

Dimensional crossover in quantum critical metallic magnetsMarkus Garst,¹ Lars Fritz,² Achim Rosch,¹ and Matthias Vojta¹¹*Institut für Theoretische Physik, Universität zu Köln, Zùlpicher Str. 77, 50937 Köln, Germany*²*Department of Physics, Harvard University, Cambridge, Massachusetts 02138, USA*

(Received 5 August 2008; revised manuscript received 30 October 2008; published 15 December 2008)

Nearly magnetic metals often have layered lattice structures consisting of coupled planes. In such a situation, physical properties will display, upon decreasing temperature or energy, a dimensional crossover from two-dimensional (2D) to three-dimensional (3D) behavior, which is particularly interesting near quantum criticality. Here we study this crossover in thermodynamics using a suitably generalized Landau-Ginzburg-Wilson approach to the critical behavior, combined with renormalization group techniques. We focus on two experimentally relevant cases: the crossover from a 2D to a 3D antiferromagnet, and the crossover from a 2D ferromagnet to a 3D antiferromagnet. As naive scaling does not apply at and above the upper critical dimension, two crossover scales arise which can be associated with separate dimensional crossovers of classical and quantum fluctuations, respectively. In particular, we find an intermediate regime with distinct power laws where the quantum fluctuations still have 2D and the classical fluctuations already have a 3D character. For the ferromagnet-to-antiferromagnet crossover, the mismatch of the dynamical exponents between the 2D and 3D regimes leads to an even richer crossover structure, with an interesting 2D noncritical regime sandwiched between two critical regimes. For all cases, we find that thermal expansion and compressibility are particularly sensitive probes of the dimensional crossover. Finally, we relate our results to experiments on the quantum critical heavy-fermion metals $\text{CeCu}_{6-x}\text{Au}_x$, YbRh_2Si_2 , and CeCoIn_5 .

DOI: [10.1103/PhysRevB.78.235118](https://doi.org/10.1103/PhysRevB.78.235118)

PACS number(s): 71.27.+a, 71.10.Hf

I. INTRODUCTION

Quantum phase transitions (QPT) in metals are a fascinating field of today's condensed matter research.¹ Heavy-fermion materials play a prominent role: frequently, one observes non-Fermi-liquid behavior which is thought to be associated with an antiferromagnetic (AFM) instability of the itinerant electrons. The critical spin fluctuations near the phase transition lead to unconventional power laws in transport and thermodynamic quantities at low temperatures. On the theory side, a Landau-Ginzburg-Wilson (LGW) description of the critical magnetic degrees of freedom developed by Hertz,² Moriya,³ and Millis⁴ accounts for many of the experimental signatures of magnetic criticality.

However, some heavy-fermion compounds do not easily fit in the LGW picture in $d=3$ spatial dimensions. For $\text{CeCu}_{6-x}\text{Au}_x$, it was realized⁵ that most thermodynamic signatures of the QPT at $x=0.1$ are consistent with the assumption of the underlying AFM spin fluctuations to be two dimensional (2D). It came as a surprise that 2D spin fluctuations should prevail in an intrinsically three-dimensional (3D) alloy, but the 2D character was subsequently confirmed in neutron-scattering experiments.⁶ Importantly, the AFM order observed in $\text{CeCu}_{6-x}\text{Au}_x$ below the Néel temperature is fully 3D. On general grounds, one thus expects a dimensional crossover within the paramagnetic phase from 2D magnetic fluctuations at elevated temperatures to 3D fluctuations at lowest temperatures or in the immediate vicinity of the phase transition. Experimentally, the dimensional crossover in $\text{CeCu}_{6-x}\text{Au}_x$ has proven to be elusive so far.

A related heavy-fermion metal, not easily fitting the LGW theory framework, is YbRh_2Si_2 .⁷ It shows a phase transition to an ordered phase at 70 mK, which is believed to be AFM;

however, a confirmation by neutron scattering is not available to date. An additional aspect is that YbRh_2Si_2 seems to be almost ferromagnetic (FM) (Ref. 8), and we will return to this issue later in this paper. The unusual properties of both $\text{CeCu}_{6-x}\text{Au}_x$ and YbRh_2Si_2 have prompted speculations on the inapplicability of the LGW theory, which describes a magnetic instability of well-defined quasiparticles. Instead, it was proposed that the Kondo effect, being responsible for the formation of the heavy quasiparticles, breaks down at the quantum critical point (QCP) (Refs. 9 and 10). Different scenarios and theoretical descriptions of this Kondo breakdown have been put forward.⁹⁻¹¹ The scenario of so-called "local quantum criticality"⁹ uses an extension of dynamical mean-field theory to map the Kondo-lattice problem to a self-consistent impurity model where the Kondo effect may be suppressed by critical bulk spin fluctuations. This particular scenario for a Kondo-breakdown QCP requires the spin fluctuations to be 2D; for 3D spin fluctuations this model predicts a conventional magnetic QCP of LGW type. As the critical spin fluctuations of the material can again be expected to become 3D at low energies, the local quantum criticality should be restricted to elevated temperatures or energies above the dimensional crossover.

Other examples of layered metals with magnetic QCP are the heavy fermions CeMIn_5 ($M=\text{Co, Rh, Ir}$), the high-temperature superconducting cuprates and iron pnictides, and the metamagnetic ruthenate $\text{Sr}_2\text{Ru}_3\text{O}_7$.

The purpose of this paper is to study theoretically the dimensional crossover of critical magnetic fluctuations in the framework of the LGW model, with focus on the 2D AFM to 3D AFM and 2D FM to 3D AFM crossovers. Microscopically, we imagine a system of planes of interacting electrons, with tendency toward antiferromagnetic or ferromagnetic in-plane ordering, and a weak antiferromagnetic interplane coupling. We will study how the dimensional crossover is re-

flected in the correlation length, specific heat, thermal expansion, compressibility, and the Grüneisen parameter.¹² Our primary goal is to identify observables which are suited for an experimental search for a dimensional crossover.

In this paper, we shall refrain from a detailed microscopic modeling of the magnetic interplane coupling. The underlying lattice geometry and band structure will influence some of the nonuniversal properties of the dimensional crossover; however, the existence of well-defined 2D and 3D regimes is independent of those details. We shall ignore complications arising from the possibility of the interplane coupling being frustrated:^{13–15} even for a fully frustrated coupling, a dimensional crossover to 3D behavior at low energies will generically occur, albeit with a possibly small crossover scale.^{14,15} (In situations with frustration, the effective 3D coupling within the ordered phase may be enhanced due to order-from-disorder mechanisms.)

We shall restrict our analysis to the framework of the LGW theory of itinerant spin fluctuations. It has been discussed that this approach may break down at lowest energies due to the occurrence of singular terms in the LGW expansion (i) for ferromagnets in both 2D and 3D,¹⁶ and (ii) for 2D antiferromagnets.¹⁷ These complications will be ignored for simplicity, a justification being that the low-energy behavior of our model is invariably 3D AFM (where the LGW approach is believed to be valid).¹⁸ Our theory may also be combined with the ideas of local quantum criticality;⁹ this is beyond the scope of this paper. Similarly, an explicit treatment of the magnetically ordered phases shall not be performed here.^{19,20}

A. Summary of results

The main results of our analysis are the crossover phase diagrams, Figs. 1 and 2, for the 2D-3D AFM and the 2D FM-3D AFM crossover, respectively. The large anisotropy in the spin-fluctuation spectrum defines a small momentum scale Λ_η [see Eq. (6) below] that determines the positions of the dimensional crossovers in the phase diagram, as indicated by the shaded areas. Generally, the behavior changes from 2D to 3D upon approaching criticality.

However, the fact that the QPT under consideration are at or above their upper critical dimension renders naive scaling invalid; as a result, the quantum critical regime is characterized by *two* distinct length scales: the physical correlation length ξ and a thermal length given by $T^{-1/z}$, where T is the temperature and z is the dynamical exponent. The presence of two length scales results in two types of dimensional crossovers.

1. Quantum crossover

A dimensional crossover in the quantum critical fluctuations occurs upon approaching the QCP either by lowering the temperature T or decreasing the tuning parameter r ; these crossovers are indicated by the horizontal and vertical shaded regions in Figs. 1 and 2.

In the case of the 2D to 3D AF crossover, the positions of these crossover lines are given by $T \sim \Lambda_\eta^z$ and $r \sim \Lambda_\eta^{1/\nu}$, where $z=2$ and the correlation-length exponent has the mean-field

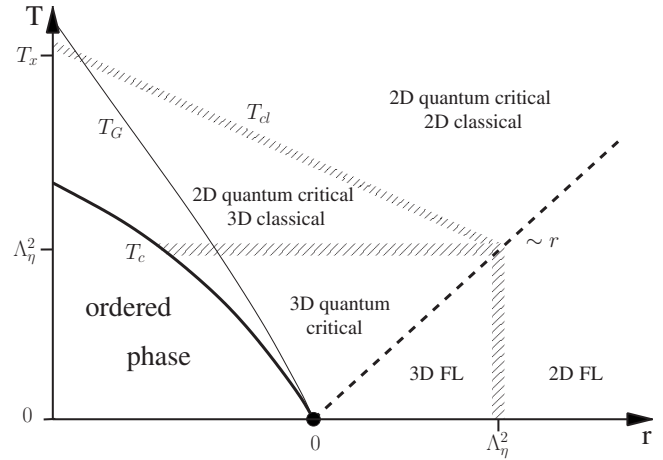


FIG. 1. Phase diagram of the anisotropic LGW model in the temperature-control parameter plane for the 2D AFM to 3D AFM crossover. The crossover scale is determined by the momentum scale Λ_η . The 3D spin fluctuations dominate close to the quantum critical point. A dimensional crossover occurs upon increasing the distance to the QCP indicated by the shaded region. The phase boundary $T_c(r)$ changes its behavior at this crossover; see Fig. 3 below. There is an additional dimensional crossover at the temperature scale $T_d(r)$ where $\xi \sim 1/\Lambda_\eta$ associated with the classical critical fluctuations. The thin line, $T_G(r)$, close to the critical temperature, $T_c(r)$, indicates the Ginzburg temperature where the crossover to classical Wilson-Fisher behavior occurs. The two lines $T_{ci}(r)$ and $T_G(r)$ cross at a temperature T_x . The dashed line separates the low-temperature magnetically disordered [Fermi-liquid (FL)] regime, $T \ll r$, from the quantum critical regime, $T \gg r$. Note that the labels “2D” and “3D” refer to the behavior of the critical or near-critical spin fluctuations; for details see text.

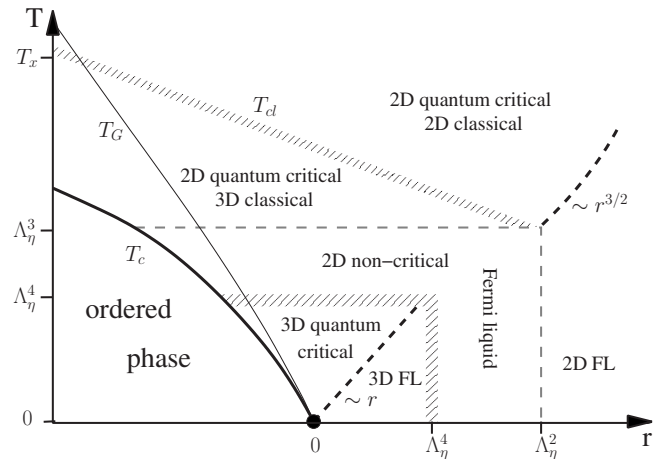


FIG. 2. Phase diagram of the anisotropic LGW model as in Fig. 1, but for the 2D FM to 3D AFM crossover. Here, the critical 2D regime, $T > \Lambda_\eta^3$ or $r > \Lambda_\eta^2$, is separated from the critical 3D regime, $T < \Lambda_\eta^4$ and $r < \Lambda_\eta^4$, by a region where 2D noncritical Fermi-liquid behavior prevails.

value $\nu=1/2$. For example, the specific-heat coefficient at criticality, $r=0$, changes its temperature dependence at the crossover temperature, $T \sim \Lambda_\eta^2$, from $\gamma \sim \log(1/T)$ to $\gamma \sim \text{const} - \sqrt{T}$, as expected for critical thermodynamics of 2D and 3D AF fluctuations, respectively.

The situation is more complicated for the 2D FM to 3D AFM crossover due to the mismatch of dynamical exponents in the two regimes. The low dimensionality, $d=2$, of the FM spin fluctuations combined with a large dynamical exponent, $z=3$, result in strong thermodynamic signatures of the 2D regime, which dominate over the 3D AFM fluctuations in an unexpectedly wide regime. For example, at criticality, $r=0$, the 2D FM fluctuations yield singular quantum critical thermodynamics down to a temperature scale $T \sim \Lambda_\eta^z$, where $z=3$. Below this temperature scale, the thermal activation of these 2D fluctuations only yields Fermi-liquid behavior, but this is still much stronger than the contribution from the 3D part of the spin-fluctuation spectrum. Only at a much lower temperature scale, $T \sim \Lambda_\eta^4$, the contributions from the 3D AFM fluctuations finally take over. Thus, we have here the peculiar situation that a 2D noncritical Fermi-liquid regime is sandwiched between the 2D and 3D quantum critical regions.

