Low-lying excitations at the rare-earth site due to the rattling motion in the filled skutterudite LaOs₄Sb₁₂ revealed by ¹³⁹La NMR and ^{121/123}Sb NQR

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We report experimental results of nuclear magnetic resonance (NMR) at the La site and nuclear quadrupole resonance (NQR) at the Sb site in the filled skutterudite $LaOs_4Sb_{12}$. We found that the nuclear spin-lattice relaxation rate divided by temperature $1/T_1T$ at the La site exhibits a different temperature dependence from that at the Sb site. Although $1/T_1T$ at the Sb site is explained by the Korringa mechanism, $1/T_1T$ at the La site exhibits a broad maximum around 50 K, showing the presence of an additional contribution at the La site. The additional low-lying excitations observed at the La site can be understood with the relaxation from anharmonic phonons due to the rattling motion of the La atoms.

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The filled skutterudite compound RT_4X_{12} (R=rare earth; T=Fe, Ru, Os; X=P, As, Sb) has a unique structure in which the *R* ion is located in an oversized cage made of 12 *X* atoms. The R ion is loosely bound inside of the X_{12} cage because the cage size is much larger than the R ion. A weak atomic interaction between the R ion and the cage atom is considered to cause the vibration of the R ion to be highly anharmonic. Due to this cage structure, the anharmonic vibration with large amplitude of the R ion in the cage occurs, which is called "rattling." From a practical point of view, the rattling attracts much attention because it may reduce thermal conductivity, which is a key to producing high-performance thermoelectric materials. The low thermal conductivity is believed to result from localized vibrational modes at low energy, attributed to the rattling.² Specific heat results suggest a nearly dispersionless low-energy optical mode that is characterized by an Einstein phonon mode.³ A large Debye-Waller factor obtained with neutron diffraction experiments indicates a large thermal displacement of the R ion.4 In Raman spectra, a second-order phonon peak appears originating from an almost dispersionless optical mode at low energy relevant to the rattling.^{5,6} An anomalous Debye-type dispersion of elastic constants found in ultrasound measurements is also attributable to the rattling.⁷

Recently, it has been recognized that the rattling motion might be a key phenomenon to understand the exotic heavyfermion (HF) state in ROs_4Sb_{12} (R=Pr,Sm,Nd). The exotic HF state shows very different behavior from magnetic Kondo materials such as Ce- or U-based HF compounds. They do not exhibit $-\log T$ behavior in the resistivity.^{8–11} Furthermore, a large electronic specific-heat coefficient $[\gamma=0.82 \text{ J/(K}^2 \text{ mol})]$ in SmOs₄Sb₁₂ is insensitive to magnetic fields. These results suggest that the exotic HF state results from a nonmagnetic origin. This contrasts with the conventional HF state formed by magnetic interactions between the conduction electron and localized f electron (c-finteraction). In this situation, the rattling motion of the R ion is invoked to be related to the formation of the exotic HF state. In fact, HF states originating from anharmonic ion vibrations have been analyzed theoretically. 12,13

Characterizing the rattling motion is quite important to understand the low thermal conductivity and the exotic HF state. However, the characterization is difficult from bulk measurements because the rattling is localized vibrational modes at the rare-earth site. In general, one can extract the localized vibrational modes through comparing between a filled skutterudite and an unfilled one. However, such a comparison is impossible when an unfilled skutterudite cannot be synthesized or is not appropriate when filling R ions change the electronic state significantly. For these reasons, it is desirable to compare physical properties at the R site with those at the Sb site to characterize the rattling in the same compound. For this purpose, nuclear magnetic resonance (NMR) and nuclear quadrupole resonance (NQR) are suitable probes, because NMR and NQR can derive low-lying excitations site-selectively due to the rattling motion. Although it is desirable to perform Pr or Sm NMR measurements, these nuclei are too magnetic to allow NMR measurements. For this reason, we instead performed ¹³⁹La NMR and ^{121/123}Sb NQR experiments on LaOs₄Sb₁₂ because the characteristic motion of R ions is believed to be similar for the ROs₄Sb₁₂ (R=La,Pr,Sm,Nd) family. Because the ¹³⁹La nucleus (I=7/2) has an electric quadrupolar moment Q, the nuclear spin-lattice relaxation rate $1/T_1$ at the La site can directly probe the unusual dynamics of the La ions through quadrupolar coupling of the La nucleus to the electric field gradient

