

Hidden surface states on pristine and H-passivated Ni(111): Angle-resolved photoemission and density-functional calculations

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By means of angle-resolved photoemission, we have uncovered surface related states on the pristine and hydrogen saturated Ni(111) surfaces. Near normal emission spectra were recorded at room temperature as a function of photon energy. A hidden Tamm surface state is found on the clean Ni(111) surface at a binding energy of ~ 1.19 eV, completely masked by the Λ_1 bulk d band. The existence of this surface state is in agreement with the density-functional theory calculations presented here. On the other hand, a surface state related to hydrogen adsorbed on the surface has been identified at a binding energy of ~ 0.22 eV. Under hydrogen exposure, it grows at the same rate as the three other surface states of the clean Ni(111) vanish.

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I. INTRODUCTION

Surface states are a consequence of a strong modification of the electronic structure in a solid induced by its surface and due to the breaking of the three-dimensional periodicity.¹ Depending on the type of bulk bands they originate from, two types of surface states have been traditionally distinguished:²⁻⁴ Tamm states and Shockley states. Tamm states, initially postulated in 1932,⁵ are related to localized bulk states, like in f and d bands, and are mathematically derived using the tight-binding approximation. On the other hand, the Shockley surface states, known since 1939,⁶ originate from delocalized states, like those in s and p bands, and have been theoretically derived using the nearly-free-electron approximation. In real nonideal crystal surfaces, the distinction between Shockley and Tamm surface states is sometimes blurred, and can only be differentiated by the mathematical approximation used in their derivation.²⁻⁴

On Ni(111) two different surface states near normal emission, S_1 and S_2 , are known.⁷⁻¹² S_1 is the surface state closest to the Fermi energy with an electronlike (upward) dispersion (maximum binding energy ~ 50 meV). S_2 , on the other hand, is slightly further away from the Fermi energy and shows a holelike (downward) dispersion (minimum binding energy ~ 250 meV). Recent theoretical works¹³⁻¹⁵ have shown that both surface states are spin split into two components. In the case of S_1 , only the majority component (the one with the highest binding energy) shows a significant contribution to the electronic spectral weight at the $\bar{\Gamma}$ point. This component is derived mainly from p_z states at normal emission and shows an increasing d character away from it. On the other hand, it is the minority component of S_2 that shows significant weight at the surface layer in the electronic spectral weight at the $\bar{\Gamma}$ point. This component is once again mainly derived from p_z states at normal emission and shows an increasing d character away from it. The other two components of both surface states, the S_1 minority and the S_2 majority states, are, indeed, surface resonances that strongly hybridize

with the underlying d bulk bands; they contribute weakly to the surface spectral weight away from normal emission.

In principle, a third surface state, S_3 , with a more localized Tamm character should be expected to originate from the deeper lying d band of Ni(111) in the existing local d -band energy gap, with a higher binding energy than the previous two.¹⁶ This ought to be a direct consequence of the Tamm states being constituted mainly by the d states of the outermost atomic layer. Even though the electronic structure of Ni(111) has already been studied in great detail combining angle-resolved photoemission (ARPES) with synchrotron radiation^{7,15,17,18} and gas discharge lamps,^{12,19,20} by means of two-photon photoemission²¹⁻²³ and by inverse photoemission,^{10,24} to our knowledge, no published work makes any reference to the existence of this surface state.

The high sensitivity of surface states to surface defects or adsorbates^{7,25,26} can be used to uncover hidden surface states by directly comparing the pristine and the modified surface. Hydrogen is known to adsorb dissociatively onto Ni surfaces,^{27,28} and, particularly, the surface of Ni(111) remains unreconstructed under H exposure. The presence of hydrogen on the surface is known to strongly affect the surface states of Ni(111).²⁹⁻³¹ These are observed to shift continuously with hydrogen concentration toward higher binding energies, with a strong decrease of intensities, until they vanish at the surface saturation coverage.^{30,31} The hydrogen saturation coverage depends on the temperature.³⁰ At low temperature (80 K), the number of hydrogen atoms that the surface can host is equal to the number of Ni surface atoms on Ni(111) [~ 1 ML (monolayer)], whereas at room temperature (RT), the coverage is reduced to half this value (~ 0.5 ML) according to previous studies.³⁰⁻³² Theoretical work has predicted that, energetically, the most favorable adsorption site for hydrogen is at the fcc hollow site of the Ni(111) surface.^{27,28} It has been reported that the effect of hydrogen adsorption consists in a very localized (in the direction perpendicular to the surface) and uniform attractive surface potential which depends on the hydrogen concentration,³¹ with

an electrostatic repulsion between the surface hydrogen atoms originating from the electronic charge transferred from the Ni toward the H atoms.²⁸

It is the aim of this work to unravel the presence of the third surface state of Ni(111), S_3 . For this purpose, synchrotron radiation excited ARPES together with density-functional theory (DFT) calculations will be presented. Experimentally, the surface character of this state will be established in two ways: (i) by direct comparison of the clean and H-passivated experimental spectra, and (ii) by making use of the nondispersive character of the surface state energy, with the momentum perpendicular to the surface. The calculations will allow us to determine the orbital origin of this state and whether it is a pure surface state or a resonance.

