim 1$ , where the correlation is weak, the model space with the lowest three or four Landau levels is sufficient up to *q*  $\sim$  4*l*<sup>-1</sup>. On the other hand, for  $r_s \sim$  5, we need the lowest seven or eight Landau levels to achieve convergence in the same region of *q*.

Another point is on the phase. There are several phase factors in the formulas we have presented. In Eq.  $(5)$  $(5)$  $(5)$ , for example, we have the *k*-dependent phase factor  $\phi(k) = (k \cdot k)$  $+q_y/2q_x l^2$ . In the definition of  $F_{\alpha\beta}(q)$  and  $X_{\alpha\alpha'\beta\beta'}(q)$  given in the Appendix, we have products of phase factors of the form  $\mathcal{N}_{\alpha} = i^{\alpha} e^{-i\alpha \theta}$ , where  $\theta$  is defined as  $\mathbf{q} = (q_x, q_y)$  $=(q \cos \theta, q \sin \theta)$ . Though physical quantities such as excitation spectra or the ground-state energy do not depend on  $\theta$ <sup>[13](#page-14-10)[,14](#page-14-4)</sup> these phase factors enter the density matrix  $\rho$  of Eq.  $(13)$  $(13)$  $(13)$  and therefore the HF Hamiltonian *h* of Eq.  $(14)$  $(14)$  $(14)$ . In order to get rid of these phase factors in what follows, we fix the external momentum *q* in the *y* direction,  $q=(0,q)$ , i.e.,  $\theta$  $=\pi/2$ , so that we have  $\phi(k)=0$  and  $\mathcal{N}_{\alpha}=1$ . Then we can show easily that  $\rho$  of Eq. ([13](#page-3-1)) is independent of *k*, and the same is true for *h* of Eq. ([14](#page-3-2)). Another merit of this convention  $(\theta = \pi/2)$  is that  $X_{\alpha\alpha'\beta\beta'}(q) = X_{\alpha\alpha'\beta\beta'}(q)$  becomes a real quantity, as can be seen from Eq.  $(A10)$  $(A10)$  $(A10)$ , which allows us to use real quantities only.

## **III. INSTABILITY FOR**  $E_Z = 0$

<span id="page-4-0"></span>In this section, we examine possible softening of the SDE on  $|P\rangle$  and  $|F\rangle$  in the TDHF framework for  $E_Z=0$ .

### **A.** Instability of  $|P\rangle$

<span id="page-4-4"></span>Let us first note that the total energy per particle,  $E_{\rm P}$ , of P, which is characterized by the nonzero element  $\rho_{0\sigma,0\sigma}(k,k)=1$  for  $\sigma = \uparrow, \downarrow$ , is given by Eq. ([23](#page-3-5)) as

$$
E_{\rm P} = \frac{1}{2} \hbar \omega_c - \frac{1}{2} X_{0000}(0) = \frac{1}{2} \hbar \omega_c \left( 1 - \sqrt{\frac{\pi}{2}} r_s \right), \quad (24)
$$

<span id="page-4-3"></span>where we have used Eqs.  $(2)$  $(2)$  $(2)$  and  $(A13)$  $(A13)$  $(A13)$ . We also notice that the HF single-particle energy of Eq.  $(9)$  $(9)$  $(9)$  reads

<span id="page-4-1"></span>

FIG. 7. (Color online) Schematical expression for the SDE in the  $\sigma_+$  and  $\sigma_-$  channels. These two channels are degenerate for  $E_Z = 0$ .

$$
\varepsilon_{0\uparrow} = \varepsilon_{0\downarrow} = \hbar \omega_c \left( \frac{1}{2} - \sqrt{\frac{\pi}{2}} r_s \right),
$$
  

$$
\varepsilon_{1\uparrow} = \varepsilon_{1\downarrow} = \hbar \omega_c \left( \frac{3}{2} - \frac{1}{2} \sqrt{\frac{\pi}{2}} r_s \right).
$$
 (25)

Now we examine the SDE. In Fig. [7,](#page-4-1) we show a schematical expression for the SDE in the  $\sigma_{+}$  and  $\sigma_{-}$  channels, which obviously give the same excitation spectra for  $E_Z=0$ . In the following we consider the SDE on  $|P\rangle$  in the  $\sigma_{+}$  channel in the TDHF framework of Sec. [II B.](#page-1-3)

Let us concentrate on the lowest energy SDE solution of Eq.  $(7)$  $(7)$  $(7)$ , and denote its energy as  $E^{\text{(SDE)}}$ . In Fig. [8,](#page-4-2) we plot  $E^{\text{(SDE)}}$  in units of  $\hbar \omega_c$  for  $r_s = 1$  and 2. A particularly interesting feature of the SDE dispersion is the magnetoroton minimum that appears at a finite wave vector  $q \sim 1.8l^{-1}$ .<sup>[12,](#page-14-9)[20](#page-14-14)</sup> Figure [8](#page-4-2) shows that the magnetoroton energy falls with decreasing density, ultimately to vanish at  $r<sub>s</sub>=2.01$ , indicating instability of  $|P\rangle$  with respect to spin fluctuations induced by  $\sigma_+e^{iq\cdot r}$ . This means that  $|P\rangle$  is a local minimum of  $E[\rho]$  of Eq. ([23](#page-3-5)) for  $r_s < 2.01$  only. For  $r_s > 2.01$ , we shall find the SDW as the HF ground state in Sec. [IV.](#page-6-0)

We can understand the above instability on the basis of the relevant *ph* interaction  $-X_{\alpha\alpha'\beta\beta'}(q)$  which is shown in Fig. [9.](#page-5-0) The SDE in the first-order perturbation theory, as illustrated by the  $\sigma_{+}$  line in Fig. [7,](#page-4-1) is featured by the following dispersion[:19](#page-14-13)

$$
E^{\text{(SDE)}}(1\,\text{st order}) = \hbar \,\omega_c - X_{1010}(\boldsymbol{q})\,.
$$

We see that the dispersion for  $r<sub>s</sub>=1$  in Fig. [8](#page-4-2) behaves in the same way as  $-X_{1010}(q)$  in Fig. [9,](#page-5-0) showing that the above

<span id="page-4-2"></span>

FIG. 8. (Color online) TDHF dispersion  $E^{\text{(SDE)}}$  of the lowest SDE on  $|P\rangle$  in units of  $\hbar \omega_c$  for  $r_s = 1$  and 2.

<span id="page-5-0"></span>

FIG. 9. (Color online)  $q$  dependence of the  $ph$  exchange interaction  $-X_{\alpha\alpha'\beta\beta'}(q)$  in units of  $e^2/l$  for typical index sets  $(\alpha\alpha'\beta\beta')$ . Multiplying the displayed quantities by the ratio of Eq.  $(2)$  $(2)$  $(2)$ , we can change the unit from  $e^2/l$  to  $\hbar \omega_c$  for a system with any  $r_s$ . Note that the symmetry property of Eq. ([A9](#page-13-3)) gives, e.g.,  $X_{1010}(q) = X_{0101}(q)$ .

first-order perturbation theory works well for  $r_s = 1$ .

As r<sub>s</sub> increases, higher-order effects come into play. It is visible in Fig. [8](#page-4-2) that the TDHF equation for  $r_s = 2$  enhances the effect of the attraction of  $-X_{1010}(q)$  (see the left process in Fig. [4](#page-2-1)) in a nonlinear fashion around  $q \sim 1.8l^{-1}$  to make the system unstable. The main driving force for this nonlinear effect comes from the *ph* interaction  $-X_{1100}(q)$  in the matrix  $B(q)$  in Eq. ([7](#page-2-3)) (see the right process in Fig. [4](#page-2-1)), which has a maximum around  $q \sim 1.7l^{-1}$  as shown in Fig. [9.](#page-5-0)

The above observation clearly explains (i) that the magnetoroton minimum in the small  $r_s (\leq 1)$  region derives mainly from the strong attraction around  $q \sim 1.3l^{-1}$  in the *ph* interaction  $-X_{1010}(q)$  and (ii) that the spin instability at  $r_s$  $\sim$  2, which is a nonlinear phenomenon, originates from a cooperative effect of  $-X_{1010}(q)$  and  $-X_{1100}(q)$ .

# **B.** Instability of  $|F\rangle$

Here we investigate possible instabilities of the ferromagnetic state  $|F\rangle$  for  $E_Z=0$ . Let us first note that the energy  $E_F$ of  $|F\rangle$ , which is characterized by the nonzero element  $\rho_{\alpha\downarrow,\alpha\downarrow}(k,k)=1$  for  $\alpha=0,1$ , is given by Eq. ([23](#page-3-5)) as

<span id="page-5-1"></span>
$$
E_{\rm F} = \hbar \omega_c - \frac{1}{4} X_{0000}(0) - \frac{1}{2} X_{1001}(0) - \frac{1}{4} X_{1111}(0)
$$

$$
= \hbar \omega_c \left( 1 - \frac{11}{16} \sqrt{\frac{\pi}{2}} r_s \right), \tag{26}
$$

where we have used Eq.  $(A13)$  $(A13)$  $(A13)$  in the Appendix.

