# Low-lying excitations of the three-leg spin tube: A density-matrix renormalization group study

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Using the (dynamical) density-matrix renormalization group method, we study the low-energy physics of three-leg antiferromagnetic Heisenberg model where the periodic boundary conditions are applied in the rung direction. We confirm that the spin excitations are always gapped as long as the intraring couplings form a regular triangle. From precise finite-size-scaling analyses of the spin gap and dimerization order parameter, we also find that the spin gap is collapsed by very small asymmetric modulation of the intraring couplings. Moreover, the dynamical spin structure factors on the intraleg and interleg correlations are calculated. It is demonstrated that the low-lying structure of the interleg spectra is particularly affected by the asymmetric modulation.

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## I. INTRODUCTION

For many years spin ladder systems have attracted much attention not only due to the existence of a variety of related materials, e.g.,  $Sr_{n-1}Cu_{n+1}O_{2n}$  (Refs. 1 and 2),  $La_{4+4n}Cu_{8+2n}O_{14+8n}$  (Ref. 3), CaV<sub>2</sub>O<sub>5</sub> (Ref. 4), etc., but also as intermediates between one-dimensional (1D) and twodimensional quantum spin physics. It has been confirmed both experimentally and theoretically that spin- $\frac{1}{2}$  ladders are gapful for an even number of legs, and gapless for an odd number of legs when the open boundary conditions (OBC) are applied in the rung direction (e.g., as a review, see Ref. 5). On the other hand, if the periodic boundary conditions (PBC) are applied in the rung direction (referred as a spin tube) for odd-leg ladders, the spin states are drastically changed by associating with the occurrence of frustration; it is known that the system is spontaneously dimerized to remove the frustration and all the spin excitations are gapped.6,7

At present, there are two experimental candidates for oddleg spin tubes. One of them is vanadium oxide  $Na_2V_3O_7$ , which may be regarded as a nine-leg Heisenberg spin tube system.<sup>8</sup> The <sup>23</sup>Na NMR response, dc- and ac-magnetic susceptibilities, and the specific-heat measurements<sup>9</sup> reveal that, above 100 K, the system is considered as paramagnetic. Below 100 K, most of the localized V magnetic moments (S  $=\frac{1}{2}$ ) form a collection of spin-singlet dimers with gaps  $\Delta$  $\sim 0-350$  K and the remaining small fraction of them forms spin-triplet bound states with gaps  $\Delta \sim 0-15$  K, and the degeneracy of the triplet ground states is lifted by a phase transition at 0.086 K. The low-energy model Hamiltonian of  $Na_2V_3O_7$  has been proposed by some theoretical groups. We seem to have reached a consensus that the intraring exchange interactions are antiferromagnetic. However, inter-ring ones are still controversial: the *ab initio* microscopic analysis<sup>10</sup> argued that they are frustrated antiferromagnetic and the magnitude is much smaller than the intraring ones; in contrast, the first-principle calculations<sup>11</sup> estimated them to be ferromagnetic and of the same order of magnitude with the intraring ones.

The other experimental candidate is three-leg compound  $[(CuCl_2 tachH)_3Cl]Cl_2$ , which is composed of alternating  $(CuCl_2 tachH)_3$  triangles along the crystallographic *c* axis.<sup>12</sup> The effective model has been considered to be a linearly coupled triangle spin rings with antiferromagnetic intraring couplings and two frustrating antiferromagnetic inter-ring couplings. The high-field magnetization measurements suggested that all the couplings are of the same order of magnitude; in this situation, it was numerically confirmed that the effective model has a spin-gapped ground state.<sup>13</sup>

In this paper, motivated by such developments in the field, we study the low-lying excitations of three-leg antiferromagnetic Heisenberg spin tube. We assume that the fundamental low-energy physics of any odd-leg spin tube can be essentially epitomized by that of the three-leg spin tube. So far, much theoretical research has been reported for the three-leg spin tube system: primarily, the bosonization study proposed that the three-leg spin tube has a spin-gapped ground state.<sup>6</sup> It was numerically confirmed and found that the system is completely dimer-ordered with a broken translational symmetry.<sup>7</sup> It has been also suggested that the spin gap is suppressed very rapidly with a lattice modulation in the rung direction.<sup>14</sup> Additionally, the system in a magnetic field<sup>15–18</sup> and with some kinds of frustrated interactions<sup>19-21</sup> have been examined {the latter model is applicable to  $[(CuCl_2 tachH)_3Cl]Cl_2$ . Thus, the ground-state properties of the three-leg spin tube are relatively well understood. However, only few theoretical studies have been devoted to the dynamics<sup>16,22</sup> due to the difficulty of calculating the dynamical quantities. We therefore calculate the dynamical spin structure factor using the dynamical density-matrix renormalization group (DDMRG) method,<sup>23</sup> which has been successfully applied to the 1D Heisenberg model of late.<sup>24</sup> Before the dynamical calculation, the spin gap and the dimerization order parameter are investigated to provide a deeper insight into the ground state. It allows us to precisely analyze the dynamical spin structure factor. Based on the results of the static and dynamical quantities, we primarily discuss the effect of the lattice modulation in the rung direc-



FIG. 1. (Color online) Lattice structure of three-leg Heisenberg model. Examples of on-leg and on-rung spin-singlet pairs are shown.

tion on the ground-state and low-lying excited-state properties.

This paper is organized as follows. In Sec. II, we define the three-leg antiferromagnetic Heisenberg tube and explain the applied methods for the calculations. The effective Hamiltonian for the asymmetric case is also derived. In Sec. III, we present the calculated results. The coupling-strength dependence of the spin gap and the influence of the coupling modulation on the low-energy physics are discussed. Section V contains summary and conclusions.

