# Electron-spin relaxation in bulk III-V semiconductors from a fully microscopic kinetic spin Bloch equation approach

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Electron spin relaxation in bulk III-V semiconductors is investigated from a fully microscopic kinetic spin Bloch equation approach where all relevant scatterings, such as, the electron-nonmagnetic-impurity, electronphonon, electron-electron, electron-hole, and electron-hole exchange (the Bir-Aronov-Pikus mechanism) scatterings are explicitly included. The Elliott-Yafet mechanism is also fully incorporated. This approach offers a way toward thorough understanding of electron spin relaxation both near and far away from the equilibrium in the metallic regime. The dependences of the spin relaxation time on electron density, temperature, initial spin polarization, photo-excitation density, and hole density are studied thoroughly with the underlying physics analyzed. We find that these dependences are usually qualitatively different in the nondegenerate and degenerate regimes. In contrast to the previous investigations in the literature, we find that: (i) In n-type materials, the Elliott-Yafet mechanism is less important than the D'yakonov-Perel' mechanism, even for the narrow band-gap semiconductors such as InSb and InAs. (ii) The density dependence of the spin relaxation time is nonmonotonic and we predict a *peak* in the metallic regime in both *n*-type and intrinsic materials. (iii) In intrinsic materials, the Bir-Aronov-Pikus mechanism is found to be negligible compared with the D'yakonov-Perel' mechanism. We also predict a peak in the temperature dependence of spin relaxation time which is due to the nonmonotonic temperature dependence of the electron-electron Coulomb scattering in intrinsic materials with small initial spin polarization. (iv) In p-type III-V semiconductors, the Bir-Aronov-Pikus mechanism dominates spin relaxation in the low-temperature regime only when the photoexcitation density is low. When the photoexcitation density is high, the Bir-Aronov-Pikus mechanism can be comparable with the D'yakonov-Perel' mechanism only in the moderate temperature regime roughly around the Fermi temperature of electrons, whereas for higher or lower temperature it is unimportant. The relative importance of the Bir-Aronov-Pikus mechanism decreases with the photoexcitation density and eventually becomes negligible at sufficiently high photoexcitation density. The effect of electric field on spin relaxation in *n*-type III-V semiconductors is also studied with behaviors very different from those in the two-dimensional case reported. Finally, we find good agreement of our calculation with the experimental results.

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## I. INTRODUCTION

Semiconductor spintronics, which aims at utilizing or incorporating the spin degree of freedom in electronics, has attracted much interest.<sup>1-3</sup> During the last decade, the fast developments of techniques of coherent manipulation of electron spins via optical or electrical methods<sup>4-13</sup> have intrigued a lot of studies.<sup>3</sup> Many of the important findings are developed in bulk GaAs or GaAs epilayers, where the spin relaxation time (SRT) was found to be as long as 130 ns,<sup>4,5</sup> and the spin diffusion length was reported as large as 100  $\mu$ m.<sup>6</sup> Remarkably, it has been found that the SRT can vary by more than 3 orders of magnitude with temperature or electron density.<sup>4</sup> The relevant spin relaxation mechanisms for electron system in the metallic regime have been recognized for a long time as: (i) the Elliott-Yafet (EY) mechanism in which electron spins have a small chance to flip during each scattering due to spin mixing in the conduction band;<sup>14</sup> (ii) the D'yakonov-Perel' (DP) mechanism in which the electron spins decay due to their precession around the k-dependent spin-orbit fields (inhomogeneous broadening<sup>15</sup>) during the free flight between adjacent scattering events;<sup>16</sup> (iii) the Bir-Aronov-Pikus (BAP) mechanism in which electrons exchange their spins with holes.<sup>17</sup> The hyperfine interaction is another mechanism which is usually important for spin relaxation of localized electrons and ineffective in metallic regime where most of the carriers are in extended states.<sup>1,3,7,18–21</sup>

Despite decades of study, a detailed theoretical investigation from a fully microscopic approach in bulk system has not yet been performed. Although Song and Kim have investigated electron spin relaxation due to all the three relevant mechanisms for various conditions in both n- and p-type semiconductors,<sup>22</sup> in their work they use the analytical expressions based on single-particle approach which are only applicable for the nondegenerate electron system, and hence make the discussion in low-temperature and/or high density regime questionable. More importantly, the carrier-carrier Coulomb scattering, which has been shown to be very imtwo-dimensional spin relaxation in portant for systems,<sup>15,23–35</sup> has not yet been well studied in bulk system. Also, at finite spin polarization, the Coulomb Hartree-Fock (HF) term acts as an effective longitudinal magnetic field, which has been demonstrated to be able to increase the SRT by more than 1 order of magnitude when the initial spin polarization is high in two-dimensional electron system

(2DES).<sup>23,36,37</sup> However, the effect of the Coulomb HF term in bulk system has not been investigated. Another issue is that the commonly used analytical formula for spin relaxation due to the BAP mechanism is based on the elasticscattering approximation, which has been proved to be invalid for low temperature due to pretermission of the Pauli blocking of electrons very recently by Zhou and Wu.<sup>28</sup> Consequently, the BAP mechanism has been demonstrated to be unimportant in two-dimensional system at moderate and high theoretically<sup>28</sup> density first and excitation then experimentally,<sup>38</sup> which is in stark contrast with the common belief in the literature. Whether it is still true in bulk system remains unchecked. Furthermore, in most works only the short-range electron-hole exchange interaction was considered, while the long-range part was ignored.<sup>1,3,22,39,40</sup> All these questions suggest that a detailed fully microscopic investigation is needed. In this work, we perform such a study from the fully microscopic kinetic spin Bloch equation (KSBE) approach.<sup>15,23–30,35,41</sup> We focus on the metallic regime where most of the carriers are in extended states. We restrict ourselves to the zero magnetic field case.<sup>42</sup>

Previously, the KSBE approach has been applied extensively to study spin dynamics in semiconductor nanostructures in both Markovian and non-Markovian limits and in systems both near and far away from the equilibrium (under strong static or terahertz electric field or with high spin polarization).<sup>15,23–30,34–36,41,43,44</sup> The KSBE approach has been demonstrated to be successful in the study of spin relaxation in semiconductor quantum wells where good agreement with experiments has been achieved and many predictions have been confirmed by experiments.<sup>25,33,34,36-38,44-47</sup> This approach has also been applied in the investigation of spin relaxation/dephasing in bulk GaAs many years ago by Wu and Ning, where only the electron-nonmagneticimpurity and electron-phonon scatterings are included.<sup>48</sup> In this work, we include all the scatterings, especially the electron-electron Coulomb, electron-hole Coulomb, and electron-hole exchange scatterings together with the EY mechanism which were not considered in Ref. 48.

An important goal of this work is to find the dominant mechanism in different parameter regimes and for different materials from the fully microscopic KSBE approach. Previous investigations in the literature indicate that for *n*-type III-V semiconductors, the spin relaxation is mostly dominated by the DP mechanism, except at low temperature where the EY mechanism is most important; for intrinsic and *p*-type III-V semiconductors, the BAP mechanism dominates at low temperature when the hole density is high, whereas the DP mechanism dominates in other regimes.<sup>1,3,22,49</sup> In the present work, from the fully microscopic KSBE approach, however, we find that the EY mechanism is less important than the DP mechanism in *n*-type III-V semiconductors even for narrow band-gap semiconductors, such as InAs and InSb. For p-type III-V semiconductors, we find that the BAP mechanism dominates spin relaxation in the low-temperature regime only when the photoexcitation density is low enough. However, when the photoexcitation density is high, the BAP mechanism can be comparable with the DP mechanism only in the moderate temperature regime roughly around the Fermi temperature of electrons, and for higher or lower temperature it is unimportant. The relative importance of the BAP mechanism decreases with the photoexcitation density and eventually becomes negligible for sufficiently high photoexcitation density. For intrinsic III-V semiconductors, the BAP mechanism is negligible.

An important method of spin injection is the hot-electron spin injection where high electric field is applied.<sup>50</sup> Moreover, in 2DES, the spin relaxation can be effectively manipulated by the high in-plane electric field.<sup>24,25,29</sup> In this work, we also study spin relaxation in *n*-type bulk semiconductors under high electric field. We show that there is some essential difference of the electric field effect on spin dynamics between 2DES and the bulk system. Using GaAs as an example, we demonstrate that the electric field dependence of spin lifetime can be nonmonotonic or monotonic depending on the lattice temperature and the densities of impurities and electrons. The underlying physics is analyzed. The results indicate that the spin lifetime can be effectively controlled by electric field.

This paper is organized as follows: in Sec. II, we introduce the KSBEs. In Sec. III, we study the spin relaxation in n-type III-V semiconductors. In Secs. IV and V, we investigate the spin relaxations in intrinsic and p-type III-V semiconductors, respectively. We study the effects of electric field on spin relaxation in n-type III-V semiconductors in Sec. VI. Finally, we conclude in Sec. VII.

## II. KSBES

The spin dynamics is studied by solving the microscopic KSBEs derived via the nonequilibrium Green function method, <sup>15,23,51,52</sup>

$$\partial_t \hat{\rho}_{\mathbf{k}} = \partial_t \hat{\rho}_{\mathbf{k}} \big|_{\text{coh}} + \partial_t \hat{\rho}_{\mathbf{k}} \big|_{\text{drift}} + \partial_t \hat{\rho}_{\mathbf{k}} \big|_{\text{scat}}.$$
 (1)

Here  $\hat{\rho}_k$  is the single particle density matrix with the diagonal terms representing the distributions of each spin band, and the off-diagonal terms denoting the correlation of the two spin bands. The coherent term is given by

$$\partial_t \hat{\rho}_{\mathbf{k}} \Big|_{\text{coh}} = -i \left[ \mathbf{\Omega}(\mathbf{k}) \cdot \frac{\hat{\sigma}}{2} + \hat{\Sigma}_{\text{HF}}(\mathbf{k}), \hat{\rho}_{\mathbf{k}} \right].$$
 (2)

Here [,] is the commutator and  $\Omega(\mathbf{k}) = \Omega_{D}(\mathbf{k}) + \Omega_{S}(\mathbf{k})$  with

$$\mathbf{\Omega}_{\rm D}(\mathbf{k}) = 2\gamma_{\rm D}[k_x(k_y^2 - k_z^2), k_y(k_z^2 - k_x^2), k_z(k_x^2 - k_y^2)]$$
(3)

due to the Dresselhaus spin-orbit coupling (SOC) (Ref. 53) and

$$\mathbf{\Omega}_{\mathrm{S}}(\mathbf{k}) = 2\beta(k_x, -k_y, 0) \tag{4}$$

due to the strain-induced SOC.<sup>1,1,2,43</sup>  $\hat{\Sigma}_{HF}(\mathbf{k}) = -\Sigma_{\mathbf{k}'} V_{\mathbf{k}-\mathbf{k}'} \hat{\rho}_{\mathbf{k}'}$  is the Coulomb HF term of the electron-electron interaction. Previously, it was found that the Coulomb HF term serves as a longitudinal effective magnetic field which increases with the initial spin polarization. The effective magnetic field can be as large as 40 T, which blocks the inhomogeneous broadening of the **k**-dependent spin-orbit field and reduces the spin relaxation due to the DP mechanism in 2DES.<sup>23</sup> However, the effect of the HF effective magnetic field on spin relaxation in bulk system is still unknown, which is one of the

goal of this paper. The drift term is given by,<sup>24</sup>

$$\partial_t \hat{\rho}_{\mathbf{k}} \big|_{\text{drift}} = -e \mathbf{E} \cdot \nabla_{\mathbf{k}} \hat{\rho}_{\mathbf{k}}, \tag{5}$$

where *e* is the electron charge (e < 0) and **E** is the electric field. As the hole spin relaxation is very fast (~100 fs),<sup>54,55</sup> one can assume that the hole system is kept in the thermal equilibrium state where the hole distribution ( $f_{\mathbf{k},m}^{h}$ ) is described by the Fermi distribution. The scattering term  $\partial_t \hat{\rho}_{\mathbf{k}}|_{scat}$  contains the contributions from the electron-impurity scattering  $\partial_t \hat{\rho}_{\mathbf{k}}|_{ei}$ , the electron-phonon scattering  $\partial_t \hat{\rho}_{\mathbf{k}}|_{ep}$ , the electron-electron scattering  $\partial_t \hat{\rho}_{\mathbf{k}}|_{ee}$ , the electron-hole Coulomb scattering  $\partial_t \hat{\rho}_{\mathbf{k}}|_{eh}$ , and the electron-hole exchange scattering  $\partial_t \hat{\rho}_{\mathbf{k}}|_{ex}$ ,

$$\partial_t \hat{\rho}_{\mathbf{k}} \big|_{\text{scat}} = \partial_t \hat{\rho}_{\mathbf{k}} \big|_{ei} + \partial_t \hat{\rho}_{\mathbf{k}} \big|_{ep} + \partial_t \hat{\rho}_{\mathbf{k}} \big|_{ee} + \partial_t \hat{\rho}_{\mathbf{k}} \big|_{eh} + \partial_t \hat{\rho}_{\mathbf{k}} \big|_{ex}.$$
(6)

These terms read

$$\partial_{t}\hat{\rho}_{\mathbf{k}}|_{ei} = -\pi \sum_{\mathbf{k}'} n_{i} Z_{i}^{2} V_{\mathbf{k}-\mathbf{k}'}^{2} \delta(\varepsilon_{\mathbf{k}'} - \varepsilon_{\mathbf{k}})$$

$$\times (\hat{\Lambda}_{\mathbf{k},\mathbf{k}'} \hat{\rho}_{\mathbf{k}'}^{>} \hat{\Lambda}_{\mathbf{k}',\mathbf{k}} \hat{\rho}_{\mathbf{k}}^{<} - \hat{\Lambda}_{\mathbf{k},\mathbf{k}'} \hat{\rho}_{\mathbf{k}'}^{<} \hat{\Lambda}_{\mathbf{k}',\mathbf{k}} \hat{\rho}_{\mathbf{k}}^{>}) + \text{H.c.},$$
(7)

$$\partial_{t}\hat{\rho}_{\mathbf{k}}|_{ep} = -\pi \sum_{\lambda,\pm,\mathbf{k}'} |M_{\lambda,\mathbf{k}-\mathbf{k}'}|^{2} \delta(\pm \omega_{\lambda,\mathbf{k}-\mathbf{k}'} + \varepsilon_{\mathbf{k}'} - \varepsilon_{\mathbf{k}})$$

$$\times (N^{\pm}_{\lambda,\mathbf{k}-\mathbf{k}'}\hat{\Lambda}_{\mathbf{k},\mathbf{k}'}\hat{\rho}^{>}_{\mathbf{k}'}\hat{\Lambda}_{\mathbf{k}',\mathbf{k}}\hat{\rho}^{<}_{\mathbf{k}} - N^{\mp}_{\lambda,\mathbf{k}-\mathbf{k}'}\hat{\Lambda}_{\mathbf{k},\mathbf{k}'}$$

$$\times \hat{\rho}^{<}_{\mathbf{k}'}\hat{\Lambda}_{\mathbf{k}',\mathbf{k}}\hat{\rho}^{>}_{\mathbf{k}}) + \text{H.c.}, \qquad (8)$$

