Kinetics of nonequilibrium quasiparticle tunneling in superconducting charge qubits

M. D. Shaw,¹ R. M. Lutchyn,² P. Delsing,^{3,4} and P. M. Echternach^{1,4,*}

¹Department of Physics and Astronomy, University of Southern California, Los Angeles, California 90089-0484, USA

²Joint Quantum Institute, Department of Physics, University of Maryland, College Park, Maryland 20742, USA

³Microtechnology and Nanoscience, MC2, Chalmers University of Technology, 412 96 Göteborg, Sweden

⁴Jet Propulsion Laboratory, California Institute of Technology, Pasadena, California 91109, USA

(Received 4 April 2008; revised manuscript received 20 May 2008; published 1 July 2008)

We directly observe low-temperature nonequilibrium quasiparticle tunneling in charge qubits based on the single-Cooper-pair box. We measure even- and odd-state dwell-time distributions as a function of temperature, and interpret these results using a kinetic theory. While the even-state lifetime is exponentially distributed, the odd-state distribution is more heavily weighted to short times, implying that odd-to-even tunnel events are not described by a homogenous Poisson process. The mean odd-state dwell time increases sharply at low temperature, which is consistent with quasiparticles tunneling out of the island before reaching thermal equilibrium.

DOI: 10.1103/PhysRevB.78.024503

PACS number(s): 74.78.Na, 73.23.Hk, 85.25.Am

I. INTRODUCTION

In recent years, mesoscopic single-Cooper-pair devices have attracted considerable interest and demonstrated remarkable technological improvement. Examples of such devices include the Cooper-pair box (CPB) charge qubit,¹ the single-Cooper-pair transistor (SCPT),^{2,3} and the Cooper-pair pump current standard.⁴ In all of these devices, performance is sharply degraded by the undesired tunneling of quasiparticles. In particular, the use of the CPB as a building block for quantum computation requires the preparation and manipulation of delicate superpositions of charge states, which are disrupted when a quasiparticle tunnels across the barrier. While quasiparticle tunneling is not currently the limiting mechanism for decoherence in charge qubits, it is a fundamental source of phase relaxation⁵ and imposes a sharp limit on the qubit operation time.

Since single-Cooper-pair devices are typically operated at temperatures below 100 mK, the density of quasiparticles in thermal equilibrium is exponentially suppressed.² As such, recent attempts to understand the kinetics of quasiparticle tunneling have focused on the behavior of quasiparticles out of chemical equilibrium, and considerable progress in understanding such systems has recently been made in both theory and experiment.^{6–12}

In this experiment, we directly measure quasiparticle tunneling statistics in the time domain for CPB charge qubits using an established technique.⁸ We extract independent dwell-time distributions in the even and odd states, and interpret them using a kinetic model of quasiparticle trapping.¹¹ We then use this model to understand the behavior of the transition rates as a function of temperature.

II. EXPERIMENT

The device used in these experiments consists of a pair of CPB charge qubits weakly coupled with a fixed capacitor. For the purposes of this experiment, the qubits can be treated as two independent, uncoupled devices fabricated on the same chip. A circuit diagram and SEM images of the two qubits, which we denote as "left" and "right," are shown in Fig. 1. Independent readout of both qubits was performed using a multiplexed quantum capacitance measurement with rf reflectometry. As individual quasiparticles tunnel, the capacitance switches stochastically between two values, which are characteristic of odd and even parities in the device. By analyzing the statistics of this fluctuation in the time domain, we can independently measure the rates of odd-to-even and even-to-odd transitions, corrected for the finite bandwidth of the measurement.¹³



FIG. 1. (Color online) (a) Scanning electron micrograph of multiplexed on-chip LC oscillators in a device similar to that used in this experiment. The qubit features are at the center. (b) False-color scanning electron micrograph of qubit structures. The qubit islands are formed by the thin bars at the top and the inside of the interdigitated rf gate capacitors, at the center. The qubit junctions sit at the top of the loop structures. The control gate capacitors are off to the sides. Red (1): Left qubit island. Blue (2): Left rf gate. Green (3): Left control gate. Yellow (4): Qubit leads and ground plane. Orange (5): Right qubit island. Purple (6): Right rf gate. Pink (7): Right control gate. (c) Schematic diagram of on-chip qubit and quantum capacitance readout circuitry. Nominal component values are given in the text. Not shown explicitly is the fixed interqubit coupling capacitor, which is formed by the shadow of the two qubit islands spanned by a small bridge. Also not shown are the superconducting filters on the rf leads, which can be seen toward the center of (a).

The quantum capacitance readout (QCR) is a dispersive measurement of the reactive response of an *LC* oscillator coupled capacitively to the qubit island.^{14,15} The oscillator is tuned to a frequency much lower than the qubit energy-level spacing, minimizing measurement back action and filtering high-frequency noise from the cold amplifier and the rf line. The overall capacitance of the oscillator is

$$C_i = C_T + C_C + \frac{C_g C_J}{C_g + C_J} - \frac{C_g^2}{e^2} \frac{\partial^2 E_i}{\partial n_g^2},\tag{1}$$

when the qubit is in the *i*th energy eigenstate. In this equation, C_T =450 fF is the capacitance of the tank circuit, C_C =20 fF is the capacitance of the input coupling capacitor, $C_g=2$ fF is the rf gate capacitance, $C_J=2.2$ fF is the junction capacitance, E_i is the *i*th qubit energy eigenvalue, and $n_{g} = C_{cg} V_{g} / e$ is the normalized gate charge, where C_{cg} =230 aF is the control gate capacitance. The third term in Eq. (1) is referred to as the quantum capacitance, and it is proportional to the curvature of the qubit energy level. By measuring the phase shift of a reflected rf signal, one can directly extract the quantum capacitance, which in typical experiments is on the order of 1 fF. Since the ground and first excited states have opposite curvatures at the degeneracy point, this dispersive technique can be used to measure the state of the qubit directly at its operating point. In this experiment, a multiplexed QCR is used to read out both qubits concurrently. Two parallel lumped-element LC tank circuits with different inductances are capacitively coupled to a single transmission line, which is probed with a two-tone rf signal. The reflected signal is demodulated in a homodyne technique with two analog quadrature mixers, allowing independent monitoring of the two qubits.