In this paper, we report results of 139 La NMR and $^{121/123}$ Sb NQR measurements on LaOs₄Sb₁₂. We found that $1/T_1T$ at the La site exhibits a different temperature dependence from that at the Sb site. By subtracting the conduction electron contribution from the observed $1/T_1T$ at the La site, we obtained additional relaxation at the La site $^{139}(1/T_1T)_{\rm add}$. $^{139}(1/T_1T)_{\rm add}$ is nearly constant at high temperatures and decreases rapidly below about 50 K. We show that this temperature dependence can be explained quantitatively by a recent theoretical calculation based on the Raman process of anharmonic phonons due to the rattling motion. 14 From the present result, we suggest that the low-lying excitations caused by the rattling of R ions might be important to under-

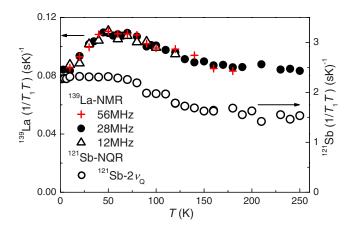


FIG. 1. (Color online) Temperature dependence of $1/T_1T$ at the La and Sb sites under various magnetic fields for La NMR. The broad maximum is found around 50 K only at the La site.

stand the exotic HF state in ROs_4Sb_{12} (R=Pr,Sm,Nd).

Single crystals of LaOs₄Sb₁₂ were grown with the Sb-flux method¹⁵ and were powdered for NMR and NQR measurements. The observation of de Haas–van Alphen oscillations demonstrates that we were using a high-quality sample.¹⁵ NMR and NQR measurements were performed using a conventional pulsed spectrometer in the temperature range of T=0.6–250 K using a 3 He- 4 He-dilution refrigerator. The nuclear spin-lattice relaxation rate $1/T_1$ of La nuclei was measured using the saturation recovery method and was uniquely determined with a single component. $1/T_1$ of 121 Sb (123 Sb) NQR was measured around 84 (78) MHz using a $2\nu_Q$ ($3\nu_Q$) transition. 16 The magnetic susceptibility χ was measured for the powdered sample with a superconducting quantum interference device (SQUID) magnetometer (Quantum Design MPMS).

Figure 1 shows the temperature dependence of $1/T_1T$ at the La site under various magnetic fields, along with that at the ¹²¹Sb site (open circles). ¹²¹($1/T_1T$) is consistent with the previous report. ¹⁷ We found that $1/T_1T$ at the La and Sb sites exhibits different T dependences; the broad maximum around 50 K appears only at the La site. This is a marked contrast to the La-based skutterudite LaFe₄P₁₂, in which $1/T_1$ at both La and P sites exhibits the same temperature dependence. ^{18,19}

To clarify the origin of the site-dependent $1/T_1T$, we measured the bulk susceptibility χ and the Knight shift at the La site (^{139}K) as shown in Fig. 2. From a good linear relation between ^{139}K and χ , the temperature dependence of χ is not affected by impurity phases, but is intrinsic behavior of LaOs₄Sb₁₂. It is shown that $1/T_1T$ at the Sb site exhibits a temperature dependence similar to χ^2 , but $1/T_1T$ at the La site does not. In fact, $^{121}(1/T_1T)$ is scaled with χ^2 as shown in Fig. 3. Furthermore, the $^{121/123}$ Sb-isotopic ratio of $1/T_1$ in the whole temperature range is consistent with the case of magnetic relaxations [=($^{121}\gamma/^{123}\gamma$)²=3.4] as shown in the inset of Fig. 3. These results indicate that $1/T_1T$ at the Sb site is governed by magnetic fluctuations, which are related to the static susceptibility through the Korringa mechanism. Note that the temperature dependence of $1/T_1T$ at the Sb site

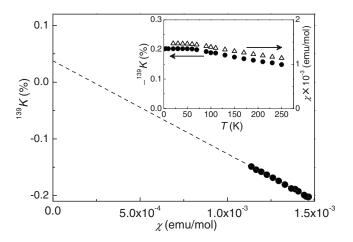


FIG. 2. The ¹³⁹La Knight shift ¹³⁹K is plotted against the bulk susceptibility χ . The hyperfine coupling constant at the La site is estimated to be $-10.4 \text{ kOe}/\mu_B$. Inset: temperature dependence of χ and ¹³⁹K.

is considered to originate from narrow Os 5d bands, which is suggested by band calculations.²⁰ Then, it seems natural to consider that the broad peak of $1/T_1T$ at the La site is ascribed to additional low-lying excitations at the La site. The field-independent $1/T_1T$ (see Fig. 1) implies that the low-lying excitations arise from nonmagnetic origin.