Equations  $(24)$  $(24)$  $(24)$  and  $(26)$  $(26)$  $(26)$  give

$$
E_{\rm F} - E_{\rm P} = \frac{1}{2} \hbar \omega_c \left( 1 - \frac{3}{8} \sqrt{\frac{\pi}{2}} r_s \right),
$$
 (27)

which implies that  $E_P \gtrless E_F$  for  $r_s \gtrless 2.13$ . This shows explicitly that the increase  $\hbar \omega_c/2$  in the kinetic energy in  $E_F-E_P$  is compensated by the increase in the exchange interaction for  $r_s > 2.13$  to make  $E_P > E_F$ . Note that the stability of  $|F\rangle$  in the large  $r<sub>s</sub>$  regime originates from the exchange interaction that operates between the same spin states only, but not from the Zeeman energy.

<span id="page-5-2"></span>

FIG. 10. (Color online) Schematical expression for the SDE on  $|F\rangle$  for  $E_z=0$ .

Let us turn to the SDE on  $|F\rangle$ . As shown schematically in Fig. [10,](#page-5-2) the relevant SDE is induced by  $\sigma_{e}e^{iq \cdot r}$ . Now we start with large  $r_s$  region where  $|F\rangle$  is the ground state, and investigate the SDE spectra of  $|F\rangle$  in the TDHF framework. Note here that the HF single-particle energy of Eq.  $(9)$  $(9)$  $(9)$  reads now

$$
\varepsilon_{0\uparrow} = \hbar \omega_c \left( \frac{1}{2} - \frac{3}{2} \sqrt{\frac{\pi}{2}} r_s \right), \quad \varepsilon_{0\downarrow} = \frac{1}{2} \hbar \omega_c,
$$
\n
$$
\varepsilon_{1\uparrow} = \hbar \omega_c \left( \frac{3}{2} - \frac{5}{4} \sqrt{\frac{\pi}{2}} r_s \right), \quad \varepsilon_{1\downarrow} = \frac{3}{2} \hbar \omega_c, \tag{28}
$$

showing that  $\varepsilon_{1\uparrow} < \varepsilon_{0\downarrow}$  for  $0.638 < r_s$ , as in Fig. [10.](#page-5-2)

Because there is no hole states for down-spin electrons in  $|F\rangle$  as shown in Fig. [10,](#page-5-2) *B*(*q*) and *Y* vanish identically in the TDHF Eq.  $(7)$  $(7)$  $(7)$  to give

$$
A(q)\mathcal{X}^{(n)} = E^{(n)}\mathcal{X}^{(n)}.
$$
 (29)

<span id="page-5-4"></span>The TDHF dispersion of the lowest SDE, which is denoted as  $E^{\text{(SDE)}}$ , is shown in Fig. [11](#page-5-3) for  $r_s = 3$  and  $r_s = 1.5$ . The figure shows (i) that the system is stable at  $r_s = 3$  against the spin fluctuation and (ii) that at  $r_s = 1.5$  the dispersion is negative for  $q \leq 1.7l^{-1}$ , signaling the instability between  $r_s = 3$  and  $r_s$  $= 1.5$ . Note that  $E^{(\text{SDE})}$  vanishes identically at  $q=0$  because  $\sigma$ <sub>–</sub> commutes with the Hamiltonian. By investigating the dispersion in detail, we have found that the onset of the instability is at  $r_s = 2.15$  and at the wave number  $q \approx 0.2l^{-1}$ . This means that  $|F\rangle$  is a local minimum of  $E[\rho]$  of Eq. ([23](#page-3-5)) for  $r_s > 2.15$  only.

Here we observe the spin instability of  $|F\rangle$  as  $r_s$  decreases across  $r_s$ = 2.15. This makes a clear contrast with the situation for  $|P\rangle$ , where the instability is observed as  $r_s$  increases

<span id="page-5-3"></span>

FIG. 11. (Color online) TDHF dispersion  $E^{\text{(SDE)}}$  of the lowest SDE on  $|F\rangle$  in units of  $\hbar \omega_c$  for  $r_s = 3$  and  $r_s = 1.5$ .

<span id="page-6-1"></span>

FIG. 12. (Color online) Diagonal component  $\{A(q)\}_{ph,ph}$  in Eq. ([29](#page-5-4)) in units of  $\hbar \omega_c$  with  $q = 1.0l^{-1}$  as a function of  $r_s$  for *phph*  $=(0000)$ ,  $(0101)$ ,  $(1010)$ , and  $(1111)$ . The lowest energy component,  ${A(q)}_{01,01}$ , becomes negative as  $r_s$  decreases, indicating possible spin instability.

across  $r_s = 2.01$ . This difference can be explained using the  $r_s$ dependence of  $A(q)$  in Eq. ([29](#page-5-4)). In Fig. [12,](#page-6-1) we plot diagonal components  $\{A(q)\}_{ph,ph}$  with  $q=1.0l^{-1}$  as a function of  $r_s$  for several sets of indices. They are presented by straight lines because the Coulomb interaction is proportional to  $r<sub>s</sub>$ , as shown in Eq.  $(2)$  $(2)$  $(2)$ . Note here that particle states specified by  $p$ have  $\sigma = \downarrow$ , and hole states *h* have  $\sigma = \uparrow$ . Figure [12](#page-6-1) shows clearly that  $\{A(q)\}_{0,01}$  becomes negative as  $r_s$  decreases, approaching  $-\hbar \omega_c$  at  $r_s$ =0. This implies that the *ph* excitation  ${\rm (particle=0)}$ , hole=1↑) becomes unstable (negative excitation energy) in the small  $r_s$  region, which in turn explains in an obvious way why we observe the spin instability as we decrease  $r_{s}$ .

Let us come to the *q* dependence of the dispersion in Fig. [11.](#page-5-3) We plot several examples of  $\{A(q)\}_{ph,p'h'}$  for  $r_s = 1.5$  in Fig. [13.](#page-6-2) At  $q=0$ , only two *ph* components,  $ph=(00)$ , (11), are involved in the SDE, which are decoupled from other components at  $q=0$  as is clear from Fig. [13.](#page-6-2) Then the relevant  $2 \times 2$  matrix in Eq. ([29](#page-5-4)) is given by  $\{A(0)\}_{00,00}$  $=\{A(0)\}_{1,1,11}=-\{A(0)\}_{0,11}=-\{A(0)\}_{1,100}$ . This matrix gives vanishing excitation energy at  $q=0$  as seen in Fig. [11.](#page-5-3) Here the eigenstate with vanishing energy is a coherent superposition of the two *ph* states,  $ph = (00)$ , (11). If we increase *q*,

<span id="page-6-2"></span>

FIG. 13. (Color online)  $\{A(q)\}_{ph,p'h'}$  in Eq. ([29](#page-5-4)) in units of  $\hbar \omega_c$ for several sets of ph indices as a function of  $q$  for  $r_s = 1.5$ .

<span id="page-6-3"></span>

FIG. 14. (Color online) Area of spin instability for  $E_Z=0$ . The paramagnetic state  $|P\rangle$  is unstable in the shaded domain "P: unstable" with respect to the formation of SDW with wave number *q*. For each value of  $r<sub>s</sub>$ , the lowest SDW energy is realized with the value of  $q$  on the line "SDW(P)." The instability of the ferromagnetic state  $|F\rangle$  is displayed in the same manner. On the vertical line at  $r_s = 2.13$ , we have  $E_P = E_F$ .

other components come into play. At  $r<sub>s</sub>=1.5$ , we see that  ${A(q)}_{01,01}$ , the lowest diagonal component of  ${A(q)}$ , is positive in the whole range of *q*, though it lowers with decreasing  $r_s$  as shown in Fig. [12.](#page-6-1) For finite q, however,  $ph = (01)$  component couples with higher components,  $ph = (00)$ ,  $(11)$ ,..., to change  $\{A(q)\}_{0,101}$  into the negative dispersion in Fig. [11.](#page-5-3)

By combining the above findings with the results in Sec. [III A,](#page-4-4) we have understood that neither  $|P\rangle$  nor  $|F\rangle$  is the ground state for  $2.01 \le r_s \le 2.15$ .

# **IV. GROUND STATE FOR**  $E_Z = 0$

<span id="page-6-0"></span>We perform the HF calculation in Sec. [II C](#page-2-9) to obtain the new HF ground state to replace  $|P\rangle$  ( $|F\rangle$ ) where  $|P\rangle$  ( $|F\rangle$ ) is unstable. Then we compare all the obtained HF solutions to determine the ground state.