The tank circuits and qubit structures are fabricated on the same *R*-plane Al_2O_3 substrate, as shown in Fig. 1. The left and right tank circuits have center frequencies of 556.42 and 612.38 MHz, respectively, with Q factors of approximately 3000. The tank circuit capacitors and inductors have nominal values of 450 fF and 145 nH for the right tank circuit, and 450 fF and 175 nH for the left one. The tank circuit capacitance derives primarily from the parasitic capacitance of the inductor coil, although an on-chip interdigitated capacitor is also present. There are also coupling capacitors between the LC circuits and the 50 Ω transmission line, with nominal values of 20 fF. The tank circuits are patterned with photolithography and made from a superconducting Al/Ti/Au trilayer with respective material thicknesses of 900, 200, and 200 Å. In such a trilayer, the critical temperature T_C is strongly dependent on the thickness of the aluminum layer,¹⁶ so a thin aluminum trilayer will act as a quasiparticle trap. For a 900 Å aluminum layer, the energy gap is estimated to be $\Delta/k \approx 1.5$ K. In previous samples with a similar design, an Al/Ti/Au trilayer with a 300 Å aluminum layer had an approximate gap energy $\Delta/k=0.88$ K, with much less severe quasiparticle poisoning. This is consistent with recent studies of the effects of normal-metal traps on quasiparticle tunnel rates.17

The qubit structures are patterned using electron-beam lithography and fabricated using a conventional shadow-mask aluminum evaporation technique. The gubits themselves consist of small aluminum islands coupled to ground via ultrasmall (100 \times 100 nm) Al/AlO_x/Al tunnel junctions arranged in a loop, or dc-superconducting quantum interference device (SQUID) configuration. The qubits are controlled by two separate gate capacitors and an externally applied magnetic flux. The island thickness is 25 nm, while the lead thickness is 55 nm. Note that the two qubits have SQUID loops of different sizes, so that the applied flux can be tuned quasi-independently. No attempt was made to engineer the island-lead gap profile by oxygen doping the Al films. By fitting traces of the ground-state quantum capacitance, we estimate that $E_C/k=200$ mK in both qubits, while $E_J^{\text{right}}/k=160$ mK and $E_J^{\text{left}}/k=350$ mK. The relatively small value of E_C is the result of the large rf gate capacitance, as shown in Fig. 1. While charge qubits have traditionally been optimized for a large E_C/E_J ratio to prevent leakage from the qubit subspace, small- E_C qubits are better suited to gapprofile engineering to suppress quasiparticle tunneling [see Eq. (5) and have significant noise suppression advantages.¹⁸

The two qubits are weakly coupled along $\sigma_z \otimes \sigma_z$ with a fixed capacitor, as shown in Fig. 1. In capacitively coupled CPBs, the coupling energy $E_m = e^2 C_m / (C_{\Sigma 1} C_{\Sigma 2} - C_m^2)$, where C_m is the mutual qubit coupling capacitance and $C_{\Sigma 1,2}$ is the total island capacitance for the left and right qubits, can be estimated by measuring the gate voltage dependence of the ground-state quantum capacitance as the system is brought through the mutual degeneracy point. In this sample, no excursion was found in the left qubit as the right qubit was brought through its degeneracy point, for any value of gate voltage in the left qubit. The same was also true for the right qubit. From this, we estimate that the coupling energy E_m $\ll E_c, E_J$, and to first approximation the two qubits can be treated as uncoupled. For the remainder of this paper, we will discuss the left and right qubits independently, as separate devices mounted on the same chip. The sample was mounted on the mixing chamber of a dilution refrigerator with a base temperature of 18 mK.

To observe quasiparticle tunneling in the time domain, the down-converted rf signal is amplified, filtered, and digitized with an oscilloscope, where individual tunnel events appear as sudden jumps in the phase of the reflected wave, since the tunneling of a single quasiparticle causes the CPB gate charge to shift by 1e. This technique was first employed by Naaman and Aumentado⁸ to measure quasiparticle tunneling rates in an SCPT. The resulting phase shift record takes the form of a random telegraph signal in the time domain, which is filtered with a Schmitt trigger as shown in Fig. 2. From this filtered signal, we extract dwell times for both the odd and even states. By fitting a histogram of these dwell times to different functions, one can determine both the odd-toeven and the even-to-odd quasiparticle tunneling rates, and determine the nature of temporal correlations between tunnel events. The dwell-time histograms can also be used to extract the mean dwell times in the odd and even states. To verify that the coupling between the two qubits has a negligible effect on quasiparticle tunneling, we measured random telegraph traces for both qubits simultaneously using the multiplexed quantum capacitance readout, and computed the cross correlation between the two filtered signals. No evidence of



FIG. 2. (Color online) A representative quantum capacitance data trace and the filtered telegraph signal. Dwell-time records are assembled by counting the time between zero crossings.

correlation was found between the two signals, so the correlation time is presumably much less than 2 μ s.

To optimize the signal quality, the amplifier filter bandwidth was set to 100 kHz, while typical tunnel rates are on the order of 10 kHz. The bandwidth of the tank circuit is approximately 200 kHz. The low-pass filter tends to skew the dwell-time distribution toward longer times, but this can be corrected for by applying the procedure described in Ref. 13. The time base of the oscilloscope was set to 1 μ s per point, and data were recorded in 10 000-point "frames" 10 ms in length. To assemble a typical time record, we would sequentially acquire 100 frames with an ~ 1 s delay between each frame, and concatenate them into a single 1 s time record, dropping the first and last tunnel events within each frame. Because at long times the tunneling process is approximately Poisson, recording the data this way simply imposes a highpass filter at 100 Hz. Since typical tunnel rates are on the order of 10 kHz, this will not significantly affect the statistics obtained from the dwell-time histograms, since count rates approaching 100 Hz are negligible in most cases.

III. THEORY

To explain the temperature dependence of the quasiparticle tunnel rates, we turn to the kinetic theory recently developed by Lutchyn and Glazman.¹¹ In the following, we assume that the qubit is operated at the degeneracy point, and that $\Delta_I \approx \Delta_L$, the superconducting energy gaps in the island and the lead, are roughly equal.