$$\partial_{t}\hat{\rho}_{\mathbf{k}}|_{ee} = -\pi \sum_{\mathbf{k}',\mathbf{k}''} V_{\mathbf{k}-\mathbf{k}'}^{2} \delta(\varepsilon_{\mathbf{k}'} - \varepsilon_{\mathbf{k}} + \varepsilon_{\mathbf{k}''} - \varepsilon_{\mathbf{k}''-\mathbf{k}+\mathbf{k}'})$$

$$\times [\hat{\Lambda}_{\mathbf{k},\mathbf{k}'}\hat{\rho}_{\mathbf{k}'}^{>}\hat{\Lambda}_{\mathbf{k}',\mathbf{k}}\hat{\rho}_{\mathbf{k}}^{<}$$

$$\times \mathrm{Tr}(\hat{\Lambda}_{\mathbf{k}'',\mathbf{k}''-\mathbf{k}+\mathbf{k}'}\hat{\rho}_{\mathbf{k}''-\mathbf{k}+\mathbf{k}'}^{<}\hat{\Lambda}_{\mathbf{k}''-\mathbf{k}+\mathbf{k}',\mathbf{k}''}\hat{\rho}_{\mathbf{k}''}^{>})$$

$$-\hat{\Lambda}_{\mathbf{k},\mathbf{k}'}\hat{\rho}_{\mathbf{k}'}^{<}\hat{\Lambda}_{\mathbf{k}',\mathbf{k}}\hat{\rho}_{\mathbf{k}}^{>}$$

$$\times \mathrm{Tr}(\hat{\Lambda}_{\mathbf{k}'',\mathbf{k}''-\mathbf{k}+\mathbf{k}'}\hat{\rho}_{\mathbf{k}''-\mathbf{k}+\mathbf{k}'}^{>}\hat{\Lambda}_{\mathbf{k}''-\mathbf{k}+\mathbf{k}',\mathbf{k}''}\hat{\rho}_{\mathbf{k}''}^{<})] + \mathrm{H.c.},$$
(9)

$$\partial_{t}\hat{\rho}_{\mathbf{k}}|_{eh} = -\pi \sum_{\mathbf{k}',\mathbf{k}'',m,m'} V_{\mathbf{k}-\mathbf{k}'}^{2} \delta(\varepsilon_{\mathbf{k}'} - \varepsilon_{\mathbf{k}} + \varepsilon_{\mathbf{k}''m}^{h} - \varepsilon_{\mathbf{k}''-\mathbf{k}+\mathbf{k}'m'}^{h})$$

$$\times [\hat{\Lambda}_{\mathbf{k},\mathbf{k}'}\hat{\rho}_{\mathbf{k}'}^{>}\hat{\Lambda}_{\mathbf{k}',\mathbf{k}}\hat{\rho}_{\mathbf{k}}^{<}]\mathcal{T}_{\mathbf{k}''-\mathbf{k}+\mathbf{k}'m'}^{\mathbf{k}''m}|^{2}f_{\mathbf{k}''-\mathbf{k}+\mathbf{k}'m'}^{h}$$

$$\times (1 - f_{\mathbf{k}''m}^{h}) - \hat{\Lambda}_{\mathbf{k},\mathbf{k}'}\hat{\rho}_{\mathbf{k}'}^{<}\hat{\Lambda}_{\mathbf{k}',\mathbf{k}}\hat{\rho}_{\mathbf{k}}^{>}]\mathcal{T}_{\mathbf{k}''-\mathbf{k}+\mathbf{k}'m'}^{\mathbf{k}''m}|^{2}$$

$$\times (1 - f_{\mathbf{k}''-\mathbf{k}+\mathbf{k}'m'}^{h})f_{\mathbf{k}''m}^{h}] + \text{H.c.}, \qquad (10)$$

$$\partial_{t}\hat{\rho}_{\mathbf{k}}|_{ex} = -\pi \sum_{\mathbf{k}',\mathbf{k}'',m,m',\chi=\pm} \delta(\varepsilon_{\mathbf{k}'} - \varepsilon_{\mathbf{k}} + \varepsilon_{\mathbf{k}''m}^{h} - \varepsilon_{\mathbf{k}''-\mathbf{k}+\mathbf{k}'m'}^{h})$$

$$\times [\hat{s}_{\chi}\hat{\rho}_{\mathbf{k}'}^{>}\hat{s}_{-\chi}\hat{\rho}_{\mathbf{k}}^{<}|\mathcal{J}_{\mathbf{k}''-\mathbf{k}+\mathbf{k}'m'}^{(\chi)\mathbf{k}''m}|^{2}f_{\mathbf{k}''-\mathbf{k}+\mathbf{k}'m'}^{h}(1 - f_{\mathbf{k}''m}^{h})$$

$$-\hat{s}_{\chi}\hat{\rho}_{\mathbf{k}'}^{<}\hat{s}_{-\chi}\hat{\rho}_{\mathbf{k}}^{>}|\mathcal{J}_{\mathbf{k}''-\mathbf{k}+\mathbf{k}'m'}^{(\chi)\mathbf{k}''m}|^{2}(1 - f_{\mathbf{k}''-\mathbf{k}+\mathbf{k}'m'}^{h})f_{\mathbf{k}''m}^{h}] + \mathrm{H.c.}$$

$$(11)$$

In these equations,  $\hat{\Lambda}_{\mathbf{k},\mathbf{k}'} = \hat{1} - i\lambda_c(\mathbf{k} \times \mathbf{k}') \cdot \hat{\boldsymbol{\sigma}}$  describes the spin mixing due to the conduction-valence band mixing which originates from the EY spin relaxation mechanism.<sup>1,14</sup> Here  $\lambda_c = \frac{\eta(1-\eta/2)}{3m_c E_g(1-\eta/3)}$  with  $\eta = \frac{\Delta_{SO}}{\Delta_{SO} + E_g}$ .  $E_g$  and  $\Delta_{SO}$  are the band-gap and the spin-orbit splittings of the valence band, respectively.<sup>1</sup>  $n_i$  is the impurity density.  $Z_i$  is the charge number of the impurity which is taken to be  $Z_i = 1$  throughout the paper.<sup>56</sup>  $\varepsilon_{\mathbf{k}} = k^2/(2m_c)$  with  $m_c$  being the conduction band effective mass.  $V_{\mathbf{q}}$  is the screened Coulomb potential where the screening is treated within the random phase approximation (RPA),<sup>28,57,58</sup>

$$V_{\mathbf{q}} = \frac{V_{\mathbf{q}}^{(0)}}{1 - V_{\mathbf{q}}^{(0)} P^{(1)}(\mathbf{q})},$$
(12)

where

$$P^{(1)}(\mathbf{q}) = \sum_{\mathbf{k},\sigma} \frac{f_{\mathbf{k}+\mathbf{q},\sigma} - f_{\mathbf{k},\sigma}}{\varepsilon_{\mathbf{k}+\mathbf{q}} - \varepsilon_{\mathbf{k}}} + \sum_{\mathbf{k},m,m'} |\mathcal{T}^{\mathbf{k}+\mathbf{q},m'}_{\mathbf{k},m}|^2 \frac{f^{n}_{\mathbf{k}+\mathbf{q},m'} - f^{n}_{\mathbf{k},m}}{\varepsilon^{h}_{\mathbf{k}+\mathbf{q},m'} - \varepsilon^{h}_{\mathbf{k},m}}.$$
(13)

Here  $V_{\mathbf{q}}^{(0)} = e^2 / (\epsilon_0 \kappa_0 q^2)$  is the bare Coulomb potential with  $\epsilon_0$ and  $\kappa_0$  representing the vacuum permittivity and the static dielectric constant, respectively;  $f_{\mathbf{k},\sigma}$  is the electron distribution on  $\sigma$ -spin band;  $f_{\mathbf{k},m}^{\hbar}$  is the hole distribution on the hole *m*-spin band.  $\varepsilon_{\mathbf{k}m}^{h} = k^{2}/(2m_{m}^{*})$  stands for the hole energy dispersion with  $m_m^*$  being the hole effective mass. For heavyhole  $(m=\pm\frac{3}{2})$ ,  $m_m^*=m_0/(\gamma_1-2\gamma_2)$ ; while for light-hole  $(m=\pm\frac{1}{2}), m_m^*=m_0/(\gamma_1+2\gamma_2)$ . Here  $\gamma_1$  and  $\gamma_2$  are the parameters of the Luttinger-Kohn Hamiltonian in the spherical approximation<sup>58</sup> and  $m_0$  is the free-electron mass. Note that in these bands the spins are mixed.<sup>58</sup> Consequently, there are form factors in the electron-hole Coulomb scattering:  $|\mathcal{T}_{\mathbf{k}'m'}^{\mathbf{k}m}|^2 = |\langle \xi_m(\mathbf{k}) | \xi_{m'}(\mathbf{k}') \rangle|^2$  where  $|\xi_m(\mathbf{k})\rangle$ 's are the eigenstates of the hole Hamiltonian which can be found in Ref. 58.  $M_{\lambda,q}$  is the matrix element of electron-phonon interaction with  $\lambda$  being the phonon branch index, which is further composed of the electron-longitudinal-optical(LO)-phonon and electron-acoustic-phonon interactions. The expressions of  $M_{\lambda,\mathbf{q}}$  can be found in Ref. 48.  $\omega_{\lambda,\mathbf{q}}$  is the phonon energy spectrum.  $N_{\lambda q}^{\pm} = N_{\lambda q} + \frac{1}{2} \pm \frac{1}{2}$  with  $N_{\lambda q}$  being the phonon number at lattice temperature.

For electron-hole exchange scattering, the form factor  $|\mathcal{J}_{\mathbf{k}''-\mathbf{k}+\mathbf{k}'m'}^{(\chi)\mathbf{k}''m}|^2$  in Eq. (11) comes from the electron-hole exchange interaction. The Hamiltonian for the electron-hole exchange interaction consists of two parts: the short-range part  $H_{\text{SR}}$  and the long-range part  $H_{\text{LR}}$ .<sup>1,59</sup> The short-range part contributes a term proportional to  $-\frac{1}{2}\frac{\Delta E_{\text{SR}}}{|\phi_{3D}(0)|^2}\hat{\mathbf{S}}\cdot\hat{\mathbf{s}}$ , where  $\Delta E_{\text{SR}}$  is the exchange splitting of the exciton ground state and

 $|\phi_{3D}(0)|^2 = 1/(\pi a_0^3)$  with  $a_0$  being the exciton Bohr radius.  $\hat{\mathbf{S}}$ and  $\hat{\mathbf{s}}$  are the hole and electron spin operators, respectively. The long-range part gives a term proportional to  $\frac{3}{8} \frac{\Delta E_{\text{LT}}}{|\phi_{3D}(0)|^2} (\hat{M}_1 \hat{\mathbf{1}} + \hat{M}_z \hat{s}_z + \frac{1}{2} \hat{M}_z \hat{s}_z + \frac{1}{2} \hat{M}_z \hat{s}_z)$  where  $\Delta E_{\text{LT}}$  is the longitudinal-transverse splitting;  $\hat{M}_1$ ,  $\hat{M}_z$ ,  $\hat{M}_-$ , and  $\hat{M}_+(=\hat{M}_-^{\dagger})$  are operators in hole spin space.  $\hat{s}_{\pm} = \hat{s}_x \pm i \hat{s}_y$  are the electron spin ladder operators. The expressions for  $\hat{M}$ 's can be obtained from the expressions of  $H_{\text{LR}}$  in Ref. 59. Specifically, the spin-flip matrix  $\hat{M}_-$  in the spin unmixed base can be written as (in the order of  $|\frac{3}{2}\rangle$ ,  $|\frac{1}{2}\rangle$ ,  $|-\frac{1}{2}\rangle$ ,  $|-\frac{3}{2}\rangle$ ),

$$\hat{M}_{-} = \frac{1}{K^{2}} \begin{bmatrix} 0 & 0 & 0 & 0 \\ -\frac{2}{\sqrt{3}}K_{\parallel}^{2} & \frac{4}{3}K_{z}K_{-} & \frac{2}{3}K_{-}^{2} & 0 \\ \frac{4}{\sqrt{3}}K_{z}K_{+} & -\frac{8}{3}K_{z}^{2} & -\frac{4}{3}K_{z}K_{-} & 0 \\ 2K_{+}^{2} & -\frac{4}{\sqrt{3}}K_{z}K_{+} & -\frac{2}{\sqrt{3}}K_{\parallel}^{2} & 0 \end{bmatrix}.$$
 (14)

Here  $\mathbf{K} = \mathbf{k}' + \mathbf{k}''$  is the center-of-mass momentum of the interacting electron-hole pair.  $K_{\pm} = K_x \pm iK_y$  and  $K_{\parallel}^2 = K_x^2 + K_y^2$ .  $\hat{M}_1 \hat{1} + \hat{M}_z \hat{s}_z$  corresponds to the spin-conserving process which is irrelevant in the study of spin relaxation. Summing up the contribution from the short-range and long-range parts and keeping only the spin-flip terms, one obtains

$$\mathcal{J}_{\mathbf{k}'-\mathbf{q}m'}^{(\pm)\mathbf{k}'m} = \langle \xi_m(\mathbf{k}') | \mathcal{J}^{(\pm)} | \xi_{m'}(\mathbf{k}'-\mathbf{q}) \rangle, \qquad (15)$$

with  $\mathcal{J}^{(\pm)} = \left[\frac{3}{16} \frac{\Delta E_{\rm LT}}{|\phi_{3\rm D}(0)|^2} \hat{M}_{\pm} - \frac{1}{4} \frac{\Delta E_{\rm SR}}{|\phi_{3\rm D}(0)|^2} \hat{S}_{\pm}\right]$ .  $\hat{S}_{\pm} = \hat{S}_x \pm i \hat{S}_y$  are the hole spin ladder operators. It should be noted that in GaAs,  $\Delta E_{\rm LT} = 0.08$  meV is four times as large as  $\Delta E_{\rm SR} = 0.02$  meV.<sup>60,61</sup> Thus in GaAs the long-range part of electron-hole exchange interaction should be more important than the short-range part. Later in this paper, we will compare the contributions from the short-range and long-range parts to show that the long-range part is much more important than the short-range part in GaAs. We will also compare the results from the fully microscopic KSBE approach with those from the analytical formula widely used in the literature.<sup>1,3,22,39,40</sup>

In summary, all relevant spin relaxation mechanisms have been fully incorporated in our KSBE approach. By solving the KSBEs [Eq. (1)], one obtains the temporal evolution of spin density matrix  $\hat{\rho}_k$ . After that, the time evolution of macroscopic quantities, such as, the electron spin density along the z axis,  $s_z(t) = \sum_k \text{Tr}[\hat{s}_z \hat{\rho}_k(t)]$ , are obtained. By fitting the decay of  $s_z$  with an exponential decay, one obtains the SRT. The SRTs under various conditions are studied with the underlying physics discussed. The material parameters used are listed in Table I. The parameter for strain-induced SOC  $\beta$  is always taken to be zero unless otherwise specified. The numerical scheme is laid out in Appendix A. The error of our computation is less than 5% according to our test.

