

Self-consistent analytical solution of a problem of charge-carrier injection at a conductor/insulator interface

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We present a closed description of the charge-carrier injection process from a conductor into an insulator. Common injection models are based on single electron descriptions, being problematic especially once the amount of charge-carriers injected is large. Accordingly, we developed a model, which incorporates space-charge effects in the description of the injection process. The challenge of this task is the problem of self-consistency. The amount of charge carriers injected per unit time strongly depends on the energy barrier emerging at the contact, while at the same time the electrostatic potential generated by the injected charge carriers modifies the height of this injection barrier itself. In our model, self-consistency is obtained by assuming continuity of the electric displacement and the electrochemical potential all over the conductor/insulator system. The conductor and the insulator are properly taken into account by means of their respective density of state distributions. The electric-field distributions are obtained in a closed analytical form and the resulting current-voltage characteristics show that the theory embraces injection-limited as well as bulk-limited charge-carrier transports. Analytical approximations of these limits are given, revealing physical mechanisms responsible for the particular current-voltage behavior. In addition, the model exhibits the crossover between the two limiting cases and determines the validity of respective approximations. The consequences resulting from our exactly solvable model are discussed on the basis of a simplified indium tin oxide/organic semiconductor system.

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I. INTRODUCTION

Once a conductor forms contact with an insulator, an energy barrier is formed between the two materials, which impedes the charge-carrier injection into the insulator. Although this injection barrier in general sways charge transport through the conductor/insulator system, only the two limiting cases of very low or very high injection barriers are often considered.

For low injection barriers, one expects the contact to be Ohmic, meaning that the contact is able to supply more charges per unit time than the bulk of the insulator can transport. In this case, a space-charge region is formed and the electric field at the interface vanishes.¹ Because excess charge carriers dominate charge transport in insulators, one observes a space-charge-limited current (SCLC) density of the form $j \sim V^2/L^3$ (in the absence of charge-carrier traps), where L is the sample thickness and V is the applied voltage. The current-voltage characteristic (IV characteristic) is determined by the bulk properties of the material with no influence of the contact properties.²⁻⁴

For high injection barriers, one anticipates the injection rate across the conductor/insulator interface to dominate the IV characteristic of the system. The models to describe injection are the Fowler-Nordheim (FN) tunneling model⁵ or the Richardson-Schottky (RS) model^{5,6} for thermionic injection. The FN model describes the charge-carrier injection by tunneling through a triangular barrier into an unbound continuum of states. The current takes then the temperature-independent form,

$$j(V) = B \frac{V^2}{L^2} \exp\left(-\frac{4L\sqrt{2m_{eff}}\Delta^{3/2}}{3\hbar eV}\right), \quad (1)$$

where e is the elementary charge, m_{eff} being the effective mass of a charge carrier, \hbar is Planck's constant, B is a con-

stant, and Δ is the height of the barrier. The RS model on the other hand describes charge injection as a thermally activated hopping over the potential barrier, where barrier lowering due to the superposition of the external electrostatic potential and the image-charge potential is considered. The RS model predicts the IV characteristics to follow

$$j(V) = CT^2 \exp\left(-\frac{\Delta}{kT}\right) \exp\left(\frac{1}{kT} \sqrt{\frac{eV}{4\pi\epsilon\epsilon_0 L}}\right). \quad (2)$$

Here, T is the temperature, k is the Boltzmann constant, C represents the effective Richardson constant, ϵ is the relative permittivity of the insulator, and ϵ_0 is the permittivity of vacuum.

An alternative description of the injection process is given by the drift-diffusion theory involving electron-electron interaction in a mean-field approximation.^{7,8} In this homogeneous continuum model widely used for the description of conventional crystalline semiconductors, the steady-state current density exemplarily given for holes in one dimension is

$$j = e\mu_s p_s(x) \mathcal{F}_s(x) - eDp'_s(x) = \text{const}, \quad (3)$$

where μ_s is the hole mobility, p_s is the hole density, \mathcal{F}_s is the electric field in the insulator, and D is the diffusivity of holes. \mathcal{F}_s and p_s are coupled by the Poisson equation. The drift-diffusion equation in combination with the Poisson equation involves space-charge effects, but meets the problem of self-consistency in the boundary conditions. The electrostatic potential generated by the injected charge carriers modifies the injection barrier, but on the other hand the amount of charge carriers injected per unit time depends strongly on the barrier height. Therefore, a proper description of the injection process by means of the drift-diffusion theory has to involve

both the insulator and the conductor sides of the system. This can be seen in analogy to a strongly asymmetric p - n junction.

Different attempts to numerically describe the injection process in insulators with account of the space charge were made recently.^{9–11} In transitive finite element simulations in one- and two-dimensional geometries by Christen and Seeger,¹¹ both injection-limited and space charge-limited regimes were presented. However, the injection description was still not self-consistent, imposing some phenomenological values for the field and the carrier density at the contact. A comprehensive one-dimensional numerical model accounting for space-charge effect was developed by Tutiš *et al.*⁹ which comprised the hopping transport and the tunnel injection from electrodes. Unfortunately, this sophisticated numerical tool does not allow analytical fitting of current-voltage characteristics which gives insight in major mechanisms controlling injection. In the work of Neumann *et al.*,¹⁰ a self-consistent numerical treatment of the injection and transport processes in a conductor/insulator/conductor device was presented in which continuity of the electrochemical potential and the electric displacement was assumed everywhere in the system, in particular, at the contacts. The conductor and the insulator were characterized by their specific density of state (DOS) distributions. In present work, we obtain an exact analytical solution for the injection across the conductor/insulator interface in a self-consistent manner. To focus on the consequences of the self-consistent treatment, we discuss our results on the basis of a simplified indium tin oxide/organic semiconductor system used as hole-injecting contact in organic optoelectronic devices, shifting the comparison with experimental data to a future time.

II. MODEL

Let us consider a single conductor/insulator interface located at a position $x=0$. The conductor is supposed to extend over the semispace with $x<0$, whereas the insulator covers the semispace with $x>0$. All energies are measured downward with respect to the top of the valence band in the conductor, in order to account for hole transport in the system. In the following sections, the theoretical models describing the insulator and the conductor are introduced.