II. EXPERIMENT

The experiments were carried out using spin-integrated ARPES in the COPHEE endstation³³ located at the Surface and Interface Spectroscopy beamline at the Swiss Light Source. All measurements were performed at RT with a base pressure of the ultra-high-vacuum (UHV) vessel in the 10^{-11} mbar range. The data were measured mainly using linear horizontal polarized light (some data were, in addition, acquired using left elliptical polarized light) in the photon energy range from 19.5 to 85 eV. The angular resolution was better than 0.5° full width at half maximum and the overall energy resolution was 30 meV at $h\nu=30$ eV.

The Ni(111) crystal was initially prepared by repeated cycles of Ar⁺ sputtering at 0.75 kV for 20 min followed by 18 L (1 Langmuir= 10^{-6} Torr s) of oxygen exposure at RT and subsequent flashing to approximately 750 °C. The sample cleanliness was checked through the existence and quality of the known surface states, S_1 and S_2 , on the slope of the Λ_3 bulk band at normal emission, using a photon energy of 21.2 eV. When optimum conditions were reached, x-ray photoelectron spectroscopy did not show any significant signs of contamination. From this point on, the surface could be easily refreshed by flashing to approximately 500 °C without the use of sputtering or oxygen dosing. This treatment proved to be ideal, since the pressure during flashing never rose above 3×10^{-10} mbar. A lifetime of the clean surface of the order of 60 min was achieved before residual hydrogen was adsorbed on the surface in sufficient quantities to introduce changes in the ARPES data.

The binding energy of the S_1 surface state critically depends on the surface temperature,¹² showing a value of 25 meV at RT and crossing the Fermi energy at a temperature around 370 K. In order to have stable conditions, particular care was taken to measure all the spectra at the same temperature (RT). Due to the short clean surface lifetime and in order to increase the acquisition time, we accelerated the sample cooling by means of a short flow of liquid nitrogen (2 min) after the sample flash. This allowed us to reach RT from ~ 500 °C in approximately 15 min.

The hydrogen passivation of the pristine Ni(111) surface was performed in two ways: The first one was by exposure of the RT sample to 6 L of H₂ gas, which quenched com-

pletely the S_1 and S_2 surface states. Larger hydrogen gas doses (24 L) did not show any further change in the photoemission spectra. The second one, leading to equivalent results as the previous method, was slower and used the spontaneous surface aging within the residual gas of the UHV vessel, which mainly consisted of H₂. After 10 h, the spectra showed no differences with those obtained using the method described first. Once the surface was passivated with hydrogen, no changes were observed in the ARPES spectra for days.

The work function of the pristine Ni(111) surface was found to be 5.36 eV, slightly higher than previously reported,^{7,23} while for the H-passivated surface, the value was 5.52 eV, yielding a difference of 0.16 eV between the two surfaces, in good agreement with previous work.^{23,29}

III. THEORETICAL CALCULATIONS

Self-consistent calculations of total energies and the electronic structure based on the scalar-relativistic full-potential “augmented plane wave+local orbitals” method^{34,35} (APW+lo) were carried out for Ni(111) using the WIEN2K code.^{36,37} This is a very accurate and efficient scheme to solve the Kohn-Sham equations of DFT, in which exchange and correlation effects are treated, for example, by the generalized gradient approximation, which often leads to better energetics and equilibrium structures than the local density approximation.³⁸ Besides the “linearizing” local orbitals for s , p , and d valence states of the standard APW+lo basis, additional local orbitals are added in order to describe the $3p$ (semicore) states of Ni. An atomic sphere radius of 2.3 a.u. was used. The required precision was achieved by using a very large plane-wave (PW) cutoff. In the linearized APW method, the relevant convergence parameter is RK_{max} , which is defined as the product of the smallest atomic sphere radius times the largest reciprocal lattice vector of the PW basis. We use $RK_{max}=10.2$. This corresponds to a PW energy cutoff of almost 20 Ry. A k -point sampling of up to 400 points in the full two-dimensional (2D) Brillouin zone was used. The k mesh is generated in the irreducible wedge of the Brillouin zone on a special point grid which can be used in a modified tetrahedron scheme.³⁹