We first compare our calculation with experiments. In Appendix B, we compare the SRTs calculated from the KSBEs with those from experiments in Refs. 4, 40, and 65. We find

TABLE I. Material parameters used in the calculation (from Ref. 60 unless otherwise specified)

	GaAs	GaSb	InAs	InSb
$\overline{E_g (\text{eV})}$	1.52	0.8113	0.414	0.2355
$\Delta_{\rm SO}~({\rm eV})$	0.341	0.75	0.38	0.85
$m_c/m_0$	0.067	0.0412	0.023	0.0136
$\kappa_0$	12.9	15.69	15.15	16.8
$\kappa_{\infty}$	10.8	14.44	12.25	15.68
$\omega_{\rm LO}~({\rm meV})$	35.4	28.95	27.0	23.2
$v_{sl} (10^3 \text{ m/s})$	5.29	4.01	4.28	3.4081
$v_{st} (10^3 \text{ m/s})$	2.48	2.4	1.83	2.284
$D (10^3 \text{ kg/m}^3)$	5.31	5.6137	5.9	5.7747
$\Xi$ (eV)	8.5	8.3	5.8	14.0
$e_{14}$ (V/m)	$1.41 \times 10^{9}$	$9.5 \times 10^{8}$	$0.35 \times 10^9$	$4.7 \times 10^{8}$
$\gamma_{\rm D}~({\rm eV}~{\rm \AA}^3)$	23.9 <sup>a</sup> , <sup>b</sup>	168 <sup>b</sup>	42.3 <sup>b</sup>	389 <sup>b</sup>
$\Delta E_{\rm LT} \ ({\rm meV})$	0.08 <sup>c</sup>	0.02 <sup>d</sup>		
$\Delta E_{\rm SR} \ ({\rm meV})$	0.02 <sup>c</sup>	0.024 <sup>e</sup>		
$\gamma_1$	6.85	11.8	19.67	35.08
$\gamma_2$	2.5 <sup>f</sup>	4.65 <sup>f</sup>	8.83 <sup>f</sup>	$16.27^{\mathrm{f}}$
<sup>a</sup> Reference 62.				

<sup>b</sup>Reference 63.

<sup>c</sup>Reference 61.

<sup>d</sup>Reference 64.

<sup>e</sup>Reference 49.

<sup>f</sup>Obtained from Reference 60 by  $\frac{1}{2}(\gamma_2 + \gamma_3)$ .

good agreement with experimental data in almost the whole temperature or photoexcitation density range with only one fitting parameter  $\gamma_{\rm D}$ .<sup>66</sup> This demonstrates that our calculation has achieved *quantitative accuracy* in modeling the spin relaxation in metallic regime.

In the rest part of this section, we briefly comment on the merits of the fully microscopic KSBE approach. First, for the DP mechanism. Previously, the widely used analytical formula for the SRT due to the DP mechanism is<sup>1,3</sup>

$$\frac{1}{\tau_{\rm DP}} = Q \alpha^2 \frac{(k_{\rm B}T)^3}{E_g} \tau_p, \qquad (16)$$

where Q is a numerical constant which varies around 1 depending on the dominant momentum scattering mechanism,  $\tau_p$  is the momentum relaxation time, and  $\alpha = 2\gamma_D \sqrt{2m_c^3 E_g}$  is a dimensionless constant. This formula is derived from

$$\tau_{\rm DP}^{-1} = \langle (|\mathbf{\Omega}(\mathbf{k})|^2 - \Omega_z^2(\mathbf{k})) \tau_p(\mathbf{k}) \rangle \tag{17}$$

 $[\tau_p(\mathbf{k})$  is the momentum relaxation time of the state with momentum  $\mathbf{k}$ ] by performing ensemble averaging over the Boltzmann distribution.<sup>3</sup> An important fact about this formula is that it is derived in the elastic-scattering approximation, which artificially confines the random-walk-like spin precession due to the **k**-dependent spin-orbit field only within the same energy states. However, in the genuine case the inelastic electron-phonon scattering (especially the electron–LO-phonon scattering) as well as the carrier-carrier Coulomb scattering can be more important, and the random spin precession (the "inhomogeneous broadening") should be fully counted for the whole  $\mathbf{k}$  space, instead only within the same energy states. On the other hand, the KSBE approach, which solves the spin precession and the momentum scattering self-consistently, takes full account of the inhomogeneous broadening and the counter effects of scattering on the inhomogeneous broadening. Moreover, the electronelectron scattering (although it does not contribute to the mobility) as well as the electron-hole scattering, which have been demonstrated to have important effects on spin relaxation or dephasing in two-dimensional systems, 15,23-35 are always neglected in previous studies based on Eq. (16). On the contrary, the fully microscopic KSBE approach includes both the electron-electron and electron-hole Coulomb scatterings. In this work, we will discuss the effect of the electron-electron and electron-hole Coulomb scatterings on spin relaxation.

Second, for the EY mechanism. The formula commonly used in the literature for the SRT due to the EY mechanism reads<sup>1,3</sup>

$$\frac{1}{\tau_{\rm EY}} = A \left(\frac{k_{\rm B}T}{E_g}\right)^2 \eta^2 \left(\frac{1-\eta/2}{1-\eta/3}\right)^2 \frac{1}{\tau_p},$$
(18)

where the numerical factor A is of order 1 depending on the dominant scattering mechanism. This formula, which is also based on the elastic-scattering approximation, has similar problems with those of Eq. (16). On the contrary, the fully microscopic KSBE approach, which incorporates *all* the EY spin-flip processes in *all* relevant scatterings,<sup>67</sup> fully takes into account of the spin relaxation due to the EY mechanism.

Third, for the BAP mechanism. The commonly used formula for the SRT due to the BAP mechanism for nondegenerate holes (assuming all holes are free) is<sup>3</sup>

$$\frac{1}{\tau_{\rm BAP}} = \frac{2}{\tau_0} n_h a_{\rm B}^3 \frac{\langle v_{\bf k} \rangle}{v_{\rm B}},\tag{19}$$

where  $a_{\rm B}$  is the exciton Bohr radius,  $1/\tau_0 = (3\pi/64)\Delta E_{\rm SR}^2/E_{\rm B}$  with  $E_{\rm B}$  being the exciton Bohr energy,  $n_h$  is the hole density,  $\langle v_{\bf k} \rangle = \langle k/m_c \rangle$  is the average electron velocity, and  $v_{\rm B} = 1/(m_R a_{\rm B})$  with  $m_R \approx m_c$  being the reduced mass of the electron-hole pair. For degenerate holes,<sup>3</sup>

$$\frac{1}{\tau_{\rm BAP}} = \frac{3}{\tau_0} n_h a_{\rm B}^3 \frac{\langle v_{\bf k} \rangle}{v_{\rm B}} \frac{k_{\rm B}T}{E_{\rm F}^h},\tag{20}$$

with  $E_{\rm F}^h$  denoting the Fermi energy of holes. All these formulae are obtained within the elastic-scattering approximation. Previously, it has been shown that for two-dimensional system the elastic-scattering approximation is invalid for lowtemperature regime where the Pauli blocking of electrons is important.<sup>28</sup> In bulk system, similar problem also exists.<sup>68</sup> However, the KSBE approach keeps all the electron-hole exchange scattering terms, and thus gives correct results.

Finally, we point out that for excitation far away from the equilibrium, such as excitation with high spin polarization, the spin-conserving scatterings are very important for redistribution of electrons in each spin band, which also affects the spin dynamics. This effect is automatically kept in our approach, but missing in the analytical formulae.

Although the analytical formulae based on single particle approach [Eqs. (16)–(20)] have some disadvantages, for nondegenerate electron system they still give qualitatively good results. However, quantitative analysis based on them should be questioned. It has already been extensively demonstrated in two-dimensional system (both theoretically<sup>15,23-31,35,41,43</sup> and experimentally<sup>36-38,44-47,68-72</sup>) that the above singleparticle approach, with even the recent developments which exert closer examination on both the electron distribution and the energy-dependent scattering cross sections, are inadequate in accounting for the spin relaxation.<sup>72</sup> The same is true for bulk system.<sup>73–77</sup> Nevertheless, when the electronimpurity scattering is much stronger than the electronelectron (electron-hole) and electron-phonon scatterings [i.e., in degenerate electron (hole) regime in n-type (p-type) bulk semiconductors with low excitation density], the above approach for the DP and EY spin relaxations and the later developments<sup>73–75</sup> are applicable, thanks to the fact that the impurity density is larger than or equal to the electron (hole) density in *n*-type (*p*-type) bulk semiconductors (which is quite different from the two-dimensional system). On the other hand, the fully microscopic KSBE approach takes full account of the DP, EY, and BAP spin relaxations by solving the kinetic equation directly. It is applicable in all parameter regimes in the metallic regime. Furthermore, the fully microscopic KSBE approach can be applied to system far away from equilibrium, such as under strong electric field where the hot-electron effect is prominent,<sup>24,29,30</sup> or with high spin polarization, and/or hot photocarriers.<sup>23,36</sup> It is even valid for system out of the motional narrowing regime, or in the non-Markovian limit.<sup>26,41</sup>

# III. SPIN RELAXATION IN *n*-TYPE III-V SEMICONDUCTORS

# A. Comparison of different spin relaxation mechanisms

In *n*-type III-V semiconductors, the BAP mechanism is ineffective due to the lack of holes. The remaining mechanisms are the DP and EY mechanisms. Previously, it is widely accepted in the literature that the EY mechanism dominates spin relaxation at low temperature, while the DP mechanism is important at high temperature.<sup>1,3,22</sup> Most studies concerned are based on the analytical formulae [Eqs. (16) and (18)], which give

$$\frac{\tau_{\rm EY}}{\tau_{\rm DP}} = k_{\rm B} T \tau_p^2 \frac{Q \alpha^2 E_g}{A \eta^2} \left( \frac{1 - \eta/3}{1 - \eta/2} \right)^2.$$
(21)

From the above equation, one arrives at the conclusion that the EY mechanism is dominant at low temperature and/or when the momentum scattering is strong (such as, for heavily doped samples). However, the electron system may enter into the degenerate regime at low temperatures and/or in heavily doped samples, in which the above conclusion fails. A revised expression is obtained by replacing  $k_{\rm B}T$  with the average kinetic energy  $\langle \varepsilon_{\bf k} \rangle$ ,<sup>3</sup>

TABLE II. The factor  $\Theta$  for III-V semiconductors

	GaAs	GaSb	InAs	InSb	InP
$\Theta$ (eV)	0.23	0.12	$3.6 \times 10^{-4}$	$9.2 \times 10^{-4}$	0.27 <sup>a</sup>

<sup>a</sup>The SOC parameter  $\gamma_D$  is from Reference 63, other parameters are from Reference 60.

$$\frac{\tau_{\rm EY}}{\tau_{\rm DP}} = \frac{2Q}{3A} \langle \varepsilon_{\bf k} \rangle \tau_p^2 \Theta \,, \tag{22}$$

with  $\Theta = \frac{\alpha^2 E_g}{\eta^2} (\frac{1-\eta/3}{1-\eta/2})^2$ . This is still correct only qualitatively. In this work, we re-examine the problem from the fully

microscopic KSBE approach. Let us first examine the case of InSb. InSb is a narrow band-gap semiconductor where the spin relaxation is believed to be dominated by the EY mechanism at low temperature previously.<sup>3,22,78</sup> The factor  $\Theta$  is much smaller than that of GaAs, which indicates the importance of the EY mechanism according to Eq. (22) (a list of the factor  $\Theta$  for different materials is given in Table II). In Fig. 1(a), we plot the ratio of the SRT due to the EY mechanism,  $\tau_{\rm EY}$ , to that due to the DP mechanism,  $\tau_{\rm DP}$ , calculated from the KSBEs, as function of temperature for various electron densities. In the calculation,  $n_i \equiv n_e$ . Remarkably, it is noted that the ratio  $\tau_{\rm EY}/\tau_{\rm DP}$  is always larger than 1, and in most cases it is even larger than 10, i.e., the spin relaxation in *n*-type InSb is not dominated by the EY mechanism. Moreover, the temperature dependence is not monotonic, which is different from the intuition given by Eq. (22). This can be understood as following: the increase in the ratio comes from the increase in  $\langle \varepsilon_k \rangle$  which is understood easily. The decrease in the ratio comes from the decrease in  $\tau_n$  due to the increase in the electron-LO-phonon scattering with temperature which becomes important for  $T \ge 80$  K in InSb. Therefore, after a crossover regime, the ratio eventually decreases with temperature. Moreover, for large electron density  $n_e$  $\geq 10^{17}$  cm<sup>-3</sup>, the electron system is in the degenerate regime and  $\langle \varepsilon_{\mathbf{k}} \rangle$  changes slowly with temperature which further facilitates the decrease in the ratio. To elucidate it clearly, we plot the SRT due to the EY mechanism and DP mechanism in Figs. 1(b) and 1(c), respectively. It is seen from Fig. 1(b) that the SRT due to the EY mechanism always decreases with temperature due to both the increase in  $\langle \varepsilon_k \rangle$  and the enhancement of the scattering. From Fig. 1(c), it is further noted that the temperature dependence of the SRT due to the DP mechanism is, however, different for low density and high density regimes: in low density regime, the SRT decreases with the temperature, whereas in high density regime it increases. The decrease in SRT with temperature at low density is consistent with previous studies in the literature.<sup>16,22</sup> The increase in SRT with temperature at high density, however, is because that the electron-LO-phonon scattering increases with temperature faster than the inhomogeneous broadening  $\sim \langle (|\Omega(\mathbf{k})|^2 - \Omega_z^2(\mathbf{k})) \rangle \propto \langle \varepsilon_{\mathbf{k}}^3 \rangle$  in the degenerate regime. Note that the increase in the SRT with temperature at such high temperature (T > 100 K) without any magnetic field has neither been observed in experiment nor been predicted in theory. Note that the results for high density cases are only given at high temperatures due to the limitation of our computation power. However, according to the above analysis, the ratio in the low-temperature regime should be larger than its value at 300 K for high density cases. For low density cases with temperature lower than our calculation range, both the momentum scattering and the inhomogeneous broadening change little with temperature as the electron system is degenerate. Therefore the ratio  $\tau_{\rm EV}/\tau_{\rm DP}$  changes slowly. Consequently, it does not change the conclusion that the EY mechanism is less efficient than the DP mechanism in *n*-type InSb.<sup>79</sup> In contrast, based on Eq. (22), Song and Kim reported that the EY mechanism is more important than the DP mechanism for temperature lower than 5 K.<sup>22</sup>

What makes our conclusion different from that in the literature is that most of the previous investigations use Eqs. (16) and (18) to calculate  $\tau_{\rm DP}$  and  $\tau_{\rm EY}$ .<sup>3,22</sup> However, these equations are only applicable for nondegenerate electron system. If it is used in the degenerate electron system, it *exaggerates* the relative efficiency of the EY mechanism according to Eq. (21).

We further examine other two III-V semiconductors: InAs and GaAs. Figure 2 shows the ratio  $\tau_{\rm EY}/\tau_{\rm DP}$  for different electron densities as function of temperature for both InAs and GaAs. It is seen that the ratio is always larger than 1 for both InAs<sup>80</sup> and GaAs,<sup>81</sup> which is different from previous results in the literature.<sup>22,82</sup> Especially, for GaAs the ratio is larger than 100, which indicates that the EY mechanism is *irrelevant* for *n*-type GaAs. The temperature dependence of the ratio is similar to that in InSb and the underlying physics is also the same.