A. Electrode

The conductor electrode is characterized by its DOS as a function of the energy E . For our purpose, it is sufficient to assume the free electron approximation, in which the DOS function reads

$$g_c(E) = \frac{1}{3\pi^2} \left(\frac{2m_{eff}}{\hbar^2} \right)^{3/2} \sqrt{E}, \quad (4)$$

where m_{eff} is the effective mass in the conductor. In the Thomas-Fermi approximation,⁶ one can deduce the electrochemical potential κ_c of the conductor as a function of the spatial coordinate x ,

$$\kappa_c(x) = \frac{\hbar^2}{2m_{eff}} [3\pi^2 p_c(x)]^{2/3} + e\phi(x), \quad (5)$$

where $p_c(x)$ is the hole density in the electrode and $\phi(x)$ is the electrostatic potential. In general, the electrochemical potential $\kappa(x)$ relates the steady-state current density j with the charge-carrier density. For a one-dimensional geometry, the current remains constant across the whole space and j is given by the conductivity σ and the derivative of $\kappa(x)$,¹²

$$j = -\frac{\sigma d\kappa(x)}{e dx} \quad (6)$$

(note the direction of the energy axis). The conductivity of a conductor $\sigma_c = e\mu_c p_\infty$ can be expressed in terms of the hole mobility μ_c and the hole density p_∞ in the valence band at an infinite distance from the conductor/insulator interface.

Since charge carriers are transferred from the electrode to the insulator, a space-charge region emerges near the interface which modifies the electric field $\mathcal{F}_c(x)$ in the conductor according to Gauss law,

$$\mathcal{F}'_c(x) = \frac{e}{\epsilon_c \epsilon_0} \delta p(x), \quad (7)$$

where ϵ_c is the relative permittivity of the electrode. In Eq. (7), $\delta p(x) = p_c(x) - p_\infty$ is the excess hole density. However, charge-carrier densities in degenerate conductors are rather high and consequently, the value for the excess hole density is small in comparison with the background hole density, $|\delta p(x)| \ll p_\infty$. Hence, the well-known linearized Thomas-Fermi approximation⁶ can be applied, leading with Eqs. (5)–(7) to a differential equation for \mathcal{F}_c ,

$$l_{TF}^2 \mathcal{F}_c''(x) - \mathcal{F}_c(x) = -\frac{j}{\sigma_c}, \quad (8)$$

with

$$l_{TF} = \sqrt{\frac{2\epsilon_0\epsilon_c\kappa_\infty}{3e^2p_\infty}}, \quad (9)$$

being the Thomas-Fermi screening length, defining the typical length scale of the system. Here, κ_∞ is the electrochemical potential at an infinite distance from the conductor/insulator interface.

Since space-charge zones in conductors are of finite thickness, gradients of $\mathcal{F}_c(x)$ have to vanish at an infinite distance from the contact. Therefore, the solution for the electric field reads

$$\mathcal{F}_c(x) = \left[\mathcal{F}_c(0) - \frac{j}{\sigma_c} \right] e^{x/l_{TF}} + \frac{j}{\sigma_c}, \quad (10)$$

where the electric field in the conductor at the conductor/insulator interface, $\mathcal{F}_c(0)$, is the only unknown quantity.

The validity of the used approximations has to be reviewed critically. An exact quantum-mechanical theory of the inhomogeneous electron gas accounting for the ionic lattice of the material and electron correlations gives a comparable scale for the electric-field penetration in conductors and demonstrates the usability of the uniform positive back-

ground model for simple metals.^{13,14} While in typical metals the Thomas-Fermi screening length is about 1 Å making the application of the quasiclassical Thomas-Fermi approximation [Eq. (5)] inappropriate, substantially larger Thomas-Fermi screening lengths can be found in degenerate semiconductors such as transparent conducting oxides used in optoelectronic devices.^{15–17}

B. Insulator

Similarly, the insulator is characterized by a DOS function, $g_s(E)$, describing extended states in which charge transport takes place. In contrast to the conductor, the electrochemical potential κ_s is situated well above the top of the band of extended hole states. In other words, the insulator is supposed to be nondegenerate. Introducing a band edge means that the DOS function $g_s(E)=0$ when $E<0$. Hence, the density of holes in extended states can be calculated using Boltzmann statistics,

$$p_s(x) = \int_{-\infty}^{\infty} g_s(E - \Delta - \kappa_\infty) \exp\left[\frac{\kappa_s(x) - e\phi(x) - E}{kT}\right] dE, \quad (11)$$

where the energy scale has been adjusted to the top of the valence band in the conductor. The injection barrier Δ is defined as the energetic difference of the top of the extended state distribution, $g_s(E)$, to the electrochemical potential in the conductor at an infinite distance from the interface, κ_∞ . The electrochemical potential of the insulator is then given by

$$\kappa_s(x) = kT \ln\left[\frac{p_s(x)}{\mathcal{N}}\right] + \Delta + \kappa_\infty + e\phi(x), \quad (12)$$

where the quantity

$$\mathcal{N} = \int_{-\infty}^{\infty} g_s(E) \exp(-E/kT) dE \quad (13)$$

can be understood as the effective total density of states available in the insulator at a given temperature. We note that the temperature dependence of \mathcal{N} becomes weak in the case of a narrow-band insulator.