The Ni(111) surface was modeled by periodic slab supercells with a vacuum region of 20 bohrs. The geometry optimization was first performed using seven Ni layers, which were found to be sufficient for this purpose. All other results were produced using this optimized geometry for the surface layers, but adding additional bulk layers, forming a thicker slab of 19 layers of Ni. Such large slabs are necessary to reduce the spurious surface-surface interactions, which, otherwise, lead to a splitting of the two known surface states.⁴⁰ Preliminary calculations with a smaller vacuum region or using only seven Ni layers have shown that the two surfaces interact weakly with each other via the “bulk” as well as via the “vacuum,” producing a spurious splitting of the surface states into “bonding” and “antibonding” states. This splitting vanishes by using the large slab.

The calculated majority (minority) spin band structures of the surface Ni atoms are shown in the left (right) graph of

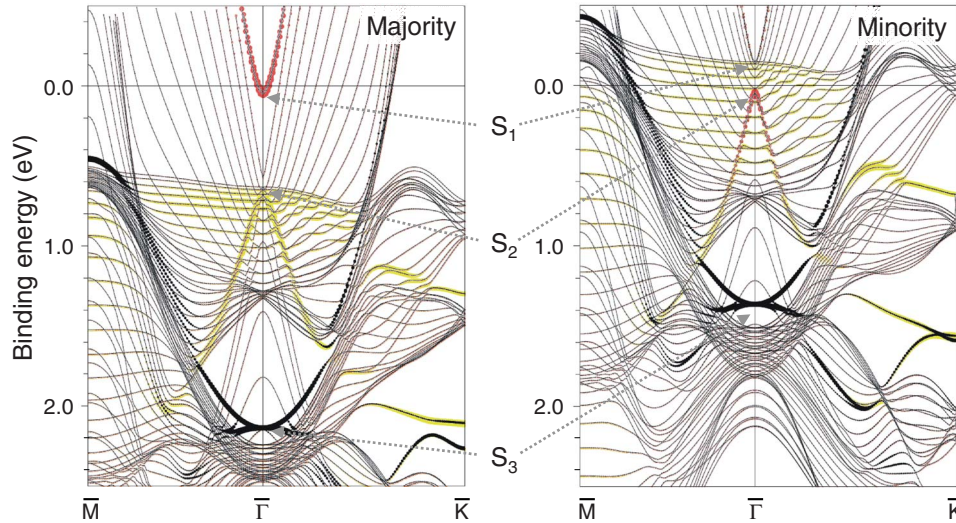


FIG. 1. (Color online) Theoretical DFT calculation of Ni(111) in the \bar{M} - $\bar{\Gamma}$ - \bar{K} directions of the 2D hexagonal reciprocal unit cell. Majority (minority) spin is represented on the left (right) graph. The thickness of the lines is proportional to the charge of the surface Ni atoms; red (dark gray), light green (light gray), and black colors indicate the p_z , $d_{xz,yz}$, and d_{xy,x^2-y^2} characters, respectively. The existing surface states (S_1 , S_2 , and S_3) have been indicated in the figure.

Fig. 1. They are in excellent agreement with other recent theoretical work.¹³ The different surface states at the $\bar{\Gamma}$ point are indicated by arrows in the figure: S_1 shows an electron-like (upward) dispersion, whereas S_2 has a holelike (downward) dispersion. At normal emission, an analysis of the wave function (or charge density) of the corresponding eigenvalues predicts that only the majority component of the S_1 and the minority component of S_2 surface states contribute to the electronic spectral weight. At this point, both states derive mainly from p_z states with a 10% admixture of d_{z^2} states of the surface Ni atom layer, while the contributions from subsurface atoms decrease exponentially. This orbital character progressively changes toward $d_{xz,yz}$ as the states disperse toward \bar{K} and \bar{M} .

The other spin components of these states, the minority component of S_1 and the majority component of S_2 , do not show the signature of a surface state at the $\bar{\Gamma}$ point, but a state with surface character reappears quickly away from this point. They are weak resonances that have such a strong hybridization with the bulk d bands that they are found to be surface resonances.

The DFT calculations also predict a third surface state, which is a true surface state, with a binding energy of 1.36 eV for the minority spin and 2.14 eV for the majority spin, labeled S_3 in Fig. 1. It is twofold degenerate at the $\bar{\Gamma}$ point, with one branch showing upward dispersion and the other one the opposite. Even though there is only a local d -band gap formed between the Λ_3 and Λ_1 bulk bands, the large localization of this state on the surface atoms (about 80%) of both spin components indicates that they are real surface states. Because of this high localization and because both states are derived from pure d states, showing d_{xy} and $d_{x^2-y^2}$ characters, this surface state is a Tamm state. In the following section, we will present experimental evidence for the existence of this state.