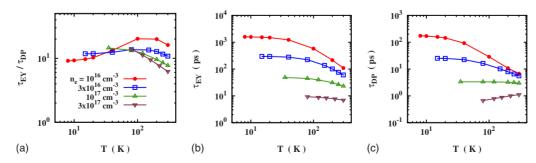


FIG. 1. (Color online) *n*-InSb. Ratio of the SRT due to the EY mechanism  $\tau_{EY}$  to that due to the DP mechanism  $\tau_{DP}$  (a),  $\tau_{EY}$  (b) and  $\tau_{DP}$  (c) as function of temperature for various electron densities.  $n_e = 10^{16} \text{ cm}^{-3}$  (curve with  $\oplus$ ),  $3 \times 10^{16} \text{ cm}^{-3}$  (curve with  $\square$ ),  $10^{17} \text{ cm}^{-3}$  (curve with  $\square$ ),  $3 \times 10^{17} \text{ cm}^{-3}$  (curve with  $\square$ ). The corresponding Fermi temperatures for those densities are  $T_F = 144$ , 300, 670, and 1390 K, respectively.  $n_i = n_e$ .

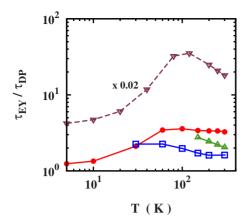


FIG. 2. (Color online) *n*-InAs (solid curves) and *n*-GaAs (dashed curve). Ratio of the SRT due to the EY mechanism  $\tau_{\rm EY}$  to that due to the DP mechanism  $\tau_{\rm DP}$  as function of temperature for various electron densities:  $n_e = 10^{16}$  cm<sup>-3</sup> (curve with  $\bullet$ ), 2  $\times 10^{17}$  cm<sup>-3</sup> (curve with  $\blacksquare$ ),  $10^{18}$  cm<sup>-3</sup> (curve with  $\triangle$ ) for InAs, and  $n_e = 10^{16}$  cm<sup>-3</sup> (curve with  $\nabla$ ) for GaAs (note that the value in the figure has been rescaled by a factor of 0.02). The Fermi temperature  $T_{\rm F}$  is 85, 629, and 1840 K for InAs as well as 29 K for GaAs respectively.  $n_i = n_e$ .

In summary, we find that *the EY mechanism is less efficient than the DP mechanism in n*-type GaAs, InAs, and InSb. According to the data listed in Table II, we believe that the same conclusion holds for other *n*-type III-V semiconductors.

## **B. DP spin relaxation**

As the BAP mechanism and the EY mechanism are unimportant for spin relaxation in *n*-type III-V semiconductors, in this section we focus on spin relaxation due to the DP mechanism. The effect of the electron-electron Coulomb scattering on spin relaxation is studied. Previously, it was found that the electron-electron scattering plays an important role in two-dimensional system.<sup>15,23-30,51</sup> Especially, it becomes the dominant scattering mechanism in high mobility samples at low temperature, where other scattering mechanisms are relatively weak.<sup>27,31,36,51</sup> Consequently  $\tau_p(\mathbf{k})$  in Eq. (17) should be replaced by  $\tau_p^*(\mathbf{k})$  with  $1/\tau_p^*(\mathbf{k})$  including the electron-electron scattering  $1/\tau_p^{ee}(\mathbf{k})$  [later we will use the symbols  $\tau_p^*$  and  $\tau_p^{ee}$  to denote the ensemble averaged value].<sup>15,23,27,31</sup> Remarkably, the SRT due to the electronelectron scattering has nonmonotonic temperature dependence: in the low temperature (degenerate) regime the SRT increases with temperature as the electron-electron scattering does; in the high-temperature (nondegenerate) regime the electron-electron scattering decreases with the temperature, so does the SRT.<sup>27,31</sup> Thus there is a peak  $T_c$  in the temperature dependence of the SRT which is comparable with the Fermi temperature.<sup>27,51</sup> This prediction was confirmed by experiments very recently.<sup>33</sup> However, in bulk semiconductors, the role of electron-electron scattering in spin relaxation is still unclear. Although Glazov and Ivchenko have discussed the problem, they only gave an approximate expression of the SRT due to the electron-electron scattering in the nonde-

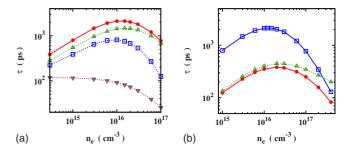


FIG. 3. (Color online) *n*-GaAs at T=40 K. (a) SRT  $\tau$  as function of electron density  $n_e$   $(n_i=n_e)$  from full calculation (curve with  $\bullet$ ), from calculation with only the electron-electron scattering (curve with  $\Delta$ ), and with only the electron-phonon scattering (curve with  $\nabla$ ); (b) SRT  $\tau$  as function of electron density  $n_e$   $(n_i=n_e)$  for the case with strain (curve with  $\bullet$ : with both the linear and the cubic SOC; curve with  $\Delta$ : with only the linear SOC) and the case without strain (curve with  $\Box$ ).

generate regime, while the relative importance of the electron-electron scattering compared with other scattering mechanisms is not addressed.<sup>31</sup> In this section, we present a close study on the effect of the electron-electron scattering on spin relaxation in bulk semiconductors. We use GaAs as an example, where the behavior is applicable to all III-V semiconductors given that the system is in the motional narrowing regime.

#### 1. Electron density dependence

We first discuss the electron density dependence of the SRT. It is noted that during the variation in electron density, the impurity density also varies as  $n_i = n_e$ . This is different from the situation in 2DES, where the impurity density can be different from the electron density due to the modulation doping. In Fig. 3(a), we plot the SRT as function of electron density for T=40 K. It is noted that, remarkably, the density dependence of the SRT is nonmonotonic and there is a peak in the  $\tau$ -n<sub>e</sub> curve. Previously, the nonmonotonic density dependence of the SRT was observed in low-temperature  $(T \le 5 \text{ K})$  measurements, where the localized electrons play an important role and the electron system is in the Mott metal-insulator transition area.<sup>4,7,18,19,21</sup> The localized electrons have different spin relaxation mechanisms and the scatterings of the localized electrons, and free electrons give rise to the nonmonotonic density dependence.<sup>18,21</sup> It is noted that, up until now, there is no report on the nonmonotonic density dependence in the metallic regime in *n*-type bulk III-V semiconductors. It should be further pointed out that the nonmonotonic density dependence and the appearance of the peak is a *universal* behavior in the metallic regime in *n*-type bulk III-V semiconductors.

We also plot the SRTs calculated with only the electronelectron scattering (curve with  $\Box$ ), with only the electronimpurity scattering (curve with  $\Delta$ ), and with only the electron-phonon scattering (curve with  $\nabla$ ) in the figure to elucidate the role of these scatterings in spin dynamics. It is noted that the electron-impurity and electron-electron scatterings are the relevant scattering mechanisms, while the electron-phonon scattering is much weaker than the two as the temperature is low. Interestingly, both the electronelectron scattering and the electron-impurity scattering lead to nonmonotonic density dependence of SRT.

For the SRT due to the electron-electron scattering, the nonmonotonic behavior comes from the nonmonotonic density dependence of the electron-electron scattering. The density and temperature dependences of the electron-electron scattering have been investigated in spin-unrelated problems.<sup>83</sup> From these works, after some approximation, the asymptotic density and temperature dependences of the electron-electron scattering time  $\tau_p^{\ell e}$  in the degenerate and nondegenerate regimes are given by<sup>31,83</sup>

$$\tau_p^{ee} \propto n_e^{2/3}/T^2 \quad \text{for } T \ll T_{\text{F}}, \tag{23}$$

$$\tau_p^{ee} \propto T^{3/2}/n_e \quad \text{for } T \gg T_{\text{F}}.$$
 (24)

From above equations, one notices that the electron-electron scattering in the nondegenerate and degenerate regimes has different density and temperature dependence. In the nondegenerate (low density) regime the electron-electron scattering increases with electron density, while the inhomogeneous broadening  $\sim \langle (|\Omega(\mathbf{k})|^2 - \Omega_z^2(\mathbf{k})) \rangle$  changes slowly as the distribution function is close to the Boltzmann distribution. The SRT thus increases with density. In degenerate (high density) regime, both  $\tau_p^{ee}$  and the inhomogeneous broadening increases with electron density. Thus, the SRT, which can be estimated as  $\tau \sim 1/[\langle |\Omega(\mathbf{k})|^2 - \Omega_z^2(\mathbf{k}) \rangle \tau_p^{ee}]$ ,<sup>27,31</sup> decreases with density.

For the SRT due to the electron-impurity scattering, the scenario is similar: in the nondegenerate regime, the distribution function is close to the Boltzmann distribution. The inhomogeneous broadening hence changes slowly with density. The electron-impurity scattering increases as  $\propto n_i \langle V_q^2 \rangle$ , which increases with the impurity density because  $\langle V_q^2 \rangle$  changes little with the density when the distribution is close to the Boltzmann distribution. The SRT hence increases with density. In the degenerate regime, the inhomogeneous broadening increases as  $\sim \langle (|\Omega(\mathbf{k})|^2 - \Omega_z^2(\mathbf{k})) \rangle \propto k_F^6 \propto n_e^2$ . On the other hand, the electron-impurity scattering decreases with density because it is proportional to  $\sim n_i V_{k_F}^2 \propto n_e / k_F^4 \propto n_e^{-1/3}$ . Consequently, the SRT decreases with density.

Therefore, both the electron-electron and electronimpurity scatterings contribute to the nonmonotonic density dependence of the SRT. The peak density  $n_c$  appears in the crossover regime, where the corresponding Fermi temperature is comparable with the lattice temperature. A careful calculation gives the peak density as  $n_c=1.4 \times 10^{16}$  cm<sup>-3</sup>, with the corresponding Fermi temperature being 37 K, close to the lattice temperature of 40 K.

We further discuss the electron density dependence of the SRT with strain-induced SOC. In Fig. 3(b), we plot the density dependence of the SRT for T=40 K under strain with  $n_i=n_e$ . We choose  $\beta=2.6$  meV·Å.<sup>12</sup> For this value, at low density the SOC is dominated by the linear term due to strain. However, in high density regime  $(n_e>2 \times 10^{17} \text{ cm}^{-3})$ , the cubic Dresselhaus term can surpass the linear term. It is noted that the density dependence is also

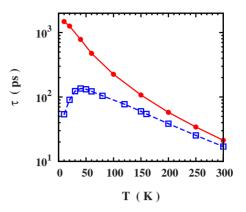


FIG. 4. (Color online) *n*-GaAs. SRT  $\tau$  as function of *T* for  $n_i = n_e$  (solid curve with  $\bullet$ ) and  $n_i = 0.01n_e$  (dashed curve with  $\Box$ ).  $n_e = 10^{17} \text{ cm}^{-3}$ .

nonmonotonic and exhibits a peak. The underlying physics is similar: In the nondegenerate regime, the SRT increases with the density because both electron-electron and electronimpurity scatterings increase with density and the inhomogeneous broadening changes little. In the degenerate regime, the inhomogeneous broadening increases as  $\propto k_{\rm F}^2 \propto n_e^{2/3}$ , whereas both the electron-electron and electron-impurity scatterings decrease with the electron density, which thus leads to the decrease in the SRT with density. It is further noted that the peak is at  $n_c=2\times10^{16}$  cm<sup>-3</sup> which is larger than the peak density in the case without strain. This is because that the inhomogeneous broadening here increases as  $\langle k^2 \rangle$ , which is much slower than that of  $\langle k^6 \rangle$  in the strain-free case. Nevertheless, the increase in the scattering with density remains the same, therefore the peak shows up at a larger electron density. This is also confirmed in the figure that the SRT with only the linear term decreases slower than the one with only the cubic Dresselhaus term in the high density regime. However, in the low density regime, the SRT in the case with strain increases as fast as the one in the strain-free case. This is because here the increase in the SRT is due to the increase in the scattering, whereas the inhomogeneous broadening changes little.

#### 2. Temperature dependence

We now study the temperature dependence of the SRT. In Fig. 4, the SRT as function of temperature is plotted for  $n_e$  $=10^{17}$  cm<sup>-3</sup>. From the figure, it is seen that the SRT decreases with temperature monotonically, which coincides with previous experimental results.<sup>4,84–88</sup> This trend is also the same as that in the 2DES with high impurity density.<sup>27</sup> However, for high mobility 2DES, which can be achieved by modulation doping, the temperature dependence of the SRT is nonmonotonic and there is a peak  $T_c$  around the Fermi temperature due to the electron-electron scattering.<sup>27</sup> In *n*-type bulk materials, as the impurity density is always equal to or larger than the electron density, this peak disappears. Nevertheless, similar effect can be obtained if one artificially reduces the impurity density. For example when  $n_i = 0.01 n_e$ , it is seen from Fig. 4 that the SRT shows nonmonotonic behavior with a peak around 40 K.

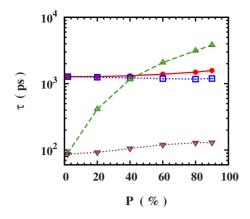


FIG. 5. (Color online) *n*-GaAs. Dependence of SRT  $\tau$  on initial spin polarization *P* for  $n_i = n_e$  with (without) the Coulomb HF term [curve with  $\bullet$  ( $\Box$ )] and for  $n_i = 0.01n_e$  with (without) the Coulomb HF term [curve with  $\triangle$  ( $\nabla$ )].  $n_e = 10^{17}$  cm<sup>-3</sup> and T = 20 K.

## 3. Initial spin polarization dependence: the effect of the Coulomb HF term

We now turn to the dependence of initial spin polarization on spin relaxation. Previously, it was discovered that at finite spin polarization, the Coulomb HF term serves as an effective magnetic field along the direction of the spin polarization.<sup>23</sup> The effective magnetic field can be as large as 40 T at high spin polarization in 2DES which suppresses the DP spin relaxation.<sup>23</sup> This effect was predicted by Weng and Wu<sup>23</sup> and then confirmed by experiments very recently.<sup>36,37,46</sup> However, the effect of the Coulomb HF term on spin relaxation in bulk system still needs to be evaluated. Here, we present such an investigation.

The Coulomb HF term for spin polarization along, e.g., the z direction can be written as

$$\hat{\Sigma}_{\rm HF}(\mathbf{k}) = -\sum_{\mathbf{k}'} V_{\mathbf{k}-\mathbf{k}'} (f_{\mathbf{k}'\uparrow} - f_{\mathbf{k}'\downarrow}) \hat{s}_z.$$
 (25)

The corresponding effective magnetic field is along the *z* axis,

$$B_{\rm HF}(\mathbf{k}) = -\sum_{\mathbf{k}'} V_{\mathbf{k}-\mathbf{k}'} (f_{\mathbf{k}'\uparrow} - f_{\mathbf{k}'\downarrow}) / g\mu_{\rm B}.$$
 (26)

Under this effective magnetic field, the spin precession is blocked and the SRT is elongated.<sup>23,31</sup> Recently, this effective magnetic field has been probed experimentally,<sup>37,46</sup> and its effect on spin accumulation in 2DES was also discussed theoretically.<sup>89</sup>

In Fig. 5, we plot the SRT as function of the initial spin polarization *P* for  $n_e = 10^{17}$  cm<sup>-3</sup> and T=20 K. It is seen that the SRT increases with the initial spin polarization. To elucidate the effect of the Coulomb HF term, we also plot the results from the calculation without the Coulomb HF term. The results indicate that the increase in the SRT with initial spin polarization is due to the Coulomb HF term. However, the increment is less than 50%. Previously, it was shown in 2DES that the SRT can increase over 30 times for low impurity case at 120 K, while less than three times for high impurity or high-temperature case where the scattering is

strong.<sup>23</sup> The results can be understood as follows: the SRT under the HF effective magnetic field can be estimated as<sup>1</sup>

$$\tau_s(P) = \tau_s(P=0)[1 + (g\mu_{\rm B}B_{\rm HF}\tau_p^*)^2], \qquad (27)$$

where  $B_{\rm HF}$  is the averaged effective magnetic field. Thus the effect of the HF effective magnetic field increases with  $\tau_p^*$ , i.e., the effect is more pronounced for weak scattering case, such as the low impurity density case. However, in bulk system the impurity density is always equal to or larger than the electron density  $n_i \ge n_e$ , therefore the effect of the Coulomb HF term is suppressed. This can be seen from the calculation with  $n_i=0.01n_e$  (the artificial case). The results are also plotted in the figure. One finds that the Coulomb HF term effectively enhances the SRT at low impurity density by 40 times. Therefore, due to the large impurity density  $(n_i \ge n_e)$ , the SRT is insensitive to the initial spin polarization in *n*-type bulk III-V semiconductors. We have checked that the conclusion holds for other cases with different temperatures, electron densities, and materials.