Since band-gap energies are much larger than kT , thermal excitation of a charge carrier from the valence band to the conduction band of a typical insulator is virtually impossible. Charge carriers contributing to the electrical current are therefore excess charge carriers injected from the conductor and their total density has to appear in Gauss law,

$$\mathcal{F}'_s(x) = \frac{e}{\epsilon_s \epsilon_0} p_s(x), \quad (14)$$

with ϵ_s the relative dielectric permittivity of the insulator. Equations (6), (12), and (14) with $\sigma_s(x) = e\mu_s p_s(x)$ lead to a nonlinear differential equation for the electric field $\mathcal{F}_s(x)$,

$$\frac{kT}{e} \mathcal{F}'_s(x) - \mathcal{F}_s(x) \mathcal{F}'_s(x) = -\frac{j}{\mu_s \epsilon_s \epsilon_0}, \quad (15)$$

where μ_s is the hole mobility in the insulator. The same result is obtained by employing the drift-diffusion model [Eq. (3)] and the Einstein relation, relating μ_s and D .⁶ Introducing the following dimensionless quantities:

$$X = \frac{1}{l_{TF}} x, \quad (16)$$

$$F_s = \frac{el_{TF}}{kT} \mathcal{F}_s, \quad (17)$$

$$\iota = \frac{e^2 l_{TF}^3}{\mu_s \epsilon_s \epsilon_0 (kT)^2} j, \quad (18)$$

Eq. (15) converts into a dimensionless form,

$$F'_s(X) - F'_s(X) F_s(X) + \iota = 0. \quad (19)$$

The solution of Eq. (19) must be separately formulated for the two cases of thermal equilibrium and an applied steady-state current. In equilibrium, the dimensionless current density ι vanishes and Eq. (19) can be integrated in elementary functions. The first integration results in

$$F'_s(X) - \frac{1}{2} F_s^2(X) = \Lambda, \quad (20)$$

with an arbitrary constant Λ . At an infinite distance from the contact, the field and its derivative (i.e., the charge carrier density) vanish; thus, $\Lambda=0$ and a solution for the electric field read

$$F_s(X) = \frac{F_s(0)}{1 - F_s(0)X/2}. \quad (21)$$

In Eq. (21), the field at the contact in the insulator, $F_s(0)$, is the only unknown quantity.

Considering a net current density, a general solution of Eq. (19) is known in terms of Airy functions Ai and Bi,^{18,19}

$$F_s(X) = -2^{2/3} \iota^{1/3} \times \frac{\text{Ai}'[(\iota/2)^{1/3}(X + C_1)] + C_2 \text{Bi}'[(\iota/2)^{1/3}(X + C_1)]}{\text{Ai}[(\iota/2)^{1/3}(X + C_1)] + C_2 \text{Bi}[(\iota/2)^{1/3}(X + C_1)]}, \quad (22)$$

where primes denote derivatives of Airy functions with respect to their arguments, and C_1 and C_2 are unknown constants. When $X \rightarrow \infty$, the gradient of the charge carrier density has to vanish so that

$$F'_s(X) F_s(X) = \iota. \quad (23)$$

Equation (23) demonstrates that, in presence of a constant current, the magnitude of the electric field $F_s(X)$ rises asymptotically since the charge carrier density $\sim F'_s(X)$ vanishes. Considering the asymptotic behavior of the solution of Eq. (19), it is convenient to account explicitly for the sign of the current density ι . Since we assume injection of holes from

the left semispaces to the right one, ι together with j must be positive. This imposes asymptotes of the field and the hole density

$$F_s(X) \sim \sqrt{X}, \quad p_s \sim 1/\sqrt{X}, \quad (24)$$

respectively, resembling the characteristic behavior for SCLC.¹ Taking into account asymptotic properties of Airy functions,¹⁹ it is easy to establish that to satisfy the asymptotic conditions for the field [Eqs. (23) and (24)], the constant C_2 must equal zero. Then, the general solution [Eq. (22)] transforms to

$$F_s(X) = -2(\iota/2)^{1/3} \frac{\text{Ai}'[(\iota/2)^{1/3}(X + C_1)]}{\text{Ai}[(\iota/2)^{1/3}(X + C_1)]}. \quad (25)$$

The constant C_1 must be determined from the boundary conditions derived in the next section.

C. Self-consistency and boundary conditions at the contact

The equations of the electric-field distributions in the conductor and in the insulator have to be solved self-consistently. Assuming steady state, self-consistency is achieved by adjusting the integration constants [i.e., $F_s(0)$, $F_c(0)$ and C_1] with respect to the Maxwell equations and continuity of the electrochemical potential,

$$\epsilon \mathcal{F}(x) = \text{continuous}, \quad (26)$$

$$\kappa(x) = \text{continuous}. \quad (27)$$

Equation (26) requires that the electric displacement in the insulator at the interface, $\epsilon_s F_s(0)$, is equal to $\epsilon_c F_c(0)$. This holds as long as no interface charges or dipole layer exist at the contact, being problematic in many systems considered here.^{20–22} Yet, for simplicity and without loss of generality, Eq. (26) is assumed, leading with Eqs. (27) and (10) to a nontrivial boundary condition relating the electric field and its first derivative in the respective media at the contact. In the insulator, the following boundary condition holds:

$$\frac{\epsilon_s}{\epsilon_c} F_s(0) - \frac{\Delta}{kT} - \ln[\alpha F_s'(0)] = \iota \alpha \frac{\mu_s \mathcal{N}}{\mu_c p_\infty}, \quad (28)$$

where the dimensionless constant α is defined as

$$\alpha = \frac{\epsilon_s \epsilon_0 kT}{\epsilon_c e^2 l_{TF}^2 \mathcal{N}} = \frac{3 \epsilon_s kT p_\infty}{2 \epsilon_c \kappa_\infty \mathcal{N}}. \quad (29)$$

This boundary condition contains parameters of both media, being specified in the bulk of the respective material.

III. PHYSICAL AND NUMERICAL ANALYSIS

In this section, the solution of the injection problem using the presented model is discussed. We distinguish between the equilibrium condition, where space-charge zones are formed as a consequence of diffusive charge-carrier transfer, and the case of a steady-state current, where charge carriers are driven through the system by a time invariant external electric field.

As an example for an insulator, an organic semiconductor can be considered. Organic semiconductors show many typical characteristics of insulators such as relatively large band gaps up to 3 eV and hence, the absence of intrinsic charge carriers. However, it is well established that disordered organic semiconductors possess a Gaussian DOS (Ref. 23) compromising the applicability of Eq. (11)—organic semiconductors are degenerate systems; the tail states acting as charge carrier traps. As a consequence, one has to distinguish between trap states and transport states and Fermi statistics has to be considered. Yet, for weak disorder, the Gaussian width is small and in the limiting case of a vanishing disorder, charge-carrier trapping in tail states is negligible, and Boltzmann statistics is valid.