A. Distribution of nonequilibrium quasiparticles

Let us assume that an external energy source such as high-frequency electromagnetic radiation has generated a uniform density of nonequilibrium quasiparticles, n_{qp} , throughout the sample, which are in thermal equilibrium at temperature *T* when the device is in the even state. While they are assumed to be in thermal equilibrium, they are not necessarily in chemical equilibrium. As discussed



FIG. 3. (Color online) (a) Energy diagram for the even and odd states as a function of gate voltage. The red (solid) curves are the first and second even-state energy levels, and the blue (dashed) curves are the odd-state levels. The odd-state levels are simply the even-state levels shifted in gate charge by one electron. In the presence of an island-lead gap difference, the blue curve will also be shifted vertically by an energy $\tilde{\Delta}$. (b) Schematic diagram of the relevant time and energy scales for the theory presented in Sec. III. Adapted from Ref. 11.

previously,¹⁰ these extra quasiparticles will shift the chemical potential of the leads and island by respective amounts $\delta \mu_{L,I}$, which are related to the quasiparticle density

$$n_{\rm qp} = 2D(E_F) \int_{\Delta_{L,I}}^{\infty} dE \frac{E}{\sqrt{E^2 - \Delta_{L,I}^2}} [f(E - \delta \mu_{L,I}) - f(E)] \quad (2)$$

through the Fermi function f(E). In this equation, $D(E_F)$ is the normal-metal density of states at the Fermi level, and $E/\sqrt{E^2-\Delta_L^2}$ is the normalized BCS density of states for an *s*-wave superconductor. To lowest order in temperature,

$$\delta\mu_{L,I} \approx kT \ln\left[1 + \frac{n_{\rm qp}}{N_{L,I}} \exp\left(\frac{\Delta_{L,I}}{kT}\right)\right] \approx \Delta_{L,I} + kT \ln\left(\frac{n_{\rm qp}}{N_{L,I}}\right),\tag{3}$$

where $N_{L,I} = D(E_F) \sqrt{2 \pi \Delta_{L,I} kT}$ is the density of quasiparticle states available in the lead (island). When a quasiparticle tunnels from the lead to the island, however, the total number of quasiparticles on the island goes from $n_{qp}\Omega_I$ to $n_{qp}\Omega_I + 1$, and

$$\delta\mu_I^* \approx kT \ln \left[1 + \frac{n_{qp} + \Omega_I^{-1}}{N_I} \exp\left(\frac{\Delta_I}{kT}\right) \right],\tag{4}$$

where Ω_I is the volume of the island. Such parity effects in the chemical potential have been well understood for some time.¹⁹

B. Lead-to-island tunneling

Consider the behavior of the qubit in the even state at the degeneracy point, $n_g=1e$, as shown in Fig. 3. The energy difference between even and odd states is given by²⁰

$$\delta E = \widetilde{E}_C [M_A(1, -\alpha) - M_A(0, -\alpha)] - \widetilde{\Delta} \approx E_C - \frac{E_J}{2} - \widetilde{\Delta},$$
(5)

where $\tilde{\Delta} = \Delta_I - \Delta_L$ is the island-lead gap profile, $M_A(r,q)$ is the characteristic Mathieu function, $\alpha = E_J/2\tilde{E}_C$, $\tilde{E}_C = E_C/(1+3hG_NE_C/32e^2\Delta_I)$ is the renormalized charging energy, and G_N is the normal-state tunneling conductance. If $\tilde{\Delta}$ is small or negative, quasiparticles on the lead will tend to become trapped on the island, as indicated in Fig. 3. Following Ref. 7, the even-to-odd transition rate, which is equal to the lead-to-island tunneling rate, can be derived from Fermi's golden rule as follows:

$$\Gamma_{\rm eo} = \frac{G_N}{e^2} \int_{\max(\Delta_I - \delta E, \Delta_L)}^{\infty} dE \frac{E(E + \delta E) - \Delta_L \Delta_I}{\sqrt{(E^2 - \Delta_L^2)((E + \delta E)^2 - \Delta_I^2)}} \times f(E - \delta\mu_L) [1 - f(E + \delta E - \delta\mu_I)], \tag{6}$$

where $f(E - \delta \mu_L)$ is the Fermi function for a quasielectron in the lead at energy E above the Fermi level, and $1 - f(E + \delta E)$ $-\delta\mu_{l}$ is the probability of finding an available state in the island at the same energy. For the parameters of our experiment, the cutoff of the Fermi functions are well below the edge of the gap, so $f(E - \delta \mu_I) [1 - f(E + \delta E - \delta \mu_I)]$ $\approx e^{-(E-\delta\mu_L)/kT}$, which formalizes the assumption that the tunnel rates are dominated by the quasiparticle density in the leads, rather than in the island. Note that this expression assumes that while the quasiparticles are out of chemical equilibrium, they are at all times in thermal equilibrium at temperature T. The integrand in Eq. (6) has the usual form of a product of the density of states in the lead and island multiplied by the lead and island occupation functions, but it is important to note that the density of states in this equation differs from the standard form^{19,21} for quasiparticle tunneling across a superconducting junction by a factor of $\frac{1}{2} [1 - \Delta_I \Delta_I / E(E + \delta E)]$. This extra factor is the product of BCS coherence factors which arise from destructive interference between the tunneling of electronlike and holelike quasiparticles. At the degeneracy point, the ground state of the qubit is given in the two-state approximation by $|g\rangle = \frac{1}{\sqrt{2}} (|n\rangle)$ $+|n+2\rangle$, where $|n\rangle$ is the island charge state with n/2 Cooper pairs. As such, the transition $\langle n+1|H_T|n\rangle$ must involve adding a quasielectron, while $\langle n+1|H_T|n+2 \rangle$ must involve adding a quasihole, where H_T is the single-electron tunneling Hamiltonian. Finally, note that Eq. (6) includes a factor of 2 for the electron-hole degeneracy, since the integral is identical for negative energies.