# $A. |SDW(P)\rangle$

Here we examine how the SDW replaces  $|P\rangle$  for  $r_s$  $>$  2.01. We first exhibit the area of spin instability of  $|P\rangle$  in the  $(r<sub>s</sub>, q)$  plane in Fig. [14.](#page-6-3) The area denoted by "P: unstable" shows that  $|P\rangle$  is unstable there, i.e., a point  $(r_s, q)$  in that area indicates that the paramagnetic state  $|P\rangle$  with the  $r_s$ value is unstable with respect to the SDE of the wave number *q*. Suppose we start from  $|P\rangle$  and increase  $r_s$ . Then Fig. [14](#page-6-3) shows that we observe the onset of the spin instability at  $r_s = 2.01$  for  $q \sim 1.8l^{-1}$ , which is in accordance with the TDHF results shown in Fig. [8.](#page-4-2)

For each value of  $r_s$ (>2.01), we have looked for the ordering wave number *q* that minimizes the energy of the SDW solution. The optimal  $q$  is presented by the solid line denoted by " $SDW(P)$ " in Fig. [14.](#page-6-3) In the following, we denote the SDW state on the line  $SDW(P)$  as  $\vert SDW(P)\rangle$ , and its energy as  $E_{SDW(P)}$ , indicating that it is an SDW that derives from  $|P\rangle$ . We present  $E_{SDW(P)}$  in Fig. [15](#page-7-0) together with  $E_P$  and  $E_F$ , to which we shall soon come back.

<span id="page-7-0"></span>

FIG. 15. (Color online) Energies of the four HF solutions,  $E_{\rm P}$ ,  $E_F$ ,  $E_{SDW(P)}$ , and  $E_{SDW(F)}$  for  $E_Z=0$ . Because  $E_{SDW(F)}(< E_F)$  is almost degenerate with  $E_F$  on this scale, it is presented by dots.

# $B. |SDW(F)\rangle$

Next we investigate the SDW that is to take the place of  $|F\rangle$  for  $r_s$  < 2.15. It is easy to apply the HF framework in Sec. [II C](#page-2-9) with minor modifications to the SDW that derives from  $|F\rangle$ . The results are presented in Figs. [14](#page-6-3) and [15,](#page-7-0) where we have defined  $|SDW(F)\rangle$  and  $E_{SDW(F)}$  in the same way as for the paramagnetic case.

We see in Fig. [14](#page-6-3) that both  $|SDW(F)\rangle$  and  $|SDW(P)\rangle$  are the local minima of  $E[\rho]$  in some range of  $r_s$ . It should be stressed, however, that  $|SDW(F)\rangle$  and  $|SDW(P)\rangle$  are different states. Roughly speaking,  $|SDW(P)\rangle$  at  $r_s = 2.13$ , for example, is a condensate of  $\sigma_{+}(q)$  and  $\sigma_{-}(-q)$  bosons with *q*  $\sim 1.5l^{-1}$  on |P), while  $|SDW(F)\rangle$  is a condensate of  $\sigma_{-}(q)$ boson with  $q \sim 0.2l^{-1}$  on  $|F\rangle$ .

#### **C.** Ground state for  $E_Z = 0$

Having obtained four solutions to the HF equation, we are now ready to compare their energies  $E_P$ ,  $E_F$ ,  $E_{SDW(P)}$ , and  $E_{SDW(F)}$  in Fig. [15](#page-7-0) to determine the lowest energy solution for each  $r_s$ . We then conclude that the system is in  $|P\rangle$  for  $r_s$  < 2.01,  $|SDW(P)\rangle$  for 2.01 <  $r_s$  < 2.15, and  $|F\rangle$  for 2.15  $\langle r_s, \text{ which is shown in Fig. 16. Though } E_F, E_{SDW(P)}$  $\langle r_s, \text{ which is shown in Fig. 16. Though } E_F, E_{SDW(P)}$  $\langle r_s, \text{ which is shown in Fig. 16. Though } E_F, E_{SDW(P)}$ , and  $E_{SDW(F)}$  are almost degenerate at  $r_s \sim 2.15$ , we have confirmed that  $|SDW(F)\rangle$  cannot be the ground state for any value of  $r_s$ . Note that the transition at  $r_s = 2.01$  between  $|P\rangle$ and  $|SDW(P)\rangle$  is of second order because it follows a softening of the SDE on  $|P\rangle$ . On the other hand, the transition at  $r_s = 2.15$  between  $|F\rangle$  and  $|SDW(P)\rangle$  is clearly of first order.

#### **D.** What is  $|SDW(P)\rangle$ ?

We have found that the system is in  $|SDW(P)\rangle$  for 2.01  $r_s$  < 2.15, which we examine in some detail.



<span id="page-7-1"></span>FIG. 16. (Color online) Phase diagram for  $E_Z = 0$ . The transition  $|P\rangle \leftrightarrow |SDW(P)\rangle$  at  $r_s = 2.01$  is of second order, and  $|SDW(P)\rangle \leftrightarrow |F\rangle$ is of first order.

<span id="page-7-2"></span>TABLE I. Single-particle states  $(j=1,2)$  occupied in  $|SDW(P)\rangle$ at  $r_s = 2.13$ ,  $q = 1.45l^{-1}$ . Some of the coefficients  $D_{\alpha \uparrow, j}$  and  $D_{\alpha \downarrow, j}$  are listed. The second column shows the eigenenergy  $\epsilon_j$  of Eq. ([19](#page-3-3)). Without the Coulomb interaction,  $\epsilon_i = 0.5\hbar\omega_c$  for  $j = 1, 2$ .

	$\epsilon_i$ $(\hbar \omega_c)$	$D_{0\uparrow,j}$	$D_{0\downarrow,j}$	$D_{1\uparrow,i}$	$D_{1\downarrow,i}$
$j=1$	$-2.84$	0.69	0.69	0.15	$-0.15$
$j=2$	$-1.86$	0.62	$-0.62$	$-0.31$	$-0.31$

Here we consider a typical example of  $|SDW(P)\rangle$  at  $r_s$  $= 2.13$ , which is defined at  $q = 1.45l^{-1}$  as shown by the line  $SDW(P)$  in Fig. [14.](#page-6-3) In this case,  $|SDW(P)\rangle$  is obtained by filling  $j=1,2$  states of Eq. ([20](#page-3-4)) which are defined by the coefficients  $D_{\alpha |,j}$  and  $D_{\alpha |,j}$  given in Table [I.](#page-7-2) To be explicit, these two states  $(j=1,2)$  can be written as

> $|j = 1, k\rangle = 0.69|0 \uparrow k + q_v\rangle + 0.69|0 \downarrow k\rangle + \cdots$  $j = 2, k$  = 0.62 $|0 \uparrow k + q_v \rangle - 0.62 |0 \downarrow k \rangle + \cdots$

which shows that the mixture of up and down-spin states are very large.

With the coefficients in Table [I,](#page-7-2) we can calculate the den-sity matrix of Eq. ([21](#page-3-7)) for the above  $|SDW(P)\rangle$ , which we present in Table [II.](#page-7-3) Then the expectation value of the spin operators given in Eq.  $(22)$  $(22)$  $(22)$  is easily obtained; we find that the  $|SDW(P)\rangle$  at *r<sub>s</sub>*=2.13, *q*=1.45*l*<sup>-1</sup> is featured by

<span id="page-7-4"></span>
$$
\langle \psi_{\uparrow}^{\dagger}(\mathbf{r}) \psi_{\uparrow}(\mathbf{r}) \rangle = \langle \psi_{\downarrow}^{\dagger}(\mathbf{r}) \psi_{\downarrow}(\mathbf{r}) \rangle = \frac{1}{2 \pi l^{2}},
$$
  

$$
\langle \psi_{\uparrow}^{\dagger}(\mathbf{r}) \psi_{\downarrow}(\mathbf{r}) \rangle \sim 0.588 \frac{1}{2 \pi l^{2}} e^{-i\mathbf{q} \cdot \mathbf{r}},
$$
(30)

which are tabulated in Table [III](#page-8-1) with  $E_Z \neq 0$  cases. Note that the symmetric nature of the density matrix in Table  $II$ , which can be traced back to the degeneracy of the SDE in the  $\sigma_{\pm}$ channels. This means that  $|SDW(P)\rangle$  is made by a condensate of  $\sigma_{+}$  and  $\sigma_{-}$  bosons with equal weights. This is realized by the first line of Eq. ([30](#page-7-4)) that gives  $\langle \sigma_z \rangle = 0$ . This implies that the transition from  $|P\rangle$  to  $|SDW(P)\rangle$  does not tend to  $|F\rangle$ . The second line of Eq. ([30](#page-7-4)) shows that  $|SDW(P)\rangle$  at  $r_s$  $= 2.13$  is a spiral SDW (Ref. [16](#page-14-8)) with the wave vector *q*  $= 1.45l^{-1}.$ 

TABLE II. Density matrix  $\rho_{\alpha\sigma,\alpha'\sigma'}(q)$  of  $|SDW(P)\rangle$  at  $r_s = 2.13$ ,  $q=1.45l^{-1}$  for several sets of  $(\alpha, \alpha')$  and  $(\sigma, \sigma')$ .