In organic light-emitting diodes (OLEDs) or field-effect transistors (OFETs), organic semiconductors are contacted with metals such as Au, Ca, and Al or transparent conducting oxides such as indium tin oxide (ITO) to allow for charge carrier injection in the otherwise charge-carrier free organic semiconductor. While in metals the Thomas-Fermi approximation is disputable due to the prevailed low screening length of 1 Å, the characteristic scale l_{TF} varies in ITO, depending on doping,^{15–17} from 2.4 Å to a few nanometers. Therefore, we choose in our self-consistent consideration an ITO electrode and assume that it can be described in terms of the Thomas-Fermi approximation. ITO is typically employed as anode in OLEDs,⁸ since it provides a decent conductivity and a sufficient high work function (5 eV) to allow for efficient hole injection while being transparent in the visible range of the optical spectrum to enable light outcoupling.

Due to the importance of charge carrier injection for the device performance of OLEDs and OFETs, the description of the involved charge-carrier injection process has been advanced in recent years considering surface recombination of charge carriers at the interface^{7,8,24,25} or stochastic hopping in a surface-barrier potential.^{26–28} Even more suitable for organic semiconductors microscopic models account for the mobility of electrons within and transfer between molecular strands and for the interaction of electrons with molecular vibrational modes.²⁹ However, all these models work within the single electron picture, so far it concerns the injection process, which means that interaction between injected electrons is not incorporated and, thus, space-charge effects on the injection are not properly taken into account.

From now on, it is assumed that the material specific quantities of the organic semiconductor and ITO adopt the typical values given in Table I. Thereby, the injection barrier Δ is given by the energetic difference between κ_∞ and the band edge in the organic semiconductor and, thus, is determined by both media. Changing the value of the barrier height while leaving the electrode unchanged can therefore be understood as considering a different organic semiconductor.

Specifying the material parameters for the insulator and the conductor leads to substantial consequences for the boundary condition given in Eq. (28). ι is multiplied by a small factor $\alpha(\mu_s/\mu_c)(\mathcal{N}/p_\infty) \sim 10^{-7}$ and hence, the boundary condition does not depend directly on ι in most practical cases. Neglecting $\iota \alpha(\mu_s/\mu_c)(\mathcal{N}/p_\infty)$, Eq. (28) can be reformulated to

TABLE I. Typical material parameters for an organic semiconductor and ITO (Refs. 15–17). The parameters are deduced assuming $T=300$ K. m_e is the electron mass and $\alpha=5.6 \times 10^{-3}$ is determined by parameters of both materials.

Organic			ITO					
\mathcal{N} (cm^{-3})	ϵ_s	μ_s ($\text{cm}^2/\text{V s}$)	p_∞ (cm^{-3})	m_{eff} (m_e)	κ_∞ (eV)	ϵ_c	μ_c ($\text{cm}^2/\text{V s}$)	l_{TF} (\AA)
10^{21}	3	10^{-4}	10^{20}	0.35	0.225	9.3	30	8.6

$$p_s(0) = \mathcal{N} \exp[(\epsilon_s/\epsilon_c)F_s(0) - \Delta/kT], \quad (30)$$

$p_s(0)$ being the density of injected holes at the contact. As a consequence, the dependence of $F_s(0)$ on the current is only due to Eq. (19). Apparently, there exists a barrier variation $\propto F_s(0)$ leading to the definition of an effective injection barrier Δ_{eff} of

$$\Delta_{eff} = \Delta - kT(\epsilon_s/\epsilon_c)F_s(0) \quad (31)$$

$$= \Delta - e\mathcal{F}_c(0)l_{TF}. \quad (32)$$

The modification of the injection barrier corresponds to the amount of energy a charge carrier gains (or loses) in the electric field at the electrode side of the interface. This change, as is seen from Eq. (32), may be positive or negative, since the space-charge region at the interface represents a potential barrier itself.

A. Equilibrium

Using the analytical solution [Eq. (21)] valid for thermal equilibrium, the boundary condition [Eq. (28)] can be simplified to a transcendental relation for $F_s(0)$,

$$\frac{\epsilon_s}{\epsilon_c}F_s(0) - \frac{\Delta}{kT} - \ln\left[\frac{\alpha}{2}F_s^2(0)\right] = 0. \quad (33)$$

$F_s(0)$ together with Eq. (21) yields the solution of the electric-field distribution in thermal equilibrium. While the charge carrier mobilities of the respective material determine the time needed to reach equilibrium they apparently do not influence the final equilibrium electric-field distribution. Equations (21) and (33) are generally valid for nondegenerate systems.

The solution for the dimensionless electric field is shown in Fig. 1, where the barrier-free injection ($\Delta=0$) from an ITO electrode in an organic semiconductor is considered. The solution can be interpreted as follows. Holes diffuse from the electrode into the organic semiconductor. This results in a negative electric field causing a drift current opposite but equal in absolute value to the diffusion current, so that the net current is zero. As a consequence, a space-charge zone is established in the electrode and in the organic semiconductor, which in total is neutral. This space-charge region is very thin in the electrode but extends far into the organic semiconductor. The weak decay of the electric field ($|F_s(X)| \sim 1/X$) in the organic semiconductor is due to a missing charge-carrier background in the insulator.

The distribution of the electric field changes when a non-vanishing injection barrier is introduced. Due to an impeded charge-carrier injection, the electric field is solely reduced close to the interface, leaving the field far in the organic material invariant.

Depending on the material parameters chosen, the parameter α [Eq. (29)] may be very large or very small (here, $\alpha = 5.6 \times 10^{-3}$). In these limits, the nonlinear equation [Eq. (33)] can be solved approximately. If $\alpha \ll 1$, two characteristic regions arise depending on the relation between Δ and $\Delta_0 = kT \ln(2/\alpha)$ (here, $\Delta_0 \cong 0.15$ eV). If the barrier height is so small that $\Delta \ll \Delta_0$, $F_s(0)$ is given by

$$F_s(0) = - (A\epsilon_c/\epsilon_s)[1 - (1 + A/2)^{-1} \ln(A\epsilon_c/\epsilon_s)], \quad (34)$$

with $A = \ln(2/\alpha) - \Delta/kT \gg 1$. If the barrier is so large that $\Delta \gg \Delta_0$, then

$$F_s(0) = - \sqrt{\frac{2}{\alpha}} \exp\left(-\frac{\Delta}{2kT}\right). \quad (35)$$

The latter relation is also valid for $\alpha \gg 1$ and arbitrary Δ 's. Equation (35) demonstrates the exponential suppression of the electric field within the space-charge region by the injection barrier since less charge carriers are transferred across the contact.