## **II. MODEL AND METHOD**

### A. Hamiltonian

We consider the three-leg antiferromagnetic Heisenberg model, the Hamiltonian of which is given by

$$H = J \sum_{\alpha=1}^{5} \sum_{i} \vec{S}_{\alpha,i} \cdot \vec{S}_{\alpha,i+1} + \sum_{\alpha(\neq \alpha')} \sum_{i} J_{\alpha\alpha'} \vec{S}_{\alpha,i} \cdot \vec{S}_{\alpha',i}, \quad (1)$$

where  $\vec{S}_{\alpha,i}$  is a spin- $\frac{1}{2}$  operator at rung *i* and leg  $\alpha$ (=1,2,3). J(>0) is the exchange interaction in the leg direction, and  $J_{\alpha\alpha'}(>0)$  is the exchange interaction between the legs  $\alpha$  and  $\alpha'$  [see Fig. 1]. When  $J_{\alpha\alpha'}=$ const ( $\forall \alpha, \alpha'$ ), we call it a "symmetric case" and set it as  $J_{12}=J_{23}=J_{31}\equiv J_{\perp}$ ; otherwise, it is an "asymmetric case." We take J=1 as the unit of energy hereafter.

#### B. Density-matrix renormalization group method

We employ the density-matrix renormalization group (DMRG) technique, which is a powerful numerical method for various (quasi) 1D quantum systems.<sup>25</sup> For the calculation of static properties, we use the standard DMRG method and the OBC are applied in the leg direction. It enables us to calculate ground-state and low-lying excited-state energies, as well as static quantities, quite accurately for very large finite-size systems (up to the order of thousands of sites). We are thus allowed to carry out an accurate finite-size-scaling analysis for obtaining the energies and quantities in the thermodynamic limit. For each calculation, we keep m=400-2400 density-matrix eigenstates in the renormalization procedure and extrapolate the calculated quantities to the limit  $m \rightarrow \infty$ . We note that the *m* extrapolation is mandatory in the present system [Eq. (1)] because our DMRG trial state approaches slowly to the exact one with increasing mdue to very strong spin frustration. In this way, the maximum truncation error, i.e., the discarded weight, is less than  $1 \times 10^{-7}$  while the maximum error in the ground-state and low-lying excited-state energies is less than  $10^{-7} - 10^{-6}$ . For all calculations of the static quantities, we study the ladders with several kinds of length L=24-312 and then perform the finite-size-scaling analysis based on the system-size dependence of the quantities.

For the calculation of dynamical properties, we use the DDMRG method, which is an extension of the standard DMRG method and has been developed for calculating dynamical correlation functions at zero temperature in quantum lattice models.<sup>23</sup> We now apply the PBC for both the leg (*x*) and rung (*y*) directions. With the PBC, the system size must be restricted practically up to about a hundred but the result is numerically exact because the spin operators  $\hat{S}_{\vec{q}}^{\vec{z}}$  can be precisely defined by

$$\hat{S}_{\vec{q}}^{z} = \frac{1}{\sqrt{3L}} \sum_{l} e^{i\vec{q}\cdot\vec{r}} \hat{S}_{\vec{r}}^{z},$$
(2)

with momentum  $\vec{q} = (2\pi z_1/L, 2\pi z_2/3)$  for integers -L/2 $< z_1 \le L/2$  and  $z_2 = -1, 0, 1$ . The sum runs over all sites of the system. Since the exact definition of the momentumdependent operators with the OBC is quite difficult, it would be better to choose the PBC for a quantitative estimation of the spectrum. In the DDMRG calculation, a required CPU time increases rapidly with the number of the density-matrix eigenstates so that we would like to keep it as few as possible; meanwhile, the (D)DMRG approach is based on a variational principle so that we have to prepare a "good trial function" of the ground state with the density-matrix eigenstates as much as possible. Therefore, we keep m=1200 to obtain true ground state in the first ten DDMRG sweeps and keep m=400 to calculate the spectrum for ladders with length L=24. In this way, the maximum truncation error, i.e., the discarded weight, is about  $1 \times 10^{-4}$  while the maximum error in the ground-state and low-lying excited-state energies is about  $10^{-2}$ .

#### C. Effective model

For the symmetric case in the strong-coupling limit  $J_{\perp} \gg J$ , the zeroth order approximation is obtained by an isolated three-spin triangle. It can be easily diagonalized as the higher-energy eigenstates,

$$|\uparrow\uparrow\uparrow\rangle,|\downarrow\downarrow\downarrow\rangle,$$

$$\frac{1}{\sqrt{3}}(|\uparrow\uparrow\downarrow\rangle+|\uparrow\downarrow\uparrow\rangle+|\downarrow\uparrow\uparrow\rangle),$$

$$\frac{1}{\sqrt{3}}(|\downarrow\downarrow\uparrow\rangle+|\downarrow\uparrow\downarrow\rangle+|\uparrow\downarrow\downarrow\rangle),$$
(3)

with energy  $3J_{\perp}/4$ , and the lower-energy eigenstates,

$$|\uparrow L\rangle \equiv \frac{1}{\sqrt{3}}(|\uparrow\uparrow\downarrow\rangle + \omega|\uparrow\downarrow\uparrow\rangle + \omega^{2}|\downarrow\uparrow\uparrow\rangle),$$

$$|\downarrow L\rangle \equiv \frac{1}{\sqrt{3}} (|\downarrow\downarrow\uparrow\rangle + \omega|\downarrow\uparrow\downarrow\rangle + \omega^{2}|\uparrow\downarrow\downarrow\rangle),$$
$$|\uparrow R\rangle \equiv \frac{1}{\sqrt{3}} (|\uparrow\uparrow\downarrow\rangle + \omega^{2}|\uparrow\downarrow\uparrow\rangle + \omega|\downarrow\uparrow\uparrow\rangle),$$
$$|\downarrow R\rangle \equiv \frac{1}{\sqrt{3}} (|\downarrow\downarrow\uparrow\rangle + \omega^{2}|\downarrow\uparrow\downarrow\rangle + \omega|\uparrow\downarrow\downarrow\rangle), \tag{4}$$