In these calculations, the spin splitting of the bulk bands (625 meV) is clearly overestimated with respect to the experimental splitting found using spin- and angle-resolved photoemission, which was measured to be 160 meV at RT for the Λ_3 d -band peak in normal emission on Ni(111),⁹ and 280 meV for the uppermost d band that crosses the Fermi level.¹⁹ In comparing the calculated binding energies to those observed in the experimental spectra, one should note that, in nickel, a severe energy renormalization with respect to such DFT calculations occurs in the spectral function—which is what the photoemission spectrum measures—due to strong electron-electron interaction in the photoemission hole state.⁴¹ Using a Green's function formalism, it was shown that the real part of the self-energy, responsible for the energy shift of the measured bands toward the Fermi energy, is strongly spin dependent, leading to a reduction of the measured exchange splitting of bulk bands by about a factor of 2 when compared to DFT calculations. This is a consequence of the localized d orbitals that mix strongly with most of the bands measured in the nickel valence band, and the large Coulomb and exchange terms associated with many-body processes involving these states. So far, these effects have not been studied for the surface state spectra of nickel. For the S_1 state, the measured exchange splitting at $\bar{\Gamma}$ is ~ 100 meV,²⁴ while the one predicted from the present calculations is 180 meV. A clear assignment of the S_2 state, measured at a $\bar{\Gamma}$ binding energy of 250 meV, with regards to its majority or minority character has not yet been possible. Similar arguments apply to the surface state S_3 , which is a Tamm state according to its d -orbital weight. Nevertheless, it can be expected somewhere above the Λ_1 peak in the normal emission spectra.

IV. EXPERIMENTAL RESULTS AND DISCUSSION

To experimentally confirm the Tamm surface state, S_3 , predicted by the DFT calculations presented above, near nor-

mal emission ARPES spectra will be presented in this section. In Sec. IV A, hydrogen was adsorbed in a fast way by direct exposure of the surface at RT for 1 min to 6 L of H_2 gas, so as to compare the resulting clean and passivated spectra. In Sec. IV B, the same hydrogen coverage is reached slowly by spontaneous adsorption of the residual gas in the vessel. This will allow us to study the evolution of the electronic structure as a function of the hydrogen coverage. As indicated in the Introduction, the hydrogen saturation coverage depends on the temperature,³⁰ but previous works³⁰⁻³² indicated that the saturation coverage at RT corresponds to ~ 0.5 ML of hydrogen.

A. Clean versus rapidly H-passivated surfaces

In order to look for the predicted Tamm surface state, S_3 , normal emission spectra at different photon energies were acquired. The gray curve in the top panel in Fig. 2 shows the photoemission spectrum of clean Ni(111) at RT for a photon energy of 35 eV using p polarized light. The two dominant peaks at binding energies of 0.54 and 1.37 eV should correspond to the Λ_3 and Λ_1 bulk d bands, respectively. On close inspection, the already known surface states⁷⁻¹² can be easily observed: (i) S_1 with a binding energy of 0.025 eV and (ii) S_2 at a binding energy of 0.28 eV. A first visual search for the theoretically expected surface state, S_3 , does not seem to be successful, but we clearly observe that the Λ_1 peak is much broader than the Λ_3 bulk band. Making use of the known sensitivity of the surface states to adsorbed species, we passivated the surface with hydrogen until saturation was reached. The dotted black curve in the top panel of Fig. 2 was measured under the same conditions as the clean spectrum, except for the hydrogen saturation at the surface. There are some obvious changes in the spectrum: the S_1 and S_2 states disappear, while a new shoulder can be observed at a binding energy of 0.23 eV. Concerning the bulk states, the Λ_3 band remains basically unchanged, whereas the peak corresponding to Λ_1 experiences an ostentatious change in the peak width, apart from an apparent binding energy shift of about 0.1 eV.

The larger width and the apparent binding energy shift of the Λ_1 peak may be indications for several components contributing to this peak. To verify this, we performed a fit of each spectrum assuming that the two bulk bands maintain the same width and binding energy in both spectra, with or without adsorbed hydrogen. To obtain a good fit for the clean spectrum, a superposition of five Lorentzian components were necessary together with a linear background and multiplied with a Fermi-Dirac distribution. For the H-passivated spectrum, only three Lorentzian components were needed. The results of these fits are very good, and are shown in the center and bottom graphs of Fig. 2 for the clean and H-passivated surfaces, respectively. In the clean surface spectrum, the small component near the Fermi energy corresponds to S_1 , the one found at 0.28 eV to S_2 , and the last one located at 1.19 eV must, therefore, correspond to the expected surface state S_3 . In the spectrum from the H-passivated surface, another surface state is seen at a binding energy of 0.23 eV.