Next, we analyze the low-lying excitations using the temperature dependence of $1/T_1T$ at the La site. We consider that $1/T_1T$ at the La site is governed not only by the Korringa mechanism but also by local fluctuations of the EFG due to the rattling motion of the La ions. In fact, ultrasonic dispersion is found around 50 K in LaOs₄Sb₁₂,²¹ which is considered to originate from the rattling motion of the La atoms as in $\text{PrOs}_4\text{Sb}_{12}$. We assume that the fluctuations of the EFG disappear at low temperatures and that the Korringa mechanism dominates the relaxation at the La site at low temperatures, because $1/T_1T$ at the La sites becomes con-

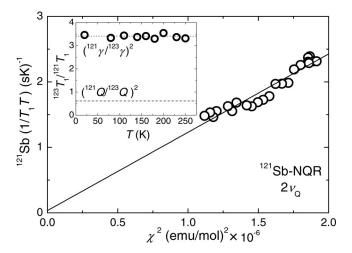


FIG. 3. Plot of $1/T_1T$ of Sb against χ squared. The Korringa relaxation mechanism governs $1/T_1T$ at the Sb site. Inset: the isotropic ratio of $1/T_1$ for the Sb nucleus. The dotted (dashed) line shows the squared ratio of the nuclear magnetic (quadrupolar) moments.

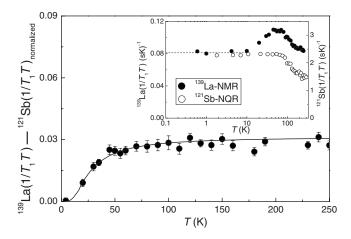


FIG. 4. The difference between $1/T_1T$ of La and normalized $1/T_1T$ of ¹²¹Sb, which is considered to arise from the rattling motion of the La ions. The solid line is the calculated result cited from Ref. 14 [β =2.0, $\omega_r(T=0)$ =60 K], which is consistent with the experimental data.

stant below 10 K down to 0.6 K as $1/T_1T$ of ¹²¹Sb does as shown in the inset of Fig. 4. To estimate the contribution from the Korringa law at the La site, we normalized $1/T_1T$ of ¹²¹Sb to make it equal to that of La below 10 K, as shown in the inset of Fig. 4. Then, we obtained the additional fluctuations $(1/T_1T)_{add}$, which is considered to arise from EFG fluctuations related to the rattling, as shown in Fig. 4: nearly constant at high temperatures and decreasing rapidly below 50 K. Nuclear spin relaxation due to phonons is very rare in metals, but the small value of $(1/T_1T)_{add}$ ($\sim 0.03 \text{ s}^{-1} \text{ K}^{-1}$) can be explained in terms of phononic relaxation. In fact, recent observations of NMR relaxation rates at the K site in the β-pyrochlore superconductor KOs₂O₆ have been demonstrated to be entirely dominated by the vibration of the K ion via coupling of the EFG to the nuclear quadrupole moment.²² The phononic contribution at the ⁴¹K site in KOs₂O₆ is $1/T_1T \sim 0.009 \text{ s}^{-1} \text{ K}^{-1}$, which is the same order of magnitude as $(1/T_1T)_{add}$ at the La site in LaOs₄Sb₁₂. However, the EFG fluctuations of the La atom are not the usual harmonic phonons. If one assumes NMR relaxation from the Raman process of harmonic phonons, the obtained temperature dependence of $(1/T_1T)_{add}$ cannot be explained: $1/T_1T$ is proportional to T at high temperatures in the Raman process for harmonic phonons.²³ The inconsistency invokes the need to take into account the anharmonicity that is one of the important characteristics of the rattling motion.