with energy  $-3J_{\perp}/4$ , where  $\omega = \exp(2\pi i/3)$ . From the above four degenerate lower-energy states, we can construct the effective Hamiltonian,<sup>6,7</sup>

$$H_{\rm eff}^{\rm sym} = \frac{J}{3} \sum_{j=1}^{L} \vec{S}_{j} \cdot \vec{S}_{j+1} [1 + 4(\tau_{i}^{+} \tau_{i+1}^{-} + \tau_{i}^{-} \tau_{i+1}^{+})], \qquad (5)$$

where the chirality operators  $\tau^{\pm}$  are defined as  $\tau^+=|L\rangle\langle R|$  and  $\tau^-=|R\rangle\langle L|$ , respectively. A previous DMRG study confirmed that the effective Hamiltonian (5) has a spin gap, which is estimated as 0.277 J.<sup>7</sup>

When the asymmetry is introduced  $(J_{12}=J_{31} \neq J_{23})$ , the higher-energy states [Eq. (3)] are still degenerate and the eigenstates of the anisotropic three-spin triangle spin system have the energy  $(2J_{12}+J_{23})/4$ . While the four degenerate lower-energy states [Eq. (4)] are resolved into the two doublets: the first ones are

$$|1\rangle = \frac{|\uparrow L\rangle - \omega|\uparrow R\rangle}{\sqrt{2}},$$
$$|2\rangle = \frac{|\downarrow L\rangle - \omega|\downarrow R\rangle}{\sqrt{2}},$$
(6)

with the eigenenergy  $-3J_{23}/4$ , and the others are

$$|3\rangle = \frac{|\uparrow L\rangle + \omega|\uparrow R\rangle}{\sqrt{2}},$$
$$|4\rangle = \frac{|\downarrow L\rangle + \omega|\downarrow R\rangle}{\sqrt{2}},$$
(7)

with the eigenenergy  $-J_{12}+J_{23}/4$ . Thus, the effective Hamiltonian (5) is modified as

$$H_{\rm eff}^{\rm asym} = H_{\rm eff}^{\rm sym} - (J_{12} - J_{23}) \sum_{j=1}^{L} \left[ \omega^2 \tau_j^+ + \omega \tau_j^- \right].$$
(8)

Since the effect of the asymmetry is written as the crystalfield-type term, we suppose that the physical situation is symmetrical with respect to  $J_{23}=J_{12}$  as a function of  $J_{23}$ . Note that the splitting of the four lower-energy states [Eq. (4)] into the doublets (6) and (7) is not complete for nonzero J (see Appendix). In the limit where the crystal-field-type term is large, the effective Hamiltonian can be reduced to the S=1/2 Heisenberg Hamiltonian  $J\Sigma_{j=1}^{L}\vec{S}_{j}\cdot\vec{S}_{j+1}$ , which has no spin gap.



FIG. 2. Spin gap  $\Delta_s$  as a function of  $J_{\perp}$  in the symmetric case. The inset is an extended figure for  $J_{\perp} \leq 10$ .

## **III. RESULTS**

### A. Spin gap

#### 1. Symmetric case

First, we study the spin gap in the symmetric case. It is known that, for all positive  $J_{\perp}$ , the system is spontaneously dimerized and all the spin excitations are gapped.<sup>6,7</sup> This means that all spins contribute to the formation of spinsinglet pairs. If we could pick up a dimerized pair of triangles, including six spins, three spin-singlet pairs are found: one of them is formed in either one of the three legs and the other four spins form a couple of pairs in the two rungs. As a result, there exist two types of spin-singlet pairs, namely, on-leg and on-rung pairs. Examples of the on-leg and the on-rung spin-singlet pairs are shown in Fig. 1.

It would be very intriguing to see the quantitative dependence of the spin gap on  $J_{\perp}$ . The spin gap is evaluated by an energy difference between the first triplet excited state and the singlet ground state,

$$\Delta_{\sigma}(L) = E_1(L) - E_0(L), \quad \Delta_{\sigma} = \lim_{L \to \infty} \Delta_{\sigma}(L), \tag{9}$$

where  $E_n(L)$  is the *n*th eigenenergy (n=0 corresponds to the ground state) of the system with length *L*, i.e.,  $L \times 3$  ladder. Note that the number of system length must be taken as L = 2l with l(>1) being an integer to maintain the total spin of the ground state as S=0. In Fig. 2, we show the DMRG results of the spin gap as a function of  $J_{\perp}$ . The plotted values are extrapolated to the thermodynamic limit  $L \rightarrow \infty$  (for example, the extrapolation scheme for  $J_{\perp}=1$  is demonstrated in Fig. 3). We obtain  $\Delta_{\sigma}=0.254$  in the limit of  $J_{\perp}=\infty$ . It is rather smaller than the value estimated in Ref. 7 because the finite-size-scaling analysis is different.

Roughly speaking, the spin gap increases proportionally to  $J_{\perp}$  in the small  $J_{\perp} (\leq 3)$  regime and keeps almost constant in the large  $J_{\perp} (\geq 10)$  regime. This behavior can be interpreted in terms of different origin of the lowest singlet-triplet excitation for each of the  $J_{\perp}$  regime although the mechanism of gap opening is invariant for the entire  $J_{\perp}$  regime. In other words, the spin gap is approximately scaled by a binding energy of most weakly bounded spin-singlet pair in the system, and it switches between the on-leg and on-rung pairs at some value of  $J_{\perp} (\approx 5)$ . A more concrete description is given in the following paragraph.