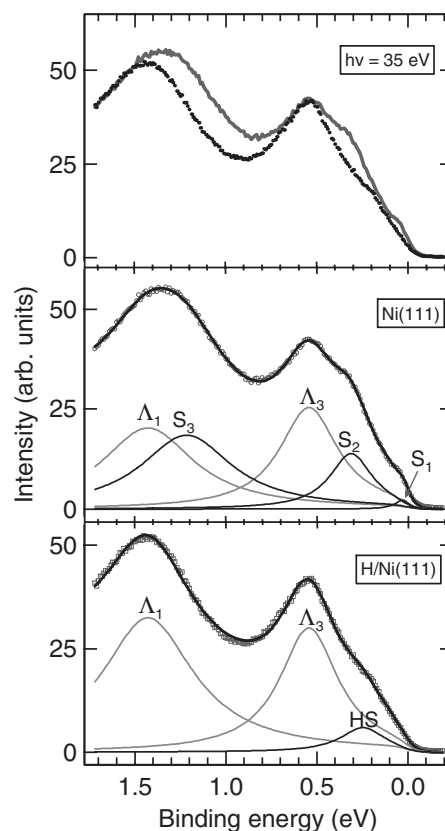


FIG. 2. Normal emission spectra measured at RT with a photon energy of 35 eV. Top panel: The thick gray line corresponds to pristine Ni(111) and the black dots to the H-passivated surface. The clean and H-passivated spectra have been fitted using a linear background and a superposition of five Lorentzian components for the clean surface (center panel) and three Lorentzian components for the H-passivated surface (bottom panel), multiplied with a Fermi-Dirac distribution (Ref. 42). The fits are shown as black thick lines and the raw data as open circles (clean) and squares (passivated). The bulk Lorentzian components are shown as thin gray lines (Λ_1 and Λ_3), whereas the surface state Lorentzians are represented as thin black lines (clean Ni surface states are S_1 , S_2 , and S_3 ; HS corresponds to a hydrogen-induced state).

The assignment of the Lorentzian component S_3 in the fits shown in Fig. 2 as the Tamm state can be corroborated by demonstrating the nondispersive character of the surface state energy with the momentum perpendicular to the surface. At normal emission, the binding energy of the surface state should remain constant while varying the incident photon energy. For this purpose, the differences between the normal emission spectra of the clean and H-passivated surfaces were studied for a large set of photon energies, ranging from 19.5 to 85 eV. Figure 3 shows representative spectra in the photon energy range between 22 and 50 eV. For each energy, the clean spectra and the passivated spectra (shifted in intensity for a better comparison) are shown as open circles and open squares, respectively.

In the clean surface spectra, especially for low photon energies, we can clearly observe the surface states S_1 and S_2 at binding energies of 0.020 and 0.250 eV, showing no energy dispersion with the photon energy, and in excellent