The quantity which is actually measured in experiments is the even-state dwell-time distribution $N_{\text{even}}(t)$, which is the probability per unit time of a lead-to-island tunnel event conditioned on the CPB being in the even state at t=0. The odd-state dwell-time distribution $N_{\text{odd}}(t)$ can be defined in an equivalent way. As demonstrated in Ref. 11, even-to-odd transitions are exponentially distributed,

$$N_{\rm even}(t) = \Gamma_{\rm eo} \exp(-\Gamma_{\rm eo} t), \tag{7}$$

which corresponds to a homogenous Poisson process, for which individual tunnel events are temporally uncorrelated. This is not surprising, since one intuitively expects that quasiparticle tunneling from the lead is a completely incoherent process.

C. Island-to-lead tunneling

For the odd-to-even transitions, the situation is more complex. Consider a quasiparticle tunneling from the lead to the island with energy $E \approx \Delta_L + kT$. Once in the island, the quasiparticle will thermalize to the edge of the gap via inelastic phonon scattering in an average time²²

$$\tau = \tau_0 \left(\frac{kT_C}{\Delta_I}\right)^3 \left\{ \frac{1}{3}q^3 + \frac{5}{3}q - \frac{\Delta_I}{2\omega} \left[1 + 4\left(\frac{\omega}{\Delta_I}\right)^2 \right] \ln\left(\frac{\omega}{\Delta_I} + q\right) \right\}$$
$$\approx \tau_0 \left(\frac{kT_C}{\Delta_I}\right)^3 \left(\frac{\Delta_I}{\delta E}\right)^{7/2},\tag{8}$$

where $\tau_0 \approx 100$ ns is the characteristic electron-phonon scattering time for Al, T_C is the superconducting transition temperature, $\omega = (\Delta_L + kT)/\hbar$, and $q = \sqrt{(\frac{\omega}{\Delta_I})^2 - 1}$. For the parameters of our films, $\tau \approx 0.1-1$ ms, which is slower than the observed rates of quasiparticle tunneling. Due to the strong dependence of τ on Δ_I , which we treat as a fit parameter, it is difficult to estimate τ to more than order-of-magnitude precision. Also note the strong dependence of the quasiparticle thermalization time on the trap depth δE [see Eq. (5)], hence the qubit parameters E_C and E_J . In the regime of a large E_C and small E_J , the quasiparticle thermalization time τ in the island is very short, and the effects which are unique to a nonthermal quasiparticle distribution will not be as evident.

Since the relaxation and tunneling time scales are comparable, we must consider two characteristic rates for island-tolead tunneling: the tunneling rate Γ_{oe}^{th} for quasiparticles which have settled into thermal equilibrium at the gap edge and escape from the island via thermal excitation, and the rate Γ_{oe}^{el} for quasiparticles which tunnel elastically before they have the opportunity to relax to the bottom of the well. Naturally, one would expect Γ_{oe}^{el} to dominate on time scales short with respect to τ .

By a similar argument used to derive Eq. (6), the phononassisted tunneling rate for thermalized quasiparticles is given by

$$\Gamma_{\text{oe}}^{\text{th}} = \frac{G_N}{e^2} \int_{\max(\Delta_I - \delta E, \Delta_L)}^{\infty} dE \frac{E(E + \delta E) - \Delta_L \Delta_I}{\sqrt{(E^2 - \Delta_L^2)[(E + \delta E)^2 - \Delta_I^2]}} \times [1 - f(E - \delta \mu_L)] f(E + \delta E - \delta \mu_I).$$
(9)

To estimate the tunneling rate for the unthermalized quasiparticles, we can no longer use the Fermi distribution for occupied quasiparticle energy states in the island. Although on the time scales of interest energy relaxation via phonon scattering is a continuous process, for simplicity let us calculate the tunneling rate assuming that tunneling is perfectly elastic; i.e., the quasiparticle tunnels out of the island with exactly the same energy it tunneled in with. In this approximation, we take the quasiparticle distribution on the island to be a delta function at $E=\Delta_L+kT$, the energy of a "typical" quasiparticle on the lead. This gives the simple expression

$$\Gamma_{\text{oe}}^{\text{el}} = \frac{G_N}{e^2 D(E_F) \Omega_I} \frac{(\Delta_L + kT)(\Delta_L + kT + \delta E) - \Delta_I \Delta_L}{\sqrt{[(\Delta_L + kT)^2 - \Delta_L^2][(\Delta_L + kT + \delta E)^2 - \Delta_I^2]}} \times [1 - f(\Delta_L + kT - \delta\mu_L)].$$
(10)

For the parameters of our experiment, $[1-f(\Delta_L+kT-\delta\mu_L)] \approx 1$, and Γ_{oe}^{el} is simply proportional to the density of states (including the coherence factors described above). Due to the square-root singularity, this equation predicts a sharp rise in Γ_{oe}^{el} as $T \rightarrow 0$, which is also observed in our data. Note that in all of the golden rule rate expressions [Eqs. (6), (9), and (10)] the qubit parameters E_C and E_J enter only through the trap depth δE , which plays the role of the bias voltage in the analogous case of quasiparticle tunneling through a biased junction.

Having discussed the characteristic tunneling rates for both thermalized and unthermalized quasiparticles, we turn to the odd-state dwell-time distribution, which is the quantity actually measured in the experiment. The survival probability $S_{odd}(t)$ for a quasiparticle to remain in the island for a time *t* after tunneling from the lead is given by

$$S_{\text{odd}}(t) = F(t) + \frac{1}{\sqrt{\pi}\tau\Gamma_{\text{oe}}^{\text{el}}} \exp\left(-\frac{\Gamma_{\text{oe}}^{\text{th}}t}{\sqrt{\pi}\Gamma_{\text{oe}}^{\text{el}}\tau}\right), \quad (11)$$

where

$$F(t) = \frac{\tau}{\sqrt{\pi}\Gamma_{oe}^{el}g(\Delta_I + \delta E)} \int_0^\infty dz \frac{g(\Delta_I + \delta E + kTz)[\Gamma_{oe}^{el}(kTz)]^2}{1 + \tau\Gamma_{oe}^{el}(kTz)}$$
$$\times \exp[-z - t\Gamma_{oe}^{el}(kTz) - t/\tau]$$
(12)

is the component of the distribution due to quasiparticles out of thermal equilibrium. In this expression, $g(E) = E/\sqrt{E^2 - \Delta_I^2}$, and $\Gamma_{oe}^{el}(kTz)$ is the golden rule elastic tunneling rate for a quasiparticle in the island at energy $\Delta_I + \delta E + kTz$. Equation (11) is obtained by considering a master equation for $S_{odd}(t)$ including a collision integral, and taking the long- τ limit for the solution. The dwell-time distribution $N_{odd}(t) = \frac{\partial}{\partial t} [1 - S_{odd}(t)]$ is the probability density for a quasiparticle to tunnel out of the island at a time *t* after tunneling in.