FIG. 3. (Color online) DMRG results of the spin gap as a function of 1/L for several values of  $J_{23}$  with fixed  $J_{12}=J_{31}=1$ . Inset: rescaled spin gap as a function of  $1/L^{\nu}$  with  $\nu=0.649$  and 0.647 for  $J_{23}=0.99$  and 1.01, respectively.

For  $J \ll J_{\perp}$ , we can easily imagine that the on-rung spinsinglet pairs must be bounded more solidly than the on-leg ones. The spin gap is therefore scaled by the binding energy of an on-leg pair, i.e.,  $\Delta_{\sigma} \propto J$ . Accordingly,  $\Delta_{\sigma}$  is independent of  $J_{\perp}$  and it is consistent with the constant behavior of  $\Delta_{\alpha}$ with  $J_{\perp}$  at  $J_{\perp} \gtrsim 10$ . On the other hand, the situation is somewhat different for  $J_{\perp} < \mathcal{O}(J)$ : the bound state of the on-leg pairs is expected to be more solid than that of the on-rung ones. It is because the system is strongly dimerized with infinitesimally small  $J_{\perp}$ . The dimerization strength develops abruptly at  $J_{\perp} = 0^+$  and increases rather slowly with increasing  $J_{\perp}$ .<sup>26</sup> Thus, the spin gap is essentially scaled by the binding energy of an on-rung pair. In addition, we may assume that the binding energy of the on-rung pair is proportional to  $J_{\perp}$  in the small  $J_{\perp}$  regime by analogy with that of the twoleg Heisenberg system.<sup>27</sup> Now therefore, the spin gap is scaled by  $J_{\perp}$ , i.e.,  $\Delta_{\sigma} \propto J_{\perp}$ , which is consistent to a linear behavior of  $\Delta_{\sigma}$  with  $J_{\perp}$  at  $J_{\perp} \lesssim 3$ . Note that the derivative  $\partial \Delta_{\sigma} / \partial J_{\perp}$  is very small (~0.053) due to strong spin frustration among the intraring spins. Consequently, a crossover between the constant  $\Delta_{\sigma}$  region and the proportional  $\Delta_{\sigma}$  region is seated not at  $J_{\perp} \approx 1$  but around  $J_{\perp} \approx 5$ . The existence of this crossover can be also confirmed with studying the  $J_{\perp}$ dependence of the dynamical spin structure factor. It will be discussed in Sec. III D.

## 2. Asymmetric case

Of particular interest is the evolution of the spin gap onto an asymmetric modulation of the intraring couplings. Previously, it has been suggested that the spin gap is suppressed rapidly by imposing the asymmetry and there exists the Berezinskii-Kosterlitz-Thouless (BKT) type transition between gapped and gapless phases at a finite asymmetric modulation.<sup>14</sup> In order to take the asymmetric modulation into account, we vary the value of  $J_{23}$  from unity with keeping  $J_{12}=J_{31}=1$  in our model [Eq. (1)]. The system-size dependence of the spin gap for several values of  $J_{23}$  is shown in Fig. 3. For the symmetric case  $J_{23}=1$ ,  $\Delta_{\sigma}(L)$  can be readily extrapolated to  $1/L \rightarrow 0$  and  $\Delta_{\sigma}=0.052(1)$  is obtained. For a relatively large asymmetric case, e.g.,  $J_{23}=0.9$ , we can see that the spin gap is obviously extrapolated to zero in the thermodynamic limit.

Let us then consider a small asymmetry by making  $J_{23}$ only 1% smaller from the symmetric case, i.e., we set  $J_{23}$ =0.99. As seen in Fig. 3,  $\Delta_{\sigma}(L)$  behaves quite similarly to that of the symmetric case for small systems ( $L \leq 50$ ); however, the deviation comes into the open around L=50 and increases rapidly as 1/L decreases. Even at a rough estimate,  $\Delta_{\sigma}(L)$  seems to be extrapolated to a much smaller value at  $1/L \rightarrow 0$  than that in the symmetric case. For more precise extrapolation, a good fitting function ought to be chosen. As shown in the inset of Fig. 3, we find that  $\Delta_{\sigma}(L)$  can be scaled better by  $L^{-\nu}(\nu < 1)$  than by  $L^{-1}$  in the case of small asymmetry. This rescaling allows us a reasonable performance of the finite-size-scaling analysis to the thermodynamic limit. The spin gap is thus obtained as zero within the margin of error  $\sim \pm 1 \times 10^{-3}$ . We also find that the data for  $J_{23}=1.01$ are quantitatively the same as those for  $J_{23}=0.99$ , as expected from Eq. (8). These results could suggest that the spin gap vanishes as soon as a rather (infinitesimally) small asymmetry in the intraring exchange couplings is introduced. This is contrary to the BKT-type transition suggested in Ref. 14. For further support of our statement, we evaluate a dimerization order parameter, which quantifies the presence or absence of long-range dimer order indicating the spin-gapped ground state, in the following subsection.

We should comment on the finite-size-scaling analysis. The fitting function  $L^{-\nu}(\nu < 1)$  may seem to be unusual; however, it is reasonable if we take into consideration the fact that the finite-size system will be always dimerized due to the Friedel oscillation. As a consequence of the dimerization, the spin gap indeed "opens" in any finite-size system even if the spin gap closes in the thermodynamic limit. We need to remove this "anomalous" finite-size effect caused by the Friedel oscillation to obtain a correct value in the thermodynamic limit. Assuming the dimerization strength due to the Friedel oscillation decays as  $L^{-\beta}(\beta > 0)$ ,<sup>28</sup> the spin gap would be scaled as  $L^{-2\beta/3}$  on the analogy of the results for the 1D spin-Peierls (SP) Heisenberg model.<sup>29</sup> Therefore, we can justify the finite-size-scaling analysis with the fitting function  $\Delta_{\alpha}(L) \propto L^{-\nu}$  ( $\nu = 2\beta/3$ ). Incidentally, this finite-size-scaling analysis works only for gapless cases; actually, the data for the symmetric case cannot be fitted with  $\Delta_{\sigma}(L) \propto L^{-\nu}$ .