2. Classical crossover

In addition, there is a dimensional crossover associated with classical criticality. Upon approaching the classical phase transition line, $T_c(r)$, the correlation length ξ increases. If ξ reaches $1/\Lambda_\eta$, the classical fluctuations (i.e., those associated with zero Matsubara frequency) effectively change their dimensionality from 2D to 3D. This classical dimensional crossover occurs *within* the 2D quantum critical regime at the shaded line labeled $T_{cl}(r)$ in Figs. 1 and 2, and it also causes thermodynamic signatures. For example, the thermal expansion $\alpha(T)$ has a maximum at T_{cl} for the 2D FM to 3D AFM crossover. At higher temperature T_x , the crossover line T_{cl} enters the Ginzburg regime of the classical transition, and the classical dimensional crossover becomes non-perturbative.

3. Phase boundary and QCP location

The phase boundary, $T_c(r)$, of the classical transition is linear in the distance to the QCP at elevated temperatures (with logarithmic corrections), but curves toward the QCP in the 3D regime. As a consequence, an extrapolation of the quasilinear phase boundary in the 2D regime toward zero temperature yields an incorrect position for the QCP; see Fig. 4, and we estimate the corresponding error between the extrapolated and the true position of the QPT. We note that our treatment of thermodynamics is limited to the nonordered side of the phase transition and, in particular, breaks down upon entering the Ginzburg regime of the classical critical transition indicated by the thin line $T_G(r)$ in Figs. 1 and 2.

4. Observables

As detailed below, we find that the thermal expansion and the compressibility are well suited to detect a dimensional crossover in the spin-fluctuation spectrum. Both possess pronounced signatures close to the expected crossovers as a function of temperature in the quantum critical regime, either a sharp drop or even a maximum. In contrast to this, the specific-heat coefficient only shows a leveling off upon en-

tering the 3D regime, which is harder to identify experimentally; see Figs. 5 and 6 below.

B. Outline

The body of the paper is organized as follows. In Sec. II we introduce the Landau-Ginzburg-Wilson field theory for magnetism near quantum criticality. We discuss the anisotropic spin susceptibility and the associated crossover in the Landau damping. We introduce our model for the dimensional crossover and give the resulting formulae that determine the correlation length and other thermodynamic properties. Sections III and IV are devoted to a detailed discussion of the 2D-3D AFM and 2D FM-3D AFM crossovers, respectively. We shall derive phase diagrams and full crossover functions for thermodynamic quantities. Finally, in Sec. V we discuss existing experimental data *vis-a-vis* our theory results. We focus on the heavy-fermion metals CeCu_{6-x}Au_x, YbRh₂Si₂, and CeCoIn₅, which indeed display unconventional quantum criticality that may originate from quasi-2D spin fluctuations. A brief outlook concludes the paper.

II. ORDER-PARAMETER FIELD THEORY FOR SPATIALLY ANISOTROPIC SPIN FLUCTUATIONS

In order to analyze the dimensional crossover we will use the standard LGW critical theory of Hertz, Millis, and Moriya for a (commensurate) itinerant paramagnet. The action of the Hertz-Millis-Moriya model reads^{1,2,4}

$$S = \int_0^\beta d\tau \int d^d \mathbf{r} \left[\frac{1}{2} \Phi^T \chi_0^{-1} (-i \nabla, -\partial_\tau) \Phi + \frac{u_0}{4!} (\Phi^T \Phi)^2 \right], \quad (1)$$

where the real bosonic order-parameter field Φ represents commensurate spin fluctuations with a 3D ordering wave vector \mathbf{Q} . We will generalize the field Φ to have N components; the Heisenberg paramagnet corresponds to $N=3$. The dynamics of the fluctuations is encoded in the propagator χ_0^{-1} . Its momentum dependence will reflect the spatial anisotropy of the spin-fluctuation spectrum. Its form will be motivated in the following.

A. Bare susceptibility

Starting from a model of interacting electrons on a 3D anisotropic lattice, a Hubbard-Stratonovich transformation allows introduction of collective-mode variables representing the spin fluctuations. Their dispersion can be estimated, e.g., by random-phase approximation (RPA). Consider for simplicity a paramagnon dispersion of tight-binding type. On a 3D tetragonal lattice, a generic form is

$$\omega(\mathbf{k}) = t(2 - \cos k_x a - \cos k_y a) + t'(1 - \cos k_z a), \quad (2)$$

where t and t' parametrize the hopping of the spin fluctuations within and perpendicular to the xy planes; see Fig. 3. The momentum \mathbf{k} is measured relative to the ordering wave vector \mathbf{Q} , and a is a lattice constant. The quasi-2D character

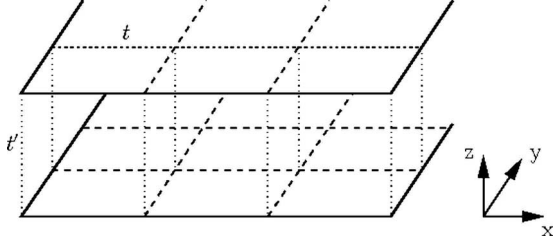


FIG. 3. Schematics of a layered crystal structure with a weak hopping of spin-fluctuations between the planes, $t' \ll t$.

of the spin fluctuations is reflected in a small ratio between the hopping amplitudes,

$$\eta \equiv t'/t \ll 1. \quad (3)$$

Near the transition we can restrict ourselves to energies much smaller than the (large) in-plane bandwidth $\propto t$; this is equivalent to a continuum approximation with respect to the in-plane lattice coordinates. The result is

$$\omega(\mathbf{k}) = t\xi_0^2 \left[k_{\parallel}^2 + \frac{2\eta}{a^2} (1 - \cos k_z a) \right], \quad (4)$$

where $\xi_0 = a/\sqrt{2}$ and k_{\parallel} is the in-plane momentum. (More generally, ξ_0 is a microscopic length scale of order $1/k_F$ where k_F is the electronic Fermi momentum.)

The character of the spin fluctuations depends on whether their energy is larger or smaller than the vertical bandwidth t' . In the energy range $t' \ll \omega \ll t$, the paramagnon excitation energy is mainly accounted for by the in-plane momentum k_{\parallel} , and in this sense the spin fluctuations are effectively two dimensional,

$$\omega(\mathbf{k}) \approx t\xi_0^2 k_{\parallel}^2, \quad \text{for } t' \ll \omega \ll t \quad (2D). \quad (5)$$

The restriction on the energy ω implies that the in-plane momenta are confined to the window $\Lambda_{\eta} \ll k_{\parallel} \ll \Lambda$, where $\Lambda \sim \xi_0^{-1}$ is a momentum cutoff, and we defined for later convenience the crossover momentum

$$\Lambda_{\eta} = \sqrt{\eta}\Lambda. \quad (6)$$

For such large energies, $\omega \gg t'$, the paramagnons are effectively dispersionless in the direction perpendicular to the planes, such that fluctuations with all vertical momenta k_z are equivalent. On the other hand, for energies of order t' and smaller, the vertical momentum can be resolved, and the spin fluctuations have a 3D character. For $\omega \ll t'$ a full continuum approximation is justified, i.e.,

$$\omega(\mathbf{k}) \approx t\xi_0^2 (k_{\parallel}^2 + \eta k_z^2), \quad \text{for } \omega \ll t' \quad (3D). \quad (7)$$

In this 3D regime, the momenta are now restricted to $k_z \ll \Lambda$ and $k_{\parallel} \ll \Lambda_{\eta}$. In both the energy ranges $\omega \ll t'$ and $t' \ll \omega \ll t$, the limiting forms of the dispersion, Eqs. (5) and (7), respectively, are sufficient for the description of thermodynamics. These forms are independent of microscopic details, the only requirement being the existence of a dimensional crossover scale $\propto t'$. In contrast, the precise properties of the crossover itself depend on details of the band structure, i.e., Eq. (4), and are nonuniversal. As we are less inter-

ested in these nonuniversal details, we approximate the dispersion by

$$\omega(\mathbf{k}) = t\xi_0^2 \begin{cases} k_{\parallel}^2 & \text{for } \Lambda_{\eta} < k_{\parallel} < \Lambda \quad (2D) \\ k_{\parallel}^2 + \eta k_z^2 & \text{for } \sqrt{k_{\parallel}^2 + \eta k_z^2} < \Lambda_{\eta} \quad (3D). \end{cases} \quad (8)$$

We will use the dispersion (8) for the propagator of the spin fluctuations

$$\chi_0^{-1}(\mathbf{k}, i\omega_n) = \delta_0 + \frac{|\omega_n|}{\Gamma_{\mathbf{k}}} + \xi_0^2 \begin{cases} k_{\parallel}^2 & \text{for } \Lambda_{\eta} < k_{\parallel} \ll \Lambda \quad (2D) \\ k_{\parallel}^2 + \eta k_z^2 & \text{for } \sqrt{k_{\parallel}^2 + \eta k_z^2} < \Lambda_{\eta} \quad (3D). \end{cases} \quad (9)$$

Here, δ_0 represents the bare mass of the spin fluctuations, and the dynamics of the spin fluctuations is encoded in the Landau damping term $|\omega_n|/\Gamma_{\mathbf{k}}$ which will be discussed in Sec. II B.

Varying δ_0 drives the system through the QPT, which occurs at $\delta_0 = \delta_{0,\text{cr}}$. We define the control parameter r of the QPT via

$$r = \delta_0 - \delta_{0,\text{cr}}, \quad (10)$$

such that $r=0$ at the QCP. To calculate observables like thermal expansion, we shall assume that the phase transition can be tuned by changing the pressure p , which is reflected in a pressure dependence of the bare mass $\delta_0(p)$.

B. Landau damping

The dynamics of the paramagnetic spin fluctuations is controlled by damping due to particle-hole excitations in the metal; this Landau damping term $|\omega_n|/\Gamma_{\mathbf{k}}$ dominates over the undamped dynamical term $\propto \omega_n^2$ in the propagator at low energies. The function $\Gamma_{\mathbf{k}}$ has to be obtained from an expansion of the particle-hole bubble of the host metal, for small energies and momenta close to the ordering wave vector. As the damping is different for ferromagnets and antiferromagnets, the different energy regimes discussed above need to be distinguished. Note that in the following we assume that the Fermi wave vector is sufficiently large for fermions to cause damping, $2k_F > |\mathbf{Q}|$.

Let us start with the dimensional crossover from 2D AFM to 3D AFM. In both asymptotic regimes, the wave vector \mathbf{Q} connects hot lines on the Fermi surface, hence the known result for the antiferromagnet, $\Gamma_{\mathbf{k}} = \text{const}$, applies. As we are not interested in details of the crossover, we shall employ

$$\Gamma_{\mathbf{k}} = \begin{cases} \Gamma_{2D} & \text{for } \Lambda_{\eta} < k_{\parallel} < \Lambda \quad (2D \text{ AFM}) \\ \Gamma_{3D} & \text{for } \sqrt{k_{\parallel}^2 + \eta k_z^2} < \Lambda_{\eta} \quad (3D \text{ AFM}). \end{cases} \quad (11)$$

The dimensional crossover from 2D FM to 3D AFM is somewhat more complicated. The ordering wave vector is $\mathbf{Q} = (0, 0, Q_z)$. In the 2D regime, i.e., for energies $\omega \gg t'$, we assume that not only the spin fluctuation spectrum but also the fermionic dispersion is 2D. Without dispersion in the vertical direction, we obtain for $\Gamma_{\mathbf{k}}$ the standard result for the ferromagnet, $\Gamma_{\mathbf{k}} \propto k_{\parallel}$. On the other hand, in the 3D regime we

again have $\Gamma_{\mathbf{k}} = \text{const}$ for the AFM. Hence, we approximate $\Gamma_{\mathbf{k}}$ by

$$\Gamma_{\mathbf{k}} = \begin{cases} \Gamma_{2D} \xi_0 k_{\parallel} & \text{for } \Lambda_{\eta} < k_{\parallel} < \Lambda \quad (2D \text{ FM}) \\ \Gamma_{3D} & \text{for } \sqrt{k_{\parallel}^2 + \eta k_z^2} < \Lambda_{\eta} \quad (3D \text{ AFM}). \end{cases} \quad (12)$$

An explicit evaluation of the Landau damping for FM fluctuations in the presence of an anisotropic Fermi surface is given in the Appendix. The result given there, Eq. (A6), reduces to the limiting form of Eq. (12) in the 2D FM regime.

In principle, the damping coefficients in the two different regimes, Γ_{2D} and Γ_{3D} , differ from each other. However, as they only determine the overall energy scale, we will set them, for simplicity, equal from now on, $\Gamma = \Gamma_{2D} = \Gamma_{3D}$ (see also the discussion in Sec. II E below).