Quite recently, Dahm and Ueda calculated NMR relaxation due to coupling to a strongly anharmonic and damped phonon mode, and explained successfully the temperature dependence of $1/T_1$ at the K site in $\mathrm{KOs_2O_6}^{14}$ In their notation, their Hamiltonian including anharmonic term is described as follows:

$$H = \frac{p^2}{2M} + \frac{1}{2}ax^2 + \frac{1}{4}bx^4. \tag{1}$$

They treat this Hamiltonian in a self-consistent quasiharmonic approximation, resulting in an effective harmonic Hamiltonian

$$H = \frac{p^2}{2M} + \frac{1}{2}M\omega_0^2 x^2,$$
 (2)

where the effective phonon frequency is ω_0 . Then they obtained a nonlinear equation of ω_0 :

$$\left(\frac{\omega_0}{\omega_{00}}\right)^2 = 1 + \beta \frac{\omega_{00}}{\omega_0} \left(\frac{1}{e^{\hbar \omega_0 / k_B T} - 1} + \frac{1}{2} - \frac{1}{2} \frac{\omega_0}{\omega_{00}}\right), \quad (3)$$

where β represents the magnitude of anharmonicity and $\omega_{00} = \omega_0(T=0)$. This equation demonstrates that the effective phonon frequency ω_0 has a notable temperature dependence due to the anharmonicity (see Fig. 1 in Ref. 14). Due to the anharmonicity of the phonon mode, the temperature dependence of $1/T_1T$ for the Raman process is qualitatively different from that of harmonic phonons. $1/T_1T \propto T$ at high temperature for harmonic phonons changes to $1/T_1T \sim \text{const}$ at high T for anharmonic phonons. They pointed out that the two-phonon Raman process dominates NMR relaxation instead of the direct process. The frequency-independent $1/T_1T$ at the La site (see Fig. 1) is consistent with the Raman process as studied in Ref. 23. The solid line in Fig. 4 is a calculation in Ref. 14 assuming β =2.0, $\omega_0(T=0)$ =60 K. The calculation is consistent with the experimental data. Furthermore, the low temperature value of the obtained phonon frequency $\omega_0(T=0)=60$ K is quite consistent with a low Einstein temperature of 59 K reported in specific-heat experiments,³ a flat phonon-dispersion energy about 50 K deduced from the second-order phonon peak related to vibration of the La ions in Raman scattering experiments,^{5,6} and about 5 meV (~60 K) by ab initio calculation.²⁴ In their calculation, anharmonicity (corresponds to β) plays a crucial role to account for the observed temperature dependence of NMR relaxation. The anharmonicity in the Os₄Sb₁₂ family actually has been observed experimentally from neutron^{25,26} and Raman scattering measurements.²⁷ The value of β =2.0 in LaOs₄Sb₁₂ is smaller than that of KOs₂O₆ (β =6.27), suggesting that the anharmonicity of LaOs₄Sb₁₂ is smaller than that of KOs₂O₆. This is reasonably understood by the fact that the mass of the K ion is much smaller than that of the La ion. The temperature dependence of the resistivity suggests a stronger anharmonicity in KOs₂O₆ than in LaOs₄Sb₁₂. A concave-downward temperature dependence is observed in the resistivity in KOs₂Sb₁₂, ²⁸ which is considered to be related to anharmonic phonons, but just a small shoulder is observed in LaOs₄Sb₁₂.²⁹ Therefore, we consider that the additional relaxation at the La site in LaOs₄Sb₁₂ originates from the anharmonic phonons due to the rattling motion.

The localized and strongly anharmonic phonon modes of the rare-earth ions observed in $LaOs_4Sb_{12}$ are presumably a common feature in the Os_4Sb_{12} cage. We consider that the rattling motion is related to the exotic HF state in ROs_4Sb_{12} (R=Pr,Sm,Nd). In fact, a large mass enhancement is observed in KOs_2O_6 , in which active rattling motion is expected.³⁰ If the rattling is related to the formation of the heavy-electron mass, the rattling motion of f electrons at rare-earth sites would be important for the exotic HF state in ROs_4Sb_{12} , because such exotic HF behavior is not observed in $LaOs_4Sb_{12}$ without f electrons.²⁹ Very recently, NQR mea-

surements on SmOs₄Sb₁₂ have suggested that charge fluctuations originating from the rattling motion of Sm ions might play an important role in forming the exotic HF state.³¹ Elucidating the relationship between the rattling motion of the *f* electrons and the exotic HF state is a fascinating problem in ROs_4Sb_{12} (R=Pr,Sm,Nd), which deserves the further experimental and theoretical study.

In conclusion, on the basis of the different temperature dependence of $1/T_1T$ at the La and Sb sites, we found that additional low-lying excitations at the La site exist in LaOs₄Sb₁₂. The temperature dependence of $1/T_1T$ at the La site is consistently understood in terms of the Raman process of anharmonic phonons, attributed to the rattling motion of the La ions. The low-lying excitations caused by the rattling

are suggested to be related to the exotic heavy-fermion state in the ROs_4Sb_{12} family. Further study is required to clarify the relationship.

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