#### **B.** Dimerization order parameter

Next, we evaluate the dimerization order parameter, which indicates the presence or absence of long-ranged dimerized state. When the spin gap opens, the system has to be dimerized along the leg direction, i.e., the ground state has to be a spin-Peierls one. Therefore, a disappearance of this order parameter in the thermodynamic limit corresponds to a collapse of the spin gap. In order to know whether the spin gap disappears with small asymmetry, we study the dimerization order parameter in the vicinity of the symmetric case.

Because the translational symmetry is broken due to the Friedel oscillation under the application of the OBC, the



FIG. 4. (Color online) (a) Friedel oscillation in the nearestneighbor spin-spin correlations of the system with length L=24 for a symmetric case  $J_{\perp}=1$ . (b) Log-log plots of the amplitude  $D(\alpha,L)$ as a function of the system length L for  $J_{23}=1,0.99$  and 0.9 with fixed  $J_{12}=J_{31}=1$ . The dotted lines are the fitting functions  $D(\alpha,L)$  $\propto L^{-\gamma}$  ( $\gamma \ge 0$ ).

dimerized state is directly observable with the DMRG method. We are interested in the formation of alternating spin-singlet pairs in the leg direction so that we can calculate the nearest-neighbor spin-spin correlations,

$$S(\alpha, i) = -\langle \vec{S}_{\alpha, i} \cdot \vec{S}_{\alpha, i+1} \rangle, \qquad (10)$$

where  $\langle \cdots \rangle$  denotes the ground-state expectation value. In Fig. 4(a), we show an example of the Friedel oscillation appearing in the nearest-neighbor spin-spin correlations of the system with length L=24 for a symmetric case  $J_{\perp}=1$ . (In the symmetric case, the results for all values of  $\alpha$  are the same.) Generally, the Friedel oscillations in the center of the system decay as a function of the system length. If the amplitude at the center of the system

$$D(\alpha, L) = |S(\alpha, L/2) - S(\alpha, L/2 + 1)|$$
(11)

persist for arbitrarily long system length, it corresponds to a long-range dimerization order that indicates the spin-Peierls ground state. We thus define the dimerization order parameter as

$$D(\alpha) = \lim_{L \to \infty} D(\alpha, L).$$
(12)

In Fig. 4(b), we show the log-log plots of the amplitude  $D(\alpha, L)$  as a function of the system length L for several values of  $J_{23}$  with fixed  $J_{12}=J_{31}=1$ . For the symmetric case  $J_{23}=1$ , the derivative  $-\partial \log D(\alpha, L)/\partial \log L$  appears to diminish gradually with increasing L and  $D(\alpha, L)$  saturates at a value, i.e.,  $D(\alpha)=0.0276(2)$ , in the large L limit. It signifies the long-range dimerization order, which is consistent with

the existence of finite spin gap. For reference, we obtain  $D(\alpha)=0.0147(5)$  in the large L limit at  $J_{\perp}=0.5$  and possibly this value may be proportional to  $J_{\perp}$  in the small  $J_{\perp}$  regime.

Let us then study how the order parameter is affected by the asymmetric modulation. For a relatively large asymmetric case  $J_{23}=0.9$ , the log-log plot of  $D(\alpha,L)$  with L represents a straight line, i.e.,  $D(\alpha,L) \propto L^{-0.64}$  ( $\forall \alpha$ ), over all ranges of L or at least  $L \ge 50$  [see the inset of Fig. 4(b)]. We thus find a power-law decay of the dimerization order parameter as a function of L. Since a power-law decay with the distance indicates the absence of long-range order, the disappearance of the spin gap is confirmed. We also see that the amplitude D(1,L) is smaller than the others D(2,L)[=D(3,L)] though their decay lengths are the same. This happens because the reduction of  $J_{23}$  prevents the spinsinglet pairs from forming on the rungs between the leg  $\alpha$ =2 and  $\alpha$ =3; accordingly, the formation of the pairs on the leg  $\alpha = 1$  is strongly suppressed. Note that the (nearly) perfect straight-line fit of log  $D(\alpha, L)$  versus log L could be concerned with the (almost) linearly scaled spin gap with 1/L.

We now turn to the case with a small asymmetric modulation,  $J_{23}=0.99$ . The derivative of  $-\log D(\alpha,L)$  with  $\log L$ decreases with increasing L, as seen in the symmetric case. However, it seems to get at a finite value around  $L \sim 150$  and the plots for  $L \gtrsim 150$  can be fitted by a straight line. It again implies a power-law decay of the order parameter in the large distance. The fitting function is estimated as  $D(\alpha, L)$  $\propto L^{-0.022}$  for all  $\alpha$  of which the slope is much gentler than that in the case of  $J_{23}=0.9$ . Although the decay of the order parameter is very slow, the formation of the long-range dimerization order has to be broken down. This slow decay may be the reason why the finite-size-scaling analysis of the spin gap is quite difficult (see Fig. 3). To put it the other way around, quite long systems are vital to find out the vanishment of the spin gap with small asymmetry. Moreover, it must be a good guess that the decay ratio of the dimerization order parameter develops continuously from zero at the symmetric case. Therefore, we argue that the spin gap vanishes immediately when an infinitesimally small asymmetry is introduced.

The collapse of the spin gap with small asymmetry can be seen more evidently in the strong-coupling regime  $J_{\perp} \gg J$ . As an example, we show (a) the spin gap as a function of 1/Land (b) the dimerization order parameter as a function of Lfor  $J_{12}=J_{31}=100$  in Fig. 5. For the symmetric case  $J_{23}$ =100, the system has a spin gap, which is estimated as  $\Delta_{\sigma}$ =0.253, and the dimerization order parameter converges to  $D(\alpha)=0.067$  in the  $L\rightarrow\infty$  limit. Let us now modulate the triangle rings only by 1%, namely,  $J_{23}=99$  (and 101). We obviously find that the spin gap is extrapolated to zero in the thermodynamic limit and the dimerization order parameter decays as a power law with the system size.