C. Correlation length

The quartic interaction u_0 among spin fluctuations in Eq. (1) will modify its bare susceptibility (9). For our model, this modification is captured by an effective correlation length ξ ,

$$\chi^{-1}(\mathbf{k}, i\omega_n) = \xi^{-2} + \begin{cases} |\omega_n| k_{\parallel}^{2-z} + k_{\parallel}^2 & \text{for } \Lambda_{\eta} < k_{\parallel} < \Lambda \quad (2D) \\ |\omega_n| + k_{\parallel}^2 + \eta k_z^2 & \text{for } \sqrt{k_{\parallel}^2 + \eta k_z^2} < \Lambda_{\eta} \quad (3D), \end{cases} \quad (13)$$

where in the 2D regime the dynamical exponent is either $z=2$ for AFM or $z=3$ for FM fluctuations. From now on, we will employ dimensionless units; i.e., we set effectively the length scale $\xi_0=1$ and the energy scale $\Gamma=1$. In addition, we use a unit volume $V=1$.

For $d+z > 4$, the correlation length ξ can be obtained from a self-consistent perturbation theory in u_0 :

$$\xi^{-2} = \delta_0 + \frac{N+2}{6} u_0 T \sum_{\mathbf{k}, \omega_n} \chi(\mathbf{k}, i\omega_n). \quad (14)$$

Only in a regime of 2D antiferromagnetic fluctuations, this formula misses logarithmic corrections to the correlation length. In order to capture these, we will later have to apply the renormalization group (RG).

Substituting the sum over Matsubara frequencies by an integral over the real axis, we obtain

$$\xi^{-2} = \delta_0 + \frac{N+2}{6} u \int_0^{\Lambda_{\omega}} \frac{d\omega}{\pi} \coth \frac{\omega}{2T} \times \left[\int_{\Lambda_{\eta}}^{\Lambda} \frac{dkk}{2\pi} \frac{\omega k^{2-z}}{(\xi^{-2} + k^2)^2 + (\omega k^{2-z})^2} + \frac{\pi}{\Lambda_{\eta}} \int_0^{\Lambda_{\eta}} \frac{dkk^2}{2\pi^2} \frac{\omega}{(\xi^{-2} + k^2)^2 + \omega^2} \right], \quad (15)$$

where Λ_{ω} is an additional energy cutoff, and we introduced the two-dimensional quartic coupling $u = u_0 \Lambda / \pi$. The factor Λ / π originates from the dummy momentum integration over the z component in the 2D regime. The contribution in the

second (third) line is attributed to the spin fluctuations of effectively two (three)-dimensional character. Correspondingly, we define a 2D (3D) regime in the phase diagram plane where the second (third) line in Eq. (15) dominates the correlation length. We obtain the following criterion:

$$T > \Lambda_{\eta}^{2(z-1)} \quad \text{or} \quad r > \Lambda_{\eta}^{2(z-1)} \quad (2D \text{ regime}),$$

$$T < \Lambda_{\eta}^{2(z-1)} \quad \text{and} \quad r < \Lambda_{\eta}^{2(z-1)} \quad (3D \text{ regime}), \quad (16)$$

where $z=2$ for 2D AFM and $z=3$ for 2D FM spin fluctuations.

D. Thermodynamics

From the susceptibility (13), we can obtain the free energy of the critical spin fluctuations

$$F_{\text{cr}} = \frac{NT}{2} \sum_{\mathbf{k}, \omega_n} \log \chi^{-1}(\mathbf{k}, i\omega_n). \quad (17)$$

It will be convenient to absorb a factor Λ / π in the units of the free energy, $F \pi / \Lambda \rightarrow F$. Doing so, the free energy in our approximation takes the form

$$F_{\text{cr}} = - \frac{N}{2} \int_0^{\Lambda_{\omega}} \frac{d\omega}{\pi} \coth \frac{\omega}{2T} \left[\int_{\Lambda_{\eta}}^{\Lambda} \frac{dkk}{2\pi} \arctan \frac{\omega k^{2-z}}{\xi^{-2} + k^2} + \frac{\pi}{\Lambda_{\eta}} \int_0^{\Lambda_{\eta}} \frac{dkk^2}{2\pi^2} \arctan \frac{\omega}{\xi^{-2} + k^2} \right]. \quad (18)$$

The integral in the first (second) line originates from the 2D (3D) spin fluctuations.

From the free energy, we can compute thermodynamic properties. We will consider the specific heat, thermal expansion, Grüneisen parameter, and the compressibility. The specific-heat coefficient γ is defined as

$$\gamma = - \frac{\partial^2 F}{\partial T^2}. \quad (19)$$

The thermal expansion α measures the change in volume as the temperature is changed,

$$\alpha = \left. \frac{1}{V} \frac{\partial V}{\partial T} \right|_p = \frac{1}{V} \frac{\partial^2 F}{\partial p \partial T} = - \left. \frac{1}{V} \frac{\partial S}{\partial p} \right|_T. \quad (20)$$

Using a Maxwell equation, we have rewritten the thermal expansion as a derivative of entropy with respect to pressure. In principle, all parameters of model (1) might be pressure dependent. However, it has been argued¹² that the most important contribution comes from the pressure dependence of the parameter multiplying the most relevant operator in the model. Close to the quantum critical point, this is the control parameter r of the transition. Near a pressure-tuned quantum critical point, we can expand the control parameter around the critical pressure p_c , $r \approx (p - p_c) / p_0$, where p_0 is an *a priori* unknown pressure scale. In this case, there is a contribution to thermal expansion that measures the change of entropy upon variations of the control parameter r . Choosing dimensionless units, this contribution is given by

$$\alpha_{\text{cr}} = \frac{\partial F_{\text{cr}}}{\partial T \partial r}. \quad (21)$$

The critical Grüneisen parameter Γ_{cr} is the ratio of critical thermal expansion and specific heat,

$$\Gamma_{\text{cr}} = \frac{\alpha_{\text{cr}}}{T\gamma_{\text{cr}}}. \quad (22)$$

The compressibility κ measures the change in volume as the pressure is changed with temperature held fixed,

$$\kappa = - \left. \frac{1}{V} \frac{\partial V}{\partial p} \right|_T = - \frac{1}{V} \frac{\partial^2 F}{\partial p^2}. \quad (23)$$

The quantum critical fluctuations contribute to κ an additive term, in the following denoted by κ_{cr} . The pressure dependence of the control parameter r results in the following contribution to κ_{cr} :

$$\kappa_{\text{cr}} = - \frac{\partial^2 F_{\text{cr}}}{\partial r^2} \quad (24)$$

in dimensionless units.

E. Universality?

Before presenting actual results, it is worth asking how “universal” we can expect them to be. This question has various aspects: (i) Are the crossover functions (for a specific observable) universal in the sense that they do not depend on microscopic details—at least in a certain well-defined limit? (ii) Do all observables display the same crossover scale(s)?

For aspect (i) the answer is that full universality does *not* exist, as the quantum phase transitions under consideration are at or above their upper critical dimension. Therefore, even if the ultraviolet cutoff Λ and the crossover scale Λ_η are well separated, i.e., $\eta \ll 1$, the bare values of Λ and the paramagnon interaction u will influence the crossover functions. In particular, the interaction u is at the origin of the classical dimensional crossover lines denoted as T_{cl} in Figs. 1 and 2.

Moreover, as discussed at length in Sec. II A, the dimensional crossover itself is determined by microscopic details, i.e., the precise crossover form of the bare susceptibility. At this point, possibly existing magnetic interlayer frustration enters.¹⁴ Similarly, the reference energy scales of the 2D and 3D regimes, $\Gamma_{2\text{D}}$ and $\Gamma_{3\text{D}}$, which we have taken to be equal for simplicity, may differ (by a factor of order unity), which leads to a shift of the two asymptotic regimes on the temperature axis with respect to each other.

For some of the observables listed above, additional care has to be taken regarding the dependence on external pressure. As the pressure dependence of all microscopic parameters is smooth, singular contributions to thermodynamics usually arise only through the pressure dependence of r in the vicinity of the QCP. However, in our model, the 2D regime possesses another relevant parameter, namely the anisotropy η . If the anisotropy also depends on pressure, it will give important additional contributions to thermal expansion and compressibility in the 2D regime. In dimensional units, these contributions are represented by

$$\alpha_{\text{cr}}^\eta = \frac{\partial F_{\text{cr}}}{\partial T \partial \eta}, \quad \kappa_{\text{cr}}^\eta = - \frac{\partial^2 F_{\text{cr}}}{\partial \eta^2}. \quad (25)$$

They can be best estimated by considering the free energy F with a momentum dependence for the spin fluctuations given by Eq. (4), instead of the simplified expression for F , Eq. (18). In the 2D regime, we obtain that the pressure dependence of the anisotropy yields contributions proportional to the ones deriving from a pressure-dependent control parameter, $\alpha_{\text{cr}}^\eta \propto \alpha_{\text{cr}}$ and $\kappa_{\text{cr}}^\eta \propto \kappa_{\text{cr}}$. In the 3D regime, on the other hand, α_{cr}^η and κ_{cr}^η are suppressed by additional powers of momenta compared to α_{cr} and κ_{cr} , respectively, and are therefore negligible. Having this in mind, we omit a further discussion of these terms in the following sections.

Given all these caveats, our calculations to be presented below are nevertheless valuable, for various reasons: (a) they illustrate the general structure of the phase diagram with the quantum and classical dimensional crossover lines, (b) they show the existence of the interesting intermediate regime where 2d quantum fluctuations coexist with 3D classical fluctuations, (c) they show which observables are especially sensitive to the dimensional crossover and how “broad” or “narrow” the crossover signatures are expected to be, and (d) they show where logarithmic corrections can dominate power laws.

Let us briefly comment on aspect (ii), namely whether all observables display the same crossover scale. For thermodynamics, there is a single relevant momentum crossover scale Λ_η , at least in our simple model without interlayer frustration. The single momentum scale however translates into various temperature scales, and depending on the thermodynamic quantity of interest different crossover scales might be of importance. For the 2D-3D AFM crossover, there are two relevant temperature scales: the quantum dimensional crossover temperature $T \sim \Lambda_\eta^2$, and the classical crossover temperature T_{cl} , determined by the paramagnon interaction u . Whereas the specific-heat coefficient is insensitive to the T_{cl} scale, the thermal expansion and compressibility show signatures at both scales, and their dimensional crossover appears to be broad due to the existence of the intermediate region, $\Lambda_\eta^2 < T < T_{\text{cl}}$. For the 2D FM–3D AFM crossover, we find even three temperature scales arising from the single momentum scale Λ_η : a quantum dimensional crossover temperature $T \sim \Lambda_\eta^4$, a temperature scale $T \sim \Lambda_\eta^3$ dividing 2D noncritical from 2D quantum critical behavior, and a classical dimensional crossover scale $T \sim T_{\text{cl}}$. These multiple crossover temperatures result in rich thermodynamics and render the extraction of power laws especially difficult.

Transport properties—which are not the subject of this paper—can be expected to display even more complicated crossover behavior. In addition to the momentum scale Λ_η there are characteristic length scales for transport scattering processes. For coupled chains, it has been argued²¹ that the interplay of those length and time scales can render transport fully three dimensional even in a regime where the thermodynamic behavior is one dimensional (1D). Hence, thermodynamic and transport crossovers do not have to coincide in general.

III. DIMENSIONAL CROSSOVER: 2D ANTIFERROMAGNET TO 3D ANTIFERROMAGNET

For materials consisting of antiferromagnetic planes, which itself are weakly coupled in the third direction, a scenario of a crossover from 2D antiferromagnetism to 3D antiferromagnetism is plausible. As mentioned in Sec. I, such a scenario might be realized in the heavy-fermion metal CeCu_{6-x}Au_x. In the following, we present an analysis of this crossover within the framework of the LGW model whose applicability to CeCu_{6-x}Au_x, however, has been questioned.^{9,10}

A. Renormalization group

The Hertz model (1) for AFM fluctuations in 2D is at its upper critical dimension, $d+z=d_c^+=4$. Consequently, there are important logarithmic corrections in perturbation theory that have to be summed, e.g., with the help of the RG. The RG equations for the running mass $\delta(b)$ and quartic coupling $u(b)$ in the 2D AFM regime read:^{1,2,4}

$$\frac{\partial \delta}{\partial \log b} = 2\delta - \frac{N+2}{12\pi^2} u \delta, \quad (26a)$$

$$\frac{\partial u}{\partial \log b} = -\frac{N+8}{12\pi^2} u^2. \quad (26b)$$

Here, we have introduced the RG scale b , and the RG flow corresponds to a reduction of the momentum-space cutoff $\Lambda \rightarrow \Lambda/b$. The one-loop correction to δ , i.e., the tadpole diagram, can be expanded in a power series in δ : the constant term is finite and nonuniversal (i.e., cutoff-dependent), whereas the linear term is universal. The latter linear term is written as second term in Eq. (26a); the constant term will be absorbed in the initial value of δ . Hence, the flow starts at $b=1$ with the initial conditions $\delta(b=1)=r_{2D}$ (that differs from δ_0 by the nonuniversal Hartree shift) and $u(b=1)=u_0\Lambda/\pi$, being the effective 2D quartic coupling. Note that the prefactors in Eq. (26) have been evaluated at $T=0$, as their temperature dependence is subleading.