At long times, $F(t) \approx 0$ and the odd-state dwell-time distribution $N_{\text{odd}}(t)$ is approximately an exponential. For $t > \tau$, $N_{\text{odd}}(t)$ is dominated by thermalized quasiparticles, which are excited out of the well by phonon-assisted tunneling. Since each phonon absorption event is independent and uncorrelated, the long-time limit of the dwell-time distribution is approximately an exponential,

$$N_{\rm odd}(t) \approx \frac{\Gamma_{\rm oe}^{\rm th}}{\pi (\tau \Gamma_{\rm oe}^{\rm el})^2} \exp\left(-\frac{\Gamma_{\rm oe}^{\rm th} t}{\sqrt{\pi} \Gamma_{\rm oe}^{\rm el} \tau}\right).$$
 (13)

For times t which are short compared to τ , $F(t) \ge 1/\sqrt{\pi\tau}\Gamma_{oe}^{el}\exp(-\Gamma_{oe}^{th}t/\sqrt{\pi}\Gamma_{oe}^{el}\tau)$ and the distribution is dominated by unthermalized quasiparticles. For times longer than a characteristic time

$$t_{\rm ch} = \frac{1}{\Gamma_{\rm oe}^{\rm el}} \left(\frac{\delta E}{2kT}\right)^{2/3},\tag{14}$$

where the density of states ceases to be a rapidly varying function of energy, the dwell-time distribution is also an exponential, with rate parameter Γ_{oe}^{el} . This can be seen from Eq. (12), since for $t > t_{ch}$, the density of states and hence $\Gamma_{oe}^{el}(E) \approx \Gamma_{oe}^{el}$ is a weak function of energy, so the exponential can be taken outside the integral.

However, for $t \le t_{ch}$, the dwell-time distribution is not an exponential, but is weighted more heavily toward shorter times. As such, the tunnel events are not Poisson distributed and are temporally correlated over short times. This occurs because the density of states, and likewise $\Gamma_{oe}^{el}(E)$, is a rapidly varying function of *E* and cannot be treated as a constant. In the short-time limit, the asymptotic expression for the dwell-time distribution is given by

$$N_{\rm odd}(t) \approx \frac{2^{4/3}}{\sqrt{3}} \frac{\Gamma_{\rm oe}^{\rm el}}{(\Gamma_{\rm oe}^{\rm el} t)^{1/3}} \exp\left(-3\left(\frac{\Gamma_{\rm oe}^{\rm el} t}{2}\right)^{2/3}\right).$$
(15)

This equation is the short-time asymptote of the negative derivative of Eq. (11), which is the solution to a master equation which includes a continuous-time collision integral for electron-phonon scattering, which accounts for the fact that quasiparticles in the island will be fully thermalized before being excited out of the trap. This is why Eq. (12) includes an integral containing the elastic tunneling rate over all energies above the gap. In the short-time limit, Eq. (15) is evaluated using the fixed elastic tunneling rate given by Eq. (10), which assumes a sharply peaked quasiparticle distribution at an energy close to the gap edge, with a width on the order of k_BT . A more detailed numerical study of the nonequilibrium quasiparticle density has recently been performed in the context of superconducting tunnel junction detectors.²³ Regardless of its functional form, $N_{odd}(t)$ can be easily related to the mean dwell time

$$\langle t \rangle = \int_0^\infty t N_{\text{odd}}(t) dt \left/ \int_0^\infty N_{\text{odd}}(t) dt = -\int_0^\infty dt \int^t dt' N_{\text{odd}}(t').$$
(16)

For a more detailed treatment of the theory, the reader is referred to Ref. 11.

Recall that this model assumes a uniform density of nonequilibrium quasiparticles, $n_{\rm qp}$, which enter only as a shift in the chemical potential when the system is in thermal equilibrium. In the temperature range of typical quantum computing experiments, the odd-to-even tunneling probabilities are dominated by unequilibrated quasiparticles and are approximately independent of $n_{\rm qp}$. Meanwhile, the even-to-odd transition rate $\Gamma_{\rm eo} \approx K n_{\rm qp}$ is approximately linear in $n_{\rm qp}$, where

$$K = \frac{G_N}{e^2} \frac{e^{\Delta_L/kT}}{N_L} \int_{\max(\Delta_I - \delta E, \Delta_L)}^{\infty} dE \frac{E(E + \delta E) - \Delta_L \Delta_I}{\sqrt{[(E + \delta E)^2 - \Delta_I^2](E^2 - \Delta_L^2)}} \times e^{-E/kT}.$$
(17)



FIG. 4. (Color online) Odd- and even-state dwell-time distributions, measured experimentally for two different mixing chamber temperatures. (c) Odd-state distribution, 18 mK. Fit to Eq. (15) with $\Gamma_{oe}^{el}=54\pm2$ kHz. The vertical red line indicates $t_{ch}=155$ µs. (b) Odd-state distribution, 200 mK. Fit to exponential with Γ_{oe} =9.3±0.3 kHz. The vertical (red) line indicates $t_{ch}=63$ µs. (c) Even-state distribution, 18 mK. Fit to Eq. (7) with Γ_{eo} =5.7±0.1 kHz. (d) Even-state distribution, 200 mK. Fit to Eq. (7) with $\Gamma_{eo}=9.1\pm0.3$ kHz. See Sec. IV for details.

