

Calculated positions of point nodes in the gap structure of the borocarbide superconductor $\text{YNi}_2\text{B}_2\text{C}$

Yuki Nagai,^{1,2,*} Yusuke Kato,^{1,3} Nobuhiko Hayashi,^{4,5} Kunihiko Yamauchi,⁶ and Hisatomo Harima⁷

¹*Department of Physics, University of Tokyo, Tokyo 113-0033, Japan*

²*Condensed Matter Theory Laboratory, RIKEN, Saitama, 351-0198, Japan*

³*Department of Basic Science, University of Tokyo, Tokyo 153-8902, Japan*

⁴*CCSE, Japan Atomic Energy Agency, 6-9-3 Higashi-Ueno, Tokyo 110-0015, Japan*

⁵*CREST JST, 4-1-8 Honcho, Kawaguchi, Saitama 332-0012, Japan*

⁶*CNR-INFN, CASTI Regional Lab, I-67010 Coppito (L'Aquila), Italy*

⁷*Department of Physics, Kobe University, Nada, Kobe 657-8501, Japan*

(Received 13 June 2007; revised manuscript received 10 September 2007; published 28 December 2007)

To determine the superconducting gap function of $\text{YNi}_2\text{B}_2\text{C}$, we calculate the local density of states around a single vortex core with the use of Eilenberger theory and the band structure calculated by local density approximation, assuming various gap structures with point nodes at different positions. We also calculate the angular-dependent heat capacity in the vortex state on the basis of the Doppler-shift method. Comparing our results with the scanning tunneling microscopy and spectroscopy experiment, the angular-dependent heat capacity and thermal conductivity, we propose the gap structure of $\text{YNi}_2\text{B}_2\text{C}$, which has the point nodes and gap minima along $\langle 110 \rangle$. Our gap structure is consistent with all results of angular-resolved experiments.

DOI: [10.1103/PhysRevB.76.214514](https://doi.org/10.1103/PhysRevB.76.214514)

PACS number(s): 74.25.Jb, 74.20.Rp, 74.20.Fg

I. INTRODUCTION

The discovery of the nonmagnetic borocarbide superconductor $\text{YNi}_2\text{B}_2\text{C}$ (Ref. 1) has considerable attention because of the growing evidence for highly anisotropic superconducting gap and high superconducting transition temperature 15.5 K. The boron isotope effect supports the classification of this material as electron-phonon mediated superconductor.^{2,3} At an early stage, from specific heat, thermal conductivity, Raman scattering, and photoemission spectroscopy experiments on $\text{YNi}_2\text{B}_2\text{C}$, a highly anisotropic gap function was concluded.⁴ In recent years, Maki *et al.*⁵ theoretically suggested that the gap symmetry of this material is $s+g$ wave and the gap function has zero points (point nodes) in momentum space. Motivated by this prediction, field-angle-dependent heat (FAD) capacity⁶ and angular variation (AV) of the thermal transport⁷ on $\text{YNi}_2\text{B}_2\text{C}$ have been measured and these results were considered to be consistent with this prediction. The FAD heat capacity and the AV thermal conductivity in \mathbf{H} rotated within the ab plane show a four-fold oscillation with narrow cusps because of the presence of nodal quasiparticles subject to Doppler shifts. Assuming the isotropic Fermi surface (FS), it is suggested from experimental results that the gap function has point nodes along the a and b axes. However, $\text{YNi}_2\text{B}_2\text{C}$ has highly anisotropic FSs.^{8–10}

The local density of states (LDOS) in the isolated vortex of $\text{YNi}_2\text{B}_2\text{C}$ was measured at 0.46 K by the scanning tunneling microscopy (STM) and scanning tunneling spectroscopy (STS).¹¹ The vortex core was found to be fourfold star-shaped in real space [see Figs. 1(a)–1(c)]. Near $E=0$ meV, the LDOS extends toward $\langle 100 \rangle$ (the a axis) and has a peak at the center of the vortex core [Fig. 1(a)]. With increasing energy, the peak of the LDOS splits into four peaks toward $\langle 110 \rangle$ [Figs. 1(b) and 1(c)]. The anisotropic spatial pattern of the LDOS around a vortex core is a consequence of the an-

isotropic pair potential and FS. Many theoretical studies have been done since the LDOS of NbSe_2 can be observed by STM/STS.^{12–20} We have calculated the LDOS pattern around a vortex core of $\text{YNi}_2\text{B}_2\text{C}$, assuming that the gap symmetry is $s+g$ wave and the FS is isotropic.¹² Since this result explains the STM results only partly, obviously the calculations of the LDOS with a highly anisotropic FS are needed.

The band structure for $\text{YNi}_2\text{B}_2\text{C}$ has been calculated, on the basis of the local density approximation (LDA). Lee *et al.*⁸ and Singh⁹ obtained three bands (17th, 18th, and 19th) with mainly Ni-3d and Y-4d character which cut across the Fermi level by using the linearized muffin-tin orbital method and the general potential linearized augmented plane wave method, respectively. In recent years, band structure calculations were carried out by Yamauchi *et al.*,¹⁰ who are some of the present authors, by using a full potential LAPW (FLAPW) method. They have carried out LDA-based calculations with a certain modification to describe well the experimental FSs which are obtained by de Haas van Alphen effect. In this modification, Y- d and Ni- d levels are shifted upward from the original LDA levels by 0.11 and 0.05 Ry, respectively. Such the modification have been successful in the FS calculations in LaB_6 ,²¹ YbAl_3 ,²² and LaRh_3B_2 .²³ This shift may originate mainly in the self-interaction and/or the nonlocal corrections to the LDA. They have shown that the density of states from 17th band, which is related to a multiple connected electron FS, has a sharp peak at Fermi energy. This peak comes from a van Hove singularity around $(1/5, 1/5, 0)$ point in k space as we will explain later in this paper. They have suggested that this singularity may lead large electron-phonon coupling locally, and give rise to anisotropic gap behavior in the superconducting state.