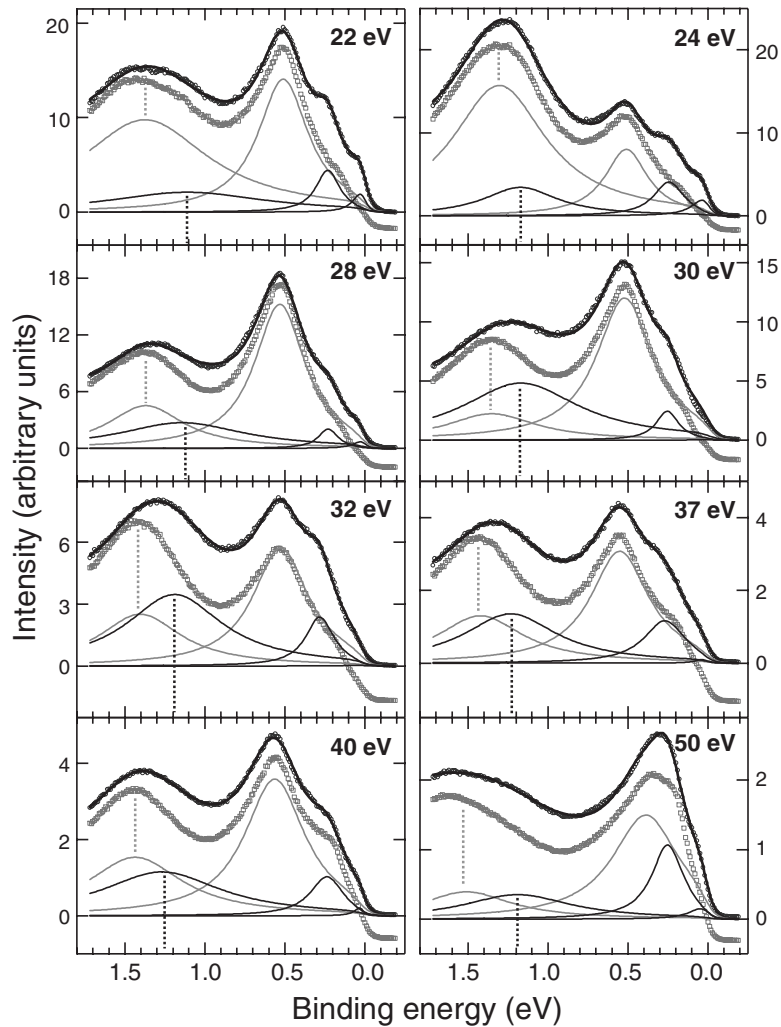


FIG. 3. Representative normal emission spectra recorded at RT for different photon energies ranging from 22 to 50 eV. The open dark-gray circles correspond to the pristine Ni(111), and the open light-gray squares to the H-passivated surface (shifted downward in intensity for better visualization). The fitting procedure described previously for Fig. 2 has been performed for all spectra. The resulting fit (thick black lines) and the five Lorentzian components (gray lines for the bulk components and black thin lines for the surface states) are shown for the clean spectra in each graph (Refs. 42–44). The vertical dotted black (gray) lines correspond to the energy positions of the Tamm (Λ_1 bulk) components.

agreement with previous work.^{7–12} The two dominant peaks, which correspond to the bulk d bands, show some dispersion as a function of photon energy, which is more prominent in the case of the higher binding energy peak (Λ_1).

When the surface is passivated with hydrogen, the spectra undergo some important changes: The S_1 and S_2 peaks disappear and a new state appears with a binding energy of 0.22 eV, which does not disperse with photon energy. This indicates that this state is a surface state related to the hydrogen adsorption.

When comparing the two dominant peaks of the clean and passivated spectra, it can be observed that the Λ_3 bulk band does not change its binding energy or peak width, whereas the Λ_1 bulk band peak appears narrower and shifted slightly to higher binding energy in a way similar to the spectra in Fig. 2. These changes are observed to be dependent on the photon energy.

To understand the changes occurring on the Λ_1 peak, all the spectra measured with photon energies up to 50 eV were analyzed with a similar procedure as the one displayed in Fig. 2. Least-square fits were carried out using a total of six Lorentzian components and a constant linear background, multiplied with a Fermi-Dirac distribution. As a first step, the spectra from the H-passivated surface were fitted with only three Lorentzians: one for each of the bulk bands and a third one for the surface state related to the hydrogen-adsorption. Then the spectra from the clean surface were fitted, assuming that the hydrogen-induced surface state is absent and that the bulk-band binding energies and widths are fixed within each photon energy, that is, only the intensities of the bulk bands were allowed to change between the H-passivated and clean surfaces.

The fits for the representative clean spectra are shown as thick black lines in Fig. 3. In every case, apart from the two

TABLE I. Mean values of the binding energies and widths of the different surface states obtained from the fits to the normal emission spectra of pristine and H-passivated Ni(111) in the photon energy range from 19.5 to 50 eV. The values are in agreement with previous published work (Refs. 7–12). The errors describe the scatter for the different photon energies.

	Binding energy (eV)	Peak width (eV)
S_1	0.02 ± 0.01	0.16 ± 0.06
S_2	0.25 ± 0.03	0.24 ± 0.08
S_3	1.19 ± 0.08	0.8 ± 0.2
Hydrogen SS	0.22 ± 0.04	0.23 ± 0.09

bulk band components (shown as gray lines), three new Lorentzian components (black thin lines) were necessary to obtain proper fits of the clean surface spectra. As in Fig. 2, the low binding energy components are related to the known S_1 and S_2 states and do not disperse with the photon energy.^{43,44} The third component, marked by the dotted black vertical line, also shows the same behavior: it does not disperse with the photon energy. This evidences the existence of the hidden Tamm state at a binding energy of 1.19 eV. All the results for the binding energies and the peak widths of the surface states have been summarized in Table I.

With the experimental evidence for this surface state, we can easily explain the change in the appearance of the Λ_1 bulk band in Figs. 2 and 3: when the surface is covered with hydrogen, this surface state is quenched, resulting in an apparent change in width and a shift in binding energy of the Λ_1 peak. Greuter *et al.*³¹ interpreted this effect as a change in the chemical potential induced by the hydrogen atoms adsorbed on the surface. This explanation is inconsistent with our experimental results since it should produce a rigid shift of all the peaks.