IV. RESULTS

As discussed in Sec. II, we have measured the statistics of telegraph noise due to quasiparticle tunneling in a pair of Cooper-pair boxes at a variety of temperatures, tuned to their degeneracy points. From the quantum capacitance signal, we can extract the even- and odd-state dwell-time distributions, an example of which is shown in Fig. 4 for two different sample temperatures, 18 and 200 mK. While these data are shown for the right qubit, studies of the left qubit were qualitatively similar. These data agree well with the theory outlined in Sec. III. At 18 mK, shown in Fig. 4(a), the odd-state distribution clearly deviates from an exponential at short times. The solid green line is a single-parameter leastsquares fit to Eq. (15), with Γ_{oe}^{el} = 54 ± 2 kHz. The vertical red line indicates the characteristic time scale $t_{ch}=155 \ \mu s$, and for $t \ll t_{ch}$ the distribution indeed deviates from an exponential. At 18 mK, the even-state distribution is clearly an exponential, as shown in Fig. 4(c), with a single-parameter fit to Eq. (7), yielding Γ_{eo} =5.7±0.1 kHz. Note that since the tunnel rate into the island is an order of magnitude slower than the tunnel rate out of the island; on the average the box spends most of its time in the even state. The probability for finding the box in the even state at 18 mK is $P_{\rm ev} = \Gamma_{\rm oe} / (\Gamma_{\rm eo})$ $+\Gamma_{0e}$)=0.90.

At higher temperature, the nonexponential behavior of the odd-state dwell-time distribution is seen only at shorter times, while the mean dwell-time distribution extends to longer times. In Fig. 4(b), the odd-state dwell-time distribution is plotted for a sample temperature of 200 mK. At this temperature, t_{ch} =63 μ s, as shown by the red vertical line. Observe that the nonexponential component of the dwell-time distribution occurs only at very short times, within the

first several bins of the histogram. Beyond this point, the distribution is best fit to an exponential, with a characteristic rate $\Gamma_{oe}=9.3\pm0.3$ kHz. This is consistent with the predictions of the theory outlined in Sec. III. The even-state dwell-time distribution is fitted to Eq. (7) with $\Gamma_{eo}=9.1\pm0.3$ kHz. In this case, since the time scales for tunneling into and out of the box are almost equal, $P_{ev}=0.51$.

To assemble the dwell-time histograms shown in Fig. 4, the raw data are filtered with a hysteretic Schmitt trigger algorithm, as shown in Fig. 2. For the data shown in Fig. 4, the difference between the high and low thresholds is set to 18% of the overall signal amplitude. Moderate changes in the threshold location and the threshold difference do not give rise to a significant change in the functional form of the dwell-time histograms, and the non-Poissonian behavior in Fig. 4(a) is still clearly visible. The histograms are binned in increments of 5 μ s, which was chosen to optimize the resolution of the histogram and the noise in the data at low count rates. Since the bandwidth of the measurement is 100 kHz, histogram data for the two bins below 10 μ s are unphysical. Background phase noise near the branch line at 0° , where the demodulated phase wraps around, will result in spurious transitions in the time record, which are manifested as excess counts in the first two bins of the dwell-time histograms, as can be seen in Fig. 4(c). However, these spurious transitions will not significantly affect the dwell-time distribution beyond the first few bins, since the time scale of the phase noise is much shorter than that of the quasiparticle tunneling processes.

To quickly compare the dwell-time distributions for different temperatures, we compute the mean dwell time (MDT) $\langle t \rangle$ from the data N(t) using Eq. (16). This is shown in Fig. 5 for five different mixing chamber temperatures ranging from 18 to 200 mK. The odd-state mean dwell times are shown as black circles, while the even-state dwell times are shown as red squares. All points except for the MDT at 18 mK have been adjusted to include the finite measurement bandwidth, as discussed in Ref. 13. Since this correction scheme assumes that the underlying process is Poisson, it has not been applied to the lowest temperature data point. The correction is typically on the order of 10%. Note that the mean dwell times at 18 and 200 mK agree closely with the values extracted from the theoretical fits in Fig. 4. At 18 mK, the inverse of the odd-state mean dwell time is 54.4 kHz, while for the even state it is 6.2 kHz. At 200 mK, the agreement is a bit coarser, where the inverse of the odd-state mean dwell time is 12.9 kHz, and for the even state it is 8.7 kHz. This discrepancy is due to the fit of the odd-state dwell-time distribution at 200 mK to an exponential, which ignores the short-time effects.

The lines in Fig. 5 are plots of the golden rule transition rates discussed in Sec. III. The solid green line is a plot of the elastic odd-to-even tunnel rate Γ_{oe}^{el} described in Eq. (10), the dotted blue line is the thermally excited odd-to-even tunnel rate Γ_{oe}^{th} described in Eq. (9), and the dashed red line is the even-to-odd tunnel rate described in Eq. (6). These curves are plotted with free parameters $\Delta_I = 2.5$ K, Δ_L = 2.6 K, and the nonequilibrium quasiparticle density n_{qp} = 9 × 10¹⁸ m⁻³, with all other parameters fixed to their nominal values. The qubit parameters $E_C/k=200$ mK and E_J/k



FIG. 5. (Color online) Points: Inverse mean dwell times extracted from dwell-time distributions as a function of temperature. The black circles are odd-to-even transition rates; the red squares are even-to-odd rates. Curves: Theoretical estimates of the underlying physical tunneling rates, with the parameters $\Delta_I = 2.5$ K, $\Delta_L = 2.6$ K, and $n_{\rm qp} = 9 \times 10^{18}$ m⁻³. The solid green curve is a plot of Eq. (10), the dotted blue curve is a plot of Eq. (9), and the dashed red curve is a plot of Eq. (6). Note the negligible contribution of $\Gamma_{\rm oe}^{\rm th}$ at low temperature. Details can be found in Sec. IV.

=160 mK are estimated from fits of the quantum capacitance as described in Sec. II. It is important to note that the solid curves plot the rates of the underlying tunneling processes, rather than the overall mean dwell time predicted from Eqs. (11), (12), and (16).