## C. Simple intuition

It would be important to provide an intuitive understanding of the collapse of the spin gap with a small asymmetry. Let us consider an isolated triangle Heisenberg ring. We assume the three coupling constants to be K, K', and K, corre-



FIG. 5. (Color online) (a) Spin gap  $\Delta_{\sigma}(L)$  as a function of 1/L for several values of  $J_{23}$  with  $J_{12}=J_{31}=100$ . The solid lines are the polynomial fit. (b) Log-log plots of the amplitude  $D(\alpha,L)$  as a function of L. The dotted lines are the fitting functions  $D(\alpha,L) \propto L^{-\gamma}$ .  $\gamma$  is estimated as 0.601 for  $J_{23}=99$ .

sponding to  $J_{12}$ ,  $J_{23}$ , and  $J_{31}$  in our model, respectively. For K=K', the ground state of the ring is fourfold degenerate and the spins are completely frustrated. When  $K \neq K'$ , the degenerate states are split into two states and the spin gap  $\Delta$  opens. If K' > K (K > K'), a spin-singlet (spin-triplet) pair with  $\Delta$ =K'-K ( $\Delta=K-K'$ ) between two sites coupled by K'. The spin-singlet state corresponds to the doublet ones  $|1\rangle$  and  $|2\rangle$ [Eq. (6)]; whereas, the triplet state corresponds to the doublet ones  $|3\rangle$  and  $|4\rangle$  [Eq. (7)]. We thus find that a bound state is stabilized for  $K \neq K'$ . We now go back to the three-leg tube. Originally, the spontaneous dimerization is vital to form the bound states in the symmetric case. As a result, the spin degrees of freedom are quenched and the spin frustration is weakened. However, if the asymmetry is introduced, the bound state is naturally formed in each triangle, as mentioned above. Consequently, the dimer order is no longer necessary. By the analogy of results of the single triangle, the binding energy of the bound state in each triangle is scaled by  $|J_{12}-J_{23}|$  (or  $|J_{31}-J_{23}|$ ) in the asymmetric case. This is consistent with the fact that the effective Hamiltonian [Eq. (8) includes the effect of the asymmetry only as the crystalfield-type term.

### D. Spin excitation spectra

## 1. Symmetric case

Finally, we study the dynamical spin structure factor to investigate the low-energy excitations. For the symmetric case, the spin structure factor is defined as

$$S(\vec{q},\omega) = \sum_{n} \langle \psi_0 | \hat{S}_{-\vec{q}}^z | \psi_n \rangle \langle \psi_n | \hat{S}_{\vec{q}}^z | \psi_0 \rangle \delta(\omega - E_n + E_0), \quad (13)$$

where  $|\psi_n\rangle$  is the *n*th eigenstate with the eigenenergy  $E_n$ . The operator  $\hat{S}_{\vec{q}}^z (q_y=0,\pm\frac{2}{3}\pi)$  is the Fourier transformation of the spin operator  $\hat{S}_i^z$  at site *i* and given by Eq. (2) when the PBC are applied in the leg direction. In  $J_{\perp}=0$  where the system consists of three separated chains, the ground state of the whole system is the direct product of the ground state of three chains and the dynamical spin structure factors are equivalent to those of the 1D Heisenberg model;<sup>30–32</sup> thus, the spectra for  $q_y=0$  and  $q_y=\frac{2}{3}\pi$  are equivalent. When a finite  $J_{\perp}$  is taken into account, the frustration arises among



FIG. 6. (Color online) Dynamical spin structure factor  $S(\vec{q}, \omega)$  at the symmetric case  $J_{\perp}=1$  for (a)  $q_y=0$  and (b)  $q=\frac{2}{3}\pi$ . The system size is fixed at L=24 and the broadening  $\eta=0.1$  is introduced. Insets:  $S(\vec{q}, \omega)$  at  $q_x=\pi$  for  $J_{\perp}=1$  (no symbol), 2 (squares), 5 (circles), and 10 (crosses).

the intraring spins. In Fig. 6, we show the DDMRG results of  $S(\vec{q}, \omega)$  at  $J_{\perp}=1$  for a ladder with length L=24. A pronounced peak is found at  $\vec{q} = (\pi, \frac{2}{3}\pi)$ . It implies that the spins approximately form a (nearly) 120° structure in each triangle ring and an antiferromagnetic correlation is dominant along the leg direction. On the other hand, the peaks around  $\vec{q} = (\pi, 0)$  are fairly suppressed as compared with the case of  $J_{\perp}=0$ .

For finite  $J_{\perp}$ , all the spin excitations are gapped. The position of the lowest-lying peak at  $\vec{q} = (\pi, 0) \left[\vec{q} = (\pi, \frac{2}{3}\pi)\right]$  essentially corresponds to the energy loss to break an on-leg [on-rung] spin-singlet pair. Thus, the lowest-excitation energy at either  $\vec{q} = (\pi, 0)$  or  $\vec{q} = (\pi, \frac{2}{3}\pi)$  provides the spin gap  $\Delta_{\sigma}$ . For example, since the on-leg spin-singlet pair has larger binding energy than the on-rung one at  $J_{\perp} = 1$ , the lowestlying peak of  $\vec{q} = (\pi, \frac{2}{3}\pi)$  is located at lower frequency than that of  $\vec{q} = (\pi, 0)$  in Fig. 6. As a result, the position of the largest peak in  $\vec{q} = (\pi, \frac{2}{3}\pi)$  stands at the lowest spinon excitation whose frequency corresponds to the spin gap. The magnitude relation of the binding energies between the onleg and the on-rung pairs switches at  $J_{\perp} \approx 5$ , as mentioned in Sec. III A, so that the momentum  $\vec{q}$  giving the lowest excitation is also expected to change at  $J_{\perp} \approx 5$ .