Integrating the RG equation for the quartic coupling we obtain

$$u(b) = \frac{12\pi^2}{N+8} \frac{1}{\log \frac{b\bar{\Lambda}}{\Lambda}}, \quad (27)$$

where we have introduced the momentum scale $\bar{\Lambda}$, which depends on the bare quartic coupling constant u ,

$$\bar{\Lambda} \equiv \Lambda e^{12\pi^2/(N+8)u}. \quad (28)$$

Using the running quartic coupling, the solution for the control parameter is easily obtained. Substituting $\delta(b)=r(b)b^2$, we get

$$r(b) = \frac{r_{2D}}{\left(\log \left[b \frac{\bar{\Lambda}}{\Lambda} \right] \right)^{(N+2)/(N+8)}. \quad (29)$$

As we will see later, the parameter r_{2D} differs from the control parameter, $r \equiv r_{3D}$, of the quantum phase transition by corrections of order Λ_η^2 .

B. Correlation length

The logarithmic scale dependence of the coupling and the control parameter has to be taken into account in calculating the correlation length. Hence, Eq. (15) is replaced by

$$\begin{aligned} \xi^{-2} &= r(\Lambda/\Lambda^*) \\ &+ \frac{N+2}{6} u(\Lambda/\Lambda^*) \int_0^\infty \frac{d\omega}{\pi} \int_{\Lambda_\eta}^\Lambda \frac{dkk}{2\pi} \frac{\omega \left(\coth \frac{\omega}{2T} - 1 \right)}{(\xi^{-2} + k^2)^2 + \omega^2} \\ &+ \frac{N+2}{6} \frac{\pi u^*}{\Lambda_\eta} \int_0^{\Lambda_\omega} \frac{d\omega}{\pi} \int_0^{\Lambda_\eta} \frac{dkk^2}{2\pi^2} \frac{\omega \coth \frac{\omega}{2T}}{(\xi^{-2} + k^2)^2 + \omega^2}. \end{aligned} \quad (30)$$

The abbreviations Λ^* and u^* are defined through

$$\Lambda^* = \max\{\xi^{-1}, \Lambda_\eta\} \quad (31)$$

and

$$u^* = u(\Lambda/\Lambda_\eta) = \frac{12\pi^2}{N+8} \frac{1}{\log \frac{\Lambda}{\Lambda_\eta}}, \quad (32)$$

the latter being the quartic coupling at the crossover scale Λ_η . Equation (30) can be understood as follows. The first line accounts for the 2D quantum fluctuations at $T=0$ which have been resummed in $r(b)$ using the RG; see Eq. (29). Finite-temperature corrections to this 2D result can be treated perturbatively²² and are in the second line. The third line, finally, is attributed to the 3D fluctuations. In the following, the limiting behavior of the correlation length is discussed in detail.

1. Correlation length in the 2D regime, $T \gg \Lambda_\eta^2$ or $r_{2D} \gg \Lambda_\eta^2$

In the 2D regime as defined in Eq. (16), we can neglect the last line in expression (30) as it yields only a small correction. We further distinguish two subregimes.

a. Fermi-liquid regime, $T \ll r_{2D}$. In the Fermi-liquid regime, the correlation length is determined by the solution of the RG equation for the tuning parameter

$$\xi^{-2} = \frac{r_{2D}}{\left(\log \frac{\bar{\Lambda}}{\sqrt{r_{2D}}} \right)^{(N+2)/(N+8)}. \quad (33)$$

The logarithmic dependence can be understood as an incipient correction to the mean-field value of the correlation length exponent $\nu_{MF}=1/2$.

b. Quantum critical regime, $T \gg r_{2D}$. The limiting behavior of the remaining integral is given by the small ω limit of the integrand, such that

$$\xi^{-2} = r(\Lambda/\Lambda^*) + \frac{\pi N + 2}{2N + 8} T \frac{\log \frac{T}{\Lambda^{*2}}}{\log \frac{\bar{\Lambda}}{\Lambda^*}}. \quad (34)$$

Hence, in the quantum critical regime, the correlation length is determined by temperature, $\xi^{-2} \sim T$, up to logarithmic corrections. The logarithms are either cut off by the correlation length itself or by the dimensional crossover scale Λ_η . In the limit $\xi^{-2} \gg \Lambda_\eta^2$, the correlation length is asymptotically given by

$$\xi^{-2} = r \left(\frac{\Lambda}{\sqrt{T}} \right) + \frac{\pi N + 2}{2N + 8} T \frac{\log \log \frac{\bar{\Lambda}}{\sqrt{T}}}{\log \frac{\bar{\Lambda}}{\sqrt{T}}}, \quad \text{for } \xi^{-2} \gg \Lambda_\eta^2. \quad (35)$$

The log-log dependence in the numerator can be attributed to the 2D classical fluctuations, i.e., the 2D-like Matsubara zero mode, within the quantum critical regime. [Depending on whether the first or the second term dominates in Eq. (35), one can further distinguish two subregimes within the regime denoted as the renormalized 2D classical regime in Fig. 1.] When the correlation length exceeds the crossover scale, i.e., $\xi^{-2} \ll \Lambda_\eta^2$, the logarithms are now cut off by Λ_η

$$\xi^{-2} = r(\Lambda/\Lambda_\eta) + \frac{\pi N + 2}{2N + 8} T \frac{\log \frac{\Lambda_\eta^2}{\Lambda_\eta}}{\log \frac{\Lambda_\eta}{\Lambda_\eta}}, \quad \text{for } \xi^{-2} \ll \Lambda_\eta^2. \quad (36)$$

The crossover at the scale $\xi^{-2} \sim \Lambda_\eta^2$ is associated with the advocated dimensional crossover for the classical critical fluctuations, where the Matsubara zero mode changes its character from 2D to 3D. At criticality, $r_{2D} = 0$, this occurs at a temperature

$$T_{cl}|_{r_{2D}=0} \sim \Lambda_\eta^2 \frac{\log \frac{\bar{\Lambda}}{\Lambda_\eta}}{\log \log \frac{\bar{\Lambda}}{\Lambda_\eta}}. \quad (37)$$

This classical dimensional crossover temperature, T_{cl} , is logarithmically enhanced as compared to the quantum dimensional crossover temperature, $T \sim \Lambda_\eta^2$; see Fig. 1.

The classical dimensional crossover occurring at T_{cl} becomes nonperturbative when the line $T_{cl}(r)$ enters the classical Ginzburg region. Generally, our perturbative treatment breaks down sufficiently close to the classical transition at

the Ginzburg temperature T_G , where the classical Ginzburg parameter is of order 1, $\mathcal{G} \sim \mathcal{O}(1)$. For 3D classical fluctuations, the Ginzburg parameter is given by $\mathcal{G} = U\xi$, where the classical quartic coupling is $U = \pi u^* T / \Lambda_\eta \sim T / (\Lambda_\eta \log \frac{\bar{\Lambda}}{\Lambda_\eta})$. Upon increasing temperature, the crossover line $T_{cl}(r)$ approaches $T_G(r)$ and enters the Ginzburg region at a temperature T_x where T_G and T_{cl} coincide; see Fig. 1,

$$T_x \sim \Lambda_\eta^2 \log \frac{\bar{\Lambda}}{\Lambda_\eta}. \quad (38)$$

2. Correlation length in the 3D regime, $T \ll \Lambda_\eta^2$ and $r_{3D} \ll \Lambda_\eta^2$

In the 3D regime, we can instead neglect the second line in Eq. (30). We again distinguish between two subregimes.

a. Fermi-liquid regime, $T \ll r_{3D}$. In the Fermi-liquid regime, we can set $T = 0$ in Eq. (30) in order to obtain the leading estimate for the correlation length,

$$\xi^{-2} = r_{3D} \equiv \frac{r_{2D}}{\left(\log \frac{\bar{\Lambda}}{\Lambda_\eta} \right)^{(N+2)/(N+8)}} + \mathcal{C} \frac{\Lambda_\eta^2}{\log \frac{\bar{\Lambda}}{\Lambda_\eta}}, \quad (39)$$

where \mathcal{C} is a nonuniversal constant that depends on the chosen cut-off structure. The control parameter $r \equiv r_{3D}$ obtains a shift from the contribution of the parameter regime where the spin fluctuations have developed their three-dimensional character; the consequences of this shift are discussed in Sec. III B 3. The temperature correction neglected in Eq. (39) is of Fermi-liquid type $\sim T^2$.

b. Quantum critical regime, $T \gg r_{3D}$. In the quantum critical regime, the temperature corrections dominate the correlation length. Evaluating the leading behavior we obtain

$$\xi^{-2} = r_{3D} + \left(\frac{\pi}{2} \right)^{3/2} \zeta(3/2) \frac{N+2}{N+8} \frac{T^{3/2}}{\Lambda_\eta \log \frac{\bar{\Lambda}}{\Lambda_\eta}}, \quad (40)$$

where we used the explicit expression for u^* , Eq. (32). The quantum critical regime can again be further subdivided into regimes where either of the above two terms dominates the correlation length.

3. Phase boundary

The position of the phase boundary $T_c(r)$ in the (T, r) plane can be estimated by setting the correlation length to infinity. In the quantum 2D regime for temperatures lower than the crossing temperature $T < T_x$, Eq. (38), the classical dimensional crossover from 2D to 3D is perturbative as it is located outside the classical Ginzburg region. Here, we can use expression (36) for the correlation length to obtain

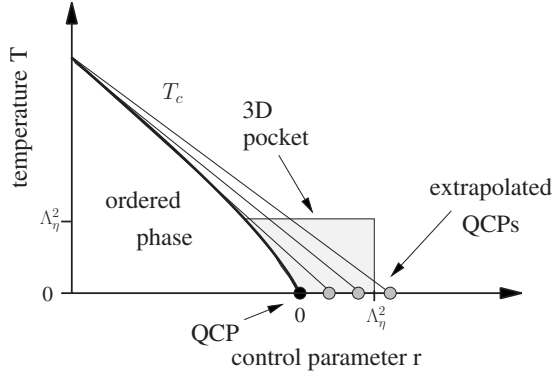


FIG. 4. Locating the QCP based on experimental data requires an extrapolation of the finite- T phase boundary to $T=0$. Such an extrapolation procedure is prone to a systematic error if a dimensional crossover at lower temperatures takes place. The extrapolation of the phase boundary (thick line) from the 2D regime toward $T=0$ yields estimates of the position of the QCP that are shifted toward the nonordered phase by an amount Δr . The different thin lines and the associated extrapolated QCPs illustrate that the extrapolation itself is ambiguous as the 2D phase boundary is not strictly linear in T but has logarithmic corrections. For the 2D-3D AFM crossover, both the size of the 3D pocket and the shift Δr , Eq. (43), are of order Λ_η^2 . For the 2D FM-3D AFM crossover of Sec. IV, the size of the 3D pocket is instead given by Λ_η^4 , while the shift $\Delta r \propto \Lambda_\eta^2$, Eq. (70). Consequently, the extrapolated QCP is outside the 3D pocket in this case.

$$r_{2D} = -\frac{\pi N + 2}{2N + 8} \frac{T_c \log \frac{T_c}{\Lambda_\eta^2}}{\left(\log \frac{\bar{\Lambda}}{\Lambda_\eta}\right)^{1-(N+2)/(N+8)}}. \quad (41)$$

For larger $T > T_x$, the logarithmic corrections to the critical temperature T_c will differ from Eq. (41) due to the nonperturbative character of the classical dimensional crossover. Neglecting the logarithmic corrections, the phase boundary varies linearly with temperature, $T_c \propto r_{2D}$, in the 2D regime.

In the 3D regime, on the other hand, we use expression (40) and get

$$r_{3D} = -\left(\frac{\pi}{2}\right)^{3/2} \zeta(3/2) \frac{N+2}{N+8} \frac{T_c^{3/2}}{\Lambda_\eta \log \frac{\bar{\Lambda}}{\Lambda_\eta}}. \quad (42)$$

The different behavior of the phase boundary in the 2D and 3D regimes, Eqs. (41) and (42), implies that an extrapolation of the phase boundary from the 2D regime leads to an incorrect position of the quantum critical point. The 3D spin fluctuations shift the quantum critical point slightly toward the ordered phase. The quantum critical point is not at $r_{3D}=0$, but rather at $r_{3D}=0$, leading to a difference between the extrapolated and the actual position of the QCP,

$$\Delta r = r_{3D}|_{r_{2D}=0} \sim \frac{\Lambda_\eta^2}{\log \frac{\bar{\Lambda}}{\Lambda_\eta}}. \quad (43)$$

The position of the extrapolated QCP, Δr , see Fig. 4, is,

however, located close to or even within the 3D pocket of the phase diagram.