# IV. SPIN RELAXATION IN INTRINSIC III-V SEMICONDUCTORS

In this section we study spin relaxation in intrinsic III-V semiconductors. For intrinsic semiconductors, the electrons and holes are created by optical excitation, and their numbers are equal. Compared to *n*-type semiconductors, there are two additional scattering mechanisms: the electron-hole Coulomb and electron-hole exchange scatterings, where the latter corresponds to the BAP mechanism. Another important property of intrinsic semiconductors is that the impurity density is very low (we take  $n_i=0$ ), which offers a good platform for demonstrating the effect of the many-body carrier-carrier scattering on spin relaxation. Moreover, the effect of the Coulomb HF term would be enhanced as the electronimpurity scattering can be eliminated. Our first goal is to compare the relative efficiency of the DP and BAP mechanisms. After that we also study the temperature and photoexcitation density  $N_{ex}$  dependences of the SRT. The role of electron-hole Coulomb scattering as well as the effect of the Coulomb HF term is also addressed. We further compare the results from the KSBEs with those from the widely used analytical formulae [Eqs. (19) and (20)]. The initial spin polarization is chosen to be 50% which corresponds to circularly polarized optical excitation. We focus on GaAs, while the situation is similar for other III-V semiconductors.<sup>90</sup>

#### A. Temperature dependence

In Fig. 6(a), we plot the SRTs due to the DP and BAP mechanisms as function of temperature for  $N_{ex}=10^{17}$  cm<sup>-3</sup>. The SRT due to the BAP mechanism alone is calculated by removing the spin precession due to the SOC, but keeping all the scattering terms. It is noted that the SRT due to the BAP mechanism is larger than that due to the DP mechanism by more than 1 order of magnitude, which indicates that the BAP mechanism is negligible for intrinsic GaAs.<sup>91</sup> Moreover, the spin relaxation due to the DP mechanism increases with temperature more rapidly than that due to the BAP

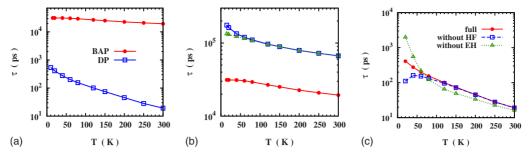


FIG. 6. (Color online) Intrinsic GaAs with  $N_{ex}=10^{17}$  cm<sup>-3</sup>. (a) SRT  $\tau$  due to the BAP and DP mechanisms as function of temperature. (b) SRT due to the BAP mechanism calculated from Eq. (19) (dotted curve with  $\Delta$ ), from the KSBE approach with both long-range and short-range exchange scatterings (solid curve with  $\bullet$ ) as well as from the KSBE approach with only the short-range exchange scattering (solid curve with  $\bullet$ ). (c) SRT due to the DP mechanism from full calculation (solid curve with  $\bullet$ ), from the calculation without the Coulomb HF term (dashed curve with  $\Box$ ), and from the calculation without the electron-hole Coulomb scattering (dotted curve with  $\Delta$ ). For electrons  $T_{\rm F}=136$  K, and for holes  $T_{\rm F}=16$  K.

mechanism at high temperature. This is because the increase in spin relaxation due to the DP mechanism mainly comes from the increase in the inhomogeneous broadening which is proportional to  $T^3$  in high-temperature (nondegenerate) regime. Meanwhile, according to Eq. (19), the increase in spin relaxation due to the BAP mechanism mainly comes from the increase in  $\langle v_k \rangle$  which is proportional to  $T^{0.5}$  in that regime.

For a close examination of the BAP mechanism, we also plot the SRT limited by the the short-range electron-hole exchange scattering calculated from the KSBEs in Fig. 6(b). It is seen that the SRT limited by the short-range electronhole exchange scattering is much larger than that limited by both long- and short-range exchange scatterings. This confirms that the long-range scattering is more important than the short-range one in GaAs as  $\Delta E_{\rm LT}$  is four times larger than  $\Delta E_{\rm SR}$ . Therefore, previous investigations<sup>3,22,39</sup> with only the short-range exchange scattering included are questionable. Moreover, to check the validity of the widely used elasticscattering approximation, we also compare the results from the KSBEs with those from the elastic-scattering approximation. Under the elastic-scattering approximation,<sup>1</sup>

$$\frac{1}{\tau_{\text{BAP}}(\mathbf{k})} = 4\pi \sum_{\mathbf{q},\mathbf{k}',m,m'} \delta(\varepsilon_{\mathbf{k}} + \varepsilon_{\mathbf{k}'m'}^{h} - \varepsilon_{\mathbf{k}-\mathbf{q}} - \varepsilon_{\mathbf{k}'+\mathbf{q}m}^{h})$$
$$\times |\mathcal{J}_{\mathbf{k}'m'}^{(-)\mathbf{k}'+\mathbf{q}m}|^2 f_{\mathbf{k}'m'}^{h} (1 - f_{\mathbf{k}'+\mathbf{q}m}^{h}). \tag{28}$$

The SRT is then obtained by averaging over the electron distribution. Equations (19) and (20) are derived from the above equation under some approximations. For example, by including only the short-range exchange scattering and ignoring the light-hole contribution as well as the term of  $(1-f_{k'+qm}^{h})$ , Eq. (19) is obtained. To show that the elastic-scattering approximation fails in the degenerate regime, we compare our results with the results from Eq. (28). For simplicity, we include only the short-range exchange scattering. In Fig. 6(b), we plot the SRT obtained from Eq. (28) as the dotted curve. It is seen that the result from Eq. (28) agrees well with our result from the KSBEs at high temperature, but deviates at low temperature. The deviation is due to the Pauli blocking of electrons in the degenerate regime, which

is neglected in the elastic-scattering approximation  $(T_{\rm F} = 136 \text{ K}).^{92}$ 

We also discuss the effect of the electron-hole Coulomb scattering and the Coulomb HF term on spin relaxation due to the DP mechanism. In Fig. 6(c), we plot the SRTs due to the DP mechanism obtained from the full calculation, from the calculation without the electron-hole Coulomb scattering, and from the calculation without the Coulomb HF term. Let us first examine the effect of the Coulomb HF term on spin relaxation. It is seen that the Coulomb HF term has important effect on spin relaxation only for low temperature case<sup>93</sup> (T< 60 K) which is consistent with the results in 2DES.<sup>23</sup> We then turn to the effect of the electron-hole Coulomb scattering. It is seen that without the electron-hole Coulomb scattering the SRT is larger for  $T \le 60$  K but smaller for T > 60 K compared with that from the full calculation. This behavior can be understood as following: for  $T \le 60$  K, the Coulomb HF term has important effect on spin relaxation. The HF effective magnetic field elongates the SRT. According to Eq. (27), this effect increases with the momentum scattering time. Without the electron-hole Coulomb scattering the momentum scattering time is elongated, which enhances the effect and leads to longer SRT. For higher temperature (T > 60 K), the effect of the Coulomb HF term is weak,<sup>93</sup> and the system returns back to the motional narrowing regime. The SRT thus decreases when the electron-hole Coulomb scattering is removed. The results indicate that the electron-hole Coulomb scattering is comparable with the electron-electron and electron-LO-phonon scatterings. In other words, besides the screening from holes, the main contribution of the hole system to electron spin relaxation comes from the electron-hole Coulomb scattering in intrinsic semiconductors.

In Fig. 7, we plot the SRT as function of temperature for  $N_{ex}=2\times 10^{17}$  cm<sup>-3</sup> with P=2%. In Sec. III B 2, we showed that there is a peak in the temperature dependence of SRT due to the electron-electron Coulomb scattering when the impurity density is low. In intrinsic semiconductors, as the impurity density is very low, the peak may appear. Indeed, we find that the SRT has a peak at  $T_c \sim 100$  K. The peak temperature  $T_c$  is comparable with the Fermi temperature  $(T_F=216 \text{ K})$  [Actually, our calculation indicates that the peak temperature  $T_c$  is around  $T_F/3$  and lies in the range of

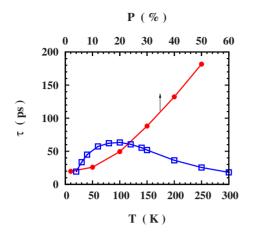


FIG. 7. (Color online) Intrinsic GaAs with  $N_{ex}=2 \times 10^{17} \text{ cm}^{-3}$ . SRT  $\tau$  as function of temperature for P=2% (curve with  $\Box$ ) and the SRT as function of initial spin polarization P for T=20 K (curve with  $\bullet$ ) (note that the scale of P is on the top of the frame).

 $(T_F/4, T_F/2)$  depending on the carrier density.] Nevertheless, at high spin polarization, such as P=50%, the peak disappears as indicated in Fig. 6(c). This peak can be observed within current technology of optical orientation. However, up until now, no such experimental investigation has been performed. In Fig. 7, we also plot the SRT as function of initial spin polarization at T=20 K. It is seen that the SRT is elongated by nine times when *P* is tuned from 2% to 50%. Therefore, the effect of the Coulomb HF term can also be observed in intrinsic materials and is more pronounced compared to the *n*-type case.

#### **B.** Density dependence

We plot the density dependence of the SRT in Fig. 8 for both low-temperature (T=40 K) and room-temperature (T=300 K) cases. It is seen that for both cases the BAP mechanism is far less efficient than the DP mechanism. Another remarkable feature is that the SRT shows a *nonmonotonic* photoexcitation density dependence with a peak at some density  $n_c$  which resembles that in *n*-type materials. Further calculation gives  $n_c$ =0.8×10<sup>16</sup> cm<sup>-3</sup> ( $T_F$ =25 K) for T=40 K case and  $n_c$ =0.9×10<sup>17</sup> cm<sup>-3</sup> ( $T_F$ =127 K) for T=300 K case. Interestingly, two recent experiments give different photoexcitation density dependences of SRT at room temperature: in Ref. 34 the SRT decreases with  $N_{ex}$ where  $N_{ex} > 10^{17}$  cm<sup>-3</sup>, while in Ref. 88 the SRT increases with  $N_{ex}$  where the photoexcitation density is lower. These observations are consistent with our results. However, the peak has not been reported in the literature.

We then discuss the effects of the electron-hole Coulomb scattering and the Coulomb HF term on the spin relaxation for T=40 K as function of photoexcitation density. In Fig. 8(c), we plot the SRTs obtained from the full calculation, from the calculation without the electron-hole Coulomb scattering, and from the calculation without the Coulomb HF term. It is seen that the Coulomb HF term plays a visible role only for high densities, as the HF effective magnetic field increases with electron density.93 Similar to the temperature dependence [Fig. 6(c)], without the electron-hole Coulomb scattering, the SRT is larger for  $N_{ex} > 3 \times 10^{16}$  cm<sup>-3</sup> where the Coulomb HF term plays a prominent role, while it is smaller for lower photoexcitation densities where the Coulomb HF term is unimportant. Notably, the peak of SRT still exists when the Coulomb HF term is removed, which implies that the degree of initial spin polarization is irrelevant for the existence of the peak.

## V. ELECTRON SPIN RELAXATION IN *p*-TYPE III-V SEMICONDUCTORS

In this section, we study spin relaxation in *p*-type III-V semiconductors. The main sources of spin relaxation have been recognized as the BAP mechanism and the DP mechanism.<sup>94</sup> We first compare the relative efficiency of the two mechanisms for various hole densities and temperatures. After that, the hole density and the photoexcitation density dependences of the SRT at given temperature are also discussed.

#### A. Comparison of the DP and BAP mechanisms in GaAs

We first address the relative importance of the BAP and DP mechanisms for various hole densities and temperatures in GaAs. The electrons are created by photoexcitation with P=50% (i.e., we assume ideal optical orientation by circularly polarized light).<sup>95</sup> In order to avoid exaggerating the DP mechanism, we use the SOC parameter fitted from the ex-

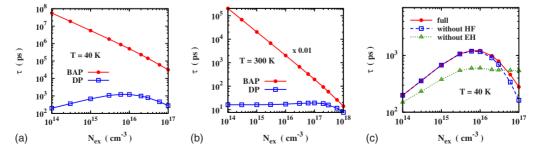


FIG. 8. (Color online) Intrinsic GaAs. SRT  $\tau$  due to the BAP mechanism and that due to the DP mechanism as function of photoexcitation density  $N_{ex}$  at: T=40 K (a) and T=300 K (b) [note that the value of  $\tau_{BAP}$  in figure (b) has been rescaled by a factor of 0.01]. (c) the SRT due to the DP mechanism from the full calculation (curve with  $\bullet$ ), from the calculation without the Coulomb HF term (curve with  $\Box$ ), and from the calculation without the electron-hole Coulomb scattering (curve with  $\Delta$ ) for T=40 K.