The purpose of this paper is to determine the gap structure consistent with the STM/STS, the FAD heat capacity, and the AV thermal transport experiments. We show the results of four calculations considering the band structure calculations.

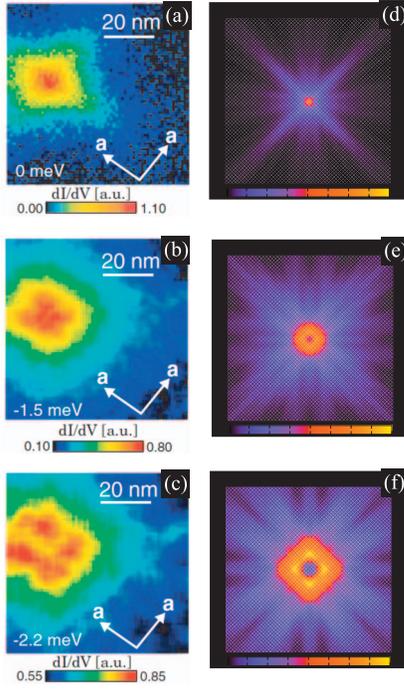


FIG. 1. (Color) Tunneling conductance images observed by Nishimori *et al.* at 0.46 K in 0.07 T (72×72 nm) for the bias voltage (a) 0 meV, (b) -1.5 meV, (c) -2.2 meV. In this figure, we quoted from Figs. 5(a), 5(c), and 5(e) in Ref. 11. The LDOS images calculated for (d) $\epsilon/\Delta_\infty=0$, (e) 0.15, (f) 0.3, where $4\sqrt{2}\xi_0 \times 4\sqrt{2}\xi_0$ is shown. Here, Δ_∞ denotes a pair potential in the bulk region averaged on the Fermi surface and ξ_0 denotes a coherent length. The smearing factor is $\delta=0.05\Delta_\infty$.

First, we calculate the LDOS pattern around a single vortex on the basis of the quasiclassical Eilenberger theory.¹² We assume various gap structures, and finally obtain the gap structure which can explain the STM experiment. Second, we calculate the density of states in weak magnetic fields in the a - b plane on the basis of the Doppler-shift method.²⁴ We show that the FAD heat capacity and the AV thermal transport experiments are consistent with the angular dependence of the density of states from the gap structure consistent with the STM experiment in the presence of magnetic fields. Third, we show that the density of states from this gap structure is consistent with the STM experiment in the absence of magnetic fields. As results, we show the most suitable gap structure and positions of point nodes. Finally, we show the LDOS patterns in the magnetic fields which tilts from the crystal c axis. With angular dependence of the LDOS, we can obtain more rich information about the gap structure.

II. QUASICLASSICAL THEORY OF SUPERCONDUCTIVITY

We consider the pair potential²⁵

$$\Delta_{\uparrow\downarrow}(\mathbf{R}, \mathbf{k}) = \int d\mathbf{q} \Delta_{\uparrow\downarrow}(\mathbf{q}, \mathbf{k}) e^{i\mathbf{q}\cdot\mathbf{R}}, \quad (1)$$

$$\Delta_{\uparrow\downarrow}(\mathbf{q}, \mathbf{k}) = - \sum_{\mathbf{k}', s_1, s_2} V_{\uparrow, \downarrow, s_1, s_2}(\mathbf{k}, \mathbf{k}') \langle a_{\mathbf{q}/2+\mathbf{k}', s_1} a_{\mathbf{q}/2-\mathbf{k}', s_2} \rangle, \quad (2)$$

where \mathbf{k} corresponds to the internal degree of freedom of the pairing state. Here, \mathbf{R} is the center-of-mass coordinate of Cooper pairs $V_{\uparrow, \downarrow, s_1, s_2}(\mathbf{k}, \mathbf{k}')$ is the interaction between two electrons $a_{\mathbf{q}/2\pm\mathbf{k}', s}$ is the annihilation operator for the quasi-particle states with spin s and momentum $\mathbf{q}/2\pm\mathbf{k}'$ and we use units in which $\hbar=k_B=1$. We assume the weak coupling interaction so that the pair potential is not zero only near the Fermi surface. We consider pair potential written as $\hat{\Delta}(\mathbf{R}, \mathbf{k}) = i\psi(\mathbf{k})\hat{\sigma}_y A(\mathbf{R})$ for singlet pairing. Here $A(\mathbf{R})$ is a function of \mathbf{R} . If the coherence length at the zero temperature ξ_0 is large compared to the Fermi wave length ($\sim 1/k_F$), we can calculate the LDOS around a vortex core on the basis of the quasiclassical theory of superconductivity.^{26–28} We consider the quasiclassical Green function \check{g} that has matrix elements in the Nambu (particle-hole) space as

$$\check{g}(\mathbf{r}, \mathbf{k}, i\omega_n) = \begin{pmatrix} g & f \\ -\bar{f} & \bar{g} \end{pmatrix}, \quad (3)$$

where ω_n is the Matsubara frequency. The equation of motion for \check{g} called Eilenberger equation for singlet pairing is written as

$$i\mathbf{v}_F(\mathbf{k}) \cdot \nabla \check{g} + \left[\begin{pmatrix} i\omega_n & -\Delta \\ \Delta^* & -i\omega_n \end{pmatrix}, \check{g} \right] = 0. \quad (4)$$

Here, \mathbf{v}_F is the Fermi velocity and $[\check{a}, \check{b}]$ denotes the commutator $\check{a}\check{b} - \check{b}\check{a}$. The Green function \check{g} satisfies the normalization condition $\check{g}^2 = \check{1}$, where $\check{1}$ is a 2×2 unit matrix. Considering clean superconductors in the type-II limit,⁴ we neglect the self-energy part of Green function and the vector potential.