While these data were taken for the right qubit, data taken with the left qubit were qualitatively similar. Furthermore, many data sets were taken at each temperature, as other parameters of the experiment were varied, and the temperature dependence is qualitatively reproducible. Note that in fitting the data shown in Fig. 5, we find that the superconducting gap in the island is lower than the gap in the lead, so that the island acts as a trap for quasiparticles. Since the region of the qubit island which forms the inside of the RF gate capacitor [see Fig. 1(b)] is formed by both evaporation angles and is thicker than the rest of the island, there exists a gap energy gradient within the island material itself.

The data and theory shown in Fig. 5 show a number of interesting features. While the agreement is not perfect, the theory captures all of the salient features. Most strikingly, the theory predicts a sharp increase in the odd-to-even transition rates at low temperature, which is confirmed in the experiment. This increase arises strictly from the inclusion of unthermalized quasiparticles in the island and does not appear in models which assume instantaneous thermalization to the gap edge.²¹ As such, we may conclude that for this particular set of parameters, the quasiparticles in the island are out of thermal equilibrium, while the quasiparticles in the lead are in thermal equilibrium but out of chemical equilibrium. At low temperatures, the probability that a quasiparticle in the island will reach thermal equilibrium before tunneling out again becomes small, and the thermally exited tunnel rate is exponentially suppressed, so the tunneling kinetics are dominated by the elastic tunnel events. The golden rule rate for elastic tunnel events [Eq. (10)] is approximately proportional to the superconducting density of states, which has a squareroot singularity as $T \rightarrow 0$. Since the elastic process dominates at low temperature, the overall tunneling rate sharply increases as $T \rightarrow 0$. This behavior is in stark contrast to the tunneling rates expected when the system is in thermal equilibrium, which go to zero in the low-temperature limit. While the increase in the observed rates at low temperatures is sharper than that observed in Γ_{oe}^{el} , this is reasonable given that the dwell-time distribution is dominated by the nonexponential component at low temperatures. When the dwelltime distribution is approximately exponential, the tunnel rate and the inverse of the mean dwell time $\langle t \rangle^{-1}$ are approximately equal. For the short-time asymptote of the dwell-time distribution described in Eq. (13), $\langle t \rangle^{-1} = 3 \Gamma_{oe}^{el} / \sqrt{\pi}$. Including this factor, the theory predicts that the mean odd-state dwell time at 18 mK is 38 kHz, which is closer to the observed value than Γ_{oe}^{el} alone. In these data, no attempt has been made to correct for electron heating due to reduced electronphonon coupling at the lowest mixing chamber temperatures.

Another interesting feature is that the $\Delta_I \Delta_L$ terms in Eqs. (6), (9), and (10), which arise from destructive interference between electronlike and holelike quasiparticle tunneling, are absolutely necessary to fit the data. Our initial attempts to fit the data using rates without the BCS coherence factors could not simultaneously predict the even-to-odd and odd-to-even transition rates as a function of temperature. While quasiparticle transition rates are suppressed due to destructive interference when the qubit is in its ground state, the theory predicts that both rates will be enhanced in the excited state, adversely affecting qubit performance.

Also note that at low temperatures, the even-to-odd transition rates remain monotonic with temperature, implying that the quasiparticles on the lead are in thermal equilibrium. As a result of the shift $\delta \mu_L$ in the chemical potential of the leads, Γ_{eo} does not go to zero as $T \rightarrow 0$. In the absence of a nonequilibrium quasiparticle population on the leads, $n_{qp} \rightarrow 0$, and the theory recovers the exponential suppression of quasiparticle tunneling at low temperatures.

At higher temperatures, the theory predicts that both the odd-to-even and the even-to-odd transition rates will increase exponentially with temperature, as equilibrium quasiparticle states begin to become thermally occupied. While the data do not extend to high enough temperatures to determine the functional form of this increase, a data set taken at 300 mK shows no telegraph signal at all, presumably because both transition rates are much faster than the bandwidth of the measurement. From a simple thermodynamic argument,³ we expect this transition to occur at a temperature T_{II}^* $=\Delta_{IL}/\ln(N_{IL}\Omega_{IL})$, where the free energy difference between even and odd states goes to zero. Since the lead and the island have different volumes, we would expect that this transition occurs at different temperatures for the odd-toeven and even-to-odd transition rates. Based on our estimates for the effective island and lead volumes $\Omega_I \approx 0.5 \ \mu m^3$ and $\Omega_I \approx 100 \ \mu \text{m}^3$, we obtain $T_I^* = 170 \text{ mK}$ for the odd-to-even transitions and $T_L^* = 130$ mK for the even-to-odd transitions. However, from the data shown in Fig. 5, the exponential increase in the rates does not occur below 200 mK.

The kinetic theory of nonequilibrium quasiparticle tunneling described above can also be used to understand the severe quasiparticle poisoning previously observed in the differential single-Cooper-pair box (DSCB).²⁴ The DSCB is an isolated structure consisting of two small qubit islands separated by a pair of tunnel junctions in a loop configuration, so that the relevant quantum states are the differential charge states between the two islands. Since the entire structure is isolated from ground, it was initially believed that quasiparticle tunneling would be partially suppressed, since there are no "leads" for quasiparticles to tunnel in from. However, nonequilibrium quasiparticles generated on the island themselves are free to tunnel back and forth elastically between the two islands, spending the majority of their time out of thermal equilibrium. In fact, out of four DSCB devices tested, all showed e-periodic staircases characteristic of quasiparticle poisoning. A differential layout of this type is also employed in the optimized "transmon" qubits, where quasiparticle tunneling is also observed at low temperatures.¹⁸

V. CONCLUSIONS

Using the method of Naaman and Aumentado,⁸ we have measured quasiparticle tunneling rates in the time domain in CPB charge qubits. In studies of the dwell-time distribution as a function of temperature near the even-state degeneracy point, we have experimentally verified the theory of quasiparticle tunneling developed by Lutchyn and Glazman.¹¹ This model gives sound physical insights into the kinetics of quasiparticle trapping and tunneling, and resolves some of the apparent mysteries of low-temperature quasiparticles in single-Cooper-pair devices. In particular, we observe a non-Poissonian odd-state dwell-time distribution and an increase in the odd-to-even transition rates at low temperature. From this analysis, we conclude that at low temperature, a quasiparticle on the island may be out of thermal as well as chemical equilibrium, while quasiparticles on the leads are in thermal equilibrium at the even-state degeneracy point.