C. Thermodynamics

We turn to a discussion of the thermodynamic quantities specified in Sec. II D. They can be obtained with the help of expression (18) for the free energy, with the correlation length given by the self-consistent Eq. (30). A numerical solution for the specific heat, thermal expansion, and compressibility in the quantum critical regime is presented in Fig. 5. A detailed discussion of their asymptotic behavior is given below.

1. Thermodynamics in the 2D regime, $T \gg \Lambda_\eta^2$ or $r_{2D} \gg \Lambda_\eta^2$

Within the 2D regime, the thermodynamics is dominated by the first line in the expression for the free energy Eq. (18). We again distinguish between two subregimes.

a. *Fermi-liquid regime*, $T \ll r_{2D}$. The evaluation of the specific heat and thermal expansion is straightforward:

$$\gamma_{cr} = \frac{N}{6} \log \frac{\Lambda}{\sqrt{r_{2D}}}, \quad \alpha_{cr} = \frac{N}{12} \frac{T}{r_{2D}}. \quad (44)$$

The resulting Grüneisen parameter reads

$$\Gamma_{cr} = \frac{1}{2} \frac{1}{r_{2D} \log \frac{\Lambda}{\sqrt{r_{2D}}}}. \quad (45)$$

The evaluation of the compressibility is more involved. Here, we have to take into account the effective momentum dependence of the correlation length, $\xi_k^2 = r(\Lambda/k)$, see Eq. (29), in the expression for the free energy (18) that arises after RG improvement of perturbation theory. We obtain

$$\kappa_{cr} = \frac{N}{4\pi^2} \int_{\Lambda^*} \frac{dk}{k} \left(\frac{\partial r(\Lambda/k)}{\partial r_{2D}} \right)^2. \quad (46)$$

The asymptotic behavior originates from the lower limit of the momentum integral that has to be cut off either by the crossover scale or the correlation length itself, $\Lambda^* = \max\{\xi^{-1}, \Lambda_\eta\}$. In the 2D regime we thus obtain for the asymptotic behavior:^{23,24}

$$\kappa_{cr} = \frac{N}{4\pi^2} \frac{1}{1 - 2 \frac{N+2}{N+8}} \left(\log \frac{\bar{\Lambda}}{\sqrt{r_{2D}}} \right)^{1-2(N+2)/(N+8)}. \quad (47)$$

Note that, for $N < 4$, Eq. (47) predicts a correction to the compressibility that diverges upon approaching the quantum critical point, $r_{2D} \rightarrow 0$. This divergence is only cut off upon entering the 3D regime.

A diverging electronic compressibility has interesting consequences. In particular, a coupling of the electronic system to lattice degrees of freedom can render the coupled system unstable, resulting in a first-order transition driven by quantum critical AFM fluctuations.²⁵ Such a fluctuation-

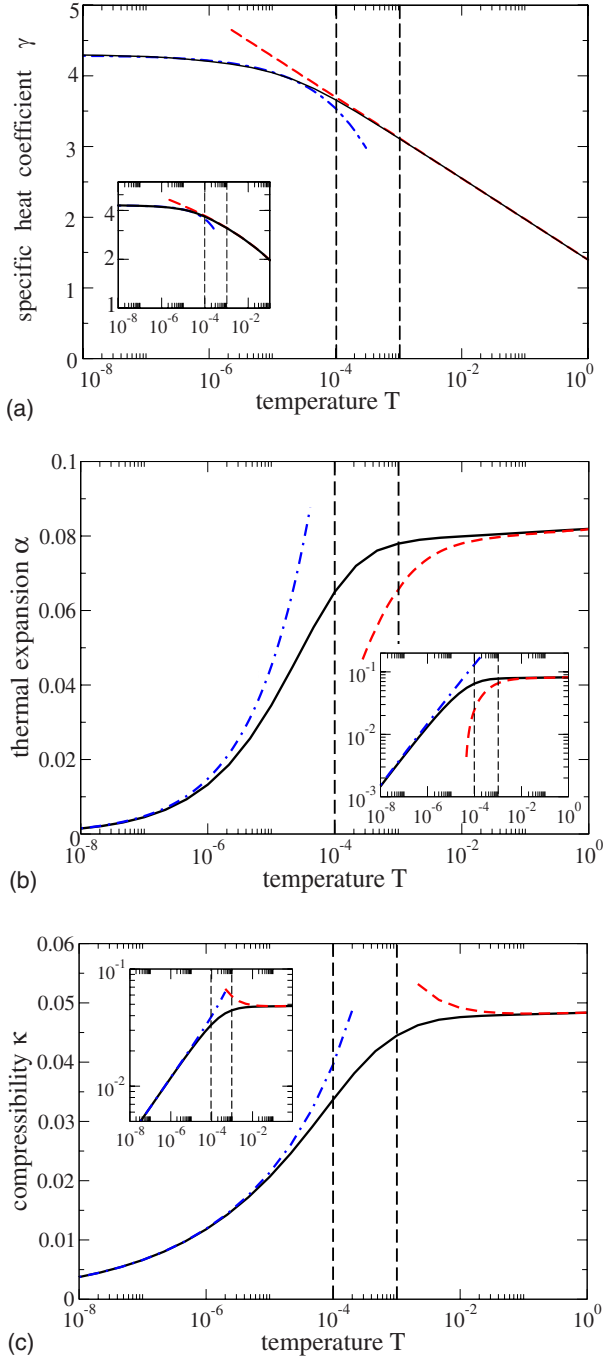


FIG. 5. (Color online) Crossover behavior of thermodynamics for $r=0$, i.e., in the quantum critical regime of the 2D AFM–3D AFM crossover scenario. The vertical dashed line at higher $T \sim T_{\text{cl}}$ indicates the classical and the one at lower $T \sim \Lambda_\eta^2$ the quantum dimensional crossover temperature. The insets show the same data on a double-logarithmic scale. The chosen parameters are $\Lambda_\omega = \Lambda = 20$, $\Lambda_\eta = 0.01$, $u = 1$, and $N = 3$. Panel (a): Specific-heat coefficient γ . Also shown are the asymptotic behaviors given by Eq. (48) (red/dashed) for the high-temperature 2D regime and Eq. (58) (blue/dash-dot) for the low-temperature 3D regime. Panel (b): Thermal expansion α . The asymptotic behaviors are given by Eq. (49) (red/dashed) and by Eq. (59) (blue/dash-dot). Panel (c): Compressibility κ . The asymptotics are in Eq. (52) (red/dashed) and Eq. (60) (blue/dash-dot). Note the very weak increase with T in the high-temperature 2D regime.

driven first-order transition may occur in the present case for a sufficiently large 2D regime.

b. Quantum critical regime, $T \gg r_{2D}$. In the 2D quantum critical regime, the specific heat depends logarithmically on temperature,

$$\gamma_{\text{cr}} = \frac{N}{6} \log \frac{\Lambda}{\sqrt{T}}. \quad (48)$$

For the thermal expansion we obtain

$$\alpha_{\text{cr}} = \frac{N}{8\pi} \frac{\partial \xi^{-2}}{\partial r_{2D}} \left(\log \frac{T}{\xi^{-2} + \Lambda_\eta^2} + \text{const} \right). \quad (49)$$

This leads to slightly different asymptotic behavior within the 2D and 3D classical regime, see Fig. 1,

$$\alpha_{\text{cr}} \sim \begin{cases} \left(\log \frac{\bar{\Lambda}}{\sqrt{T}} \right)^{-(N+2)/(N+8)} \log \log \frac{\bar{\Lambda}}{\sqrt{T}} & \text{if } T \gg T_{\text{cl}} \\ \left(\log \frac{\bar{\Lambda}}{\Lambda_\eta} \right)^{-(N+2)/(N+8)} \log \frac{T}{\Lambda_\eta^2} & \text{if } T \ll T_{\text{cl}}. \end{cases} \quad (50)$$

For $T \gg T_{\text{cl}}$, we obtain for the Grüneisen parameter the temperature dependence

$$\Gamma_{\text{cr}} \sim \frac{\log \log \frac{\bar{\Lambda}}{\sqrt{T}}}{T \log \frac{\Lambda}{\sqrt{T}} \left(\log \frac{\bar{\Lambda}}{\sqrt{T}} \right)^{(N+2)/(N+8)}}. \quad (51)$$

The critical part of the compressibility is dominated by the Matsubara zero mode. Its form also differs in the classical 2D and 3D regime,

$$\kappa_{\text{cr}} = \left(\frac{\partial \xi^{-2}}{\partial r_{2D}} \right)^2 \times \begin{cases} \frac{N}{8\pi} \frac{T}{\xi^{-2}} & \text{if } T \gg T_{\text{cl}} \\ \frac{N}{16\Lambda_\eta} \frac{T}{\xi^{-1}} & \text{if } T \ll T_{\text{cl}}. \end{cases} \quad (52)$$

Using the expressions for the correlation length [Eqs. (35) and (36)], we obtain the asymptotic behavior at criticality $r_{2D} = 0$,

$$\kappa_{\text{cr}} \sim \begin{cases} \left(\log \frac{\bar{\Lambda}}{\sqrt{T}} \right)^{-2(N+2)/(N+8)} \frac{\log \frac{\bar{\Lambda}}{\sqrt{T}}}{\log \log \frac{\bar{\Lambda}}{\sqrt{T}}} & \text{if } T \gg T_{\text{cl}} \\ \frac{\sqrt{T}}{\Lambda_\eta} \left(\log \frac{\bar{\Lambda}}{\Lambda_\eta} \right)^{-2(N+2)/(N+8)} \sqrt{\frac{\log \frac{\bar{\Lambda}}{\Lambda_\eta}}{\log \frac{T}{\Lambda_\eta^2}}} & \text{if } T \ll T_{\text{cl}}. \end{cases} \quad (53)$$

The asymptotics of thermal expansion and compressibility in the logarithmically small intermediate regime $\Lambda_\eta^2 < T < T_{\text{cl}}$ are not shown in Fig. 5.

2. Thermodynamics in the 3D regime, $T \ll \Lambda_\eta^2$ and $r_{3\text{D}} \ll \Lambda_\eta^2$

In the 3D regime, the critical contributions to thermodynamics are coming from the second line in Eq. (18).

a. *Fermi-liquid regime*, $T \ll r_{3\text{D}}$. Here, the specific heat has the form

$$\gamma = \frac{N}{6} \log \frac{\Lambda}{\Lambda_\eta} + \gamma_{\text{cr}}, \quad \gamma_{\text{cr}} = -\frac{N\pi}{12} \frac{\sqrt{r_{3\text{D}}}}{\Lambda_\eta}. \quad (54)$$

The critical part γ_{cr} that depends on the control parameter $r_{3\text{D}}$ leads here only to a small correction to the background contribution that originates from the 2D fluctuations. The thermal expansion reads

$$\alpha_{\text{cr}} = \frac{N\pi}{24} \frac{T}{\Lambda_\eta \sqrt{r_{3\text{D}}}}. \quad (55)$$

We omit here and in the following multiplicative factors that are powers of $\partial \xi^{-2} / \partial r_{2\text{D}}$, which includes the logarithmic normalization of the 2D control parameter; see Eq. (39). In the Fermi-liquid regime the critical Grüneisen ratio, $\Gamma_{\text{cr}} = \alpha_{\text{cr}} / (T\gamma_{\text{cr}})$, reads

$$\Gamma_{\text{cr}} = -\frac{1}{2} \frac{1}{r_{3\text{D}}}. \quad (56)$$

As explained in Ref. 12, in the Fermi-liquid regime scaling predicts a universal critical Grüneisen ratio in the sense that the proportionality factor in the relation $\Gamma_{\text{cr}} \propto 1/r$ is just determined by critical exponents. The prefactor $-1/2$ in Eq. (56) is in agreement with this scaling prediction. For the compressibility we get

$$\kappa_{\text{cr}} = -\frac{N}{8\pi} \frac{\sqrt{r_{3\text{D}}}}{\Lambda_\eta}. \quad (57)$$

b. *Quantum critical regime*, $T \gg r_{3\text{D}}$. Again, the critical part of the specific heat is only subleading Eq. (54) now with

$$\gamma_{\text{cr}} = -\frac{15\zeta(5/2)N\sqrt{T}}{\sqrt{2\pi}32\Lambda_\eta}. \quad (58)$$

The thermal expansion is given by

$$\alpha_{\text{cr}} = N \frac{3\zeta(3/2)\sqrt{T}}{\sqrt{2\pi}16\Lambda_\eta}. \quad (59)$$

The thermal expansion behaves as \sqrt{T} in the 3D regime. The subleading correction to Eq. (59) vanishes as $T^{3/4}$, with a twofold origin. First, there is a contribution due to the next-to-leading term in the expansion of the second derivative of the free energy $\partial^2 F_{\text{cr}} / \partial T \partial \xi^{-2}$ that is of order ξ^{-1} / Λ_η . Second, and more importantly, there is a T -dependent correction originating from the derivative $\partial \xi^{-2} / \partial r_{3\text{D}}$ that contributes to α a term of order $T^{3/2} / \xi^{-1} \Lambda_\eta^2$. Both contributions originate from the T dependence of the correlation length, induced by the bosonic interaction u .²⁶ The critical Grüneisen parameter deriving from Eqs. (58) and (59) obeys scaling, $\Gamma_{\text{cr}} \sim 1/T$.