The local density of states with the isotropic Fermi surface is given by

$$\nu(\mathbf{r}, \epsilon) = -\nu(0) \int \frac{d\Omega_k}{4\pi} \text{Re tr}(\hat{g}^R). \quad (5)$$

Here, \hat{g}^R is the retarded Green function $\hat{g}^R = \hat{g}(i\omega_n \rightarrow \epsilon + i\delta)$ and $\nu(0)$ denotes the density of states on Fermi surface in the normal metallic state. The local density of states with the anisotropic Fermi surface is given by

$$\nu(\mathbf{r}, \epsilon) = - \int \frac{dS_F}{2\pi^2 v_F} \text{Re tr}(\hat{g}^R). \quad (6)$$

Here, dS_F is the Fermi-surface area element and v_F is the modulus of Fermi velocity.

III. RICCATI FORMALISM AND KRAMER-PESCH APPROXIMATION

The Eilenberger equation can be simplified by introducing a parametrization for the propagators that satisfy the normalization condition. Propagators are defined as $\check{P}_\pm = (\check{1} \mp \check{g})/2$, which were originally introduced in the studies of vortex

dynamics.^{29,30} Using these propagators, we obtain the scalar equations expressed in Riccati formalism as follows:

$$\mathbf{v}_F \cdot \nabla a_+ + 2\omega_n a_+ + a_+ \Delta^* a_+ - \Delta = 0, \quad (7)$$

$$\mathbf{v}_F \cdot \nabla b_- - 2\omega_n b_- - b_- \Delta b_- + \Delta^* = 0, \quad (8)$$

where

$$\check{g} = -\check{N} \begin{pmatrix} (1 - a_+ b_-) & 2ia_+ \\ -2ib_- & -(1 - b_- a_+) \end{pmatrix}, \quad (9)$$

$$\check{N} = \begin{pmatrix} (1 + a_+ b_-)^{-1} & 0 \\ 0 & (1 + b_- a_+)^{-1} \end{pmatrix}. \quad (10)$$

Since Eqs. (7) and (8) contain ∇ only through $\mathbf{v}_F \cdot \nabla$, they reduce to a one-dimensional problem on a straight line, the direction of which is given by that of the Fermi velocity \mathbf{v}_F . We consider a single vortex along the Z axis parallel to the crystal c axis. When we take the X axis on the a - b plane, the Y axis is determined automatically. We denote by $\hat{\mathbf{a}}_M$, $\hat{\mathbf{b}}_M$, and $\hat{\mathbf{c}}_M$ the unit vectors along X , Y , Z axis, respectively. We introduce the vector written as

$$\mathbf{R} = X\hat{\mathbf{a}}_M + Y\hat{\mathbf{b}}_M + Z\hat{\mathbf{c}}_M. \quad (11)$$

The origin $\mathbf{R}=0$ is put on the vortex center. Because of a translational symmetry along the Z axis, the pair potentials $\Delta(\mathbf{R}, \mathbf{k})$, $\Delta^*(\mathbf{R}, \mathbf{k})$ do not depend on Z in the Riccati equations (7) and (8), and hence a_+ and b_- depend on \mathbf{R} only through X and Y . As a result, $\mathbf{v}_F \cdot \nabla$ in the Riccati equations can be replaced by

$$\mathbf{v}_F \cdot \nabla \rightarrow \mathbf{v}_{F\perp} \cdot \nabla = v_X \frac{\partial}{\partial X} + v_Y \frac{\partial}{\partial Y}, \quad (12)$$

with $v_X = \mathbf{v}_F \cdot \hat{\mathbf{a}}_M$ and $v_Y = \mathbf{v}_F \cdot \hat{\mathbf{b}}_M$. Here, $\mathbf{v}_{F\perp}$ is the vector perpendicular to the Z axis by projecting the Fermi velocity \mathbf{v}_F on the $\hat{\mathbf{a}}_M$ - $\hat{\mathbf{b}}_M$ plane. We introduce x , y , r by

$$\mathbf{R} = x\hat{\mathbf{v}} + y\hat{\mathbf{u}} + Z\hat{\mathbf{c}}_M, \quad (13)$$

$$\mathbf{r} \equiv x\hat{\mathbf{v}} + y\hat{\mathbf{u}}, \quad (14)$$

$$\mathbf{r} \equiv \sqrt{x^2 + y^2} = \sqrt{X^2 + Y^2}, \quad (15)$$

with

$$\begin{pmatrix} \hat{\mathbf{v}} \\ \hat{\mathbf{u}} \end{pmatrix} \equiv \begin{pmatrix} \cos \theta_v & \sin \theta_v \\ -\sin \theta_v & \cos \theta_v \end{pmatrix} \begin{pmatrix} \hat{\mathbf{a}}_M \\ \hat{\mathbf{b}}_M \end{pmatrix}. \quad (16)$$

Here, θ_v is the angle between $\hat{\mathbf{a}}_M$ and the velocity $\mathbf{v}_{F\perp}$. The resultant Riccati equations are then written as

$$v_{F\perp} h(\mathbf{k}_F) \frac{\partial a_+}{\partial x} + 2\omega_n a_+ + a_+^2 \Delta^* - \Delta = 0, \quad (17)$$

$$v_{F\perp} h(\mathbf{k}_F) \frac{\partial b_-}{\partial x} - 2\omega_n b_- - b_-^2 \Delta + \Delta^* = 0. \quad (18)$$

Here, $v_{F\perp}$ and $h(\mathbf{k}_F)$ denote by

$$v_{F\perp} \equiv \frac{\int dS_F |\mathbf{v}_{F\perp}|}{\int dS_F} \quad (19)$$

$$v_{F\perp} h(\mathbf{k}_F) \equiv \sqrt{v_X^2(\mathbf{k}_F) + v_Y^2(\mathbf{k}_F)}. \quad (20)$$

An approximate expression for the quasiclassical Green function near a vortex core for low energy was analytically obtained by Kramer and Pesch.¹⁹ We call their approximation ‘‘Kramer-Pesch approximation (KPA)’’ in present paper. Eschrig has also obtained the quasiclassical Green function near a vortex core in the first order of impact parameter and energy with use of the Riccati formalism.²⁹ The method by Eschrig is equivalent to KPA. Using KPA, we can calculate the quasiclassical Green function around the vortex core in a low energy region ($|\omega_n| \ll |\Delta_\infty|$). Here, Δ_∞ denotes a pair potential in the bulk region

$$\Delta_\infty \equiv \frac{\int dS_F \lim_{r \rightarrow \infty} \Delta(\mathbf{r}, \mathbf{k})}{\int dS_F}. \quad (21)$$