The integration of the electric field leads to a voltage V_{equi} to maintain equilibrium. The equilibrium voltage V_{equi} is often referred to as the contact potential.⁵ Since the equilibrium

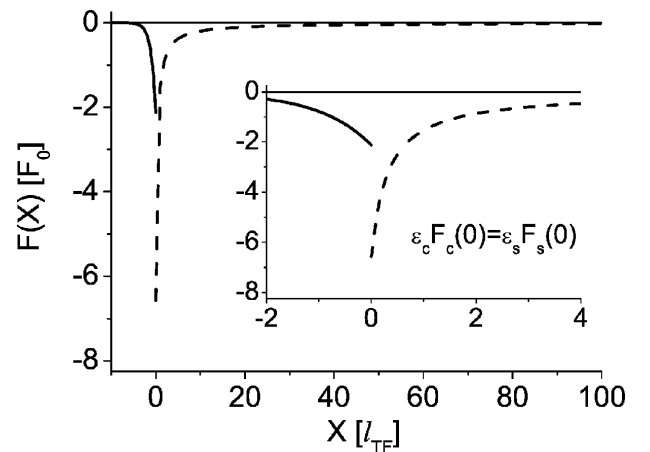


FIG. 1. Distribution of the electric field F in units of $F_0 = kT/e l_{TF}$ in equilibrium ($j=0$) and barrier-free case ($\Delta=0$) as a function of the coordinate X in units of l_{TF} . The inset shows the discontinuity of the electric field at the interface.

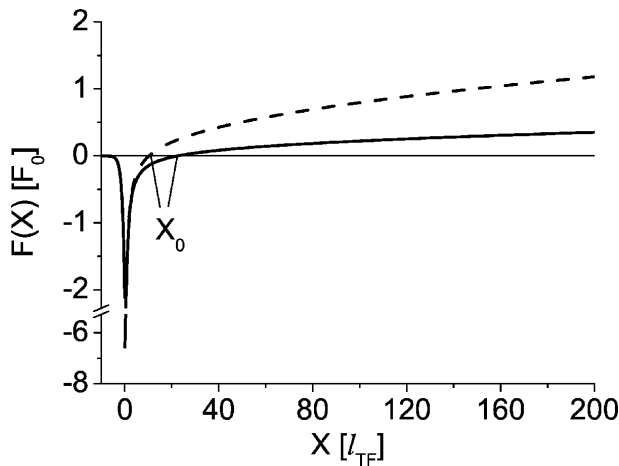


FIG. 2. Distribution of the electric field F in units of $F_0 = kT/e l_{TF}$ for barrier-free charge-carrier injection and a constant current of $j = 10 \text{ mA/cm}^2$ (solid line) and $j = 100 \text{ mA/cm}^2$ (dashed line) as function of the coordinate x in units of l_{TF} .

field decreases with $1/X$, the integration over the entire infinite semispace diverges so that a cutoff length L has to be introduced. This results from the fact that a perfect insulator excluding any intrinsic charge carriers is considered. The analytical expression for V_{equi} reads

$$V_{equi} = \frac{kT}{e} \left[\frac{\epsilon_s}{\epsilon_c} F_s(0) - 2 \ln \left(1 - \frac{F_s(0)L}{2l_{TF}} \right) \right], \quad (36)$$

where $F_s(0)$ results from the boundary condition in equilibrium [Eq. (33)]. The existence of such an equilibrium voltage has practical consequences for organic electronic devices. In organic photovoltaic cells, the contact potential is known to reduce the open-circuit voltage considerably once the barrier height is small. In bulk-heterojunction solar cells based on [6,6]-phenyl C_{61} -butyric acid methyl ester as electron acceptor and poly[2-methoxy-5-(3',7'-dimethyloctyloxy)-p-phenylene vinylene] as electron donator sandwiched between poly-(ethylene dioxythiophene) doped with polystyrene sulphonic acid and LiF/Al electrodes a reduction of the open-circuit voltage of 0.4 eV is observed.³⁰

B. Steady state

Now, the steady-state situation, where a constant current j flows across the ITO/organic semiconductor system, will be considered. The solution is given by Eq. (25) and the unknown constant C_1 has to be found numerically from Eq. (28).

In Fig. 2, the solution for the dimensionless electric field F is depicted for current densities of $j = 10$ and 100 mA/cm^2 and barrier-free injection ($\Delta = 0$).

Comparison with the equilibrium solution shows that the distribution of the electric field is, near the electrode, virtually independent of the net current density, but is substantially affected by the current deep in the dielectric bulk. For $\Delta = 0$, many holes diffuse into the organic semiconductor [$F'_s(0)$ large] and a strong negative field in the vicinity of the interface emerges. This negative field compensates for the