The compressibility is dominated by the Matsubara zero mode,

$$\kappa_{\text{cr}} = \frac{N}{16} \frac{T\xi}{\Lambda_\eta} \sim \begin{cases} \sqrt{\frac{\log \frac{\bar{\Lambda}}{\Lambda_\eta}}{\Lambda_\eta}} T^{1/4} & \text{if } r_{3\text{D}} \ll \frac{T^{3/2}}{\Lambda_\eta \log \frac{\bar{\Lambda}}{\Lambda_\eta}} \\ \frac{T}{\sqrt{r_{3\text{D}}}\Lambda_\eta} & \text{if } r_{3\text{D}} \gg \frac{T^{3/2}}{\Lambda_\eta \log \frac{\bar{\Lambda}}{\Lambda_\eta}}, \end{cases} \quad (60)$$

where we used expression (40) for the correlation length. There exists two subregimes where the compressibility varies either linearly with T or, very close to the quantum critical point, as $T^{1/4}$ (Ref. 27). Note that the critical contribution to the compressibility, κ_{cr} , changes sign upon crossing over from the Fermi liquid (57) to the quantum critical regime (60).

IV. DIMENSIONAL CROSSOVER: 2D FERROMAGNET TO 3D ANTIFERROMAGNET

We now turn to the dimensional crossover from a 2D ferromagnet to a 3D antiferromagnet, describing the situation of weakly AFM coupled ferromagnetic planes, where the 3D ordered state corresponds to so-called A-type antiferromagnetism. The key difference to the crossover in Sec. III is related to the form of Landau damping and, as a consequence, the different dynamical exponents $z=3$ and $z=2$ in the 2D and 3D regimes, respectively. The combination of low spatial dimensionality, $d=2$, and large dynamical exponent, $z=3$, results in strong thermodynamic contributions of the 2D FM spin fluctuations. Even below the temperature $T \lesssim \Lambda_\eta^3$, thermally excited 2D fluctuations lead to a large noncritical Fermi-liquid background that dominates over the critical 3D AFM fluctuations in a wide parameter range; see Fig. 2. This leads to a peculiar situation at criticality, $r=0$: the 2D and 3D quantum critical regions are separated by a temperature regime $\Lambda_\eta^4 < T < \Lambda_\eta^3$ where noncritical Fermi-liquid behavior prevails.

Other differences between the present FM-AFM crossover and the AFM-AFM crossover of Sec. III are as follows.

For the FM-AFM crossover, the uniform susceptibility changes from critical to noncritical behavior; see Sec. IV C. Finally, the effective dimensionality is always larger than the upper critical dimension, $d+z > 4$. Therefore, we can use directly Eqs. (15) and (18) for the correlation length and free energy, respectively, without the need of a RG improvement. This simplifies the analysis considerably.

A. Correlation length

In the analysis of the asymptotic behavior of the correlation length, we again distinguish between the 2D and the 3D regime, where ξ is dominated by the first and second integral in Eq. (15), respectively.

1. Correlation length in the 2D regime, $T \gg \Lambda_\eta^4$ or $r_{2D} \gg \Lambda_\eta^4$

a. Fermi-liquid regime, $T \ll r_{2D}^{3/2}$ and $r_{2D} \gg \Lambda_\eta^2$. Evaluating the correlation length in the 2D Fermi-liquid regime we obtain

$$\xi^{-2} = r_{2D} + \frac{\pi(N+2)}{144} u \frac{T^2}{r_{2D}^{3/2}}, \quad (61)$$

where r_{2D} differs from the bare mass δ_0 by a constant cutoff-dependent shift. Here, the temperature-dependent part is of the Fermi-liquid form and subleading.

b. Quantum critical regime, $T \gg r_{2D}^{3/2}$ and $T \gg \Lambda_\eta^3$. In the quantum critical regime, on the other hand, temperature dominates the correlation length

$$\xi^{-2} = r_{2D} + \frac{N+2}{24\pi} u T \log \frac{1}{\Lambda^* T^{-2/3}} \quad (62)$$

with $\Lambda^* = \max\{\xi^{-1}, \Lambda_\eta\}$ as above, Eq. (31). The 2D quantum critical regime can be subdivided into three regimes depending on how ξ^{-2} compares with Λ_η^2 , and whether the first or the temperature-dependent second term dominates. At $\xi^{-2} \sim \Lambda_\eta^2$, a dimensional crossover associated with the classical critical fluctuations takes place. At criticality, $r \approx r_{2D} = 0$, this crossover occurs at a temperature of order

$$T_{cl}|_{r=0} \sim \frac{\Lambda_\eta^2}{u \log \frac{1}{\Lambda_\eta}}. \quad (63)$$

At this classical dimensional crossover, the logarithmic increase of Eq. (62) with increasing correlation length ξ is cutoff. This allows, in particular, for a solution for the phase boundary; see below. However, at a higher temperature

$$T_x \sim \frac{\Lambda_\eta^2}{u}, \quad (64)$$

$T_{cl}(r)$ enters the Ginzburg regime, and the classical dimensional crossover and, as a consequence, the expression for the phase boundary becomes nonperturbative.

c. Noncritical Fermi-liquid regime, $T \ll \Lambda_\eta^3$ and $r_{2D} \ll \Lambda_\eta^2$. The 2D critical behavior crosses over into a 2D noncritical Fermi-liquid behavior below a temperature $T \sim \Lambda_\eta^3$ or as a function of the control parameter at $r \sim \Lambda_\eta^2$;

see the shaded lines in Fig. 2. In this intermediate regime, the correlation length has the form

$$\xi^{-2} = r_{2D} + C_1 u \frac{T^2}{\Lambda_\eta^3}, \quad (65)$$

where the numerical factor C_1 is nonuniversal, i.e., depends on our modeling of the dimensional crossover. It turns out that this 2D Fermi-liquid background still dominates thermodynamics down to a temperature and control parameter scale Λ_η^4 where finally the 3D critical behavior takes over.

2. Correlation length in the 3D regime, $T \ll \Lambda_\eta^4$ and $r_{3D} \ll \Lambda_\eta^4$

a. Fermi-liquid regime, $T \ll r_{3D}$. The contribution from the 3D fluctuations shifts the value for the control parameter

$$\xi^{-2} = r_{3D} \equiv r_{2D} + C_2 u \Lambda_\eta^2 + \frac{\pi(N+2)}{144} u \frac{T^2}{\Lambda_\eta r_{3D}^{1/2}}, \quad (66)$$

where C_2 is a constant dependent on the employed cut-off structure. The temperature dependence is again of Fermi-liquid type. Comparing the temperature corrections to the correlation length in the various Fermi-liquid regimes, one obtains the two control parameter crossover scales, Λ_η^4 and Λ_η^2 , that are shown in Fig. 2.

b. Quantum critical regime, $T \gg r_{3D}$. In the quantum critical regime we get

$$\xi^{-2} = r_{3D} + (N+2) \frac{\zeta(3/2)}{24\sqrt{2}\pi} u \frac{T^{3/2}}{\Lambda_\eta}. \quad (67)$$

A comparison of this temperature dependence with the one of Eq. (65) yields the quantum dimensional crossover temperature, $T \sim \Lambda_\eta^4$.

3. Phase boundary

In the limit of vanishing correlation length, Eq. (62) yields for $T_c < T_x$ [see Eq. (64)] the following expression for the phase boundary within the quantum critical 2D regime

$$r_{2D} = - \frac{N+2}{24\pi} u T_c \log \frac{T_c^{2/3}}{\Lambda_\eta^2}. \quad (68)$$

It varies (up to logarithmic corrections) linearly with T . In the intermediate noncritical Fermi-liquid regime, the phase boundary behaves as $r_{2D} \sim -u T_c^2 / \Lambda_\eta^3$ before crossing over into the 3D regime. There, we use expression (67) to obtain

$$r_{3D} = - (N+2) \frac{\zeta(3/2)}{24\sqrt{2}\pi} u \frac{T_c^{3/2}}{\Lambda_\eta}. \quad (69)$$

Similar to Sec. III B 3, the extrapolation of the phase boundary within the 2D regime toward $T=0$ leads to an error in the estimate for position of the quantum critical point of

$$\Delta r = r_{3D}|_{r_{2D}=0} \sim u \Lambda_\eta^2. \quad (70)$$

B. Thermodynamics

Thermodynamic quantities follow from expression (18) for the free energy. A numerical solution for the specific heat,

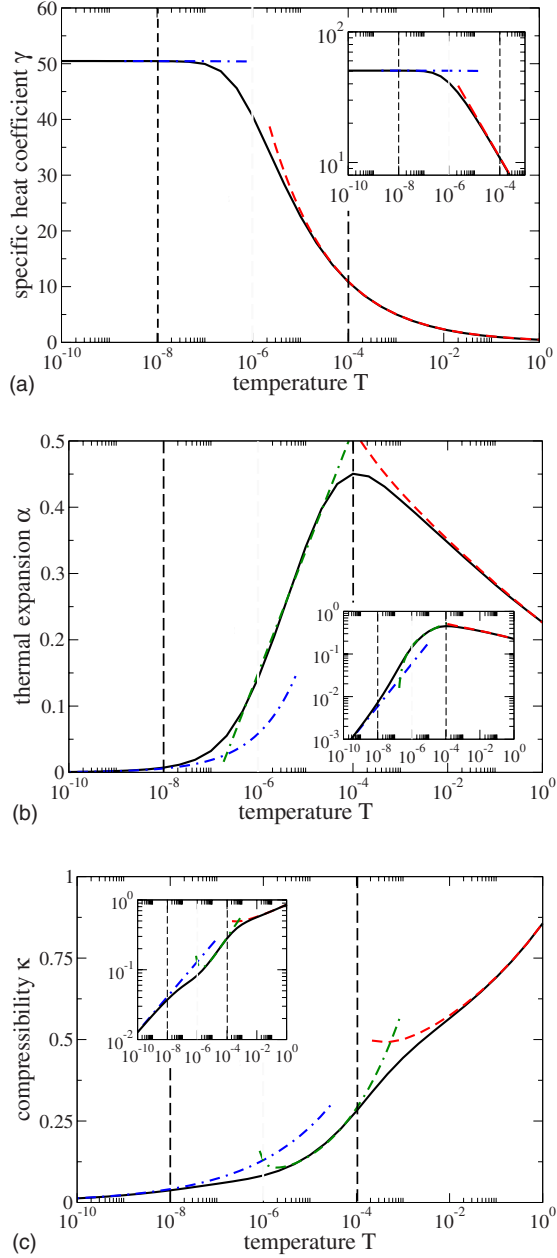


FIG. 6. (Color online) Crossover behavior as in Fig. 5, but now for the 2D FM-3D AFM case. The vertical dashed lines denote the three crossover scales $T_{cl} > \Lambda_\eta^3 > \Lambda_\eta^4$; see Fig. 2. T_{cl} denotes the classical dimensional crossover where $\xi \sim 1/\Lambda_\eta$; $T \sim \Lambda_\eta^3$ separates the 2D critical regime from the 2D noncritical Fermi-liquid regime, and below $T \sim \Lambda_\eta^4$ 3D quantum critical behavior sets in. The chosen parameters are $\Lambda_\omega = \Lambda = 20$, $\Lambda_\eta = 0.01$, $u = 1$, and $N = 3$. Panel (a): Specific-heat coefficient γ , with the asymptotics given by Eq. (74) (red/dashed) for the high-temperature 2D regime and by Eq. (58) (blue/dash-dot) for the low-temperature 3D regime. Panel (b): Thermal expansion α , showing a maximum at the classical dimensional crossover. The asymptotics at high (red/dashed) and intermediate T (green/dash-dot) are given by Eq. (76), and at low T (blue/dash-dot) by Eq. (59). The inset shows that the asymptotic \sqrt{T} behavior only sets in for $T < \Lambda_\eta^4 = 10^{-8}$. Panel (c): Compressibility κ , with the asymptotics in Eq. (78) (red/dashed and green/dash-dot) and Eq. (60) (blue/dash-dot) showing the $T^{1/4}$ behavior that again only sets in for $T < \Lambda_\eta^4$.

thermal expansion, and compressibility in the quantum critical regime is shown in Fig. 6. In the following, an analysis of the asymptotic behavior is presented.