By expanding Eqs. (17) and (18) in the first order of y and $|\omega_n|$,¹² we obtain the approximate solution as

$$g(\mathbf{r}, \mathbf{k}_F; i\omega_n) \sim -\frac{|\mathbf{v}_F| |\lambda(\mathbf{k}_F)| e^{-2\lambda F(x)}}{2\xi_0 [iE_{\text{ani}} + \omega_n]}, \quad (22)$$

where

$$E_{\text{ani}}(\mathbf{k}_F) \sim \frac{y(\mathbf{k}_F)}{\xi_0 h(\mathbf{k}_F)} \Delta_\infty \lambda^2(\mathbf{k}_F), \quad (23)$$

$$F(x) = \frac{1}{v_{F\perp} h(\mathbf{k}_F)} \int_0^{|x|} dx' f(x'), \quad (24)$$

with $\xi_0 = v_F / (\pi \Delta_\infty)$. Here, $f(x)$ describes the spatial variation of the pair potential and $f(0) = 0$, $\lim_{x \rightarrow 0} f(x) = \Delta_\infty$ and $\lambda(\mathbf{k}_F)$ describes the variation of pair potential in momentum space and $\max(\lambda) = 1$. The local density of states with the anisotropic Fermi surface is given by

$$\nu(\mathbf{r}, \epsilon) \sim \int \frac{dS_F v_{F\perp} |\lambda| e^{-2\lambda F(x)}}{4\pi^2 \xi_0 |\mathbf{v}_F|} \delta(\epsilon - E_{\text{ani}}). \quad (25)$$

To consider the smearing effects, we approximate Eq. (25) as

$$\nu(\mathbf{r}, \epsilon) \sim \int \frac{dS_F v_{F\perp} |\lambda| \delta e^{-2\lambda F(x)}}{4\pi^2 \xi_0 |\mathbf{v}_F| (\epsilon - E_{\text{ani}})^2 + \delta^2}, \quad (26)$$

with the smearing factor δ . Therefore, determining the distribution of the Fermi velocity $h(\mathbf{k}_F)$ from the band structure and the distribution of the pair-potential $\lambda(\mathbf{k}_F)$ from the gap structure, one can obtain the LDOS pattern around a vortex easily.

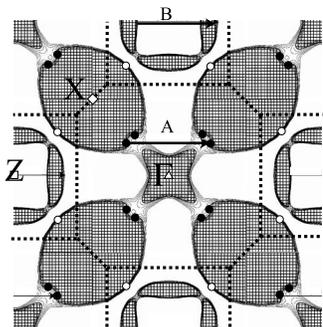


FIG. 2. Cross section including the Γ point of FS with the bct Brillouin zone for the 17th band. A solid circle \bullet and an open circle \circ indicate the positions of point-node and the local minima, respectively. An open triangle \triangle , box \square , and diamond \diamond indicate the Γ point, Z point, X point, respectively.

IV. IN THE CASE OF $\text{YNi}_2\text{B}_2\text{C}$

A. How to determine the gap structure

We calculate the LDOS pattern around a vortex, the angular dependence of the DOS and the DOS without magnetic fields with using the band structure calculated by Yamauchi *et al.*¹⁰ Nishimori *et al.* estimated the mean free path $l = 70$ nm and the coherence length $\xi_0 = 6.3$ nm in their experiments.¹¹ Since l is ten times larger than ξ_0 , their sample used by STM/STS experiments is in the clean limit. The critical temperature T_c of $\text{YNi}_2\text{B}_2\text{C}$ is 15.6 K and the Fermi energy $E_F \sim 0.9 \text{ Ry} \sim 10^5 \text{ K}$.^{10,11} Therefore we can use the quasiclassical Eilenberger theory of superconductivity described in the previous sections. We consider the FS from 17th band and neglect the FSs from 18th and 19th bands since the values of the density of states for 17th, 18th, 19th band at Fermi energy are 48.64, 7.88, and 0.38 states/Ry, respectively, so that the electrons of 17th band contribute dominantly to the superconductivity (see, Fig. 4 in Ref. 10). The FS has two kinds of particular points on the planes $k_z = 0$ and $k_z = 0.5$. We call the vectors at these points “vector A” and “vector B,” respectively (see, Fig. 2). The vector A is the nesting vector and the vector B is the vector which connects the pair with the antiferromagnetic fluctuations. The Fermi velocities at these particular points are in the $\langle 100 \rangle$ direction. Maki *et al.* suggested that the pair potential at these particular points given above is strongly suppressed because of an instability in the particle hole channel which strongly depresses the effective potential for Cooper pairing.⁵ We also investigate the positional relation between these particular points and point nodes in momentum space to test Maki’s scenario.

We explain how to determine the gap structure as follows. We assume that point nodes are situated at the points that are connected by the nesting vector or the strong antiferromagnetic fluctuations (i.e., the vector A or B). We calculate the LDOS patterns assuming various gap structures where positions of point nodes are different. We find the most suitable gap structure by comparing with the some experiments. We check the several points as follows.

(1) The fourfold star centered at a vortex core extends toward $\langle 100 \rangle$ for $\epsilon/\Delta_\infty = 0$ in the STM/STS experiments.¹¹

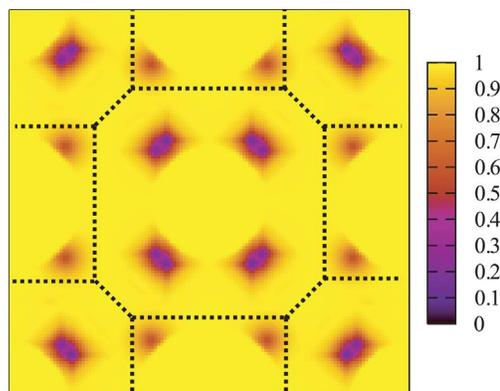


FIG. 3. (Color online) Distribution of the pair amplitude on the cross section including the Γ point of FS with the bct Brillouin zone for the 17th band.