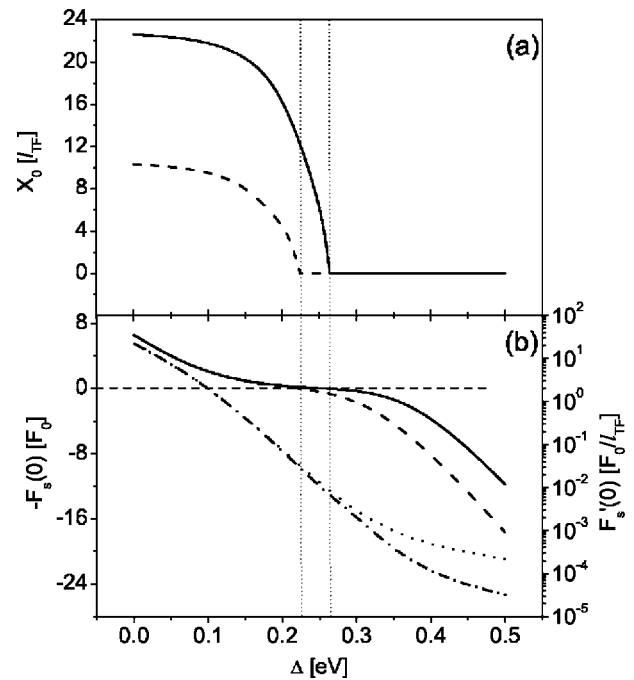


FIG. 3. (a) Position of the virtual electrode X_0 in units of l_{TF} for current densities of $j = 10 \text{ mA/cm}^2$ (solid line) and $j = 100 \text{ mA/cm}^2$ (dashed line) as a function of barrier height Δ . (b) Electric-field strength at $X=0$ inside the semiconductor for current densities of $j = 10 \text{ mA/cm}^2$ (solid line) and $j = 100 \text{ mA/cm}^2$ (dashed line) as a function of barrier height Δ . The axis on the right-hand side indicates the corresponding values for the derivative of the electric field for current densities of $j = 10 \text{ mA/cm}^2$ (dashed-dotted line) and $j = 100 \text{ mA/cm}^2$ (dotted line).

diffusion into the organic semiconductor to such an extent that the net current reaches j . However, far away from the contact where diffusion is negligible, the electric field has to be positive (together with the current). Thus, there is a position $X = X_0$ where the electric field changes sign, as can be seen in Fig. 2. Since a vanishing electric-field strength is assigned to the Ohmic contact itself, the position X_0 is often referred to as the virtual electrode.³¹

For a detailed discussion of the field distribution in the insulator, the dependence of the position of the virtual electrode, the electric field at the contact $F_s(0)$, and the charge-carrier density [represented by $F'_s(0)$] are shown in Fig. 3 as functions of Δ and for two typical current densities. Additionally, the distribution of the electric field is depicted in Fig. 4 for different injection barriers and a current density of $j = 100 \text{ mA/cm}^2$.

For small Δ 's, the electric field at the interface is negative, the charge-carrier density is large, and the virtual electrode is far inside the insulator. A charge-carrier reservoir is formed in the near contact region and the current across the residual insulator $X \gg X_0$ is supplied by this reservoir. From Figs. 2 and 4, it becomes evident that the electric field follows $F \sim \sqrt{X - X_0}$ for $X \gg X_0$ and hence, resembles the field distribution of SCLC assuming Ohmic boundary conditions.¹

Increasing the injection barrier, the position of the virtual electrode is hardly affected until the injection barrier exceeds 0.1 eV. For a further increased barrier, it approaches the

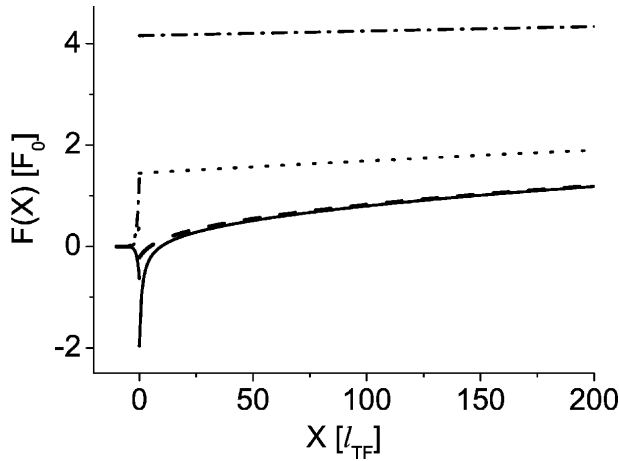


FIG. 4. Distribution of the electric field F in units of $F_0 = kT/e l_{TF}$ for a constant current of 100 mA/cm^2 and barrier height of $\Delta=0.1 \text{ eV}$ (solid line), $\Delta=0.2 \text{ eV}$ (dashed line), $\Delta=0.3 \text{ eV}$ (dotted line), and $\Delta=0.35 \text{ eV}$ (dash-dotted line) as a function of the coordinate X in units of l_{TF} .

physical electrode rapidly. Simultaneously, $F_s(0)$ approaches zero and is pinned there for quite a wide Δ range. However, this does not mean that the Ohmic boundary conditions [$F_s(0)=0$ and $p_s(0) \rightarrow \infty$] assigned to an ideal contact¹ is a good approximation in this case. In fact, the density of the charge carriers at $X=0$ is relatively low, though $F_s(0)=0$ holds. $F_s(X)$ reflects neither $\sqrt{X-X_0}$ nor a constant form.

By further increasing Δ , the amount of injected charge carriers remains small, X_0 is located at the physical electrode, and $F_s(0)$ becomes positive, while the field in the insulator is weakly dependent on X . The charge-carrier reservoir in the insulator is depleted and due to the few charge carriers at the contact, a strong positive field is required to drive the current across the near contact region.

Note that for $F_s(0) < 0$ (or $X_0 > 0$), the position of the virtual electrode depends strongly on the induced current density while $F_s(0)$ and $F'_s(0)$ do not, reflecting the fact that close to the contact the equilibrium field distribution is hardly affected by the current but further in the insulator it is. For barrier-free injection, the virtual electrode is shifted from approximately $X_0=25 \text{ nm}$ to 10 nm once the current increases from $j=10 \text{ mA/cm}^2$ to $j=100 \text{ mA/cm}^2$. This is illustrated in Figs. 2 and 3. Vice versa, the influence of the current density on the field at the contact becomes recognizable for larger barriers, once the charge carrier reservoir is depleted and the virtual electrode coincides with the physical one. This is due to the fact that a strongly increased positive field is required to support an additional current density, since the charge-carrier density is small.