<span id="page-7-3"></span>

$\rho$	↑↑	↑J	Jî	IJ
00	0.85	$-0.09$	$-0.09$	0.85
01	$-0.08$	0.30	$-0.30$	0.08
10	$-0.08$	$-0.30$	0.30	0.08
11	0.12	$-0.07$	$-0.07$	0.12
02	$-0.04$	$-0.12$	$-0.12$	$-0.04$
20	$-0.04$	$-0.12$	$-0.12$	$-0.08$

<span id="page-8-1"></span>TABLE III. Spin densities of the SDW at each  $E<sub>Z</sub>$  in units of  $1/2\pi l^2$ . While  $\langle \psi_1^{\dagger} \psi_1 \rangle$  depends strongly on  $r_s$  and  $E_z$ ,  $\langle \psi_1^{\dagger} \psi_1 \rangle$  $=$ (*n*<sub>1</sub>) is almost independent of *E<sub>Z</sub>* (look at the data for *r<sub>s</sub>*=2.01).

$E_Z$	$r_{s}$	q	$\langle \psi_\uparrow^\dagger \psi_\downarrow \rangle$	$\langle \psi^\dagger_\uparrow \psi_\uparrow \rangle$
$\Omega$	2.01	1.75	0.110	1.00000
	2.13	1.45	0.588	1.00000
0.02	2.01	1.75	0.115	1.00032
	2.08	1.60	0.434	1.00652
0.04	2.01	1.75	0.128	1.00079
	2.04	1.69	0.294	1.00480

## **E.** How can  $|SDW(P)\rangle$  gain energy ?

Here we examine how the system gains energy by making the second-order transition from  $|P\rangle$  to  $|SDW(P)\rangle$  on the basis of Eq.  $(23)$  $(23)$  $(23)$ .

Let us look at  $E_{SDW(P)}$  and  $E_P$  in Fig. [17,](#page-8-2) which are decomposed into  $E_1$ ,  $E_2$ , and  $E_3$  as in Eq. ([23](#page-3-5)). Here  $E_1$  represents the kinetic energy, and  $E_2$  and  $E_3$  are the exchange energies. Note that  $E_3$  derives from the mixture of  $a_{\alpha}^{\dagger}$  $+q_y$ ) and  $a_{\perp}^{\dagger}(k)$  as given in Eq. ([20](#page-3-4)), and is absent in  $E_{\rm P}$ . Figure [17](#page-8-2) shows that  $E_1$  and  $E_2$  of  $E_{SDW(P)}$  are higher than those of  $E_P$ . However, the gain in  $E_3$  exceeds the loss in  $E_1$ and  $E_2$  to make  $|SDW(P)\rangle$  the ground state for  $2.01 \lt r_s$  $< 2.15.$ 

In order to understand the above mechanism, we study the system at  $r_s = 2.13$ , which is  $|SDW(P)\rangle$  for  $1.43l^{-1} < q$  $\langle 2.08l^{-1}$ . In Fig. [18,](#page-8-3) we present  $E[\rho]$  of Eq. ([23](#page-3-5)) as a function of *q*. We see that the system can tune the density matrix  $\rho$  by becoming  $|SDW(P)\rangle$  to compensate the loss in  $E_1$  and  $E_2$  by the gain in  $E_3$  in  $1.43l^{-1} < q < 2.08l^{-1}$ . This is the same mechanism that we have seen as a function of  $r<sub>s</sub>$  in Fig. [17.](#page-8-2) Let us look into  $E_3$  in some detail. In Fig. [19,](#page-8-4) we present several terms that contribute to  $E_3$  in Eq. ([23](#page-3-5)). We realize

<span id="page-8-2"></span>

FIG. 17. (Color online)  $r_s$  dependence of  $E_{SDW(P)}$  (lines) and  $E_P$ (symbols). They are given by  $E[\rho]$  of Eq. ([23](#page-3-5)), and are decomposed into the kinetic energy  $E_1$ , and the exchange energy  $E_2$  and  $E_3$ . Note that  $E_{SDW(P)} < E_P$  for  $2.01 < r_s < 2.15$ , though they are degenerate on this scale.

<span id="page-8-3"></span>

FIG. 18. (Color online) q dependence of  $E[\rho]$  of Eq. ([23](#page-3-5)) on the vertical line at  $r_s$ = 2.13 in Fig. [14.](#page-6-3) It is decomposed as  $E = E_1 + E_2$  $+E_3$  as in Fig. [17.](#page-8-2) The SDW phase is realized for 1.43*l*<sup>-1</sup>  $\lt q$  $\leq$  2.08*l*<sup>-1</sup>. Note that the minimum point of the total energy *E*, though hardly visible on this scale, is given at  $q=1.45l^{-1}$ , which defines  $E_{SDW(P)}$  at  $r_s = 2.13$ .

that the dip of  $-X_{1010}(q)$  around  $q \sim 1.3l^{-1}$  and the peak of  $-X_{1100}(q)$  around  $q \sim 1.7l^{-1}$ , which are shown in Fig. [9,](#page-5-0) are the main origin of the large gain in  $E_3$ . In other words, the system makes the new HF basis by mixing two states with wave number *k* and  $k+q_y$  to form the SDW so that it can fully exploit the attractive nature of  $-X_{1010}(q)$  and  $-X_{1100}(q)$ in the above momentum region.

It is impossible to exaggerate the importance of  $X_{1010}(q)$ and  $X_{1100}(q)$  in the present analysis. In the study of the SDE dispersion, these two terms explain the softening phenomena as the *ph* interaction. In the description of the SDW, on the other hand, they give the attraction as the Fock exchange energy. It is essential to realize the dual role played by these two terms, i.e., the softening of the SDE and the stabilization of the SDW.

#### **V. INSTABILITY FOR**  $E_z \neq 0$

<span id="page-8-0"></span>In this section, we examine the effect of a finite Zeeman energy  $E_Z$  on the results obtained in Sec [III.](#page-4-0)

<span id="page-8-4"></span>

FIG. 19. (Color online)  $q$  dependence of main terms in  $E_3$  of Eq. ([23](#page-3-5)) at  $r_s$ =2.13. For example, the line denoted by  $-\rho_{01}\rho_{01}X_{1010}$ stands for  $-(1/2)\rho_{0\downarrow,1\uparrow}\rho_{1\uparrow,0\downarrow}X_{1010}(q)$  in Eq. ([23](#page-3-5)). Note, for example, that  $\rho_{10}\rho_{10}X_{1010} = \rho_{01}\rho_{01}X_{1010}$ , which can be seen by the symmetry of  $\rho$  in Table [II](#page-7-3) and that of  $X_{\alpha\alpha'\beta\beta'}(q)$  in Eq. ([A9](#page-13-3)).

<span id="page-9-0"></span>

FIG. 20. (Color online) Schematical expression for the SDE on  $|P\rangle$  for  $E_Z \neq 0$ .

# **A.** Instability of  $|P\rangle$  for  $E_Z \neq 0$

<span id="page-9-5"></span>We show pictorially the effect of the finite Zeeman energy  $E_Z \neq 0$  on  $|P\rangle$  in Fig. [20.](#page-9-0) First, we realize that  $E_P$  is not dependent on  $E<sub>Z</sub>$  because the effects of  $E<sub>Z</sub>$  on the up-spin and down-spin electrons cancel out each other exactly. Second, we see that the SDE is not symmetric with respect to  $\sigma_{+}$  and  $\sigma$ <sub>-</sub> modes; the  $\sigma$ <sub>+</sub> mode is favored. In fact, all the unperturbed *ph* energies lower by  $E_Z$  in the  $\sigma_+$  channel, and increase by  $E_Z$  in the  $\sigma$ <sub>-</sub> channel. It is then easy to see that the TDHF equation for finite  $E<sub>Z</sub>$  takes on the following form for the  $\sigma_{+}$  mode:

<span id="page-9-1"></span>
$$
\begin{pmatrix} A(\boldsymbol{q}) - E_Z & B(\boldsymbol{q}) \\ B^*(-\boldsymbol{q}) & A^*(-\boldsymbol{q}) + E_Z \end{pmatrix} \begin{pmatrix} \mathcal{X}^{(n)} \\ \mathcal{Y}^{(n)} \end{pmatrix} = (E^{(n)} - E_Z) \begin{pmatrix} \mathcal{X}^{(n)} \\ -\mathcal{Y}^{(n)} \end{pmatrix}.
$$
\n(31)

It is obvious that the corresponding equation for the  $\sigma$  mode is obtained by changing  $E_Z \to -E_Z$  in Eq. ([31](#page-9-1)).