The experimental dispersions of all these surface states with electron momentum parallel to the surface are shown in Fig. 4. Figures 4(a) and 4(c) correspond to the clean surface, and Fig. 4(b) to the H-passivated surface. The energy regions near the Fermi level [Figs. 4(a) and 4(b)] have been mea-

sured with a photon energy of 21.2 eV, where we observe that the Λ_3 bulk peak is dominant and seems to disperse much less with emission angle than the surface states. In Fig. 4(a), we can easily identify the S_1 and S_2 states of the clean surface. The dispersions that we observe are in agreement with previous work:^{7–12} the S_1 state disperses toward the Fermi energy, forming an electron pocket, whereas the dispersion of the S_2 state is holelike (downward), moving toward the Λ_3 bulk band. In the case of the H-passivated surface [Fig. 4(b)], the hydrogen-induced surface state is seen as a small shoulder on the slope of the bulk Λ_3 d band, with binding energies located between those of the S_1 and S_2 surface states. This state disperses toward the Fermi energy like the S_1 state, but with a slightly larger effective mass [$m_{HS}^* = 0.24m_0$ and $m_{S_1}^* = 0.17m_0$ (Ref. 12)].

The spectra displayed in Fig. 4(c) were measured at a photon energy of 50 eV. In the displayed energy region, the dominant peak corresponds to the Λ_1 bulk band. Contrary to the other surface states, no significant dispersion is visible in this narrow angular range for the S_3 state. In the DFT calculations (Fig. 1), this state is predicted to be degenerate at the $\bar{\Gamma}$ point, showing both upward and downward dispersions. This behavior may be experimentally masked by the concomitant dispersion of the Λ_1 bulk band.

B. Evolution of normal emission spectra upon residual hydrogen adsorption

As explained in Sec. II, the hydrogen surface coverage can be slowly increased by allowing the residual hydrogen gas in the ultra-high-vacuum vessel to adsorb on the surface. Figure 5 shows the effect of residual gas adsorption on the normal emission spectra as a function of time. In order to study the evolution of the surface states as a function of hydrogen coverage, 174 spectra were consecutively measured at RT with a photon energy of 35 eV for a total time of 8 h and 45 min. The final spectrum was found to be identical to the one obtained by means of fast hydrogen adsorption.

In Fig. 5(a), selected raw spectra are shown as a function of time at time intervals of ~ 30 min. A fitting procedure similar to the one described previously, using six Lorentzians

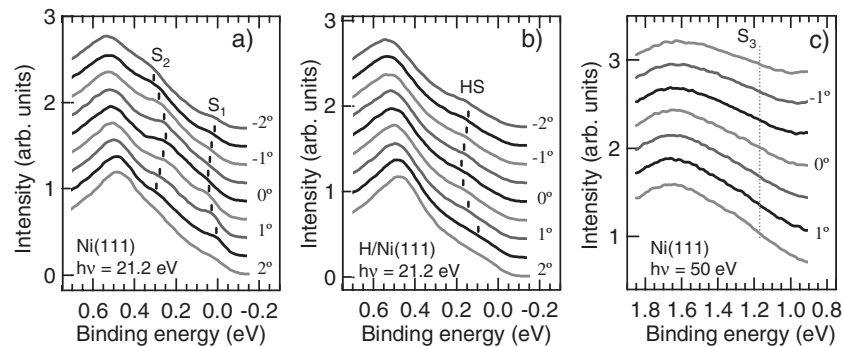


FIG. 4. Energy distribution curves for different emission angles. The angular step is 0.5° and some angles are given on the right hand side of each graph. The dispersions of the different surface states are indicated by black ticks. (a) and (b) have been acquired with a photon energy of 21.2 eV, and the dispersion of the S_1 , S_2 , and hydrogen-induced surface (HS) states can be observed. In (c), no clear dispersion is observed for the Tamm state, S_3 , using 50 eV photon energy. The vertical dotted line indicates the binding energy of S_3 at normal emission (0°).