Quasiparticle tunneling at low temperatures is a major problem for the performance of single-Cooper-pair devices, and understanding the fundamental physics of nonequilibrium tunneling processes is essential to effective qubit design. Several techniques have been investigated to reduce the rates of quasiparticle tunneling, such as engineering the superconducting gap profile between the lead and the island via oxygen doping⁶ and control of film thickness.²⁵ Another approach is the use of quasiparticle traps¹⁷ and superconductorinsulator-superconductor cooling junctions to reduce the population of nonequilibrium quasiparticles on the leads. Still another approach is to more carefully isolate the sample from electromagnetic noise and radiation, which has recently been shown to have a strong effect on quasiparticle tunneling.^{26,27}

ACKNOWLEDGMENTS

We are grateful to Benjamin Palmer, Juan Bueno, Justin Schneiderman, Gerd Schön, Jonas Zmuidzinas, Göran Johansson, and Michael Mandelberg for their helpful contributions and comments. We would like to thank Richard Muller for performing the electron-beam lithography. This work was conducted at the Jet Propulsion Laboratory, California Institute of Technology, under a contract with the National Aeronautics and Space Administration, and was funded by a grant from the National Security Agency. R.M.L. acknowledges support from the Joint Quantum Institute.

*pierre.m.echternach@jpl.nasa.gov

- ¹M. Büttiker, Phys. Rev. B **36**, 3548 (1987).
- ²L. J. Geerligs, V. F. Anderegg, J. Romijn, and J. E. Mooij, Phys. Rev. Lett. **65**, 377 (1990).
- ³M. T. Tuominen, J. M. Hergenrother, T. S. Tighe, and M. Tinkham, Phys. Rev. Lett. **69**, 1997 (1992).
- ⁴L. J. Geerligs, S. M. Verbrugh, P. Hadley, J. E. Mooij, H. Pothier, P. Lafarge, C. Urbina, D. Esteve, and M. N. Devoret, Z. Phys. B: Condens. Matter **85**, 349 (1991).
- ⁵R. M. Lutchyn, L. I. Glazman, and A. I. Larkin, Phys. Rev. B **74**, 064515 (2006).
- ⁶J. Aumentado, M. W. Keller, J. M. Martinis, and M. H. Devoret, Phys. Rev. Lett. **92**, 066802 (2004).
- ⁷R. Lutchyn, L. Glazman, and A. Larkin, Phys. Rev. B **72**, 014517 (2005).
- ⁸O. Naaman and J. Aumentado, Phys. Rev. B 73, 172504 (2006).
- ⁹A. J. Ferguson, N. A. Court, F. E. Hudson, and R. G. Clark, Phys. Rev. Lett. **97**, 106603 (2006).
- ¹⁰B. S. Palmer, C. A. Sanchez, A. Naik, M. A. Manheimer, J. F. Schneiderman, P. M. Echternach, and F. C. Wellstood, Phys. Rev. B **76**, 054501 (2007).
- ¹¹R. M. Lutchyn and L. I. Glazman, Phys. Rev. B 75, 184520

(2007).

- ¹²J. Könemann, H. Zangerle, B. Mackrodt, R. Dolata, and A. B. Zorin, Phys. Rev. B 76, 134507 (2007).
- ¹³O. Naaman and J. Aumentado, Phys. Rev. Lett. **96**, 100201 (2006).
- ¹⁴M. A. Sillanpää, T. Lehtinen, A. Paila, Yu. Makhlin, L. Roschier, and P. J. Hakonen, Phys. Rev. Lett. **95**, 206806 (2005).
- ¹⁵T. Duty, G. Johansson, K. Bladh, D. Gunnarsson, C. Wilson, and P. Delsing, Phys. Rev. Lett. **95**, 206807 (2005).
- ¹⁶P. M. Echternach, H. G. LeDuc, A. Skalare, and W. R. McGrath, Proceedings of the Tenth International Symposium on Space Terahertz Technology, 1999 (University of Virginia, Charlottesville), p. 261.
- ¹⁷N. A. Court, A. J. Ferguson, R. Lutchyn, and R. G. Clark, Phys. Rev. B **77**, 100501(R) (2008).
- ¹⁸J. A. Schreier, A. A. Houck, J. Koch, D. I. Schuster, B. R. Johnson, J. M. Chow, J. M. Gambetta, J. Majer, L. Frunzio, M. H. Devoret, S. M. Girvin, and R. J. Schoelkopf, arXiv:0712.3581 (unpublished).
- ¹⁹G. Schön, J. Siewert, and A. D. Zaikin, Physica B (Amsterdam) 203, 340 (1994).
- ²⁰R. M. Lutchyn, Phys. Rev. B **75**, 212501 (2007).

KINETICS OF NONEQUILIBRIUM QUASIPARTICLE...

- ²¹C. A. Sanchez, Ph.D. thesis, University of Maryland, 2005.
- ²²S. B. Kaplan, C. C. Chi, D. N. Langenberg, J. J. Chang, S. Jafarey, and D. J. Scalapino, Phys. Rev. B 14, 4854 (1976).
- ²³K. Segall, C. Wilson, L. Li, L. Frunzio, S. Friedrich, M. C. Gaidis, and D. E. Prober, Phys. Rev. B 70, 214520 (2004).
- ²⁴M. D. Shaw, J. F. Schneiderman, B. Palmer, P. Delsing, and P. M. Echternach, IEEE Trans. Appl. Supercond. **17**, 109 (2007).
- ²⁵T. Yamamoto, Y. Nakamura, Yu. A. Pashkin, O. Astafiev, and J. S. Tsai, Appl. Phys. Lett. **88**, 212509 (2006).
- ²⁶W. Kuo, C. S. Wu, J. H. Shyu, and C. D. Chen, Phys. Rev. B 74, 184522 (2006).
- ²⁷O. Naaman and J. Aumentado, Phys. Rev. Lett. **98**, 227001 (2007).