Comparing Eq. ([7](#page-2-3)) for  $E_z = 0$  and Eq. ([31](#page-9-1)) for  $E_z \neq 0$ , we realize a notable point: the eigenvector  $(\mathcal{X}^{(n)}, \mathcal{Y}^{(n)})$  is com-mon to Eqs. ([7](#page-2-3)) and ([31](#page-9-1)), while the eigenenergy is  $E^{(n)}$  for Eq. ([7](#page-2-3)) and  $E^{(n)} - E_Z$  for Eq. ([31](#page-9-1)). Let  $E^{(\text{SDE})}$  be the lowest eigenenergy given by Eq.  $(7)$  $(7)$  $(7)$ . Then the onset of the spin instability of  $|P\rangle$  is given by  $E^{SDE}=E_Z$  for  $E_Z\neq 0$ , and by  $E^{\text{(SDE)}} = 0$  for  $E_Z = 0$ . Suppose we increase  $r_s$  from the highdensity region where  $|P\rangle$  is the ground state. Then the above observation naturally leads us to the following points. First,  $|P\rangle$  becomes unstable at lower  $r_s$  for  $E_z \neq 0$  than for  $E_z = 0$ . Second,  $E^{\text{(SDE)}} = E_Z(\neq 0)$  gives the instability of  $|P\rangle$  with respect to  $\sigma_{+}$  mode only, i.e.,  $\sigma_{-}$  mode is still stable at the critical point. This is in clear contrast to  $E_Z = 0$  case, where the instability for both  $\sigma_+$  and  $\sigma_-$  modes occur simulta-

<span id="page-9-2"></span>

FIG. 21. (Color online) Domain of spin instability of  $|P\rangle$  for  $E_Z/\hbar \omega_c = 0$  (shaded area) and 0.06 (hatched area). The domain expands only very slowly with increasing *EZ*.

<span id="page-9-3"></span>

FIG. 22. (Color online) Minimum of the TDHF dispersion  $E^{\text{(SDE)}}$  as a function of  $r_s$ . Transition point for  $|P\rangle \leftrightarrow |SDW(P)\rangle$  is given by the intersection  $E^{(\text{SDE})} = E_Z$  shown in the figure. For  $E_Z$  $= 0$ , the transition point is  $r_s = 2.01$ .

neously at  $E^{\text{(SDE)}} = 0$ . The above asymmetry leads certainly to  $\langle n_1 \rangle \neq \langle n_1 \rangle$  in the SDW state for  $E_Z \neq 0$ , as shall be shown later.

In Fig. [21,](#page-9-2) we show the area of spin instability of  $|P\rangle$  in the  $(r_s, q)$  plane for  $E_z = 0$  and  $0.06 \hbar \omega_c$  in the same way as in Fig. [14.](#page-6-3) We realize that the domain of spin instability does expand as expected in the above, but only surprising slowly, with increasing  $E_z$ . In other words,  $|P\rangle$  is quite stable against the Zeeman splittings. This can be explained by Fig. [22,](#page-9-3) which shows the minimum of the SDE dispersion on  $|P\rangle$  at  $E_Z = 0$  as a function of  $r_s$ , to display the softening of SDE shown in Fig. [8.](#page-4-2) We see that the excitation energy vanishes very rapidly in a nonlinear fashion as  $r<sub>s</sub>$  approaches the critical value  $r_s$ = 2.01 from below. Because the instability occurs at the intersection  $E^{\text{(SDE)}} = E_Z$ , Fig. [22](#page-9-3) clearly shows that the critical value of  $r<sub>s</sub>$  lowers very slowly as  $E<sub>Z</sub>$  increases for  $E_Z \leq 0.3\hbar\omega_c$ . The above observation explains clearly the slow expansion of the domain of instability in Fig. [21.](#page-9-2) It also suggests that  $E_Z$  hardly affects the SDE boson that is to condensate, which shall be confirmed later in Sec. [VI A.](#page-10-2)

### **B.** Instability of  $|F\rangle$  for  $E_Z \neq 0$

Here we consider the SDE on  $|F\rangle$  in the  $\sigma$ <sub>-</sub> channel, which is depicted in Fig. [23.](#page-9-4) We can easily realize that the total energy per particle,  $E_F$ , of  $|F\rangle$  lowers by  $E_Z/2$ , and that all the unperturbed *ph* energies increase by  $E_z$ . Then we realize that the TDHF equation for the SDE on  $|F\rangle$  is given by

<span id="page-9-4"></span>

FIG. 23. (Color online) Schematical expression for the SDE on  $|F\rangle$  for  $E_Z \neq 0$ .

<span id="page-10-4"></span>

FIG. 24. (Color online) Domain of spin instability of  $|F\rangle$  for  $E_Z/\hbar \omega_c = 0$  (shaded area) and 0.01 (hatched area). The domain diminishes very rapidly with increasing  $E_Z$ , which should be compared with Fig. [21.](#page-9-2)

$$
(A(\mathbf{q}) + E_z)X^{(n)} = (E^{(n)} + E_z)X^{(n)}.
$$
 (32)

<span id="page-10-3"></span>In the same way as for the paramagnetic case, Eqs.  $(32)$  $(32)$  $(32)$  and ([29](#page-5-4)) have the same eigenvector  $\mathcal{X}^{(n)}$  but with different eigenenergies  $E^{(n)} + E_Z$  and  $E^{(n)}$ . In other words, the finite  $E_Z$ increases the excitation energy of SDE by  $E<sub>Z</sub>$  without affecting its structure. This means that the finite  $E_Z$  suppresses the instability of  $|F\rangle$  and the realization of  $|SDW(F)\rangle$  as the ground state, compared to the  $E<sub>Z</sub>=0$  case in Fig. [10.](#page-5-2) This can be confirmed by Fig. [24,](#page-10-4) where the area of the instability of  $|F\rangle$  is shown in the  $(r_s, q)$  plane for  $E_z = 0$  and  $0.01 \hbar \omega_c$ , in the same way as in Fig. [21](#page-9-2) for  $|P\rangle$ . The domain of the spin instability shrinks very rapidly with increasing  $E_z$ , which implies growing stability of  $|F\rangle$ . Therefore, it is quite unlikely that  $|SDW(F)\rangle$  can be the ground state for a finite  $E_Z$ because it cannot be even at  $E_Z=0$ , as shown in Fig. [16.](#page-7-1) In fact, we shall see that there is no point on the  $(r_s, E_z)$  plane where  $|SDW(F)\rangle$  is the ground state.

# **VI. GROUND STATE FOR**  $E_Z \neq 0$

<span id="page-10-0"></span>The HF calculation to obtain the SDW state for  $E_z \neq 0$ goes in the same fashion as for the  $E_Z=0$  case in Sec. [IV.](#page-6-0) Here we explain the HF solution for  $|SDW(P)\rangle$  and  $|SDW(F)\rangle$  for  $E_Z \neq 0$ .

## A.  $|SDW(P)\rangle$  for  $E_Z \neq 0$

<span id="page-10-2"></span>We have performed the HF calculation in the whole do-main of the spin instability in Fig. [21](#page-9-2) for each value of  $E_z$ , and have determined  $|SDW(P)\rangle$  and  $E_{SDW(P)}$  as a function of  $r_s$  and  $E_z$ . We show  $E_{SDW(P)}$  in Fig. [25](#page-10-5) together with

<span id="page-10-6"></span>
$$
E_{\rm P} = \frac{1}{2} \hbar \omega_c \left( 1 - \sqrt{\frac{\pi}{2}} r_s \right),
$$
  

$$
E_{\rm F} = \hbar \omega_c \left( 1 - \frac{11}{16} \sqrt{\frac{\pi}{2}} r_s \right) - \frac{1}{2} E_Z,
$$
 (33)

for several values of  $E_z$ . We realize that  $E_{SDW(P)}$  for a fixed value of  $r<sub>s</sub>$  decreases very slowly with increasing  $E<sub>Z</sub>$ . In fact,  $E_{SDW(P)}$  decreases by around one hundredth of  $E_Z$ , while  $E_F$ lowers exactly by  $E_Z/2$ .

<span id="page-10-5"></span>

FIG. 25. (Color online) Total energy per electron  $E_{\rm P}$ ,  $E_{\rm F}$ , and  $E_{SDW(P)}$  in each phase in units of  $\hbar \omega_c$  for several values of  $E_Z$ .  $E_{SDW(P)}$  is only slightly dependent on  $E_Z$ , and is given for  $E_Z/\hbar \omega_c = 0$  and 0.06 only. The onset of  $|SDW(P)\rangle$  is  $r_s = 2.05$  for  $E_Z / \hbar \omega_c = 0$ , and  $r_s = 2.00$  for  $E_Z / \hbar \omega_c = 0.06$ , respectively. Though  $E_{SDW(P)}(E_Z=0.06\hbar\omega_c) \le E_{SDW(P)}(E_Z=0)$ , they are degenerate on this scale.