(2) The peak of this calculated LDOS splits into four peaks toward $\langle 100 \rangle$ with increasing energy in the STM/STS experiments.¹¹

(3) The angular dependence of the magnetic fields has the cusp structure in the FAD heat capacity and the AV thermal transport experiments.^{6,7}

(4) The density of states is consistent with the STM/STS experiment in the absence of magnetic fields.³¹

(5) The temperature dependence of the specific heat suggests that the gap structure of $\text{YNi}_2\text{B}_2\text{C}$ has the point nodes.⁴

It should be noted that the angular dependence in the FAD heat capacity and the AV thermal transport has the similar cusp structure in the low magnetic field and low temperature. Therefore, we calculate the angular dependence of the magnetic field of the DOS by the Doppler shift method to compare with the results of the FAD heat capacity and the AV thermal transport experiments, since Park *et al.* and Izawa *et al.* calculated the angular dependence of the DOS to analyze their results.^{6,7} We consider the gap-function written as

$$\Psi(\mathbf{k}) = \prod_i (A_i \tanh(|\mathbf{k} - \mathbf{k}_i|/\xi_k) + B_i). \quad (27)$$

Here, \mathbf{k}_i denotes the position of the point-node or gap-minima, ξ_k is the healing length in the momentum space and A_i and B_i are the parameters which satisfies the relation $A_i + B_i = 1$. (For example, $A_i = 1$ and $B_i = 0$ at the point node.)

B. Local density of the states around a vortex core

Comparing with the STM experiments, we obtain the most suitable gap structure as shown in Fig. 2. In Fig. 2, solid circles \bullet and open circles \circ indicate the positions of point node and the local minima, respectively. It should be noted that point nodes are only at the vector A and the gap functions do not have point node at the vector B. If the gap functions have point-node at the vector B, the LDOS patterns are not consistent with the STM experiments. We also show the more detailed gap structure in Fig. 3, where $A_i = 0.5$ and $B_i = 0.5$ at the open circles \circ and $\xi_k = 5k_{\Gamma Z}/64$. Here, $k_{\Gamma Z}$ is the length from Γ point to Z point in the momentum space.

In Figs. 1(d)–1(f), we show the calculated LDOS with this most suitable gap structure for several bias energies ϵ . It is

seen from Fig. 1(d) that the fourfold star centered at a vortex core extends toward $\langle 100 \rangle$ for $\epsilon/\Delta_\infty=0$. As shown in Figs. 1(e) and 1(f), the peak of the LDOS splits into four peaks toward $\langle 110 \rangle$ with increasing energy. These LDOS patterns in Figs. 1(d)–1(f) coincide with the observation in Figs. 1(a)–1(c), respectively. If we assume the gap structure which does not have local minima at \odot , the calculated LDOS patterns are not consistent with the STM/STS experiments, since the peak of this calculated LDOS splits into four peaks toward $\langle 100 \rangle$ with increasing energy. If we assume the isotropic gap structure with this FS, the calculated LDOS patterns are almost circle. Our analytical theory in the previous paper¹² shows that the LDOS around a vortex core consists of the contribution of the quasiparticles with momentum where the pair potential is large on the FS. In other words, we can obtain the information of antinode direction on FS from STM/STS experiments. From our calculations of LDOS, therefore, we can only claim that the gap amplitude at \bullet and \odot are smaller than the half of the maximum gap. Therefore, we need comparisons with FAD heat capacity and AV thermal transport experiments, from which we can obtain the information of gap nodes.

C. Angular dependence of the density of states in the weak magnetic fields

Comparing with the FAD heat capacity and the AV thermal conductivity experiments, we calculate the density of states with the Doppler-shift method.²⁴ Without impurity scattering (superclean limit), the quasiclassical Green function is written as

$$g(\mathbf{r}, \hat{\mathbf{p}}; i\omega_n) = \frac{\omega_n + i\mathbf{v}_F \cdot \mathbf{v}_s}{\sqrt{(\omega_n + i\mathbf{v}_F \cdot \mathbf{v}_s)^2 + |\Delta|^2}}. \quad (28)$$

Here, \mathbf{v}_s is the supercurrent velocity around a vortex. Therefore, the density of states at zero energy $\nu(\epsilon=0)$ is written as

$$\nu(\epsilon=0, \phi) = \left\langle \text{Re} \frac{d(\phi)}{\sqrt{d^2(\phi) - |\Delta|^2}} \right\rangle. \quad (29)$$

Here, $d(\phi) = |\mathbf{v}_F \cdot \mathbf{v}_s(\phi)|$, the bracket means averaging over both Fermi surface and unit cell of vortex lattice and ϕ is the angle between magnetic fields and the a axis on the a - b plane. Since the core states do not contribute to the specific heat in low magnetic fields, we neglect the spatial variation of the magnitude of the pair potential and consider the spatial variation of the phase of the pair potential around a vortex. Assuming $d \ll \Delta_\infty$, only the quasiparticles around nodes in momentum space contribute to the density of states. This assumption is appropriate in weak magnetic fields.