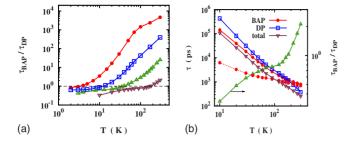


FIG. 9. (Color online) *p*-GaAs. Ratio of the SRT due to the BAP mechanism to that due to the DP mechanism as function of temperature for various hole densities with  $N_{ex}=10^{14}$  cm<sup>-3</sup> and  $n_i=n_h$ . (a)  $n_h=3\times10^{15}$  cm<sup>-3</sup> (curve with  $\bullet$ ),  $3\times10^{16}$  cm<sup>-3</sup> (curve with  $\Box$ ),  $3\times10^{17}$  cm<sup>-3</sup> (curve with  $\Delta$ ), and  $3\times10^{18}$  cm<sup>-3</sup> (curve with  $\nabla$ ). The hole Fermi temperatures for these densities are  $T_F^h=1.6$ , 7.3, 34, and 156 K, respectively. The electron Fermi temperature is  $T_F=1.4$  K. (b) The SRTs due to the BAP and DP mechanisms, the total SRT, together with the ratio  $\tau_{BAP}/\tau_{DP}$  (curve with  $\Delta$ ) versus the temperature for  $n_h=3\times10^{18}$  cm<sup>-3</sup>. The dotted curve represents the SRT due to the BAP mechanism without the Pauli blocking of holes. Note the scale of  $\tau_{BAP}/\tau_{DP}$  is on the right-hand side of the frame.

perimental data in Ref. 4, i.e.,  $\gamma_D = 8.2 \text{ eV } \text{\AA}^3$  (see Appendix B) throughout this section, which is smaller than the value from the  $\mathbf{k} \cdot \mathbf{p}$  calculation  $\gamma_D = 23.9 \text{ eV } \text{\AA}^3$ .<sup>62,63</sup>

# 1. Low photoexcitation

We first concentrate on low photoexcitation density regime, where we choose  $N_{ex}=10^{14}$  cm<sup>-3</sup>. The ratio of the SRT due to the BAP mechanism to that due to the DP mechanism is plotted in Fig. 9(a) for various hole densities. It is seen that the DP mechanism dominates at high temperature, whereas the BAP mechanism dominates at low temperature, which is consistent with previous investigations.<sup>1,3,22,39,49</sup> An interesting feature is that the ratio first decreases rapidly, then slowly, and then again rapidly with decreasing temperature. A typical case is shown in Fig. 9(b) for  $n_h=3 \times 10^{18}$  cm<sup>-3</sup>. It is noted that the "plateau" is around the hole Fermi temperature  $T_F^h=156$  K which is given by

$$T_{\rm F}^{h} = \frac{(3\pi^2 n_h)^{2/3}}{2k_{\rm B}m_0[(\gamma_1 - 2\gamma_2)^{-3/2} + (\gamma_1 + 2\gamma_2)^{-3/2}]^{2/3}}.$$
 (29)

The underlying physics is that: on one hand, the Pauli blocking of holes becomes important when  $T \leq T_{\rm F}^h$ , which slows down the BAP spin relaxation effectively [see Eq. (20)]; on the other hand, the increase in the screening (mainly from holes) with decreasing temperature weakens the electronimpurity and carrier-carrier scatterings and thus enhances the DP spin relaxation. Consequently, the decrease in the ratio with decreasing temperature slows down and the "plateau" is formed around  $T_{\rm F}^h$ . However, after the hole system enters the degenerate regime, the screening changes little with temperature. The ratio thus decreases rapidly with decreasing temperature again [see Appendix C]. We also plot the SRT due to the BAP mechanism without the Pauli blocking of holes as dotted curve in Fig. 9(b), which indicates that the Pauli blocking of holes effectively suppresses the BAP spin relax-

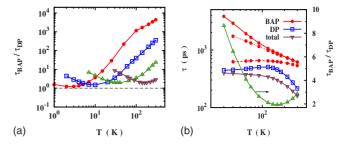


FIG. 10. (Color online) *p*-GaAs. Ratio of the SRT due to the BAP mechanism to that due to the DP mechanism as function of temperature for various hole densities with  $N_{ex}$ =0.1 $n_h$  and  $n_i$ = $n_h$ . (a)  $n_h$ =3×10<sup>15</sup> cm<sup>-3</sup> (curve with  $\bullet$ ), 3×10<sup>16</sup> cm<sup>-3</sup> (curve with  $\Box$ ), 3×10<sup>17</sup> cm<sup>-3</sup> (curve with  $\Delta$ ), and 3×10<sup>18</sup> cm<sup>-3</sup> (curve with  $\nabla$ ). The hole Fermi temperatures for these densities are  $T_F^h$ =1.7, 7.7, 36, and 167 K, respectively. The electron Fermi temperatures are  $T_F$ =2.8, 13, 61, and 283 K, respectively. (b) The SRTs due to the BAP and DP mechanisms, the total SRT, together with the ratio  $\tau_{\text{BAP}}/\tau_{\text{DP}}$  (curve with  $\Delta$ ) versus the temperature for  $n_h$ =3×10<sup>18</sup> cm<sup>-3</sup>. The dotted (dashed) curve represents the SRT due to the BAP mechanism without the Pauli blocking of electrons (holes). Note the scale of  $\tau_{\text{BAP}}/\tau_{\text{DP}}$  is on the right-hand side of the frame.

ation at low temperature  $(T \leq T_{\rm F}^h)$ . It is also seen from Fig. 9(b) that the total SRT increases with decreasing temperature as both  $\tau_{\rm DP}$  and  $\tau_{\rm BAP}$  do.

# 2. High photoexcitation

We then discuss the case with high photoexcitation density, where we choose  $N_{ex}=0.1n_h$ . The ratio of the SRT due to the BAP mechanism to that due to the DP mechanism is plotted in Fig. 10(a) for various hole densities. It is seen that, interestingly, the ratio is nonmonotonic and has a minimum roughly around the Fermi temperature of electrons,  $T \sim T_{\rm F}$ . The BAP mechanism is comparable with the DP mechanism only in the moderate temperature regime roughly around  $T_{\rm F}$ , whereas for higher or lower temperature it becomes unimportant. To explore the underlying physics, we plot the SRTs due to the BAP and DP mechanisms in Fig. 10(b) for  $n_h=3$  $\times 10^{18}$  cm<sup>-3</sup>. It is seen that the Pauli blocking of electrons and holes largely suppresses the BAP spin relaxation in the low-temperature regime and hence makes  $\tau_{BAP}$  always increase with decreasing temperature. On the other hand,  $\tau_{\rm DP}$ first increases with decreasing temperature, then saturates at low temperature  $(T < T_F)$  due to the fact that both the inhomogeneous broadening and the momentum scattering change little in the degenerate regime. Therefore, the ratio  $\tau_{\rm BAP}/\tau_{\rm DP}$ first decreases then increases with decreasing temperature and shows a minimum roughly around the electron Fermi temperature. This scenario holds for arbitrary excitation density, and the temperature where the ratio  $\tau_{\rm BAP}/\tau_{\rm DP}$  reaches its minimum increases with excitation density. Finally, it is seen that the total SRT saturates at low temperature as  $\tau_{\rm DP}$  does.

#### B. Photoexcitation density dependence

We now turn to the photoexcitation density dependence of the SRT. In Fig. 11(a), we plot the SRT due to the DP mechanism and that due to the BAP mechanism as function of

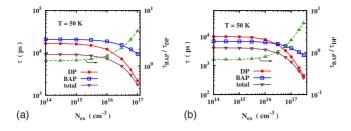


FIG. 11. (Color online) *p*-GaAs. SRTs  $\tau$  due to the BAP and DP mechanisms together with the total SRT versus the photoexcitation density  $N_{ex}$ . The ratio of the two is plotted as dashed curve (note that the scale is on the right-hand side of the frame). (a)  $n_i = n_h = 3 \times 10^{17}$  cm<sup>-3</sup>. (b)  $n_i = n_h = 3 \times 10^{18}$  cm<sup>-3</sup>. T = 50 K.

photoexcitation density for  $n_h = 3 \times 10^{17} \text{ cm}^{-3}$  with T =50 K. It is seen that the SRT due to the DP mechanism decreases with the photoexcitation density monotonically. Specifically, it first decreases slowly, then  $(N_{ex})$  $>10^{16}$  cm<sup>-3</sup>) rapidly with the photoexcitation density. The scenario is as follows: in  $\tau$ -type semiconductors at low temperature, the dominant scattering mechanisms are the electron-hole and electron-impurity scatterings. The momentum scattering due to these two mechanism changes little with photoexcitation (electron) density  $(N_{ex}=n_e)$  for  $N_{ex} < n_h$ . In the low density regime  $(n_e < 3 \times 10^{15} \text{ cm}^{-3}, \text{ or } T_F$ < 13 K), where the electron system is nondegenerate, the increase in density affects the inhomogeneous broadening very little. Thus the SRT changes slowly with the photoexcitation density. In the high density regime  $(n_e > 3)$  $\times 10^{16}$  cm<sup>-3</sup>, or  $T_{\rm F} < 61$  K), the electron system is degenerate where the inhomogeneous broadening increases fast with density. Consequently the SRT decreases rapidly with the photoexcitation density. For the SRT due to the BAP mechanism, it decreases slowly with the photoexcitation density in the low density regime, but rapidly in the high density regime. The decrease is mainly due to the increase in the averaged electron velocity  $\langle v_k \rangle$  [see Eq. (20)], which is determined by the temperature and is insensitive to density in the nondegenerate regime, but increases rapidly in the degenerate regime. However, the increase in the spin relaxation due to the BAP mechanism is slower than that due to the DP mechanism, because the inhomogeneous broadening increases as  $\propto N_{ex}^2$  while  $\langle v_k \rangle$  increases as  $\propto N_{ex}^{1/2}$ . Consequently, the BAP mechanism becomes even less important in the high photoexcitation density regime. Similar situation also happens for other hole densities and temperatures. In Fig. 11(b), we plot the case for a larger hole density  $n_h=3$  $\times 10^{18}$  cm<sup>-3</sup>. It is seen that under low photoexcitation, the BAP mechanism is more important than the DP mechanism. However, the BAP mechanism becomes less important than the DP mechanism in the high photoexcitation density regime. The crossover of the low photoexcitation density regime to the high photoexcitation density regime takes place around  $T_{\rm F} \sim T$ . This leads to the conclusion that the BAP mechanism is not important at high photoexcitation density in P-type materials. It is seen from Fig. 11 that the total SRT decreases with photoexcitation density as both  $\tau_{\rm DP}$  and  $\tau_{\rm BAP}$ do. This behavior is also consistent with what observed in experiments in Ref. 65.

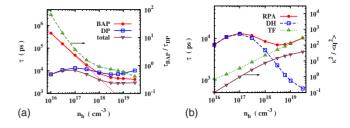


FIG. 12. (Color online) *p*-GaAs. (a) SRTs  $\tau$  due to the BAP and DP mechanisms together with the total SRT against hole density  $n_h$ .  $N_{ex}=10^{14}$  cm<sup>-3</sup>,  $n_i=n_h$ , and T=60 K. The dotted curve denotes a fitting of the curve with  $\bullet$  using  $1/n_h$  scale. The curve with  $\triangle$  denotes the ratio  $\tau_{\text{BAP}}/\tau_{\text{DP}}$  (note that the scale is on the right hand side of the frame). (b) SRTs due to the DP mechanism with the DH (curve with  $\Box$ ), TF (curve with  $\triangle$ ), and the RPA (curve with  $\bullet$ ) screenings. The ratio  $\kappa^2/\langle q^2 \rangle$  is plotted as curve with  $\nabla$  (note that the scale is on the right hand side of the frame).

#### C. Hole density dependence

We also study the hole density dependence of spin relaxation due to the BAP and DP mechanisms. In Fig. 12(a), we plot the SRT due to the BAP mechanism and that due to the DP mechanism as function of hole density for T=60 K and  $n_{ex}=3 \times 10^{14}$  cm<sup>-3</sup>. It is seen that the SRT due to the BAP mechanism decreases as  $1/n_h$  at low hole density, which is consistent with Eq. (19), i.e., for nondegenerate holes  $\tau_{\text{BAP}}$ due to the Pauli blocking of holes. However, for the SRT due to the DP mechanism, the dependence is not so obvious: the SRT first increases, then decreases and again increases with the hole density.

As the electron distribution, and hence the inhomogeneous broadening, does not change with the hole density, the variation in the SRT due to the DP mechanism solely comes from the momentum scattering (mainly from the electronimpurity scattering). To elucidate the underlying physics, we plot the SRT due to the DP mechanism calculated with the RPA screening together with those calculated with the Thomas-Fermi (TF) screening<sup>96</sup> [which applies in the degenerate (high density) regime], the Debye-Huckle (DH) screening<sup>96</sup> [which applies in the nondegenerate (low density) regime] in Fig. 12(b). From the figure it is seen that the first increase and the decrease is connected with the DH screening, whereas the second increase is connected with the TF screening. The underlying physics is as follows: in the low hole density regime, the screening from the holes is small and the Coulomb potential, which is proportional to  $1/(\kappa^2 + q^2)$ , changes slowly with the screening constant  $\kappa$ . Hence the electron-impurity scattering increases with  $n_h$  as it is proportional to  $n_i \langle V_q^2 \rangle \propto n_h$  (as  $n_i = n_h$ ). For higher hole density  $n_{\rm h} = 10^{17}$  cm<sup>-3</sup>, the screening constant  $\kappa$  becomes larger than the transferred momentum q. [To elucidate the relative ratio of the two, we plot the ratio of the average of the square of the transferred momentum  $\langle q^2 \rangle$  to the square of the screening constant  $k^2$  as curve with  $\nabla$  in Fig. 12(b).] Hence the electron-impurity scattering decreases with  $n_h$  because it is proportional to  $n_i \langle V_q^2 \rangle \propto n_h / \kappa^4 \propto n_h^{-1}$  as  $\kappa^2 \propto n_h$  for the DH screening. As the hole density increases, the hole system enters into the degenerate regime, where the TF screening ap-

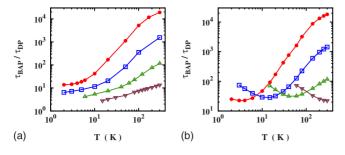


FIG. 13. (Color online) *p*-GaSb. Ratio of the SRT due to the BAP mechanism to that due to the DP mechanism as function of temperature for  $n_h=3\times10^{15}$  cm<sup>-3</sup> (curve with  $\bullet$ ),  $3\times10^{16}$  cm<sup>-3</sup> (curve with  $\Box$ ),  $3\times10^{17}$  cm<sup>-3</sup> (curve with  $\Delta$ ), and  $3\times10^{18}$  cm<sup>-3</sup> (curve with  $\nabla$ ).  $n_i=n_h$ . (a)  $N_{ex}=10^{14}$  cm<sup>-3</sup>. (b)  $N_{ex}=0.1n_h$ .

plies and  $k^2 \propto n_h^{1/3}$ . Hence, the electron-impurity scattering increases with the hole density as  $n_i \langle V_q^2 \rangle \propto n_h^{1/3}$ . Consequently, the SRT first increases, then decreases and again increases with the hole density as the momentum scattering does. It should be mentioned that this behavior is different from that in the *p*-type (001) quantum wells where  $\tau_{\rm DP}$  increases with  $n_i$  monotonically<sup>97</sup> as the screening from holes is much weaker in that case due to lower-dimension in phase-space and smaller hole effective mass [in (001) GaAs quantum wells, the in-plane effective mass of the heavy hole is  $\sim 0.11m_0$  compared to  $0.54m_0$  in bulk].

It is also noted in Fig. 12(a) that the ratio  $\tau_{\rm BAP}/\tau_{\rm DP}$  first decreases rapidly, then slowly and again rapidly with the hole density  $n_h$ . The first decrease is because that  $\tau_{\text{BAP}}$  decreases with  $n_h$ , whereas  $\tau_{\rm DP}$  increases with it. In the cross-over regime  $(n_h \sim 10^{18} \text{ cm}^{-3})$ , where  $T_{\rm F} \sim T$ , the SRT due to the DP mechanism varies slowly with hole density. As the SRT due to the BAP mechanism also varies slowly with hole density due to the Pauli blocking of holes, the ratio  $\tau_{\rm BAP}/\tau_{\rm DP}$ changes slowly with hole density in this regime and a "plateau" is formed at  $T_{\rm F} \sim T$ . In higher hole density regime, however,  $\tau_{\rm DP}$  increases with  $n_h$ , whereas  $\tau_{\rm BAP}$  decreases with it. The ratio  $\tau_{\text{BAP}}/\tau_{\text{DP}}$  hence decreases rapidly with  $n_h$  again and the BAP mechanism becomes more and more important. It is seen from Fig. 12(a) that the total SRT first increases then decreases in the low hole density regime as  $\tau_{\rm DP}$  does. Consequently, the hole density dependence of the SRT exhibits a peak which has never been reported. Nevertheless, in the regime of higher hole density, the total SRT changes slowly with  $n_h$  as the BAP and DP mechanisms compete with each other.