The above point can be explained by examining the spin densities of  $|SDW(P)\rangle$  using Eq. ([22](#page-3-8)). The results are listed in Table [III](#page-8-1) together with the  $E_Z=0$  case. It is remarkable that  $\langle n_1 \rangle = \langle \psi | \psi \rangle \approx 1/(2\pi l^2)$  is hardly affected by *E<sub>Z</sub>*, while  $\langle \psi_1^{\dagger} \psi_1 \rangle$  is strongly dependent on  $E_z$  and  $r_s$ . In other words,  $E_z$  $\frac{1}{2}$  does not reform the structure of the condensating boson, as was suggested by comparison of Eqs.  $(7)$  $(7)$  $(7)$  and  $(31)$  $(31)$  $(31)$  in Sec. [V A;](#page-9-5) it just changes the amount of the condensate. The fact  $\langle n_{\uparrow} \rangle \simeq \langle n_{\downarrow} \rangle$  also explains that  $E_{SDW(P)}$  is quite insensitive to  $E_Z$ ; the effect of  $E_Z$  should cancel out each other between up and down-spin electrons.

The following point should be stressed at the end. One might have expected naively that  $|SDW(P)\rangle$  would change into  $|F\rangle$  with increasing  $E_z$ . However, our result,  $\langle n_1 \rangle \simeq \langle n_1 \rangle$ independently of  $E_z$ , denies the above naive expectation.

# **B.**  $|SDW(F)\rangle$  for  $E_Z \neq 0$

We have performed the HF calculation to obtain  $|SDW(F)\rangle$  and  $E_{SDW(F)}$  in the whole domain of the spin in-stability in Fig. [24](#page-10-4) for each value of  $E_z$ . We plot  $E_{SDW(F)}$  in Fig. [26](#page-11-1) together with  $E_P$  and  $E_F$  of Eq. ([33](#page-10-6)). It is notable that the onset of  $|SDW(F)\rangle$  is  $r_s = 2.15$  for  $E_z = 0$ , and  $r_s = 1.95$  for  $E_Z = 0.01 \hbar \omega_c$ , showing that the onset of  $|SDW(F)\rangle$  moves quite quickly to the smaller  $r_s$  region with increasing  $E_z$ , in accordance with Fig. [24.](#page-10-4) By combining the above observation with the fact that  $E_{SDW(P)}$  lowers slightly with increasing  $E_Z$  as shown in Fig. [25,](#page-10-5) we can conclude that  $|SDW(F)\rangle$ cannot be the ground state at any point on the  $(r_s, E_Z)$  plane.

# **VII. RESULTS AND DISCUSSIONS**

<span id="page-10-1"></span>Here we present the main result of this work, the phase diagram on the whole  $(r_s, E_z)$  plane that is to replace Fig. [1.](#page-0-0) Then we compare our results with other theories and experimental results.

#### **A. Phase diagram**

Having understood that  $E_{SDW(F)}$  is not the lowest energy in any case, we now compare  $E_P$ ,  $E_F$ , and  $E_{SDW(P)}$  to deter-

<span id="page-11-1"></span>

FIG. 26. (Color online) Total energy per electron  $E_P$ ,  $E_F$ , and  $E_{SDW(F)}$  in each phase in units of  $\hbar \omega_c$  for several values of  $E_Z$ . The onset of  $|SDW(F)\rangle$  is  $r_s = 2.15$  for  $E_Z/\hbar \omega_c = 0$ , and  $r_s = 1.95$  for  $E_Z/\hbar \omega_c = 0.01$ , respectively.

mine the ground state in the whole  $(r_s, E_z)$  plane. The result is summarized on the phase diagram shown in Fig. [27.](#page-11-0)

In order to explain the main features of the diagram, we need only to know how the three energies,  $E_{\rm P}$ ,  $E_{\rm F}$ , and  $E_{SDW(P)}$ , move with increasing  $E_Z$ , which is given in Fig. [25.](#page-10-5) We see (i) that  $E_P$  is not dependent on  $E_Z$ , (ii) that  $E_F$  decreases exactly by  $E_Z/2$ , and (iii) that  $E_{SDW(P)}$  lowers only slightly. Then, we can explain Fig. [27](#page-11-0) as follows.

First, let us consider how the boundary value of  $r<sub>s</sub>$  between  $|P\rangle$  and  $|SDW(P)\rangle$  moves with increasing  $E_Z$ . Figure [25](#page-10-5) shows that the onset of  $|SDW(P)\rangle$  moves slightly to the smaller  $r_s$  direction  $(r_s=2.01$  for  $E_z=0$ , and  $r_s=2.00$  for  $E_z$  $= 0.06 \hbar \omega_c$ ). This explains the line in Fig. [27](#page-11-0) that extends from  $(r_s=2.01, E_z=0)$  upwards slightly to the left to  $(r_s$  $= 2.00, E_Z = 0.06 \hbar \omega_c$ .

Second, Fig.  $25$  shows that the intersection of  $E_F$  and  $E_{SDW(P)}$  moves quickly to the smaller  $r_s$  direction with in-

<span id="page-11-0"></span>

FIG. 27. (Color online) Magnetic phase diagram. Three phases,  $|P\rangle$ ,  $|F\rangle$ , and  $|SDW(P)\rangle$ , are shown with their boundaries. In the hatched region, a first-order transition between  $|P\rangle$  and  $|F\rangle$  is ex-pected theoretically (Refs. [6–](#page-14-3)[8](#page-14-5) and [10](#page-14-6)). The cross at  $(r_s \sim 1.1, E_Z)$  $\sim 0.37\hbar\omega_c$ ) indicates the first-order transition observed experimen-tally (Refs. [25](#page-14-18) and [26](#page-14-20)). Empirical SDE energy is expected to vanish in the shaded area  $(11 \le r_s \le 14, E_Z \sim 0)$  (Ref. [5](#page-14-2)).

creasing  $E_z$ . This explains the line in Fig. [27](#page-11-0) that goes from  $(r_s=2.15, E_Z=0)$  to  $(r_s=2.00, E_Z=0.06\hbar\omega_c)$ ;  $|SDW(P)\rangle$  is taken over by  $|F\rangle$  promptly with increasing  $E_z$ .

Third, for  $E_Z / \hbar \omega_c \gtrsim 0.06$ ,  $|SDW(P) \rangle$  cannot be the ground state for any value of  $r_s$ , as can be seen from Fig.  $25$ . Then,  $|P\rangle$  or  $|F\rangle$  becomes the ground state according to  $E_F \gtrless E_P$ . The boundary is fixed by  $E_P = E_F$ , which is given by virtue of Eq.  $(33)$  $(33)$  $(33)$  as the straight line

$$
\frac{E_Z}{\hbar \omega_c} = 1 - \frac{3}{8} \sqrt{\frac{\pi}{2}} r_s,
$$
\n(34)

that goes from  $(r_s=2.00, E_z=0.06\hbar\omega_c)$  to  $(r_s=0, E_z=\hbar\omega_c)$ .

Fourth, for  $E_Z / \hbar \omega_c > 1$ ,  $|F\rangle$  is the ground state for any value of  $r_s$ , i.e.,  $E_F \le E_P$  for any  $r_s$ .

Let us summarize the above results. For  $0 \leq E_z / \hbar \omega_c$  $\leq$  0.06, we have three phases  $|P\rangle$ ,  $|F\rangle$ , and  $|SDW(P)\rangle$  as in the case of  $E_Z=0$ . The boundary between  $|P\rangle$  and  $|SDW(P)\rangle$ is the second-order transition, and the boundary between  $|F\rangle$ and  $|SDW(P)\rangle$  is of first order. For  $0.06 \leq E_Z / \hbar \omega_c \leq 1$ , the first-order phase transition occurs between  $|P\rangle$  and  $|F\rangle$  at  $E_P = E_F$ . For  $1 \leq E_Z / \hbar \omega_c$ ,  $|F\rangle$  is always the ground state.

At the end, it should be mentioned here that our investigation does not completely exclude other possibilities from the phase diagram. Here we have compared four probable phases, i.e.,  $|P\rangle$ ,  $|F\rangle$ ,  $|SDW(P)\rangle$ , and  $|SDW(F)\rangle$ . If other phases are to be the ground state, however, they are not connected to either  $|P\rangle$  or  $|F\rangle$  by a second-order transition induced by the spin-density operator. Because the transition to such a phase does not accompany the softening of collective spin excitations, it would be difficult to find an evidence of such a phase by investigating excitation spectra experimentally.