Figure 4 shows the angular dependence of specific heat $C_s \propto \nu(\epsilon=0, \phi)$ calculated with use of the band structure of $\text{YNi}_2\text{B}_2\text{C}$ and the gap structure consistent with the STM experiments (see Fig. 2). Here, the magnetic field is applied within the a - b plane at an angle ϕ from the a axis. It should be noted that narrow cusps appear at $\phi=0$ and $\phi=\pi/2$. This angular variation is consistent with the FAD heat capacity and the AV thermal transport experiments as shown in Fig. (2) in Ref. 6 and Fig. (2) in Ref. 7, respectively. These an-

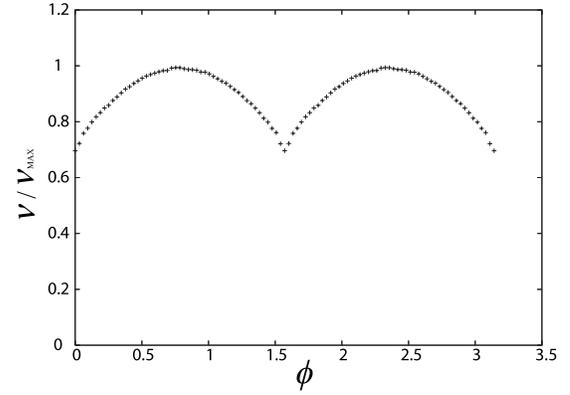


FIG. 4. Angular dependence of specific heat $C_s \propto \nu(\epsilon=0, \phi)$ with use of the band structure of $\text{YNi}_2\text{B}_2\text{C}$. ϕ is the angle between magnetic field direction and the a axis.

gular variations can be explained by the fact that quasiparticles (QPs) around the gap nodes in momentum space contribute to the DOS and QPs traveling parallel to the vortex do not contribute to the DOS—[note that QP with \mathbf{v}_F parallel to the vortex line gives no contribution in Eq. (29) because \mathbf{v}_s is perpendicular to the vortex line and $d = |\mathbf{v}_F \cdot \mathbf{v}_s| = 0$ for such a QP]. In other words, the narrow cusps appear at the direction of the Fermi velocity of QPs at the gap nodes. In the case of $\text{YNi}_2\text{B}_2\text{C}$, the direction of the Fermi velocity at \bullet is parallel to the $\langle 100 \rangle$.

D. Density of states without magnetic fields

Comparing with the result of the STM/STS experiment in the absence of magnetic fields,³¹ we calculate the density of states in the absence of magnetic field in the bulk region. In Fig. 5, we show the DOS with use of the band structure and the gap structure. Here, we assume the smearing parameter $\delta=0.05\Delta_\infty$. Any singularities such as that at $E=0.5\Delta_\infty$ in the case of $s+g$ wave superconductivity⁵ do not occur in our gap structure. Our result is consistent with the density of states by the STM/STS experiment shown in Fig. 4(c) in Ref. 31.

E. Angular dependence of the LDOS patterns

One can obtain the three-dimensional information of the pairing symmetry from STM/STS experiments under the

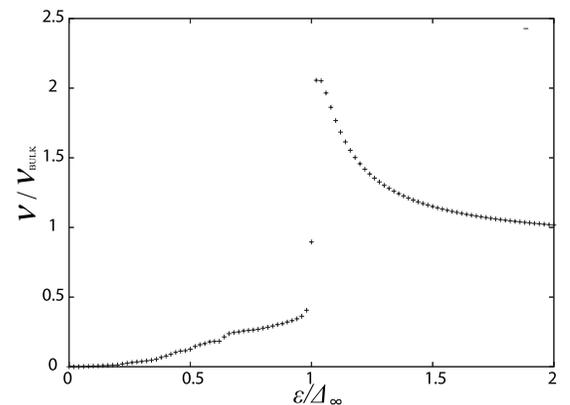


FIG. 5. Quasiparticle density of states without magnetic fields. the smearing factor $\delta=0.05\Delta_\infty$.

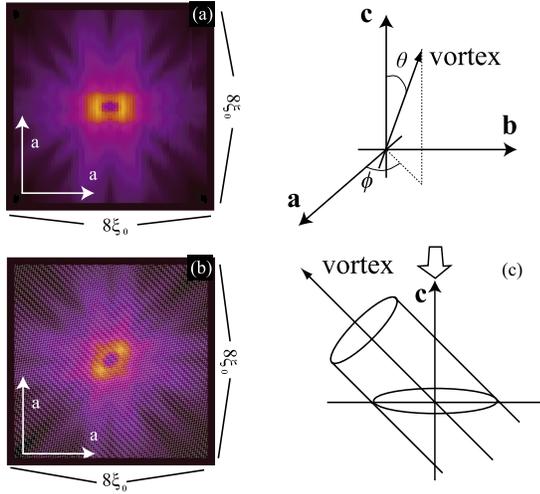


FIG. 6. (Color online) Distributions of the local density of states for the vortex tilting from the c axis by angle θ and the a axis by angle ϕ . (a) $(\phi, \theta) = (0, \pi/4)$, (b) $(\phi, \theta) = (\pi/4, \pi/4)$. The smearing factor is $\delta = 0.05\Delta_\infty$ and the energy is $\epsilon = 0.3\Delta_\infty$. These LDOS patterns will be observed by the STM/STS experiments from the c axis.

magnetic field with various directions. We have shown the LDOS patterns for the vortex parallel to the b axis about $s+g$ wave superconductor in Fig. 14 in Ref. 12. We calculate the LDOS patterns on the a - b plane with various directions of magnetic fields, since the LDOS will be observed by the STM/STS experiments on the clean surface perpendicular to the crystal c axis. As shown in Fig. 6, these LDOS patterns are different qualitatively. The four peaks in the LDOS patterns for the vortex parallel to the c axis disappear in the LDOS patterns for the vortex tilting from the c axis by angle $\theta = \pi/4$ within the a - c plane as shown in Fig. 6(a). Only the two peaks remain in the LDOS pattern for the vortex tilting from the a axis by angle $\phi = \pi/4$ and tilting from the c axis by angle $\theta = \pi/4$ as shown in Fig. 6(b).

V. DISCUSSIONS

We considered the 17th band and neglect the 18th and 19th bands. By the angular resolved photoemission experiment,³² the isotropic superconducting gap exists on the FS from 18th band and the FS from 19th band is not observed. Since the LDOS pattern from the isotropic superconducting gap is almost isotropic, the LDOS from 18th band does not contribute to the four peak structure of the STM/STS experiment. Comparing Fig. 1(c) with Fig. 1(f), the LDOS at the center by the theoretical calculation is quite smaller than that at a vortex center by the STM/STS experiments. Considering the FS from 18th band, it seems that this LDOS at a vortex center becomes large. Therefore, the calculations with use of the FSs from 17th and 18th bands are needed for quantitative comparison with STM/STS experiment.