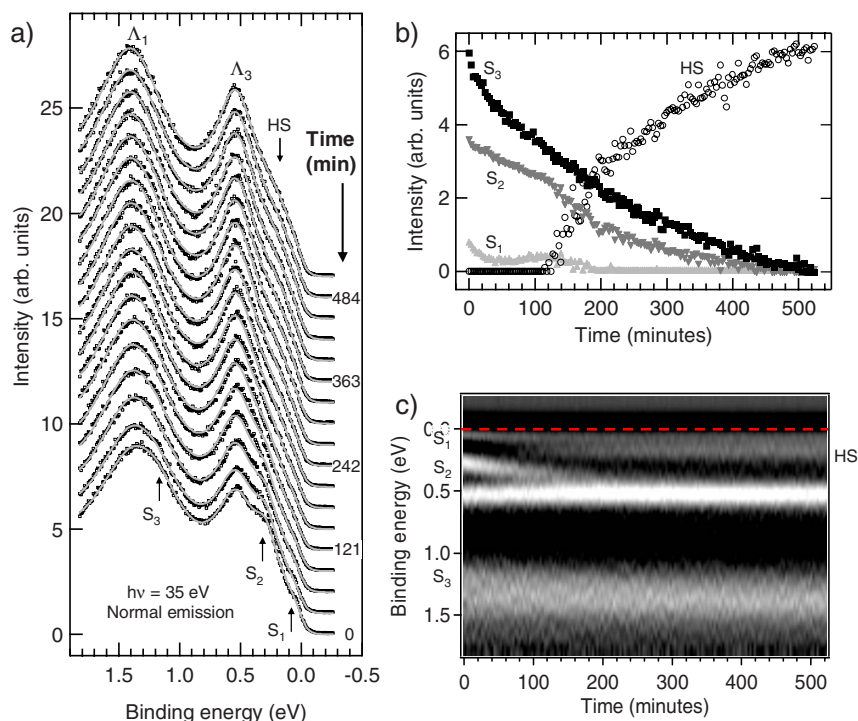


FIG. 5. (Color online) Room temperature normal emission spectra measured sequentially at 35 eV as a function of residual gas exposure time. The existing surface states are indicated in the three panels (S_1 , S_2 , S_3 , and HS states). (a) shows some representative spectra (open squares) of the time evolution that the Ni(111) surface displays as it is progressively covered with hydrogen (the numbers on the right indicate the elapsed time). The superimposed gray curves correspond to the fits using six Lorentzian components and a linear background, multiplied with a Fermi-Dirac distribution. (b) represents the intensity variations of the surface state peaks (marked by the corresponding annotations) as a function of time (hydrogen exposure) as obtained from the fitting. (c) shows the second derivative of the smoothed raw spectra in gray scale (white represents high values) as a function of exposure time.

and a linear background, multiplied with a Fermi-Dirac distribution, was applied to these spectra. The resulting fits are shown as gray lines superimposed on the raw data. In this case, all parameters except for the peak intensities were kept constant during the fitting: the energy positions and the widths of both bulk d bands and the hydrogen surface state were obtained from a previous fit to the last spectrum (H-passivated surface), while those of S_1 , S_2 , and the Tamm state peaks were obtained from the first spectrum (clean surface). Figure 5(b) shows the resulting intensity changes of the four Lorentzian peaks corresponding to the surface states. It can be seen that the three clean surface states⁴⁵ decay in intensity at roughly the same rate, while the surface state related to the H-passivated surface increases in intensity in the opposite way upon hydrogen adsorption.

The grayscale plot shown in Fig. 5(c) corresponds to the second derivative of the previously smoothed raw spectra as a function of the binding energy and the aging time. This data treatment allows us to enhance weak features^{46,47} and gives an independent way of checking the validity of the previously used fitting procedure. Again, it is observed that the S_1 state,⁴⁸ S_2 state, and the Tamm state (S_3) peaks decay rapidly, while the hydrogen related surface state grows as the sample is exposed to the residual hydrogen gas of the vacuum chamber. As a minor difference, and since in this case the binding energy and width of the peaks are not kept fixed, we observe that the S_2 surface state moves slightly

toward higher binding energies as the hydrogen coverage on the surface is increased, which is in agreement with the work of Himpsel *et al.*²⁹ It is very likely that the same effect exists also for the S_1 state and the Tamm state, but due to the proximity to the Fermi edge of the former and the closeness to the Λ_1 d band of the latter, it is difficult to confirm this.

V. CONCLUSIONS

The surface states near normal emission of clean and H-passivated Ni(111) surfaces have been studied at RT by means of ARPES. It is found that, apart from the known S_1 and S_2 surface states (binding energies ~ 0.02 eV and ~ 0.25 eV), a hidden surface state, S_3 , is present with a binding energy of ~ 1.19 eV. It is only observed by careful comparison of the spectral shape of the pristine and hydrogen saturated surfaces. The presence of this surface state is expected from the presented DFT calculations on Ni(111). It has a d -band origin and it is located in the d -band gap in between the Λ_3 and Λ_1 bulk bands, in close proximity to the latter.

Another surface state related to hydrogen adsorption has also been found, with a binding energy ~ 0.22 eV. Its intensity increases with hydrogen coverage at the same rate as the other surface states of the clean Ni(111) surface are quenched.

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- ⁴⁴Apparent changes in binding energy of S_2 are related to normal experimental errors, such as angular misalignment with respect to normal emission, Fermi energy determination, or beamline energy resolution limitations.
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