#### **B. Comparison with other theories**

Here we compare our results with those of other approaches on the spin instability and the subsequent phase transition. Giuliani and Quinn studied the same system in the hatched area in Fig. [27,](#page-11-0) i.e., in the high-density  $(r<sub>s</sub> \ll 1)$  and large Zeeman splitting  $(E_Z \sim \hbar \omega_c)$  regime, with a mean-field theory some time ago. $6-8$  $6-8$  They concluded that the transition between  $|P\rangle$  and  $|F\rangle$  is of first order, and denied the possibility of the SDW ground state. This is in accordance with our results in the corresponding region in Fig. [27.](#page-11-0)

Another investigation of the present system was carried out by Park and Jain.<sup>22</sup> However, their results do not reproduce the transition between  $|P\rangle$  and  $|SDW(P)\rangle$  at  $r_s = 2.01$  for  $E_Z = 0$ , which is confirmed both by the TDHF and HF calculations[.14,](#page-14-4)[20](#page-14-14) So we believe that their results cannot be compared directly with ours.

## **C. Comparison with experiment**

In the high-density  $(r_s \leq 1)$  and large  $E_Z$  region, there are several empirical evidences that the  $\nu = 2$  paramagnetic sys-tem shows a first-order transition.<sup>25[–32](#page-14-19)</sup> A clearly observed transition point  $(r_s \sim 1.1, E_Z \sim 0.37 \hbar \omega_c)$  for  $|P\rangle \leftrightarrow |F\rangle$  (Refs.  $25$  and  $26$ ) is marked by a cross in Fig.  $27$ , which is quite close to our phase boundary, indicating that the HF theory works well at  $r_s \sim 1$ .

In the low-density region, on the other hand, we do not have much empirical information. Some time ago, Eriksson *et al.*[5](#page-14-2) performed inelastic-light-scattering experiment to explore the possible spin instability of  $\nu = 2$  system with  $E_z$ =0, which is to take place at  $r_s \sim 2$  (Refs. [14](#page-14-4) and [20](#page-14-14)) in the HF theory as shown in Fig.  $27$ . They observed (i) that the SDE dispersion shows softening with increasing  $r_s$ , but (ii) that the density at  $r_s \sim 2$  is still too high to cause the spin instability. Though they could not find the instability, they suggested, by extrapolating their data to the low-density region, that the SDE energy tends to zero at  $11 \le r_s \le 14$  to indicate a spin instability, which is shown as a shaded area in Fig. [27.](#page-11-0)

Qualitatively, main features of the above empirical findings are explained clearly by the present analysis of the SDE and the phase diagram; the softening of SDE spectra with increasing  $r<sub>s</sub>$  is a precursor of the SDW, and the vanishing excitation energy of SDE marks the onset of SDW.

Quantitatively speaking, however, we notice several differences between our phase diagram and empirical findings. The experimental data suggest (i) that  $|P\rangle$  is the ground state in a wider area than obtained by the HF theory, i.e., the system is found to be  $|P\rangle$  up to  $r_s \sim 6$  for  $E_Z = 0.5$  and (ii) that the spin instability of which the onset is  $r_s = 2.01$  for  $E_z = 0$  in the present HF theory is pushed into the lower density region  $(11 \le r_s \le 14)$ . A possible explanation is that fluctuations tend to prevent stabilization of  $|F\rangle$  and  $|SDW(P)\rangle$ , which gain exchange energy by spin ordering. Another origin of the difference between our results and the available experimental data comes from the thickness of the real system, which is expected to shift the critical point of the spin instability to the lower-density region. For the system studied in the experiment, $5$  it is expected that the finite thickness would push the critical point  $r_s = 2.01$  of the HF theory to  $r_s \sim 3.3^{5,33}$  $r_s \sim 3.3^{5,33}$  $r_s \sim 3.3^{5,33}$ 

The above observation strongly suggests that the qualitative feature of our phase diagram in Fig. [27](#page-11-0) is true in real systems. We believe that there are three phases,  $|P\rangle$ ,  $|F\rangle$ , and  $|SDW(P)\rangle$ , in the phase diagram. The following two limits are easily understood; (i) in the small  $r_s$  and small  $E_z$  region, the system should be in  $|P\rangle$ , and (ii) in the large  $r_s$  or large  $E_Z$ region,  $|F\rangle$  should be the ground state. On the phase boundaries, we have realized the following: first, there should be a first-order boundary between  $|P\rangle$  and  $|F\rangle$  that extends from  $(r_s=0, E_Z/\hbar \omega_c=1)$  downwards to the right, which is confirmed both theoretically and experimentally, as shown Fig. [27.](#page-11-0) Second, it is quite likely that there is a second-order boundary between  $|P\rangle$  and  $|SDW(P)\rangle$  in the small  $E_Z$  region at  $11 \le r_s \le 14$ . We believe, from the above analysis and discussion, that the phase diagram of the real system should be obtained by shifting phase boundaries in the small  $E<sub>Z</sub>$  region in Fig. [27](#page-11-0) to the lower density side without affecting the qualitative structure of the phase diagram.

Experimental information is strongly awaited in three directions in connection with the SDW phase. The first one is the dispersion of the SDE on  $|P\rangle$  in the lower density region (down to  $r_s \sim 10$ ) for  $E_Z \sim 0$  to confirm the spin instability. The second one is to explore the SDW phase itself at  $r_s$ 

 $\geq$  10. The last one is the SDE on  $|F\rangle$  which would exhibit a softening for  $E_Z \sim 0$ , because  $E_F \sim E_{SDW(P)} \sim E_{SDW(F)}$  at  $r_s$  $\sim$  2.15 in the HF theory as shown in Fig. [15.](#page-7-0) It is also important to fix experimentally the line of the first-order transition  $|P\rangle \leftrightarrow |F\rangle$  on the  $(r_s, E_z)$  plane. We believe that experiments in these directions should elucidate interrelations between softening of the SDE dispersion, quantum phase transition to the SDW state, and its description as a condensate of the SDE boson.

## **VIII. CONCLUSION**

<span id="page-12-0"></span>To date, the phase diagram of the integer quantum Hall system on the  $(r_s, E_z)$  plane has not been known in the meanfield theory even for the  $\nu = 2$  system, as shown in Fig. [1.](#page-0-0) In this situation, we have completed the phase diagram in Fig. [27](#page-11-0) using the time-dependent Hartree-Fock (TDHF) and the Hartree-Fock (HF) theories in the following manner. First, we have looked for the onset of spin instabilities of the paramagnetic  $|P\rangle$  and ferromagnetic  $|F\rangle$  phases on the whole  $(r<sub>s</sub>, E<sub>Z</sub>)$  plane by examining the TDHF spectra of the spindensity excitation (SDE) in a systematic way, which in turn fixes possible second-order phase boundaries of  $|P\rangle$  and  $|F\rangle$ . Second, we have performed the HF calculation to obtain a new HF ground state, the spin-density wave (SDW) indicated by the instability, where neither  $|P\rangle$  nor  $|F\rangle$  is stable. Our results are summarized in Fig. [27,](#page-11-0) which replaces Fig. [1.](#page-0-0) By comparing our results with available experimental data, we have argued that the phase diagram in Fig. [27](#page-11-0) is consistent with empirical findings.

Important points we have made in the present analysis are the following. First, we have shown that the SDW is a stable ground state at  $r_s \sim 2$  and  $E_Z \sim 0$ , which was denied by earlier works at  $r_s \ll 1$  and  $E_Z \sim \hbar \omega_c$ . Second, we have shown that the structure of the SDW hardly changes with increasing *EZ*. The naive expectation is thus denied that the SDW would tend to the ferromagnetic phase with increasing  $E_z$ . Third, we have clarified that the Coulomb exchange interaction in a specific particle-hole channel plays a dual and important role in the whole scenario of the quantum phase transition: one is the softening of the SDE and the other is the stabilization of the SDW. We believe that the present work has made a clear step toward the full understanding of the integer quantum Hall system in a tilted magnetic field.

## **APPENDIX: MATRIX ELEMENTS**

In this appendix, we briefly review the matrix elements of the Coulomb interaction  $v(r_1, r_2) = e^{2}/|r_1 - r_2|$  using the single-particle wave function  $\psi_{\alpha k}(r)$  of Eq. ([3](#page-1-4)) in the Landau gauge.