Let us therefore investigate the evolution of  $S(\vec{q}, \omega)$  at  $\vec{q} = (0, \frac{2}{3}\pi)$  and  $\vec{q} = (\pi, \frac{2}{3}\pi)$  with  $J_{\perp}$ . The results are shown in the insets of Fig. 6. Both the position and the weight of the lowest-lying peak at  $\vec{q} = (0, \frac{2}{3}\pi)$  are not that sensitive to  $J_{\perp}$ , which reflects that the dimerization strength is almost saturated above  $J_{\perp} = 1$ ; whereas at  $\vec{q} = (\pi, \frac{2}{3}\pi)$ , the position of the peak shifts toward higher frequencies and the weight goes down with increasing  $J_{\perp}$ . We then find that the peak position of  $\vec{q} = (\pi, \frac{2}{3}\pi)$  comes up with that of  $\vec{q} = (0, \frac{2}{3}\pi)$  at  $J_{\perp} \approx 5$ . It means that the momentum of the lowest-lying peak is changed from  $\vec{q} = (\pi, \frac{2}{3}\pi)$  to  $\vec{q} = (0, \frac{2}{3}\pi)$  at  $J_{\perp} \approx 5$ . In fact, this change of the momentum corresponds to the switch in the origin of the spin gap from the on-rung pair to the on-leg one.

We now refer a couple of other significant features: one is the appearance of two bands around  $\omega = 1.5$  and 3.0 in the  $q_{y} = \frac{2}{3}\pi$  spectra, which are denoted as  $\omega = \omega_{1}$  and  $\omega_{2}$  in Fig. 6, respectively. Those bands are associated with internal excitation on each triangle ring. Considering an isolated triangle ring, there are three states; namely, a spin- $\frac{3}{2}$  quadruplet with energy  $\frac{3}{4}$  [Eq. (3)] and two degenerate spin- $\frac{1}{2}$  doublets with energy  $-\frac{3}{4}$  [Eq. (4)]. Hence, when J=0, the excitation spectra show two flat bands at  $\omega_1=0$  and  $\omega_2=\frac{3}{2}J_{\perp}$ . Thus, we can easily guess the spin structure factor in the strong-coupling regime  $J_{\perp} \gg J$ : the spectra of the low-energy excitations  $\omega$  $\sim \mathcal{O}(J)$ ] are essentially the same as those of the 1D SP Heisenberg model;<sup>33–37</sup> and single peaks associated with the intraring excitation exist around  $\omega_2 = J + \frac{3}{2}J_{\perp}$  for all of  $q_x$ . The other feature is the reduction of the apparent width of the continuum in the  $q_v = \frac{2}{3}\pi$  spectra, relative to that of the 1D Heisenberg model. In other words, the peaks at the lower edge of the spectra are rather  $\delta$  functionlike. It possibly reflects the local excitation of the spinon bound state on each dimer, as in the spectra of the 1D SP Heisenberg model.<sup>37</sup>

### 2. Asymmetric case

We then examine the dynamical spin structure factor in the asymmetric case. Particularly, we focus on the change of the spectra with the asymmetry. Since the translation symmetry in the rung direction is no longer present for the asymmetric case, we redefine the dynamical spin structure factor as

$$S^{\alpha\alpha'}(q_x,\omega) = \sum_{\nu} \langle \psi_0 | \hat{S}^z_{\alpha,-q_x} | \psi_\nu \rangle \langle \psi_\nu | \hat{S}^z_{\alpha',q_x} | \psi_0 \rangle$$
$$\times \delta(\omega - E_\nu + E_0), \qquad (14)$$

with

$$\hat{S}_{\alpha,q_x}^z = \frac{1}{\sqrt{L}} \sum_x e^{iq_x x} \hat{S}_{\alpha,x}^z, \qquad (15)$$

where there are four independent combinations of  $\alpha$  and  $\alpha'$ , i.e.,  $(\alpha, \alpha') = (1, 1)$ , (2, 2), (1, 2), and (2, 3).

We here consider the system with a 10% asymmetric modulation, i.e.,  $J_{12}=J_{31}=1$  and  $J_{23}=0.9$ . The DDMRG results of  $S^{\alpha\beta}(q_x, \omega)$  for a ladder with length L=24 are shown in Fig. 7. The spin gap should be closed with asymmetric modulation; correspondingly, we can see that the lowestlying peaks at  $q_x = \pi$  move to  $\omega \approx 0$  in the intraleg spin structure factors  $S^{11}(q_x, \omega)$  and  $S^{22}(q_x, \omega)$ . Thus,  $S^{11}(q_x, \omega)$  and  $S^{22}(q_x, \omega)$  are basically similar to that of the 1D Heisenberg model.<sup>30–32</sup> The lower edge of the spectra is well fitted with a function  $\omega = c \sin q_x$ , and c is estimated as 1.9 and 1.7 for  $S^{11}(q_x, \omega)$  and  $S^{22}(q_x, \omega)$ , respectively. One of the most noticeable deviation from the spectra of the 1D Heisenberg model is the rapid decrease of the spectral weights  $S(q_x)$  with distance from  $q_x = \pi$ . It is because the spin fluctuations are suppressed by the exchange interaction between the legs and the antiferromagnetic correlations in the leg direction are "longer ranged." Since the leg  $\alpha = 1$  is more strongly coupled with the neighboring legs than the leg  $\alpha=2$ , the spectral weights of  $S^{11}(q_x, \omega)$  at small  $q_x$  are fewer than those of



FIG. 7. (Color online) Dynamical spin structure factor  $S^{\alpha\beta}(q_x, \omega)$  at the asymmetric case  $J_{12}=J_{31}=1$  and  $J_{23}=0.9$ . The system size is fixed at L=24 and the broadening  $\eta=0.1$  is introduced. The dashed lines denote the fitting of the lowest-lying peaks with  $\omega \sim \sin q_x$ .