1. Thermodynamics in the 2D regime, $T \gg \Lambda_\eta^4$ or $r_{2D} \gg \Lambda_\eta^4$

a. Fermi-liquid regime, $T \ll r_{2D}^{3/2}$ and $r_{2D} \gg \Lambda_\eta^2$. In the 2D Fermi-liquid regime, evaluating the leading behavior is straightforward. For the specific-heat coefficient and thermal expansion we obtain

$$\gamma_{cr} = \frac{N\pi}{12} \frac{1}{\sqrt{r_{2D}}}, \quad \alpha_{cr} = \frac{N\pi}{24} \frac{T}{r_{2D}^{3/2}}. \quad (71)$$

This gives a universal Grüneisen parameter¹²

$$\Gamma_{cr} = \frac{1}{2} \frac{1}{r_{2D}}. \quad (72)$$

For the critical part of the compressibility we find

$$\kappa_{cr} = -\frac{N}{8\pi} \sqrt{r_{2D}}. \quad (73)$$

b. Quantum critical regime, $T \gg r_{2D}^{3/2}$ and $T \gg \Lambda_\eta^3$. In the 2D quantum critical regime, the specific heat is given by

$$\gamma_{cr} = \frac{N}{6\pi} \Gamma\left(\frac{8}{3}\right) \zeta\left(\frac{5}{3}\right) T^{-1/3}. \quad (74)$$

The thermal expansion depends logarithmically on temperature

$$\alpha_{cr} = \frac{N}{8\pi} \log \frac{T^{2/3}}{\max\{\xi^{-2}, \Lambda_\eta^2\}} + \text{const}, \quad (75)$$

with the correlation length given in Eq. (62). Using its explicit form, it becomes clear that the thermal expansion has a maximum at the dimensional crossover, $\xi^{-1} \sim \Lambda_\eta$, that occurs at a temperature T_{cl} and is associated with the classical Matsubara zero mode:

$$\alpha_{cr} \sim \begin{cases} \log \frac{1}{uT^{1/3}} & \text{if } T \gg T_{cl} \\ \log \frac{T^{2/3}}{\Lambda_\eta^2} + \text{const} & \text{if } T \ll T_{cl}. \end{cases} \quad (76)$$

In the quantum critical 2D regime, the Grüneisen parameter shows the asymptotic behavior

$$\Gamma_{cr} \sim \frac{1}{T^{2/3}}, \quad (77)$$

where we omitted logarithmic corrections that depend on the effective dimensionality of the classical fluctuations; see Eq. (76).

Similarly, the compressibility also exhibits an additional dimensional crossover at T_{cl} as it is determined by the classical fluctuations

$$\kappa_{\text{cr}} = \begin{cases} \frac{N}{8\pi} \frac{T}{\xi^{-2}} & \text{if } T \gg T_{\text{cl}} \\ \frac{N}{16} \frac{T}{\Lambda_{\eta} \xi^{-1}} & \text{if } T \ll T_{\text{cl}}. \end{cases} \quad (78)$$

Using Eq. (62) for the correlation length we obtain the asymptotic behavior for the compressibility at criticality, $r \approx r_{2\text{D}} = 0$,

$$\kappa_{\text{cr}} \sim \begin{cases} \frac{1}{u \log \frac{1}{T}} & \text{if } T \gg T_{\text{cl}} \\ \frac{\sqrt{T}}{\Lambda_{\eta} \sqrt{u}} & \text{if } T \ll T_{\text{cl}}. \end{cases} \quad (79)$$

Note that κ depends singularly on the dangerously irrelevant interaction u .

The results (76) and (79) show that not only the 2D quantum critical regime above T_{cl} , but also the intermediate 2D quantum 3D classical critical regime below T_{cl} displays a well-defined universal asymptotic behavior.

c. Noncritical Fermi-liquid regime, $T \ll \Lambda_{\eta}^3$ and $r_{2\text{D}} \ll \Lambda_{\eta}^2$. In the intermediate noncritical regime, thermodynamic quantities display a Fermi-liquid form,

$$\gamma = \frac{1}{2\Lambda_{\eta}} - \mathcal{C}_3 \frac{T^2}{\Lambda_{\eta}^7}, \quad \alpha = \mathcal{C}_4 \frac{T}{\Lambda_{\eta}^3}, \quad (80)$$

with constants \mathcal{C}_3 and \mathcal{C}_4 being nonuniversal. The compressibility is determined by 3D classical fluctuations,

$$\kappa_{\text{cr}} = \frac{N}{16} \frac{T}{\Lambda_{\eta} \xi^{-1}}, \quad (81)$$

where the correlation length ξ has the form (65).

2. Thermodynamics in the 3D regime, $T \ll \Lambda_{\eta}^4$ and $r_{3\text{D}} \ll \Lambda_{\eta}^4$

The thermodynamics in the 3D regime resembles the one discussed in Sec. III C. The background contribution for the specific-heat coefficient in Eq. (54) is, however, now determined by the 2D FM fluctuations, $\gamma - \gamma_{\text{cr}} = \frac{1}{2\Lambda_{\eta}}$.

C. Uniform susceptibility

A particular property of the 2D FM–3D AFM crossover is that the susceptibility, measuring the response to a uniformly applied magnetic field, is critical within the 2D regime, whereas it becomes noncritical at the dimensional crossover. We consider the static limit and study its temperature dependence

$$\chi_u(T) \equiv \chi(T, \Omega_n = 0, k_{\parallel} = 0, k_z = Q_z) = \frac{1}{\xi^{-2} + \xi_Q^{-2}}. \quad (82)$$

As before, the wave vector \mathbf{k} is measured relative to the (3D) ordering wave vector \mathbf{Q} . ξ_Q^{-2} is a constant proportional to the hopping t' between the 2D planes, $\xi_Q^{-2} \propto t' [1 - \cos(Q_z a)]$. From the discussion in Sec. II it follows that $\xi_Q^{-2} \sim \Lambda_{\eta}^2$. The

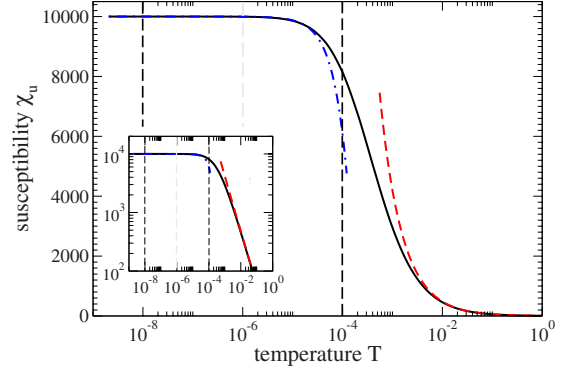


FIG. 7. (Color online) Behavior of the uniform susceptibility χ_u for $r=0$, i.e., in the quantum critical regime of the 2D FM–3D AFM crossover scenario. The chosen parameters are $\xi_Q^{-2}=0.0001$, $\Lambda_{\omega}=\Lambda=20$, $\Lambda_{\eta}=0.01$, $u=1$, and $N=3$. The vertical dashed lines represent the crossover scales $T_Q = \xi_Q^{-2} \sim \Lambda_{\eta}^2 < \Lambda_{\eta}^3 < \Lambda_{\eta}^4$. The dashed/red line is the 2D high- T asymptote (83), and the dashed-dot/blue line is the 3D low- T asymptote (84).

temperature behavior is fully accounted for by the T dependence of the correlation length ξ . In the quantum critical 2D regime, i.e., at high temperature, we can neglect the constant contribution ξ_Q^{-2} , and we obtain with the help of Eq. (62) for the asymptotic behavior

$$\chi_u(T) \sim \frac{1}{T \log \frac{1}{T}}. \quad (83)$$

This divergence is cut off below a scale $T_Q = \xi_Q^{-2} \sim \Lambda_{\eta}^2$, i.e., essentially at the classical dimensional crossover line. For $T \ll T_Q$, the constant contribution from the t' dispersion dominates, $\chi_u = \text{const}$. In the 3D quantum critical regime, the temperature dependence of the correlation length (67) leads to the T -dependent correction $\chi_u = \text{const} + \delta\chi_u(T)$,

$$\delta\chi_u \sim -T^{3/2}, \quad (84)$$

in agreement with the results of Ref. 28. The result of a numerical evaluation of the uniform susceptibility (82) is shown in Fig. 7. The lower two crossover temperatures leave essentially no trace in χ_u , as the regimes only differ in the small thermal correction to the large $\chi_u(T=0)$.

V. APPLICATION TO HEAVY-FERMION METALS

Our results are of potential relevance to layered nearly magnetic metals where indications for two-dimensional criticality have been found. In this section, we discuss the cases of the heavy-fermion materials $\text{CeCu}_{6-x}\text{Au}_x$, YbRh_2Si_2 , and CeCoIn_5 . While CeCoIn_5 possesses a layered lattice structure and should naturally display a dimensional crossover, for $\text{CeCu}_{6-x}\text{Au}_x$ and YbRh_2Si_2 there is only empirical evidence (discussed below) for quasi-2D critical behavior, with no obvious microscopic reason. We note that, in all three cases, ingredients beyond the LGW spin fluctuation theory may be important for a full understanding of the critical behavior.

We refrain from discussing strongly correlated transition-metal compounds with layered structure, such as high-

temperature superconducting cuprates. In these materials, a standard LGW approach alone cannot be expected to capture the relevant physics due to the proximity to the half-filled Mott insulator.

A. CeCu_{6-x}Au_x

CeCu₆ is a paramagnetic heavy Fermi liquid, which can be driven into an antiferromagnetic metallic phase by Au substitution.^{1,29} In CeCu_{6-x}Au_x, the quantum critical point is located at $x_c \approx 0.1$. For $x > x_c$, the AF order is known to be 3D, which implies nonvanishing magnetic couplings in all spatial directions. In contrast, neutron scattering in quantum critical CeCu_{6-x}Au_x has provided direct evidence for a quasi-2D antiferromagnetic fluctuation spectrum.⁶ This suggests that a dimensional crossover occurs near the quantum critical point, which, however, has not been experimentally identified to date. Let us therefore apply our results from Sec. III in order to search for experimentally measurable consequences of a dimensional crossover from 2D AFM to 3D AFM.

First, there is the location of the QCP itself. Experimentally, the phase boundary appears to be linear, $T_N \propto (x-0.1)$; in other words, the data points marking the finite-temperature phase transition can be linearly extrapolated to a putative QCP at $x_c = 0.1$. From the consideration in Sec. III it is clear that the asymptotic behavior of the phase boundary should be $T_N \propto (x-x_c)^{2/3}$, which suggests that the true $x_c > 0.1$. Hence, samples with $x = 0.1$ may not be located at the quantum critical composition. As a consequence, the system would be located outside the 3D pocket of the phase diagram in Fig. 4. This could then explain why no signatures of 3D spin fluctuation were found in the neutron-scattering experiment of Ref. 6. Unfortunately, concrete predictions are problematic due to the presence of logarithmic corrections in the $d=z=2$ theory for the 2D AFM: in fact, in the 2D regime the phase boundary should follow $T_N \log T_N \propto (x-x_c)$, Eq. (41)—this makes a linear extrapolation ambiguous. Experimentally, such logarithmic corrections are difficult to extract.

Second, the dimensional crossover should be manifest in thermodynamics. Whereas the crossover signatures in the specific heat are weak, the thermal expansion and the compressibility show a pronounced steplike behavior in the quantum critical regime of the 2D-3D AFM crossover; see Fig. 5. The latter two are therefore more appropriate to detect a dimensional crossover in the critical spin-fluctuation spectrum.

Thus, we propose to search for the dimensional crossover in CeCu_{6-x}Au_x by (i) detecting the change in behavior of the thermal expansion at criticality and (ii) by looking for deviation of the phase boundary from linear behavior by employing pressure tuning of, e.g., an $x = 0.2$ sample. From the available data, the dimensional crossover temperature is likely below 100 mK. We also note that the presence of quenched chemical disorder may modify the behavior near criticality at very low temperatures.³⁰

B. YbRh₂Si₂

The heavy-fermion material YbRh₂Si₂ displays a phase transition at 70 mK.⁷ The low-temperature ordered phase is

believed to be antiferromagnetic, although confirming neutron scattering data are not available to date. The ordering temperature can be suppressed by applying a small field, resulting in a field-driven QCP at $B = 0.06$ T in the ab plane and 0.66 T along the c axis. The ordering temperature can also be suppressed by Ge doping: YbRh₂(Si_{1-x}Ge_x)₂ with $x = 5\%$ seems to order at only 20 mK in zero field.³¹ The critical properties of YbRh₂Si₂ are inconsistent with the predictions of LGW theory for 3D AFM spin fluctuations. As for CeCu_{6-x}Au_x, it has been speculated that the Kondo effect breaks down at quantum criticality—this idea received support from Hall effect measurements which indicate a pronounced change in the low-temperature Hall coefficient across the critical field.³²

Remarkably, YbRh₂Si₂ appears to be almost *ferromagnetic*. This is particularly striking for YbRh₂(Si_{1-x}Ge_x)₂ where the uniform susceptibility follows $\chi_u(T) \propto T^{-0.6}$ above 0.3 K.⁸ In addition, the unexpected observation of an ESR signal below the Kondo temperature³³ has been related to strong ferromagnetic correlations.³⁴

It is therefore worth discussing which properties of YbRh₂Si₂ appear consistent with the scenario of a crossover from 2D FM to 3D AFM, as would arise in a system of ferromagnetic layers with weak antiferromagnetic interlayer coupling.