#### **D.** Other III-V semiconductors

Although the above conclusions are obtained from GaAs, they also hold for other III-V semiconductors. To demonstrate that, we also investigate the problem in GaSb. GaSb is a narrow band-gap III-V semiconductors of which the values of  $\Delta E_{\rm LT}$  and  $\Delta E_{\rm SR}$  can be found in literature.<sup>49,64</sup> In Fig. 13, we plot the ratio of the SRT due to the BAP mechanism to that due to the DP mechanism as function of temperature for various hole densities. It is seen from the figures that the features are similar to those in GaAs, whereas the ratio is much larger than that in GaAs under the same condition.

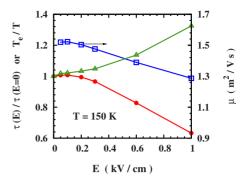


FIG. 14. (Color online) *n*-GaAs. Ratio of the SRT under electric field to the electric-field free one  $\tau(E)/\tau(E=0)$  (curve with  $\bullet$ ) and the ratio of the hot-electron temperature to the lattice temperature  $T_e/T$  (curve with  $\triangle$ ) as function of electric field for  $n_i=n_e=2 \times 10^{17}$  cm<sup>-3</sup> at T=150 K. The mobility is also plotted as curve with  $\Box$  (note that the scale is on the right-hand side of the frame).

That is, the relative importance of the BAP mechanism in GaSb is smaller than that in GaAs. This is because the SOC in GaSb is much larger than that in GaAs while the longitudinal-transversal splitting  $\Delta E_{\rm LT}$  in GaSb is smaller than that in GaAs.

# VI. EFFECTS OF ELECTRIC FIELD ON SPIN RELAXATION IN *n*-TYPE III-V SEMICONDUCTORS

In this section, we study the effects of electric field on spin relaxation in n-type III-V semiconductors. Previous studies have demonstrated that in quantum wells a relatively high in-plane electric field can effectively manipulate the SRT.<sup>24,25,27,29</sup> The underlying physics is that the high electric field induces two effects: the drift of the electron ensemble which enhances the inhomogeneous broadening (as electrons distribute on larger **k** states where the SOC is larger), as well as the hot-electron effect which enhances the momentum scattering. The former tends to suppress while the latter tends to enhance the SRT. Thus the SRT has nonmonotonic electric field dependence: it first increases due to the hot-electron effect then decreases due to the enhancement of inhomogeneous broadening. In bulk semiconductors, the electric field dependence of spin lifetime has not been investigated. In this section, we present such a study. Using *n*-type GaAs as an example, we demonstrate that the electric field dependence of spin lifetime can be nonmonotonic (first increasing then decreasing) or monotonic (decreasing) depending on the lattice temperature and the densities of impurities and electrons. The underlying physics is analyzed. The study indicates that the spin lifetime can be effectively controlled by electric field.

In Fig. 14, we plot the ratio of the SRT under electric field to the electric field free one as function of electric field for  $n_e=2 \times 10^{17}$  cm<sup>-3</sup> with P=2% at T=150 K and  $n_i=n_e$ . The electric field is chosen to be along the x axis and the initial spin polarization is along the z axis. Due to the cubic form of the SOC, the average of the spin-orbit field is negligible even in the presence of finite center-of-mass drift velocity, which is different from the case in quantum wells where the linear

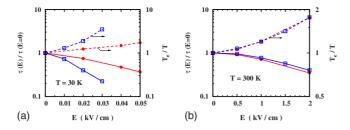


FIG. 15. (Color online) *n*-GaAs. Ratio of the SRT under electric field to the electric-field-free one  $\tau(E)/\tau(E=0)$  (solid curves) and the ratio of the hot-electron temperature to the lattice temperature  $T_e/T$  (dashed curves) as function of electric field for (a) T=30 K with  $n_e=10^{16}$  cm<sup>-3</sup> (curve with  $\oplus$ :  $n_i=n_e$ ; curve with  $\square$ :  $n_i=0.05n_e$ ) and (b) T=300 K with  $n_e=n_i$  (curve with  $\oplus$ :  $n_e=10^{16}$  cm<sup>-3</sup>; curve with  $\square$ :  $n_e=2\times10^{17}$  cm<sup>-3</sup>).

 $n_i = n_h$  term gives a large effective magnetic field in the presence of electric field thanks to the strong well confinement.<sup>24,29</sup> It is seen that the ratio first increases a little and than decreases rapidly with the electric field. At E = 1 kV/cm, the ratio drops to 0.6 which demonstrates that the electric field can effectively affect the SRT. To understand these effects, we also plot the hot-electron temperature in the figure. It is noted that the electron temperature increases first slowly then (E>0.3 kV/cm) rapidly with the electric field, indicating clearly the hot-electron effect. For the 2DES, where the SOC is dominated by the linear term, the hot-electron effect mainly leads to the enhancement of scattering, whereas the enhancement of inhomogeneous broadening due to the hot-electron effect is marginal.<sup>24</sup> Differently, the hot-electron effect also has important effect on inhomogeneous broadening in bulk system, as the SOC is cubic k dependent. As both the drift effect and the hotelectron effect increase the inhomogeneous broadening, the enhancement of the inhomogeneous broadening is faster than the increase in momentum scattering. Consequently, the SRT is easier to decrease with the electric field, which is different from the case of 2DES. We speculate that the electric field dependence of the SRT in Wurtzite semiconductors and strained III-V semiconductors resembles that in the GaAs quantum wells, when the SOC is dominated by the linear k term.<sup>12,43,98,99</sup> The mobility of the electron system is also plotted in the figure. The variation in the mobility indicates the nonlinear effects of the electric field in the kinetics of electron system.

For lower and higher temperature cases, we plot the results in Fig. 15. The electron density is  $n_e = 10^{16}$  cm<sup>-3</sup> in Fig. 15(a). It is seen that the ratio for the case with low impurity density  $n_i = 0.05n_e$  decreases faster than that for the case with  $n_i = n_e$ . This is because both the hot-electron effect and the drift effect are more pronounced in cleaner system,<sup>24</sup> which thus leads to a faster decrease in the SRT due to the enhancement of inhomogeneous broadening. In the background of hot-electron spin injection under high bias, our results indicate that the manipulation of the SRT by the electric field is more pronounced for samples with high mobility. For high temperature case (T=300 K), we plot the SRT and hotelectron temperature as function of electric field for two different electron densities  $n_e = 10^{16} \text{ cm}^{-3}$ and  $n_h=2$   $\times 10^{17}$  cm<sup>-3</sup> with  $n_i = n_e$  in Fig. 15(b). It is seen that both the SRT and the electron temperature differ marginally for the two cases even though their impurity and electron densities differ by 20 times. This is because that at 300 K the electron–LO-phonon scattering is more important than the electron-impurity scattering. Thus both the drift of the electron system and the hot-electron effect is mainly determined by the electron–LO-phonon scattering, and the variation in the SRT with electric field is insensitive to impurity density. For electron density, as the electron system is in the nondegenerate regime for both cases, the electron density dependence is hence also weak.

## **VII. CONCLUSION**

In conclusion, we have applied an efficient scheme, the fully microscopic KSBEs, to study the spin dynamics in bulk III-V semiconductors, with all scatterings explicitly included. This approach takes full account of the spin relaxation due to the DP, EY, and BAP mechanisms in a fully microscopic fashion, and enables us to find important results missing in the previous simplified approaches in the literature. From the KSBE approach, we study the electron spin relaxation in n-type, intrinsic, and p-type III-V semiconductors. We also investigate the effects of electric field on spin relaxation in n-type III-V semiconductors.

For *n*-type III-V semiconductors, differing from the previous conclusions, we find that the spin relaxation due to the EY mechanism is less important than that due to the DP mechanism even in narrow band-gap semiconductors, such as InAs and InSb. We then focus on the spin relaxation due to the DP mechanism. We find that the density dependence of the SRT is nonmonotonic, and we predict a peak which appears in the metallic regime. This behavior is due to the different density dependences of the inhomogeneous broadening and the momentum scattering in the degenerate and nondegenerate regimes. In the nondegenerate regime, as the electron distribution is close to the Boltzmann distribution, the inhomogeneous broadening changes little with the density but the electron-electron and electron-impurity scatterings increase with the electron density. As a result, the SRT increases with the density. In the degenerate regime, the inhomogeneous broadening increases with electron density, whereas the momentum scatterings decrease with it. Consequently, the SRT decreases with the electron density in the degenerate regime. The peak of the SRT is hence formed in the crossover regime, where the corresponding Fermi temperature is close to the lattice temperature,  $T_{\rm E} \sim T$ . Our results show that the electron-electron scattering plays an important role in the spin relaxation. We also study the density dependence for the case with strain-induced SOC, where the density dependence of the inhomogeneous broadening is different due to the linear k dependence of the strain-induced SOC. However, the SRT still has a peak but at a larger density compared to the strain-free case. We further study the temperature dependence of the SRT. We find that the SRT decreases monotonically with the temperature which is consistent with experimental findings. After we artificially lower the impurity density, we find a peak in the SRT which is due to the different temperature dependence of the electronelectron scattering in the degenerate and nondegenerate regimes. This is consistent with the results in 2DES where the peak in the SRT due to the electron-electron scattering appears only when the impurity density is low (e.g.,  $n_i=0.1n_e$ ).<sup>27</sup> We also study the initial spin polarization dependence of the SRT where the effect of the Coulomb HF term is discussed. We find that the dependence is quite weak in bulk system compared to that in the 2DES, which is again due to the large impurity density  $n_i \ge n_e$  in bulk system.

For intrinsic III-V semiconductors, we first compare the BAP mechanism and the DP mechanism. We find that the BAP mechanism is far less efficient than the DP mechanism. We further compare our results from the fully microscopic KSBE approach with those from the approach widely used in the literature. We find that the previous approach deviates in the low-temperature regime due to the pretermission of the Pauli blocking. Also, the previous approach ignores the longrange electron-hole exchange scattering which is shown to be dominant in GaAs. We find that the electron-hole Coulomb scattering plays an important role in spin relaxation. The Coulomb HF term is found to have important effects on spin relaxation at low temperature and high photoexcitation density, as the impurity density is very low in intrinsic semiconductors (we choose  $n_i=0$ ). Due to the same reason, the peak in the temperature dependence of the SRT due to the electron-electron scattering also appears at small spin polarization. We further discuss the photoexcitation density dependence of the SRT. We find that the SRT first increases then decreases with the density which resembles the case in *n*-type samples where the underlying physics is also similar.

For *p*-type III-V semiconductors, we first examine the relative importance of the BAP mechanism. We find that the BAP mechanism dominates the spin relaxation in the lowtemperature regime only when the photoexcitation density is low. However, when the photoexcitation density is high, the BAP mechanism can be comparable with the DP mechanism only in the moderate temperature regime roughly around the Fermi temperature of electrons, whereas for higher or lower temperature it is unimportant. The photoexcitation density dependences of SRTs due to the BAP and DP mechanisms are also discussed. We find that the relative importance of the BAP mechanism decreases with photoexcitation density and eventually becomes negligible at sufficiently high photoexcitation density. For hole density dependence at small photoexcitation density, we find that the spin relaxation due to the BAP mechanism increases with hole density linearly in low hole density regime but the increase becomes slower in high hole density regime where the Pauli blocking of holes becomes important. Interestingly, the SRT due to the DP mechanism first increases, then decreases and again increases with hole density. The underlying physics is that the momentum scattering (mainly from the electron-impurity scattering) first increases with hole (impurity)  $(n_i = n_h)$  density, then decreases with hole density due to the increase in the screening. However, at high hole density when the hole system is degenerate, the screening increases slower with the hole density and the momentum scattering again increases with the hole (impurity) density. On the other hand, the inhomogeneous broadening does not change with hole density as the electron density is solely determined by the photoexcitation density. Consequently, the SRT due to the DP mechanism first increases, then decreases and again increases with the hole density. This behavior makes the ratio  $\tau_{\rm BAP}/\tau_{\rm DP}$  first decreases rapidly, then slowly and again rapidly with the hole density. The BAP mechanism is more important than the DP one for high hole density. The relative importance of the BAP mechanism in GaSb is found to be much less than that in GaAs due to both the weaker electron-hole exchange interaction and the larger SOC in GaSb.

Finally, we study the effect of electric field on the spin relaxation in *n*-type GaAs. We find that the SRT can be largely affected by the electric field. The underlying physics is that the electric field induces two effects: the center-ofmass drift which enhances the inhomogeneous broadening and the hot-electron effect which increases both the momentum scattering and the inhomogeneous broadening. The electric field dependence of SRT thus can be nonmonotonic: it first increases due to the increase in scattering then decreases due to the enhancement of inhomogeneous broadening. However, we find that differing from the 2DES, the SRT is easier to decrease with the electric field. This is because that the inhomogeneous broadening increases faster when the SOC is cubic compared to the 2DES where the SOC is dominated by linear k term. We expect that the electric field dependence of the SRT resembles the 2DES for Wurtzite semiconductors or strained semiconductors, where the SOC can be dominated by the linear  $\mathbf{k}$  term. We also find that the effect of the electric field becomes more significant for low impurity density samples at low temperature as both the drift effect and the hot-electron effect are more pronounced. However, at room temperature, the effect of the electric field is insensitive to the impurity density as the electron-LOphonon scattering is more important than the electronimpurity scattering. The electron density dependence is also weak as long as the system is in the nondegenerate regime.

*Note added.* Recently, the peak we predicted in the doping density dependence of the SRT of *n*-GaAs in the metallic regime has been realized experimentally in a subsequent paper.<sup>100</sup> Also an independent theoretical calculation from the KSBE approach well reproduced the peak in the same paper.<sup>100</sup>

## ACKNOWLEDGMENTS

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# APPENDIX A: NUMERICAL SCHEME

Our numerical scheme is based on the discretization of the k-space similar to that in Ref. 24. Here we extend it to the three-dimensional case. Our technique greatly reduces

the calculation complexity and makes the quantitatively accurate calculation possible.

The **k** space is divided into  $N \times M \times L$  control regions where the **k**-grid points are chosen to be  $\mathbf{k}_{n,m,l} = \sqrt{2m_c E_n}(\sin \theta_m \cos \phi_l, \sin \theta_m \sin \phi_l, \cos \theta_m)$ . To facilitate the evaluation of the  $\delta$  functions in the scattering terms, we set  $E_n = (n+1/2)\Delta E$ , where the energy span in each control region is  $\Delta E = \omega_{\rm LO}/n_{\rm LO}$  with  $n_{\rm LO}$  being an integer number and  $\omega_{\rm LO}$  denoting the LO-phonon frequency. The electronimpurity and electron-phonon scatterings are then solved easily since the functions can be integrated out directly.