Let us first define the matrix element of  $e^{i\boldsymbol{q}\cdot\boldsymbol{r}}$  with  $\boldsymbol{q}$  $=(q_x, q_y)$  = (q cos  $\theta, q \sin \theta$ ) as

$$
\int dr \psi_{\alpha k_1}^*(r) e^{i\mathbf{q} \cdot \mathbf{r}} \psi_{\beta k_2}(r) = \delta_{k_1 - k_2, q_y} e^{-\frac{i}{2}(k_1 + k_2) q_x l^2} F_{\alpha \beta}(\mathbf{q}).
$$
\n(A1)

Here,  $F_{\alpha\beta}(q)$  is a vertex function given by

<span id="page-13-4"></span>

FIG. 28. Diagrammatic expression for  $V_{\alpha\alpha'\beta\beta'}(k_1, k_2, k_3, k_4)$  of Eq.  $(A5)$  $(A5)$  $(A5)$ .

$$
F_{\alpha\beta}(q) = \mathcal{N}_{\alpha} \mathcal{N}_{\beta}^* e^{-i(\alpha - \beta)\theta} f_{\alpha\beta}(q), \tag{A2}
$$

<span id="page-13-5"></span>where  $\mathcal{N}_{\alpha} = i^{\alpha}e^{-i\alpha\theta}$  is a phase factor that depends on the direction of *q*, and  $f_{\alpha\beta}(q)$  is defined using the Laguerre polynomial  $L$  (Ref. [34](#page-14-22)) as

$$
f_{\alpha\beta}(q) = \sqrt{\frac{\beta!}{\alpha!}} e^{-q^2 l^2 / 4} \left(\frac{q l}{\sqrt{2}}\right)^{\alpha-\beta} L_{\alpha}^{\alpha-\beta} \left(\frac{q^2 l^2}{2}\right). \tag{A3}
$$

Note that  $f_{\beta\alpha}(q) = (-1)^{\alpha-\beta} f_{\alpha\beta}(q)$ , and therefore that the following symmetry properties hold for the vertex function:

$$
F_{\alpha\beta}(-q) = (-1)^{\alpha-\beta} F_{\alpha\beta}(q),
$$
  

$$
F_{\alpha\beta}^*(q) = F_{\beta\alpha}(-q).
$$
 (A4)

<span id="page-13-9"></span>Now the matrix element of the Coulomb interaction in Fig. [28](#page-13-4) can be written as  $18-20$  $18-20$ 

<span id="page-13-7"></span>
$$
V_{\alpha\alpha'\beta\beta'}(k_1, k_2, k_3, k_4) = \int dr_1 dr_2 \psi_{\alpha k_1}^*(r_1) \psi_{\alpha' k_2}^*(r_2) v(r_1, r_2)
$$
  
 
$$
\times \psi_{\beta k_3}(r_1) \psi_{\beta' k_4}(r_2)
$$
  

$$
= \frac{1}{L} \delta_{k_1 + k_2, k_3 + k_4} V_{\alpha\alpha'\beta\beta'}(k_1 - k_4, k_1 - k_3),
$$
  
(A5)

where  $V_{\alpha\alpha'\beta\beta'}(k_1-k_4, k_1-k_3)$  is given in terms of the vertex function of Eq.  $(A2)$  $(A2)$  $(A2)$  as

<span id="page-13-8"></span>
$$
V_{\alpha\alpha'\beta\beta'}(k,k') = \int \frac{dq}{(2\pi)^2} 2\pi \delta(k'-q_y)
$$

$$
\times e^{-ikq_x l^2} v(q) F_{\alpha\beta}(q) F_{\alpha'\beta'}^*(q). \quad (A6)
$$

Here  $v(q) = 2\pi e^2/q$  is the Fourier transform of the Coulomb interaction  $e^2/r$  in the 2D system.

In the study of the SDE in the TDHF framework, the following combination of matrix elements depicted in Fig. [29](#page-13-6) appears naturally as the *ph* interaction:

<span id="page-13-6"></span>

FIG. 29. Diagrammatic expression for the process that enters the definition of  $X_{\alpha\alpha'\beta\beta'}(q)$  in Eq. ([A7](#page-13-0)).

<span id="page-13-0"></span>
$$
e^{i\phi(k)}\sum_{k'}V_{\alpha\alpha'\beta\beta'}(k+q_{y},k',k'+q_{y},k)e^{-i\phi(k')}=X_{\alpha\alpha'\beta\beta'}(q),
$$
\n(A7)

where  $\phi(k) = (k + q_y/2)q_x l^2$ . By substituting Eqs. ([A5](#page-13-7)) and  $(A6)$  $(A6)$  $(A6)$  in Eq.  $(A7)$  $(A7)$  $(A7)$ , we obtain the following explicit form of  $X_{\alpha\alpha'}\beta\beta'}(\boldsymbol{q})$ :

<span id="page-13-1"></span>
$$
X_{\alpha\alpha'\beta\beta'}(\boldsymbol{q}) = \int \frac{d\boldsymbol{p}}{(2\pi)^2} e^{-i(\boldsymbol{p}\cdot\mathbf{q})z^{2}} v(\boldsymbol{p}) F_{\alpha\beta}(\boldsymbol{p}) F_{\alpha'\beta'}^{*}(\boldsymbol{p}).
$$
\n(A8)

Then the symmetry property of  $X_{\alpha\alpha'\beta\beta'}(q)$  can be easily derived from Eqs.  $(A4)$  $(A4)$  $(A4)$  and  $(A8)$  $(A8)$  $(A8)$  as

<span id="page-13-3"></span>
$$
X_{\alpha'\alpha\beta'\beta}(q) = X_{\alpha\alpha'\beta\beta'}(-q) = (-1)^{\alpha+\alpha'+\beta+\beta'} X_{\alpha\alpha'\beta\beta'}(q),
$$

$$
X_{\alpha\alpha'\beta\beta'}^*(q) = X_{\beta\beta'\alpha\alpha'}(q). \tag{A9}
$$

In actual calculations, we have evaluated  $X_{\alpha'\alpha\beta'}\beta(q)$  as follows. After performing the angular integral in Eq.  $(A8)$  $(A8)$  $(A8)$ , we arrive at

<span id="page-13-2"></span>
$$
X_{\alpha\alpha'\beta\beta'}(\boldsymbol{q}) = \frac{e^2}{l} \frac{\mathcal{N}_{\alpha}\mathcal{N}_{\alpha'}}{\mathcal{N}_{\beta}\mathcal{N}_{\beta'}} \int d\hat{p} \hat{f}_{\alpha\beta}(\hat{p}) \hat{f}_{\beta'\alpha'}(\hat{p}) J_{\alpha+\alpha'-\beta-\beta'}(\hat{p}\hat{q}),
$$
\n(A10)

where *J* is the Bessel function. Here we have introduced dimensionless momentum variables  $\hat{p} = pl$  and  $\hat{q} = gl$ , and the obvious notation  $\hat{f}_{\alpha\beta}(\hat{p}) = f_{\alpha\beta}(p)$ . Then the integral can be performed analytically using integral formulas with Bessel functions $34$  as

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$$
\int d\hat{p} \hat{f}_{\alpha\beta}(\hat{p}) \hat{f}_{\mu\nu}(\hat{p}) J_{\lambda}(\hat{p}\hat{q}) = \sqrt{\frac{\beta! \nu!}{\alpha! \mu!}} \sqrt{\frac{\pi}{2}} \frac{1}{2^m} \frac{1}{(\sqrt{2})^{\lambda}} \frac{1}{\lambda!} \sum_{l=0}^{\beta} \frac{\alpha! (-1)^l}{(\alpha - \beta + l)!(\beta - l)! \, l!} \frac{1}{2^l}
$$

$$
\times \sum_{k=0}^{\nu} \frac{\mu! (-1)^k}{(\mu - \nu + k)!(\nu - k)! \, k!} \frac{1}{2^k} (2m + 2l + 2k - 1)!! \, \hat{q}^{\lambda} F\left(m + l + k + \frac{1}{2}, \lambda + 1; -\frac{\hat{q}^2}{2}\right), \quad (A11)
$$

where  $\alpha \geq \beta$ ,  $\mu \geq \nu$ ,  $\lambda \geq 0$ , and  $\alpha - \beta + \mu - \nu + \lambda = 2m$  and *F* is the confluent hypergeometric function defined  $bv<sup>34</sup>$ 

$$
F(\alpha, \gamma; z) = 1 + \frac{\alpha z}{\gamma 1!} + \frac{\alpha(\alpha + 1) z^2}{\gamma(\gamma + 1) 2!} + \cdots. \tag{A12}
$$

As an immediate application of the above formula, we obtain, for example,

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 $X_{0000}(0) = \frac{e^2}{l} \sqrt{\frac{\pi}{2}}$ 

1  $rac{1}{2}\sqrt{\frac{\pi}{2}},$ 

 $\frac{\pi}{2}$ . (A13)

3  $rac{3}{4}\sqrt{\frac{\pi}{2}}$ 

<span id="page-14-17"></span> $X_{1001}(0) = X_{1010}(0) = \frac{e^2}{l}$ 

 $X_{1111}(0) = \frac{e^2}{l}$ 

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