Interestingly, thermodynamic measurements are partially consistent with 2D FM criticality, but *below* 0.3 K. The specific heat follows $C(T)/T \propto T^{-0.3}$ below 0.3 K,³¹ and the Grüneisen ratio diverges as $\Gamma(T) \propto T^{-0.7}$ below 0.6 K (Ref. 35)—these two exponents are close to the values $-1/3$ and $-2/3$ expected for 2D FM fluctuations. In addition, the temperature-field scaling observed in YbRh₂(Si_{1-x}Ge_x)₂ with $x = 5\%$ (Ref. 36) is in accord with ferromagnetic criticality, provided that one interprets $(B-B_c)$ (where $B_c = 0.027$ T is tiny) as the field conjugate to the order parameter. However, other observations in YbRh₂Si₂ appear inconsistent with this idea of 2D FM criticality:³⁷ for example, the fractional exponent observed in the T dependence of the uniform susceptibility $\chi_u(T)$ cannot be easily explained with this scenario.

In summary, the physics of YbRh₂Si₂ cannot be explained in a straightforward manner in terms of near-critical 2D FM fluctuations (which turn to 3D antiferromagnetism at lowest temperatures) alone. However, the experiments, showing critical ferromagnetic fluctuations which are cut off only at very low temperatures, hint that a dimensional crossover of the type considered here may be important. Further investigations of YbRh₂Si₂ samples with Ir or Co doping³⁸ will shed more light on the role of the various crossover scales in this interesting material.

C. CeCoIn₅

The compounds CeMIn₅ (M=Co,Rh,Ir, also dubbed 115-compounds) unify a variety of fascinating heavy-fermion phenomena: CeCoIn₅ and CeIrIn₅ are (likely unconventional) superconductors with $T_c = 2.3$ K and 0.4 K, respectively, whereas CeRhIn₅ is an antiferromagnetic metal with $T_N \approx 3.6$ K. Transitions between these ordered phases may be tuned using pressure, chemical substitution, or magnetic

field. In contrast to most other heavy-fermion materials, CeMn₅ is quasi-two-dimensional; i.e., its lattice structure consists of weakly coupled layers. Consequently, a dimensional crossover scenario should naturally apply.

A particularly interesting transition occurs in CeCoIn₅ upon application of a magnetic field.³⁹ Superconductivity survives up to a critical field of $H_{c2} \approx 4.95$ T. Normal-state properties near H_{c2} are suggestive of quantum critical behavior: both specific heat and resistivity display non-Fermi-liquid temperature dependencies at H_{c2} , and the A coefficient of the resistivity diverges upon approaching H_{c2} from above. These features have been interpreted as signatures of an antiferromagnetic quantum critical point at (or close to) H_{c2} , with the ordered phase for $H < H_{c2}$ being suppressed by the onset of superconductivity. By applying hydrostatic pressure, the two phenomena—superconducting H_{c2} transition and apparent magnetic quantum criticality—can be separated; i.e., H_{c2} decreases faster than the quantum critical field suggesting that the two phenomena are not related.⁴⁰

Recently, thermodynamic properties of CeCoIn₅ near H_{c2} have been studied in more detail.⁴¹ At $H=5$ T, signatures of a crossover at $T^* \approx 0.3$ K between two different singular behaviors were identified. This is best visible in the thermal expansion, which was found to follow $\alpha(T)/T \propto 1/T$ in the temperature range $0.5 \text{ K} < T < 6 \text{ K}$, whereas $\alpha(T)/T$ is consistent with $1/\sqrt{T}$ for $0.1 \text{ K} < T < 0.3 \text{ K}$. The thermodynamic data below $T^* \approx 0.3$ K have been argued to be consistent with the predictions of the LGW theory for 3D AF spin fluctuations. (Note that the scale T^* , below which 3D LGW behavior is seen, is shifted up to 1.4 K in doped CeCoIn_{5-x}Sn_x.) For $T > T^*$, the thermal expansion in CeCoIn₅ seems consistent with 2D AF spin fluctuations of LGW type. However, the authors of Ref. 41 have argued that the behavior of the Grüneisen parameter, being somewhat reminiscent to that of YbRh₂Si₂, instead suggests non-LGW criticality (which nevertheless may arise from 2D critical magnetism).

Taken together, the data indicate a crossover in critical behavior at $T^* \approx 0.3$ K—this is also supported by resistivity measurements which show $\rho(T) - \rho(0) \propto T^{3/2}$ below $T = 0.2$ K at $H=5$ T,⁴² consistent with 3D LGW behavior. Although the nature of the critical behavior above T^* is not fully understood—the presence of multiple crossover scales⁴² complicates the analysis of the data—the interpretation of T^* as a dimensional crossover scale is suggestive. The role of Sn doping in shifting this crossover scale is unclear at present; in a situation with geometric frustration of inter-plane magnetism one might speculate that disorder partially relieves frustration. It would be worthwhile to investigate the magnetic excitations, e.g., of AF ordered variants of CeMn₅ by neutron scattering, in order to determine the magnetic bandwidths in the directions parallel and perpendicular to the CeIn planes.

VI. CONCLUSION

We have studied the dimensional crossover of magnetic fluctuations in nearly quantum critical metals. Motivated by experiments on heavy-fermion systems, we have concen-

trated on the crossover from 2D FM or AFM fluctuations at elevated energies to 3D AFM fluctuations at low energies. Applying the standard Landau-Ginzburg-Wilson approach, we have obtained relevant crossover energy scales as well as crossover functions describing thermodynamic observables.

The anisotropy in the spin-fluctuation spectrum leads to a dimensional crossover from 2D to 3D upon approaching criticality. We have found two types of dimensional crossover scales. Upon reducing temperature at criticality, there is a first dimensional crossover associated with the classical fluctuations and, at a lower temperature, a second crossover where the quantum fluctuations change their character from 2D to 3D; see Figs. 1 and 2. In particular, there is an extended intermediate temperature regime where 2D quantum fluctuations coexist with 3D classical fluctuations resulting in distinct power laws. For the 2D FM–3D AFM crossover, there exists even a further subregime, where noncritical Fermi-liquid behavior intervenes between the critical 2D and 3D regimes. We have found that the thermal expansion and the compressibility are well suited to detect a dimensional crossover of critical magnetic fluctuations. However, the existence of several dimensional crossover scales makes the experimental identification of asymptotic power laws particularly difficult.

ACKNOWLEDGMENTS

We thank S. Florens, P. Gegenwart, and P. Wölfle for discussion and collaboration on related work. This research was supported by the DFG through the SFB 608, the Research Unit FG 960 “Quantum Phase Transitions,” and Grant No. FR 2627/1-1, and by the NSF through Grant No. DMR-0757145.

APPENDIX: LINDHARD FUNCTION FOR A FERMI SURFACE WITH CYLINDRICAL SYMMETRY

We evaluate the Landau damping of ferromagnetic fluctuations deriving from the Lindhard function for an anisotropic Fermi surface with cylindrical symmetry. The Lindhard function at $T=0$ is given by

$$\Pi(k, i\omega_n) = - \int \frac{d\epsilon}{2\pi} \sum_q \frac{1}{i\epsilon - \epsilon_q} \frac{1}{i\epsilon + i\omega_n - \epsilon_{q+k}}. \quad (\text{A1})$$

In the following, we distinguish between a closed and an open anisotropic Fermi surface.

1. Closed Fermi surface

Here, we consider a closed anisotropic Fermi surface as, e.g., depicted in Fig. 8(a). Introducing the density of states and integrating over ϵ , the dynamic part of the polarization can be expressed as an integral over the (closed) 3D Fermi surface,

$$\Pi_{\text{dyn}}(k, i\omega_n) = -i\omega_n \int \frac{d\hat{q}}{4\pi} \frac{v_{\hat{q}}}{i\omega_n - \mathbf{v}_{\hat{q}} \cdot \mathbf{k}}. \quad (\text{A2})$$

For an anisotropic Fermi surface, the density of states $\nu_{\hat{q}}$ and the Fermi velocity $\mathbf{v}_{\hat{q}}$ depend on the orientation of the fermi-

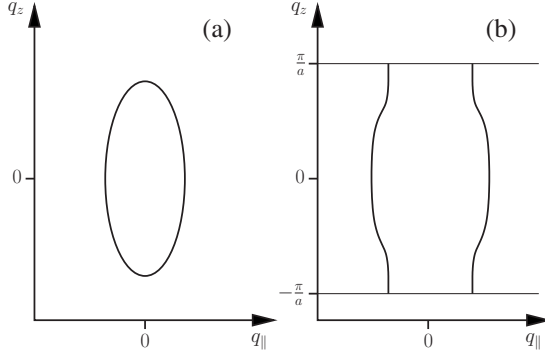


FIG. 8. Sketch of a cut through a closed (a) and open (b) 3D anisotropic Fermi surface cylindrically symmetric with respect to the q_z axis; q_z is the out-of-plane and $q_{||}$ is the in-plane momentum.

onic wave vector, \hat{q} . We model the anisotropy of the Fermi surface with an in-plane Fermi velocity v_F that differs from its z-component $\eta_F v_F$. A large anisotropy with a quasi-two-dimensional Fermi surface is obtained in the limit of small η_F . So we get

$$\begin{aligned} \Pi_{\text{dyn}}(k, i\omega_n) = & -\frac{1}{4\pi} i\omega_n \int_0^\pi d\theta \int_0^{2\pi} d\phi \\ & \times \frac{\sin \theta \nu(\cos^2 \theta)}{i\omega_n - v_F(k_{||} \sin \theta \cos \phi + \eta_F k_z \cos \theta)}. \end{aligned} \quad (\text{A3})$$

We further assumed that the density of states only depends on $\cos^2 \theta$ with the azimuthal angle θ of the Fermi momentum. In the limit of small ω_n , this simplifies to

$$\Pi_{\text{dyn}}(k, i\omega_n) = -\frac{\pi}{2} \langle \nu \rangle \frac{|\omega_n|}{v_F \sqrt{k_{||}^2 + (\eta_F k_z)^2}}, \quad (\text{A4})$$

where $\langle \nu \rangle$ is an angular average of the density of states that smoothly depends on momenta

$$\langle \nu \rangle = \frac{2}{\pi} \int_0^1 \frac{dx}{\sqrt{1-x^2}} \nu \left(\frac{k_{||}^2 x^2}{k_{||}^2 + \eta_F^2 k_z^2} \right). \quad (\text{A5})$$

Generally, the damping of bosonic modes with momentum \mathbf{k} is caused by particle-hole excitations close to the part of the

Fermi surface that is tangential to \mathbf{k} . This is reflected in the momentum dependence of $\langle \nu \rangle$. For the modes with vanishing in-plane momentum, $k_{||}=0$, only the part of the Fermi surface with azimuthal angle $\theta=\pi/2$ is involved in the damping processes, such that $\langle \nu \rangle = \nu(0)$. On the other hand, the damping of modes with vanishing $k_z=0$ can occur by exciting particle-hole pairs at any part of the Fermi surface and in this case $\langle \nu \rangle$ is a true average over the angle-dependent density of states.

Thus we obtain for the damping function Γ_k , see Eq. (9), for an anisotropic Fermi surface

$$\Gamma_k = \frac{2v_F}{\pi \langle \nu \rangle} \sqrt{k_{||}^2 + (\eta_F k_z)^2}. \quad (\text{A6})$$

In the 2D regime, damping is dominated by the in-plane momentum, $\Gamma_k \sim |k_{||}|$, and we obtain the expression advertised in Eq. (12).

2. Open Fermi surface

In the limit of a quasi-two-dimensional Fermi liquid, the Fermi surface opens as depicted in Fig. 8(b). If we neglect the warping of the Fermi-surface cylinder along the momentum q_z direction, we end up with a Lindhard function of an effectively 2D Fermi system that is, in particular, independent of the longitudinal momentum k_z . Its dynamic part then has the form

$$\Pi_{\text{dyn}}(k_{||}, i\omega_n) = -\nu_{||} \frac{|\omega_n|}{v_F k_{||}} \sum_{q_z} \quad (\text{A7})$$

with the 2D density of states $\nu_{||}$. The integration over the longitudinal momentum q_z just yields a multiplicative factor.

If we take the warping into account, we obtain instead the same limiting behavior as that of expression (A4). In the limit $\eta_F k_z \ll k_{||}$, where η_F is again a small parameter representing the strong anisotropy of the open Fermi surface, the result is essentially unchanged from Eq. (A7) except that $\nu_{||}$ is replaced by an averaged density of states. In the other limit of small in-plane momentum $\eta_F k_z \gg k_{||}$, the warping in Fig. 8(b) leads to a k_z dependence of the dynamic part of the polarization resulting from particle-hole excitations now concentrated at the center, $q_z \approx 0$, and at the edges, $q_z \approx \pm \pi/a$, of the Brillouin zone, where the Fermi surface is parallel to k_z .

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