For the electron-electron Coulomb, electron-hole Coulomb, and electron-hole exchange scatterings, the situation is much more complex. The electron-electron Coulomb scattering term [Eq. (9)] can be rewritten as

$$\partial_{t}\hat{\rho}_{\mathbf{k}}|_{ee} = \sum_{\mathbf{k}'} \frac{-\pi}{(2\pi)^{3}} V_{\mathbf{k}-\mathbf{k}'}^{2} [\hat{\Lambda}_{\mathbf{k},\mathbf{k}'} \hat{\rho}_{\mathbf{k}'}^{\leq} \hat{\Lambda}_{\mathbf{k}',\mathbf{k}} \hat{\rho}_{\mathbf{k}}^{\leq} H(\mathbf{k},\mathbf{k}') - \hat{\Lambda}_{\mathbf{k},\mathbf{k}'} \hat{\rho}_{\mathbf{k}'}^{\leq} \hat{\Lambda}_{\mathbf{k}',\mathbf{k}} \hat{\rho}_{\mathbf{k}}^{\geq} H(\mathbf{k}',\mathbf{k})] + \text{H.c.}, \qquad (A1)$$

where

$$H(\mathbf{k},\mathbf{k}') = (2\pi)^{3} \sum_{\mathbf{k}''} \delta(\varepsilon_{\mathbf{k}''} - \varepsilon_{\mathbf{k}''-\mathbf{k}+\mathbf{k}'} + \varepsilon_{\mathbf{k}'} - \varepsilon_{\mathbf{k}})$$
$$\times \operatorname{Tr}(\hat{\Lambda}_{\mathbf{k}'',\mathbf{k}''-\mathbf{k}+\mathbf{k}'} \hat{\rho}_{\mathbf{k}''-\mathbf{k}+\mathbf{k}'}^{<} \hat{\Lambda}_{\mathbf{k}''-\mathbf{k}+\mathbf{k}',\mathbf{k}''} \hat{\rho}_{\mathbf{k}''}^{>}).$$
(A2)

Substituting  $\mathbf{q} = \mathbf{k} - \mathbf{k}'$  and  $\omega = 2m_c(\varepsilon_{\mathbf{k}} - \varepsilon_{\mathbf{k}'})$ , one has

$$H(\mathbf{q},\omega) = \int d\mathbf{k}'' \delta(\varepsilon_{\mathbf{k}''} - \varepsilon_{\mathbf{k}''-\mathbf{q}} - \omega/2m_c)$$
$$\times \operatorname{Tr}(\hat{\Lambda}_{\mathbf{k}'',\mathbf{k}''-\mathbf{q}}\hat{\rho}_{\mathbf{k}''-\mathbf{q}}^< \hat{\Lambda}_{\mathbf{k}''-\mathbf{q},\mathbf{k}''}\hat{\rho}_{\mathbf{k}''}^>).$$
(A3)

Now the  $\delta$  function can be simplified as

$$\delta(\varepsilon_{\mathbf{k}''} - \varepsilon_{\mathbf{k}''-\mathbf{q}} - \omega/2m_c) = \frac{m_c}{k''q} \delta(\cos \hat{\theta} - \cos \hat{\theta}_0), \quad (A4)$$

where  $\hat{\theta}$  is the angle between  $\mathbf{k}''$  and  $\mathbf{q}$ , and  $\cos \hat{\theta}_0 = (q^2 + \omega)/(2k''q)$ . To evaluate the  $\delta$  function, it is helpful to rotate to the new coordinate system with  $\mathbf{q}$  being along the *z* axis. In this coordinate system,  $\hat{\theta} = \theta$  and the  $\delta$  function can be evaluated readily. The result is

$$H(\mathbf{q},\omega) = \frac{m_c^2}{q} \int d\varepsilon_{\mathbf{k}''} d\phi'' \times \operatorname{Tr}(\hat{\Lambda}_{\mathbf{k}'',\mathbf{k}''-\mathbf{q}} \hat{\rho}_{\mathbf{k}''-\mathbf{q}}^< \hat{\Lambda}_{\mathbf{k}''-\mathbf{q},\mathbf{k}''} \hat{\rho}_{\mathbf{k}''}^>)|_{\theta=\theta_0}^{(\text{new})},$$
(A5)

with  $\theta_0 = \arccos[(q^2 + \omega)/2k''q]$ . Note that the integration over  $\varepsilon_{\mathbf{k}''}$  is restrained by the condition  $\varepsilon_{\mathbf{k}''} \ge [(q^2 + \omega)/2q]^2/2m_c$  according to  $|\cos \theta_0|^2 \le 1$ . Now the electronelectron Coulomb scattering is easily integrated out. Note that the calculation of  $H(\mathbf{q}, \omega)$  can be done before the calculation of the electron-electron Coulomb scattering terms, which thus reduces the whole calculation complexity from  $O(N^2M^3L^3)$  to  $O(N^2M^2L^2)$ . This method, developed by Cheng in two-dimensional system,<sup>101</sup> greatly reduces the calculation complexity. For the electron-hole Coulomb scattering, the idea is similar, but the technique is more complex. Denoting  $x_m = m_c/m_m^*$ , the  $\delta$  function in Eq. (10) can be written as

$$\delta(\varepsilon_{\mathbf{k}''m}^{h} - \varepsilon_{\mathbf{k}''-\mathbf{q}m'}^{h} - \omega/2m_{c})$$

$$= 2m_{c}\delta((x_{m} - x_{m'})k''^{2} - x_{m'}q^{2} + 2x_{m'}k''q\cos\hat{\theta} - \omega).$$
(A6)

The  $\delta$  function is then integrated out similarly,

$$H_{eh}(\mathbf{q},\omega) = \sum_{m,m'} \frac{m_c^2}{q x_m x_{m'}} \int d\varepsilon_{\mathbf{k}''}^h d\phi'' |\mathcal{T}_{\mathbf{k}''-\mathbf{q}m'}^{\mathbf{k}''m}|^2 \times f_{\mathbf{k}''-\mathbf{q}m'}^h (1-f_{\mathbf{k}'m}^h)|_{\theta=\theta_0}^{(\text{new})}.$$
 (A7)

The integration over  $\varepsilon_{\mathbf{k}''}^h$  is restrained by the condition  $|\cos \theta_0|^2 \le 1$ , where

$$\cos \theta_0 = \frac{1}{2k''q} \left( \frac{\omega}{x_{m'}} + q^2 - \frac{x_m - x_{m'}}{x_{m'}} k''^2 \right).$$
(A8)

The restriction can be simplified as

 $b^{2}k''^{2} - (1+2ab)k'' + a^{2} \le 0$  and  $k'' \ge 0$ , (A9)

where  $a = (\frac{\omega}{x_{m'}} + q^2)/(2q)$  and  $b = (x_m - x_{m'})/(2x_{m'}q)$ . The above inequality can be worked out readily for given  $(q, \omega, m, m')$ , and the restriction condition for  $\varepsilon_{\mathbf{k}''}^h = x_m k''^2/(2m_c)$  is then obtained.

The electron-hole exchange scattering is solved similarly by substituting the matrix element  $|\mathcal{I}_{k''-qm'}^{k''m}|^2$  with  $|\mathcal{J}_{k''-qm'}^{(\pm)k''m}|^2$ . Finally, the drift term is solved with similar method of that in Ref. 24. The differential equations are solved by the fourthorder Runge-Kutta method.

The computation is carried out in a parallel manner by using OpenMP. For a typical calculation with the partition of  $40 \times 8 \times 16$  grid points in the **k** space, it takes about 10 h to evolute 50 ps on a quadcore AMD Phenom 9750.

## **APPENDIX B: COMPARISON WITH EXPERIMENT**

We compare the calculation from the fully microscopic KSBE approach with the experimental results in Refs. 4 and 65. These experiments were carried out in n- and p-type GaAs, respectively. In Fig. 16(a), we plot the SRT as function of temperature calculated from the KSBEs, together with the experimental data in Ref. 4 for *n*-GaAs with  $n_e$  $=10^{16} \text{ cm}^{-3}$ ,  $n_i = n_e$ , and  $N_{ex} = 10^{14} \text{ cm}^{-3}$ . It is seen that the calculation agrees well with the experimental results in *n*-GaAs for  $T \ge 20$  K. The deviation in the lowertemperature regime is due to the rising of the localization of electrons.<sup>7,18,19,21</sup> The SRT due to the EY mechanism is also plotted in the figure, which is much larger than the experimental data, indicating the irrelevance of the EY mechanism. The calculation gives a fit of the SOC parameter as  $\gamma_{\rm D}$ =8.2 eV Å<sup>3</sup> which is different from the value  $\gamma_D$ =23.9 eV Å<sup>3</sup> calculated from the tight binding or  $\mathbf{k} \cdot \mathbf{p}$  parametric theories.<sup>62,63</sup> However, the value is still in the reasonable range of  $\gamma_{\rm D}$  [for lists of  $\gamma_{\rm D}$  calculated and measured via

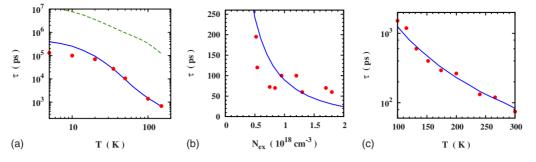


FIG. 16. (Color online) (a) *n*-GaAs. SRTs  $\tau$  from the experiment in Ref. 4 ( $\bullet$ ) and from the calculation via the KSBE approach with only the DP mechanism (solid curve) as well as that with only the EY mechanism (dashed curve).  $n_e = 10^{16}$  cm<sup>-3</sup>,  $n_i = n_e$  and  $N_{ex} = 10^{14}$  cm<sup>-3</sup>.  $\gamma_D = 8.2 \text{ eV} \cdot \text{Å}^3$ . (b) *p*-GaAs. SRTs  $\tau$  from the experiment in Ref. 65 ( $\bullet$ ) and from the calculation via the KSBE approach (solid curve).  $n_h = 6 \times 10^{16}$  cm<sup>-3</sup>,  $n_i = n_h$  and T = 100 K.  $\gamma_D = 8.2 \text{ eV} \cdot \text{Å}^3$ . (c) *p*-GaAs. SRTs  $\tau$  from the experiment in Ref. 40 ( $\bullet$ ) and from the calculation via the KSBE approach (solid curve).  $n_h = 1.6 \times 10^{16}$  cm<sup>-3</sup>,  $n_i = n_h$  and  $N_{ex} = 10^{14}$  cm<sup>-3</sup>.  $\gamma_D = 10$  eV  $\cdot \text{Å}^3$ .

various methods, see Ref. 66]. Our fitting, though with only one fitting parameter  $\gamma_D$ , agrees well with the experimental data in almost the whole temperature range, which is much better than the fittings with the same experimental data in Refs. 73–75. In Fig. 16(b), we plot the photoexcitation density dependence of the SRTs from our calculation and from the experiment in Ref. 65 for p-GaAs with  $n_h=6$  $\times 10^{16}$  cm<sup>-3</sup>,  $n_i = n_h$  and T = 100 K. It is seen that with the same  $\gamma_{\rm D}$ , the calculation again agrees well with the experimental data. The SRT due to the BAP mechanism is about 20 times larger, which is consistent with our conclusion that the BAP mechanism is unimportant at high photoexcitation density. In Fig. 16(c), we plot the temperature dependence of the SRTs from our calculation and from the experiment in Ref. 40 for p-GaAs with  $n_h=1.6\times10^{16}$  cm<sup>-3</sup>,  $n_i=n_h$ , and  $N_{ex}$ =10<sup>14</sup> cm<sup>-3</sup>. The best fitting gives a slightly larger  $\gamma_D$ =10 eV Å<sup>3</sup>. The SRT due to the BAP mechanism is ~100 times larger than that due to the DP mechanism. This is consistent with our conclusion that the BAP mechanism is unimportant at high temperature for low doping density.

Throughout the paper, the SRT in GaAs due to the DP mechanism is calculated with  $\gamma_D = 23.9 \text{ eV} \text{ Å}^3$  unless in Sec. V where we use  $\gamma_D = 8.2 \text{ eV} \text{ Å}^3$  in order to avoid possible exaggeration of the DP mechanism by using a "larger" SOC parameter. However, the SRT due to the DP mechanism is proportional to  $\gamma_D^2$  in motional narrowing regime, thus the results presented in the paper can be easily converted to the results for another  $\gamma_D$ . The ratio for the SRTs in the two cases is 8.5.

# APPENDIX C: ROLE OF SCREENING ON DP SPIN RELAXATION IN *p*-type GaAs

We study the effect of screening on DP spin relaxation in p-type GaAs. We focus on the temperature dependence of the SRT which corresponds to the discussions on the results in Figs. 9 and 10. As in Sec. V, we discuss both the low and high excitation density cases. We first study the low excitation case. To elucidate the role of screening on the DP spin relaxation, we plot the SRT due to the DP mechanism with the TF screening<sup>96</sup> [which applies in the degenerate (low temperature) regime], the DH screening<sup>96</sup> [which applies in

the nondegenerate (high temperature) regime], and the RPA screening<sup>96</sup> (which applies in the whole temperature regime) in Fig. 17(a). The crossover from the DH screening to the TF one is clearly seen in the figure. Also, compared with the case of temperature-independent screening (i.e., the TF screening), one can see that the increase in the SRT with decreasing temperature is indeed slowed down around  $T_F^h$  (where the screening effect is prominent) due to the increase in screening.

For high excitation case, we find a peak in the temperature dependence of the SRT due to the DP mechanism. This peak is roughly around  $T_{\rm F}$ . To explore the underlying physics, we also plot the SRT due to the DP mechanism with the TF and DH screenings. It is seen that with the TF screening the peak disappears, while with the DH screening the peak remains. This elucidates that the appearance of the peak is due to the increase in screening at low temperature. What is different for the high excitation case is that the electron Fermi temperature is higher than the hole Fermi temperature. Thus at low temperature when the electron system is in degenerate regime (hence inhomogeneous broadening changes slowly) and the hole system has not yet entered into the degenerate regime (hence the increase in screening with decreasing temperature is prominent), the SRT due to the DP mechanism  $\tau_{\rm DP}$  decreases with decreasing temperature. On the other hand, at high temperature,  $\tau_{\rm DP}$  increases with

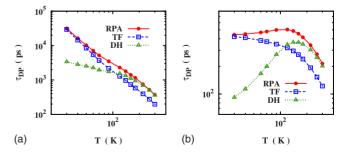


FIG. 17. (Color online) *p*-GaAs. The SRTs due to the DP mechanism with the TF (curve with  $\Box$ ), DH (curve with  $\Delta$ ), and the RPA (curve with  $\bullet$ ) screenings for excitation density (a)  $N_{ex} = 10^{14} \text{ cm}^{-3}$  and (b)  $N_{ex} = 3 \times 10^{17} \text{ cm}^{-3}$ . Hole density  $n_h = 3 \times 10^{18} \text{ cm}^{-3}$  and  $n_i = n_h$ .

decreasing temperature. Consequently, the peak is formed. It should be noted that this peak is different from the peak found in intrinsic semiconductors in Sec. IV where the peak

is due to the nonmonotonic temperature dependence of the electron-electron Coulomb scattering, whereas the main scattering mechanism here is the electron-impurity scattering.

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- <sup>92</sup>Further calculation indicates that the results from Eq. (28) deviate more from the calculation via the KSBEs at small spin polarization as the Pauli blocking of spin-flip scattering is more pronounced when both spin bands are nearly equally populated.

- <sup>93</sup> According to Eq. (27), the effect of the Coulomb HF term can be estimated by  $\tau_s(P) = \tau_s(P=0)[1+(V_{q_0}n_eP\tau_p^*)^2]$  where  $V_{q_0}$  describes the average Coulomb interaction with  $q_0$ ,  $n_e$ , P being the average momentum, the electron density, and the initial spin polarization, respectively. Thus the effect of the Coulomb HF term is more pronounced for larger  $n_e$ , P and weaker scattering (i.e., larger  $\tau_p^*$ ). Moreover, increase in the temperature would reduce the effect as  $q_0$  becomes larger and  $V_{q_0}$  becomes smaller.
- <sup>94</sup>The EY mechanism is checked to be unimportant in the parameter region we studied for both *p*-GaAs and *p*-GaSb.
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