 $S^{22}(q_x, \omega)$ . For example, the similar feature has been confirmed in the spin structure factor of the two-dimensional Heisenberg model that has an antiferromagnetically ordered ground state.<sup>38</sup>

Let us turn to the interleg spin structure factors  $S^{12}(q_x, \omega)$ and  $S^{23}(q_x, \omega)$ . These factors themselves represent changes of the spectral features derived from the asymmetric modulation because they must be zero in the symmetric case. In  $S^{12}(q_x, \omega)$ , negative-weighted peaks appear around  $q_x = \pi$ . It means that the antiferromagnetic correlation between the legs  $\alpha = 1$  and  $\alpha = 2$  increases from the frustrated 120° spin structure for the symmetric case. Whereas in  $S^{23}(q_x, \omega)$ , smaller peaks with positive weights appear around  $q_x = \pi$ . It signifies the emergence of the ferromagnetic correlation between the legs  $\alpha=2$  and  $\alpha=3$ , which is caused by the superexchange interaction via the leg  $\alpha = 1$ . With increasing the asymmetric modulation, the low-energy physical properties of the three-leg ladder with the PBC in the rung direction seem to quickly approach those of a three-leg ladder with the OBC.<sup>39</sup>

## **IV. SUMMARY**

We study the low-lying excitations of the three-leg antiferromagnetic Heisenberg tube with the (D)DMRG method. For the symmetric case, we argue that the spin gap is scaled by the binding energy of the on-rung spin-singlet pair in the weak-coupling regime ( $J_{\perp} \leq 3$ ); whereas, it is scaled by the binding energy of the on-leg spin-singlet pair in the strongcoupling regime  $(J_{\perp} \gtrsim 10)$ . We then take an asymmetric modulation of the intraring exchange couplings into account. For small asymmetries, precise finite-size-scaling analyses of the spin gap and dimerization order parameter are carried out. Based on the results, we suggest that the spin gap vanishes as soon as an infinitesimally small asymmetry is introduced.

Furthermore, we calculate the dynamical spin structure factors. In the symmetric case, the low-energy spectra are essentially the same as those of the 1D SP Heisenberg model. Note, however, that additional peaks associated with the intraring excitation exist. In the asymmetric case, the intraleg spectra are basically similar to those of the 1D Heisenberg model. They are hardly affected by the asymmetric modulation except for the spin-gap closing at the band edge. On the other hand, the interleg spectra are profoundly affected even by small asymmetric modulation. An enhancement of ferromagnetic or antiferromagnetic correlations between two legs can be clearly seen. It means that the low-energy physics of the three-leg Heisenberg tube approaches quickly to that of the nontube three-leg ladder when increasing the asymmetry.

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## APPENDIX

In this Appendix, we consider how effectively the four degenerate states [Eq. (4)] are resolved to either of the doublets [Eqs. (6) and (7)] in the ground state. If only configurations containing one of the doublets are included in the asymmetric case, the Hilbert space to be considered can be much reduced. This reduction is exact only in the limit of  $J \rightarrow 0$  and thus we check the validity of the reduction numerically for nonzero J.

When  $|J_{12}-J_{23}| \ge J$ , the ground state of each triangle is approximately expressed as a linear combination of the states



FIG. 8. (Color online) Ground-state expectation values of the projection operators  $\langle P_1 \rangle$  (= $\langle P_2 \rangle$ ) (empty) and  $\langle P_3 \rangle$  (= $\langle P_4 \rangle$ ) (filled) for  $J_{12}=J_{13}=1$  (squares) and 100 (circles).

 $|1\rangle$  and  $|2\rangle$  for  $J_{23} > J_{12}$ ; whereas states  $|3\rangle$  and  $|4\rangle$  are for  $J_{23} < J_{12}$ . However, the four states are equally likely "mixed" around  $J_{12}=J_{23}$  for nonzero J. We here prepare projection operators  $P_i = |i\rangle\langle i|$  (i=1...4). In Fig. 8(a), the ground-state expectation values of the projection operators  $\langle P_1 \rangle$  (= $\langle P_2 \rangle$ ) and  $\langle P_3 \rangle$  (= $\langle P_4 \rangle$ ) as a function of  $|J_{12}-J_{23}|$  are shown for a couple of cases  $J_{12}=J_{31}=1$  and 100. We can see that the dominance of the doublet state exchanges continuously between  $|1\rangle$  and  $|2\rangle$ , and  $|3\rangle$  and  $|4\rangle$  at  $|J_{12}-J_{23}| \leq O(J/2)$ . It is confirmed for  $J_{12}=J_{31}=100$  that either  $\langle P_1 \rangle$  or  $\langle P_3 \rangle$  is  $\sim 1/2$ and the other is  $\sim 0$  at  $|J_{12}-J_{23}| \gtrsim J/2$ , where the reduction to the doublet of the Hilbert space in each triangle must be applicable. Since we have the relation  $P_1 - P_2 + P_3 + P_4$  $=\omega^2 \tau^+ + \omega \tau^-$ , the values  $\langle P_1 \rangle$  and  $\langle P_3 \rangle$  are symmetric on the reflection against the line  $J_{23}-J_{12}=0$ , which is consistent with the crystal-field-type term in the effective Hamiltonian [Eq. (8)]. We also plot the same results as a function of  $J_{23}/J_{12}$  in Fig. 8(b). For  $J_{12}=J_{31}=1$ , the situation seems to be more complex but the properties are expected to be qualitatively similar to those in the strong-coupling limit  $J_{12}, J_{23}$  $\gg J$ .

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