The results of STM/STS experiments around a vortex cannot be explained by the “two-gap model” since the strong

anisotropy of the gap structure makes the four peak structure of these experiments. Two isotropic gaps would make two isotropic LDOS patterns even if the realistic highly anisotropic FS is considered. Indeed, we have confirmed that the isotropic gap leads to the isotropic LDOS pattern in the case of the anisotropic Fermi surface obtained by the band calculation for $\text{YNi}_2\text{B}_2\text{C}$. The LDOS patterns with the model of point nodes and that with the two-gap model are qualitatively different in the zero energy region as shown in Fig. 1(d).

The previous analyses of the FAD heat capacity and the AV thermal transport experiments are insufficient since the isotropic FS is assumed, so that these analyses lead to the wrong conclusion that the gap function has point nodes along the a axis and b axis. The information about nodes one can obtain is the directions of the Fermi velocity \mathbf{v}_F (not Fermi momentum \mathbf{k}_F) at the nodes on the FS, since the Doppler-shift method is based on the fact that the energy has the term proportional to $\mathbf{v}_F \cdot \mathbf{v}_s$ as shown in Eq. (29). Therefore, these experiments suggest that the Fermi velocity at the nodes on the FS is parallel to the a axis (the direction of nesting vectors). In the case of the anisotropic FS, the directions of the point nodes (i.e., \mathbf{k}_F) are not found only from these experiments. Only in the case of the isotropic FS, the directions of the point nodes are parallel to the Fermi velocity at the nodes.

The oscillation amplitude of the DOS by the Doppler-shift method is of the order of 30% as shown in Fig. 4. One might think that this value is unrealistic since the maximum oscillation amplitude observed in the experiment by Park *et al.*⁶ is 5% at 2 T. It should be noted that the oscillation amplitude of the DOS is overestimated by the Doppler-shift method. Miranovic *et al.* calculated the oscillation amplitude by solving the Eilenberger equations numerically with the three-dimensional spherical Fermi surface in the case of the d -wave and $(s+g)$ -wave superconductivity.^{33,34} They showed that the oscillation amplitude is in the order of a few percent and the cusp structure does not appear and the broad minima appears in their calculations. The cusp structure in the DOS by the Doppler shift method and the broad minima in the DOS by the numerical calculation are at same position, so that the difference between our calculations and experiments is not important to determine the gap structure roughly. We will calculate the oscillation amplitude of the DOS by the different ways with our realistic Fermi surface and gap-structure.

We used the Doppler shift method to calculate the azimuthal angular dependence of the DOS. In the $(s+g)$ -wave superconductor, the polar angle dependence of the DOS by the Doppler shift method has been calculated.⁷ However, we could not calculate the polar angle dependence numerically with satisfactory accuracy, since the distribution of the Fermi velocity does not have a fourfold rotational symmetry. Therefore, we cannot discuss the polar angle dependence of the AV thermal transport by Izawa *et al.*, quantitatively. However, we can qualitatively discuss the polar angle dependence of the AV thermal transport by our results in the magnetic fields perpendicular to the c axis ($\theta = \pi/2$). For the FAD heat capacity and AV thermal transport the quasiparticle states near the nodes dominate the DOS and field angular dependence. The azimuthal oscillation amplitude are con-

tinuously reduced as the function of increasing the polar angle θ , since the quasiparticles contributing to the DOS are reduced in our gap structure with the point nodes. Therefore, we can suggest that the model with the point nodes is consistent with the AV thermal transport experiments and the model with the line node or the full-gap model is not consistent with these experiments.

We noted that the gap structure cannot be determined only by the STM/STS experiments in Sec. IV B. From the STM/STS experiments, one can obtain the information of the anti-nodes.¹² From the heat capacity, FAD heat capacity and the AV thermal transport, we can determine whether $\text{YNi}_2\text{B}_2\text{C}$ has the point nodes. It should be noted that the fine adjustment is not needed to obtain the gap structure which makes the LDOS consistent with that by the STM/STS experiments, since the antinodal quasiparticle states dominate the LDOS around a vortex.

Udagawa *et al.* calculated the angular dependence of the DOS by the method called Pesch-Dahm approximation.³⁶ They suggested that the cusplike minima are attributed to nesting in the quasi-two-dimensional Fermi surface and the isotropy of the superconducting coherence lengths. We think that the point nodes make the cusplike minima of the angular dependence of the DOS. In contrast, they claim that the Fermi surface anisotropy such as the nesting makes the cusplike minima. Although the cusp structure appears in their model which has the partly nested two-dimensional Fermi surface with the isotropic *s*-wave superconductivity, the LDOS by the STM/STS experiments are not consistent with their model because of the absence of nodes. In addition, nobody calculated the angular dependence of the DOS by their method with the realistic Fermi surface.

We have shown that the point nodes in momentum space are at the vector A. This result suggests that the strong anti-ferromagnetic fluctuations due to the nesting suppress the superconducting order parameter at these points.^{5,35} The rea-

son why the point nodes do not exist at the vector B is not clear yet. This is a future problem.

VI. CONCLUSION

In conclusion, we calculated the LDOS patterns around a vortex core, the angular dependence of the DOS in weak magnetic field, the DOS in zero fields in the bulk region, and the LDOS patterns for the vortex tilting from the crystal *c* axis with use of the FS from 17th band obtained by the band calculations, assuming various gap structures where positions of point nodes are different. Comparing our theoretical calculations with the results of the STM/STS, the FAD heat capacity, and the AV thermal transport experiments, we determined the gap structure which is consistent with these experiments. The point nodes are at the vector A, which is along $\langle 110 \rangle$ as shown in Fig. 2. We also showed that the previous analysis of the FAD heat capacity and the AV thermal transport experiments are insufficient since the isotropic FS was assumed. Considering the anisotropic FS, we showed the most suitable gap structure. This gap structure is consistent with the results of the FAD heat capacity, the AV thermal transport, the density of states without magnetic fields by the STM and the local density of states around a vortex core by the STM. We hope that our results will be tested by the STM/STS experiments with the rotation of the magnetic fields.