From Fig. 3, it can be seen that the minimal injection barrier required to result in a match of virtual and physical electrode [$F_s(0)=0$] is shifted to smaller barriers for higher current densities. By means of solution (25), this may be formulated as an exact relation between the quantities involved. The requirement $F_s(0)=0$ results in the particular value of the constant $C_1 = z_0(2/|\iota|)^{1/3}$, where $z_0 \approx -1.02$ is the first zero of the Airy function $\text{Ai}'(z)$. Using Eq. (28), the

current magnitude ι_0 , which suppresses the electric field at the interface, is determined to be

$$\iota_0 = \frac{\exp(-3\Delta/2kT)}{2^{1/2}(\alpha|z_0|)^{3/2}}. \quad (37)$$

From the above equation, it becomes evident that for increased injection barriers, the minimal current density required to obtain a match of virtual and physical electrode is exponentially reduced.

Knowledge about the distribution of the electric field gives access to the voltage drop V across the system for a given current density j and hence, to its IV characteristics. As the model consists of infinite semispaces, integration over the electric field diverges when being carried out over the entire space, i.e., from $X=-\infty$ to $X=+\infty$. This holds in equilibrium as well as in steady state. However, since the focus of this work is to analyze the contact phenomena arising from the conductor/insulator junction, two simplifications are introduced. Firstly, according to the definition of the contact potential, the voltage drop is calculated by introducing a cut-off length L corresponding to the typical thickness of the organic layer. Hence, the bulk conduction in an organic layer with finite thickness is taken into account. Secondly, since the voltage drop across a conductor bulk of finite thickness is small due to its high conductivity, the constant part equal to the asymptotic constant field value times the macroscopic conductor thickness is subtracted from the integral over the conductor. Then, the voltage drop over the entire system reads

$$V = \frac{kT}{e} \left\{ \frac{\epsilon_s}{\epsilon_c} F_s(0) - \iota \alpha \frac{\mu_s \mathcal{N}}{\mu_c p_\infty} - 2 \ln \left| \frac{\text{Ai}[(\iota/2)^{1/3}(L/l_{TF} + C_1)]}{\text{Ai}[(\iota/2)^{1/3}C_1]} \right| \right\} - V_{equi}. \quad (38)$$

Here, the first two terms in braces represent the voltage drop in the electrode. The third term in braces results from the voltage drop within a distance L from the contact inside the organic semispace and the last term corrects the voltage by its equilibrium value [Eq. (36)]. The two (not independent) constants $F_s(0)$ and C_1 result from the steady-state boundary condition [Eq. (28)].

In Fig. 5, the resulting IV characteristics for different barrier heights are presented assuming $L=100 \text{ nm}$. In the displayed voltage region, the barrier-free contact ($\Delta=0$) is able to supply more charge carriers than the bulk of the organic semiconductor can transport. Hence, the entire system appears to be space-charge limited with a current approximately $j \sim V^2$ for all voltages. Deviations from the Mott-Gurney law are due to the formation of the space-charge region emerging by charge-carrier diffusion at $X < X_0$. As the current increases, the position of the virtual electrode approaches the real electrode and the width of this space charge region decreases. Thus, the calculated curve reproduces the Mott-Gurney law¹ more exactly for higher voltages.

In the presence of nonvanishing barriers, the current is substantially reduced. In the low-voltage regime, this reduction is about 1 order of magnitude once the height of the

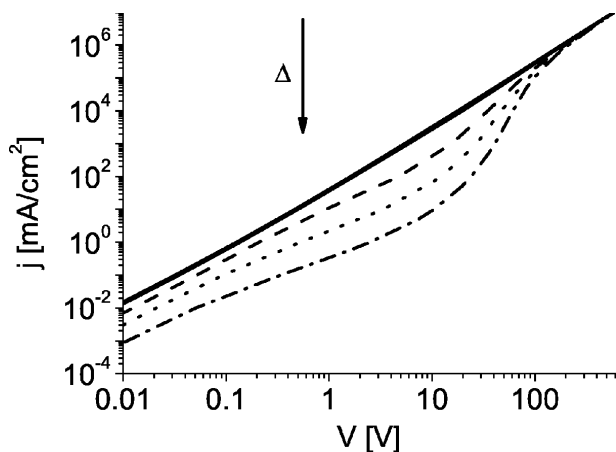


FIG. 5. IV characteristics for barrier height of $\Delta=0, 0.2, 0.3, 0.35,$ and 0.4 eV. The curves for $\Delta=0$ and $\Delta=0.2$ eV nearly merge in the above representation.

barrier increases from $\Delta=0$ to $\Delta=0.4$ eV. For high Δ s, one observes a transition from a $j \sim V^2$ to a $j \sim V$ dependence, followed by an exponential current increase with the applied voltage. Since the SCLC represents an upper limit for the current flow through the system, all IV curves approach the SCLC regime for even higher voltages.

The calculated IV characteristics for high injection barriers can be understood by considering some simple approximations. Once the electric field at the interface becomes positive, the charge-carrier reservoir established in the organic semiconductor is exhausted and no virtual electrode is present. Hence, diffusion is negligible all over the space and an electrical current is virtually due to charge carrier drift only,

$$F'_s(X)F_s(X) = \iota. \quad (39)$$

The solution depends on $F_s(0)$ and reads,³²

$$F_s(X) = \sqrt{F_s^2(0) + 2\iota X}. \quad (40)$$

Analyzing the drift equation [Eq. (39)] at $X=0$ by using the boundary condition, Eq. (28) leads to an exponential dependence of the dimensionless current ι on the local value of the electric field,

$$\iota = \frac{F_s(0)}{\alpha} \exp\left[\frac{\epsilon_s}{\epsilon_c} F_s(0) - \frac{\Delta}{kT}\right], \quad (41)$$

where the right-hand side of the boundary condition [Eq. (28)] has been neglected. Solving Eq. (41) numerically with respect to $F_s(0)$ for a given current density ι determines the electric-field distribution, Equation (40) and integrating the field over the entire system leads to the IV characteristic. This approximation offers a simple way to calculate IV characteristics³³ displayed exemplary for a barrier height of $\Delta=0.4$ eV in Fig. 6.