ACKNOWLEDGMENTS

We thank M. Udagawa, S. Kaneko, N. Nishida, T. Shibauchi, and Y. Matsuda for helpful discussions. We also thank T. Baba for showing the latest experimental data. This work was supported by a Grant-in-Aid for Scientific Research (C)(2) No. 17540314 from the Japan Society for the Promotion of Science.

*ynagai@Vortex.c.u-tokyo.ac.jp

¹R. J. Cava, H. Takagi, H. W. Zandbergen, J. J. Krajewski, W. F. Peck Jr., T. Siegrist, B. Batlogg, R. B. van Dover, R. J. Felder, K. Mizuhashi, J. O. Lee, H. Eisaki, and S. Uchida, *Nature* (London) **367**, 252 (1994).

²D. D. Lawrie and J. P. Frank, *Physica C* **245**, 159 (1995).

³K. O. Cheon, I. R. Fisher, and P. C. Canfield, *Physica C* **312**, 35 (1999).

⁴K.-H. Muller and V. N. Narozhnyi, *Rep. Prog. Phys.* **64**, 943 (2001).

⁵K. Maki, P. Thalmeier and H. Won, *Phys. Rev. B* **65**, 140502(R) (2002).

⁶T. Park, M. B. Salamon, E. M. Choi, H. J. Kim, and S. I. Lee, *Phys. Rev. Lett.* **90**, 177001 (2003).

⁷K. Izawa, K. Kamata, Y. Nakajima, Y. Matsuda, T. Watanabe, M. Nohara, H. Takagi, P. Thalmeier, and K. Maki, *Phys. Rev. Lett.* **89**, 137006 (2002).

⁸J. I. Lee, T. S. Zhao, I. G. Kim, B. I. Min, and S. J. Youn, *Phys. Rev. B* **50**, 4030 (1994).

⁹D. J. Singh, *Solid State Commun.* **96**, 899 (1996).

¹⁰K. Yamauchi, H. Katayama-Yoshida, A. Yanase, and H. Harima, *Physica C* **412-414**, 225 (2004).

¹¹H. Nishimori, K. Uchiyama, S. Kaneko, A. Tokura, H. Takeya, K. Hirata, and N. Nishida, *J. Phys. Soc. Jpn.* **73**, 3247 (2004).

¹²Y. Nagai, U. Ueno, Y. Kato, and N. Hayashi, *J. Phys. Soc. Jpn.* **75**, 104701 (2006).

¹³H. F. Hess, R. B. Robinson, and J. V. Waszczak, *Phys. Rev. Lett.* **64**, 2711 (1990).

¹⁴F. Gygi and M. Schlüter, *Phys. Rev. B* **43**, 7609 (1991).

¹⁵N. Hayashi, M. Ichioka, and K. Machida, *Phys. Rev. B* **56**, 9052 (1997).

¹⁶N. Schopohl and K. Maki, *Phys. Rev. B* **52**, 490 (1995).

¹⁷M. Ichioka, N. Hayashi, N. Enomoto, and K. Machida, *Phys. Rev. B* **53**, 15316 (1996).

¹⁸C. Caroli, P. G. de Gennes, and J. Matricon, *Phys. Lett.* **9**, 307 (1964).

¹⁹L. Kramer and W. Pesch, *Z. Phys.* **269**, 59 (1974).

²⁰Y. Nagai, Y. Kato and N. Hayashi, *J. Phys. Soc. Jpn.* **75**, 043706

- (2006).
- ²¹H. Harima, O. Sakai, T. Kasuya and A. Yanase, *Solid State Commun.* **66**, 603 (1988).
- ²²T. Ebihara, Y. Inada, M. Murakawa, S. Uji, C. Terakura, T. Terashima, E. Yamamoto, Y. Haga, Y. Onuki, and H. Harima, *J. Phys. Soc. Jpn.* **69**, 895 (2000).
- ²³H. Harima and K. Takegahara, *J. Magn. Magn. Mater.* **272-276**, 475 (2004).
- ²⁴G. E. Volovik, *Pis'ma Zh. Eksp. Teor. Fiz.* **58**, 457 (1993) [*JETP Lett.* **58**, 469 (1993)].
- ²⁵M. Sigrist and K. Ueda, *Rev. Mod. Phys.* **63**, 239 (1991).
- ²⁶A. I. Larkin and Yu. N. Ovchinnikov, *Zh. Eksp. Teor. Fiz.* **55**, 2262 (1968) [*Sov. Phys. JETP* **28**, 1200 (1969)].
- ²⁷G. Eilenberger, *Z. Phys.* **214**, 195 (1968).
- ²⁸J. W. Serene and D. Rainer, *Phys. Rep.* **101**, 221 (1983).
- ²⁹M. Eschrig, *Phys. Rev. B* **61**, 9061 (2000).
- ³⁰A. L. Shelankov, *J. Low Temp. Phys.* **60**, 29 (1985).
- ³¹N. Nakai, P. Miranovic, M. Ichioka, H. F. Hess, K. Uchiyama, H. Nishimori, S. Kaneko, N. Nishida, and K. Machida, *Phys. Rev. Lett.* **97**, 147001 (2006).
- ³²T. Baba, Doctor thesis, University of Tokyo, Tokyo, 2007.
- ³³P. Miranovic, M. Ichioka, K. Machida, and N. Nakai, *J. Phys.: Condens. Matter* **17**, 7971 (2005).
- ³⁴P. Miranovic, N. Nakai, M. Ichioka, and K. Machida, *Phys. Rev. B* **68**, 052501 (2003).
- ³⁵H. Kontani, *Phys. Rev. B* **70**, 054507 (2004).
- ³⁶M. Udagawa, Y. Yanase, and M. Ogata, *Phys. Rev. B* **71**, 024511 (2005).