The agreement with the IV characteristic calculated using the exact solution [Eq. (25)] is perfect for all voltages where diffusive transport of charge carriers is negligible. This includes the SCLC regime at high bias. Only at low voltages, where the charge carrier reservoir in the organic semiconduc-

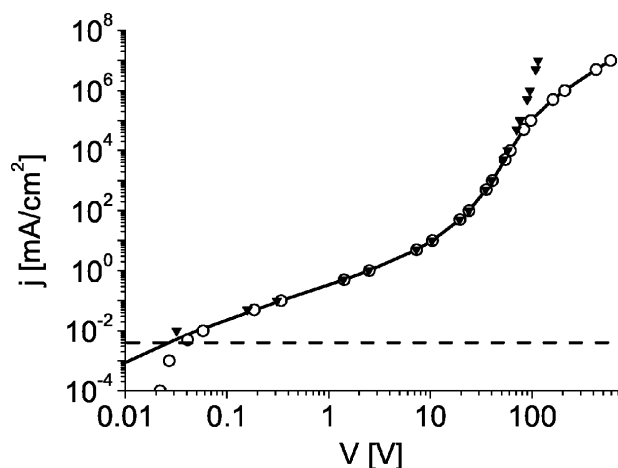


FIG. 6. IV characteristic for barrier height of $\Delta=0.4$ eV. The solid line has been obtained using the exact solution [Eq. (25)] of the complete drift-diffusion equation [Eq. (19)]. The circles show the IV characteristic calculated with the help of the semianalytical approach derived for high injection barriers [Eqs. (40) and (41)] and the triangles display the injection current j_{inj} calculated with the help of Eq. (42). The dashed line shows a characteristic current of the virtual electrode appearance.

tor is not exhausted, deviations are recognizable. According to the exact relation [Eq. (37)], one can see that below a characteristic current $\iota \approx 4 \times 10^{-3}$, the virtual electrode at $X_0 > 0$ appears and the diffusion becomes important.

For a purely injection-limited regime, space-charge effects are of no importance, resulting in a constant electric field all over the semiconductor semispace. This field coincides with the electric-field strength at the interface. In such a case $F_s(0) \equiv Ve l_{TF}/kTL$ and Eq. (41) governs the injection-limited IV characteristics alone,

$$j_{inj} = e\mu_s \frac{V}{L} \mathcal{N} \exp\left[-\frac{\Delta}{kT} + \frac{e\epsilon_s l_{TF} V}{\epsilon_c kTL}\right]. \quad (42)$$

Equation (42) resembles the result of the drift-diffusion equation.^{5,33,34} Yet, the self-consistent treatment of the injection problem yields directly a barrier lowering arising from the potential energy a charge-carrier gains on the conductor side of the interface. In contrast to the $\ln(j) \propto \sqrt{V}$ dependence of the Schottky-lowering predicted from the image-charge potential in the single-electron picture, the current density depends exponentially on the external voltage V . The injection-limited current $j_{inj}(V)$ is depicted in Fig. 6.

Once space-charge effects become predominant, $F_s(0)$ can be neglected in Eq. (40) and the temperature-independent Mott-Gurney law is reproduced. This occurs as soon as the field-induced barrier lowering has proceeded to such extent that the contact can again establish space charge in the organic semiconductor. Hence, a transition from injection-limited current to space-charge-limited current is observed. As may be seen from Fig. 6, the crossover from injection-limited current to SCLC occurs at rather high voltages in the presence of a medium injection barrier of 0.4 eV. This has its origin in the weak barrier lowering for increasing

external voltage. Due to the low screening length in the conductor, a high-voltage drop across the entire system is required to result in an energy gain in the conductor being comparable to the injection-barrier energy. Note that in contrast to a space-charge formation resulting from diffusion, the electric field at the contact is positive once the space-charge formation is solely due to a drift-controlled injection. Such a SCLC regime occurs also for barrier-free injection as soon as the diffusive filling of the space-charge region is weaker than its depletion due to the external applied field. This, however, requires a very high bias. As a result, the SCLC is directly supplied by an efficient charge-carrier drift out of the conductor.

From Eq. (42) and Mott-Gurney law, one can estimate the upper limit of the barrier required to retain SCLC over the whole voltage range,

$$\Delta_{crit} = kT + kT \ln \left(\frac{16 L \mathcal{N} \kappa_{\infty}}{27 l_{TF} p_{\infty} kT} \right). \quad (43)$$

Assuming the parameters introduced before, we can predict a critical barrier height of 0.25 eV. This is in slight contradiction to device models in which a Schottky-type barrier lowering is assumed.^{24,25,35} Here, one would expect the crossover between SCLC and injection-limited current to occur at an injection barrier of $\Delta_{crit} \approx 0.35$ eV. However, up to now, the experimental data available predict the crossover to be at a barrier height between 0.2 and 0.35 eV, being consistent with both approaches.

IV. CONCLUSIONS

In the calculation of the charge-carrier transport through insulators, a fundamental question about the boundary conditions generally arises when a charge-carrier injecting interface has to be involved. Typically, boundary conditions at the interface are chosen, fixing there the charge carrier density

and/or the electric field. However, at the conductor/insulator contact the system is ill-defined, meaning that especially at the interface the charge carrier density and the electric field strongly depend on the condition of the system.

In this paper, a one-dimensional analytical model describing the charge-carrier transport across a conductor/insulator junction was presented, where boundary conditions are defined far into the conductor and the insulator, respectively. Here, the influence of the two materials on each other is negligible so that they can be regarded as independent. Considering the Poisson equation and assuming the electric displacement as well as the electrochemical potential being continuous over the entire system, the electric-field distribution and the current-voltage characteristic were derived. The model predicts SCLC, injection-limited conduction, as well as the crossover between the two limits. In most current regimes, the influence of the self-consistent treatment is noticeable. For pure injection-limited conduction, an injection current similar to the prediction of the drift-diffusion theory was derived. However, due to the consistent treatment of the injection problem in one dimension, a barrier lowering different to the one predicted from the three-dimensional image-charge potential in the single-electron picture emerges. This injection-barrier lowering results from the potential energy gain (or loss) of the charge carriers in the electrode. Also for SCLC, a deviation of the Mott-Gurney law is observable as long as the virtual electrode does not match the physical one. Only at high bias, the self-consistent treatment has no influence on the *IV* characteristic and the well known Mott-Gurney law is fully reproduced.

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